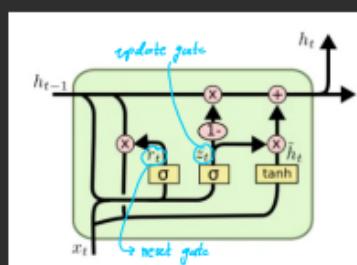


# GRU (Gated Recurrent Unit)

- Simple architecture than LSTM.
- In certain datasets GRU performs better than LSTM.
- GRU is simple architecture with fewer amount of trainable parameters.



→ in some cases GRU may work better or in some cases LSTM may work better, so it is recommended for try both.

→ GRU don't have cell state, they only have hidden state.

Q. What is the goal behind this architecture....?

- for any time stamp  $t$ , we provides  $h_{t-1}$  and  $x_t$  as input.
- Based on inputs, GRU will calculate  $h_t$  for current timestamp  $t$ .

Some terminologies

- $h_{t-1}$  : Previous hidden state.
- $h_t$  : current hidden state
- $x_t$  : Input at current time stamp.
- $r_t$  : Reset gate
- $z_t$  : Update gate
- $\tilde{h}_t$  : Candidate hidden state



- $\otimes$  : Point-wise multiplication
- $\oplus$  : Point-wise addition
- $\text{ReLU}$  : Point-wise  $1-$

→ Mathematically  $h_{t-1}$ ,  $h_t$ ,  $\tilde{h}_t$ ,  $x_t$ ,  $r_t$  and  $z_t$ , all are vectors.

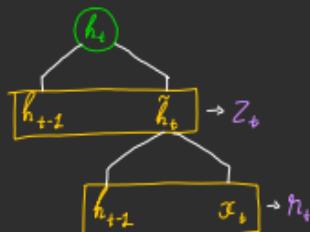
→ All, except  $x_t$  will be of same dimensional vectors.

→ Number of units will always same in all Neural Network Architectures.

→ Number of units in Neural Network Architecture will be equal to the dimensions of  $h_{t-1}$ ,  $h_t$ ,  $\tilde{h}_t$ ,  $z_t$  and  $r_t$ .

Input	Output
$h_{t-1}$	$h_t$
$x_t$	

- Steps
1. Calculate  $r_t$
  2. Calculate  $\tilde{h}_t$
  3. Calculate  $z_t$
  4. Calculate  $h_t$



$h_{t+2}$  will work like a memory for store the context of content till now.  
 → Suppose  $h_{t+2}$  is 4D vector like  $[0.9, 0.21, 0.11, 0.02]$ , then this 4D vector represents context of provided content/story, in which each individual number holds some aspects.

### Example

Suppose we have a story as context like given below:

- 1. There was a king Vikram very strong and powerful
- 2. There was an enemy king kaali
- 3. Both had a war and kaali killed Vikram
- 4. Vikram had a son Vikram Jr who grew up he to become very strong just like his father
- 5. He also attacked Kaali But got killed
- 6. Vikram Jr too had a son called Vikram super Jr and when he grew up he also fought kaali
- 7. And he killed kaali and took revenge of his father and grand father

→ Those aspects may be anything, because in deep learning some processes are black box.

### Example

Sentence-number Assumed- $h_t$  ([power, conflict, tragedy, revenge])

$$1 \quad [0.1, 0, 0, 0]$$

$$2 \quad [1, 0.5, 0, 0]$$

$$3 \quad [0.7, 0.3, 0.4, 0]$$

$$4 \quad [0.9, 0.6, 0.3, 0]$$

$$5 \quad [0.8, 0.8, 0.6, 0.5]$$

$$6 \quad [1, 0.9, 0.5, 0.7]$$

$$7 \quad [0.7, 0.8, 0.3, 1]$$



Reset gate and update gate are causing these changes in  $h_t$ .

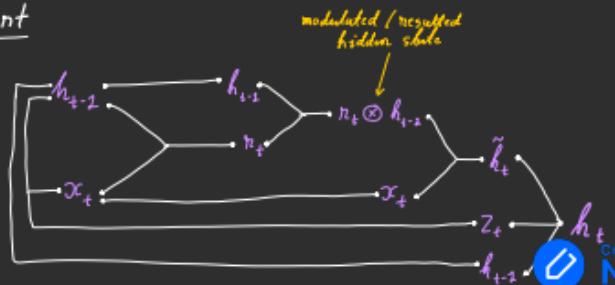
$$\underbrace{h_{t-2}}_{\text{reset}} \xrightarrow{\text{step-2}} \underbrace{h_t}_{\text{update}} \xrightarrow{\text{step-2}} h_t$$

more powerful more conflict less tragedy full of revenge

→ Current  $\tilde{h}_t$  will be heavily influenced towards current input  $x_t$ . hence we can't directly update  $h_t$ . hence we need to make balance between  $h_{t-2}$  and  $\tilde{h}_t$ .

→ in simple words, if  $x_t$  is more important based on story perspective, then we will give more importance to  $\tilde{h}_t$  otherwise  $h_{t-2}$ .

### Simple flowchart



## #1 Calculating the reset gate

→ Dimension of  $h_t$  gate will same as  $h_{t+2}$  gate.

→  $h_t$  is a gate, hence the value will be between 0 & 1.  
as seen previous example.

### [Power, Conflict, Tragedy, Revenge]

- Suppose  $n_t$  at 1<sup>st</sup> dimension is 0, it means that " $h_t$  is closed for that particular dimension".
- if  $n_t$  at particular dimension (position) is 1, it means that " $h_t$  is opened for that particular dimension".

→ So, reset gate will reset necessary dimensions of  $h_{t+2}$  based on current input  $x_t$ .

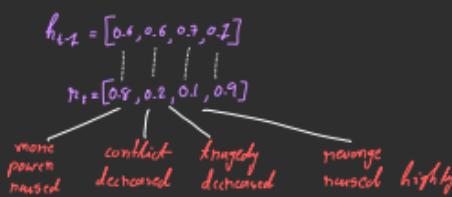
based on given story, suppose first 3 event occurred & currently we're at 4<sup>th</sup> event.

→ Current for  $x_t$  suppose

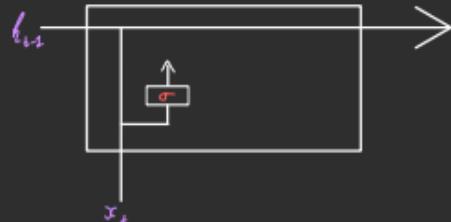
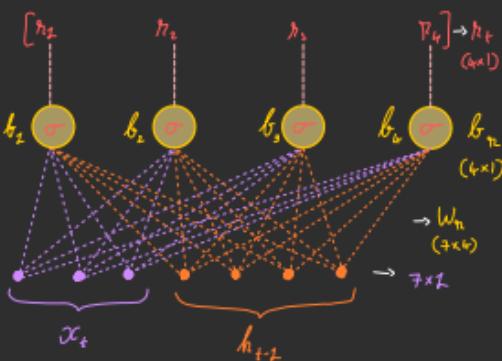
$$h_{t+2} = [0.6, 0.6, 0.7, 0.2] \text{ based on past 3 events.}$$

→ but based on current event ( $x_t$ ) we will update  $h_{t+2}$ , now new update may looks like this.  $n_t = [0.8, 0.2, 0.1, 0.9]$ .

- There was a king Vikram very strong and powerful
- There was an enemy king kaali
- Both had a war and kaali killed Vikram
- Vikram had a son Vikram Jr who grew up he to become very strong just like his father
- He also attacked Kaali But got killed
- Vikram Jr too had a son called Vikram super Jr and when he grew up he also fought kaali
- And he killed kaali and took revenge of his father and grand father



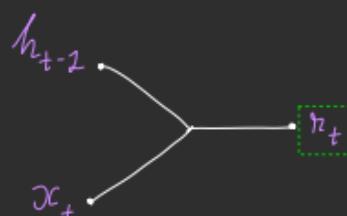
Suppose our input word since  $h_{t+2}$  is 4D, our state represented in 3D. NN will have 4 neurons.



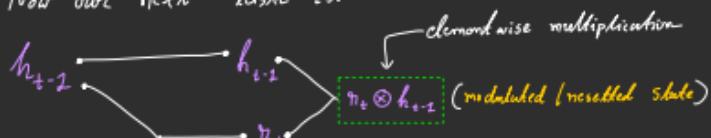
$$n_t = \sigma \left( W_n \left[ h_{t+2}, x_t \right] + b_n \right)$$

$\underbrace{h_{t+2}}_{4 \times 2} \quad \underbrace{x_t}_{1 \times 1} \quad \underbrace{W_n \left[ h_{t+2}, x_t \right]}_{4 \times 2} \quad \underbrace{b_n}_{1 \times 1}$

Till now, we have done...

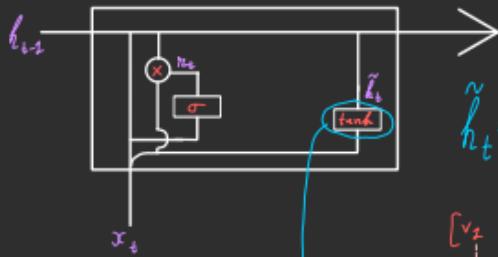


\* Now our next task is...



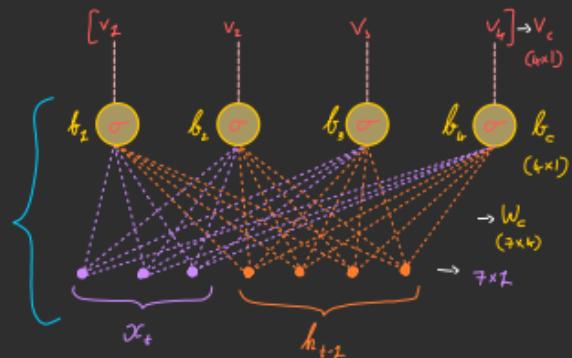
$$h_{t-1} = [0.6, 0.6, 0.7, 0.1] \otimes n_t = [0.8, 0.2, 0.1, 0.9]$$

$$h_{t-1} \otimes n_t = [0.48, 0.22, 0.07, 0.09] \rightarrow \text{Modulated/Resetted state}$$

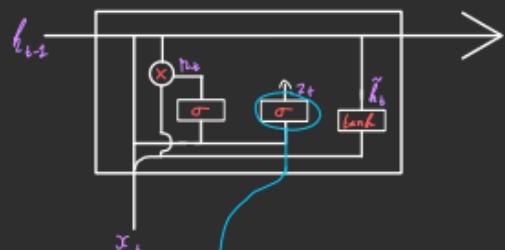


$$\tilde{h}_t = \tanh\left(W_c \cdot [h_{t-1} \otimes n_t, x_t] + b_c\right)$$

$\tilde{h}_t$  is kind of a proposal, which says that that should be the  $h_t$ .

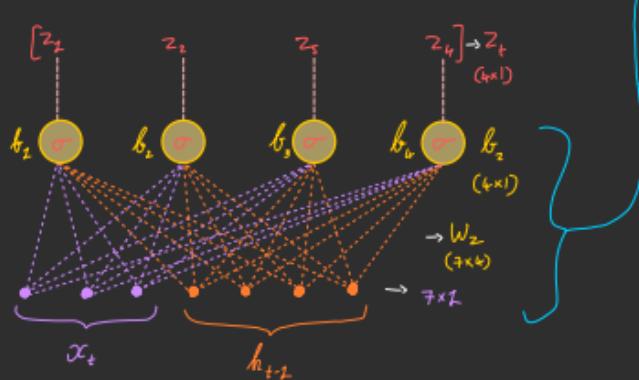


## #2 Update Gate



$$z_t = \sigma\left(W_z \cdot [h_{t-1}, x_t] + b_z\right)$$





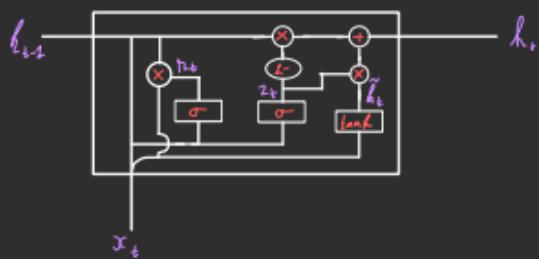
$\rightarrow \tilde{h}_t$  is heavily skewed with current  $x_t$  hence, we can't decide it as  $h_t$ .

$\rightarrow Z_t$  knows the balance between  $h_{t-1}$  and  $\tilde{h}_t$ .

Now we find

$$\begin{aligned} \tilde{h}_t &= [0.7, 0.2, 0.2, 0.2] \\ h_{t-1} &= [0.6, 0.6, 0.7, 0.1] \end{aligned} \quad h_t = (1 - Z_t) \otimes h_{t-1} + Z_t \otimes \tilde{h}_t$$

Assumed  $Z_t = [0.1, 0.7, 0.8, 0.2]$



$Z_t \uparrow$  = more importance of candidate ( $\tilde{h}_t$ ).

$Z_t \downarrow$  = more importance to past memory ( $h_{t-1}$ ).

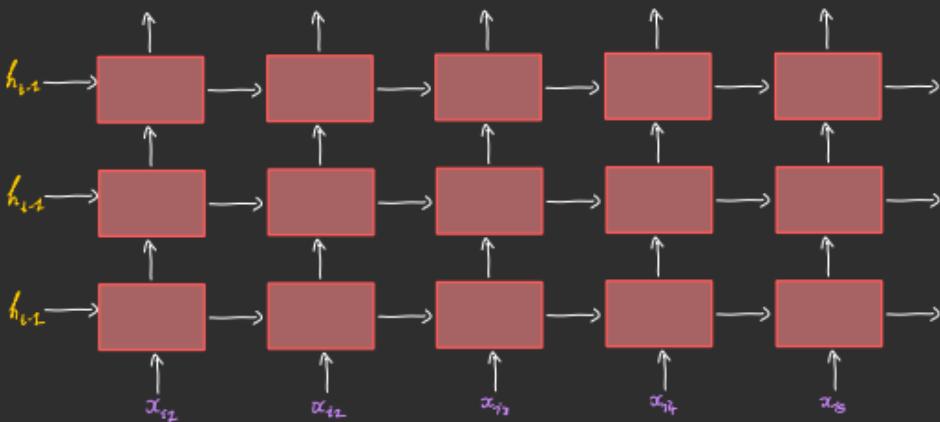
$$(1 - Z_t) \otimes h_{t-1} \Rightarrow [0.7, 0.3, 0.2, 0.8] \otimes [0.6, 0.6, 0.7, 0.1]$$

$$Z_t \otimes \tilde{h}_t = [0.07, 0.14, 0.08, 0.04] \oplus [0.61, 0.82, 0.12, 0.12] = [0.54, 0.14, 0.16, 0.08]$$

# Deep RNNs

## Architecture

- Suppose we have a dataset for sentiment analysis, where each sentence has maximum 5 words.
- Below is the structure of deep/stacked RNN.



Unfolding states in time.....

Let's review the basic RNN architecture on given below dataset.

## Sample data

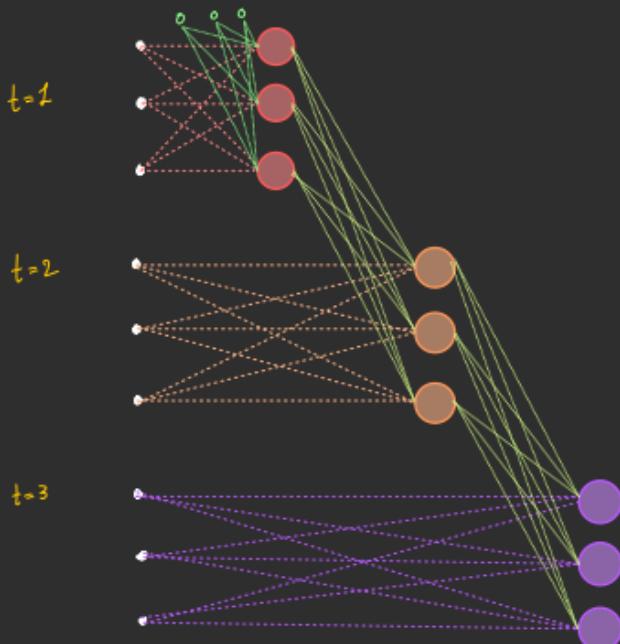
Review	Sentiment
cut mat mat	1
mat mat mat	0
mat cut cut	1

## Vocabulary

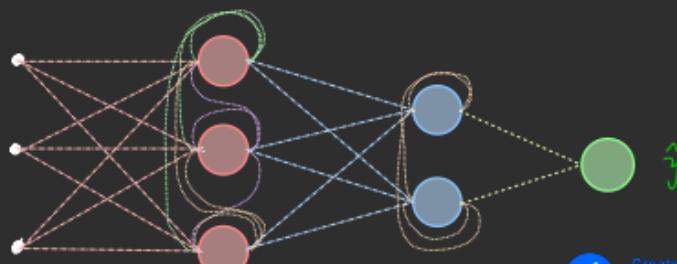
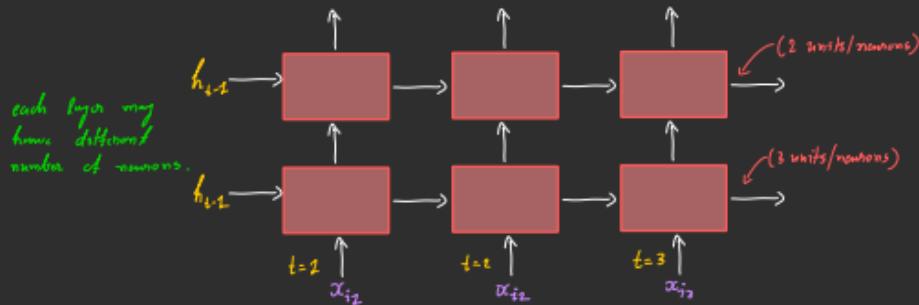
cut	:	[1 0 0]
mat	:	[0 1 0]
rat	:	[0 0 1]



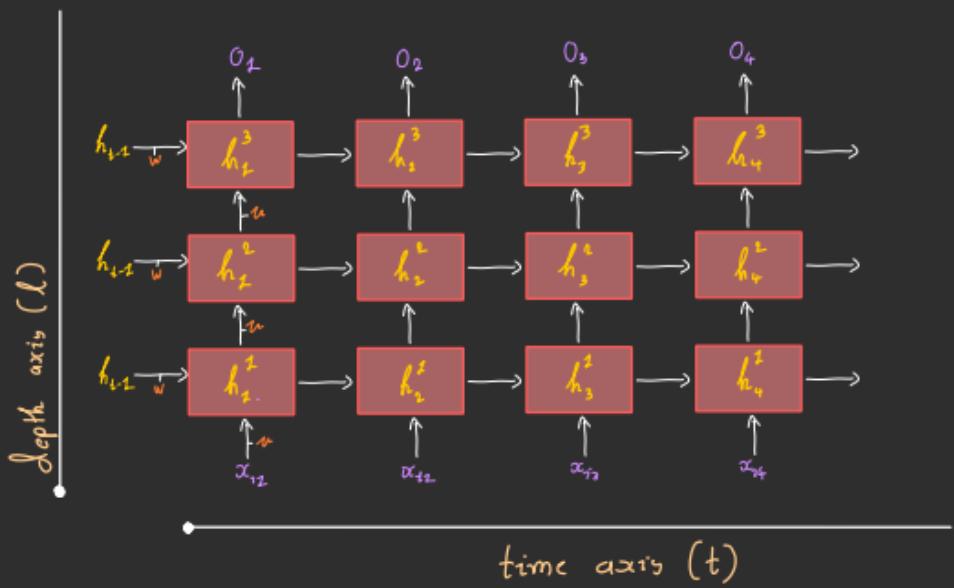
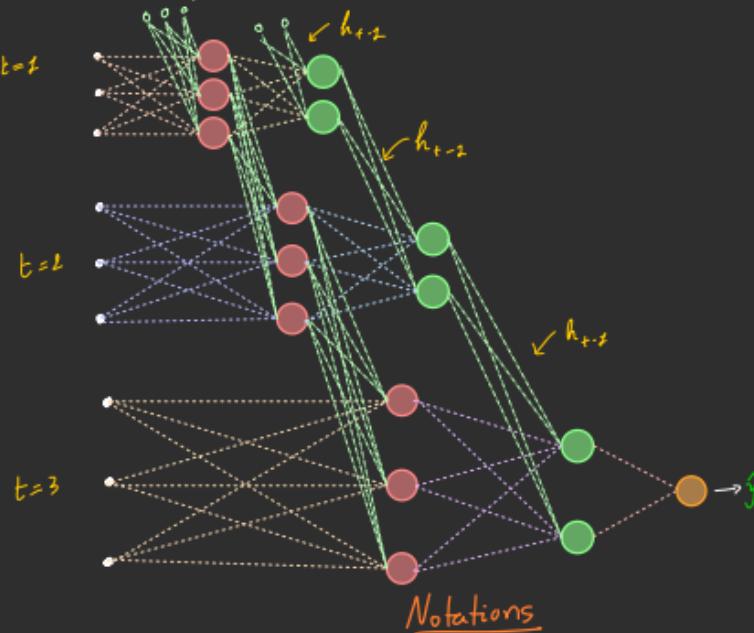
Let's see the architecture for  $t=3$  timestamp with 2 hidden layer.



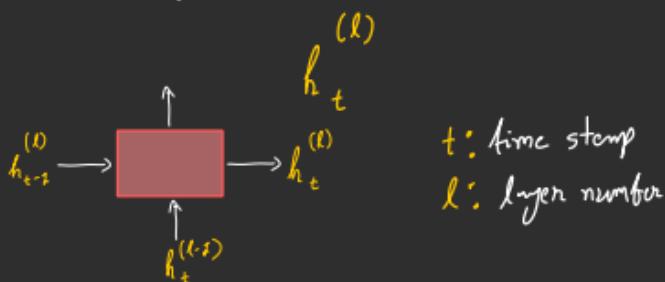
Now let's see for 2 RNN hidden layers.



Now let's unfolding them on timestamp.



# Structure of Single RNN cell.



$$h_t^{(l)} = \tanh\left(W^{(l)} \cdot h_{t-1}^{(l)} + U^{(l)} \cdot h_t^{(l-1)} + b^{(l)}\right)$$

Q. Why & when to use....?

1. Hierarchical Representation

2. Customization for Advanced Tasks

- The core idea behind deep RNNs is to catch the complex patterns of data.
- Initial layer of this RNN will catch the primitive features. it will try to extract sentiment word by word.
- At middle level sentiment analysis will be on sentence level.
- Top layers will analyze overall sentence.

Situations.....

- Complex tasks.
- Machine Translation.
- Large dataset.
- Sufficient computational facilities.
- Not satisfied with simple models.

→ Stacking is also applicable on Deep LSTM and Deep GRU.



## Bidirectional RNNs

- What if we face such scenarios in which future input affects past output
- Suppose we're performing an NLP task NER (Named Entity Recognition)
  - ↳ Iterating the sentence word-by-word & extracting entities.
- Example
  - My name is punith. ↗ person
  - I live in surat. ↗ place
- Example text (for NER)
  - I love amazon, it's a great website.
  - I love amazon, it's a longest river.
- At uni-directional process in NER, it may declare Amazon as an Organization or a location, because it has not read the next sentence yet which causes ambiguity.
- In this situation, if p of next sentence affects output of current one.  
So, currently we're at "I love amazon" hence we don't have idea about "It's a great website" or "It is a beautiful river".
- In this situation, we can use bi-directional RNNs, which are capable to process input from both sides.
- It is just one scenario, there are many scenarios in which bi-directional RNN can be used like Machine Translation.

## Bi-directional RNN Architecture

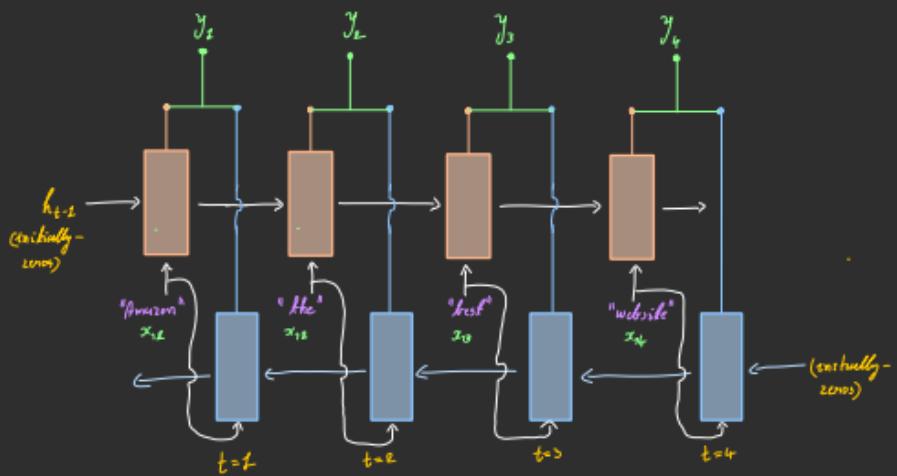
1. Amazon, the best website.
2. Amazon, the beautiful river.

→ Bi-directional RNN uses 2 different RNNs forward RNN ( $\overrightarrow{\text{RNN}}$ ) and backward RNN ( $\overleftarrow{\text{RNN}}$ ).

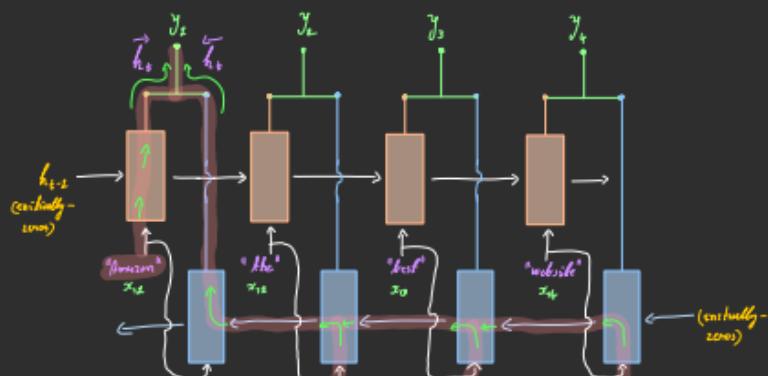
forward RNN ( $\overrightarrow{\text{RNN}}$ ): Reads sentence left to right.  
backward RNN ( $\overleftarrow{\text{RNN}}$ ): Reads sentence right to left. } at each time-step we will concatenate the o/p of both RNNs.

Let's design RNN structure for above sample text of 4 words.





→ Now suppose we want to know whether "Amazon" word is any Organization or any Place, if we focus on forward RNN, there is no any provision incoming word but if we focus on backward RNN, "Amazon" word combined with 3 outputs of previous words "flame", "best" and "website".



→ Due to backward RNN iteration, we know the word "website" hence we can decide that "Amazon" is a "Website" not a "River" on place.

$$\vec{h}_t = \tanh(\vec{W} \cdot \vec{h}_{t-1} + \vec{u} \cdot \vec{x}_t + \vec{b})$$

$$\overleftarrow{h}_t = \tanh(\overleftarrow{W} \cdot \overleftarrow{h}_{t+1} + \overleftarrow{u} \cdot \overleftarrow{x}_t + \overleftarrow{b})$$

$$y_t = \sigma(W \cdot [\vec{h}_t, \overleftarrow{h}_t] + b)$$



# Applications of Bi-directional RNN / GRU / LSTM



## Drawbacks

### Complexity:

↳ overfitting issue may occur.

Requires online data. ↴

Not applicable on real-time speech recognition-like systems, where data is coming on real time.

