20   Binomial Heaps

This chapter and Chapter 21 present data structures known as *mergeable heaps*, which support the following five operations.

MAKE-HEAP() creates and returns a new heap containing no elements.

INSERT(H,x) inserts node x, whose *key* field has already been filled in, into heap H.

MINIMUM(H) returns a pointer to the node in heap H whose key is minimum.

EXTRACT-MIN(H) deletes the node from heap H whose key is minimum, returning a pointer to the node.

UNION(H_1, H_2) creates and returns a new heap that contains all the nodes of heaps H_1 and H_2. Heaps H_1 and H_2 are "destroyed" by this operation.

In addition, the data structures in these chapters also support the following two operations.

DECREASE-KEY(H,x,k) assigns to node x within heap H the new key value k, which is assumed to be no greater than its current key value.

DELETE(H,x) deletes node x from heap H.

As the table in Figure 20.1 shows, if we don't need the UNION operation, ordinary binary heaps, as used in heapsort (Chapter 7), work well. Operations other than UNION run in worst-case time $O(\lg n)$ (or better) on a binary heap. If the UNION operation must be supported, however, binary heaps perform poorly. By concatenating the two arrays that hold the binary heaps to be merged and then running HEAPIFY, the UNION operation takes $\Theta(n)$ time in the worst case.

In this chapter, we shall examine "binomial heaps," whose worst-case time bounds are also shown in Figure 20.1. In particular, the UNION operation takes only $O(\lg n)$ time to merge two binomial heaps with a total of n elements.

In Chapter 21, we shall explore Fibonacci heaps, which have even better time bounds for some operations. Note, however, that the running times for Fibonacci heaps in Figure 20.1 are amortized time bounds, not worst-case per operation time bounds.

Procedure	Binary heap (worst-case)	Binomial heap (worst-case)	Fibonacci heap (amortized)
MAKE-HEAP	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
INSERT	$\Theta(\lg n)$	$O(\lg n)$	$\Theta(1)$
MINIMUM	$\Theta(1)$	$O(\lg n)$	$\Theta(1)$
EXTRACT-MIN	$\Theta(\lg n)$	$\Theta(\lg n)$	$O(\lg n)$
UNION	$\Theta(n)$	$O(\lg n)$	$\Theta(1)$
DECREASE-KEY	$\Theta(\lg n)$	$\Theta(\lg n)$	$\Theta(1)$
DELETE	$\Theta(\lg n)$	$\Theta(\lg n)$	$O(\lg n)$

Figure 20.1 Running times for operations on three implementations of mergeable heaps. The number of items in the heap(s) at the time of an operation is denoted by n.

This chapter ignores issues of allocating nodes prior to insertion and freeing nodes following deletion. We assume that the code that calls the heap procedures handles these details.

Binary heaps, binomial heaps, and Fibonacci heaps are all inefficient in their support of the operation SEARCH; it can take a while to find a node with a given key. For this reason, operations such as DECREASE-KEY and DELETE that refer to a given node require a pointer to that node as part of their input. This requirement poses no problem in many applications.

Section 20.1 defines binomial heaps after first defining their constituent binomial trees. It also introduces a particular representation of binomial heaps. Section 20.2 shows how we can implement operations on binomial heaps in the time bounds given in Figure 20.1.

20.1 Binomial trees and binomial heaps

A binomial heap is a collection of binomial trees, so this section starts by defining binomial trees and proving some key properties. We then define binomial heaps and show how they can be represented.

20.1.1 Binomial trees

The *binomial tree* B_k is an ordered tree (see Section 5.5.2) defined recursively. As shown in Figure 20.2(a), the binomial tree B_0 consists of a single node. The binomial tree B_k consists of two binomial trees B_{k-1} that are *linked* together: the root of one is the leftmost child of the root of the other. Figure 20.2(b) shows the binomial trees B_0 through B_4.

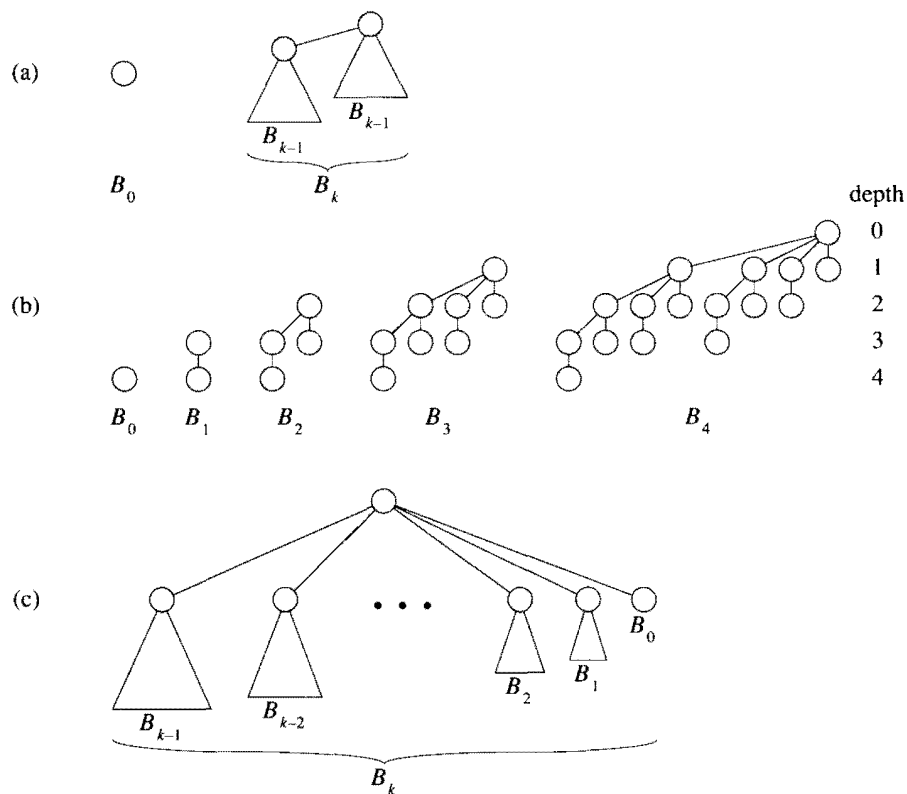Some properties of binomial trees are given by the following lemma.

Figure 20.2 (a) The recursive definition of the binomial tree B_k. Triangles represent rooted subtrees. (b) The binomial trees B_0 through B_4. Node depths in B_4 are shown. (c) Another way of looking at the binomial tree B_k.

Lemma 20.1 (Properties of binomial trees)

For the binomial tree B_k,

1. there are 2^k nodes,

2. the height of the tree is k,

3. there are exactly $\binom{k}{i}$ nodes at depth i for $i = 0, 1, \ldots, k$, and

4. the root has degree k, which is greater than that of any other node; moreover if the children of the root are numbered from left to right by $k - 1, k - 2, \ldots, 0$, child i is the root of a subtree B_i.

Proof The proof is by induction on k. For each property, the basis is the binomial tree B_0. Verifying that each property holds for B_0 is trivial. For the inductive step, we assume that the lemma holds for B_{k-1}.

1. Binomial tree B_k consists of two copies of B_{k-1}, so B_k has $2^{k-1} + 2^{k-1} = 2^k$ nodes.

2. Because of the way in which the two copies of B_{k-1} are linked to form B_k, the maximum depth of a node in B_k is one greater than the maxi-

mum depth in B_{k-1}. By the inductive hypothesis, this maximum depth is $(k-1) + 1 = k$.

3. Let $D(k, i)$ be the number of nodes at depth i of binomial tree B_k. Since B_k is composed of two copies of B_{k-1} linked together, a node at depth i in B_{k-1} appears in B_k once at depth i and once at depth $i + 1$. In other words, the number of nodes at depth i in B_k is the number of nodes at depth i in B_{k-1} plus the number of nodes at depth $i - 1$ in B_{k-1}. Thus,

$$
\begin{aligned}
D(k, i) &= D(k-1, i) + D(k-1, i-1) \\
&= \binom{k-1}{i} + \binom{k-1}{i-1} \\
&= \binom{k}{i}.
\end{aligned}
$$

The second equality follows from the inductive hypothesis, and the third equality follows from Exercise 6.1-7.

4. The only node with greater degree in B_k than in B_{k-1} is the root, which has one more child than in B_{k-1}. Since the root of B_{k-1} has degree $k-1$, the root of B_k has degree k. Now by the inductive hypothesis, and as Figure 20.2(c) shows, from left to right, the children of the root of B_{k-1} are roots of $B_{k-2}, B_{k-3}, \ldots, B_0$. When B_{k-1} is linked to B_{k-1}, therefore, the children of the resulting root are roots of $B_{k-1}, B_{k-2}, \ldots, B_0$. ∎

Corollary 20.2
The maximum degree of any node in an n-node binomial tree is $\lg n$.

Proof Immediate from properties 1 and 4 of Lemma 20.1. ∎

The term "binomial tree" comes from property 3 of Lemma 20.1, since the terms $\binom{k}{i}$ are the binomial coefficients. Exercise 20.1-3 gives further justification for the term.

20.1.2 Binomial heaps

A ***binomial heap*** H is a set of binomial trees that satisfies the following ***binomial-heap properties***.

1. Each binomial tree in H is ***heap-ordered***: the key of a node is greater than or equal to the key of its parent.

2. There is at most one binomial tree in H whose root has a given degree.

The first property tells us that the root of a heap-ordered tree contains the smallest key in the tree.

The second property implies that an n-node binomial heap H consists of at most $\lfloor \lg n \rfloor + 1$ binomial trees. To see why, observe that the binary representation of n has $\lfloor \lg n \rfloor + 1$ bits, say $\langle b_{\lfloor \lg n \rfloor}, b_{\lfloor \lg n \rfloor - 1}, \ldots, b_0 \rangle$, so that $n = \sum_{i=0}^{\lfloor \lg n \rfloor} b_i 2^i$. By property 1 of Lemma 20.1, therefore, binomial tree B_i
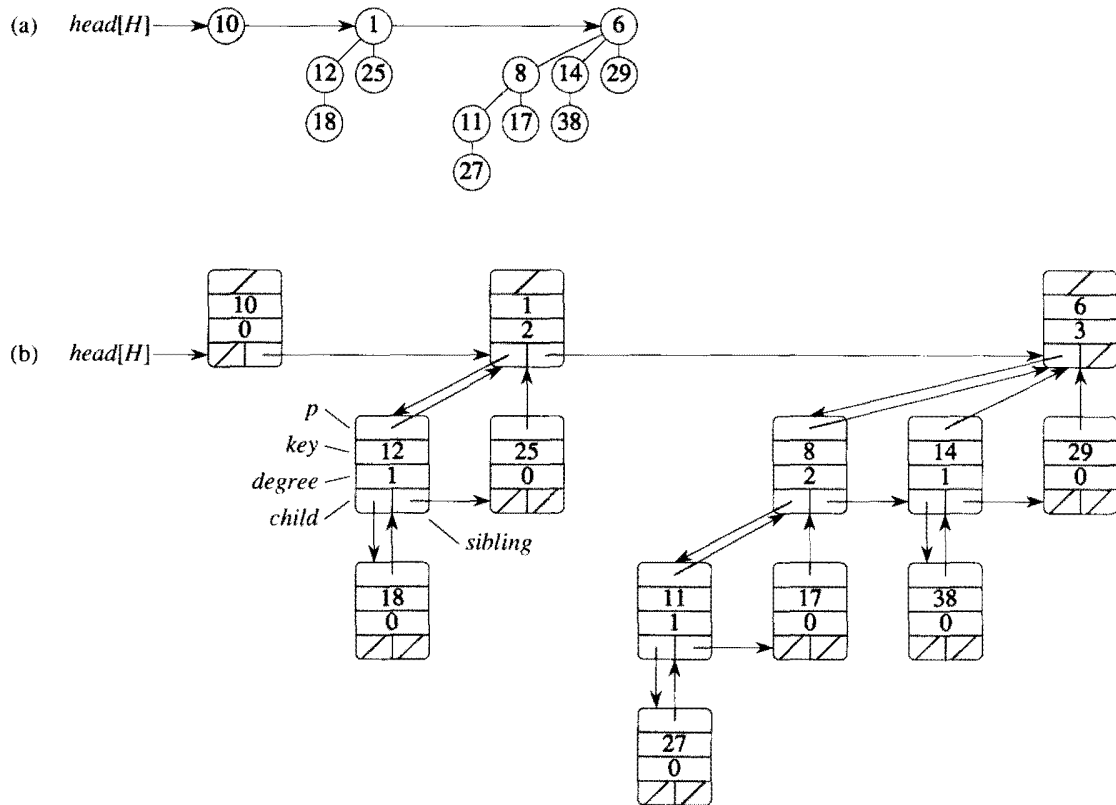
Figure 20.3 A binomial heap H with $n = 13$ nodes. (a) The heap consists of binomial trees B_0, B_2, and B_3, which have 1, 4, and 8 nodes respectively, totaling $n = 13$ nodes. Since each binomial tree is heap-ordered, the key of any node is no less than the key of its parent. Also shown is the root list, which is a linked list of roots in order of increasing degree. (b) A more detailed representation of binomial heap H. Each binomial tree is stored in the left-child, right-sibling representation, and each node stores its degree.

appears in H if and only if bit $b_i = 1$. Thus, binomial heap H contains at most $\lfloor \lg n \rfloor + 1$ binomial trees.

Figure 20.3(a) shows a binomial heap H with 13 nodes. The binary representation of 13 is $\langle 1101 \rangle$, and H consists of heap-ordered binomial trees B_3, B_2, and B_0, having 8, 4, and 1 nodes respectively, for a total of 13 nodes.

Representing binomial heaps

As shown in Figure 20.3(b), each binomial tree within a binomial heap is stored in the left-child, right-sibling representation of Section 11.4. Each node has a *key* field and any other satellite information required by the application. In addition, each node x contains pointers $p[x]$ to its parent,
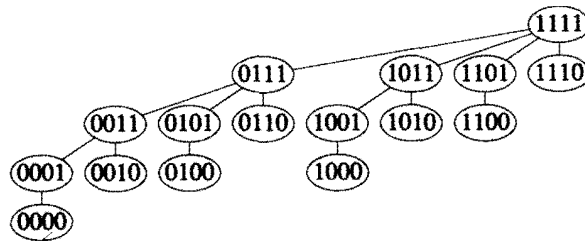
Figure 20.4 The binomial tree B_4 with nodes labeled in binary by a postorder walk.

child[x] to its leftmost child, and *sibling*[x] to the sibling of x immediately to its right. If node x is a root, then $p[x] =$ NIL. If node x has no children, then *child*[x] = NIL, and if x is the rightmost child of its parent, then *sibling*[x] = NIL. Each node x also contains the field *degree*[x], which is the number of children of x.

As Figure 20.3 also shows, the roots of the binomial trees within a binomial heap are organized in a linked list, which we refer to as the **root list**. The degrees of the roots strictly increase as we traverse the root list. By the second binomial-heap property, in an n-node binomial heap the degrees of the roots are a subset of $\{0, 1, \ldots, \lfloor \lg n \rfloor \}$. The *sibling* field has a different meaning for roots than for nonroots. If x is a root, then *sibling*[x] points to the next root in the root list. (As usual, *sibling*[x] = NIL if x is the last root in the root list.)

A given binomial heap H is accessed by the field *head*[H], which is simply a pointer to the first root in the root list of H. If binomial heap H has no elements, then *head*[H] = NIL.

Exercises

20.1-1

Suppose that x is a node in a binomial tree within a binomial heap, and assume that *sibling*[x] $\neq$ NIL. If x is not a root, how does *degree*[*sibling*[x]] compare to *degree*[x]? How about if x is a root?

20.1-2

If x is a nonroot node in a binomial tree within a binomial heap, how does *degree*[$p[x]$] compare to *degree*[x]?

20.1-3

Suppose we label the nodes of binomial tree B_k in binary by a postorder walk, as in Figure 20.4. Consider a node x labeled l at depth i, and let $j = k - i$. Show that x has j 1's in its binary representation. How many binary k-strings are there that contain exactly j 1's? Show that the degree

of x is equal to the number of 1's to the right of the rightmost 0 in the binary representation of l.

20.2 Operations on binomial heaps

In this section, we show how to perform operations on binomial heaps in the time bounds shown in Figure 20.1. We shall only show the upper bounds; the lower bounds are left as Exercise 20.2-10.

Creating a new binomial heap

To make an empty binomial heap, the MAKE-BINOMIAL-HEAP procedure simply allocates and returns an object H, where $head[H]$ = NIL. The running time is $\Theta(1)$.

Finding the minimum key

The procedure BINOMIAL-HEAP-MINIMUM returns a pointer to the node with the minimum key in an n-node binomial heap H. This implementation assumes that there are no keys with value ∞. (See Exercise 20.2-5.)

BINOMIAL-HEAP-MINIMUM(H)

```
1  y ← NIL
2  x ← head[H]
3  min ← ∞
4  while x ≠ NIL
5      do if key[x] < min
6          then min ← key[x]
7              y ← x
8      x ← sibling[x]
9  return y
```

Since a binomial heap is heap-ordered, the minimum key must reside in a root node. The BINOMIAL-HEAP-MINIMUM procedure checks all roots, which number at most $\lfloor \lg n \rfloor + 1$, saving the current minimum in *min* and a pointer to the current minimum in y. When called on the binomial heap of Figure 20.3, BINOMIAL-HEAP-MINIMUM returns a pointer to the node with key 1.

Because there are at most $\lfloor \lg n \rfloor + 1$ roots to check, the running time of BINOMIAL-HEAP-MINIMUM is $O(\lg n)$.

Uniting two binomial heaps

The operation of uniting two binomial heaps is used as a subroutine by most of the remaining operations. The BINOMIAL-HEAP-UNION procedure repeatedly links binomial trees whose roots have the same degree. The following procedure links the B_{k-1} tree rooted at node y to the B_{k-1} tree rooted at node z; that is, it makes z the parent of y. Node z thus becomes the root of a B_k tree.

BINOMIAL-LINK(y, z)

1 $p[y] \leftarrow z$
2 $sibling[y] \leftarrow child[z]$
3 $child[z] \leftarrow y$
4 $degree[z] \leftarrow degree[z] + 1$

The BINOMIAL-LINK procedure makes node y the new head of the linked list of node z's children in $O(1)$ time. It works because the left-child, right-sibling representation of each binomial tree matches the ordering property of the tree: in a B_k tree, the leftmost child of the root is the root of a B_{k-1} tree.

The following procedure unites binomial heaps H_1 and H_2, returning the resulting heap. It destroys the representations of H_1 and H_2 in the process. Besides BINOMIAL-LINK, the procedure uses an auxiliary procedure BINOMIAL-HEAP-MERGE that merges the root lists of H_1 and H_2 into a single linked list that is sorted by degree into monotonically increasing order. The BINOMIAL-HEAP-MERGE procedure, whose pseudocode we leave as Exercise 20.2-2, is similar to the MERGE procedure in Section 1.3.1.

BINOMIAL-HEAP-UNION(H_1, H_2)

```
 1  H ← MAKE-BINOMIAL-HEAP()
 2  head[H] ← BINOMIAL-HEAP-MERGE(H₁, H₂)
 3  free the objects H₁ and H₂ but not the lists they point to
 4  if head[H] = NIL
 5     then return H
 6  prev-x ← NIL
 7  x ← head[H]
 8  next-x ← sibling[x]
 9  while next-x ≠ NIL
10      do if (degree[x] ≠ degree[next-x]) or
                (sibling[next-x] ≠ NIL
                 and degree[sibling[next-x]] = degree[x])
11         then prev-x ← x                          ▷ Cases 1 and 2
12              x ← next-x                           ▷ Cases 1 and 2
13         else if key[x] ≤ key[next-x]
14              then sibling[x] ← sibling[next-x]    ▷ Case 3
15                   BINOMIAL-LINK(next-x, x)        ▷ Case 3
16              else if prev-x = NIL                 ▷ Case 4
17                   then head[H] ← next-x           ▷ Case 4
18                   else sibling[prev-x] ← next-x   ▷ Case 4
19                        BINOMIAL-LINK(x, next-x)   ▷ Case 4
20                        x ← next-x                 ▷ Case 4
21         next-x ← sibling[x]
22  return H
```

Figure 20.5 shows an example of BINOMIAL-HEAP-UNION in which all four cases given in the pseudocode occur.

The BINOMIAL-HEAP-UNION procedure has two phases. The first phase, performed by the call of BINOMIAL-HEAP-MERGE, merges the root lists of binomial heaps H_1 and H_2 into a single linked list H that is sorted by degree into monotonically increasing order. There might be as many as two roots (but no more) of each degree, however, so the second phase links roots of equal degree until at most one root remains of each degree. Because the linked list H is sorted by degree, we can perform all the link operations quickly.

In detail, the procedure works as follows. Lines 1–3 start by merging the root lists of binomial heaps H_1 and H_2 into a single root list H. The root lists of H_1 and H_2 are sorted by strictly increasing degree, and BINOMIAL-HEAP-MERGE returns a root list H that is sorted by monotonically increasing degree. If the root lists of H_1 and H_2 have m roots altogether, BINOMIAL-HEAP-MERGE runs in $O(m)$ time by repeatedly examining the roots at the heads of the two root lists and appending the root with the lower degree to the output root list, removing it from its input root list in the process.

The BINOMIAL-HEAP-UNION procedure next initializes some pointers into the root list of H. First, it simply returns in lines 4–5 if it happens to be uniting two empty binomial heaps. From line 6 on, therefore, we know that H has at least one root. Throughout the procedure, we maintain three pointers into the root list:

- x points to the root currently being examined,

- *prev-x* points to the root preceding x on the root list: *sibling*[*prev-x*] = x, and

- *next-x* points to the root following x on the root list: *sibling*[x] = *next-x*.

Initially, there are at most two roots on the root list H of a given degree: because H_1 and H_2 were binomial heaps, they each had only one root of a given degree. Moreover, BINOMIAL-HEAP-MERGE guarantees us that if two roots in H have the same degree, they are adjacent in the root list.

In fact, during the execution of BINOMIAL-HEAP-UNION, there may be three roots of a given degree appearing on the root list H at some time. We shall see in a moment how this situation could occur. At each iteration of the **while** loop of lines 9–21, therefore, we decide whether to link x and *next-x* based on their degrees and possibly the degree of *sibling*[*next-x*]. An invariant of the loop is that each time we start the body of the loop, both x and *next-x* are non-NIL.

Case 1, shown in Figure 20.6(a), occurs when *degree*[x] $\neq$ *degree*[*next-x*], that is, when x is the root of a B_k-tree and *next-x* is the root of a B_l-tree for some $l > k$. Lines 11–12 handle this case. We don't link x and *next-x*, so we simply march the pointers one position further down the list. Updating *next-x* to point to the node following the new node x is handled in line 21, which is common to every case.

Case 2, shown in Figure 20.6(b), occurs when x is the first of three roots of equal degree, that is, when

$$degree[x] = degree[next\text{-}x] = degree[sibling[next\text{-}x]] .$$

We handle this case in the same manner as case 1: we just march the pointers one position further down the list. Line 10 tests for both cases 1 and 2, and lines 11–12 handle both cases.

Cases 3 and 4 occur when x is the first of two roots of equal degree, that is, when

$$degree[x] = degree[next\text{-}x] \neq degree[sibling[next\text{-}x]] .$$

These cases may occur on the next iteration after any case, but one of them always occurs immediately following case 2. In cases 3 and 4, we link x and *next-x*. The two cases are distinguished by whether x or *next-x* has the smaller key, which determines the node that will be the root after the two are linked.

In case 3, shown in Figure 20.6(c), *key*[x] $\leq$ *key*[*next-x*], so *next-x* is linked to x. Line 14 removes *next-x* from the root list, and line 15 makes *next-x* the leftmost child of x.
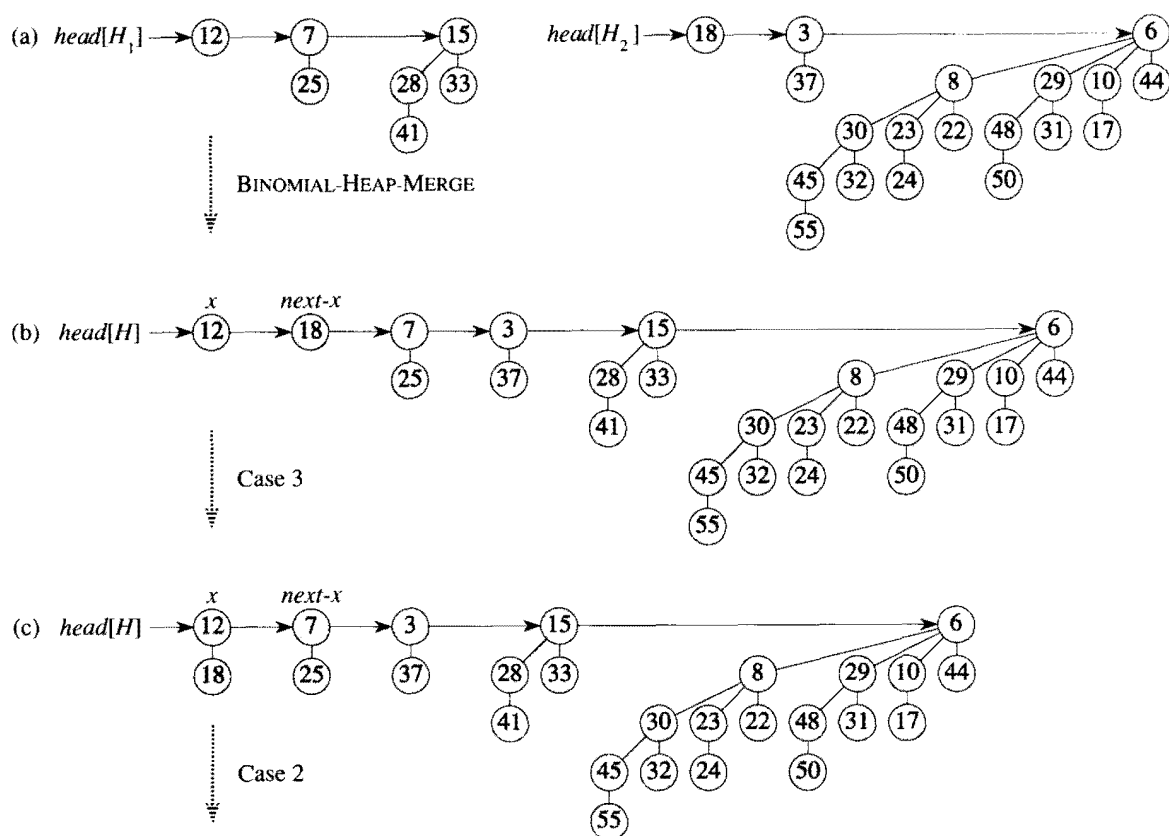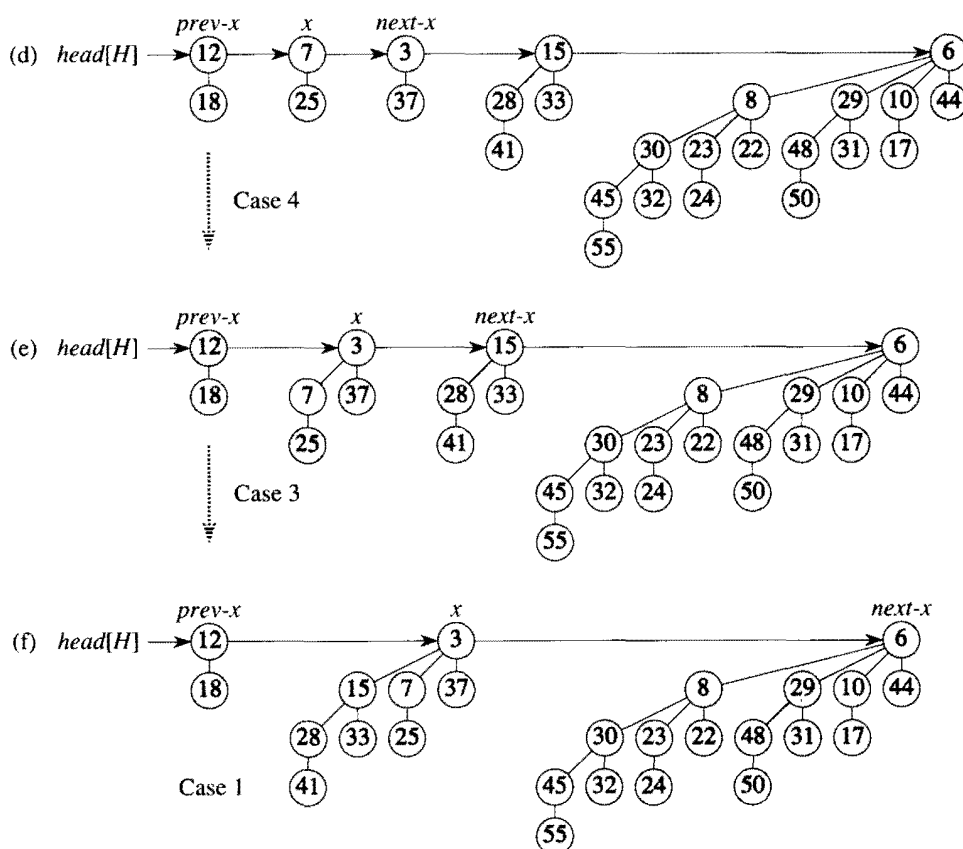
Figure 20.5 The execution of BINOMIAL-HEAP-UNION. (a) Binomial heaps H_1 and H_2. (b) Binomial heap H is the output of BINOMIAL-HEAP-MERGE(H_1, H_2). Initially, x is the first root on the root list of H. Because both x and *next-x* have degree 0 and $key[x] < key[next-x]$, case 3 applies. (c) After the link occurs, x is the first of three roots with the same degree, so case 2 applies. (d) After all the pointers move down one position in the root list, case 4 applies, since x is the first of two roots of equal degree. (e) After the link occurs, case 3 applies. (f) After another link, case 1 applies, because x has degree 3 and *next-x* has degree 4. This iteration of the **while** loop is the last, because after the pointers move down one position in the root list, *next-x* = NIL.

(d) head[H]

prev-x *x* *next-x*

Case 4

(e) head[H]

prev-x *x* *next-x*

Case 3

(f) head[H]

prev-x *x* *next-x*

Case 1

In case 4, shown in Figure 20.6(d), *next-x* has the smaller key, so x is linked to *next-x*. Lines 16–18 remove x from the root list, which has two cases depending on whether x is the first root on the list (line 17) or is not (line 18). Line 19 then makes x the leftmost child of *next-x*, and line 20 updates x for the next iteration.

Following either case 3 or case 4, the setup for the next iteration of the **while** loop is the same. We have just linked two B_k-trees to form a B_{k+1}-tree, which x now points to. There were already zero, one, or two other B_{k+1}-trees on the root list from the output of BINOMIAL-HEAP-MERGE, so x is now the first of either one, two, or three B_{k+1}-trees on the root list. If x is the only one, then we enter case 1 in the next iteration: $degree[x] \neq degree[next\text{-}x]$. If x is the first of two, then we enter either case 3 or case 4 in the next iteration. It is when x is the first of three that we enter case 2 in the next iteration.

The running time of BINOMIAL-HEAP-UNION is $O(\lg n)$, where n is the total number of nodes in binomial heaps H_1 and H_2. We can see this as follows. Let H_1 contain n_1 nodes and H_2 contain n_2 nodes, so that $n = n_1 + n_2$. Then, H_1 contains at most $\lfloor \lg n_1 \rfloor + 1$ roots and H_2 contains at most $\lfloor \lg n_2 \rfloor + 1$ roots, so H contains at most $\lfloor \lg n_1 \rfloor + \lfloor \lg n_2 \rfloor + 2 \leq 2\lfloor \lg n \rfloor + 2 = O(\lg n)$ roots immediately after the call of BINOMIAL-HEAP-
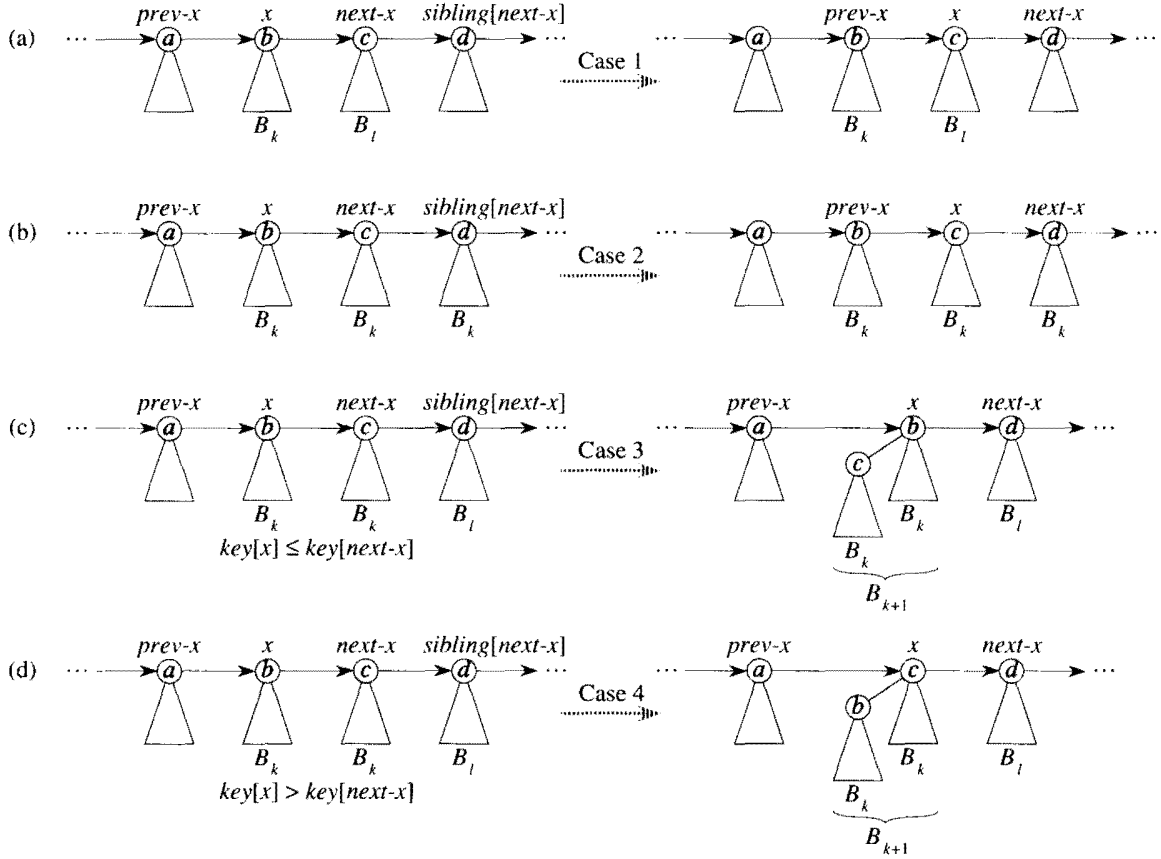
Figure 20.6 The four cases that occur in BINOMIAL-HEAP-UNION. Labels a, b, c, and d serve only to identify the roots involved; they do not indicate the degrees or keys of these roots. In each case, x is the root of a B_k-tree and $l > k$. **(a)** Case 1: $degree[x] \neq degree[next-x]$. The pointers move one position further down the root list. **(b)** Case 2: $degree[x] = degree[next-x] = degree[sibling[next-x]]$. Again, the pointers move one position further down the list, and the next iteration executes either case 3 or case 4. **(c)** Case 3: $degree[x] = degree[next-x] \neq degree[sibling[next-x]]$ and $key[x] \leq key[next-x]$. We remove $next-x$ from the root list and link it to x, creating a B_{k+1}-tree. **(d)** Case 4: $degree[x] = degree[next-x] \neq degree[sibling[next-x]]$ and $key[next-x] \leq key[x]$. We remove x from the root list and link it to $next-x$, again creating a B_{k+1}-tree.

MERGE. The time to perform BINOMIAL-HEAP-MERGE is thus $O(\lg n)$. Each iteration of the **while** loop takes $O(1)$ time, and there are at most $\lfloor \lg n_1 \rfloor + \lfloor \lg n_2 \rfloor + 2$ iterations because each iteration either advances the pointers one position down the root list of H or removes a root from the root list. The total time is thus $O(\lg n)$.

Inserting a node

The following procedure inserts node x into binomial heap H, assuming of course that node x has already been allocated and $key[x]$ has already been filled in.

BINOMIAL-HEAP-INSERT(H, x)

1 $H' \leftarrow$ MAKE-BINOMIAL-HEAP$()$
2 $p[x] \leftarrow$ NIL
3 $child[x] \leftarrow$ NIL
4 $sibling[x] \leftarrow$ NIL
5 $degree[x] \leftarrow 0$
6 $head[H'] \leftarrow x$
7 $H \leftarrow$ BINOMIAL-HEAP-UNION(H, H')

The procedure simply makes a one-node binomial heap H' in $O(1)$ time and unites it with the n-node binomial heap H in $O(\lg n)$ time. The call to BINOMIAL-HEAP-UNION takes care of freeing the temporary binomial heap H'. (A direct implementation that does not call BINOMIAL-HEAP-UNION is given as Exercise 20.2-8.)

Extracting the node with minimum key

The following procedure extracts the node with the minimum key from binomial heap H and returns a pointer to the extracted node.

BINOMIAL-HEAP-EXTRACT-MIN(H)

1 find the root x with the minimum key in the root list of H,
      and remove x from the root list of H
2 $H' \leftarrow$ MAKE-BINOMIAL-HEAP$()$
3 reverse the order of the linked list of x's children,
      and set $head[H']$ to point to the head of the resulting list
4 $H \leftarrow$ BINOMIAL-HEAP-UNION(H, H')
5 **return** x

This procedure works as shown in Figure 20.7. The input binomial heap H is shown in Figure 20.7(a). Figure 20.7(b) shows the situation after line 1: the root x with the minimum key has been removed from the root list of H. If x is the root of a B_k-tree, then by property 4 of Lemma 20.1, x's children, from left to right, are roots of B_{k-1}-, B_{k-2}-, ..., B_0-trees. Fig-
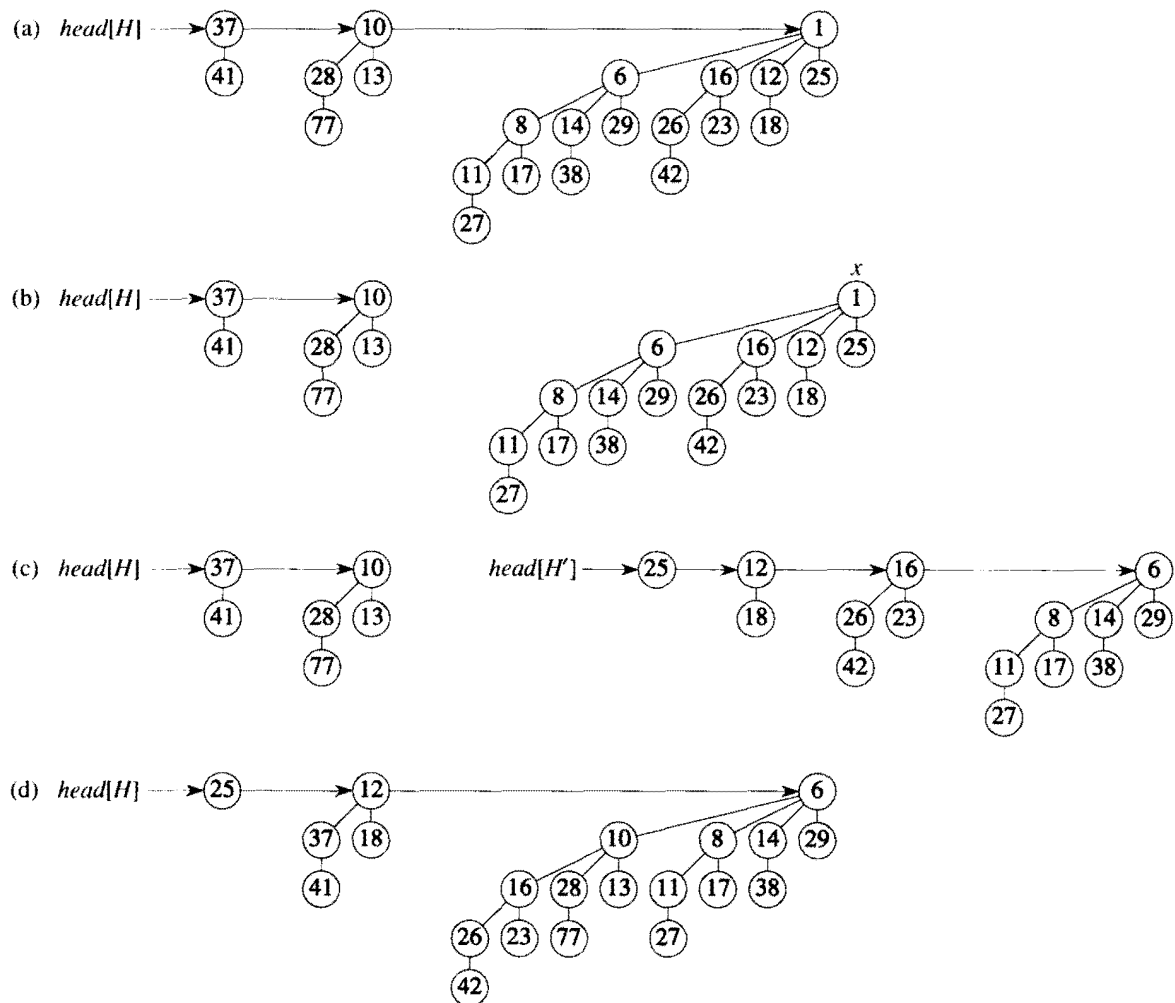
Figure 20.7 The action of BINOMIAL-HEAP-EXTRACT-MIN.   **(a)** A binomial heap H. **(b)** The root x with minimum key is removed from the root list of H. **(c)** The linked list of x's children is reversed, giving another binomial heap H'. **(d)** The result of uniting H and H'.

ure 20.7(c) shows that by reversing the list of x's children in line 3, we have a binomial heap H' that contains every node in x's tree except for x itself. Because x's tree is removed from H in line 1, the binomial heap that results from uniting H and H' in line 4, shown in Figure 20.7(d), contains all the nodes originally in H except for x. Finally, line 5 returns x.

Since each of lines 1–4 takes $O(\lg n)$ time if H has n nodes, BINOMIAL-HEAP-EXTRACT-MIN runs in $O(\lg n)$ time.

Decreasing a key

The following procedure decreases the key of a node x in a binomial heap H to a new value k. It signals an error if k is greater than x's current key.

BINOMIAL-HEAP-DECREASE-KEY(H, x, k)

```
1   if k > key[x]
2       then error "new key is greater than current key"
3   key[x] ← k
4   y ← x
5   z ← p[y]
6   while z ≠ NIL and key[y] < key[z]
7       do exchange key[y] ↔ key[z]
8           ▷ If y and z have satellite fields, exchange them, too.
9           y ← z
10          z ← p[y]
```

As shown in Figure 20.8, this procedure decreases a key in the same manner as in a binary heap: by "bubbling up" the key in the heap. After ensuring that the new key is in fact no greater than the current key and then assigning the new key to x, the procedure goes up the tree, with y initially pointing to node x. In each iteration of the while loop of lines 6–10, $key[y]$ is checked against the key of y's parent z. If y is the root or $key[y] \geq key[z]$, the binomial tree is now heap-ordered. Otherwise, node y violates heap ordering, so its key is exchanged with the key of its parent z, along with any other satellite information. The procedure then sets y to z, going up one level in the tree, and continues with the next iteration.

The BINOMIAL-HEAP-DECREASE-KEY procedure takes $O(\lg n)$ time. By property 2 of Lemma 20.1, the maximum depth of x is $\lfloor \lg n \rfloor$, so the while loop of lines 6–10 iterates at most $\lfloor \lg n \rfloor$ times.

Deleting a key

It is easy to delete a node x's key and satellite information from binomial heap H in $O(\lg n)$ time. The following implementation assumes that no node currently in the binomial heap has a key of $-\infty$.
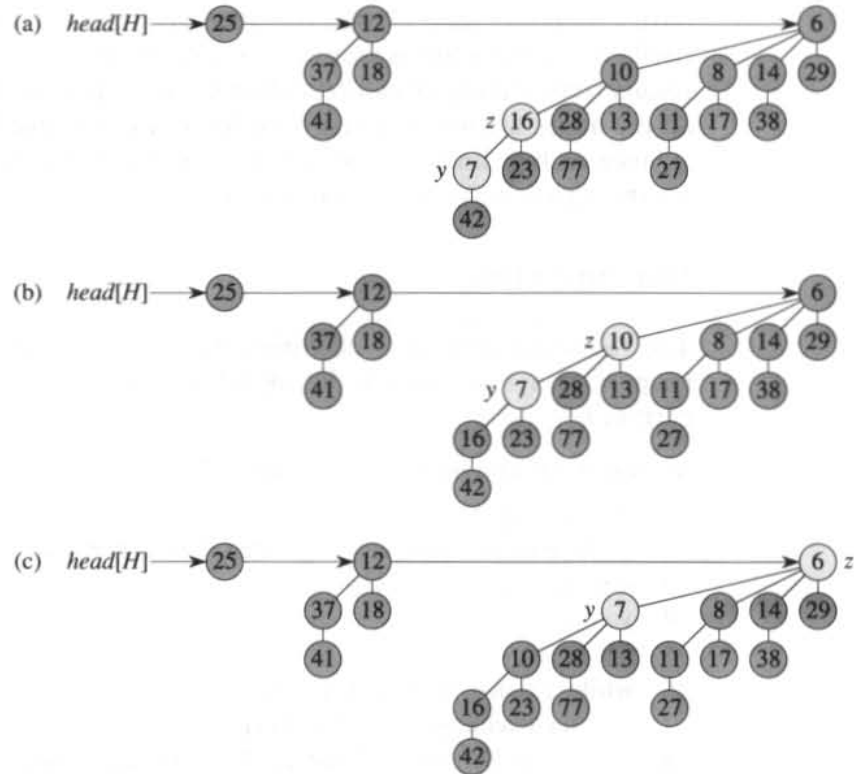
Figure 20.8 The action of BINOMIAL-HEAP-DECREASE-KEY. **(a)** The situation just before line 5 of the first iteration of the **while** loop. Node y has had its key decreased to 7, which is less than the key of y's parent z. **(b)** The keys of the two nodes are exchanged, and the situation just before line 5 of the second iteration is shown. Pointers y and z have moved up one level in the tree, but heap order is still violated. **(c)** After another exchange and moving pointers y and z up one more level, we finally find that heap order is satisfied, so the **while** loop terminates.

BINOMIAL-HEAP-DELETE(H, x)

1 BINOMIAL-HEAP-DECREASE-KEY($H, x, -\infty$)
2 BINOMIAL-HEAP-EXTRACT-MIN(H)

The BINOMIAL-HEAP-DELETE procedure makes node x have the unique minimum key in the entire binomial heap by giving it a key of $-\infty$. (Exercise 20.2-6 deals with the situation in which $-\infty$ cannot appear as a key, even temporarily.) It then bubbles this key and the associated satellite information up to a root by calling BINOMIAL-HEAP-DECREASE-KEY. This root is then removed from H by a call of BINOMIAL-HEAP-EXTRACT-MIN.

The BINOMIAL-HEAP-DELETE procedure takes $O(\lg n)$ time.