

* Amortized Analysis

$\Sigma \text{actual cost} \leq \Sigma \text{amortised cost}$

PUSH $\rightarrow 1$ unit

POP $\rightarrow 1$ unit

MULTIPOP(k) $\rightarrow k$ units

* To do n operations

Naive $O(n^2)$: Multipop(n) used n times

① Aggregate Analysis:

No. of pushes : $O(n)$

No. of pops : $O(n)$ (includes multipop)

\therefore Aggregate cost : $O(n) (= O(1))$

② Accounting Method:

Amortized Cost = Actual Cost + Credit

$\therefore \# \therefore \sum_{\text{sets}} \text{credit(s)} > 0$

Credit

+1

Operation Push

-1

Pop

- k

Multipop(k)

$\sum \text{credit} \geq 0$

(# no. of ele. in stack)

(If only k' obj in stack $\text{Multipop}(k') = k' + (-k') = 0$)

③ Potential Method:

ϕ : State of Data Structure (DS) $\rightarrow \mathbb{R}$

Potential of the Data Structure:

$$\phi(D) = k \cdot (\text{no. of elements in stack})$$

$$\text{Amortized Cost} = \text{actual cost} + \phi(D_{\text{after}}) - \phi(D_{\text{before}})$$

$$\therefore \# \therefore \phi(D_n) \geq \phi(D_0)$$

Potential at any point is atleast the initial potential

$$\rightarrow \phi(D_0) = 0 \quad (\# \text{empty stack})$$

Push Operation

$$\text{amortized cost} = 1 + (s+1) - s = 2$$

Pop operation

$$\text{amortized cost} = 1 + (s-1) - s = 0$$

Multipop (k)

$$k + (s-k) - s = 0$$

If only k' elements in stack

$$k' + (s-k') - s = 0$$

\therefore Amortized cost is $O(1)$

* Increment a number

k bits

0 0 0 0 0 0 0
 0 0 0 0 0 0 1
 0 0 0 0 0 1 0

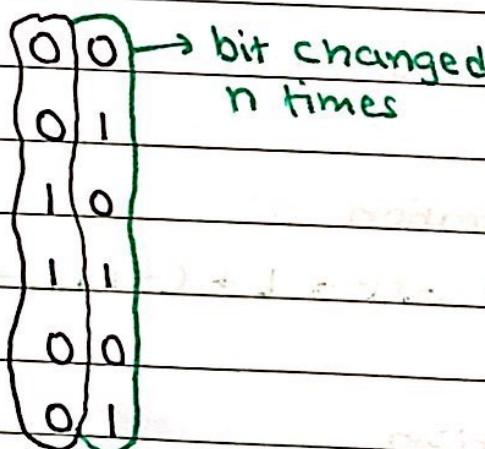
* Increment

++

Naive: $O(nk)$ → each bit is changed n times

①

Aggregate:



$$n + \frac{n}{2} + \frac{n}{2^2} + \dots + \frac{n}{2^{k-1}} < 2n$$

$$\therefore \text{cost is } \frac{2n - 2}{n}$$

② Accounting :

Set, reset = actual cost : 1

Credit : no. of 1's Reset : -1
Set : +1

01101110^{~t_{i-1}}11111111

0110111100

Amortized cost = Actual cost + credit

$$\text{Actual cost} = (t_{i-1} + 1) + (-t_{i-1} + 1)$$

$\uparrow \quad \uparrow$
reset set

1111...1

Actual cost = k, Credit = k

0000...0

Credit = -k

③ Potential :

$\phi(D)$: no. of 1's

$$\forall n' \phi(D_{n'}) \geq \phi(D_0) = 0$$

(# 0,1 in binary rep)

Amortized cost = Actual cost + $\phi(D_i) - \phi(D_{i-1})$

01101110^{~t_{i-1}}11111111 b_{i-1} : No. of 1's

011011110000

$$\therefore b_i = b_{i-1} - t_{i-1} + 1$$

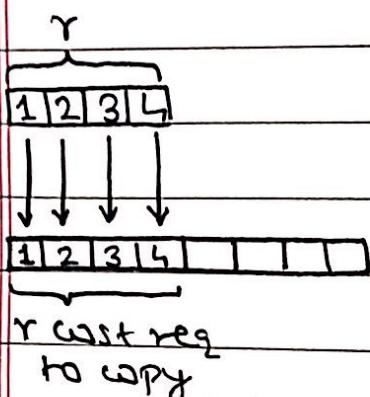
$$\therefore AC = (t_{i-1} + 1) + (b_{i-1} - t_{i-1} + 1) - b_{i-1} = 2$$

$\uparrow \quad \uparrow$
reset set

* IF All are ones Actual cost = k, $\phi(D_i) - \phi(D_{i-1}) = -k$

$$\therefore AC = 0$$

* Dynamic Array:



* Cost to insert: 1

* Double the size of array
if it is full

* Memory allocation zero init

Naive: $O(n/2 \cdot n)$

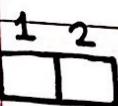
↑ ↑
size of insert n elem
array before
insertion

* Insert not expands: 1

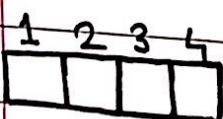
* Insert that expands: $r+1$



$\square E \rightarrow \text{Expand}$



$\square\square E$



$\square\square\square E$

① Aggregate:

$$n + \sum_{j=0}^{\log n - 1} 2^j \approx 2n - 1$$

\therefore cost is $\frac{2n-1}{n} = 2$ \therefore insert is $O(1)$

② Accounting Method:

Insertion : 2 credits

Copy : -r credits

r []

* Actual cost

2
↓

2r []

* Credit

r copied

= -r + 2

$$\therefore AC = (r+1) + (-r+2) = 3$$

\sum Credit ≥ 0

③ Potential Method:

$$\Phi(D) = 2n(D) - s(D)$$

no. of slots
occupied

size of DS

$$\Phi(D_0) = 0$$

$$\therefore \Phi(D') = 2n(D') - s(D) \geq 0$$

IF $2n - s < 0$ then $n \leq s/2$ (Then why did you expand the DS)

→ Actual cost = r + 1

$$\Phi(D_{\text{After}}) - \Phi(D_{\text{before}}) = [2(r+1) - 2r] - [2r - r]$$

$$= 2 - r$$

$$\therefore AC = r+1 + 2-r = 3$$

* No expansion:

$$\text{Actual cost} = 1, \Phi(D_{\text{After}}) - \Phi(D_{\text{before}}) = [2(n+1) - s] - [2n - s] = 2$$

$$\therefore AC = 1 + 2 = 3$$

* Red-Black Trees:

→ Self Balancing BST

→ Extended BST:

- Every leaf node has a dummy empty node.

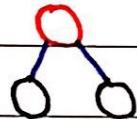
→ Property:

1) Red or Black

2) Root Black

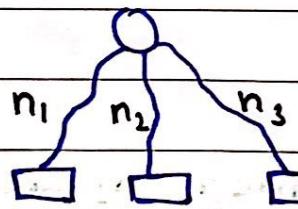
3) Every leaf Black

4)



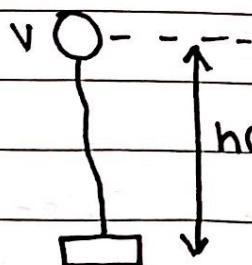
5) From a node to a leaf node equal no. of black nodes.

equal no. of black nodes.



$$n_1 = n_2 = n_3$$

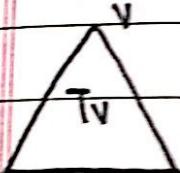
→ Black height of the tree / node: no. of nodes (black) in the path after that node to the leaf.



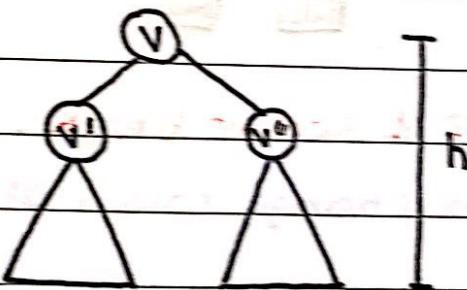
$$\text{black height} \geq h(v)/2$$

$$\therefore bh(v) \geq h(v)/2$$

* No of internal nodes in $T_v \geq 2^{bh(v)} - 1$



Basis: height of node is 0, only one node i.e. black



$$\rightarrow v' = B \text{ and } v'' = R$$

$$\begin{aligned} \therefore \text{no. of internal nodes} &\geq (2^{bh(v')} - 1) + (2^{bh(v'')} - 1) + 1 \\ &\geq 2 \cdot 2^{bh(v')} - 1 \\ &\geq 2 \cdot 2^{bh(v') + 1/2} - 1 \\ &\geq 2^{bh(v)} - 1 \end{aligned}$$

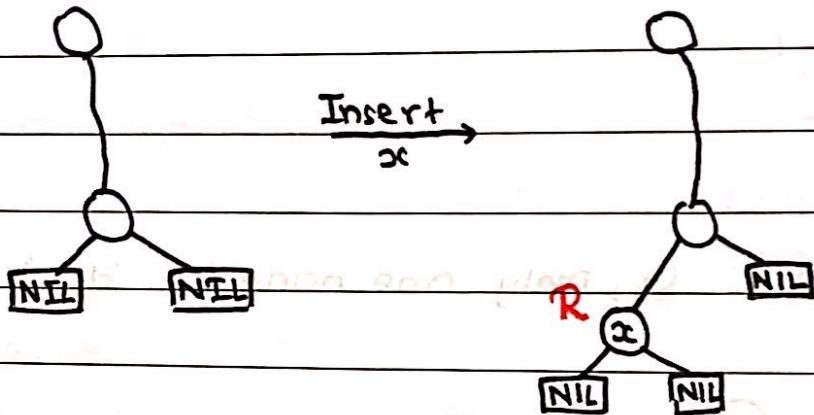
$$\rightarrow v' = B \text{ and } v'' = R$$

$$\begin{aligned} \therefore \text{no. of internal nodes} &\geq (2^{bh(v')} - 1) + (2^{bh(v'')} - 1) + 1 \\ (\# 5 + \text{Def of bh}) \quad &\geq (2^{bh(v')} - 1) + (2^{bh(v') + 1/2} - 1) + 1 \\ &\geq 2 \cdot 2^{bh(v')} - 1 \\ &\geq 2^{bh(v)} - 1 \end{aligned}$$

$$bh(\text{root}) \geq hCT/2$$

$$\begin{aligned} \therefore \text{no. of internal nodes in } T &\geq 2^{bh(\text{root})} - 1 \geq 2^{\frac{hCT}{2}} - 1 \\ \therefore 2\log(n+1) \geq hCT \quad &\therefore hCT = O(\log n) \end{aligned}$$

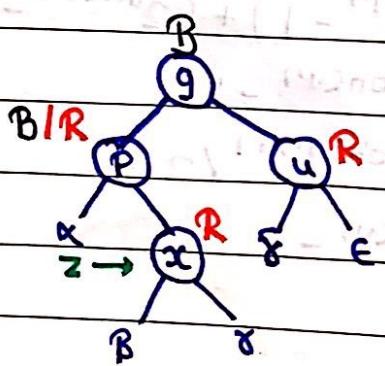
* Insertion:



→ Insert like in BST & colour be R.

→ If x is not then change colour of x to black.

① Case 1: Uncle is Red



g: grandparent

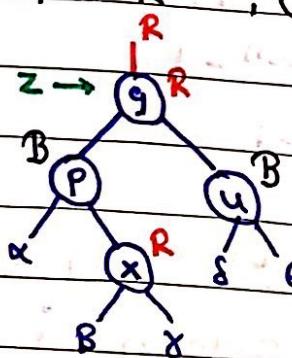
p: parent

u: uncle

x: inserted

IF p is B, u → B & g → R

p is R, u → B & g → R and p → B

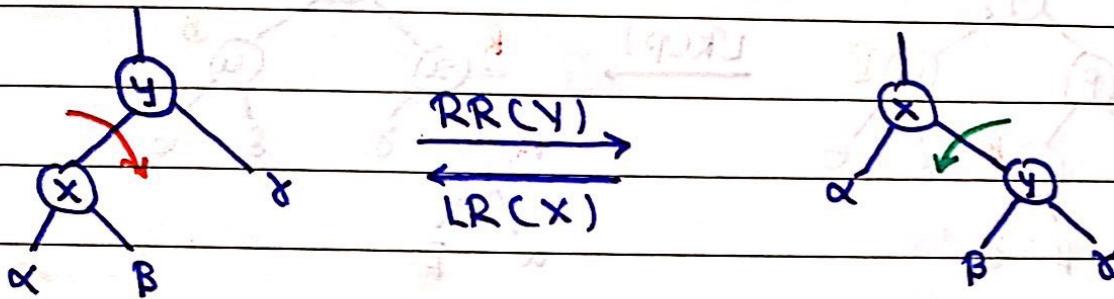


if parent of g is red our z has shifted up by two levels.

else we have successfully rebalanced.

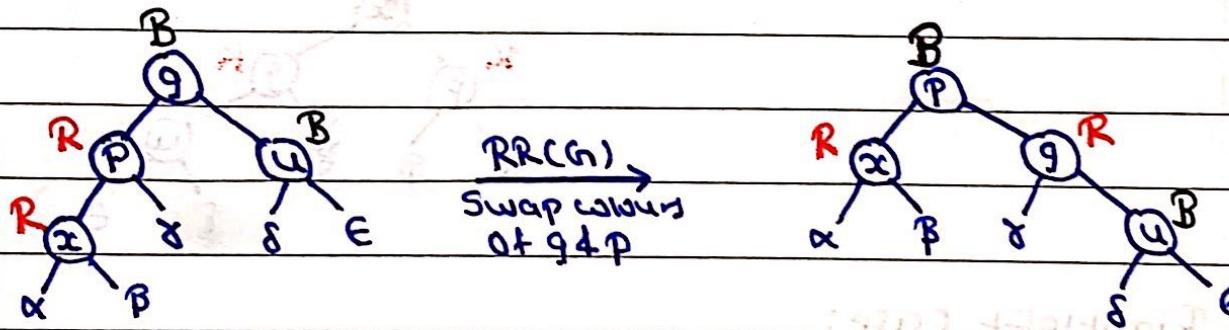
② Case 2: Uncle is Black

→ Rotation: O(1): Adjust pointers

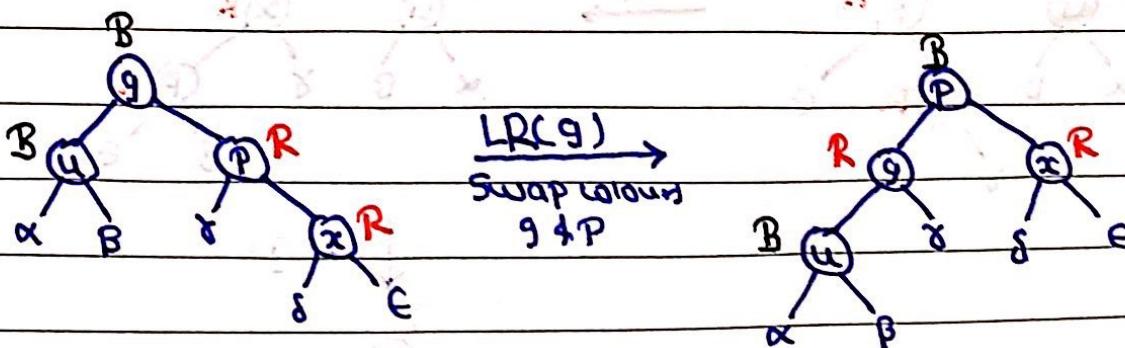


* Problem when $P \rightarrow R \Rightarrow g \rightarrow B$ (# initially R-B tree)

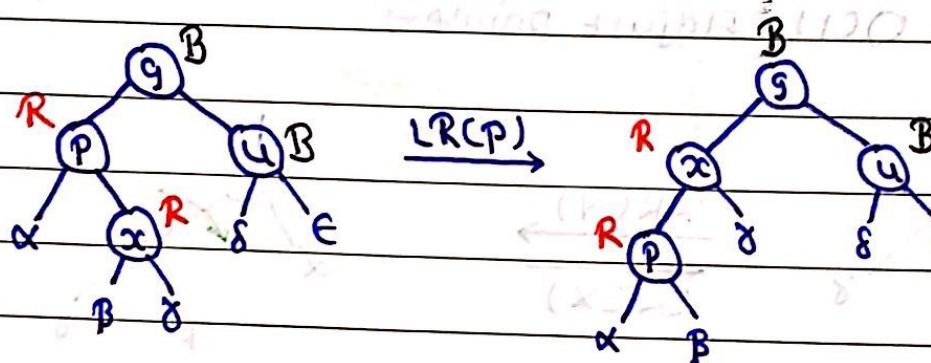
a) Left Left Case:



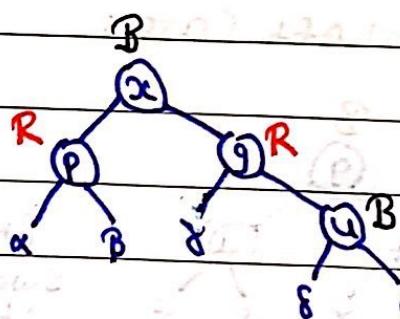
b) Right Right Case:



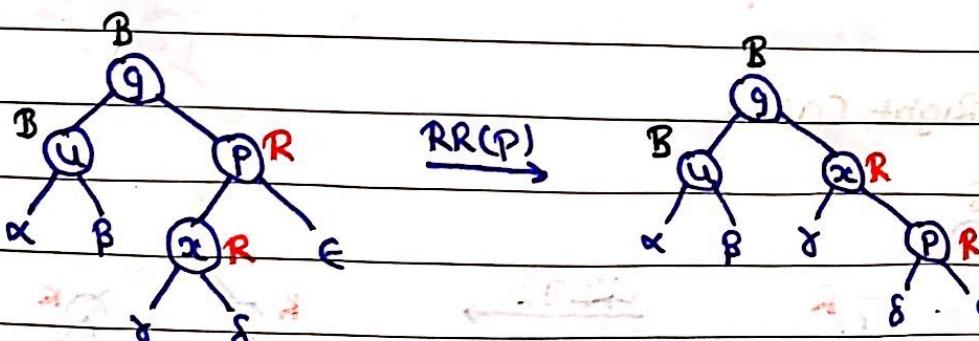
c) Left Right Case:



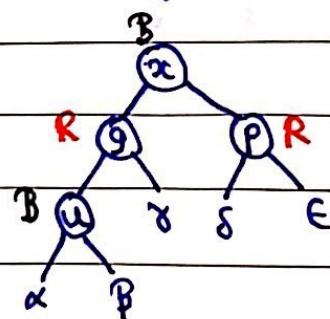
$\downarrow RRC_9$ (TKP to 1)



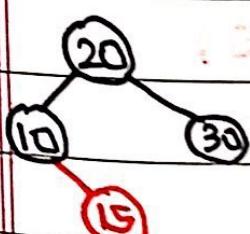
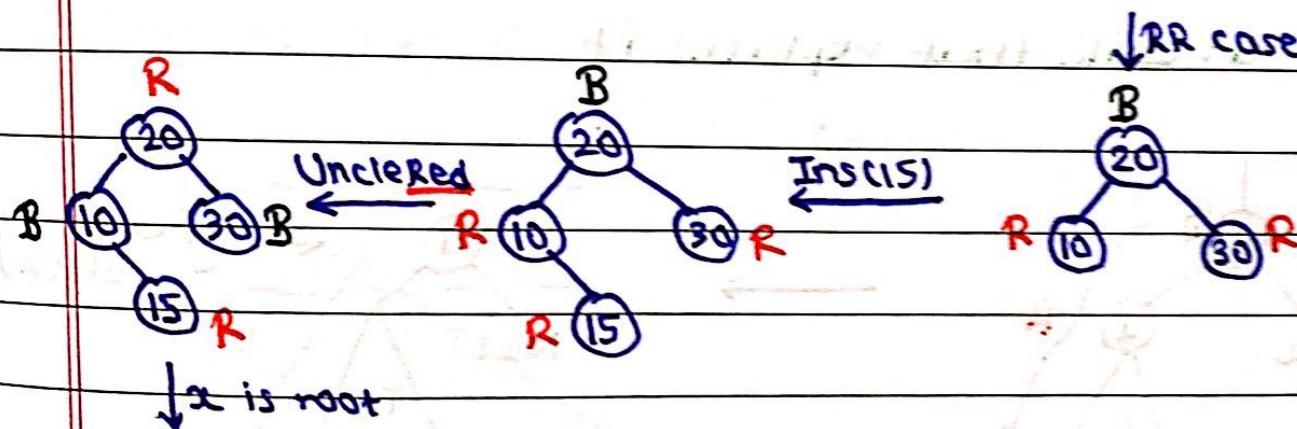
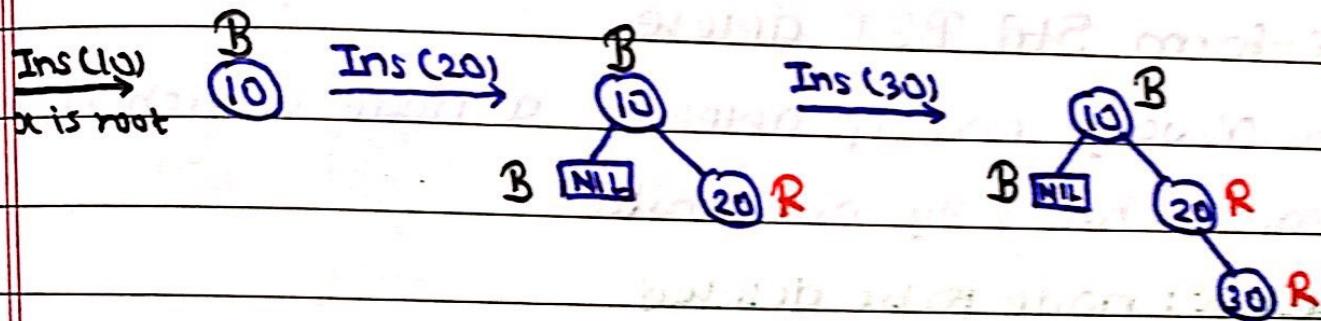
d) RightLeft case:



$\downarrow LRC_9$



* Insert 10, 20, 30, 15 in empty tree



* Delete:

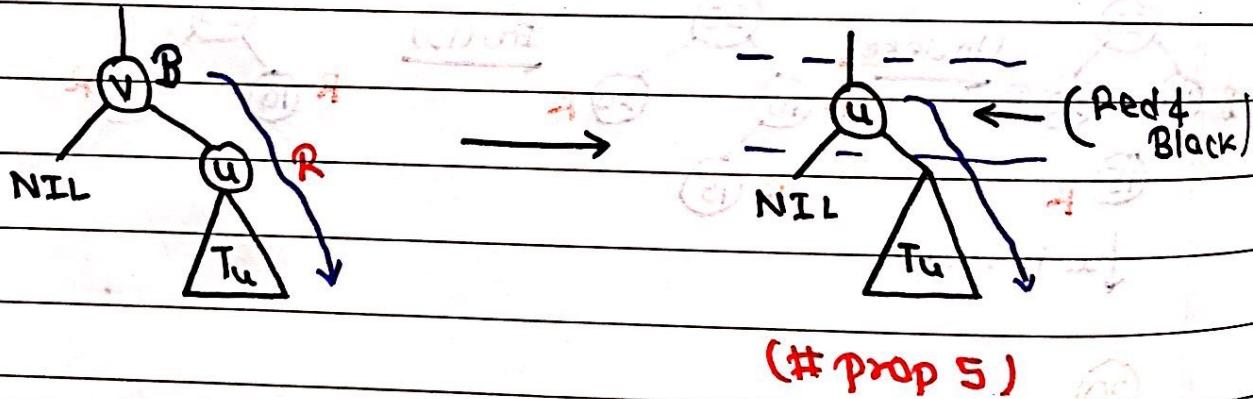
→ Perform Std BST delete

we always end up deleting a node which is a leaf or has only one child

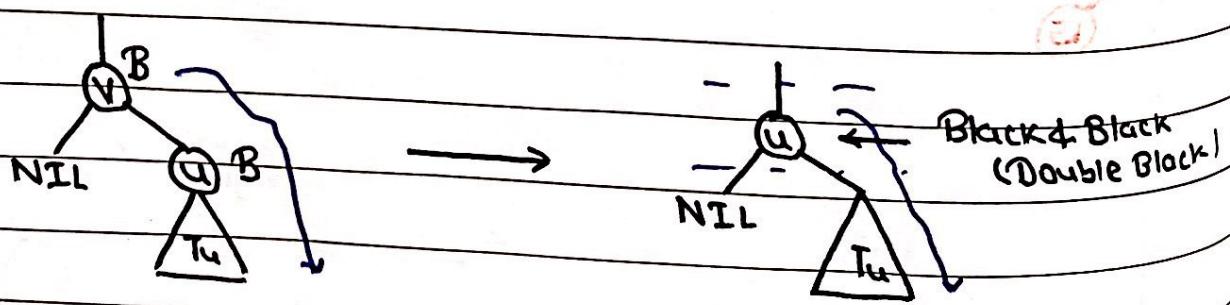
Let v : node to be deleted

u : child that replaces it

① v : Black



→ Change colour of u to Black



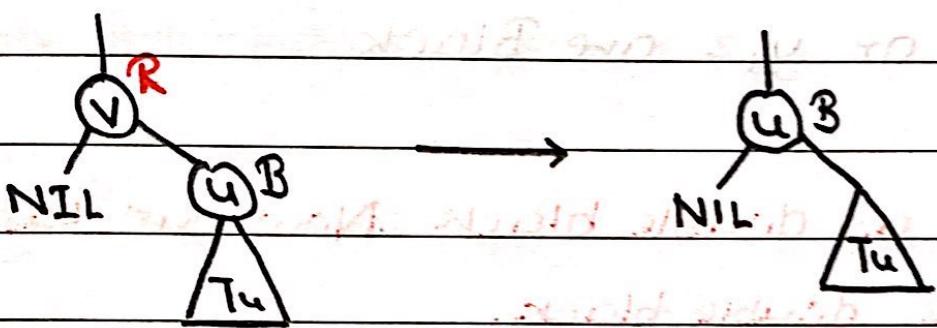
Between blue lines

initially no. of blacks = 2

that should be preserved after deletion.

→ Above case discussed later.

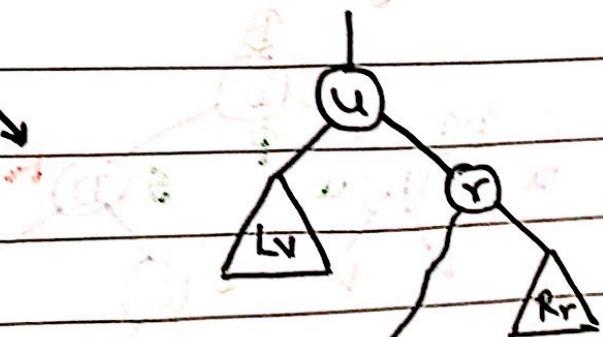
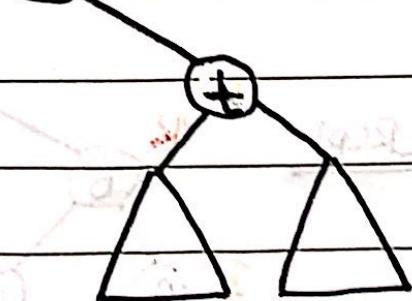
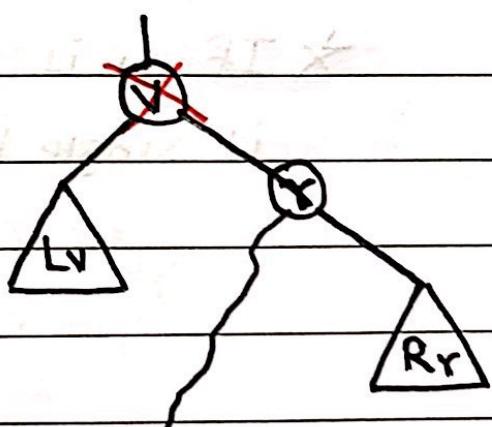
② V: Red



(No need to do anything)

* Sir has replaced

$t, u, v, \rightarrow x, y, z$



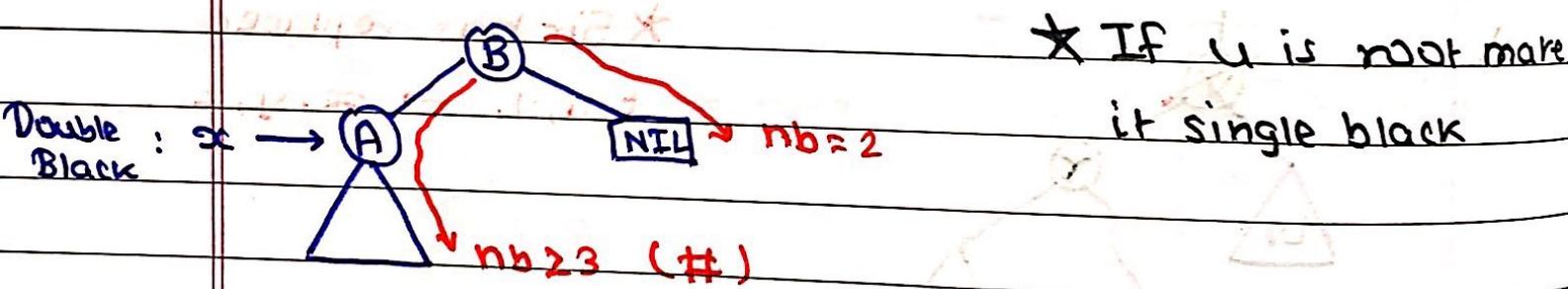
V	u	
R	R	No change
R	B	No change
B	R	Change u to Black
B	B	Double Black

★ Black & Black (Double Black Case)

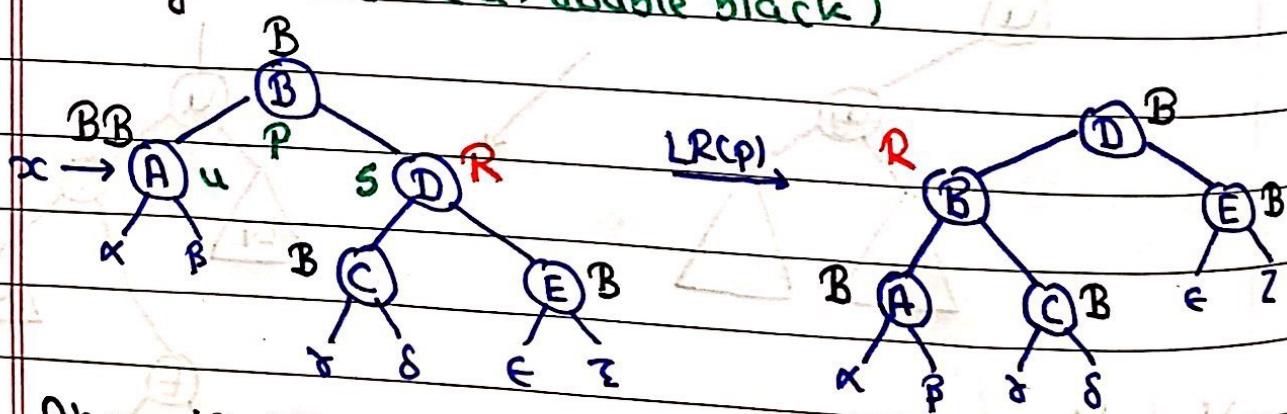
Both u, v or y, z are Black.

→ Colour u as double black. Now our task is to reduce this double black.

→ Siblings can't be NIL



① Sibling is Red (u double black)

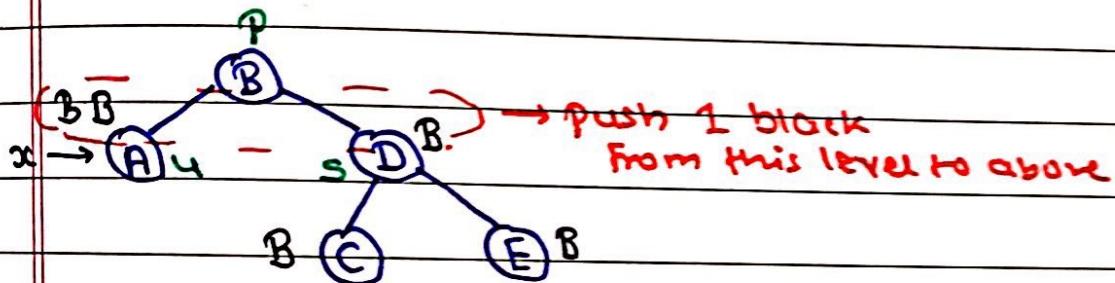


Above is Left Left case , LR(P)

For Right Right case , RR(P)

② Sibling is black

① Both the children are black



IF p is red it becomes Red & Black colour it to Black

else p is black & black & recur.

IF p was black

