# Common Binary Search Trees

# Big O:

- space O(n)
- time
  - search worst O(n), average  $O(\log(n))$
  - insert worst O(n), average  $O(\log(n))$
  - delete worst O(n), average  $O(\log(n))$

#### **BST Node:**

- Data
- Left Child
- Right Child

## Comparison:

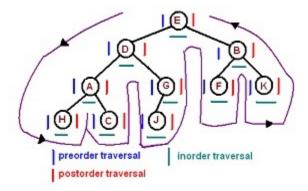
- advantages
  - related sorting algorithms
  - search algorithms
  - inorder traversal
- $\bullet$  disadvantages
  - shape depends on insertions
  - keys has to be compared when inserting or searching
  - height grows (todo: square root) n, which grows much faster than log n
- uses
  - sets, multisets, associative arrays, priority queue

# **Operations:**

• Look-Up

- compare to current node: go left if less, go right if greater
- Insert
  - Same as look-up but replace node where it should be
- Delete
  - no children: just delete
  - 1 child: remove node and replace it with the child
  - 2 children: find in-order successor or predecessor R to the current node N, switch it with N then call delete on the respective child with N)

#### Traversals:



- In-Order
  - Traverse left node, current node, then right
  - everything in order
- Pre-Order
  - Traverse current node, left node, then right
  - while duplicating nodes and edges can make duplicate binary tree
- Post-Order
  - Traverse left node, right node, then current
  - while deleting and freeing can delete and free an entire tree

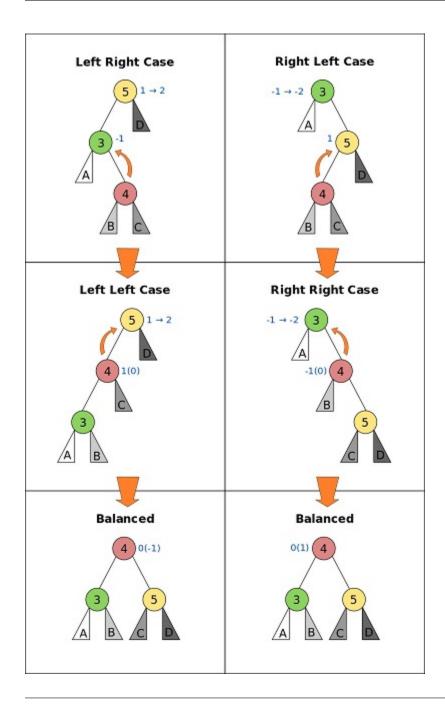
#### AVL:

- General
  - rebalance when insertion so that height never exceeds O(log n)

- shape of tree changes during insertion and deletion
- the height of an AVL tree is at most 1.44log(N)
- AVL tree may need O(log(N)) operations to rebalance the tree
- searching-BST
- insertion check balance factor for all ancestors if | balance factor | > 1 then rebalance
  - balance factor = height(left sub tree) height(right sub tree)
  - rebalance starts from the inserted node up (from bottom up)

#### • deletion

- Let node X be the node with the value we need to delete, and let node Y be a node in the tree we need to find to take node X's place, and let node Z be the actual node we take out of the tree
- Steps to consider when deleting a node in an AVL tree are the following:
  - \* If node X is a leaf or has only one child, skip to step 5 with Z:=X.
  - \* Otherwise, determine node Y by finding the largest[citation needed] node in node X's left subtree (the in-order predecessor of X (todo: then) it does not have a right child) or the smallest in its right subtree (the in-order successor of X (todo: then) it does not have a left child).
  - \* Exchange all the child and parent links of node X with those of node Y. In this step, the in
  - \* order sequence between nodes X and Y is temporarily disturbed, but the tree structure doesn't change.
  - \* Choose node Z to be all the child and parent links of old node Y = those of new node X.
  - \* If node Z has a subtree (which then is a leaf), attach it to Z's parent.
  - \* If node Z was the root (its parent is null), update root.
  - \* Delete node Z.
  - \* Retrace the path back up the tree (starting with node Z's parent) to the root, adjusting the balance factors as needed.



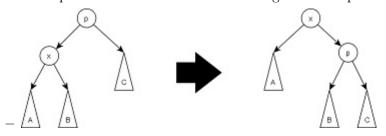
# Red/Black:

- General
  - the maximum height of a red-black tree,  $\sim 2\log(N)$
  - red-black tree needs O(1) operations to rebalance the tree
  - each node has an extra bit for color red or black
- In addition to the requirements imposed on a binary search tree the following must be satisfied by a red–black tree
  - A node is either red or black

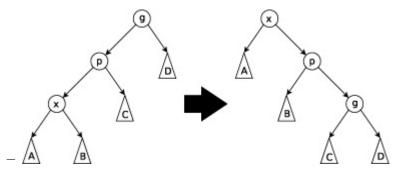
- The root is black. This rule is sometimes omitted. Since the root can always be changed from red to black, but not necessarily vice versa, this rule has little effect on analysis
- All leaves (NIL) are black
- If a node is red, then both its children are black
- Every path from a given node to any of its descendant NIL nodes contains the same number of black nodes. The uniform number of black nodes in the paths from root to leaves is called the black height of the red-black tree
- searching-BST
- insertion O(log n)
  - Insert as BST
  - Fix any red-black vialations starting with inserted node continuing up the path
- deletion O(log n)
  - Delete as BST
  - Restore red-black properties

## Splay:

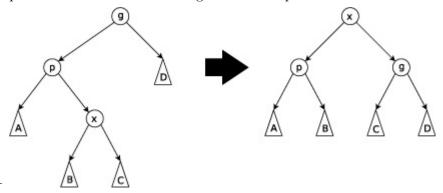
- General
  - rebalance when look-up so frequently accessed elements move up
  - rebalances on look-up
  - shapes is not constrained and depends on look-ups
- Advantages
  - ave case is efficient as others
  - small memory
  - works with duplicate keys
- Disadvantages-height can be linear
- splaying x=accessed node, p=parent of x, g parent of p
  - when p is the root tree is rotated on edge between p and x



- p is not the root and x and p are either both right children or are both left children



- p is not the root and x is a right child and p is a left child or vice versa



## **Comparisons:**

## • AVL trees

- AVL trees gurantee fast lookup (O(log n)) on each operation
- AVL more useful in multithreaded environ with lots of look-ups because can be done in parallel (splay not in parallel)
- Real time (and more) look-ups AVL is better

#### • Red Black

- red black better with more inserts and deletes

## • Splay trees

- Splay trees gurantee any sequence of n operations take at most O(nlog n)
- Splay faster on average on more look-ups
- Splay better for splitting and merging efficiently
- Splay more memory efficient (no need to store balance info)
- Splay more effective when you only access a small subset
- Splay rotation logic easier therefore easier to implement

## Notes:

## **BST Notes**

- Not all binary trees are BST
- Balancing
- Height: longest path from root to leaf
- Depth: The depth of a node is the number of edges from the node to the tree's root node

#### sources:

- https://en.wikipedia.org/wiki/AVL\_tree
- https://en.wikipedia.org/wiki/Red%E2%80%93black\_tree
- https://www.cs.usfca.edu/~galles/visualization/RedBlack.html
- https://www.topcoder.com/community/data-science/data-science-tutorials/an-introduction-to
- https://en.wikipedia.org/wiki/Splay\_tree
- https://attractivechaos.wordpress.com/2008/10/02/comparison-of-binary-search-trees/