Semi-algebraic and Semi-linear Ramsey Numbers

Zhihan Jin ¹ István Tomon ²

¹ETH

²Umeå University

August 28th, 2023

Ramsey Numbers for Graphs

Definition

R(s, n) := the smallest N s.t. any graph on N vertices contains a clique of size s or an independent set of size n.

Ramsey Numbers for Graphs

Definition

R(s, n) := the smallest N s.t. any graph on N vertices contains a clique of size s or an independent set of size n.

Theorem (Erdős and Szekeres '35, Erdős '47)

$$R(n, n) = 2^{\Theta(n)}, R(s, n) = n^{\Theta(s)}.$$

Definition

 $R_r(s, n) :=$ the smallest N s.t. any r-uniform hypergraph on N vertices contains a clique of size s or an independent set of size n.

Definition

 $R_r(s, n) :=$ the smallest N s.t. any r-uniform hypergraph on N vertices contains a clique of size s or an independent set of size n.

Theorem (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$2^{n^2} < R_3(n,n) < 2^{2^{\mathcal{O}(n)}}$$
.

Definition

 $R_r(s, n) :=$ the smallest N s.t. any r-uniform hypergraph on N vertices contains a clique of size s or an independent set of size n.

Theorem (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$2^{n^2} < R_3(n,n) < 2^{2^{\mathcal{O}(n)}}$$
.

The exponential gap remains till now!

Lemma (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$R_r(s,n) \approx 2^{R_{r-1}(s,n)}$$
 when $r \geq 4$.

• In fact, the upper bound holds also for r = 3.

Lemma (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$R_r(s,n) \approx 2^{R_{r-1}(s,n)}$$
 when $r \geq 4$.

• In fact, the upper bound holds also for r = 3.

Corollary (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$\mathsf{tw}_{r-1}(\Omega(n^2)) < R_r(n,n) < \mathsf{tw}_r(\mathcal{O}(n)), \text{ where } \mathsf{tw}_r(n) = \underbrace{2^{2^{r-1}}}_{r \text{ times}}$$

• $tw_1(n) = n, tw_2(n) = 2^n, tw_3(n) = 2^{2^n}.$

Lemma (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$R_r(s,n) \approx 2^{R_{r-1}(s,n)}$$
 when $r \geq 4$.

• In fact, the upper bound holds also for r = 3.

Corollary (Erdős and Rado '52, Erdős, Hajnal and Rado '65)

$$\mathsf{tw}_{r-1}(\Omega(n^2)) < R_r(n,n) < \mathsf{tw}_r(\mathcal{O}(n)), \text{ where } \mathsf{tw}_r(n) = \underbrace{2^{2^{r-1}}}_{r \text{ times}}$$

- $tw_1(n) = n, tw_2(n) = 2^n, tw_3(n) = 2^{2^n}.$
- The **critical case** is when r = 3, i.e. 3-uniform hypergraphs.

What if we focus on graphs defined by geometry?

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

• every vertex $v \in V(\mathcal{H})$ corresponds to a point $p_v \in \mathbb{R}^d$;

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

- every vertex $v \in V(\mathcal{H})$ corresponds to a point $p_v \in \mathbb{R}^d$;
- whether $(i_1, \ldots, i_r) \in \binom{V}{r}$ forms an edge depends only on the sign-pattern of f_1, \ldots, f_m on $p := [p_{i_1}, \ldots, p_{i_r}] \in \mathbb{R}^{rd}$.

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

- every vertex $v \in V(\mathcal{H})$ corresponds to a point $p_v \in \mathbb{R}^d$;
- whether $(i_1,\ldots,i_r)\in\binom{V}{r}$ forms an edge depends only on the sign-pattern of f_1,\ldots,f_m on $p:=[p_{i_1},\ldots,p_{i_r}]\in\mathbb{R}^{rd}$.

Here, each $f_i: \mathbb{R}^{rd} \to \mathbb{R}$ is a polynomial of degree at most D.

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

- every vertex $v \in V(\mathcal{H})$ corresponds to a point $p_v \in \mathbb{R}^d$;
- whether $(i_1, \ldots, i_r) \in \binom{V}{r}$ forms an edge depends only on the sign-pattern of f_1, \ldots, f_m on $p := [p_{i_1}, \ldots, p_{i_r}] \in \mathbb{R}^{rd}$.

Here, each $f_i: \mathbb{R}^{rd} \to \mathbb{R}$ is a polynomial of degree at most D.

• **Sign-pattern**: $sign(f_1(p)), \ldots, sign(f_m(p)) \in \{0, +, -\}^m$.

What if we focus on graphs defined by geometry?

Definition (Semi-algebraic hypergraphs)

An r-uniform hypergraph $\mathcal H$ is called **semi-algebraic** of **complexity** (d,D,m) if

- every vertex $v \in V(\mathcal{H})$ corresponds to a point $p_v \in \mathbb{R}^d$;
- whether $(i_1, \ldots, i_r) \in \binom{V}{r}$ forms an edge depends only on the sign-pattern of f_1, \ldots, f_m on $p := [p_{i_1}, \ldots, p_{i_r}] \in \mathbb{R}^{rd}$.

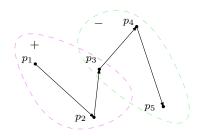
Here, each $f_i: \mathbb{R}^{rd} \to \mathbb{R}$ is a polynomial of degree at most D.

- **Sign-pattern**: $\operatorname{sign}(f_1(p)), \ldots, \operatorname{sign}(f_m(p)) \in \{0, +, -\}^m$.
- Intersection graphs of certain geometric objects.

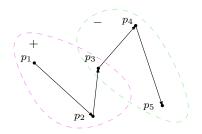
• Given N points in \mathbb{R}^2 (in general position), we are going to capture the subsets forming convex hulls.

- Given N points in \mathbb{R}^2 (in general position), we are going to capture the subsets forming convex hulls.
- Assume the *x*-coordinates are increasing.

- Given N points in \mathbb{R}^2 (in general position), we are going to capture the subsets forming convex hulls.
- Assume the *x*-coordinates are increasing.
- For i < j < k, form an edge if $det(p_j p_i, p_k p_i) > 0$.

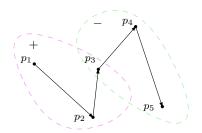


- Given N points in \mathbb{R}^2 (in general position), we are going to capture the subsets forming convex hulls.
- Assume the *x*-coordinates are increasing.
- For i < j < k, form an edge if $det(p_j p_i, p_k p_i) > 0$.



cliques ↔ cups, independent sets ↔ caps.

- Given N points in \mathbb{R}^2 (in general position), we are going to capture the subsets forming convex hulls.
- Assume the *x*-coordinates are increasing.
- For i < j < k, form an edge if $det(p_j p_i, p_k p_i) > 0$.



- cliques ↔ cups, independent sets ↔ caps.
- It is of complexity (2, 2, 1).

Ramsey Numbers for Semi-algebraic Hypergraphs

Definition

 $R_r^{(d,D,m)}(s,n) :=$ the smallest N s.t. any r-uniform semi-algebraic **hypergraph** of complexity (d,D,m) on N vertices contains a clique of size s or an independent set of size n.

Ramsey Numbers for Semi-algebraic Hypergraphs

Definition

 $R_r^{(d,D,m)}(s,n) :=$ the smallest N s.t. any r-uniform semi-algebraic **hypergraph** of complexity (d,D,m) on N vertices contains a clique of size s or an independent set of size n.

Theorem (Alon, Pach, Pinchasi, Radoičić and Sharir '05)

$$R_2^{(d,D,m)}(n,n)=n^{\Theta(1)}.$$

Ramsey Numbers for Semi-algebraic Hypergraphs

Definition

 $R_r^{(d,D,m)}(s,n) :=$ the smallest N s.t. any r-uniform semi-algebraic **hypergraph** of complexity (d,D,m) on N vertices contains a clique of size s or an independent set of size n.

Theorem (Alon, Pach, Pinchasi, Radoičić and Sharir '05)

$$R_2^{(d,D,m)}(n,n)=n^{\Theta(1)}.$$

Theorem (Conlon, Fox, Pach, Sudakov and Suk '14)

$$R_r^{(d,D,m)}(n,n) = \mathsf{tw}_{r-1}(n^{\Theta(1)}).$$

• ES(n) := the smallest N s.t. any N points in \mathbb{R}^2 (in general position) contains n elements forming a convex polygon.

• ES(n) := the smallest N s.t. any N points in \mathbb{R}^2 (in general position) contains n elements forming a convex polygon.

Theorem (Erdős and Szekeres '35)

$$ES(n)=2^{\Theta(n)}.$$

• ES(n) := the smallest N s.t. any N points in \mathbb{R}^2 (in general position) contains n elements forming a convex polygon.

Theorem (Erdős and Szekeres '35)

$$ES(n)=2^{\Theta(n)}$$
.

Recall: cups ↔ cliques, caps ↔ independent sets.

• ES(n) := the smallest N s.t. any N points in \mathbb{R}^2 (in general position) contains n elements forming a convex polygon.

Theorem (Erdős and Szekeres '35)

$$ES(n)=2^{\Theta(n)}$$
.

• Recall: cups \leftrightarrow cliques, caps \leftrightarrow independent sets.

$$ES(n) \leq R_3^{(2,2,1)}(n,n) < 2^{n^{\mathcal{O}(1)}}.$$

• ES(n) := the smallest N s.t. any N points in \mathbb{R}^2 (in general position) contains n elements forming a convex polygon.

Theorem (Erdős and Szekeres '35)

$$ES(n)=2^{\Theta(n)}$$
.

Recall: cups ↔ cliques, caps ↔ independent sets.

$$ES(n) \leq R_3^{(2,2,1)}(n,n) < 2^{n^{\mathcal{O}(1)}}.$$

• In constrast, $R_3(n,n) = 2^{2^{O(n)}}$.

• What about the asymmetric case, i.e. when *s* is a constant?

- What about the asymmetric case, i.e. when s is a constant?
- $R_2^{(d,D,m)}(s,n) = n^{\Theta(1)}$, good enough.

- What about the asymmetric case, i.e. when s is a constant?
- $R_2^{(d,D,m)}(s,n) = n^{\Theta(1)}$, good enough.
- The **critical case** is again when r = 3.

- What about the asymmetric case, i.e. when s is a constant?
- $R_2^{(d,D,m)}(s,n) = n^{\Theta(1)}$, good enough.
- The **critical case** is again when r = 3.

Conjecture (Conlon, Fox, Pach, Sudakov and Suk '14)

$$R_3^{(d,D,m)}(s,n) = n^{O(1)}.$$

- What about the asymmetric case, i.e. when s is a constant?
- $R_2^{(d,D,m)}(s,n) = n^{\Theta(1)}$, good enough.
- The **critical case** is again when r = 3.

Conjecture (Conlon, Fox, Pach, Sudakov and Suk '14)

$$R_3^{(d,D,m)}(s,n) = n^{O(1)}.$$

• $R_3^{(1,D,m)}(s,n) = 2^{\log^{\mathcal{O}(1)}(n)}$ by CFPSS, $R_3^{(d,D,m)}(s,n) = 2^{n^{o(1)}}$ by Suk.

- What about the asymmetric case, i.e. when s is a constant?
- $R_2^{(d,D,m)}(s,n) = n^{\Theta(1)}$, good enough.
- The **critical case** is again when r = 3.

Conjecture (Conlon, Fox, Pach, Sudakov and Suk '14)

$$R_3^{(d,D,m)}(s,n)=n^{\mathcal{O}(1)}.$$

- $R_3^{(1,D,m)}(s,n) = 2^{\log^{\mathcal{O}(1)}(n)}$ by CFPSS, $R_3^{(d,D,m)}(s,n) = 2^{n^{o(1)}}$ by Suk.
- We refuted this conjecture.

Theorem (**J.**, *Tomon* '23)

$$R_3^{(d,D,m)}(4,n) > n^{\log^{1/3-o(1)}(n)} = 2^{\log^{1.3}(n)}.$$



• When all defining polynomials are linear functions (D = 1).

- When all defining polynomials are linear functions (D = 1).
- Examples: intersection graphs of axis-parallel boxes in \mathbb{R}^d .

- When all defining polynomials are linear functions (D = 1).
- Examples: intersection graphs of axis-parallel boxes in \mathbb{R}^d .

Theorem (J., Tomon '23)

$$R_r^{(d,1,m)}(n,n) < 2^{\mathcal{O}(n^{4r^2m^2})}.$$

- When all defining polynomials are linear functions (D = 1).
- Examples: intersection graphs of axis-parallel boxes in \mathbb{R}^d .

Theorem (J., Tomon '23)

$$R_r^{(d,1,m)}(n,n) < 2^{\mathcal{O}(n^{4r^2m^2})}.$$

Theorem (J., Tomon '23)

$$R_r^{(1,1,1)}(n,n) > 2^{\Omega(n^{r/2-1})}$$
 for even r's.

Open problems

Conjecture

$$R_3^{(d,D,m)}(s,n) < 2^{\log^{\mathcal{O}(1)}(n)}.$$

Open problems

Conjecture

$$R_3^{(d,D,m)}(s,n) < 2^{\log^{\mathcal{O}(1)}(n)}.$$

Conjecture

Is it true that $R_r^{(d,2,m)}(n,n) < \operatorname{tw}_k(n^{\mathcal{O}(1)})$ for absolute constant k?

The End

Questions? Comments?