

Spinning strings and integrable spin chains in the AdS/CFT correspondence

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Abstract

In this introductory review we discuss dynamical tests of the $AdS_5 \times S^5$ string/ $\mathcal{N} = 4$ super Yang-Mills duality. After a brief introduction to AdS/CFT we argue that semiclassical string energies yield information on the quantum spectrum of the string in the limit of large angular momenta on the S^5 . The energies of the folded and circular spinning string solutions rotating on a S^3 within the S^5 are derived, which yield all loop predictions for the dual gauge theory scaling dimensions. These follow from the eigenvalues of the dilatation operator of $\mathcal{N} = 4$ super Yang-Mills in a minimal $SU(2)$ subsector and we display its reformulation in terms of a Heisenberg $s = 1/2$ spin chain along with the coordinate Bethe ansatz for its explicit diagonalization. In order to make contact to the spinning string energies we then study the thermodynamic limit of the one-loop gauge theory Bethe equations and demonstrate the matching with the folded and closed string result at this loop order. Finally the known gauge theory results at higher-loop orders are reviewed and the associated long-range spin chain Bethe ansatz is introduced, leading to an asymptotic all-loop conjecture for the gauge theory Bethe equations. This uncovers discrepancies at the three-loop order between gauge theory scaling dimensions and string theory energies and the implications of this are discussed. Along the way we comment on further developments and generalizations of the subject and point to the relevant literature.

1 Introduction

String theory was initially discovered in an attempt to describe the physics of the strong interactions prior to the advent of gauge field theories and QCD. Today it has matured to a very promising candidate for a unified quantum theory of gravity and all the other forces of nature. In this interpretation gauge fields arise as the low energy excitations of fundamental open strings and are therefore derived, non-fundamental objects, just as the theory of gravity itself. Ironically though, advances in our understanding of non-perturbative string theory and of D-branes has led to a resurrection of gauge fields as fundamental objects. Namely it is now generally believed that string theory in suitable space-time backgrounds can have a dual, holographic description in terms of gauge field theories and thus the question of which of the two is the fundamental one becomes redundant. This belief builds on a remarkable proposal due to Maldacena [1] known as the Anti-de-Sitter/Conformal Field Theory (AdS/CFT) correspondence (for reviews see [2, 3]).

The initial idea of a string/gauge duality is due to 't Hooft [4], who realized that the perturbative expansion of $SU(N)$ gauge field theory in the large N limit can be reinterpreted as a genus expansion of discretized two dimensional surfaces built from the field theory Feynman diagrams. Here $1/N$ counts the genus of the Feynman diagram, while the 't Hooft coupling $\lambda := g_{\text{YM}}^2 N$ (with g_{YM} denoting the gauge theory coupling constant) enumerates quantum loops. E.g. the genus expansion of the free energy F of a $SU(N)$ gauge theory in the 't Hooft limit ($N \rightarrow \infty$ with λ fixed) takes the pictorial form

$$F = N^2 \text{ (sphere) } + 1 \text{ (torus) } + \frac{1}{N^2} \text{ (genus 2 surface) } + \dots = \sum_{g=0}^{\infty} \frac{1}{N^{2g-2}} \sum_{l=0}^{\infty} c_{g,l} \lambda^l \quad (1)$$

with suitable coefficients $c_{g,l}$ denoting the contributions at genus g and loop order l . Obviously this $1/N$ expansion resembles the perturbative expansion of a string theory in the string coupling constant g_s .

The AdS/CFT correspondence is the first concrete realization of this idea for four dimensional gauge theories. In its purest form – which shall also be the setting we will be interested in – it identifies the ‘fundamental’ type IIB superstring in a ten dimensional anti-de-Sitter cross sphere ($AdS_5 \times S^5$) space-time background with the maximally supersymmetric Yang-Mills theory with gauge group $SU(N)$ ($\mathcal{N} = 4$ SYM) in four dimensions. The $\mathcal{N} = 4$ super Yang-Mills model is a quantum conformal field theory, as its β -function vanishes exactly. The string model is controlled by two parameters: The string coupling constant g_s and the ‘effective’ string tension R^2/α' where R is the common radius of the AdS_5 and S_5 geometries. The gauge theory, on the other hand, is parametrized by the rank N of the gauge group and the coupling constant g_{YM} , or equivalently the 't Hooft coupling $\lambda := g_{\text{YM}}^2 N$. According

to the AdS/CFT proposal, these two sets of parameters are to be identified as

$$\frac{4\pi\lambda}{N} = g_S \quad \sqrt{\lambda} = \frac{R^2}{\alpha'} . \quad (2)$$

We see that in the AdS/CFT proposal the string coupling constant is not simply given by $1/N$, but comes with a linear factor in λ . This, however, does not alter the genus expansion and its interpretation in form of string worldsheets.

The equations (2) relate the coupling constants but there is also a dictionary between the excitations of the two theories. The correspondence identifies the energy eigenstates of the $AdS_5 \times S^5$ string, which we denote schematically as $|\mathcal{O}_A\rangle$ with A being a multi-index, with (suitable) composite gauge theory operators of the form $\mathcal{O}_A = \text{Tr}(\phi_{i_1} \phi_{i_2} \dots \phi_{i_n})$ where $(\phi_i)_{ab}$ are the elementary fields of $\mathcal{N} = 4$ SYM (and their covariant derivatives) in the adjoint representation of $SU(N)$, i.e. $N \times N$ hermitian matrices. The energy eigenvalue E of a string state with respect to time in global coordinates is conjectured to be equal to the scaling dimension Δ of the dual gauge theory operator, which in turn is determined from the two point function of the conformal field theory ¹

$$\langle \mathcal{O}_A(x) \mathcal{O}_B(y) \rangle = \frac{M \delta_{A,B}}{(x-y)^{2\Delta_A(\lambda, \frac{1}{N})}} \Leftrightarrow \mathcal{H}_{\text{String}} |\mathcal{O}_A\rangle = E_A\left(\frac{R^2}{\alpha'}, g_S\right) |\mathcal{O}_A\rangle \quad (3)$$

with $\Delta(\lambda, \frac{1}{N}) \stackrel{!}{=} E(\frac{R^2}{\alpha'}, g_S)$.

A zeroth order test of the conjecture is then the agreement of the underlying symmetry supergroup $PSU(2, 2|4)$ of the two theories, which furnishes the representations under which $\mathcal{O}_A(x)$ and $|\mathcal{O}_A\rangle$ transform. This then yields a hint on how one could set up an explicit string state/gauge operator dictionary.

Clearly there is little hope of determining either the all genus (all orders in g_S) string spectrum or the complete $1/N$ dependence of the gauge theory scaling dimensions Δ . But the identification of the planar gauge theory with the free ($g_S = 0$) string seems feasible and fascinating: Free $AdS_5 \times S^5$ string theory should give the exact all loop gauge theory scaling dimensions in the large N limit! Unfortunately though, our knowledge of the string spectrum in curved backgrounds, even in such a highly symmetric one as $AdS_5 \times S^5$, remains scarce. Therefore until very recently investigations on the string side of the correspondence were limited to the domain of the low energy effective field theory description of $AdS_5 \times S^5$ strings in terms of type IIB supergravity. This, however, is necessarily limited to weakly curved geometries in string units, i.e. to the domain of $\sqrt{\lambda} \gg 1$ by virtue of (2). On the gauge theory side one has control only in the perturbative regime where $\lambda \ll 1$, which is perfectly incompatible with the accessible supergravity regime $\sqrt{\lambda} \gg 1$. Hence one is facing a strong/weak coupling duality, in which strongly coupled gauge fields

¹For simplicity we take $\mathcal{O}_A(x)$ to be a scalar operator here.

are described by *classical* supergravity and weakly coupled gauge fields correspond to strings propagating in a highly curved background geometry. This insight is certainly fascinating, but at the same time strongly hinders any dynamical tests (or even a proof) of the AdS/CFT conjecture in regimes which are not protected by the large amount of symmetry in the problem.

This situation has profoundly changed since 2002 by performing studies of the correspondence in novel limits where quantum numbers (such as spins or angular momenta in the geometric $AdS_5 \times S^5$ language) become large in a correlated fashion as $N \rightarrow \infty$. This was initiated in the work of Berenstein, Maldacena and Nastase [5] who considered the quantum fluctuation expansion of the string around a degenerated point-like configuration, corresponding to a particle rotating with a large angular momentum J on a great circle of the S^5 space. In the limit of $J \rightarrow \infty$ with J^2/N held fixed (the ‘BMN limit’) the geometry seen by the fastly moving particle is a gravitational plane-wave, which allows for an exact quantization of the free string in the light-cone-gauge [6, 7]. The resulting string spectrum leads to a formidable prediction for the all loop scaling dimensions of the dual gauge theory operators in the corresponding limit, i.e. the famous formula $\Delta_n = J + 2\sqrt{1 + \frac{\lambda n^2}{J^2}}$ for the simplest two string oscillator mode excitation. The key point here is the emergence of the effective gauge theory loop counting parameter λ/J^2 in the BMN limit. These scaling dimensions have by now been firmly reproduced up to the three loop order in gauge theory [8, 9, 10]. This has also led to important structural information for higher (or all loop) attempts in gauge theory, which maximally employ the uncovered integrable structures to be discussed below. Moreover, the plane wave string theory/ $\mathcal{N} = 4$ SYM duality could be extended to the interacting string ($g_s \neq 0$) respectively non-planar gauge theory regime providing us with the most concrete realization of a string/gauge duality to date (for reviews see [11, 12, 13, 14]).

In this review we shall discuss developments beyond the BMN plane-wave correspondence which employ more general sectors of large quantum numbers in the AdS/CFT duality. The key point from the string perspective is that such a limit can make the semiclassical (in the plane-wave case) or even classical (in the ‘spinning string’ case) computation of the string energies also quantum exact [15, 16], i.e. higher σ -model loops are suppressed by inverse powers of the total angular momentum J on the five sphere². These considerations on the string side then (arguably) yield all loop predictions for the dual gauge theory. Additionally the perturbative gauge theoretic studies at the first few orders in λ led to the discovery that the spectrum of scaling dimensions of the planar gauge theory is identical to that of an integrable long-range spin chain [19, 8, 20]. Consistently the $AdS_5 \times S^5$ string is a classically integrable model [21], which has been heavily exploited in the

²This suppression only occurs if at least one angular momentum on the five sphere becomes large. If this is not the case, e.g. for a spinning string purely on the AdS_5 [17], quantum corrections are not suppressed by inverse powers of the spin on AdS_5 [18].

construction of spinning string solutions.

This review aims at a more elementary introduction to this very active area of research, which in principle holds the promise of finding the exact quantum spectrum of the $AdS_5 \times S^5$ string or equivalently the all loop scaling dimensions of planar $\mathcal{N} = 4$ super Yang-Mills. It is intended as a first guide to the field for students and interested ‘newcomers’ and points to the relevant literature for deeper studies. We will discuss the simplest solutions of the $AdS_5 \times S^5$ string corresponding to folded and circular string configurations propagating in a $\mathbb{R} \times S^3$ subspace, with the S^3 lying within the S^5 . On the gauge theory side we will motivate the emergence of the spin chain picture at the leading one loop order and discuss the emerging Heisenberg $XXX_{1/2}$ model and its diagonalization using the coordinate Bethe ansatz technique. This then enables us to perform a comparison between the classical string predictions in the limit of large angular momenta and the dual thermodynamic limit of the spin chain spectrum. Finally we turn to higher-loop calculations in the gauge-theory and discuss conjectures for the all-loop form of the Bethe equations, giving rise to a long-range interacting spin chain. Comparison with the obtained string results uncovers a discrepancy from loop order three onwards and the interpretation of this result is also discussed.

There already exist a number of more detailed reviews on spinning strings, integrability and spin chains in the AdS/CFT correspondence: Tseytlin’s review [22] mostly focuses on the string side the correspondence, whereas Beisert’s Physics Report [23] concentrates primarily on the gauge side. See also Tseytlin’s second review [24], on the so-called coherent-state effective action approach, which we will not discuss in this review. Recommended is also the shorter review by Zarembo [25] on the $SU(2)$ respectively $\mathbb{R} \times S^3$ subsector, discussing the integrable structure appearing on the classical string – not covered in this review. For a detailed account of the near plane-wave superstring, its quantum spectroscopy and integrability structures see Swanson’s thesis [26].

2 The setup

With the embedding coordinates $X^m(\tau, \sigma)$ and $Y^m(\tau, \sigma)$ the Polyakov action of the $AdS_5 \times S^5$ string in conformal gauge ($\eta_{ab} = \text{diag}(-1, 1)$) takes the form ($m, n = 1, 2, 3, 4, 5$)

$$I = -\frac{\sqrt{\lambda}}{4\pi} \int d\tau d\sigma \left[G_{mn}^{(AdS_5)} \partial_a X^m \partial^a X^n + G_{mn}^{(S^5)} \partial_a Y^m \partial^a Y^n \right] + \text{fermions}, \quad (4)$$

where we have suppressed the fermionic terms in the action, as they will not be relevant in our discussion of classical solutions (the full fermionic action is stated in [27, 28]). A natural choice of coordinates for the $AdS_5 \times S^5$ space (‘global

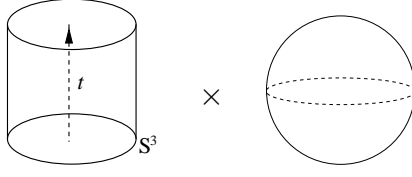


Figure 1: Cartoon of the AdS_5 (bulk cylinder with boundary $R \times S^3$) space-time and the S^5 (sphere) space.

coordinates”) is ³

$$\begin{aligned} ds_{AdS_5}^2 &= d\rho^2 - \cosh^2 \rho dt^2 + \sinh^2 \rho (d\bar{\psi}^2 + \cos^2 \bar{\psi} d\varphi_1^2 + \sin^2 \bar{\psi} d\varphi_2^2) \\ ds_{S^5}^2 &= d\gamma^2 + \cos^2 \gamma d\phi_3^2 + \sin^2 \gamma (d\psi^2 + \cos^2 \psi d\phi_1^2 + \sin^2 \psi d\phi_2^2). \end{aligned} \quad (5)$$

Moreover, we have directly written the string action with the help of the effective string tension $\sqrt{\lambda} = R^2/\alpha'$ of (2). It is helpful to picture the AdS_5 space-time as a bulk cylinder with a four dimensional boundary of the form $\mathbb{R} \times S^3$ (see figure 2). A consequence of the conformal gauge choice are the Virasoro constraints, which take the form

$$0 \stackrel{!}{=} \dot{X}^m X'_m + \dot{Y}^p Y'_p \quad 0 \stackrel{!}{=} \dot{X}^m \dot{X}_m + \dot{Y}^p \dot{Y}_p + X^{m'} X'_m + Y^{p'} Y'_p, \quad (6)$$

where the dot refers to ∂_τ and the prime to ∂_σ derivatives. Of course the contractions in the above are to be performed with the metrics of (5).

As mentioned in the introduction it is presently unknown how to perform an exact quantization of this model. It is, however, possible to perform a quantum fluctuation expansion in $1/\sqrt{\lambda}$. For this one expands around a classical solution of (4) and integrates out the fluctuations in the path-integral loop order by order. This is the route we will follow. Of course in doing so one will only have a patch-wise access to the full spectrum of the theory, with each patch given by the solution expanded around.

2.1 The rotating point-particle

It is instructive to sketch this procedure by considering the perhaps simplest solution to the equations of motion of (4): the rotating point-particle on S^5 , which is a

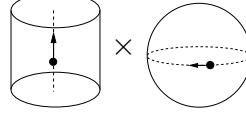
³This metric arises from a parametrization of the five sphere $x_1^2 + x_2^2 + x_3^2 + \dots + x_6^2 = 1$ and anti-de-Sitter space $-y_{-1}^2 - y_0^2 + y_1^2 + y_2^2 + \dots + y_4^2 = -1$ through

$$\begin{aligned} x_1 + i x_2 &= \sin \gamma \cos \psi e^{i \phi_1}, & x_3 + i x_4 &= \sin \gamma \sin \psi e^{i \phi_2}, & x_5 + i x_6 &= \cos \gamma e^{i \phi_3}; \\ y_1 + i y_2 &= \sinh \rho \cos \bar{\psi} e^{i \varphi_1}, & y_3 + i y_4 &= \sinh \rho \sin \bar{\psi} e^{i \varphi_2}, & y_{-1} + i y_0 &= \cosh \rho e^{i t}. \end{aligned}$$

The embedding coordinates $X^m(\tau, \sigma)$ and $Y^m(\tau, \sigma)$ in (4) are hence given by $X^m = (\rho, \bar{\psi}, \varphi_1, \varphi_2, t)$ and $Y^m = (\gamma, \psi, \phi_1, \phi_2, \phi_3)$.

degenerated string configuration.

$$\begin{aligned} t &= \kappa \tau & \rho &= 0 & \gamma &= \frac{\pi}{2} \\ \phi_1 &= \kappa \tau & \phi_2 &= \phi_3 = \psi = 0 \end{aligned}$$



One easily shows that this configurations satisfies the equations of motion and the Virasoro constraints.

A glance at eqs. (4) and (5) reveals that the cyclic coordinates of the action $I = \int dt L$ are $(t, \varphi_1, \varphi_2; \phi_1, \phi_2, \phi_3)$ leading to the conserved charges $(E, S_1, S_2; J_1, J_2, J_3)$, corresponding to the energy E and two spins (S_1, S_2) on AdS_5 as well as the three angular momenta (J_1, J_2, J_3) on the five sphere respectively. The energy E and the first angular momentum J_1 are the only non vanishing conserved quantities of the above point-particle configuration and take the values (we also spell out J_2 for later use)

$$\begin{aligned} E &:= \frac{\partial L}{\partial \dot{t}} = \sqrt{\lambda} \int_0^{2\pi} \frac{d\sigma}{2\pi} \cosh^2 \rho \dot{t} = \sqrt{\lambda} \kappa, \\ J_1 &:= -\frac{\partial L}{\partial \dot{\phi}_1} = \sqrt{\lambda} \int_0^{2\pi} \frac{d\sigma}{2\pi} \sin^2 \gamma \cos^2 \psi \dot{\phi}_1 = \sqrt{\lambda} \kappa, \\ J_2 &:= -\frac{\partial L}{\partial \dot{\phi}_2} = \sqrt{\lambda} \int_0^{2\pi} \frac{d\sigma}{2\pi} \sin^2 \gamma \sin^2 \psi \dot{\phi}_2 = 0, \quad J := J_1 + J_2. \end{aligned} \tag{7}$$

Hence classically $E = J$. One may now consider quantum fluctuations around this solution, i.e. $X^\mu = X_{\text{solution}}^\mu(\tau) + \frac{1}{\lambda^{1/4}} x^\mu(\tau, \sigma)$, and can integrate out the quantum field $x^\mu(\tau, \sigma)$ in a perturbative fashion. This will result in ‘quantum’ corrections to the classical energy E_0 in terms of an expansion in $1/\sqrt{\lambda}$

$$E = \sqrt{\lambda} \kappa + E_2(\kappa) + \frac{1}{\sqrt{\lambda}} E_4(\kappa) + \dots \tag{8}$$

The key idea of Berenstein, Maldacena and Nastase [5] was to consider the limit $J \rightarrow \infty$ with the parameter $\kappa = J/\sqrt{\lambda}$ held fixed. This limit of a large quantum number suppresses all the higher loop contributions beyond one-loop, i.e.

$$E - J = E_2(\kappa) + \frac{1}{\sqrt{J}} \tilde{E}_4(\kappa) + \dots \xrightarrow{J \rightarrow \infty} E_2(\kappa). \tag{9}$$

Hence the quadratic approximation becomes exact! This quadratic fluctuation action (including the fermions) is nothing but the IIB superstring in a plane wave background [6], which arises from the $AdS_5 \times S^5$ geometry through a so-called Penrose limit (see [29, 30] for this construction). The quantization of this string model

is straightforward in the light-cone gauge [6, 7] and leads to a free, massive two dimensional theory for the transverse degrees of freedom ($i = 1, \dots, 8$)

$$I_2 = \int d\tau d\sigma \left(\frac{1}{2} \partial_a x^i \partial_a x^i - \frac{\kappa^2}{2} x^i x^i + \text{fermions} \right) \quad (10)$$

with a compact expression for the spectrum

$$E_2 = \frac{1}{\sqrt{\lambda'}} \sum_{n=-\infty}^{\infty} \sqrt{1 + \lambda' n^2} \hat{N}_n \quad \lambda' := \frac{1}{\sqrt{\kappa}} = \frac{\lambda}{J^2} \quad (11)$$

where $\hat{N}_n := \alpha_n^{\dagger i} \alpha_n^i$ is the excitation number operator for transverse string excitations $\alpha_n^{\dagger i} |0\rangle$ with $[\alpha_m^i, \alpha_n^{\dagger j}] = \delta_{nm} \delta_{ij}$. The Virasoro constraints (6) reduce to the level matching condition $\sum_n n \hat{N}_n = 0$ known from string theory in flat Minkowski space-time. Hence the first stringy excitation is $\alpha_n^{\dagger} \alpha_{-n}^{\dagger} |0\rangle$ with $\sqrt{\lambda'} E_2 = 2 \sqrt{1 + \lambda' n^2}$. For a more detailed treatment of the plane wave superstring see [11, 12, 13, 14].

2.2 $\mathcal{N} = 4$ Super Yang-Mills

The conjectured dual gauge theory of the $AdS_5 \times S^5$ superstring is the maximally supersymmetric ($\mathcal{N} = 4$) Yang-Mills theory in four dimensions [31, 32]. Its field content is given by a gluon field $A_\mu(x)$, six scalars $\phi_i(x)$ ($i = 1, \dots, 6$) as well as 4 Majorana gluinos, which we choose to write as a 16 component 10d Majorana-Weyl spinor $\chi_\alpha(x)$ ($\alpha = 1, \dots, 16$). All fields are in the adjoint representation of $SU(N)$. The action of $\mathcal{N} = 4$ Super Yang-Mills is uniquely determined by two parameters, the coupling constant g_{YM} and the rank of the gauge group $SU(N)$

$$S = \frac{2}{g_{\text{YM}}^2} \int d^4x \text{Tr} \left\{ \frac{1}{4} (F_{\mu\nu})^2 + \frac{1}{2} (D_\mu \phi_i)^2 - \frac{1}{4} [\phi_i, \phi_j] [\phi_i, \phi_j] + \frac{1}{2} \bar{\chi} \not{D} \chi - \frac{i}{2} \bar{\chi} \Gamma_i [\phi_i, \chi] \right\} \quad (12)$$

with the covariant derivative $D_\mu = \partial_\mu - i[A_\mu, \]$. Furthermore, (Γ_μ, Γ_i) are the ten dimensional Dirac matrices.

Due to the large amount of supersymmetry present, the conformal invariance of the classical field theory survives the quantization procedure: The coupling constant g_{YM} is not renormalized and its β -function vanishes to all orders in perturbation theory [33, 34, 35]. This is why one often refers to $\mathcal{N} = 4$ Super Yang-Mills as a “finite” quantum field theory. Nevertheless composite gauge invariant operators, i.e. traces of products of fundamental fields and their covariant derivatives at the same space-point, e.g. $\mathcal{O}_{i_1 \dots i_k}(x) = \text{Tr}[\phi_{i_1}(x) \phi_{i_2}(x) \dots \phi_{i_k}(x)]$, are renormalized and acquire anomalous dimensions. These may be read off from the two point functions (stated here for the case of scalar operators)

$$\langle \mathcal{O}_A(x) \mathcal{O}_B(y) \rangle = \frac{\delta_{AB}}{(x-y)^{2\Delta_{\mathcal{O}_A}}} \quad (13)$$

where $\Delta_{\mathcal{O}_A}$ is the scaling dimension of the composite operator \mathcal{O}_A . Classically these scaling dimensions are simply the sum of the individual dimensions of the constituent fields ($[\phi_i] = [A_\mu] = 1$ and $[\chi] = 3/2$). In quantum theory the scaling dimensions receive anomalous corrections, organized in a double expansion in $\lambda = g_{\text{YM}}^2 N$ (loops) and $1/N^2$ (genera)

$$\Delta = \Delta_0 + \sum_{l=1}^{\infty} \lambda^l \sum_{g=0}^{\infty} \frac{1}{N^{2g}} \Delta_{l,g}. \quad (14)$$

Determining the scaling dimensions in perturbation theory is a difficult task due to the phenomenon of operator mixing: One has to identify the correct basis of (classically) degenerate gauge theory operators \mathcal{O}_A in which (13) indeed becomes diagonal. This task is greatly facilitated through the use of the gauge theory dilatation operator, to be discussed in section 4.

The core statement of the AdS/CFT correspondence is that the scaling dimensions $\Delta(\lambda, 1/N^2)$ are equal to the energies E of the $AdS_5 \times S^5$ string excitations. A central problem, next to actually computing these quantities on either side of the correspondence, is to establish a 'dictionary' between states in the string theory and their dual gauge theory operators. Here the underlying symmetry structure of $SU(2, 2|4)$ is of help, whose bosonic factors are $SO(2, 4) \times SO(6)$. $SO(2, 4)$ corresponds to the isometry group of AdS_5 or the conformal group in four dimensions respectively. $SO(6)$, on the other hand, emerges from the isometries of the five sphere and the R -symmetry group of internal rotations of the six scalars and four gluinos in SYM. Clearly then any state or operator can be labeled by the eigenvalues of the six Cartan generators of $SO(2, 4) \times SO(6)$

$$(E; \underbrace{S_1, S_2}_{S^3}; \underbrace{J_1, J_2, J_3}_{S^5}) \quad (15)$$

where we shall denote the S_i as the commuting 'spins' on the three sphere within AdS_5 , the J_k as the commuting angular momenta on the S^5 and E is the total energy. These are the conserved quantities of the string discussed above eq. (7).

The strategy is now to search for string solutions with energies

$$E = E(S_1, S_2, J_1, J_2, J_3)$$

and to identify these with scaling dimensions of Super Yang-Mills operators carrying the identical set of charges $(S_1, S_2, J_1, J_2, J_3)$. Generically the string energies will depend on additional data, such as winding numbers or oscillator levels, which we have suppressed in the above.

3 Spinning string solutions

We shall now look for a solution of the $AdS_5 \times S^5$ string with $S_1 = S_2 = 0$ and $J_3 = 0$, i.e. a string configuration rotating in the S^3 within S^5 and evolving only

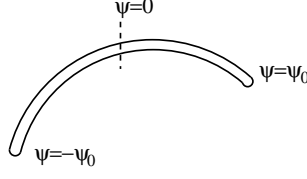


Figure 2: The folded sting extending from $\psi = -\psi_0$ to $\psi = \psi_0$, where $\sin^2 \psi_0 := q$.

with the time coordinate of the AdS_5 space-time. This was first discussed by Frolov and Tseytlin in [15, 36]. For this let us consider the following ansatz in the global coordinates of (5)

$$t = \kappa \tau \quad \rho = 0 \quad \gamma = \frac{\pi}{2} \quad \phi_3 = 0 \quad \phi_1 = \omega_1 \tau \quad \phi_2 = \omega_2 \tau \quad \psi = \psi(\sigma), \quad (16)$$

with the constant parameters $\kappa, \omega_{1,2}$ and the profile $\psi(\sigma)$ to be determined. The string action (4) then becomes

$$I = -\frac{\sqrt{\lambda}}{4\pi} \int d\tau \int_0^{2\pi} d\sigma \left[\kappa^2 + \psi'^2 - \cos^2 \psi \omega_1^2 - \sin^2 \psi \omega_2^2 \right], \quad (17)$$

leading to an equation of motion for $\psi(\sigma)$

$$\psi'' + \sin \psi \cos \psi (\omega_2^2 - \omega_1^2) = 0. \quad (18)$$

We define $\omega_{21}^2 := \omega_2^2 - \omega_1^2$, which we take to be positive without loss of generality. Integrating this equation once yields the “string pendulum” equation

$$\frac{d\psi}{d\sigma} = \omega_{21} \sqrt{q - \sin^2 \psi}, \quad (19)$$

where we have introduced an integration constant q . Clearly there are two qualitatively distinct situations for q larger or smaller than one: For $q \leq 1$ we have a folded string with ψ ranging from $-\psi_0$ to ψ_0 , where $q = \sin^2 \psi_0$, and $\psi' = 0$ at the turning points where the string folds back onto itself (see figure 2). If, however, $q > 1$ then ψ' never vanishes and we have a circular string configuration embracing a full circle on the S^3 : The energy stored in the system is large enough to let the pendulum overturn.

In addition we have to fulfill the Virasoro constraint equations (6). One checks that our ansatz (16) satisfies the first constraint of (6), whereas the second constraint equation leads to

$$q = \frac{\kappa^2 - \omega_1^2}{\omega_{21}^2} \quad (\omega_{21}^2 \neq 0), \quad (20)$$

relating the integration constant q to the parameters of our ansatz⁴. Our goal is to compute the energy E of these two solutions as a function of the commuting angular momenta J_1 and J_2 on the three sphere within S^5 . Upon using eqs. (7) and inserting the ansatz (16) these are given by

$$E = \sqrt{\lambda}, \quad (21)$$

$$J_1 = \sqrt{\lambda} \omega_1 \int_0^{2\pi} \frac{d\sigma}{2\pi} \cos^2 \psi(\sigma), \quad J_2 = \sqrt{\lambda} \omega_2 \int_0^{2\pi} \frac{d\sigma}{2\pi} \sin^2 \psi(\sigma). \quad (22)$$

From this we learn that

$$\sqrt{\lambda} = \frac{J_1}{\omega_1} + \frac{J_2}{\omega_2}. \quad (23)$$

3.1 The special case: $\omega_1 = \omega_2$

It is instructive to first discuss the particularly simple special case of a circular string, where $\omega_1 = \omega_2$ and (20) does not apply. This will turn out to be a limiting case of the $q > 1$ scenario. For $\omega_{21} = 0$ the equation of motion for $\psi(\sigma)$ (18) immediately yields

$$\psi'' = 0 \quad \Rightarrow \quad \psi(\sigma) = n \sigma \quad (24)$$

with n being the integer winding number of this circular string $\psi(\sigma + 2\pi) = \psi(\sigma) + 2\pi n$. In this case the Virasoro constraints (6) yield $\kappa = \sqrt{n^2 + \omega_1^2}$. A little bit of algebra quickly shows that the energy E may be reexpressed as a function of $J_1 = J_2$ and reads

$$E = 2 J_1 \sqrt{1 + \frac{n^2 \lambda}{4 J_1^2}} \quad (25)$$

which is analytic in $\frac{\lambda}{J_1^2}$! This amounts to an all loop prediction for the dual gauge theory scaling dimension in the BMN limit $J_1 \rightarrow \infty$ with λ/J_1^2 fixed, quite similar to the result for the plane-wave superstring discussed above.

Let us now discuss the folded and circular string solutions in turn.

⁴The case $\omega_{21} = 0$ is discussed in subsection 3.1 below.

3.2 The folded string: $q \leq 1$

In the folded case J_1 may be expressed in terms of an elliptic integral⁵ by substituting (using (19))

$$d\sigma = \frac{d\psi}{\omega_{21} \sqrt{q - \sin^2 \psi}} \quad (26)$$

into (22) and performing some elementary transformations to find ($q = \sin^2 \psi_0$)

$$J_1 = \frac{\sqrt{\lambda} \omega_1}{2\pi} 4 \int_0^{\psi_0} d\psi \frac{\cos^2 \psi}{\omega_{21} \sqrt{\sin^2 \psi_0 - \sin^2 \psi}} = \frac{2 \sqrt{\lambda} \omega_1}{\pi \omega_{21}} E(\sin^2 \psi_0), \quad (27)$$

where we only need to integrate over one quarter of the folded string due to symmetry considerations (see figure 2). Additionally we have

$$2\pi = \int_0^{2\pi} d\sigma = 4 \int_0^{\psi_0} \frac{d\psi}{\omega_{21} \sqrt{\sin^2 \psi_0 - \sin^2 \psi}} = \frac{4}{\omega_{21}} K(\sin^2 \psi_0). \quad (28)$$

The four equation (20), (23), (27) and (28) may then be used to eliminate the parameters of our solution κ , ω_1 and ω_2 . For this rewrite (27) and (28) as ($\kappa = E/\sqrt{\lambda}$)

$$\frac{\omega_1}{\omega_{21}} = \frac{\pi}{2 \sqrt{\lambda}} \frac{J_1}{E(q)}, \quad \frac{\kappa}{\omega_{21}} = \frac{\pi}{2 \sqrt{\lambda}} \frac{E}{K(q)}, \quad (29)$$

and use (23) to deduce

$$\frac{\omega_2}{\omega_{21}} = \frac{\pi}{2 \sqrt{\lambda}} \frac{J_2}{K(q) - E(q)}. \quad (30)$$

Then the Virasoro constraint equation (20) and the identity $1 = (\omega_2^2 - \omega_1^2)/\omega_{21}^2$ yield the two folded string equations

$$\frac{4 q \lambda}{\pi^2} = \frac{E^2}{K(q)^2} - \frac{J_1^2}{E(q)^2}, \quad \frac{4 \lambda}{\pi^2} = \frac{J_2^2}{(K(q) - E(q))^2} - \frac{J_1^2}{E(q)^2}, \quad (31)$$

which implicitly define the sought after energy function $E = E(J_1, J_2)$ upon further elimination of q . This is achieved by assuming an analytic behavior of q and E in the BMN type limit of large total angular momentum $J := J_1 + J_2 \rightarrow \infty$ with λ/J^2 held fixed

$$q = q_0 + \frac{\lambda}{J^2} q_1 + \frac{\lambda^2}{J^4} q_2 + \dots$$

$$E = J \left(E_0 + \frac{\lambda}{J^2} E_1 + \frac{\lambda^2}{J^4} E_2 + \dots \right). \quad (32)$$

⁵Our conventions are ($x < 1$)

$$E(x) := \int_0^{\pi/2} d\psi \sqrt{1 - x \sin^2 \psi} \quad K(x) := \int_0^{\pi/2} d\psi \frac{1}{\sqrt{1 - x \sin^2 \psi}}.$$

Plugging these expansions into (31) one can solve for the q_i and E_i iteratively. At leading order $E_0 = 1$ (as it should from the dual gauge theory perspective) and q_0 is implicitly determined through the “filling fraction” J_2/J

$$\frac{J_2}{J} = 1 - \frac{E(q_0)}{K(q_0)}. \quad (33)$$

The first non-trivial term in the energy is then expressed in terms of q_0 through

$$E_1 = \frac{2}{\pi^2} K(q_0) \left(E(q_0) - (1 - q_0) K(q_0) \right) \quad (34)$$

yielding a clear prediction for one-loop gauge theory. The higher order E_i can then also be straightforwardly obtained. To give a concrete example, let us evaluate E for the first few orders in λ/J^2 in the “half filled” case $J_1 = J_2$:

$$E = 2 J_1 \left(1 + \frac{0.71}{8} \frac{\lambda}{J_1^2} - \frac{1.69}{32} \frac{\lambda^2}{J_1^4} + \dots \right) \quad (35)$$

which shows that the energy of the folded string configuration is smaller than the one of the closed configuration of (25) for single winding $n = 1$.

3.3 The circular string: $q > 1$

For $q > 1$ the string does not fold back onto itself and extends around the full circle of $\psi \in [0, 2\pi]$ as σ runs from 0 to 2π . The initial expression for (27) now changes only marginally to

$$J_1 = \frac{\sqrt{\lambda} \omega_1}{2\pi} \int_0^{2\pi} d\psi \frac{\cos^2 \psi}{\omega_{21} \sqrt{q - \sin^2 \psi}}. \quad (36)$$

Elementary transformations yield

$$J_1 = \frac{2\sqrt{\lambda} \omega_1}{\pi \omega_{21}} \left[\frac{1-q}{\sqrt{q}} K(q^{-1}) + \sqrt{q} E(q^{-1}) \right]. \quad (37)$$

Analogously (28) now becomes

$$2\pi = \int_0^{2\pi} d\sigma = 4 \int_0^{\pi/2} \frac{d\psi}{\omega_{21} \sqrt{\sin^2 \psi_0 - \sin^2 \psi}} = \frac{4}{\omega_{21} \sqrt{q}} K(q^{-1}). \quad (38)$$

The corresponding relations to (29) and (30) then take the form

$$\begin{aligned} \frac{1}{\omega_{21}} &= \frac{\pi}{2} \frac{\sqrt{q}}{K(q^{-1})}, & \frac{\omega_1}{\omega_{21}} &= \frac{\pi}{2\sqrt{\lambda}} \frac{\sqrt{q} J_1}{(1-q) K(q^{-1}) + q E(q)}, \\ \frac{\omega_2}{\omega_{21}} &= \frac{\pi}{2\sqrt{\lambda}} \frac{J_2}{\sqrt{q} [K(q^{-1}) - E(q^{-1})]}. \end{aligned} \quad (39)$$

From these one deduces in complete analogy to (31) the two circular string equations

$$\begin{aligned}\frac{4\lambda}{\pi^2} &= \frac{E^2}{K(q^{-1})^2} - \frac{J_1^2}{[(1-q)K(q^{-1}) + qE(q^{-1})]^2} \\ \frac{4q\lambda}{\pi^2} &= \frac{J_2^2}{[K(q^{-1}) - E(q^{-1})]^2} - \frac{q^2 J_1^2}{[(1-q)K(q^{-1}) + qE(q^{-1})]^2},\end{aligned}\quad (40)$$

which encode the energy relation $E = E(J_1, J_2)$ upon elimination of q . In order to do this we again make an analytic ansatz in λ/J^2 for q and E as we did in (32). This yields the following implicit expression for q_0 in terms of the filling fraction J_2/J

$$\frac{J_2}{J} = q_0 \left(1 - \frac{E(q_0^{-1})}{K(q_0^{-1})} \right), \quad (41)$$

The first two energy terms in the λ/J^2 expansion then take the form

$$E_0 = 1, \quad E_1 = \frac{2}{\pi^2} E(q_0^{-1}) K(q_0^{-1}), \quad (42)$$

which again gives a clean prediction for the dual gauge theory scaling dimensions at one-loop.

In figure 3 we have plotted the energies of the folded and circular string solutions against the filling fraction J_2/J . As expected from the “string pendulum” picture of (19) the folded string solution has lower energy for fixed filling fraction J_2/J : The pendulum does not perform a full turn, but oscillates back and forth. Note that the folded string approaches $E_1 = 0$ in the limit $J_2/J \rightarrow 0$, which is the rotating point-particle solution of subsection 2.1. The quantum fluctuations about it correspond to the plane-wave string domain. Note also that the simplest circular string solution with $\omega_1 = \omega_2$ discussed in subsection 3.1 is the minimum ($J_2/J = 0.5$) of the full circular string solution for $n = 1$.

These classical string energies will be reproduced in a dual one-loop gauge theory computation in section 4.

3.4 Further Developments

The discussed explicit solutions of the bosonic string on a $\mathbb{R} \times S^3$ background are the first simple elements of the general set of classical solutions of the bosonic $AdS_5 \times S^5$ string considered in the literature. Earlier examples not discussed in the text are [17, 37, 38]. The bosonic part of the classical string action consists of a $SO(6)$ and a $SO(2, 4)$ σ -model augmented by the conformal gauge (Virasoro) constraints. The $O(p, q)$ σ -models have been known to be integrable for a long time [39, 40, 41, 42] and one would expect this to remain true also once one imposes the conformal gauge constraints. And indeed in [43, 44] more complicated solutions of the $AdS_5 \times S^5$

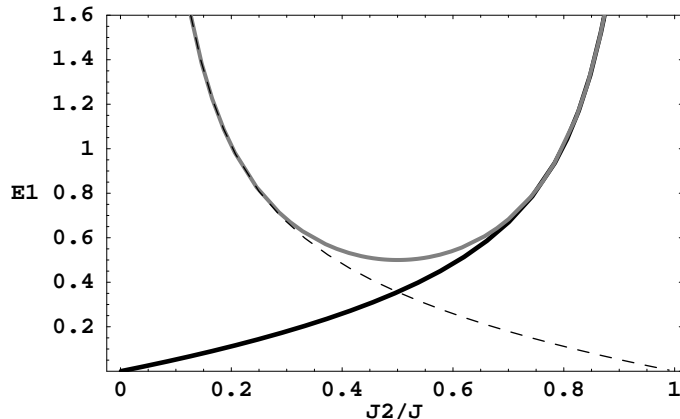


Figure 3: The one-loop energies of the folded (dark) and circular (light) string solutions plotted against the filling fraction J_2/J . The dashed curve is the mirrored folded string solution where one interchanges $J_1 \leftrightarrow J_2$.

string were constructed by reducing the system to the so-called Neumann integrable system through a suitable ansatz. The solutions of [43, 44] involve nonvanishing values for all spins and angular momenta $(S_1, S_2, J_1, J_2, J_3)$. However, they do not generically display an analytic behavior in the effective coupling constant λ' . This is true only for configurations with at least one large charge J_i on the S^5 .

In the context of the $O(p, q)$ σ -models integrability is based on the existence of a Lax pair, a family of flat connections on the 2d string worldsheet, giving rise to an infinite number of conserved charges. These were first discussed in the context of the bosonic string in [45] and for the full superstring in [21]. The Lax pair for the string was put to use in [46] for string configurations on $\mathbb{R} \times S^3$ – the sector we also considered in the above. These investigations led to the construction of an underlying algebraic curve parametrizing the solutions. This enabled the authors of [46] to write down an integral equation of Bethe type yielding the associated energies of the solutions. Very similar equations will appear below in our discussion in section 4.2 on the thermodynamic limit of the gauge theory Bethe equations. The extraction of these integral equations from the string σ -model then allows for a direct comparison to the gauge theory Bethe equations. On this level of formalization, there is no need to compare explicit solutions any longer – as we are doing here for pedagogical purposes. This construction based on an underlying algebraic curve makes full use of the technology of integrable systems and has been nicely reviewed by Zarembo in [25].

In the very interesting paper [47] these continuum string Bethe equations were boldly discretized leading to a conjectured set of Bethe equations for the *quantum* spectrum of the string. This proposal has been verified by comparing it to known

quantum data of the $AdS_5 \times S^5$ string: The near plane-wave spectrum of the superstring of [48, 49, 50, 51] as well as the expected [52] generic scaling of the string energies with $\lambda^{1/4}$ in the strong coupling limit agree with the predictions of the quantum string Bethe equations. But there is more quantum data for the $AdS_5 \times S^5$ string available: In a series of papers by Tseytlin, Frolov and collaborators one-loop corrections on the string worldsheet to the energies of various spinning string solutions have been computed [16, 53, 54]. The one loop correction for a circular string moving in $AdS_3 \times S^1 \subset AdS_5 \times S^5$ obtained in [54] was recently compared [55] to the result obtained from the proposed quantum string Bethe equations of [47]. The authors of [55] find agreement when they expand the results in λ' (up to third order), but disagreements emerge in different limits (where λ' is not small). The interpretation of this result is unclear at present. Finally the proposed quantum string Bethe equations of [47] can also be microscopically attributed to a $s = 1/2$ spin chain model with long-range interactions up to (at least) order five in a small λ expansion [56].

The technically involved construction of algebraic curves solving the classical $\mathbb{R} \times S^3$ string σ -model has subsequently been generalized to larger sectors: In [57] to $\mathbb{R} \times S^5$ (or $SO(6)$ in gauge theory language) configurations, in [58] to $AdS_3 \times S^1$ (or $SL(2)$) string configurations and finally in [59] to superstrings propagating in the full $AdS_5 \times S^5$ space.

There has also been progress on a number of possible paths towards the true quantization of the classical integrable model of the $AdS_5 \times S^5$ string in the works [60, 61, 62, 63, 64, 65], however, it is fair to say that this problem remains currently unsolved.

4 The dual gauge theory side

Let us now turn to the identification of the folded and circular string solutions in the dual gauge theory.

Our aim is to reproduce the obtained energy functions $E_1(J_1, J_2)$ plotted in figure 3 from a dual gauge theory computation at one-loop. For this we need to identify the gauge theory operators, which are dual to the spinning strings on $\mathbb{R} \times S^3$. As here $J_2 = 0 = S_1 = S_2$ the relevant operators will be built from the two complex scalars $Z := \phi_1 + i\phi_2$ and $W := \phi_3 + i\phi_4$ with a total number of J_1 Z -fields and J_2 W -fields, i.e.

$$\mathcal{O}_\alpha^{J_1, J_2} = \text{Tr}[Z^{J_1} W^{J_2}] + \dots, \quad (43)$$

where the dots denote suitable permutations of the Z and W to be discussed. An operator of the form (43) may be pictured as a ring of black (“ Z ”) and red (“ W ”) beads – or equivalently as a configuration of an $s = 1/2$ quantum spin chain, where

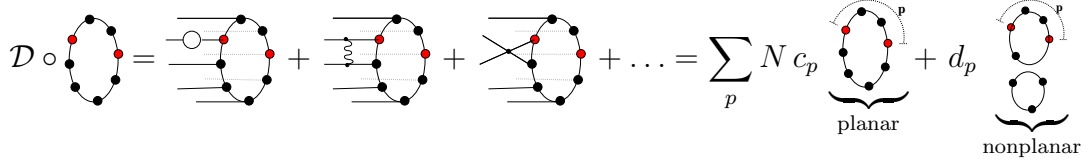


Figure 4: The action of the dilatation operator on a trace operator.

W corresponds to the state $|\uparrow\rangle$ and Z to $|\downarrow\rangle$.

$$\text{Tr}[ZW^2ZW^4] \Leftrightarrow \text{diagram} \Leftrightarrow |\downarrow\uparrow\uparrow\downarrow\uparrow\uparrow\uparrow\uparrow\rangle_{\text{cyclic}}$$

How does one compute the associated scaling dimensions at (say) one loop order for $J_1, J_2 \rightarrow \infty$? Clearly one is facing a huge operator mixing problem as all $\mathcal{O}_i^{J_1, J_2}$ with arbitrary permutations of Z 's and W 's are degenerate at tree level where $\Delta_0^{\mathcal{O}_i^{J_1, J_2}} = J_1 + J_2$.

A very efficient tool to deal with this problem is the dilatation operator \mathcal{D} , which was introduced in [66, 8]. It acts on the trace operators $\mathcal{O}_\alpha^{J_1, J_2}$ at a fixed space-time point x and its eigenvalues are the scaling dimensions Δ

$$\mathcal{D} \circ \mathcal{O}_\alpha^{J_1, J_2}(x) = \sum_\beta \mathcal{D}_{\alpha\beta} \mathcal{O}_\beta^{J_1, J_2}(x). \quad (44)$$

The dilatation operator is constructed in such a fashion as to attach the relevant diagrams to the open legs of the “incoming” trace operators as depicted in figure 4 and may be computed in perturbation theory

$$\mathcal{D} = \sum_{n=0}^{\infty} \mathcal{D}^{(n)}, \quad (45)$$

where $\mathcal{D}^{(n)}$ is of order g_{YM}^{2n} . For the explicit computation of the one-loop piece $\mathcal{D}^{(1)}$ see e.g. the review [12], where the concrete relation to two-point functions is also explained. In our ‘minimal’ $SU(2)$ sector of complex scalar fields Z and W it takes the rather simple form

$$\mathcal{D}^{(0)} = \text{Tr}(Z\check{Z} + W\check{W}), \quad \mathcal{D}^{(1)} = -\frac{g_{\text{YM}}^2}{8\pi^2} \text{Tr}[Z, W][\check{Z}, \check{W}], \quad \text{where} \quad \check{Z}_{ij} := \frac{d}{dZ_{ji}}. \quad (46)$$

Note that the tree-level piece $\mathcal{D}^{(0)}$ simply measures the length of the incident operator (or spin chain) $J_1 + J_2$. The eigenvalues of the dilatation operator then yield the scaling dimensions we are looking for – diagonalization of \mathcal{D} solves the mixing problem.

We shall be exclusively interested in the planar contribution to \mathcal{D} , as this sector of the gauge theory corresponds to the “free” (in the sense of $g_s = 0$) $AdS_5 \times S^5$ string. For this it is important to realize that the planar piece of $\mathcal{D}^{(1)}$ only acts on two neighboring fields in the chain of Z ’s and W ’s. This may be seen by evaluating explicitly the action of $\mathcal{D}^{(1)}$ on two fields Z and W separated by arbitrary matrices \mathcal{A} and \mathcal{B}

$$\text{Tr} [Z, W] [\check{Z}, \check{W}] \circ \text{Tr}(Z \mathcal{A} W \mathcal{B}) = -\text{Tr}(\mathcal{A}) \text{Tr}([Z, W] \mathcal{B}) + \text{Tr}(\mathcal{B}) \text{Tr}([Z, W] \mathcal{A}). \quad (47)$$

Clearly there is an enhanced contribution when $\mathcal{A} = 1$ or $\mathcal{B} = 1$, i.e. Z and W are nearest neighbours on the spin chain. From the above computation we learn that

$$\mathcal{D}_{\text{planar}}^{(1)} = \frac{\lambda}{8\pi^2} \sum_{i=1}^L (\mathbf{1}_{i,i+1} - P_{i,i+1}) \quad (48)$$

where $P_{i,j}$ permutes the fields (or spins) at sites i and j and periodicity $P_{L,L+1} = P_{1,L}$ is understood. Remarkably, as noticed by Minahan and Zarembo [19], this spin-chain operator is the Heisenberg $\text{XXX}_{1/2}$ quantum spin chain Hamiltonian, which is the prototype of an integrable spin-chain. Written in terms of the Pauli matrices $\vec{\sigma}_i$ acting on the spin at site i one finds

$$\mathcal{D}_{\text{planar}}^{(1)} = \frac{\lambda}{8\pi^2} \mathcal{H}_{\text{XXX}_{1/2}} = \frac{\lambda}{4\pi^2} \sum_{i=1}^L \left(\frac{1}{4} - \vec{\sigma}_i \cdot \vec{\sigma}_{i+1} \right). \quad (49)$$

Due to the positive sign in front of the sum, the spin chain is ferromagnetic and its ground state is $|\downarrow\downarrow \dots \downarrow\rangle_{\text{cyclic}} \Leftrightarrow \text{Tr}(Z^L)$: The gauge dual of the rotating point particle of section 2.1. Excitations of the ground state are given by spin flips or “magnons”. Note that a one-magnon excitation $|\downarrow \dots \uparrow\downarrow \dots \downarrow\rangle_{\text{cyclic}}$ has vanishing energy due to the cyclic property of the trace, it corresponds to a zero mode plane-wave string excitation $\alpha_0^\dagger |0\rangle$. Two-magnon excitations are the first stringy excitations which are dual to the $\alpha_n^\dagger \alpha_{-n}^\dagger |0\rangle$ state of the plane-wave string in the BMN limit.

The integrability of the spin-chain amounts to the existence of $L - 1$ higher charges Q_k which commute with the Hamiltonian (alias dilatation operator) and amongst themselves, i.e. $[Q_k, Q_l] = 0$. Explicitly the first two charges of the Heisenberg chain are given by

$$Q_2 := \mathcal{H}_{\text{XXX}_{1/2}} \quad Q_3 = \sum_{i=1}^L (\vec{\sigma}_i \times \vec{\sigma}_{i+1}) \cdot \vec{\sigma}_{i+2}. \quad (50)$$

The explicit form of all the higher Q_k may be found in [67]. Note that Q_k will involve up to k neighboring spin interactions.

4.1 The coordinate Bethe ansatz

We now discuss the ansatz that enabled Bethe to diagonalize the Heisenberg model in 1931 [68]⁶. For this we will for the moment drop the cyclicity constraint imposed on us from the underlying trace structure of the gauge theory operators and treat a general non-cyclic, but periodic, spin chain. The vacuum state of the Heisenberg chain is then given by $|\downarrow \dots \downarrow\rangle$. Let $|x_1, x_2, \dots, x_J\rangle$ with $x_1 < x_2 < \dots < x_J$ denote a state of the chain with up-spins (magnons) located at sites x_i , i.e. $|1, 3, 4\rangle_{L=5} = |\downarrow \uparrow \downarrow \downarrow \uparrow\rangle$. It is useful to think of these spin flips as particles located at the sites x_i . Note that the Hamiltonian preserves the magnon or particle number.

The one magnon sector is then trivially diagonalized by Fourier transformation

$$|\psi(p_1)\rangle := \sum_{x=1}^L e^{ip_1 x} |x\rangle, \quad \text{with} \quad Q_2 |\psi(p_1)\rangle = 4 \sin^2\left(\frac{p_1}{2}\right) |\psi(p_1)\rangle \quad (51)$$

$$\text{where} \quad Q_2 = \sum_{i=1}^L (\mathbf{1}_{i,i+1} - P_{i,i+1}) \quad (52)$$

as $2 - e^{ip} - e^{-ip} = 4 \sin^2(p/2)$. The periodic boundary conditions require the one-magnon momenta to be quantized $p_1 = \frac{2\pi k}{L}$ with $k \in \mathbb{Z}$.

Next consider a general two-magnon state of the form

$$|\psi(p_1, p_2)\rangle = \sum_{1 \leq x_1 < x_2 \leq L} \psi(x_1, x_2) |x_1, x_2\rangle. \quad (53)$$

with a two-particle wave-function $\psi(x_1, x_2)$. The “position space” Schrödinger equation following from $\sum_{i=1}^L (1 - P_{i,i+1}) |\psi(p_1, p_2)\rangle = E_2 |\psi(p_1, p_2)\rangle$ then leads to two sets of equations, depending on whether the particles lie next to each other or not:

$$\begin{aligned} x_2 > x_1 + 1 \quad E_2 \psi(x_1, x_2) &= 2 \psi(x_1, x_2) - \psi(x_1 - 1, x_2) - \psi(x_1 + 1, x_2) \\ &\quad + 2 \psi(x_1, x_2) - \psi(x_1, x_2 - 1) - \psi(x_1, x_2 + 1) \end{aligned} \quad (54)$$

$$x_2 = x_1 + 1 \quad E_2 \psi(x_1, x_2) = 2 \psi(x_1, x_2) - \psi(x_1 - 1, x_2) - \psi(x_1, x_2 - 1). \quad (55)$$

E_2 is the eigenvalue of Q_2 and related to the gauge theory scaling dimensions as $\Delta = L + \frac{\lambda}{8\pi^2} E_2 + \mathcal{O}(\lambda^2)$. The above equations can be fulfilled by a superposition ansatz with an incoming and outgoing plane wave (Bethe’s ansatz)

$$\psi(x_1, x_2) = e^{i(p_1 x_1 + p_2 x_2)} + S(p_2, p_1) e^{i(p_2 x_1 + p_1 x_2)}, \quad (56)$$

where $S(p_1, p_2)$ denotes the S-matrix of the scattered particles. Note that in the second term describing the scattered contribution the two particles have simply

⁶For a nice and detailed review on this topic see [69]. The technology of the algebraic Bethe ansatz is reviewed in [70].

exchanged their momenta. One easily sees that (54) is fulfilled for an arbitrary $S(p_2, p_1)$ yielding the energy as a sum of one-particle energies

$$E_2 = 4 \sin^2\left(\frac{p_1}{2}\right) + 4 \sin^2\left(\frac{p_2}{2}\right). \quad (57)$$

Eq. (55) then determines the S-matrix to be of the form

$$S(p_1, p_2) = \frac{\varphi(p_1) - \varphi(p_2) + i}{\varphi(p_1) - \varphi(p_2) - i} \quad \text{with} \quad \varphi(p) = \frac{1}{2} \cot\left(\frac{p}{2}\right). \quad (58)$$

Note that $S(p_1, p_2)^{-1} = S(p_2, p_1)$. This solves the infinite length chain. For a finite chain the momenta p_i are no longer arbitrary continuous quantities, but become discrete through the periodic boundary condition

$$\psi(x_1, x_2) = \psi(x_2, x_1 + L). \quad (59)$$

This in turn then leads to the Bethe equations for the two magnon problem

$$e^{ip_1 L} = S(p_1, p_2) \quad \text{and} \quad e^{ip_2 L} = S(p_2, p_1) \quad (60)$$

implying $p_1 + p_2 = 2\pi m$ with an arbitrary integer m . The solutions of the algebraic equations (60) for p_1 and p_2 then determine the corresponding energies by plugging the resulting quasi-momenta p_i into (57).

The magic of integrability now is that this information is all that is needed to solve the general M -body problem! This phenomenon is known as factorized scattering: The multi-body scattering process factorizes into a sequence of two-body interactions under which two incoming particles of momenta p_i and p_j scatter off each other *elastically* with the S-matrix $S(p_j, p_i)$, thereby simply exchanging their momenta. That is the M -body wavefunction takes the form [68, 69]

$$\psi(x_1, \dots, x_M) = \sum_{P \in \text{Perm}(M)} \exp \left[i \sum_{i=1}^M p_{P(i)} x_i + \frac{i}{2} \sum_{i < j} \theta_{P(i)P(j)} \right] \quad (61)$$

where the sum is over all $M!$ permutations of the labels $\{1, 2, \dots, M\}$ and the phase shifts $\theta_{ij} = -\theta_{ji}$ are related to the S-matrix (58) by

$$S(p_i, p_j) = \exp[i\theta_{ij}]. \quad (62)$$

The M -magnon Bethe ansatz then yields the set of M Bethe equations

$$e^{ip_k L} = \prod_{i=1, i \neq k}^M S(p_k, p_i) \quad (63)$$

with the two-body S-matrix of (58) and the additive energy expression

$$E_2 = \sum_{i=1}^M 4 \sin^2\left(\frac{p_i}{2}\right). \quad (64)$$

In order to reinstate the cyclicity of the trace condition one needs to further impose the constraint of a total vanishing momentum

$$\sum_{i=1}^M p_i = 0. \quad (65)$$

As an example let us diagonalize the two magnon problem exactly. Due to (65) we have $p := p_1 = -p_2$ and the Bethe equations (60) reduce to the single equation

$$e^{ipL} = \frac{\cot \frac{p}{2} + i}{\cot \frac{p}{2} - i} = e^{ip} \quad \Rightarrow \quad e^{ip(L-1)} = 1 \quad \Rightarrow \quad p = \frac{2\pi n}{L-1}. \quad (66)$$

The energy eigenvalue then reads

$$E_2 = 8 \sin^2\left(\frac{\pi n}{L-1}\right) \xrightarrow{L \rightarrow \infty} 8\pi^2 \frac{n^2}{L^2}, \quad (67)$$

which upon reinserting the dropped prefactor of $\frac{\lambda}{8\pi^2}$ yields the one-loop scaling dimension $\Delta^{(1)} = \frac{\lambda}{\pi^2} \sin^2\left(\frac{\pi n^2}{L^2}\right)$ of the two-magnon operators [71, 19]

$$\mathcal{O}_n^{(J,2)} = \sum_{p=0}^J \cos\left(\pi n \frac{2p+1}{J+1}\right) \text{Tr}(W Z^p W Z^{J-p}). \quad (68)$$

In the BMN limit $N, J \rightarrow \infty$ with λ/J^2 fixed the scaling dimension takes the famous value $\Delta^{(1)} = n^2 \lambda/J^2$, corresponding to the first term in the expansion of the level-two energy spectrum of the plane-wave superstring $E_{\text{light-cone}} = \sqrt{1 + n^2 \lambda/J^2}$ [5].

Hence from the viewpoint of the spin chain the plane-wave limit corresponds to a chain of diverging length $L \gg 1$ carrying a finite number of magnons M , which are nothing but the gauge duals of the oscillator excitations of the plane-wave superstring.

4.2 The thermodynamic limit of the spin-chain

In order to make contact with the spinning string solution discussed in section 3 we will now consider the thermodynamic limit of the spin chain in which the length L and the number of magnons M become large. This is necessary as the classical string solutions only limit to the true quantum result in the BMN type

limit $J_2, J \rightarrow \infty$ with the filling fraction J_2/J held fixed (here $J_2 = M$ and $J = L$). This thermodynamic groundstate solution of the gauge theory Bethe equations was found in [72, 73, 74, 75] which we closely follow.

For this it is useful to reexpress the Bethe equations (63) in terms of the Bethe roots u_k related to the momenta via

$$u_k = \frac{1}{2} \cot \frac{p_k}{2} \quad (69)$$

for which the Bethe equations (63) and the momentum constraint (65) become

$$\left(\frac{u_i + i/2}{u_i - i/2} \right)^L = \prod_{k \neq i}^M \frac{u_i - u_k + i}{u_i - u_k - i}, \quad \prod_{i=1}^M \frac{u_i + i/2}{u_i - i/2} = 1. \quad (70)$$

The energy then is

$$Q_2 = \sum_{i=1}^M \frac{1}{u_i^2 + \frac{1}{4}}. \quad (71)$$

The momentum constraint can be satisfied by considering symmetric root distributions of the form $(u_i, -u_i, u_i^*, -u_i^*)$. The thermodynamic limit is now obtained by first taking the logarithm of (70)

$$L \ln \left(\frac{u_i + i/2}{u_i - i/2} \right) = \sum_{k=1 (k \neq i)}^M \ln \left(\frac{u_i - u_k + i}{u_i - u_k - i} \right) - 2\pi i n_i, \quad (72)$$

where n_i is an arbitrary integer associated to every root u_i . One self-consistently assumes that the momenta scale as $p_i \sim 1/L$ for $L \rightarrow \infty$ implying that the Bethe roots scale as $u_i \sim L$. Therefore in the $L \rightarrow \infty$ limit the above equation reduces to

$$\frac{1}{u_i} = 2\pi n_j + \frac{2}{L} \sum_{k=1 (k \neq i)}^M \frac{1}{u_j - u_k}. \quad (73)$$

In the thermodynamic limit the roots u_i accumulate on smooth contours in the complex plane known as ‘‘Bethe strings’’ which turn the set of algebraic Bethe equations into an integral equation. To see this introduce the Bethe root density

$$\rho(u) := \frac{1}{M} \sum_{j=1}^M \delta(u - u_j) \quad \text{with} \quad \int_C du \rho(u) = 1, \quad (74)$$

where C is the support of the density. i.e. the union of all Bethe string contours. Multiplying (73) with u_i and introducing $\rho(u)$ one arrives at the singular integral equation⁷

$$\oint_C dv \frac{\rho(v) u}{v - u} = -\frac{1}{2\alpha} + \frac{\pi n_{C(u)} u}{\alpha} \quad \text{where} \quad u \in C \quad \text{and} \quad \alpha := \frac{M}{L}. \quad (75)$$

⁷ $\oint dv \frac{(\dots)}{v-u}$ denotes the principle part prescription.

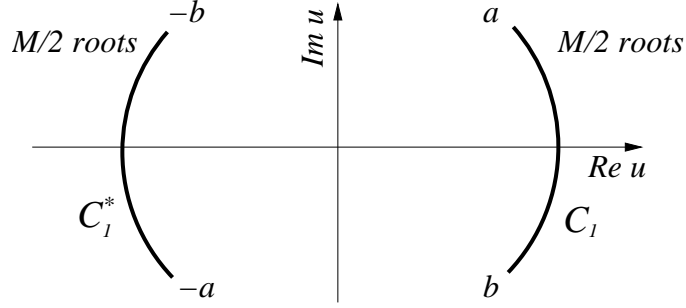


Figure 5: Bethe root distribution for the gauge dual of the folded string. For large L the roots condense into two cuts in the complex plane.

The mode numbers $n_{C(u)}$ are integers which are assumed to be constant on each smooth component C_n of the density support $C = \cup C_n$ in the complex plane. These integers and the distribution of components C_n select the numerous solutions to the continuum Bethe equations (75). Furthermore the continuum energy now becomes

$$Q_2 = M \int_C \frac{\rho(u)}{u^2}. \quad (76)$$

As was shown in [72] the gauge dual to the folded string solution of section 3.2 corresponds to a two cut support $C = C_1 \cup C_1^*$ with $n_{C_1} = -1$ and $n_{C_1^*} = 1$ sketched in figure 5. The key trick to obtain analytical expressions for $\rho(u)$ is to consider the analytic continuation to negative filling fraction $\beta := -\alpha$: Then the two cuts $C_1 \cup C_1^*$ are mapped to intervals on the real line ($C_1^* \rightarrow [-b, -a]$ and $C_1 \rightarrow [a, b]$) [72]. Then (75) may be brought into the compact form

$$\oint_a^b dv \frac{\tilde{\rho}(v) u^2}{v^2 - u^2} = \frac{1}{4} - \frac{\pi u}{2} \quad \text{with} \quad \int_a^b dv \tilde{\rho}(v) = \frac{\beta}{2}, \quad (77)$$

using $\rho(-v) = \rho(v)$ and defining $\tilde{\rho}(v) := \beta \rho(v)$. In order to proceed one introduces the resolvent

$$H(u) := \int_a^b dv \tilde{\rho}(v) \frac{v^2}{v^2 - u^2} = -\frac{\alpha}{2} + \sum_{k=1}^{\infty} Q_{2k} u^{2k} \quad (78)$$

which gives rise to the infinite tower of conserved even charges Q_{2k} with the energy $E_2 = \frac{1}{8\pi^2} Q_2$ [74]. Across the cut $u \in [a, b]$ the resolvent $H(u)$ behaves as

$$H(u \pm i\epsilon) = -\frac{\alpha}{2} + \frac{1}{4} - \frac{\pi}{2} u \pm i\pi \frac{u}{2} \tilde{\rho}(u), \quad u \in [a, b], \quad (79)$$

which one shows using the distributional identity $\frac{1}{x \pm i\epsilon} = P(\frac{1}{x}) \mp i\pi \delta(x)$ and eq. (77).

From this one obtains an integral expression for the resolvent

$$H(u) = -\frac{\alpha}{2} + \frac{1}{4} - \int_a^b dv \frac{v^2}{v^2 - u^2} \sqrt{\frac{(b^2 - u^2)(a^2 - u^2)}{(b^2 - v^2)(v^2 - a^2)}}, \quad (80)$$

which in turn self-consistently yields the density

$$\tilde{\rho}(u) = \frac{2}{\pi u} \int_a^b dv \frac{v^2}{v^2 - u^2} \sqrt{\frac{(b^2 - u^2)(u^2 - a^2)}{(b^2 - v^2)(v^2 - a^2)}}, \quad (81)$$

Finally the interval boundaries a and b are implicitly determined through the normalization and positivity conditions on $\tilde{\rho}(u)$ by the relations [73, 74]

$$\frac{1}{a} = 4K(q), \quad \frac{1}{b} = 4\sqrt{1-q}K(q), \quad q := \frac{b^2 - a^2}{b^2}. \quad (82)$$

The resolvent and the density may be expressed in closed forms using the elliptic integral of the third kind⁸

$$\begin{aligned} H(u) &= -\frac{\alpha}{2} + \frac{1}{4} - \frac{\pi}{2}u - \frac{1}{4b} \sqrt{\frac{a^2 - u^2}{b^2 - u^2}} \left[\frac{b^2}{a} - 4u^2 \Pi\left(\frac{b^2 - u^2}{b^2}, q\right) \right], \\ \tilde{\rho}(u) &= \frac{1}{2\pi b u} \sqrt{\frac{u^2 - a^2}{b^2 - u^2}} \left[\frac{b^2}{a} - 4u^2 \Pi\left(\frac{b^2 - u^2}{b^2}, q\right) \right]. \end{aligned} \quad (83)$$

From this it is straightforward to (finally) extract the energy eigenvalue Q_2 of the two cut solution in the parametric form

$$E_2 = \frac{1}{2\pi^2} K(q) \left[2E(q) - (2 - q)K(q) \right] \quad (84)$$

with

$$\alpha = \frac{J_2}{J} = \frac{1}{2} - \frac{1}{2\sqrt{1-q}} \frac{E(q)}{K(q)}. \quad (85)$$

This final result for the one loop gauge theory anomalous scaling dimension can now be compared to the folded string energies of section 3.2 eqs. (33) and (34). They do not manifestly agree, however, if one relates the auxiliary parameters q_0 and q through [73]

$$q_0 = -\frac{(1 - \sqrt{1-q})^2}{4\sqrt{1-q}} \quad (86)$$

one may show that

$$K(q_0) = (1 - q)^{1/4} K(q), \quad E(q_0) = \frac{1}{2}(1 - q)^{-1/4} E(q) + \frac{1}{2}(1 - q)^{1/4} K(q) \quad (87)$$

⁸Our convention is $\Pi(m^2, q) := \int_0^{\pi/2} \frac{d\phi}{(1 - m^2 \sin^2 \phi) \sqrt{1 - q \sin^2 \phi}}$.

using elliptic integral modular transformations. Using these relations the gauge theory result (84) and (85) may be transformed into the string result of (33) and (34). Hence the one-loop gauge theory scaling dimensions indeed agree with the string prediction!

The analysis of the circular string configuration goes along the same lines. Here the Bethe roots turn out to condense on the imaginary axis. The root density is then symmetric along the imaginary axis, $\rho(u) = \rho(-u)$ and remains constant along a segment $[-c, c]$. For $u > c$ and $u < -c$ it falls off towards zero. We shall not go through the detailed construction of the density for this configuration but refer the reader to the original papers of [72, 73] being best explained in [74]. The outcome of this analysis is again a perfect matching of the energy eigenvalue of the spin chain with the circular string energy of eqs. (41) and (42).

As a matter of fact one can go beyond this and match all the higher charges of gauge and string theory, as was shown for the first time in [74] by using an approach based on the Bäcklund transform.

4.3 Higher Loops in the $SU(2)$ sector and discrepancies

The discussed connection to an integrable spin chain at one-loop raises the question whether integrability is merely an artifact of the one-loop approximation or a genuine property of planar $\mathcal{N} = 4$ gauge theory. Remarkably all present gauge theory data points towards the latter being the case.

Higher loop contributions to the planar dilatation operator in the $SU(2)$ subsector are by now firmly established to the two-loop [8] and three-loop level [9, 10]. In $s = 1/2$ quantum spin chain language they take the explicit forms

$$\begin{aligned}\widehat{\mathcal{D}}_{2\text{-loop}} &= \sum_{i=1}^L -\vec{\sigma}_i \cdot \vec{\sigma}_{i+2} + 4 \vec{\sigma}_i \cdot \vec{\sigma}_{i+1} - 3 \cdot \mathbf{1} \\ \widehat{\mathcal{D}}_{3\text{-loop}} &= \sum_{i=1}^L -\vec{\sigma}_i \cdot \vec{\sigma}_{i+3} + (\vec{\sigma}_i \cdot \vec{\sigma}_{i+2})(\vec{\sigma}_{i+1} \cdot \vec{\sigma}_{i+3}) - (\vec{\sigma}_i \cdot \vec{\sigma}_{i+3})(\vec{\sigma}_{i+1} \cdot \vec{\sigma}_{i+2}) \\ &\quad + 10 \vec{\sigma}_i \cdot \vec{\sigma}_{i+2} - 29 \vec{\sigma}_i \cdot \vec{\sigma}_{i+1} + 20 \cdot \mathbf{1}.\end{aligned}$$

In general the k -loop contribution to the dilatation operator involves interactions of $k+1$ neighboring spins, i.e. the full dilatation operator $\mathcal{D} = \sum_{k=1}^{\infty} \mathcal{D}_{k\text{-loop}}$ will correspond to a long-range interacting spin-chain hamiltonian. Note also the appearance of novel quartic spin interactions $(\vec{\sigma}_i \cdot \vec{\sigma}_j)(\vec{\sigma}_k \cdot \vec{\sigma}_l)$ at the three-loop level. Generically even higher interactions of the form $(\vec{\sigma}_{\bullet} \cdot \vec{\sigma}_{\bullet})^k$ are expected at the $[\frac{k}{2}] + 1$ loop levels.

Integrability remains stable up to the three-loop order and acts in a perturbative sense: The conserved charges of the Heisenberg $XXX_{1/2}$ chain receive higher order corrections in λ of the form $\mathcal{Q}_k = \mathcal{Q}_k^{(1)} + \lambda \mathcal{Q}_k^{(2)} + \lambda^2 \mathcal{Q}_k^{(3)} + \dots$ as one would expect.

The full charges \mathcal{O}_k commute with each other ($[\mathcal{O}_k, \mathcal{O}_l] = 0$) which translates into commutation relations for the various loop contributions $\mathcal{O}_k^{(r)}$ upon expansion in λ , i.e.

$$\begin{aligned} [Q_k^{(1)}, Q_l^{(1)}] &= 0, & [Q_k^{(1)}, Q_l^{(2)}] + [Q_k^{(2)}, Q_l^{(1)}] &= 0 \\ [Q_k^{(1)}, Q_l^{(3)}] + [Q_k^{(2)}, Q_l^{(2)}] + [Q_k^{(3)}, Q_l^{(1)}] &= 0 \end{aligned} \quad (88)$$

and so on. However, opposed to the situation for the Heisenberg chain [70], there does not yet exist an algebraic construction of the gauge theory charges at higher loops. Nevertheless the first few Q_k have been constructed manually to higher loop-orders [56].

An additional key property of these higher-loop corrections is that they obey BMN scaling: The emergence of the effective loop-counting parameter $\lambda' := \lambda/J^2$ in the $J \rightarrow \infty$ limit leading to the scaling dimensions $\Delta \sim \sqrt{1 + \lambda' n^2}$ for two magnon states in quantitative agreement with plane-wave superstrings.

Motivated by these findings Beisert, Dippel and Staudacher [76] turned the logic around and simply *assumed* integrability, BMN scaling and a Feynman diagrammatic origin of the k -loop $SU(2)$ dilatation operator. Interestingly these assumptions constrain the possible structures of the planar dilatation operator completely up to the five-loop level (and possibly beyond).

How can one now diagonalize the higher-loop corrected dilatation operator? For this the ansatz for the Bethe wave-functions (56) needs to be adjusted in a perturbative sense in order to accommodate the long-range interactions, leading to a “perturbative asymptotic Bethe ansatz” for the two magnon wave function [77]

$$\begin{aligned} \psi(x_1, x_2) &= e^{i(p_1 x_1 + p_2 x_2)} f(x_2 - x_1, p_1, p_2) \\ &+ S(p_2, p_1) e^{i(p_2 x_1 + p_1 x_2)} f(L - x_2 + x_1, p_1, p_2). \end{aligned} \quad (89)$$

Here one needs to introduce a perturbative deformation of the S-matrix

$$S(p_1, p_2) = S_0(p_1, p_2) + \sum_{n=1}^{\infty} \lambda^n S_n(p_1, p_2) \quad (90)$$

which is determined by the eigenvalue problem. Moreover suitable “fudge functions” enter the ansatz

$$f(x, p_1, p_2) = 1 + \sum_{n=0}^{\infty} \lambda^{n+|x|} f_n(x, p_1, p_2) \xrightarrow{x \gg 1} 1 \quad (91)$$

which account for a deformation of the plane-wave form of the eigenfunction when two magnons approach each other within the interaction range of the spin-chain

hamiltonian⁹. By construction they are invisible in the asymptotic regime $x \gg 1$ (or rather x larger than the highest loop order considered) of well separated magnons. The detailed form of these functions is completely irrelevant for the physical spectrum as a consequence of the factorized scattering property of the integrable system.

With this perturbative asymptotic Bethe ansatz (89) one shows that the form of the Bethe equations remains unchanged, i.e. the perturbative S-matrix (90) simply appears on the right hand side of the equations

$$e^{ip_k L} = \prod_{i=1, i \neq k}^M S(p_k, p_i), \quad (92)$$

and is determined by demanding $\psi(x_1, x_2)$ to be an eigenfunction of the dilatation operator just as we did in section 4.1. Based on the constructed five-loop form of the dilatation operator the S-matrix is then determined up to $\mathcal{O}(\lambda^4)$. The obtained series in λ turns out to be of a remarkably simple structure, which enabled the authors of [76] to conjecture an asymptotic *all* loop expression for the perturbative S-matrix

$$S(p_1, p_2) = \frac{\varphi(p_1) - \varphi(p_2) + i}{\varphi(p_1) - \varphi(p_2) - i} \quad \text{with} \quad \varphi(p) = \frac{1}{2} \cot\left(\frac{p}{2}\right) \sqrt{1 + \lambda \sin^2\left(\frac{p}{2}\right)}, \quad (93)$$

to be compared to the one-loop form of (58). The conjectured asymptotic all loop form of the energy density generalizing the one-loop expression (64) reads¹⁰

$$\lambda q_2(p) = \sqrt{1 + 8 \lambda \sin^2\left(\frac{p}{2}\right)} - 1 \quad (94)$$

with the total energy being given by $E_2 = \sum_{i=1}^M q_2(p_i)$. Note that both expressions manifestly obey BMN scaling as the quasi-momenta scale like $p \sim L^{-1}$ in the thermodynamic limit as we discussed in section 4.2. It is important to stress that these Bethe equations only make sense asymptotically: For a chain (or gauge theory operator) of length L the eqs. (93) and (94) yield a prediction for the energy (or scaling dimension) up to $L - 1$ loops. This is so, as the interaction range of the Hamiltonian will reach the length of the spin chain beyond this point, and the multi-magnon wavefunctions of (89) can never enter the asymptotic regime. What happens beyond the L loop level is still a mystery. At this point the “wrapping” interactions start to set in: The interaction range of the spin chain Hamiltonian cannot spread any further and starts to “wrap” around the chain. In the dimensional reduced model of plane-wave matrix theory [5, 79, 80, 81, 82, 83] these effects have been studied explicitly at the four-loop level in [78] where the wrapping effects set in for the first

⁹We are here actually using a slightly modified definition of these functions to the one presented in [77] which was considered in [78].

¹⁰The full conjecture for all the higher charge densities q_k may be found in [76].

time in the $SU(2)$ subsector. No “natural” way of transforming the generic dilatation operator to the wrapping situation was found. Finally let us restate that it has not been shown so far that a microscopic long-range spin-chain Hamiltonian truly exists, which has a spectrum determined by the conjectured perturbative asymptotic Bethe equations (93) and (94) of Beisert, Dippel and Staudacher.

In any case, the proposed all-loop asymptotic Bethe equations (93) and (94) may now be studied in the thermodynamic limit just as we did above for the one-loop case. This was done in [84] and [76] and allows for a comparison to the results obtained in section 3 for the energies of the spinning folded and closed string solutions. Recall that these yield predictions to all-loops in λ' . While the two loop gauge theory result is in perfect agreement, the three-loop scaling dimensions *fail* to match with the expected dual string theory result! This three-loop disagreement also arises in the comparison to the near plane-wave string spectrum computed in [48, 49, 50, 51], i.e. the first $1/J$ corrections to the Penrose limit of $AdS_5 \times S^5$ to the plane-wave background.

Does this mean that the AdS/CFT correspondence does not hold in its strong sense? While this logical possibility certainly exists, an alternative explanation is that one is dealing with an order of limits problem as pointed out initially in [76]. While in string theory one works in a limit of $\lambda \rightarrow \infty$ with J^2/λ held fixed, in gauge theory one stays in the perturbative regime $\lambda \ll 1$ and thereafter takes the $J \rightarrow \infty$ limit, keeping only terms which scale as λ/J^2 . These two limits need not commute. Most likely the above-mentioned “wrapping” interactions must be included into the gauge theory constructions in order to match the string theory energies. On the other hand, the firm finite L results at order λ^3 of the gauge theory are still free of “wrapping” interactions: These only start to set in at the four-loop level (in the considered minimal $SU(2)$ subsector). Moreover to what extent the integrability is preserved in the presence of these “wrapping” interactions is unclear at the moment. Certainly the resolution of this discrepancy remains a pressing open problem in the field.

4.4 Further developments

The gauge theory analysis of the planar dilatation operator and its relation to integrable spin chain models has been extended in two directions: Larger sectors within $\mathcal{N} = 4$ super Yang-Mills and conformal deformations of the original theory.

In their seminal paper uncovering the integrable spin chain structure Minahan and Zarembo [19] actually considered the full scalar sector of the gauge theory at one-loop order. This gives rise to an integrable $SO(6)$ magnetic quantum spin chain of which the discussed $SU(2)$ Heisenberg model arises in a subsector. This work was generalized in [85] to all local operators of the planar one-loop $\mathcal{N} = 4$ theory, leading to an integrable super-spin chain with $SU(2, 2|4)$ symmetry discussed in [20]. The

excitations of this super-spin chain consist of scalars, field strengths, fermions and an arbitrary number of covariant derivatives of these three leading to an infinite number of spin degrees of freedom on a single lattice site. The thermodynamic limit of this super spin chain was later on constructed in larger supersymmetric subsectors in [86] and in [87] for the full system leading to spectral curves, which reproduce the results of the classical string at one-loop order.

The conjectured form of the asymptotic higher-loop Bethe ansatz for the $PSU(2)$ subsector was generalized to the full theory recently in [88] in form of a long-range $SU(2, 2|4)$ Bethe ansatz. In this paper the corresponding generalization for the quantum string Bethe equations, generalizing [47] relevant for the $SU(2)$ sector, was also provided. A novel feature of leaving the minimal $SU(2)$ sector at higher loop orders is that the spin chain begins to fluctuate in length [9]: The hamiltonian (or dilatation operator) preserves the classical scaling dimensions but not the length of the chain, e.g. two fermions have the same classical scaling dimension as three scalars and can mix if they carry identical charges.

An alternative route for comparing string energies to gauge theory scaling dimensions lies in the coherent-state effective action approach pioneered by Kruczenski [89]. Here one establishes an effective action for the string whose center of mass moves along a big circle of the S^3 with large angular momentum in the “weak coupling” limit $\lambda/J^2 \ll 1$. This action is then shown to agree with the long-wave length approximation of the gauge theory spin chain at one-loop. In this approach there is no need to compare explicit solutions any longer, however, considering higher-loop effects and fermions becomes more challenging in this language. For details see [90, 91, 92, 93, 94, 95, 96, 97] and also Tseytlin’s review [24].

In view of the reviewed insights an obvious next question to address is what can be said about the non-planar sector of the gauge theory dual to string interactions. The gauge theory dilatation operator is indeed known for the first two loop orders in the $SU(2)$ sector exactly, that is including all non-planar contributions. However, extracting physical data from it, such as amplitudes for the decay of single trace operators to double trace ones is nontrivial. This has been performed with great success for the case of two or three magnon excitations in the BMN limit being dual to the interacting plane-wave superstring (reviewed in [11]). Performing the same computation for a macroscopic number of magnons, thus describing the quantum decay of the discussed spinning strings, is complicated enormously by the complexity of the Bethe wavefunction (61) for large M . The analysis on the string side, however, can be performed by considering a semiclassical decay process [98]. For a related discussion on the non-planar gauge theory aspects see [99].

An interesting toy model for $\mathcal{N} = 4$ Super Yang-Mills is its dimensional reduction on a three sphere to a quantum mechanical system [82], which turns out to be the plane-wave matrix theory of [5, 79, 80, 81] related to M-theory on the plane-wave background. The Hamiltonian of this matrix quantum mechanics reduces to an

integrable spin chain in the large N limit, which remarkably is identical to the full $\mathcal{N} = 4$ system up to the three loop level in the overlapping $SU(2|3)$ sector [83] (via a perturbative redefinition of the coupling constant). However, a recent four loop study displays a breakdown of BMN scaling at this level of perturbation theory while integrability is stable [78]. What this finding implies for the full $\mathcal{N} = 4$ model remains to be seen.

A recent line of research concerns the study of deformations of $\mathcal{N} = 4$ Super Yang-Mills which maintain the quantum conformal structure known as the Leigh-Strassler or β deformations [100]. The one-loop dilatation operator was constructed in subsectors of the theory in [101, 102]. Moreover the explicit construction of the supergravity background dual to the β deformed gauge theory was achieved by Lunin and Maldacena [103]. Again the classical bosonic string theory in this background is integrable and exhibits a Lax pair [104] yielding a string Bethe equation which agrees with the thermodynamic limit of the one-loop Bethe equation for the gauge theory dilatation operator [105]. So the complete discussion of this review lifts to the β deformed case. As a matter of fact even larger (three-parameter families) of generically non-supersymmetric deformations of $\mathcal{N}=4$ Super Yang-Mills have been considered with known supergravity duals [105]. The corresponding “twisted” gauge theory spin chain and Bethe ansatz was constructed in [106].

Open integrable spin chains have also appeared in the AdS/CFT setting where the boundaries of the spin chain correspond to fields in the fundamental representation, see [107, 108, 109, 110] for such constructions. In [111] open integrable spin chains emerged within subdeterminant operators in $\mathcal{N} = 4$ super Yang-Mills dual to so-called “giant gravitons”.

First investigations on the role of integrability for the three-point functions in the gauge theory were performed in [112, 113, 114].

Finally let us mention that integrable structures are known to also appear in QCD, such as in high-energy scattering processes and other instances [115, 116, 117, 118], see [119] for a recent review.

In conclusion the emergence of integrable spin chains in the AdS/CFT correspondence has led to great insights into dynamical aspects of the duality and might hold the key to a complete determination of the spectrum of both theories. Recent developments point towards integrability being a generic property of conformal gauge theories in the planar limit not necessarily connected to supersymmetry. Finally a great challenge for the future is to understand the integrable spin chain nature of the *quantum* string in $AdS_5 \times S^5$ and related backgrounds.

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