## Infant Cry Prediction

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#### Abstract

TODO - A one-paragraph abstract (tl;dr) is required. Summarize the main question and conclusions. Put this below the title but before the main text.

#### 1 Problem Statement and Motivation

Parents of newborns are constantly exposed to the cries of their child. After some time, parents can often recognize the cries of their newborn and what they mean [citation here]. For instance, a parent may be able to differentiate between a "hungry cry" and a "tired cry". This is an acquired skill, however, and incessant crying has been shown to have adverse effects on parents. Zeifman et al. have suggested that there are "physiological and neural responses to crying that may predispose some adults to maltreat infants." [https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5494986/#R5] Most people agree that mistreating infants is despicable. However, instead of just agreeing, it might be helpful for parents to actually have tools at their disposal so that they can more calmly, rationally deal with a crying baby.

Some research suggests that an infant's cries may not differentiate between causes, only indicating the severity of the infant's distress. This research posits that parents need other contextual clues to properly determine the cause of crying. However, it is unclear what methods the authors used to differentiate cries. We believe that it may be possible for a computer to classify crying by sound alone. If this is possible, it could assist parents, helping them diagnose the source of their child's cries. For good parents who would never have abused their children, this could be a source of direction and sanity for them. In less desirable parenting situations, being able to classify cries could help reduce maltreatment of infants.

In our project, we hope to address both of the following questions:

- 1. Do different "cry types" exist? Meaning, are there objective differences between an infant's cries based on what they want?
- 2. If cry types exist, can we accurately predict these cry types using audio of the infant?

This problem has been studied by others, such as [the people we got our data from]. They found that [INSERT FINDINGS HERE].

## 2 Data

The data we use for this analysis is sourced from a corpus entitled the "Donate-a-Cry Corpus." This corpus, a compilation of audio recordings of babies crying, appears to have originally been collected as part of a campaign entitled the "Donate-a-Cry Campaign" in Sweden in 2015. More infomation about this campaign cannot be ascertained as the listed campaign website in the github repository has been converted into a website for Japanese escorts. Further work on this dataset, including removing background noise, standardizing sample rates, and eliminating outliers, was completed by researchers at the Royal Institute of Technology of Sweden in 2018.

This dataset contains 457 recordings (all in .wav format and all about 7 seconds long) of babies crying, each a different baby and each collected by the baby's mother. These recordings were each labeled with an assumed reason for the cry instance by the mother and then submitted to the corpus. The data contain 5 different label categories: belly pain, needing to burp, hunger, tiredness, and discomfort.

The two main issues to deal with in working with this cry corpus have to do with label representation. Over 350 of the audio files belong to the "hungry" category, comprising over 2/3 of the dataset, while none of the other four categories contained more than 26 audio files. To combat this, we first cut each audio file down to 3 seconds in length under the assumption that any information contained in the first half of a cry would also be contained in the second half. We discarded the second halves of all of the hungry crying data, and kept the second halves of all other categories. This allowed us to effectively double the number of samples we had in each category. Second, we duplicated each non-hungry datapoint and added small amounts of noise proportional to each wavelength of the sample. This allowed us to create even more unique data corresponding to these labels while feeling safe that these new datapoints would contain the same features that the non-synthetic data contained. This process left us with exactly 400 unique datapoints to use in training and testing our models.

To extract features from this data, we took the Fourier transform of each sound file, normalizing the frequencies produced. This allowed us to eliminate the time dimension and analyze if the frequencies in a baby's cry contain the requisite information to differentiate the reasons for crying.

### 3 Methods

#### 3.1 Baseline Methods

We began our analysis by testing the performance of several models to see how well a variety of models handled our dataset. In doing so, we found a performance baseline to surpass. In further iterations, we used models with more intentional choices of hyperparameters, architectures, etc. The following table outlines the models we used initially and their accuracies on both the raw audio data as well as the frequency data, obtained via Fourier transform. Note that since there are five classes, a score of 20% is about what we would expect if we randomly guessed.

Method	Raw Audio Score	Frequency Score
Ordinary Least Squares	0.15	0.23
Gaussian Discriminant Analysis	0.40	0.38
Linear Discriminant Analysis	0.66	0.49
Support Vector Machine	TODO	TODO
Random Forest Classifier	TODO	TODO

As we can see, in almost every case, the raw audio data performed better than the frequency data. This was surprising to us, but indicated that the Fourier transform may be removing useful features from the data. One explanation for this is could be that in the three-second timeframe, the babies' cries we analyze are not very periodic, so the Fourier transform is not very useful. Recall that the Fourier transform decomposes a signal into constituent frequencies. A baby's cry does not sound like a single note from a piano; the cry is composed of a cacophony of superimposed frequencies, which vary over time. By taking the Fourier transform of the entire three seconds of crying, we may not be extracting very useful features because we're effectively taking the average frequencies over the entire three seconds. This might lose the information that allows us to differentiate their cries. This hypothesis has some evidence based on the sample variances of the training data. Using the 2-norm to measure distance from the mean, the frequency training data has a variance of about 300, while the raw audio data has a variance of about  $1.1 \times 10^{14}$ .

In the upcoming sections, we hope to perform better than Linear Discriminant Analysis (LDA) and the Random Forest classifier. We were able to outperform these methods when we used neural networks, as will be seen later.

#### 3.2 Principle Component Analysis

Surprisingly, running a PCA on both the frequencies gathered from the Fourier transform and on the raw wavelength data, we found that much (TODO: how much exactly?) of the variance could be explained with just the first 25% of components.

TODO: Put PCA graph here.

### 3.3 Mel-Frequency Cepstral Coefficients

In automatic speech recognition, Mel-frequency Cepstral Coefficients (MFCCs) have been a popular feature to use, especially in tandem with Gaussian Mixture Hidden Markov Models (GMMHMMs). We wanted to try using a GMMHMM on our baby cry dataset because these models have been successful in classifying speech in the past. In addition to being combined with GMMHMMs, MFCCs have several advantages compared to Fourier transform frequencies, which we will outline in this section. First, we need to know how the MFCCs are computed. MFCCs are computed from audio data using the following steps:

- 1. divide the audio into frames;
- 2. apply a window function to each frame;
- 3. compute the power spectrum of each windowed frame;
- 4. apply a Mel-scaled filter bank;
- 5. decorrelate the values from step 4 by taking the discrete cosine transform (DCT).

The first step, framing, solves the problem we mentioned above concerning the Fourier transform. It does not make sense to find the frequencies of an entire baby's cry because we lose the change in frequencies over time. Framing divides the audio signal into a number of frames (in our case, 49). These frames may have overlap; a pretty typical frame overlap is about 50%. After framing, we can compute the frequencies of each frame and our model will be able to observe and learn from the frequencies changing in time.

In the second step, we apply a window to each frame. A common window function is the Hamming window:

$$w[n] = a - (1 - a)\cos\left(\frac{2\pi n}{N}\right).$$

The constant a is chosen so the window only takes on values between [0,1]. Dividing by N (the number of samples in each frame) in the cosine term makes it so the peak of the curve occurs in the middle of the frame. This step is calculated by multiplying the nth wavelength value of each frame with w[n]. This has the effect of tapering the wavelengths; even though there's overlap between frames, the values at the edge of each frame will be tapered so that we do not overemphasize these values.

The third step computes the power spectrum of each windowed frame, which is just a scaled version of the Fourier transform. Specifically, the power is given by

$$P(x) = \frac{|\mathscr{F}(x)|^2}{N},$$

where  $\mathscr{F}$  denotes the discrete Fourier transform and N is the number of samples in x.

In step four, we apply a Mel scale to the frequencies computed in the last step. The Mel scale relates frequencies to human hearing. At low frequencies, humans are able to discern very small changes. However, at higher frequencies, humans can't perceive as small of changes. The Mel scale filters the frequencies so that we now have a more dense set of lower frequencies and a sparser set of high frequencies, modeling human hearing.

Finally, because the Mel-scale frequencies are highly correlated in step four, a DCT is applied to them in order to decorrelate them. Decorrelating them means that in a Hidden Markov Model (HMM) classifier, diagonal covariance matrices can be used to model the features, which is advantageous numerically.

#### 3.4 Gaussian Mixture Hidden Markov Model

After computing the MFCCs, we used a GMMHMM classifier to predict each baby's cry type. This model was slow to train, and sadly, even after a hyperparameter grid search, its score was only 0.44. So, both LDA and the Random Forest classifier outperformed GMMHMM. At this point, it seemed very likely that our best bet would be neural networks. Even though GMMHMM classifiers were often used for automatic speech recognition in the past, neural networks have since replaced them as the industry standard.

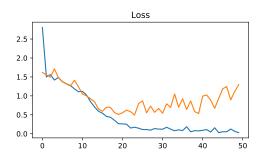
#### 3.5 Neural Networks

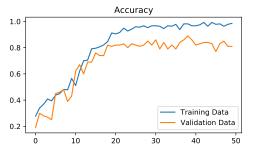
One of the main problems with using neural networks on our dataset is our lack of data. With only 400 data points, we strongly risk overfitting. Another obstacle to utilizing neural networks also concerns overfitting, but in a different way. If we use the raw audio data to train a neural network, there is a chance that the resulting model will not be generalizable when new data is used. In order to solve both of these problems, we decided to use Mel Spectrograms as the input data to our networks. Mel spectrograms are computed in the same exact way as MFCCs except for the final decorrelation step, which is omitted. Since neural networks perform well with correlated data, this shouldn't be a problem. We believe that using Mel spectrograms will help the models to be more generalizable in two ways:

- Spectrograms can conveniently be thought of as images, which allows for the use of convolutional neural networks and provides an easy way to further augment our data by translating and skewing the spectrograms.
- The spectrograms may be more robust in terms of being similar to new data when it is brought in.

#### 3.5.1 Convolutional Neural Network

Our convolutional neural network had the following architecture. It contained four main sections, with the first three having the same design: a convolutional layer, a relu activation, and a max pooling layer. The fourth section flattens the data, uses a relu activation, then adds an aggressive dropout of 50%. Finally, the output layer consists of five nodes with a softmax activation. The following graphs show the loss and accuracy over the 50 training epochs.





#### 3.5.2 Recurrent Neural Network

### 4 Results

At this point, we have successfully identified a featureset / model / hyperparameter combination that performs our classification task better than  $\sim 70\%$  average accuracy. The baseline models with most consistent performance were the Random Forest classifier and the LDA algorithm. The baseline models that performed the worst were the QDA algorithm and the OLS algorithm. Once we used Neural Networks, we were able to achieve higher than 80% accuracy, which is quite good.

## 5 Analysis

SIMPLE: Give a thorough analysis and a thoughtful discussion of the results and conclusions that can be drawn. Discuss the suitability and effectiveness of the different models and methods for the problems or questions treated. In trying to predict infants' cries, our models were able to achieve high accuracy, especially the neural networks. This indicates that there are machine-discernible patterns differentiating cry types. It would be very interesting to obtain more data and see if the models still hold up. It would also be helpful to have control over how the data is collected; setting it up as an experiment could yield even more fruitful results.

## 6 Ethical Implications

TODO

# 7 Conclusion

Briefly summarize what you have done and describe the final conclusions that you draw from your computations, results, and analysis.