

Matthew Koken

X X X X 5 6 X X 7 X X

alg5

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CSCI 163/COEN 179 Spring 2016

Final

You may use your book and notes but no other sources of information. Explain all answers clearly. The due date is Wednesday, June 8. If you will submit later than 3pm on the 8th, then please scan and e-mail me your answers. Prior to 3pm, you may submit either a hard copy or a scan.

1. Explain why Depth First Trees have no cross edges, while Breadth First trees have no back edges.

DFS: Can only discover tree and back edges. If a vertex is already discovered/visited, then it is a back-edge-refer to an ancestor - a ancestor of the source vertex.

P-parent vertex, C child vertex

case: edge (p, c). c discovered, not closed - subtree of root p

case: edge (c, p). c discovered and closed - impossible, edge (c, p) is just discovered. so an edge leading to a discovered vertex must be a back-edge, no cross edges.

BFS: Only tree, cross edges. Unvisited vertex becomes attached to a tree edge or a child. An already visited is already attached, so it gets a cross-edge. If it's already visited, it isn't necessarily a descendant, it's a sibling - so it can't be a back-edge. A back edge would have been used from visiting the ancestor, so you won't have them.

2. The recursive version of the Fast Fourier Transform is used to evaluate

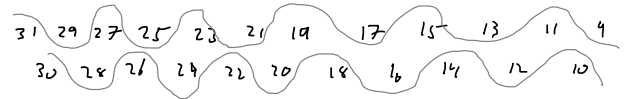
$$p(z) = a_{31}z^{31} + a_{30}z^{30} + \dots + a_1z + a_0$$

at the 32nd roots of unity. Along the way, it must evaluate some cubic polynomials at the 4th roots of unity. List these cubic polynomials.

1st root) $p_0(z) = a_{31}z^{15} + a_{24}z^{14} + a_{17}z^{13} + \dots + a_1$
 $p_1(z) = a_{30}z^{15} + a_{23}z^{14} + \dots + a_0$

4th root

as $a_1 \leftarrow \begin{matrix} p_0(z) = a_{31}z^7 + \dots + a_2 \\ p_1(z) = a_{24}z^7 + \dots + a_1 \end{matrix} \Rightarrow$



4b \leftarrow

$$p_0(z) = a_{31}z^7 + a_{27}z^6 + a_{23}z^5 + a_{19}z^4 + a_{15}z^3 + a_{11}z^2 + a_7z + a_3$$

$$p_1(z) = a_{24}z^7 + a_{20}z^6 + a_{16}z^5 + a_{12}z^4 + a_8z^3 + a_4z^2 + a_0z + a_1$$

$$p_2(z) = a_{30}z^7 + a_{26}z^6 + a_{22}z^5 + a_{18}z^4 + a_{14}z^3 + a_{10}z^2 + a_6z + a_2$$

$$p_3(z) = a_{28}z^7 + a_{24}z^6 + a_{20}z^5 + a_{16}z^4 + a_{12}z^3 + a_8z^2 + a_4z + a_0$$

cubic polynomials (but 8th root of unity)

\leftarrow

$$p_{00}(z) = a_{31}z^3 + a_{23}z^2 + a_{15}z + a_7$$

$$p_{10}(z) = a_{27}z^3 + a_{19}z^2 + a_{11}z + a_3$$

$$p_{20}(z) = a_{24}z^3 + a_{16}z^2 + a_8z + a_0$$

$$p_{30}(z) = a_{30}z^3 + a_{22}z^2 + a_{14}z + a_2$$

$$p_{01}(z) = a_{24}z^3 + a_{16}z^2 + a_8z + a_0$$

$$p_{11}(z) = a_{20}z^3 + a_{12}z^2 + a_4z + a_1$$

$$p_{21}(z) = a_{26}z^3 + a_{18}z^2 + a_{10}z + a_2$$

$$p_{31}(z) = a_{28}z^3 + a_{20}z^2 + a_{12}z + a_0$$

\rightarrow wasn't sure what was wanted so went the extra step just in case

$$28 \quad 24 \quad 20 \quad 16 \quad 12 \quad 8 \quad 4 \quad \searrow \quad A_2(z) = a_{24}z^4 + a_{16}z^2 + a_{8z^2} + a_0$$

3. In Strassen's algorithm, 3×3 matrices are multiplied by another 3×3 matrices using 25 multiplications and 100 additions/subtractions. As with Strassen, Strassen's generalizes to cover multiplying $3^k \times 3^k$ matrices, by subdividing each matrix into $9 \cdot 3^{k-1} \times 3^{k-1}$'s. Write down a recurrence for the number of operations $T(n)$ that Strassen uses to multiply two $n \times n$ matrices, where $n = 3^k$ for some k . Find the order of $T(n)$.

multiply two $n \times n$ matrices, where $n = 3^k$ for some k . Find the order of $T(n)$.

Strassen $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$ $B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$ $C = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}$

$$\begin{aligned} & \xrightarrow{\substack{\text{mults: } T(\lambda) = \exists T(\lambda') = \exists^2 T(\lambda'') \dots = \exists^k T(\lambda) = \exists^k \\ \text{mults: } T(\lambda) = \exists T(\lambda') = \exists^2 T(\lambda'') \dots = \exists^k T(\lambda) = \exists^k}} \end{aligned}$$
$$-h = 2^k$$

$$7^k = 7^{\log_7 n} = n^{\log_7 7} \sim n^{2.61}$$

\sim adds & subs, $T(n) = 7 \cdot T(n/2) + 18(n/2)^2$
 $a=7, b=2, d=\log_2 7 \approx 2.81$
 $f(n) = \Theta(n^2) \subset n^a$
 $T(n) = \Theta(n^{\log_2 7})$

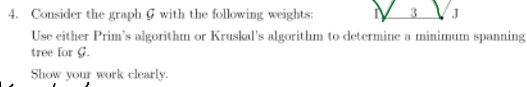
$$\begin{aligned} C_{11} &= A_{11} B_{11} + A_{12} B_{21} \\ C_{12} &= A_{11} B_{12} + A_{12} B_{22} \\ C_{21} &= A_{21} B_{11} + A_{22} B_{21} \\ C_{22} &= A_{21} B_{12} + A_{22} B_{22} \end{aligned}$$
$$\begin{aligned} M_1 &= (A_{01} + A_{12})(B_{11} + B_{22}) \\ \Rightarrow M_2 &= (A_{21} + A_{32})B_{11} \\ M_3 &= A_{11}(B_{12} - b_{22}) \\ M_4 &= a_{22}(b_{21} - b_{11}) \\ M_5 &= (a_{11} + a_{12})b_{22} \\ M_6 &= (a_{21} - a_{01})(b_{11} + b_{12}) \\ M_7 &= (a_{11} - a_{22})(b_{21} + b_{22}) \\ \Rightarrow L_{11} &= M_1 + M_4 - M_5 + M_7 \\ L_{12} &= M_3 + M_5 \\ L_{21} &= M_2 + M_4 \\ L_{22} &= M_1 - M_2 + M_3 + M_7 \end{aligned}$$

Strassen:
 $n = 3^k$

$$\frac{q(3^{k-1} \times 3^{k-1})_{\text{multices}}}{q(3^{k-1} \times 3^{k-1})_{\text{mult}}}, q_{100}(3^{k-1} \times 3^{k-1})$$
$$\begin{aligned} \text{mukhs: } \tau(n) &= q T(n/3) \\ &= q^2 T(n/9) \\ &\vdots \\ &= q^k T(1) = q^k \end{aligned}$$
$$\rightarrow n = 3^k$$
$$q^k = q^{\log_3 q} = n^{\log_3 q} \approx n^2$$

add/subs: $T(n) = 9 \cdot T(n/3) + 100(n/3)^2$
 $a = 9, b = 3 \quad d = \log_3 9 = 2$
 $c = 2 \quad \checkmark = 1$

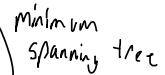
$$T(n) \in \Theta(n^L \log^{k+1} n)$$
$$T(n) = \Theta(n^2 \log n)$$



Tree Edge

X already visited/colored

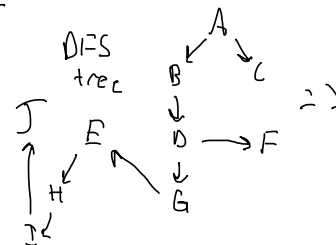
Done



CD	EH	GI	AD	GJ	FL	DE	LJ	FH	AC	AB	HS	DA	EC	BE
1	1	1	2	2	2	3	3	3	3	4	4	4	5	6

9 $J: \boxed{E} \rightarrow \boxed{I\Lambda}$

Hand-drawn diagram of a phylogenetic tree. The root is labeled 'J'. A branch leads to 'H', which then leads to 'I'. Another branch from 'J' leads to 'E'. From 'E', a branch leads to 'A', which then leads to 'B' and 'C'. Another branch from 'E' leads to 'D', which then leads to 'F' and 'G'.

[illegible]

A
C
B
D
G
E
H
I
J

A

To find the next block, find the next value in the sequence.

BFS Tree



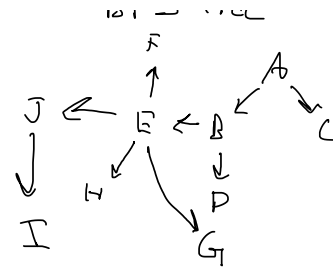
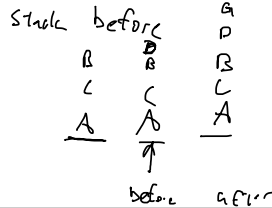
PFS w/ Back values



To find not disconnected, find an articulation point

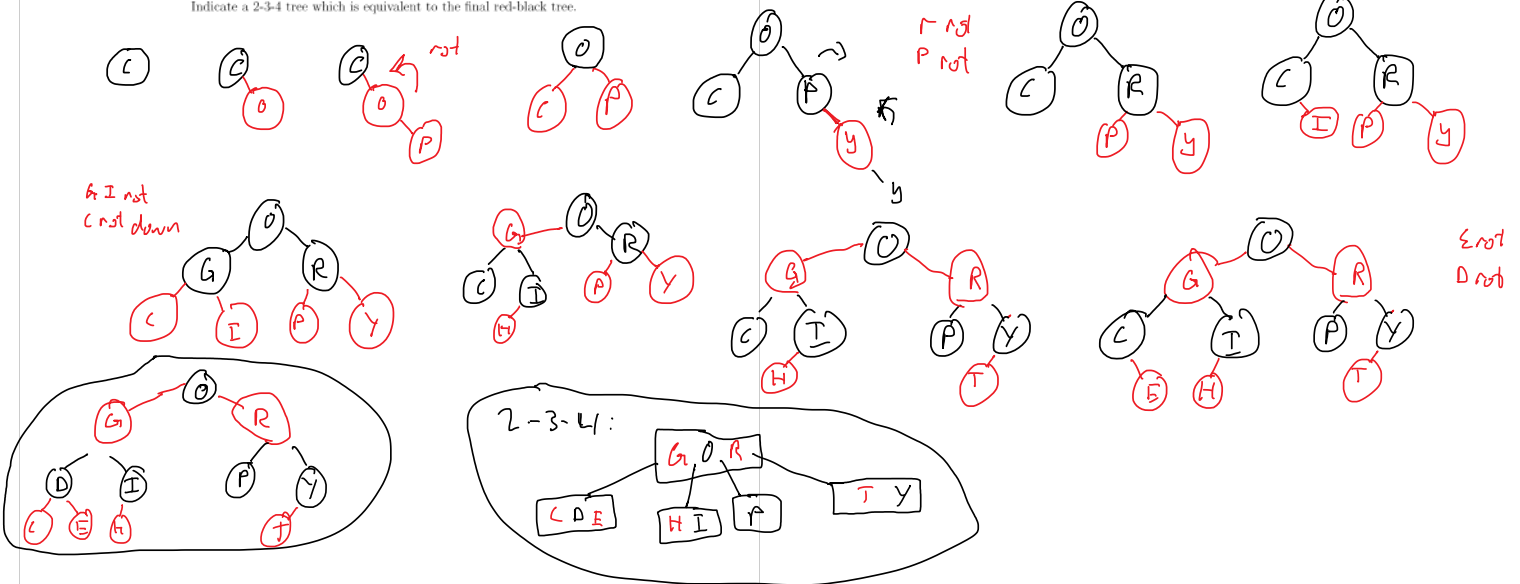
If $\text{backval}[c] \geq \text{val}(p)$, then remove p disconnects c from the root, so p is an articulation node.

1st occurrence: node B



6. Choose two of the following three problems:

a) Show the red-black tree that results after each of the letters of COPYRIGHTED is inserted, in that order, into an initially empty red-black tree. Show the tree that results after each insertion, and make clear any rotations that must be performed. Indicate a 2-3-4 tree which is equivalent to the final red-black tree.



$A(B)C$

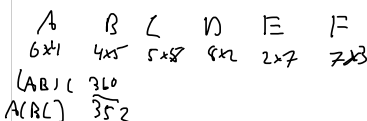
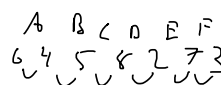
$$\begin{aligned} A &= a_1 \times a_2 \\ B &= b_1 \times b_2 \\ C &= c_1 \times c_2 \end{aligned} \Rightarrow (a_1 \cdot b_1 \cdot b_2) + (a_2 \cdot c_1 \cdot c_2)$$

$A(B(C))$

$$\Rightarrow (b_1 \cdot c_1 \cdot c_2) + (a_1 \cdot b_1 \cdot c_2)$$

b) In class, we used dynamic programming to find the most efficient parenthesization to multiply $ABCDEF$ where the sequence of dimensions was 6, 4, 5, 8, 2, 7, 3. The initial calculations are at <http://math.scu.edu/~bwalden/chain1.html>. Modify the method we used to find the least efficient parenthesization. You may program this, or just do it by hand.

```
#!/usr/bin/python
#python 2.7
"""
@author Matthew Koken <mkokken@scu.edu>
@file final_6b.py
Calculates the least efficient paring matrix multiplications given a set of dimensions
"""
def chain_mult(arr):
    length = len(arr)
```



$$\begin{aligned} A &= a \times b \\ B &= b \times c \\ C &= c \times d \end{aligned} \Rightarrow (a \cdot b \cdot c) + (b \cdot c \cdot d) + (a \cdot b \cdot d)$$

Min (10, 10, 10, 10) = 10

```

@author Matthew Koken <mkoken@scu.edu>
@file final_6b.py
Calculates the least efficient paring matrix multiplications given a set of dimensions
"""
def chain_mult(arr):
    length = len(arr)
    max_mults = [[0 for x in range(length)] for x in range(length)]
    #set to 0 for multiplying one matrix
    for i in range(1, length):
        max_mults[i][i] = 0

    for idx in range(2, length):
        for i in range(1, length-idx+1):
            j = i+idx-1
            max_mults[i][j] = 0
            for k in range(i, j):
                #calculate the cost - num scalar multiplications
                cost = max_mults[i][k] + max_mults[k+1][j] + arr[i-1]*arr[k]*arr[j]
                if cost > max_mults[i][j]: #update if worse, because we want worse
                    max_mults[i][j] = cost

    return max_mults[1][length-1]

dimensions = [6, 4, 5, 8, 2, 7, 3]
mults = chain_mult(dimensions)
print "Mults: " + str(mults)

```

$A(BC) \quad 352$

$$M_{ax}(A B C D E F) = 934$$



Consider the straight-line program for Horner's algorithm we developed in class:

$$\begin{aligned}
 s_1 &= a[n] * z \\
 s_2 &= s_1 + a[n-1] \\
 s_3 &= s_2 * z \\
 s_4 &= s_3 + a[n-2] \\
 &\vdots \\
 s_{2n-1} &= s_{2n-2} * z \\
 s_{2n} &= s_{2n-1} + a[0]
 \end{aligned}$$

If we add the following lines to the program,

$$\begin{aligned}
 s_{2n+1} &= s_1 + s_2 \\
 s_{2n+2} &= s_{2n+1} * z \\
 s_{2n+3} &= s_{2n+2} + s_1 \\
 &\vdots \\
 s_{2n+2j} &= s_{2n+2j-1} * z \\
 s_{2n+2j+1} &= s_{2n+2j} + s_{2j+2} \\
 &\vdots \\
 s_{4n-4} &= s_{4n-5} * z \\
 s_{4n-3} &= s_{4n-4} + s_{2n-2}
 \end{aligned}$$

what is being calculated in the last line? Explain.

7. We know that a polynomial of degree at most $n-1$ can be evaluated at a single point in $O(n)$ time using Horner's algorithm. We also saw that such a polynomial may be evaluated at the n th complex roots of unity in $O(n \log n)$ time using the Fast Fourier Transform algorithm. In this problem, we will consider the problem of evaluating a polynomial of degree at most $n-1$ at n arbitrary values.

We assume that we can use an algorithm called REMAINDER, that when passed the polynomials $a(x)$ and $p(x)$, returns the remainder $r(x)$ when $a(x)$ is divided by $p(x)$. For example, if $a(x) = 3x^3 + x^2 - 3x + 1$ and $p(x) = x^2 + x + 2$, then $\text{REMAINDER}(a(x), p(x))$ returns $r(x) = -7x + 5$, since

$$\frac{3x^3 + x^2 - 3x + 1}{x^2 + x + 2} = 3x - 2 + \frac{-7x + 5}{x^2 + x + 2}$$

or equivalently,

$$3x^3 + x^2 - 3x + 1 = Q(x)(p(x)) + r(x)$$

- a) Given values x_1, x_2, \dots, x_n for evaluation, form polynomials $P_1(x) = \prod_{i=1}^{n/2} (x - x_i)$ and $P_2(x) = \prod_{i=n/2+1}^n (x - x_i)$. If $R_2(x)$ is the polynomial remainder when $A(x)$ is divided by $P_2(x)$, explain why $A(x_i) = R_1(x_i)$ for all $i \leq n/2$ while $A(x_i) = R_2(x_i)$ for all $i > n/2$.
- b) Assuming that REMAINDER can be implemented in $O(n \log n)$ time, for polynomials of degree less than n , provide a divide-and-conquer algorithm to evaluate $A(x)$ at x_1, \dots, x_n in $O(n \log^2 n)$ time.
Note: You will also need to account for computing the coefficients of $P_1(x)$ and $P_2(x)$ in your analysis.
- c) Suppose you didn't know how to implement REMAINDER in $O(n \log n)$ time. (It's not an easy problem.) How efficient would the implementation have to be in order for your evaluation algorithm to be more efficient than just using Horner's method in turn on each evaluation?

$$\frac{P_1(x)}{P_2(x)} = Q(x) + \frac{R_1(x)}{P_2(x)}$$

$$A(x) = [R_1(x)] \text{ mod } [P_2(x)]$$

$$[(x-x_1)(x-x_2)\dots(x-x_{n/2})][(x-x_{n/2+1})\dots(x-x_n)]$$

$$R_2(x) = \frac{A(x)}{P_2(x)}$$

$$\frac{P(x)}{(x-x_1)\dots(x-x_{n/2})} = Q(x) + \frac{R_1(x)}{(x-x_{n/2+1})\dots(x-x_n)}$$

$$a) \quad \frac{a(x)}{p(x)} \quad p(x) \sqrt{a(x)}$$

$$P_1(x) = \prod_{i=1}^{n/2} (x - x_i)$$

$$P_2(x) = \prod_{i=n/2+1}^n (x - x_i)$$

$$\frac{P(x)}{(x-x_1)\dots(x-x_{n/2})} = Q(x) + \frac{R_1(x)}{(x-x_1)\dots(x-x_{n/2})}$$

$$A(x_i) = R_1(x_i), \quad i \leq \frac{n}{2}$$

$$A(x_i) = R_2(x_i), \quad i > \frac{n}{2}$$

$$\frac{P(x)}{(x-x_{n/2+1})\dots(x-x_n)} = Q(x) + \frac{R_2(x)}{(x-x_1)\dots(x-x_{n/2})}$$

We get these because of the roots of unity when evaluating. At the first root, there are 2 polynomials - we got the R_1 and R_2 . Therefore, at the

$$i \leq n/2 \rightarrow i = 2n/2$$

$$i > n/2 \rightarrow i = 5n/2$$

$$\text{we will get the } A(x_i) = R_1(x_i)$$

$$A(x_i) = R_2(x_i)$$

Looking at the coefficients + parity, the coefficients bit numbering follows this and gives the expected remainder graphs.

- R_1 gets $1/2$ of the x , R_2 gets the other half

$$b) \text{ evaluate } A(x) \quad \left[P_1(x) = \prod_{i=1}^{n/2} (x - x_i) \right] \left[P_2(x) = \prod_{i=n/2+1}^n (x - x_i) \right] = P(x)$$

$$\left[\frac{A(x)}{P_1(x)} = R_1(x) \right] \left[\frac{A(x)}{P_2(x)} = R_2(x) \right]$$

evaluate $A(x)$ at x_1, \dots, x_n

\Rightarrow multiple evaluations - 1 at each x

$$\frac{A(x)}{P(x)}$$

$$A(x) = a_0 x^n + a_1 x^{n-1} + \dots + a_n$$

$$\Rightarrow a_0 x^n + a_1 x^{n-1} + \dots + a_n = (x-a) (b_0 x^{n-1} + b_1 x^{n-2} + \dots + b_{n-1}) + \text{remainder}$$

evaluation should be $O(n \log^2 n) \Rightarrow O(n \log^2 n)$ w/ REMAINDER

like synthetic division?

evaluation should be $O(n \log^2 n) \Rightarrow O(n \log^2 n) \text{ w/ REMAINDER}$

\Rightarrow algorithm:

$$n \log^2 n \quad \left| \begin{array}{l} a_0 = b_0 \\ a_1 = -a_0 b_1 + b_1 \\ a_2 = -a_0 b_2 + b_2 \\ \vdots \\ a_{n-1} = -a_0 b_{n-1} + b_{n-1} \\ a_n = -a_0 b_n + b_n \end{array} \right.$$

$$Q(x) = (x - x_1) \cdots (x - x_n) + R$$

$$r = a_n + a_{n-1}x$$

c) Remainder in $n \log^2 n$?

Horner's is already optimal - n add, $\frac{n^2 n}{2}$ mult

$\Rightarrow n$ add, $2n-1$ mults

The implementation needs to be

$(\frac{n^2}{2} + 2 \text{ multiplications})$ and $n-1$ additions

\Rightarrow need to add remainder

algorithm w/ REMAINDER needs to be $< O(n \log^2 n)$

if REMAINDER is inefficient, say $O(n \log^2 n)$

Then the algorithm has to be better than that.

division \sim multiplication for complexity

The implementation will need to be more or as efficient as Horner's - so