

Jan 4

Monday, January 4, 2016 12:54 PM

m.s - /vbwalden/alg

Guruvt

Algorithms.

$$F_0 = 0$$

$$F_1 = 1$$

$$F_n = F_{n-1} + F_{n-2} \quad n \geq 2$$

Store 2 last result.

A system to measure without dependency of Platform.

Algorithm

def A  $\leftarrow$  Finite sequence of steps? which  
contains n methods

Solves a problem.

The size of the algorithm = # of Steps  
T  
Speed

measure # clock cycle

function based on size of input / output.

Big O notation

$O(n)$

$f(n) = \mathcal{O}(g(n))$       Domain : int  
                        range : pos int  
                        if there exist const C ad N  
 $\leq$

$f(n) \leq C g(n)$       for all  $n \geq N$   
T  
essentially      eventually one huge C, N

Function matters.

$I \rightarrow O(1)$  bounded function

$f(n) \leq C \quad \forall n \geq N$

1.  $\forall n \in \mathbb{N}$

$$f(n) \leq C \quad \forall n \geq N$$

For all

$\log_b n$

$$\log_b n = \frac{\ln n}{\ln b}$$

$$u = \log_b n$$

Prop: in the following list, any func  $f(n)$  to the left of any func  $g(n)$  satisfies

$$f(n) = O(g(n)) \text{ but } g(n) \notin O(f(n))$$

1,  $\log n$ ,  $n^a$  ( $a > 0$ ),  $c^n$  ( $c > 1$ ),  $n!$   
 bounded logarithmic polynomial exponential factorial

$$\text{if } \lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = L$$

Case ①  $0 < L < \infty$

then  $f(n) = O(g(n))$   
 $g(n) = O(f(n))$

no confusion

$$f(n) = \Theta(g(n))$$

or

$$g(n) = O(f(n))$$

$$f(n) = \Omega(g(n))$$

Case ②  $L = 0$

then  $f(n) = O(g(n))$

$g(n) \neq O(f(n))$

Case ③  $L = \infty$

then  $f(n) \neq O(g(n))$

$g(n) = O(f(n))$

If  $f(n) \neq O(g(n))$ , for every choice of  $C, N$ , there is  $n > N$

$$f(n) > Cg(n)$$

happen repeatedly.

Want to show

$$g(n) > C f(n) \text{ inf-often.}$$

$$\frac{1}{C} > \frac{f(n)}{g(n)}$$

$$\lim_{x \rightarrow \infty} \frac{\log_b x}{x^\alpha} = \lim_{x \rightarrow \infty} \frac{\ln x / \ln b}{x^\alpha} = \lim_{x \rightarrow \infty} \frac{\frac{1}{x} \frac{1}{\ln b}}{\alpha x^{\alpha-1}}$$

$$= \lim_{x \rightarrow \infty} \frac{1}{\alpha \ln b x^{\alpha-1}}$$

$$= 0$$

$$\lim_{x \rightarrow \infty} \frac{x^\alpha}{e^x} = \lim_{x \rightarrow \infty} \frac{x^{\alpha-1}}{e^x} = \lim_{x \rightarrow \infty} \frac{\alpha(\alpha-1)x^{\alpha-2}}{(e^x)^2} \dots = 0$$

$$n! = 1 \cdot 2 \cdot 3 \cdots n$$

$$e^c = \sum_{n=0}^{\infty} \frac{c^n}{n!} = 1 + c + \frac{c^2}{2!} + \dots$$

$$\lim_{x \rightarrow \infty} \frac{c^n}{n!} = 0$$

$$n! \geq \left(\frac{n}{2}\right)^{\frac{n}{2}} = \left(\left(\frac{n}{2}\right)^{\frac{1}{2}}\right)^n > 2^n = 2^n c^n$$

$$\left(\frac{n}{2}\right)^{\frac{1}{2}} > 2c$$

$$n! \geq \left(\frac{n}{2}\right)^n = \left(\frac{n}{2}\right)^{\frac{n}{2}} > 2^{\frac{n}{2}} = 2^n c^n$$

$$\left(\frac{n}{2}\right)^{\frac{n}{2}} > 2c$$

Ex:  $\ln(n!) = \Theta(n \ln n)$

$$\ln(n!) \leq \ln(n^n) = n \ln n$$

$$\lim_{x \rightarrow \infty} \frac{\ln x!}{x \ln x} \rightarrow 1.$$

$$1+2+\dots+n = \frac{n(n+1)}{2} \rightarrow O(n^2)$$

In general, if  $p(n)$  a  $n^d$  term  $n^{d-1}$  —  
↑ final degree  
(highest power)

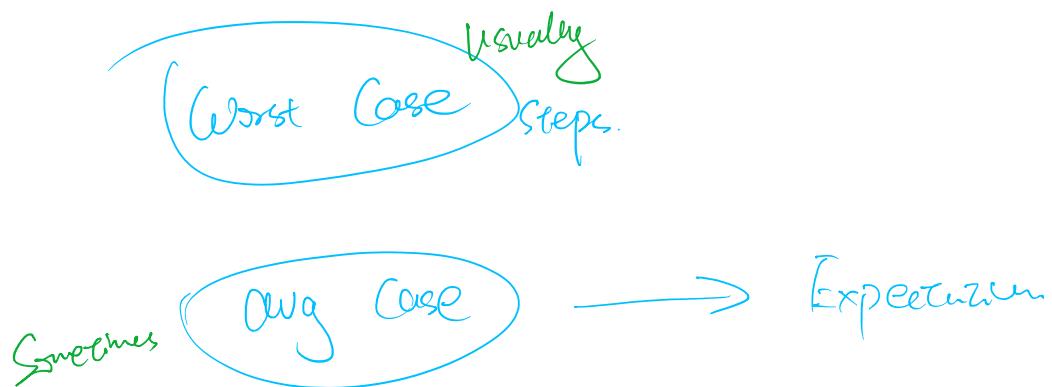
$$O(n^d)$$

Jan 8

Friday, January 8, 2016 12:56 PM

Sorting an array.

given an array with  $n$  entries as input  
 $a[n]$  output  $a[n]$  in sorted orders



Assume all of our sorting algorithms

will be Comparison based



Given  $i < j$   
check  $a[i] \leq a[j]$

Comparison

Swaps



insertion Sort

Block

$a[1]$

sentinel

$a[\cdot]$



Worst Case: Reverse Order

$a[1] \dots a[n]$

no several

$$\begin{array}{c} 1 \\ 2 \\ 3 \\ \vdots \\ n-1 \\ \hline \frac{(n-1)n}{2} \\ \downarrow \\ \mathcal{O}(n^2) \end{array}$$

several

$$\begin{array}{c} +1 \text{ Comp} \\ +1 \end{array}$$

{

$$\hline (n-1) \text{ Comp.}$$

Calculate Avg Case

Probability Distributions

D

$\rightarrow$

Probability of every

## Probability distributions

$P_i \rightarrow P_n$  probability of every possible event.

$$\begin{gathered} P_i \geq 0 \\ \sum_{i=0}^n P_i = 1 \end{gathered}$$

$X$  = random variable

$$P_i = \text{Prob}(X=x_i)$$

$\leftarrow E(X) = \text{expected value of } X$

$$\begin{aligned} &= P_1 x_1 + P_2 x_2 + \dots + P_n x_n \\ &= \sum_{i=0}^n P_i x_i \end{aligned}$$

---

Ex:  $X$  = rolling a single die

$$X = 1, 2, 3, 4, 5, 6$$

$$P = \frac{1}{6}$$

$$\begin{aligned} E(X) &= \frac{1}{6} + \frac{2}{6} + \frac{3}{6} + \frac{4}{6} + \frac{5}{6} + \frac{6}{6} \\ &= \frac{21}{6} \quad \leftarrow \text{avg.} \\ &\approx 3.5 \end{aligned}$$

bade  $\rightarrow$  sorry

in cases.

$n!$  Cases.

$$N = n!$$

$$P_i = \frac{1}{n!}$$

$$E(X) = \frac{1}{n!} ( ) + \frac{1}{n!} ( ) + \frac{1}{n!} ( - ) + \dots + \frac{1}{n!} ( - )$$

$\downarrow$   
too much

Count Swap.

$X = \# \text{ of swap} \Rightarrow = \# \text{ of transposition}$

RANDOM

Swap: AR

NR

DRN

OR

MRDN

transposition = pairs of even-g  $a[i], a[j]$   
when  $i < j$  but  
 $a[i] > a[j]$

Jan 11

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Look for  $n!$  possible orderings.

Prob of any order =  $\frac{1}{n!}$

$$E(\text{swap}) = \sum_{\text{all orderings}} \frac{1}{n!} (\# \text{ of transpositions in one ordering})$$

$$= \frac{1}{n!} \sum_{\substack{\text{all orderings} \\ \text{of } n \text{ elements}}} (\# \text{ of transpositions for one ordering})$$

$$+ E(\text{swap}) = \sum_{\text{all orderings}} \frac{1}{n!} (\# \text{ of — reverse order})$$

$$= \frac{1}{n!} \sum (\# \text{ of } \rightarrow)$$

$$= 2E(\text{swap}) = \frac{1}{n!} \sum \left( \frac{n(n-1)}{2} \right)$$

$$= \frac{n(n-1)}{2} = \frac{n(n-1)}{4}$$

$$= \Theta(n^2)$$

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Selection Sort.

① Find the smallest entry. ( $n-1$  comparisons)

Swap the smallest element and first one (if necessary)

②  $\overbrace{\quad}^{n-2}$

# of comparisons.

① Find the smallest entry. (n-1 comparisons)

Swap the smallest element and first one (if necessary)

②  $\leftarrow n-2$

# of comparisons.

$n-1$

$n-2$

$n-3$

⋮

⋮

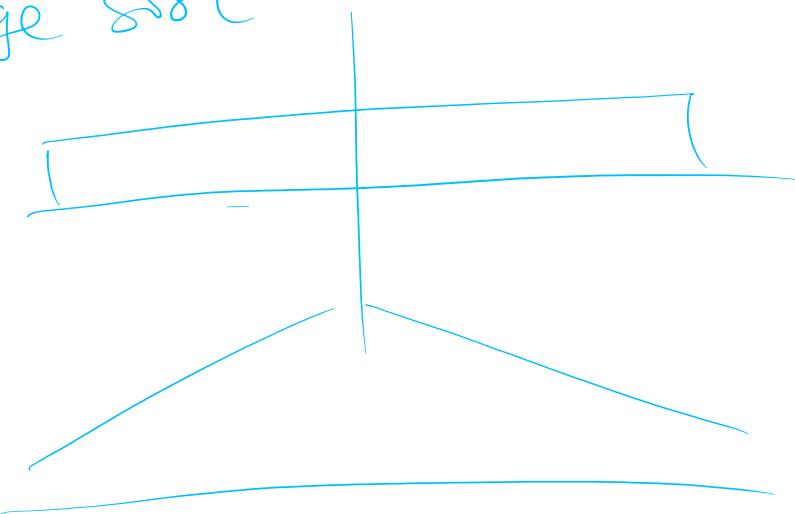
⋮

+

$(n-i)n$

$\frac{n-i}{2} = \Theta(n^2)$

merge sort



Space  $\Theta(n^2)$

mergeSort ( $a, i, \frac{i+j}{2}$ ) LHS

mergeSort ( $a, \frac{i+j}{2}, j$ ) RHS.

cheek website

Jan 13

Wednesday, January 13, 2016 12:55 PM

let  $T(n)$  = # of comparison done by merge sort on an array of  $N$  entries.

$$\begin{aligned} T(N) &= \# \text{ of comp on LH} + \# \text{ of comp on RH} \\ &\quad + \# \text{ of comp to merge.} \\ &= T\left(\frac{N}{2}\right) + T\left(\frac{N}{2}\right) + N \end{aligned}$$

$$T(1) = 0 \quad T(2) = T(1) + 2 = 2$$

$$T(N) = T\left(\frac{N}{2}\right) + T\left(\frac{N}{2}\right) + N = 2T\left(\frac{N}{2}\right) + N$$

$$\hookrightarrow T(N) = \underbrace{\alpha T\left(\frac{N}{b}\right)}_{\text{recursive part}} + \underbrace{f(N)}_{\substack{\text{pre-arrange recursive part.}}} \quad \text{pre-arrange recursive part.}$$

$$T(N) = \alpha T\left(\frac{N}{b}\right) \quad | \text{ only sub problem}$$

assume we know  $T(1), T(2) - T(b)$



$$T\left(\frac{N}{b}\right) = \alpha T\left(\frac{N/b}{b}\right) \rightarrow \alpha T\left(\frac{N}{b^2}\right)$$



$$\begin{aligned}
 &= a^2 T\left(\frac{N}{b^2}\right) \\
 &= a^3 T\left(\frac{N}{b^3}\right) \\
 &\vdots \\
 &= a^k T\left(\frac{N}{b^k}\right)
 \end{aligned}$$

Stop when

$$1 \leq \frac{N}{b^k} < b$$

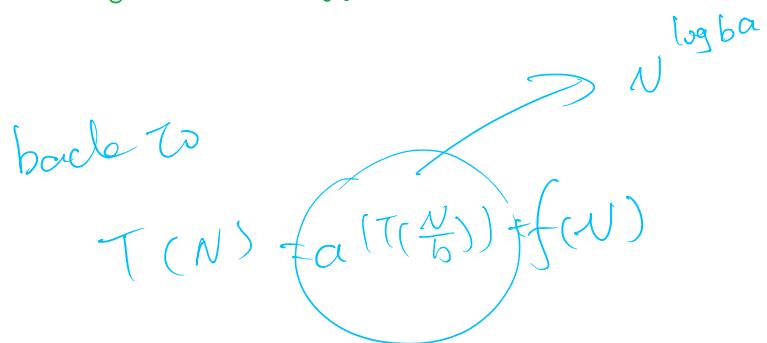
$$b^k \leq N < b^{k+1}$$

$$k \leq \log_b N < k+1$$

$$\begin{aligned}
 k &= \lfloor \log_b N \rfloor \rightarrow \Theta(\log_b N) \\
 &= O(\ln N)
 \end{aligned}$$

$$a^k = ??$$

$$a^{\log_b N} = N^{\log_b a}$$



$$\text{if } f(N) = \Theta(N^{\log_b a - \epsilon})$$

then for  $T(N)$ ,  $f(N)$  doesn't matter.

$$\dots \rightarrow \dots \rightarrow n^{\log_b a}$$

$$\text{then } T(N) = \Theta(N^{\log_b a})$$

$$\text{if } f(N) \neq N^{\log_b a}$$

$$\text{then } T(N) = \Theta(N^{\log_b a} \lg N)$$

$$\text{if } f(N) = \Theta(N^{\log_b a + \epsilon})$$

$$\text{then } T(N) = \Theta(N^{\log_b a + \epsilon}) = \Theta(f(N))$$

For merge sort

$$T(N) = \Theta(N \lg N)$$

$$T(N) = aT\left(\frac{N}{b}\right) + f(N)$$

$$T\left(\frac{N}{b}\right) = aT\left(\frac{N}{b^2}\right) + f\left(\frac{N}{b}\right)$$

$$T(N) = a^2 T\left(\frac{N}{b^2}\right) + f(N) + af\left(\frac{N}{b}\right)$$

$$T(N) = a^3 T\left(\frac{N}{b^3}\right) + f(N) + af\left(\frac{N}{b^2}\right) + a^2 f\left(\frac{N}{b^2}\right)$$

⋮

$$T(N) = a^k T\left(\frac{N}{b^k}\right) + f(N) + af\left(\frac{N}{b^k}\right) + \dots + a^{k+1} f\left(\frac{N}{b^{k+1}}\right)$$

stop when

$$\frac{N}{b^k} \leq b^k \Leftrightarrow k$$

$$b^k \leq N < b^{k+1}$$

$$\Theta(N^{\log_b a})$$

$$b^k \leq N < b^{k+1}$$

if  $f(N) = O(N^{\log_b a - \epsilon})$

then extra  $\rightarrow$

$$O(N^{\log_b a - \epsilon}).$$

$$\left[ + \left( \frac{N}{b} \right)^a + \left( \frac{N}{b^2} \right)^a + \dots \right]$$

$$O(N^{\log_b a - \epsilon}) [b^a + b^{2a} + b^{3a} + \dots]$$

Jan 20

Wednesday, January 20, 2016 1:00 PM

$$T(n) = \Theta(n^{\log_b a})$$

Case 1 : if  $f(n) = O(n^{\log_b a - \epsilon})$   $\epsilon > 0$

$$f\left(\frac{n}{b}\right) = O\left(\frac{n^{\log_b a - \epsilon}}{b}\right)$$

$$= O\left(\frac{n^{\log_b a - \epsilon}}{b^{\log_b a - \epsilon}}\right)$$

$$= O\left(\frac{n^{\log_b a - \epsilon}}{a \cdot b^{-\epsilon}}\right)$$

$$\alpha f\left(\frac{n}{b}\right) = O\left(n^{\frac{\log_b a - \epsilon}{b^{-\epsilon}}}\right)$$

$$= O\left(n^{\log_b a - \epsilon \cdot b^{\epsilon}}\right)$$

$$\zeta = \frac{(b^a)^k - 1}{b^a - 1} = \Theta(n^a)$$

Case 2

$$f(n) \Rightarrow \Theta(n^{\log_b a})$$

$$\therefore T(n) = \Theta(n^{\log_b a} \cdot \log n)$$

Case 3  $f(n) = \Omega(n^{\log_b a + \epsilon})$

$$T(n) < \Omega(f(n))$$

in order to conclude that

$$T(n) = \Theta(f(n))$$

Use regularity condition

Use

regularity condition

if there is some  $c < 1$

such that

$$af\left(\frac{n}{b}\right) < cf(n)$$

$$a^2f\left(\frac{n}{b^2}\right) < c^2f(n) < c^2f(n)$$

$$\frac{1-c^2}{1-c} = f(n)$$

Ex: Use the master theorem to solve the following recurrence

a)  $T(n) = 8T\left(\frac{n}{2}\right) + 5n^2 \log n$

b)  $T(n) = 8T\left(\frac{n}{2}\right) + 5n^3$

c)  $T(n) = 8T\left(\frac{n}{2}\right) + 5n^4.$

$\log_10 a = \log_2 8 = 3.$

a)  $f(n) = O(n^{3-\varepsilon})$

$$n^2 \log n = O(n^{2.9})$$

$$n^2 \log n = \Theta(n^{2.9})$$

$$\begin{aligned} & c = 9 \\ \therefore T(n) &= \Theta(n^3) \end{aligned}$$

b) case 2

$$T(n) = \Theta(n^3 \log n)$$

c) case 3:

check Recurrance:

$$\begin{aligned} & af\left(\frac{n}{b}\right) - \\ & f(n) = 5n^4 \\ \rightarrow & 8 \cdot 5\left(\frac{n}{2}\right)^4 = 40 \frac{n^4}{16} = 2.5n^4 \\ & a=8 \\ & b=2 \\ & af\left(\frac{n}{b}\right) \leq cf(n) \\ & C = 0.5 \\ & \text{Success} \end{aligned}$$

$$T(n) = \Theta(n^4)$$

otherwise

$$\Omega(n^4)$$

## Quick Sort

0  
Smaller

1  
"middle"

0  
bigger.

(Worst  $O(n^2)$ )

Best  $O(n \log n)$

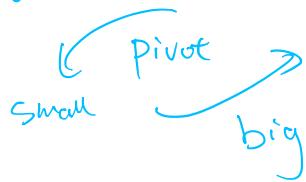
$$1 + \sqrt{2} + \sqrt{3} + \dots + \sqrt{n} \leq \sqrt{n} + \sqrt{n} + \sqrt{n} + \dots + \sqrt{n} \leq n\sqrt{n}$$

$$n^{\frac{3}{2}}$$

Jan 22

Friday, January 22, 2016 12:56 PM

Quick sort



$X$  = the number of comparison to QS of an array of  $n$  entries.

$E(X_n)$  = expected # of comparison to place pivot.

+ expected # of —  $\rightarrow$  QS left.

+ — — —  $\rightarrow$  QS right

A hand-drawn diagram of an array represented by a horizontal line with green dots. A red vertical line labeled "pivot belong" points to one of the green dots. Brackets above the array indicate the range of elements being considered for comparison.

$$= (n+1) + \frac{2}{n} [E(x_1) + E(x_2) + \dots + E(x_{n-1})] + \sum \left\{ \begin{array}{l} \frac{1}{n} E(x_m) \\ \frac{1}{n} (E(x_1) + E(x_2)) \\ \vdots \\ \frac{1}{n} (E(x_{n-1}) + 0) \end{array} \right\}$$

Assume any entry can be in the right most slot with  $P = \frac{1}{n}$

$$\begin{aligned} nE(x_n) &= n(n+1) + 2(E(x_1) + \dots + E(x_{n-1})) \\ (n+1)E(x_{n-1}) &= (n+1)(n) + 2(E(x_1) + \dots + E(x_{n-2})) \end{aligned}$$

$$\begin{aligned} nE(x_n) - (n+1)E(x_{n-1}) &= 2n \\ &= 2n + 2E(x_{n-1}) \end{aligned}$$

$$nE(x_n) - (n+1)E(x_{n-1}) = 2n$$

$$nE(x_n) = (n+1)E(x_{n-1}) + 2n$$

For Comparison

$$nE(x_n) = (n+1)E(x_{n-1})$$

$$\begin{aligned}
 nE(X_n) &= (n+1)E(X_{n-1}) \\
 E(X_n) &= \frac{n+1}{n} E(X_{n-1}) = \frac{n+1}{n} \cdot \frac{n}{n-1} E(X_{n-2}) \\
 E(X_{n-1}) &= \frac{n}{n-1} E(X_{n-2}) \\
 &\vdots \\
 E(X_n) &= \frac{n+1}{n} \cdot \frac{n}{n-1} \cdot \frac{n-1}{n-2} \cdots \frac{2}{3} E(x_1) \\
 &= \frac{(n+1)}{2} E(x_1)
 \end{aligned}$$

$1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} = \ln n + \Theta(n)$   
 $\downarrow$   
 $\int_1^n \frac{1}{x} dx = \ln n - \ln 1 = \ln n$

go away  
 back.

$E(X_n) = \frac{2}{n+1} + \left[ \frac{2}{n} + \frac{2}{n-1} + \cdots + \frac{2}{4} + \frac{E(x_1)}{3} \right]$   
 $= 2\ln n + \frac{E(x_1)}{3}$

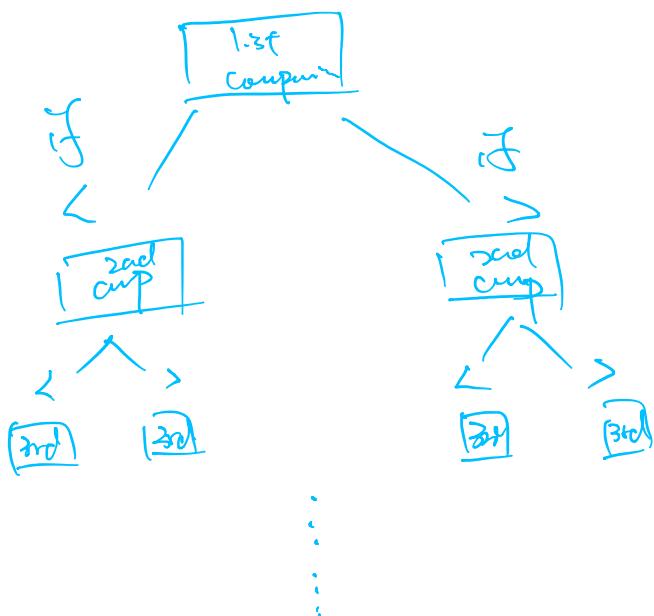
$E(X_n) = 2n \ln n + O(n)$

Jan 25

Monday, January 25, 2016 1:03 PM

Quicksort - worst  $\Theta(n^2)$   
avg  $2n \ln n + O(n)$

flow chart



1 comp  $\rightarrow 2$  case of avar  
2 comp  $\rightarrow 2^2$  —  
3 comp  $\rightarrow 2^3$  —  
K comp  $\rightarrow 2^K$  cases

in order to sort an array of  $n$  entries with  $K$  comparisons,  
we must have  
 $2^K \geq n!$

$$K \geq \log_2 n! = \Theta(n \log_2 n)$$

more precisely

$$\ln(n!) = \sum_{i=1}^n \ln i$$

$$\text{error} \leq \frac{\ln n}{2}$$

= sum of the areas of the —

$$= \int_1^n \ln x dx + \text{error}$$

$$= x \ln x \Big|_1^n - \int_1^n x \frac{1}{x} dx + \text{error}$$

$$= n \ln n - n + \text{error}$$

$$= n \ln n - n + O(\ln n)$$

$$\lim_{n \rightarrow \infty} \frac{\ln n!}{n \ln n} = 1$$

"perfect" algorithm takes at least  $n \log_2 n + O(n)$  Comparisons  
 $(n \ln n \log_2 n)$

$$\frac{2n \ln n}{n \log_2 n} = 2/\log_2 e = 2 \ln 2 \approx 1.3862 \dots$$

## Heap Sort

$O(n \log n)$  worst.

A Heap is a data structure that we can organize as binary tree.

① every level of tree (except the last) have both left and right children.

② at most 1 child node - .

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② at most 1 child node -

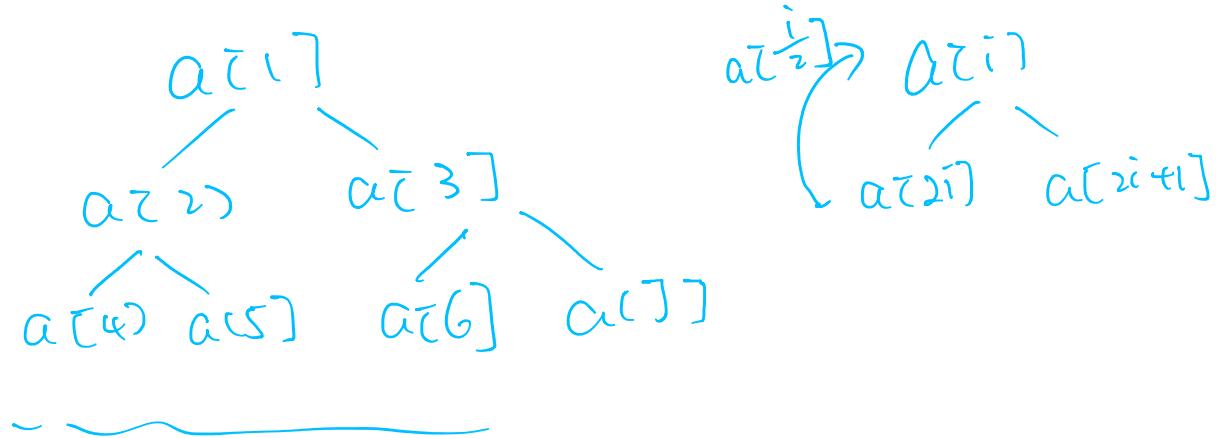
Data for the parent is at least as big as children.

Jan 27

Wednesday, January 27, 2016 1:02 PM

Heap sort

build a heap or currency



Want to ensure the such that

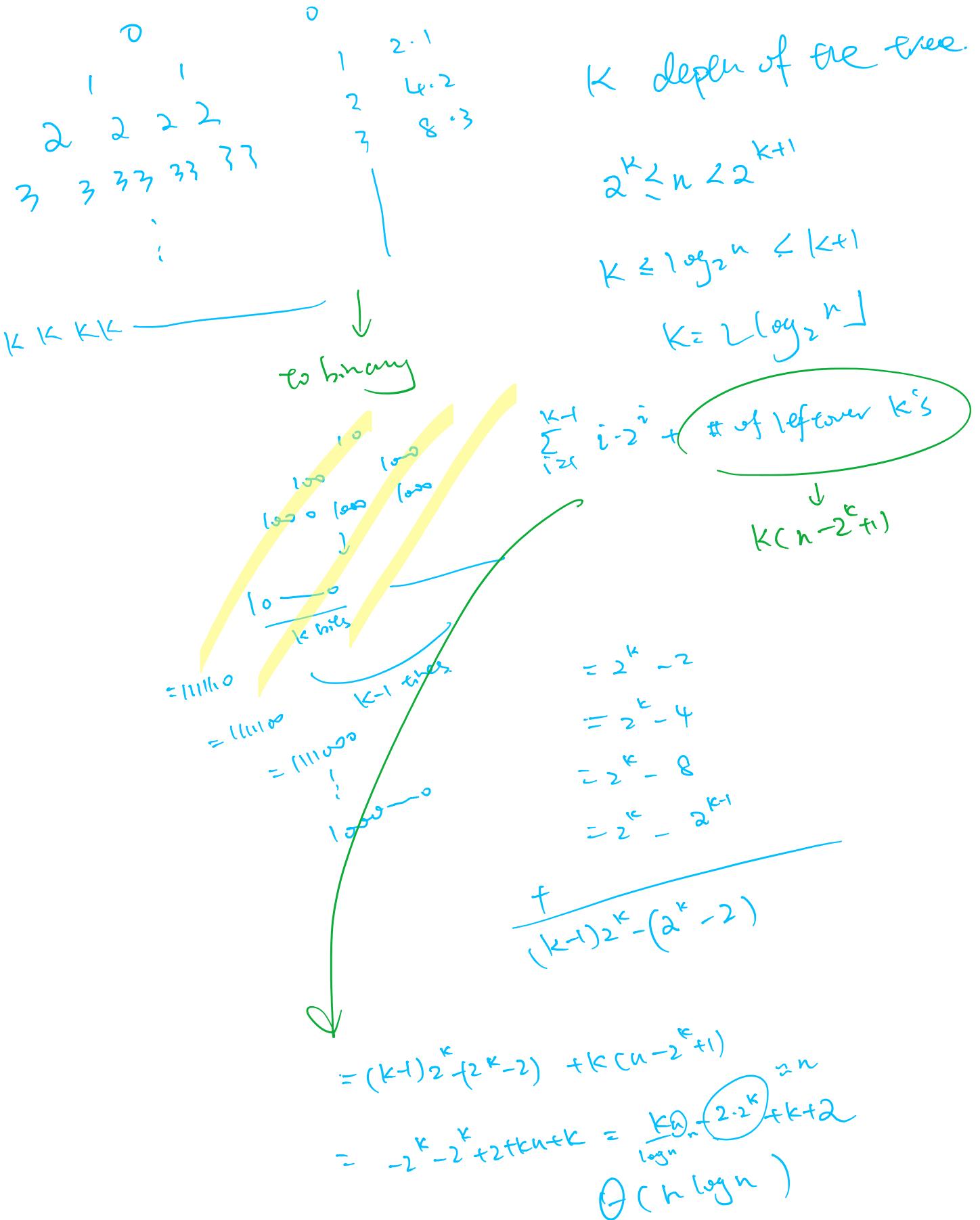
$$a[i] \geq a[2i]$$

and

$$a[i] \geq a[2i+1]$$

Top down, bottom up





## Heapsort

① top down

 $\Theta(n \log n)$  steps

② bottom up



# of swaps for every element to fall to bottom.

$$\lfloor \frac{n}{2} \rfloor + \lfloor \frac{\lfloor \frac{n}{2} \rfloor}{2} \rfloor + \dots$$

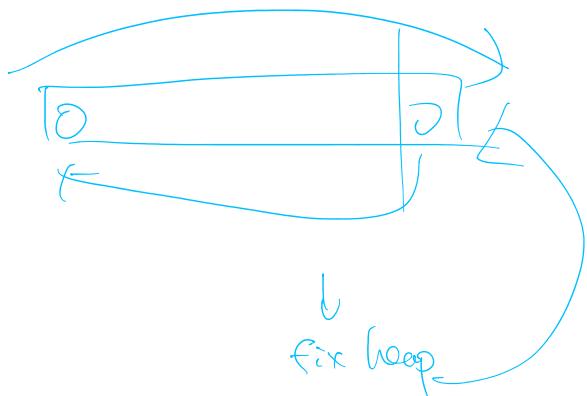
$$n = b_k b_{k-1} \dots b_2 b_1$$

$$\lfloor \frac{n}{2} \rfloor = \dots (b_2 \cdot v)$$

$\longrightarrow$  shifting

$$b_k(2^{k-1}-1) + b_{k-1}(2^{k-2}-1) + \dots + b_2(2-1) + b_1(1-1).$$

$$= n$$



$$\log n + \log(n-1) + \log(n-2) + \dots + \log 2 + \log 1 \approx \log_2 n!$$

$\rightarrow \Theta(n \log n)$

heap sort  $\rightarrow$  priority queue.

heap sort  $\rightarrow$  priority queue.

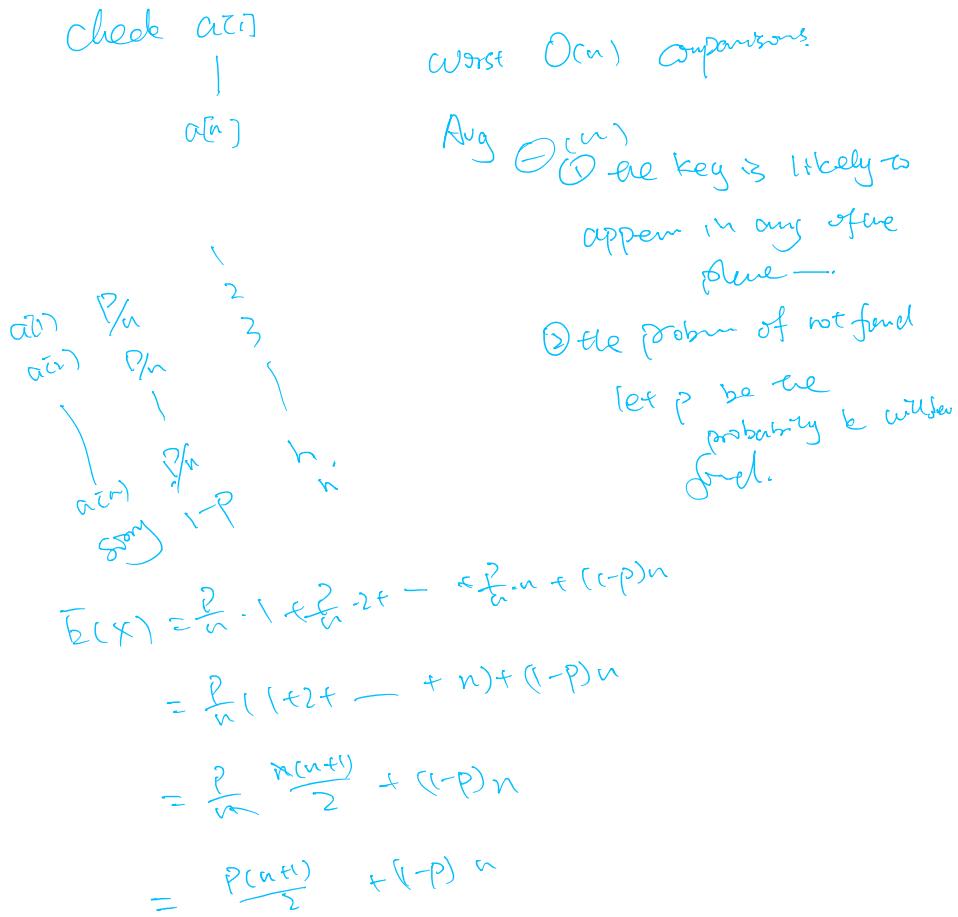
Shift  $\rightarrow$  search an array.

Problem: given an array  $a[n]$  and  
a key - determine if the key is in  
the array

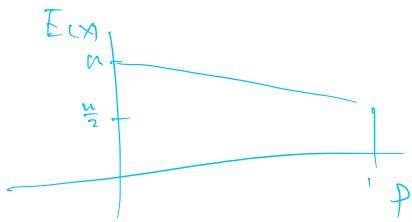
input array,  $V \text{ value} = \text{key}$

Output  $i$ , where  $a[i] = \text{key}$  if found.

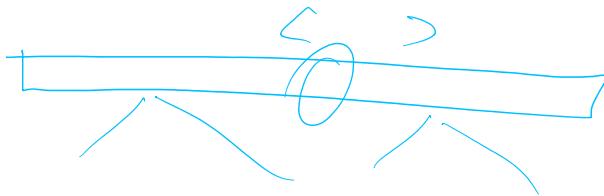
## ① Sequential Search



$$= \frac{P(n+1)}{\sum} + (-P)^n$$



Binary Search



$T(n)$  = worst case

$$= 1 + T\left(\frac{n-1}{2}\right)$$

$$= 1 + T\left(\lfloor \frac{n}{2} \rfloor\right)$$

$$= T\left(\lfloor \frac{n}{2} \rfloor\right) + 1 \rightarrow \log_2 1 = 0 \rightarrow T(n) = O(n^{\log n})$$

$$= \Theta(n^{\log n})$$

Feb 1

Monday, February 1, 2016 12:55 PM

## Binary Search

$$T(n) = T(\lceil \frac{n-1}{2} \rceil) + 1 \\ = T(\lfloor \frac{n}{2} \rfloor) + 1$$

We want the exact solution to this recurrence

$$n = b_k b_{k-1} \dots b_1$$

$$T(n) = 1 + T(b_k b_{k-1} \dots b_2) \\ = 1 + 1 + T(b_k b_{k-1} \dots b_3) \\ = 1 + 1 + \dots + 1 = \underbrace{1+1+\dots+1}_{(1+1+\dots+1)^k}$$

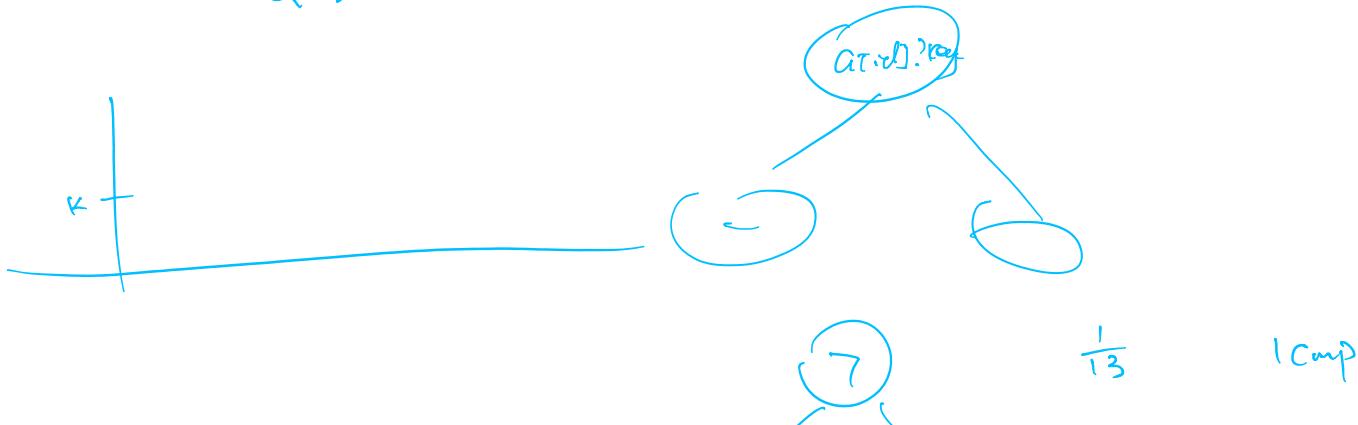
$$\text{So } T(n) = k = \lfloor L \log_2 n \rfloor$$

Any algorithm which searches a sorted array with an answer takes at least  $\lfloor L \log_2 n \rfloor$  comp.

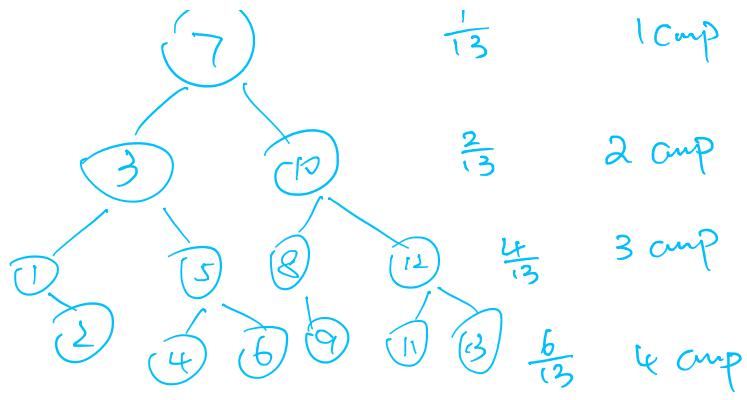
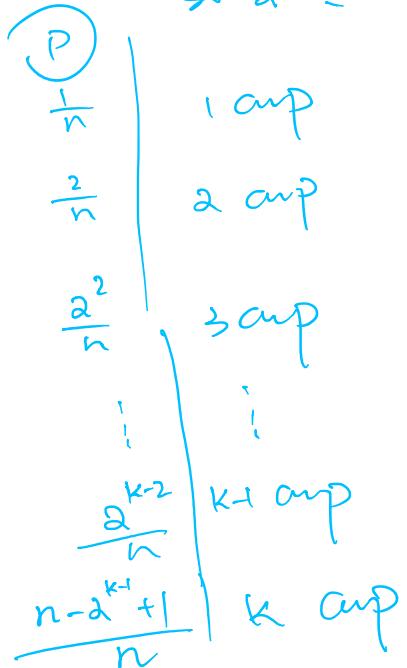
if  $k$  is the number of comp

then

$$2^k \geq n \Rightarrow n < 2^k$$



For general  $n$ :  
let  $k = \#$  of bits.  
 $\Rightarrow 2^{k-1} \leq n < 2^k$ .



$$E = \frac{41}{13} = 3 \frac{2}{13} \rightarrow 4.$$

$$\begin{aligned}
 E(x) &= \frac{1}{n} \times 1 + \frac{2}{n} \times 2 + \dots + \frac{2^{k-1}(k-1)}{n} \\
 &\quad + \left( \frac{n-2^{k-1}+1}{n} \right) k \\
 &= \frac{(k-2)2^{k-1}+1}{n} + \frac{(n-2^{k-1}+1)k}{n} \\
 &= \frac{nk-2^{k-1}+k}{n} \\
 &= k - \left[ \frac{2^{k-1}-1}{n} \right]
 \end{aligned}$$

Randomly generated trees.

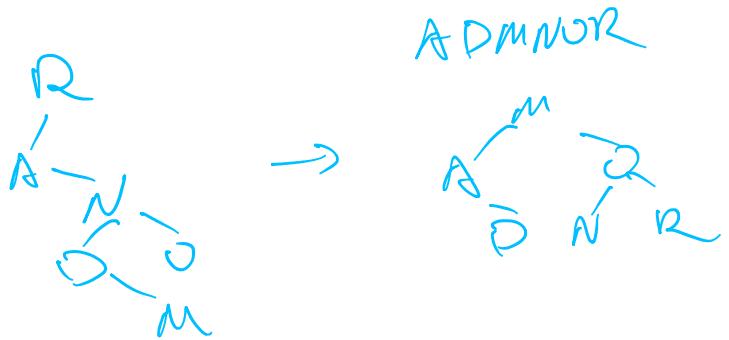
Feb 3

Wednesday, February 3, 2016 12:55 PM

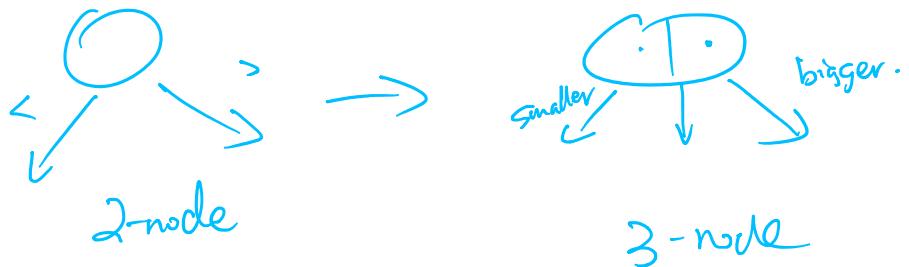
## Binary Search

With randomly generated tree?

RANDOM



Limit how we do fix

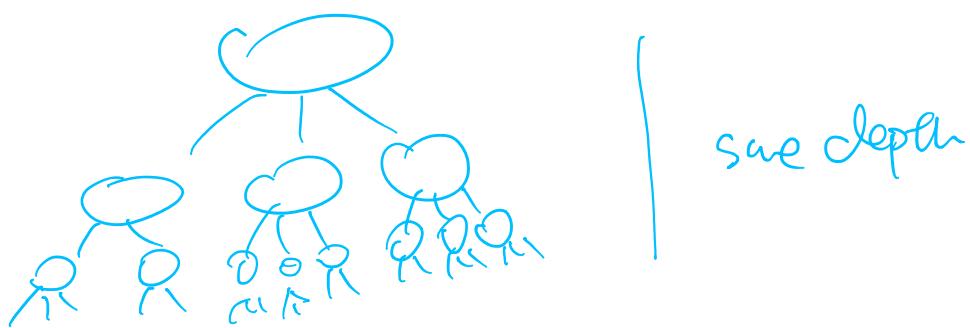


2 comp

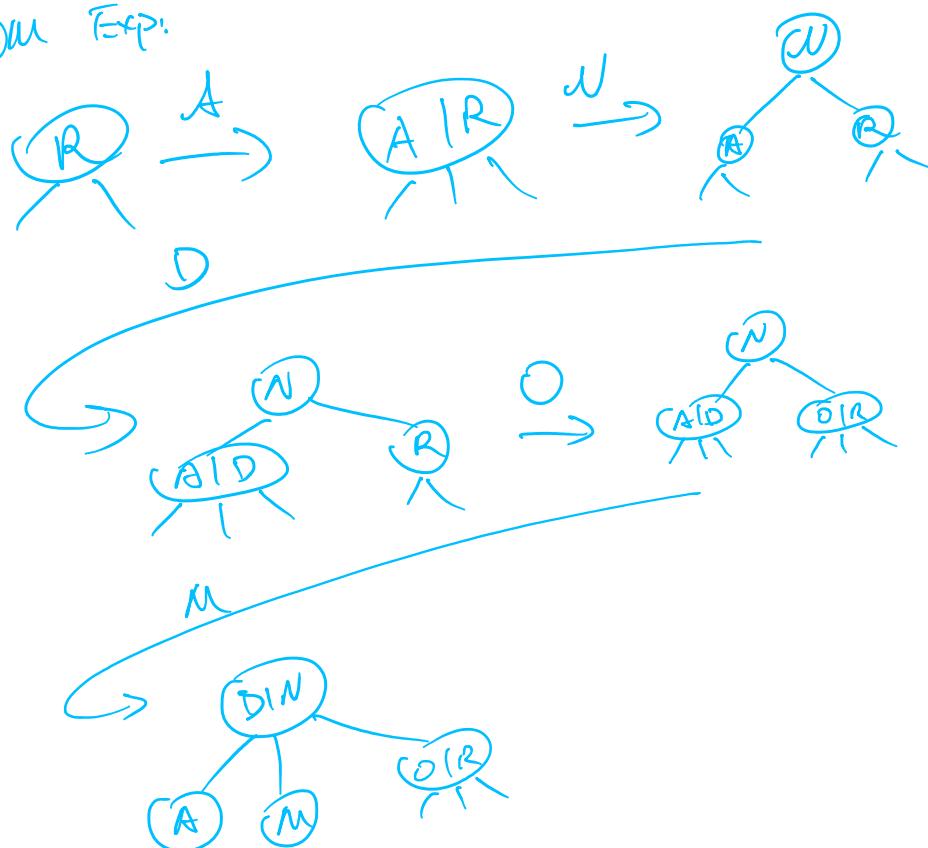
2 → 3 tree  
is a tree made from 2 → 3 nodes

. - ~ - - Prod. - - over with

is a tree ... given  
with the same depth on every path  
to the bottom.

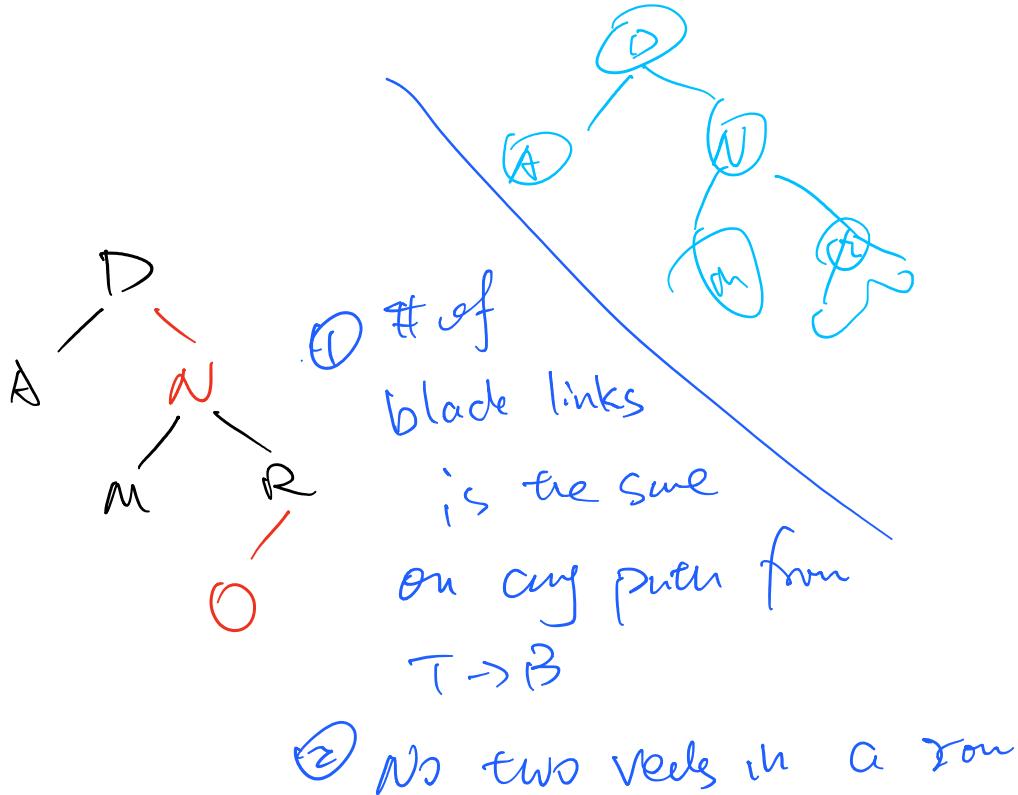


RANDOM EXP:



$n \log n$

Convert to binary Tree.



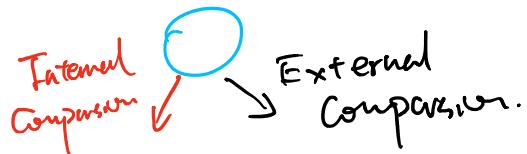
Feb 5

Friday, February 5, 2016 12:52 PM

## Red-black Tree

2-3-4 trees.

Rules:



Put color on the node that is pointed by the arrow.

Top of the tree is always black.  
Null pointer  $\rightarrow$  black.

Rules:

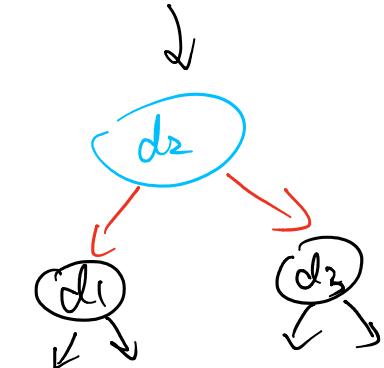
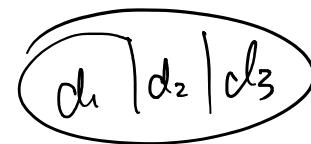
① no red child of a red parent.

② The black depth of the tree

  Remarks — down any path from top to bottom.

③ New node starts as a leaf of tree where ever fits.  
    new node first be red

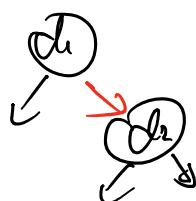
The only possible problem is the violation of red-red.



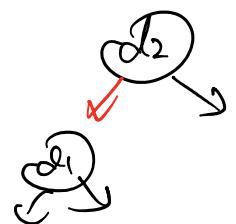
2 nodes

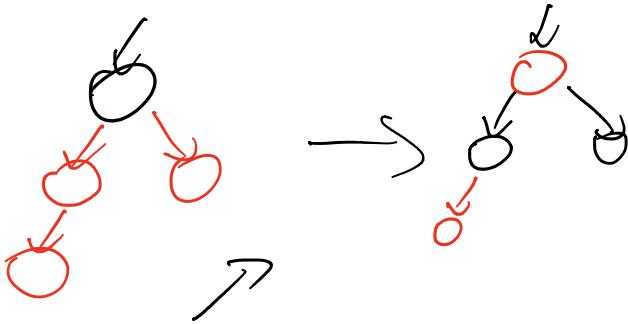


rotation



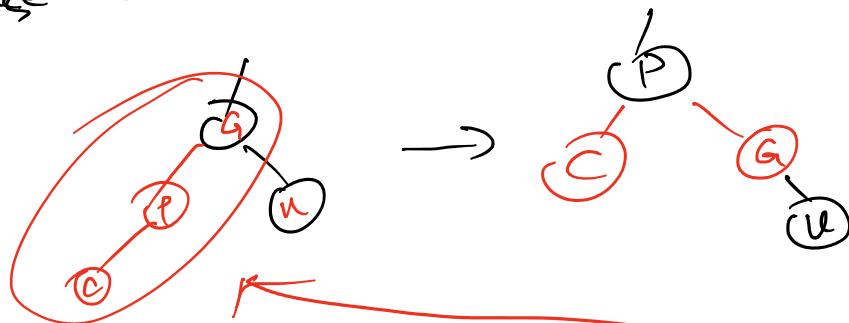
or



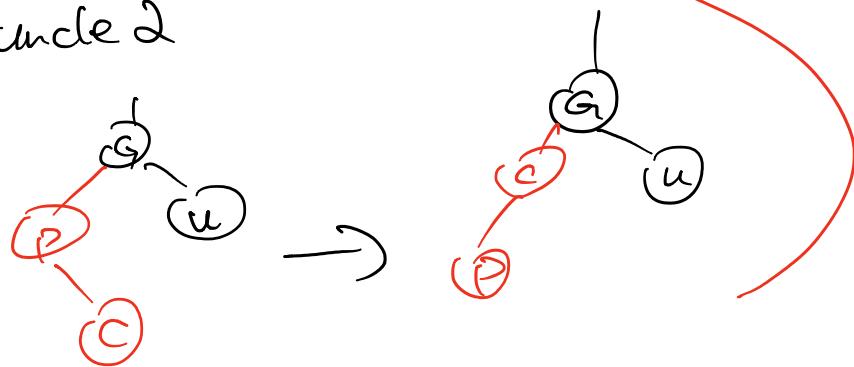


Case 1: red uncle

Case 2 = Black uncle



Black uncle 2



Feb 8

Monday, February 8, 2016 12:54 PM

## Graph Algorithms.

Definition Graph  $G(V, E)$

$V = \text{set of vertices} = \{v_1, v_2, \dots, v_n\}$

$E = \text{set of edges} = \{e_1, e_2, \dots, e_k\}$

When  $F_1 = (V_1, V_2)$

if order matter:  
directed graph.

else

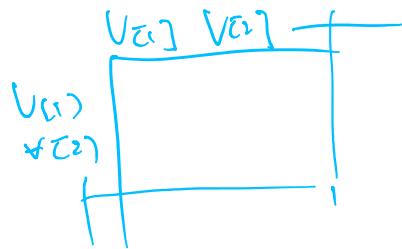
$\{v_1, v_2\}$  undirected graph

## Representation

Adjacency matrix

$v_{(1)}, v_{(2)} \dots$

Represent using 2D array.



$v_{(i)} \rightarrow v_{(j)}$

1 = edge exist

0 = \_\_\_\_\_

When two vertices are connected by edge  
then they are adjacent.

If value in matrix is not just 0 or 1,  
then we call graph "weighted"

loop = edge between vertex and itself.

$|V|$  = # of elements in  $V$

$|E|$  = # of edges

# of bits is  $|V|^2$  ( $O(n^2)$ ) -

sparse  $|V|^2$

---

② linked list representation.

Every vertex has a list of vertices that are  
adjacent to it.

Overall  $\Theta(|V| + |E|)$

When  $|E|$  is lot less than  $|V|^2$ , we call the  
graph sparse.

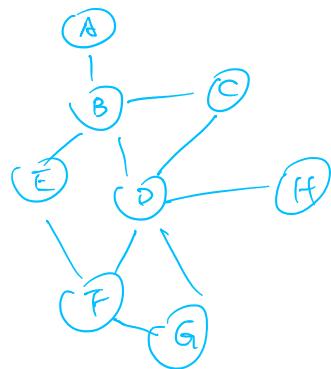
# Graphs

## Searching Graphs

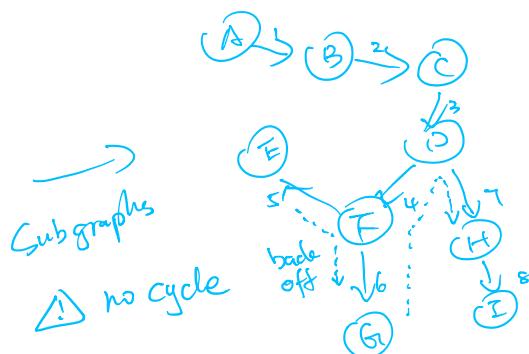
Exploring

DFS

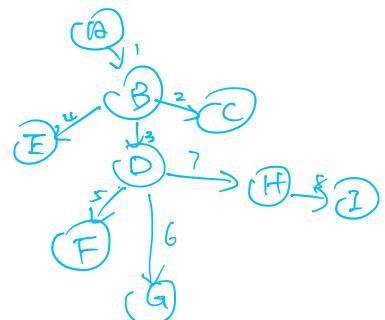
BFS



DFS



BFS



Cycle free graph that includes all the vertices of a connected graph is called tree.

DFS → Recursion

DFS ( $G(v_1, \dots, v_n)$ ,  $V$ )

mark as visited

Find a new unvisited vertex  
if found,  $\text{DFS}(G(v_1, \dots, v_i), V)$   
 $\text{call}(v_i)$

if not, return to back off.

DFS + stack learn about connectivity

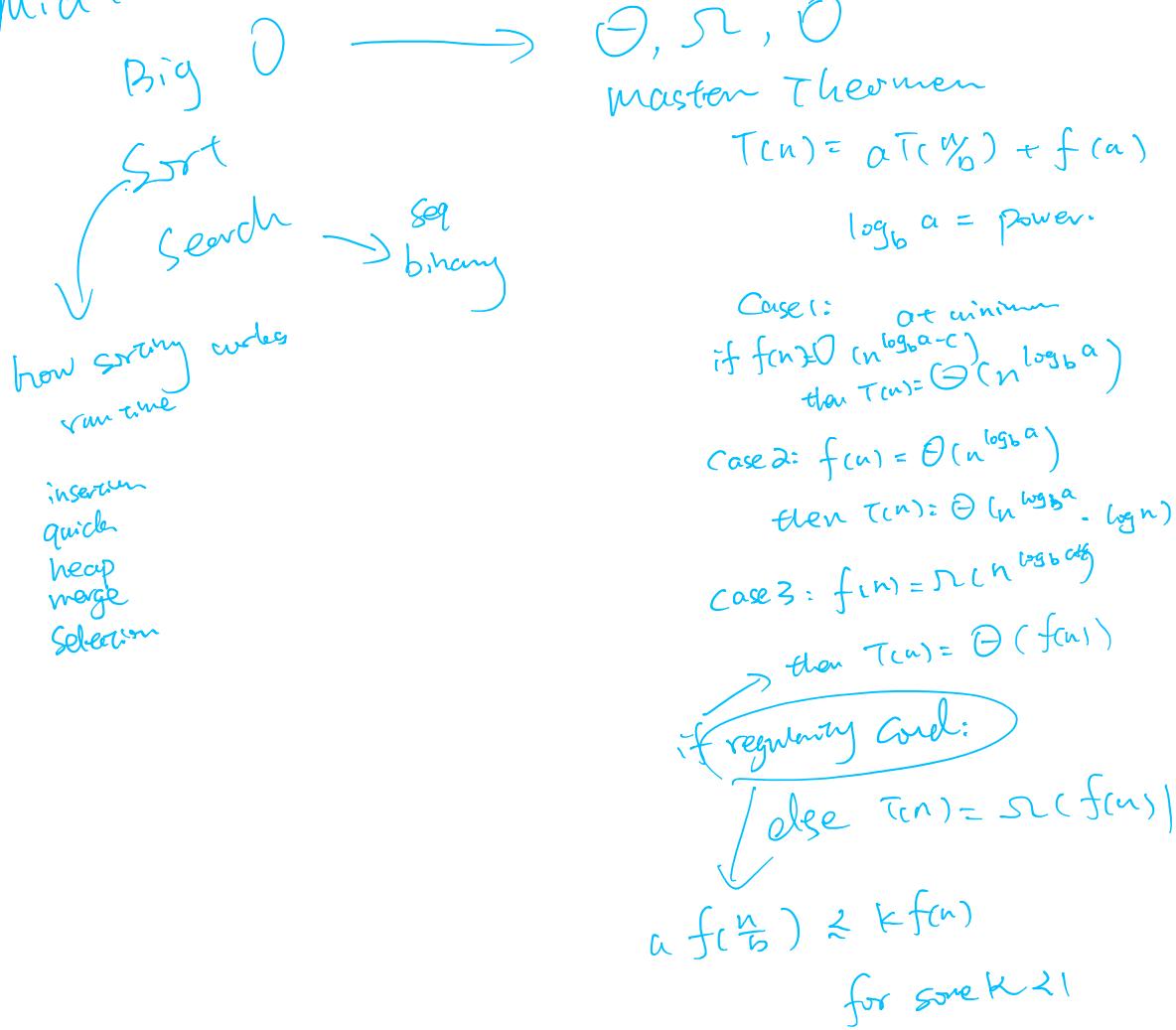
DFS + stack learn about connectivity

BFS

Queue

A B  
B C D E  
C D E  
D E F G H  
E F G H  
F G H  
G H  
H I.  
I

Mid term



for some  $k \geq 1$

Ex:  $T(n) = 1 \quad n \leq 2$

$$T(n) = 8T\left(\frac{n}{2}\right) + 3n^3$$

$$\log_2 8 = 3$$

So Case 1  
 $T(n) = \Theta(n^3)$

$8 \rightarrow 4$  then Case 2,  $T(n) = \Theta(n^2 \log n)$

$8 \rightarrow 3$  then Case 3

reg check:

$$\begin{aligned} 2f\left(\frac{n}{2}\right) &= 3 \cdot 3\left(\frac{n}{2}\right)^2 \\ &= \left(\frac{3}{2}\right)n^2 \\ &= \frac{1}{2}f(n). \end{aligned}$$

$\leq 1 \Rightarrow T(n) = \Theta(n^2)$

Ex 3:

$f(n) = \# \text{ of bits for sig}$

$\text{bitsum} = \# f(1)$

$g(n) = \# \text{ of bits for zero.}$

$g(n) = n - \text{bitsum}$

$$\frac{f(n)}{g(n)} = \Theta(n)$$

$\lfloor \log n! \rfloor \rightarrow n \log n.$

$$\frac{f(n)}{g(n)} \approx \frac{n \log n - \text{min}}{\log n}$$

Feb 17

Wednesday, February 17, 2016 12:57 PM

## Graphs

An articulation node in a connected graph  
one whose deletion along with  
associated edges leave a disconnected  
sub graph.

A graph that has no articulation nodes is  
called biconnected.

---

modify DFS to find articulation nodes and biconnected  
components. (use DFS on stack)

let  $\text{Val}(v) = \text{DFS}_v$ .  
Value of vertex  $v$ .  
= order in DFS that  $v$  is visited.

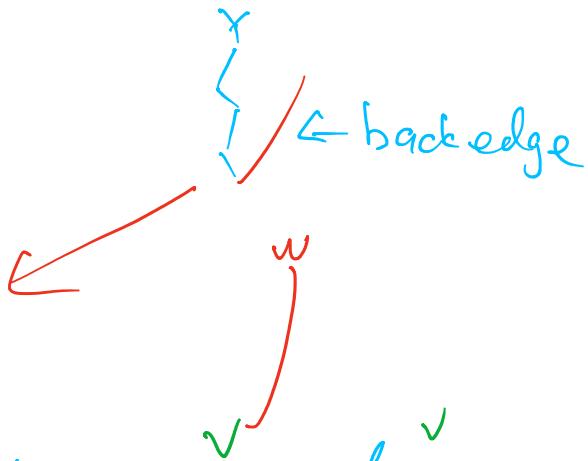
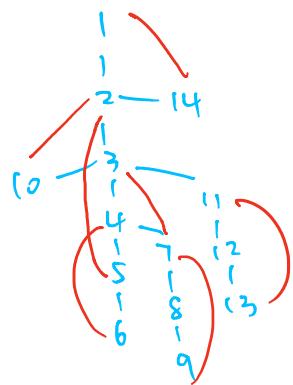
let  $\text{backVal}(v) = \text{back value of vertex } v$ .



DFS tree  
x

y

DFS tree



$\text{backval}(v) = \min_{w \in \text{children of } v} \text{val}(w)$  for all vertices  
that terminate a path of - followed by  
one back edge.

$$\text{backval}(1) = 1$$

$$\text{backval}(2) = 1$$

$$\text{backval}(3) = 2$$

$$\text{backval}(4) = 2$$

update parent's back value

Feb 19

Friday, February 19, 2016 12:54 PM

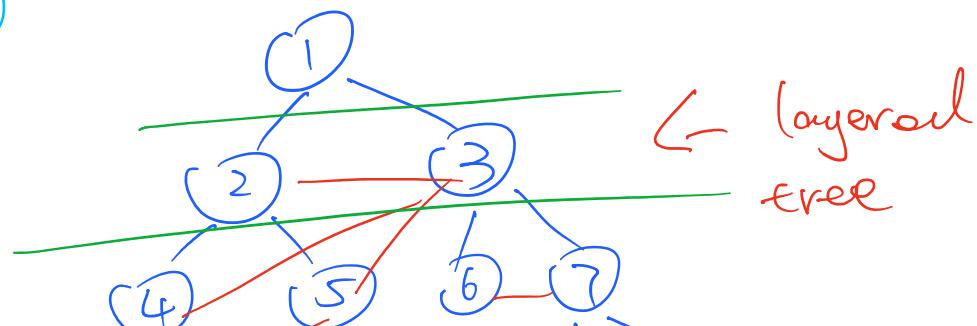
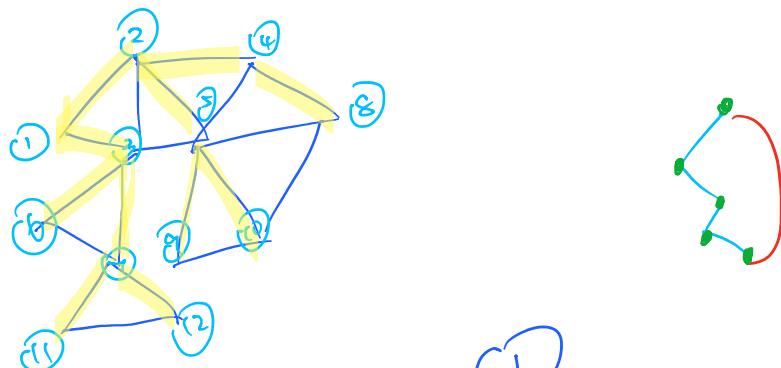
$$\text{backval}(v) = \min(\text{val}(v), \text{val}(w), \text{backval}(c))$$

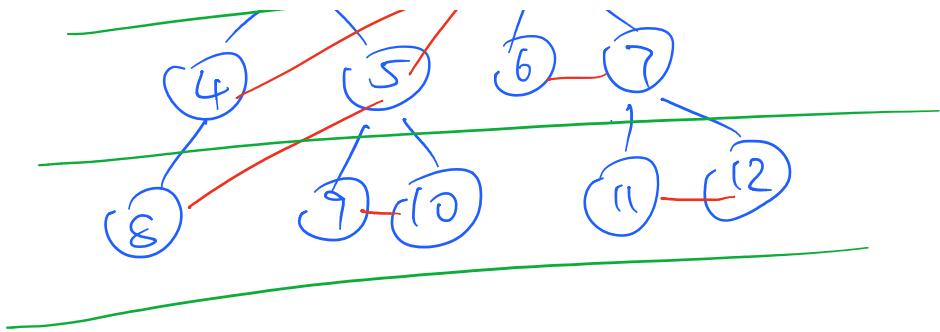
(P)  $c$        $P \neq$  root of tree.  
if  $\underline{\text{backval}(c) \geq \text{val}(P)}$   
else if  $\text{backval}(c) < \text{val}(P)$   
then there is a backtree

DFS start over again.

(2nd run)  
then multiple child  
root is articulation node

BFS





The distance between the root of BST and any other vertex is the generation of the target vertex (consider top = 0)

Shortest path problem

Given a Weighted graph : find the cheapest path from any vertex to any other vertex

what if weight is  $\geq 0$

Feb 22

Monday, February 22, 2016 12:56 PM

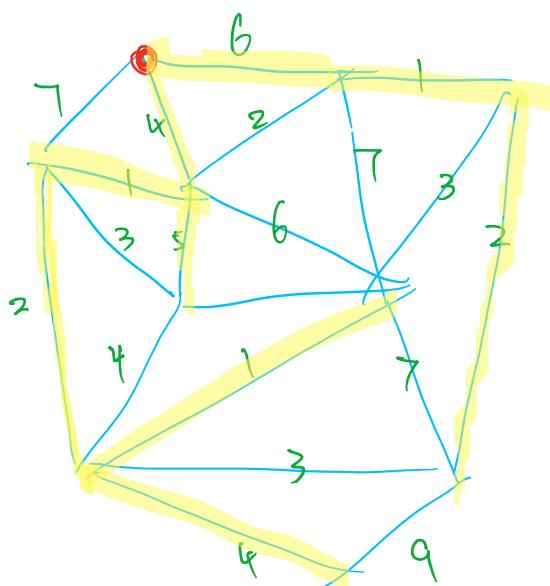
Shortest path.

input: weighted graph (every edge has an associated weight)

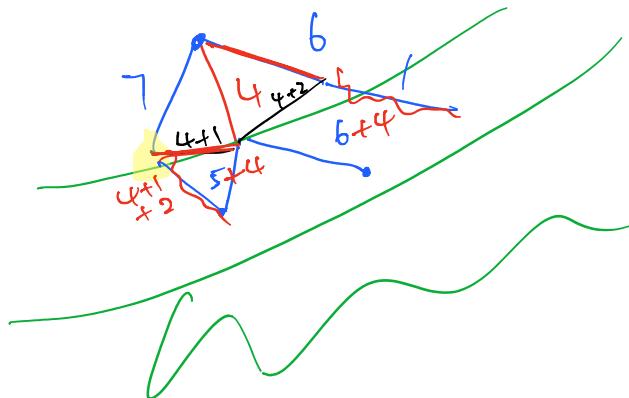
Assume:  $w(e) \geq 0$

output: The cheapest path from one vertex to all other.

frontier



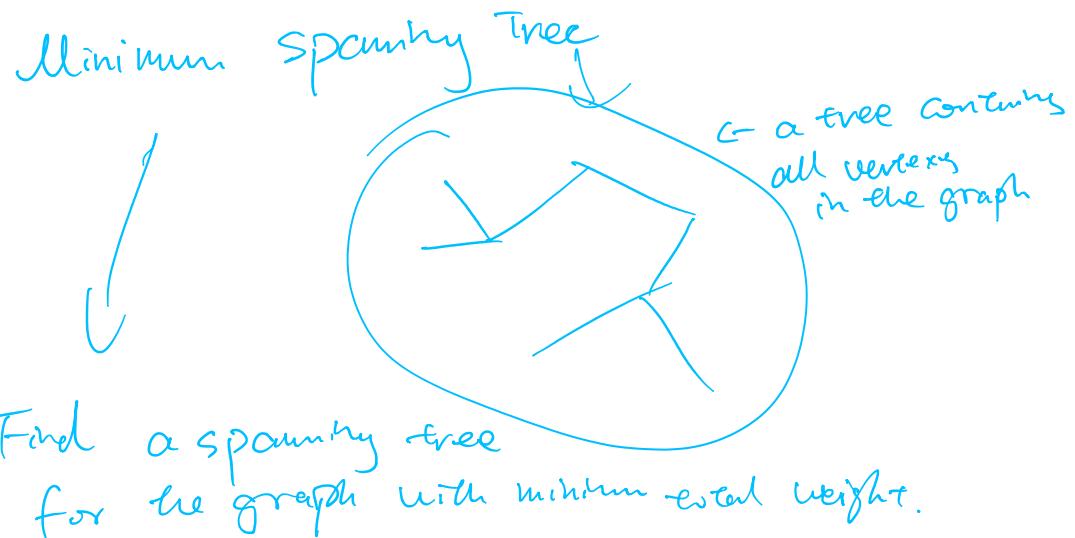
Visit all vertices



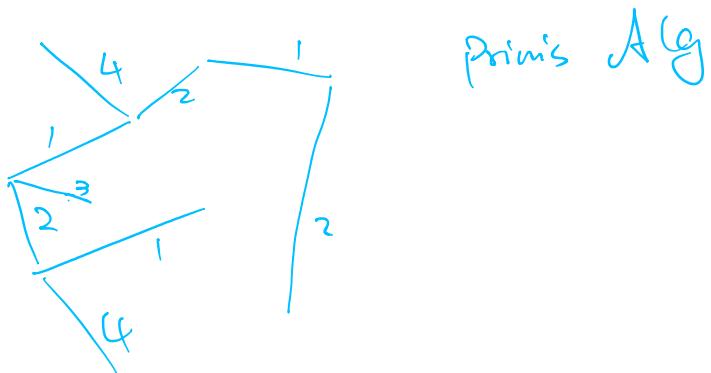
back trace (who brings me in?)

# Dijkstra's Algorithm

Related

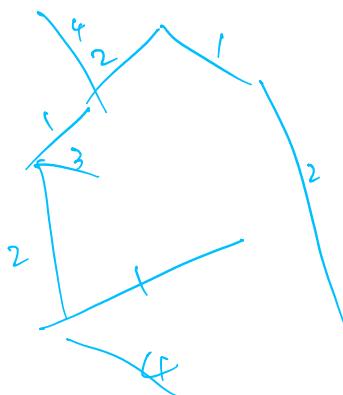


Consider edge only



Kruskals Alg.

OR



Feb 24

Wednesday, February 24, 2016 1:00 PM

$$\ln(n!) = \Theta(n \log n)$$

$$\ln(n(n^2)!) = \Theta(n^2 \log(n^2)) = O(n^2 \log n)$$

$$\ln(n!)^2 = O(n(\log n)^2) = O(n^2 \log^2 n)$$

$$\frac{n(n-1)}{4} = \frac{9 \cdot 8}{14} = 18.$$

For (2) it's 14

$$m \leq n < (m+1)^2$$

$$m \leq \sqrt{n} < m+1$$

$$m + [n - m^2 + 1] \leq m + 2m + 1 = 3m + 1$$

$$\Theta(\sqrt{n})$$

---

Minimum Spanning Tree

Prim's Alg.

Kruskal's Alg  
always add cheapest, until it completes

$\Theta(|E| \log |E|)$

cheapest edge must be in the tree

with every new edge

- ① if it connects two unseen vertices, start a new component.
- ② if it connects a known vertex to an unseen vertex, add the unseen vertex to the component.
- ③ if it connects two known vertices, check and see if it's in same component.  
if so skip  
else, add the edge and first all components.

Feb 29

Monday, February 29, 2016 12:50 PM

# Union-Find Data Structure

Find ( $n$ )

if parent ( $n$ ) =  $n$   
return  $n$

else  
return find (parent)

Union ( $m, n$ )

if Find ( $m$ ) = Find ( $n$ )  
Do nothing

else

$\text{parent}(\text{find}(u)) = \text{find}(u)$

or

$\text{parent}(\text{find}(u)) = \text{find}(\text{parent}(u))$

} choose by rank.  
(Depth)

only change if 2 ranks are the same

---

Set  $\text{parent}(u) = \text{parent}(\text{parent}(u))$

return  $\text{find}(\text{parent}(u))$

# Computational Algorithms

Multiply polynomials  
Multiply matrices.

---

Eva polynomial

$$P(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$$

$$\begin{aligned} a_n &\neq 0 \\ n &= \text{degree of } P(x) \end{aligned}$$

Input:  $x$ ,  $a[i] \leftarrow$  array of coeffs. ( $0 \leq i \leq n$ )  
↑  
deg of poly

Output:  $P(x)$

Q: How many operations are used to eva poly?

$$\begin{aligned} \times^n \text{ first} \quad \# \text{ of multiplications} &= n + (n-1) + (n-2) + \dots + 1 \\ &= \frac{n(n+1)}{2} = \Theta(n^2) \end{aligned}$$

$$\# \text{ of additions} = n$$


---

$$x \text{ first.} \rightarrow x^n.$$

$$\begin{aligned} \# \text{ of multiplications} &= (n-1) + n \\ \# \text{ of additions} &= n \quad (\text{a.}) \end{aligned}$$

$$\Theta(n^2) \rightarrow \Theta(n)$$


---

Hornor's method

addition to help

$$\begin{aligned} a_n x^n + a_{n-1} x^{n-1} \\ \downarrow^{n-1} (nx + a_{n-1}) \end{aligned}$$

$$-(\dots - (-(a_{n-1} x^{n-1}) x + a_{n-2}) x + a_{n-3}) x - \dots a_1) x + a_0$$

$$a_n x^n + a_{n-1} x^{n-1} \rightarrow x^{n-1} (a x + a_{n-1})$$

technique: straight line program.

$$S_i = x \text{ (op) } y \quad (\text{Op}) \quad \text{Some operations like } +, -, *, /$$

$x, y$  const, user input  
previous computations.

For V2.

$$\begin{aligned} S_1 &= x * x \\ S_2 &= S_1 * x \\ S_3 &= S_2 * x \\ &\vdots \\ S_{n-1} &= S_{n-2} * x \\ S_n &= a_1 * x \\ S_{n+1} &= a_2 * S_1 \\ S_{n+2} &= a_3 * S_2 \\ &\vdots \\ S_{2n-1} &= a_n * S_{n-1} \\ S_{2n} &= a_0 + S_n \\ S_{2n+1} &= S_{2n} + S_{n+1} \\ S_{2n+2} &= S_{2n+1} + S_{n+2} \\ &\vdots \\ S_{3n-1} &= S_{3n-2} + S_{n-1} \end{aligned}$$

For Horner's

$$\begin{aligned} S_1 &= a_n * x \\ S_2 &= S_1 + a_{n-1} \\ S_3 &= S_2 * x \\ S_4 &= S_3 + a_{n-2} \\ &\vdots \\ S_{2i-1} &= S_{2i-2} * x \\ S_{2i} &= S_{2i-1} + a_{n-i} \\ &\vdots \\ S_{2n-1} &= S_{2n-2} * x \\ S_{2n} &= S_{2n-1} + a_0 \end{aligned}$$

# of mult = n  
# of add = n

Theorem: Any straight line program which computes  $p(x)$  of degree  $n$  must have at least  $n$  multiplications and  $n$  additions

$$\text{Ex: } x^{15} + x^{14} + x^{13} + x^{12} + x^{11} + \dots + x + 1 \quad 14 * \quad 15 +$$

$$S = x^{15} + x^{14} + \dots + x + 1$$

$$xS = x^{16} + x^{15} + x^{14} + \dots + x^2 + x$$

$$xS - S = x^{16} - 1$$

$$S = \frac{x^{16} - 1}{x - 1} \quad | \rightarrow$$

$$\begin{aligned} S_1 &= x * x \\ S_2 &= S_1 * S_1 \\ S_3 &= S_2 * S_2 \quad \rightarrow S_3 * \\ &\vdots \quad 2 + \end{aligned}$$

$$S = \overbrace{x-1}^{\dots} \cdot S_3 = S_2 * S_2 \rightarrow \bar{2} + S_4 = S_3 * S_3$$

$$\begin{aligned} S_5 &= S_4 - 1 \\ S_6 &= x - 1 \\ S_7 &= S_5 \leftarrow S_6. \end{aligned}$$

Lemma

Any straight line program which computes

$$x_n + x_{n-1} + \dots + x_0$$

Requires at least  $n$  additions/subtractions.

Proof by induction:

Base case:  $n=0$ ,  $x_0$  At least 0 add.

Hypothesis step: Assume the statement is true for  $n-1$ ,  
Want to show that's true for  $n$ .

Given an arbitrary S.L.P that computes  $x_n + x_{n-1} + \dots + x_0$ .

Given  $x_n, x_{n-1}, x_{n-2}, \dots$  as input.

$S_2 = \text{output of } x_n + x_{n-1} + \dots$

Find first one that does  $x_n + \dots$  or  $\dots - x_n$ .

replace that with 0

then it has at least  $n-1$  additions.

So go back —

Mar 4

Friday, March 4, 2016 12:56 PM

## Matrix Multiplication

$A = [a_{ij}]$  is an  $m \times n$  matrix (2-dim array)  
row col

$$= \begin{bmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2n} \\ \vdots & & & & \\ a_{m1} & a_{m2} & a_{m3} & \dots & a_{mn} \end{bmatrix}$$

$A + B$

$$[\{a_{ij}\}] + [\{b_{ij}\}] = [\{a_{ij} + b_{ij}\}]$$

Vector.

$$\begin{bmatrix} A \\ \vdots \\ i \end{bmatrix} \begin{bmatrix} j \\ \vdots \\ 1 \end{bmatrix} = \begin{bmatrix} \xrightarrow{\text{1st row}} a_{11}x_1 + a_{12}x_2 + a_{13}x_3 + \dots + a_{1n}x_n \\ a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n. \end{bmatrix}$$

$n \times 1$  matrix

$$\begin{bmatrix} A \\ \vdots \\ m \times n \end{bmatrix} \begin{bmatrix} B \\ \vdots \\ n \times p \end{bmatrix} = \begin{bmatrix} AB \\ \vdots \\ C_j \\ \vdots \\ M \times P \end{bmatrix}$$

$$C_{ij} = a_{i1}b_{1j} + a_{i2}b_{2j} + a_{i3}b_{3j} + \dots + a_{in}b_{nj}$$

each entry of  $AB$  uses  $n$  multiplications and  $n-1$  additions.

...

..

All together, it requires  $mnp$  multiplications and  $(m+n)p$  additions

if  $m=n=p$ :  $n^3$  multiplications      }  
 $n^3-n^2$  additions      }  $\mathcal{O}(n^3)$

How to reduce?

Use special case first.

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}$$

$$c_{11} = a_{11}b_{11} + a_{12}b_{21}$$

$$c_{12} = a_{11}b_{12} + a_{12}b_{22}$$

$$c_{21} = a_{21}b_{11} + a_{22}b_{21}$$

$$c_{22} = a_{21}b_{12} + a_{22}b_{22}$$

Strassen's Alg



Can be used to calculate  
larger matrix.

$4 \times 4$  mult  $\rightarrow$  7  $2 \times 2$  mult.



64 units

48 add

18  $2 \times 2$  addition

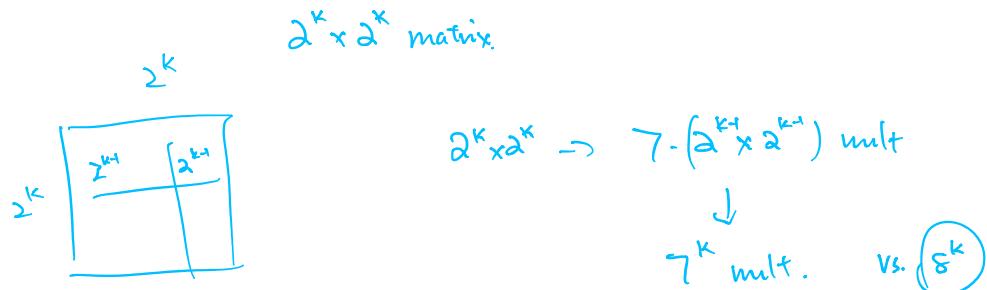


49 mult

$18 + 7 \cdot 18$  addi  
 $= 19 \times$  add.

$$48 \text{ add} \quad 18 + 7 \cdot 18 \text{ addi} \\ = 198 \text{ add.}$$

How many \*s and adds for strassen Alg?



$$n=2^k \rightarrow n^3 \cdot \text{mult.}$$

$$k = \log_2 n$$

$$\text{addition: } 7^k = 7^{\log_2 n} = n^{\log_2 7} = n^{2.81}$$

$\downarrow$

$$T(n) = 7T\left(\frac{n}{2}\right) + 18\left(\frac{n}{2}\right)^2 \\ = 7T\left(\frac{n}{2}\right) + \frac{9}{2}n^2.$$

$$\log_2 7 = 2.81 > 2. \\ \text{so: } \Theta(n^{2.81}) \text{ vs. } n^3 - n^2$$

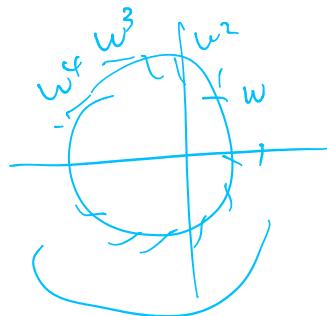
back to polynomials.

We want to choose values for the variable x which can lead to lots of recycles when evaluating

which can lead to lots of cycles when evaluating

Randomness.

Complex numbers



Geometric  
See -

Mar 7

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Complex arithmetic:

Discrete Fourier Transform Example

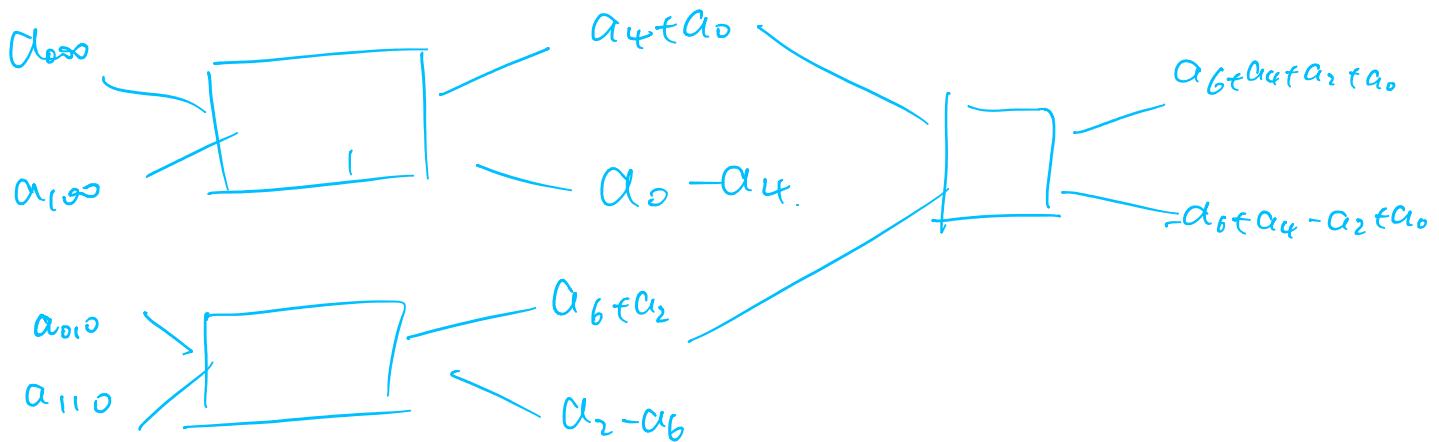
Mar 9

Wednesday, March 9, 2016 12:56 PM

Fast Fourier Transform.

$$P(z) = z^2 P_0(z^2) + P_F(z).$$

butterfly switch.



polynomial multiplication

$$a_7 z^7 + a_6 z^6 + a_5 z^5 + \dots + a_2 + a_0$$

$$b_7 z^7 + b_6 z^6 + b_5 z^5 + \dots + b_1 z + b_0$$

$$\downarrow$$
$$a_7 b_7 z^{14} + (a_6 b_7 + a_7 b_6) z^{13} + (a_5 b_7 + a_6 b_6 + a_7 b_5) z^{12} +$$

Alt Alg

$$\begin{array}{l} P \xrightarrow{\text{FFT}} \begin{bmatrix} P_{(1)} \\ P_{(\omega)} \\ \vdots \\ P_{(\omega^n)} \end{bmatrix} \\ q \xrightarrow{\text{FFT}} \begin{bmatrix} Q_{(1)} \\ Q_{(\omega)} \\ \vdots \\ Q_{(\omega^n)} \end{bmatrix} \end{array}$$

$$\begin{bmatrix} P_{(1)} Q_{(1)} \\ P_{(\omega)} Q_{(\omega)} \\ \vdots \\ P_{(\omega^n)} Q_{(\omega^n)} \end{bmatrix} \xrightarrow[\text{FFT}]{\text{Inverse}} \begin{bmatrix} C_0 \\ C_1 \\ \vdots \\ C_n \end{bmatrix}$$

$$\Theta(n \log n) + \Theta(n) + \Theta(n \log n)$$

$\Theta(n \log n)$

Mar 11

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Office hour Mon 10-3 DC 32

Eva polynomial

input  $x_1 \dots x_n$

$\uparrow$   
 $(x)$

$\downarrow$   
 $\Theta(n \log^2 n)$

FFT :  $\Theta(n \log n)$  comp

$$\begin{array}{r} x^3 + 2x^2 + 7 \\ - x^3 + 2x^2 + 7x \\ \hline -7x^2 - 3x + 3 \\ - -7x^2 - 14x - 49 \\ \hline 11x + 52 \end{array} \subset R.$$

$$x^3 - 5x^2 + 4x + 3 = (x^2 + 2x + 7)(x - 7) + 11x + 52.$$