

# Design & Analysis of Algorithm

## Topics:

1. Asymptotic Notation.
- 2. Time and Space Complexity.
3. Design Strategy:
  - a) Divide & Conquer.
    - (i) Binary Search
    - (ii) All scaling Techniques.
    - (iii) Heap tree.
  - b) Greedy Method.
    - (i) Job sequencing.
    - (ii) Knap sack.
    - (iii) Optimal merge Pattern.
    - (iv) Huffman Coding.
    - (v) Dijkstra Single source shortest path.
    - (vi) MST
      - Prim's
      - Kruskal's Algorithm.
    - (vii) DFS
    - (viii) Connected component.
  - c) Dynamic Programming.
    - (i) All pairs shortest path
    - (ii) Multistage graph.
    - (iii) Optimal Binary search tree
    - (iv) Travelling Sales Person.
    - (v) 0/1 knap sack problem.

## \* Algorithm:

- Finite set of steps to solve a problem is called algorithm.
- Steps means instructions which contains fundamental operators i.e. ( $+$ ,  $*$ ,  $\div$ ,  $\cdot$ ,  $=$ , etc)

⇒ Characteristic of fundamental instructions:

### 1). Definiteness:

Every fundamental ins<sup>n</sup>. must be define without any ambiguity.

e.g.  $i = i + 1 ; \rightarrow$  Invalid ins<sup>n</sup>.

↳ Invalid symbol.

$i = i + 1 ; \rightarrow$  valid ins<sup>n</sup>.

### 2). Finiteness:

Every fun<sup>n</sup>. ins<sup>n</sup>. must be terminate with infinite amount of time.

e.g.  $i = 1$

while (1)

{  
     $i = i + 1 \rightarrow$  since it is executing infinitely.  
    So, this kind of ins<sup>n</sup>. not allowed  
}

### 3). Every fundamental instruction must accept atleast '0' input & provides atmost '1' output.

\* Steps for solving any problem:

#### 1). Identifying Problem statement:

e.g. Arrange 4 Queens Q<sub>1</sub>, Q<sub>2</sub>, Q<sub>3</sub>, Q<sub>4</sub> into 4x4 chess board.


## 2). Identifying Constraints:

e.g. No two queens on same row & on same column & on same diagonal.

## 3). Design logic:

Depends on the characteristics of the problem we can choose any one of the following design strategy for design logic.

- (i) Divide & Conquer
- (ii) Greedy Method.
- (iii) Dynamic Programming.
- (iv) Branch & Bound.
- (v) Back tracking, etc...

## 4). Validation:

Most of algorithm validated by using mathematical induction.

## 5). Analysis:

Process of comparing two algo. w.r.t time, space, no. of register, network bandwidth etc. is called analysis.

## Priority Analysis

→ Analysis done before executing.

$$\text{e.g. } x = x + 1$$

Principle: frequency count of fundamental ins<sup>n</sup>.

Since  $x = x + 1$  being carried out only 1 time so it's complexity is  $O(1)$  [order of 1]

→ It provides estimated values.

→ It provides uniform values.

→ It is independent of CPU, O/S & system architecture.

5). Implementation.

6). Testing & Debugging.

## \* Asymptotic Notation:

To compare two Algorithms rate of growth with respect to time & space we need asymptotic notation.

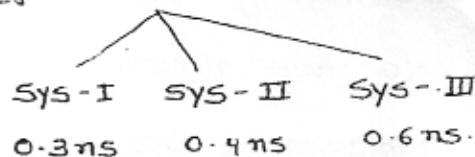
Big - Oh (O) :-

Def<sup>n</sup>:  $t(n)$  is  $O(g(n))$  iff  $\exists$  some  $c > 0$  and  $k \geq 0$  such that  $t(n) \leq c \cdot g(n)$ ;  $\forall n \geq k$

## Posterior Analysis.

→ Analysis done after executing.

$$\text{e.g. } x = x + 1 ;$$



→ It provides exact values.

→ It provides non uniform values.

→ It is dependent.

Notes:

-  $t(n)$  than   
 \*\*\* Low

Ex: If  
→  $t(n)$   
(I)

(II)

Note:\* Dor

Even though  $n^2 > n^3 > n^4$  are upperbounds to the  $t(n) = n^2 + n + 1$ ; we have to take least upperbound only.

$$\therefore t(n) = O(n^2)$$

Shortcut: If  $t(n) = a_0 + a_1n + a_2n^2 + \dots + a_mn^m$  ( $a_m \neq 0$ )  
then  $t(n) = O(n^m)$

Ex:  $t_1$ 

Ques:  $t(n) = n^2 \log n$ ,  $g(n) = n(\log n)^{10}$ , which of the following is true?

 $t_1$ 

in

(a)

a).  $t(n) = O(g(n))$ ,  $g(n) \neq O(t(n))$

(b)

b).  $t(n) \neq O(g(n))$ ,  $g(n) = O(t(n))$

 $\rightarrow t_2$ 

c).  $t(n) = O(g(n)) \therefore g(n) = O(t(n))$

...

d).  $t(n) \neq O(g(n))$ ,  $g(n) \neq O(t(n))$

 $t_3$  $\rightarrow$  Imp. Point:

1. Low =  $O(\text{high})$

Ex: It

2.  $t(n) = a_0 + \dots + a_m n^m$  ( $a_m \neq 0$ )

 $\rightarrow t_1$ 

then  $t(n) = O(n^m)$

$$\rightarrow t(n) = n^2 \log n \quad g(n) = n(\log n)^{10}$$

$$= n \log n \cdot n \quad = n \log n (\log n)^9$$

Since  $n > (\log n)^n$

$$t(n) > g(n)$$

Ex: It

$$\Rightarrow g(n) < t(n)$$

 $\rightarrow t_1$ 

$$\text{Low} = O(\text{high}) \Rightarrow g(n) = O(t(n))$$

is.

$$t(n) = n^2$$

$$g(n) = 2^n$$

Hence

	1	2	$\Rightarrow t(n) < g(n)$
-	4	4	$\Rightarrow t(n) = g(n)$
3.	9	8	$\Rightarrow t(n) > g(n)$
$n_0 = 4$	16	16	
5.	25	32	
6.	36	64	
7.	49	128	

$$t(n) = O(g(n)) \Leftrightarrow t(n) \leq c \cdot g(n); \forall n \geq n_0$$

Notes:

-  $t(n) = O(g(n))$  means,  $g(n)$  having higher growth rate than  $t(n)$ , for large values of 'n'.

\* Lower growth rate fun =  $O$  (Higher growth rate fun)  
Only for Large 'n'.

Ex: If  $t(n) = n^2 + n + 1$ , then  $t(n) = O( )$ .

$$\rightarrow t(n) = O(g(n)) \Leftrightarrow t(n) \leq c \cdot g(n); \forall n \geq n_0$$

$$(I) \quad n^2 \leq n^2$$

$$n^2 + n \leq n^2 + n^2$$

$$n^2 + n + 1 \leq n^2 + n^2 + n^2$$

$$n^2 + n + 1 \leq 3 \cdot n^2; \forall n \geq 1$$

$$n^2 + n + 1 = O(n^2); \forall n \geq 1$$

$$(II) \quad n^2 \leq n^3$$

$$n^2 + n \leq n^3 + n^3$$

$$n^2 + n + 1 \leq n^3 + n^3 + n^3$$

$$n^2 + n + 1 \leq 3 \cdot n^3; \forall n \geq 1$$

$$\therefore n^2 + n + 1 \leq n^3$$

Dth

 $| k \geq 0$

### \* Dominance Ranking:

$$2^{2^n} \geq n! \geq 4^n \geq 2^n \geq n^3 \geq n^2 \geq n \log n \geq n \geq \log k_n \text{ (where } k \geq 1) \log \log n \geq$$

$$\frac{\log n}{\log \log n} \geq 1$$

Ex:  $t_1(n) = 2^n$ ,  $t_2(n) = n^{3/2}$ ,  $t_3(n) = n \log_2 n$ ,  
 $t_4(n) = n \log_2 n$ . Arrange  $t_1, t_2, t_3, t_4$  in the increasing order.

(a)  $t_2, t_3, t_4, t_1$       (c)  $t_2, t_1, t_3, t_4$

(b)  $t_1, t_2, t_3, t_4$       (d)  $t_3, t_2, t_4, t_1$

$$\rightarrow t_2(256) = (256)^{3/2} = (2^8)^{3/2} = 2^{12}$$

$$t_3(256) = 256 \times \log_2 256 = 2^8 \times 2^3 = 2^{11}$$

$$t_3 < t_2 < t_4 < t_1 \dots$$

Ex: If  $t(n) = n!$  then  $t(n) = O()$

$$\rightarrow t(n) = n!$$

$$= n(n-1)(n-2) \dots 1$$

$$= n^n \{1(1-\frac{1}{n})(1-\frac{2}{n}) \dots \frac{1}{n}\}$$

$$= O(n^n) [\because t(n) = a_0 + \dots + a_m n^m (a_m \neq 0)]$$

Ex: If  $t(n) = \log(n!)$  then  $t(n) = O()$

$$\rightarrow t(n) = \log(n!)$$

$$= \log(O(n^n))$$

$$= O(\log(n^n))$$

$$= O(n \log n) [\because \log \text{ is constant sum? which satisfies associative}]$$

8

Ex: If  $f(n) = n^5$  for  $n \leq 100$  x - lower value  
 $= n^2$  for  $n > 100$

and  $g(n) = n$  for  $n < 1000$  x - lower value  
 $= n^3$  for  $n \geq 1000$

which of the following is true?

a).  $f(n) = O(g(n))$

b).  $g(n) = O(f(n))$

Ex: If  $f(n) = O(h(n))$ ,  $g(n) = O(k(n))$

then  $f(n) + g(n) =$

$f(n) \cdot g(n) =$

a).  $\text{Max}(h(n), k(n))$ , and  $O(h(n) \cdot k(n))$  respectively.

b).  $\text{Min}(h(n), k(n))$ , and  $O(h(n) + k(n))$  respectively.

$\rightarrow f(n) = n^2 + 1$ ,  $h(n) = n^2$        $g(n) = n + 1$ ,  $k(n) = n$

$f(n) = O(h(n))$        $g(n) = O(k(n))$

$$\begin{aligned} f(n) + g(n) &= n^2 + 1 + n + 1 \\ &= n^2 + n + 2 \\ &= O(n^2) \\ &= O(\text{Max}(h(n), k(n))) \end{aligned}$$

$$\begin{aligned} f(n) \cdot g(n) &= (n^2 + 1) \cdot (n + 1) \\ &= n^3 + n^2 + n + 1 \\ &= O(n^3) \\ &= O(h(n) \cdot k(n)) \end{aligned}$$

Big-Omega ( $\Omega$ ):

$f(n)$  is  $\Omega(g(n))$  iff  $\exists$  some  $c > 0$  and  $k \geq 0$   
such that  $f(n) \geq c \cdot g(n)$ ;  $\forall n \geq k$ .

Ex:  $f(n)$

$\rightarrow n^2$

$n^3$

$n^4$

$n^5$

$n^6$

$n^7$

$n^8$

$n^9$

$n^{10}$

$n^{11}$

$n^{12}$

Note:

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Then

$f(n)$

$f(n$

Ex: If  $t(n) = n^2 + n + 1$ , then  $t(n) = \Omega( )$

$$\rightarrow n^2 \geq n^2$$

$$n^2 + n \geq n^2$$

$$n^2 + n + 1 \geq 1 \cdot n^2; \forall n \geq 0$$

$$n^2 + n + 1 = \Omega(n^2) \quad \checkmark$$

$$\rightarrow n^2 \geq n$$

$$n^2 + n \geq n$$

$$n^2 + n + 1 \geq 1 \cdot n; \forall n \geq 0$$

$$n^2 + n + 1 = \Omega(n)$$

Always take higher value from the lower frequency.

#### Note:

Even though  $n^2, n$  are lower bounds to  $t(n)$  you have to take greatest lower bound only.

#### Shortcut:

If  $t(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_m n^m$  ( $a_m \neq 0$ )

then  $t(n) = \Omega(n^m)$ .

#### Theta ( $\theta$ ):

$t(n)$  is  $\theta(g(n))$  iff  $t(n)$  is  $O(g(n))$  and  $t(n)$  is  $\Omega(g(n))$ .

$t(n) = \theta(g(n)) \Leftrightarrow \exists c_1, c_2 > 0$  and  $k_1, k_2 \geq 0$  and  $k_1 > 0$  such that

$$c_1 g(n) \leq t(n) \leq c_2 \cdot g(n); \forall n \geq k_1$$

Ex: If  $t(n) = n^2 + n + 1$  then  $t(n) = \theta( )$

$$\rightarrow n^2 + n + 1 = O(n^2); \forall n \geq 1 \text{ and } \text{so } c_2 = 3$$

&

$$n^2 + n + 1 = \Omega(n^2); \forall n \geq 0 \text{ and so } c_1 = 1$$

$$1 \cdot n^2 \leq n^2 + n + 1 \leq 3 \cdot n^2 ; \forall n \geq 1$$

$\uparrow \quad \uparrow \quad \uparrow$   
 $c_1 \quad c_2 \quad k_1$

$$n^2 + n + 1 = \Theta(n^2)$$

shortcut:

$$t(n) = a_0 + \dots + a_m n^m \quad (a_m \neq 0)$$

$$\text{then } t(n) = \Theta(n^m)$$

Little-Oh ( $o$ ):

$t(n)$  is  $o(g(n))$  iff  $t(n) < c \cdot g(n)$ ,  $\forall n \geq k$ ,  $\forall c > 0$

$$\begin{array}{c|c} 0 & 0 \\ \hline & < \\ \rightarrow & \leq \end{array}$$

$$\rightarrow \exists \text{some } 'c' \rightarrow \forall c$$

$$\rightarrow c \cdot g \cdot n^2 + n + 1 = O(n^2)$$

$$\text{only for } c = 3$$

Shortcut:

$$\text{If } \lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = 0 \text{ then } t(n) = o(g(n))$$

a). ○

b). ○

c). ○

d). ○

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\* Prop

Property

Notation

O

$\Omega$

$\Theta$

O

w

$$\begin{array}{c|c} \Omega & w \\ \hline & > \\ \rightarrow & \geq \end{array}$$

$$\rightarrow \exists \text{some } 'c' \rightarrow \forall c$$

$$c \cdot g \cdot n^2 + n + 1 = \Omega(n^2)$$

$$\text{Only for } c = 1$$

shortcut:

$$\text{If } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \text{ then } f(n) = \omega(g(n))$$

2007

Ex: int Isprime (int n)

```

    {
        int i, n;
        for (i = 2; i <= sqrt(n); i++)
    {
        if (n % i == 0)
    {
        printf ("Not prime");
        return 0
    }
    return 1;
}

```

a).  $T(n) = O(\sqrt{n})$ ,  $T(n) = \Omega(\sqrt{n})$

b).  $T(n) = O(\sqrt{n})$ ,  $T(n) = \Omega(1)$

c).  $T(n) = \Theta(n)$ ,  $T(n) = \Omega(\sqrt{n})$

d). none of the above.

→ If the i/p is divisible by 2 then for loop will be repeated only one time if i/p is prime no. the for loop will be repeated in order of  $\sqrt{n}$  time.

### \* Properties of Asymptotic Notation:

Property Notation	Reflexivity	Symmetric	Transitive	Transpose
$O (\leq)$	✓	✗	✓	$O \Rightarrow \Omega$
$\Omega (\geq)$	✓	✗	✓	$\Omega \Rightarrow O$
$\Theta (=)$	✓	✓	✓	$\Theta \Rightarrow \Theta$
$o (<)$	✗	✗	✓	$o \Rightarrow w$
$w (>)$	✗	✗	✓	$w \Rightarrow o$

~~Symmetric~~ Reflexive:

$\hookrightarrow$  (Ref)

$$t(n) \leq c \cdot t(n), \forall n \geq 0$$

$$t(n) = O(t(n))$$

$\hookrightarrow$  (Ref)

$$t(n) = O(g(n)) \Leftrightarrow t(n) \leq c \cdot g(n), \forall n \geq k.$$

Symmetric:

$$\text{If } a = b \Rightarrow b = a$$

$\hookrightarrow$  Symmetric

$$\times \text{ If } t(n) = O(g(n)) \not\Rightarrow g(n) = O(t(n))$$

Transitive:

$$\text{If } a = b, b = c \Rightarrow a = c$$

$\hookrightarrow$  Transitive

$$\text{If } t(n) = O(g(n)), g(n) = O(h(n)) \Rightarrow t(n) = O(h(n))$$

$\hookrightarrow$  Transitive

Transpose:

$$\text{If } t(n) = O(g(n)) \Rightarrow g(n) = \Omega(t(n))$$

2009

Ex: Consider the following statements.

$$(i) (n+k)^m = \Theta(n^m), \text{ where } k, m \text{ are constants.}$$

$$(ii) 2^{n+1} = O(2^n)$$

$$(iii) 2^{2n+1} = O(2^n)$$

Which of the following is true.

(a) (i) & (ii)      (b) (ii) & (iii)

(c) (i) & (iii)      (d) (i), (ii) & (iii)

$$\rightarrow (ii) 2^{n+1} = O(2^{n+1})$$

$\hookrightarrow$  Reflexive

$$= O(2^n \cdot 2)$$

$$= O(2^n)$$

2008

Ex:

$\hookrightarrow$

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$$\rightarrow \text{(iii)} \quad 2^{2n+1} = O(2^{2n+1})$$

└ Reflexive.

$$= O(2^{2n})$$

$$= O(2^{2n}) = O(2^{3n}) = O(2^{4n}) = \dots$$

$$\neq O(2^n)$$

2008

$$\underline{\text{Ex:}} \quad f(n) = n!, \quad g(n) = 2^n, \quad h(n) = n^{\log_2 n}$$

which of the following is true?

(a)  $f(n) = O(g(n))$ ,  $g(n) = O(h(n))$

✓ (b)  $g(n) = O(f(n))$ ,  $h(n) = O(f(n))$

(c)  $f(n) = \Omega(g(n))$ ,  $g(n) = O(h(n))$

(d)  $h(n) = O(f(n))$ ,  $g(n) = \Omega(f(n))$

→ Here,  $h(n) \leq g(n) \leq f(n)$

Note: If  $f(n) = 2^n$ ,  $g(n) = n!$ ,  $h(n) = n^{\log_2 n}$

then option (d) is true.

Retar IT - 2008 & CSE - 2000

### \* Time Complexity:

#### Method:

- Simple for loop
- Nested for loop
- if else.
- Recursive algorithm.

#### (i) Simple for loop:

Ex: Find time complexity of the following.

Sum = 0;  
 $\text{for } (i=1; i \leq n; i++)$

Ans

$\sum \{ \text{Sum} = \text{Sum} + i; \}$

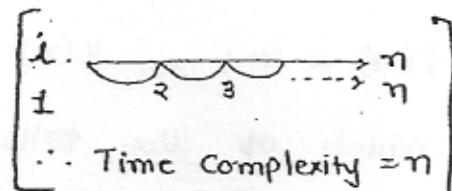
Ans:

Principle: Frequency count of fundamental instruction

$$= 1 + 1 + (n+1) + n + n$$

$$= 3n^1 + 3$$

$$\therefore O(n^1)$$



Ex: Find Complexity:

$$\text{Sum} = 0;$$

$$\text{for } (i=1; i \leq n; i=i+2)$$

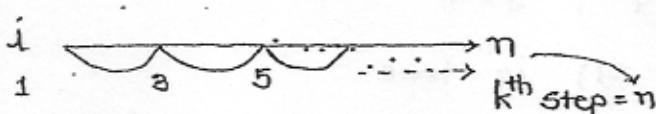
Ex:

{

$$\text{Sum} = \text{Sum} + i;$$

}

Ans:



$$t_k = a + (k-1)d$$

$k = \# \text{ of step.}$

$$n = 1 + (k-1) \Rightarrow$$

$$\frac{n+1}{2} = k$$

$$\therefore \text{Total no. of comparision} = \frac{n+1}{2}$$

$$\therefore O(\frac{n+1}{2})$$

$$\therefore O(n+1)$$

$$= O(n) \rightarrow \text{Total time complexity.}$$

Ans:

Ex: Find total comparision & time complexity!

$$\text{Sum} = 0;$$

$$\text{for } (i=1; i \leq n; i=i*2)$$

{

$$\text{Sum} = \text{Sum} + i;$$

}

Ans:  $i \underbrace{1 \sim 2 \sim 4 \sim 8 \dots}_{n} \rightarrow k^{\text{th}} \text{ step} = n$

 $\therefore 1 \sim 2 \sim 4 \sim 8 \dots \quad k^{\text{th}} = n$ 
 $a = 1, z = \frac{t_2}{t_1} = 2$ 
 $t_k = a \cdot z^{k-1}$ 
 $n = 1(2)^{k-1} \Rightarrow 2n = 2^k$ 
 $\Rightarrow k = \log_2(2n)$ 
 $\Rightarrow \log_2 2 + \log_2 n$ 
 $\Rightarrow 1 + \log_2 n \leftarrow \text{total comparision.}$ 
 $\Rightarrow O(1 + \log_2 n)$ 
 $= \Theta(\log_2 n) \leftarrow \text{Time complexity.}$

Ex: Find total comparision & time Complexity!

$\text{Sum} = 0$

$\text{for } i=n ; i>0 ; i = i/2$

.....

$\text{Sum} = \text{Sum} + i;$

}

Ans:  $i \underbrace{1 \sim \frac{n}{2} \sim \frac{n}{4} \dots}_{n} \rightarrow k^{\text{th}} \text{ step} = 1$

$a = n, z = \frac{1}{2}$

$t_k = a \cdot z^{k-1}$

$= n \left(\frac{1}{2}\right)^{k-1}$

$1 = n \left(\frac{1}{2}\right)^{k-1}$

$2^{k-1} = n$

$2^k = 2n$

$k = \log_2(2n)$

$\therefore k = 1 + \log_2 n \rightarrow \text{total comparision}$

$O(1 + \log_2 n) = \Theta(O(\log_2 n)) \rightarrow \text{Time complexity.}$

Ex:  $\text{for } (i=n; j=0; i>0; i=i/2; j=j+i)$

Ans: C

Let  $\text{val}(j)$  denote the value stored in variable 'j' after termination of loop.

(a)  $\text{val}(j) = O(\log_2 n)$

(b)  $\text{val}(j) = O(n)$

(c)  $\text{val}(j) = O(\sqrt{n})$

(d)  $\text{val}(j) = O(\log n)$

Ex: Q

Note: Time complexity for the above problem is depend on variable 'i' so it is  $O(\log n)$ .

Since

$$\rightarrow \begin{array}{ccccccc} i & \xrightarrow{\hspace{2cm}} & 1 \\ n & n/2 & n/4 & \cdots & \end{array}$$

Ans: Q

$$i \rightarrow n, n/2, n/4, \dots, 1$$

$$j \rightarrow 0, 0+n/2, 0+n/2+n/4, \dots, 0+n/2+n/4+\dots+1$$

$$\text{val}(j) = n/2 + n/4 + \dots + 1$$

$$= O(n^2)$$

### (ii) Nested for loop:

Ex: Find time complexity:

$$\text{Sum} = 0;$$

$$\text{for } (i=1; i \leq n; i++)$$

{

$$\quad \text{for } (j=1; j \leq n; j++)$$

{

$$\quad \quad \text{Sum} = \text{sum} + j;$$

}

}

Ex: Find

Ans: (value)  $i \rightarrow 1 \ 2 \ 3 \ \dots \ n$

variable 'j' (Time)  $j \rightarrow n + n + n + \dots + n$

$$= n * n$$

$$= O(n^2) \leftarrow \text{Time Comp.}$$

Ex: Find time complexity.

Sum = 0;

for (i=1 ; i ≤ n ; i++)

{

    for (j=1 ; j ≤ n ; j = j+2)

{

        sum = sum + j;

}

}

Ans: (value)  $i \rightarrow 1 \ 2 \ \dots \ n$

(Time)  $j \rightarrow (\frac{n+1}{2}) + (\frac{n+1}{2}) + \dots + (\frac{n+1}{2})$

$$= n \times \left(\frac{n+1}{2}\right)$$

$$= \frac{n^2+n}{2}$$

$$= O\left(\frac{n^2+n}{2}\right)$$

$$= O(n^2+n)$$

$$= O(n^2)$$

Ex: Find time complexity :

Sum = 0;

for (i=1 ; i ≤ n ; i++)

{

    for (j=1 ; j ≤ n ; j = j\*3)

{

        sum = sum + j;

}

}

Ans: (value)  $i \rightarrow 1 \dots n$  Ex:  $F$

$$\begin{aligned}
 & (\text{Time to execution}) \quad j \rightarrow (1 + \log_3 n) + (1 + \log_3 n) + \dots + (1 + \log_3 n) \\
 & = n (1 + \log_3 n) \\
 & = n + n \log_3 n \\
 & = O(n \log_3 n)
 \end{aligned}$$

Ex: Find time complexity:

Sum = 0;

for ( $i = 1$ ;  $i \leq n$ ;  $i = i * z$ )

{

    for ( $j = 1$ ;  $j \leq n$ ;  $j = j + z$ )

{

        Sum = sum + j;

}

}

Ans:

(Value)  $i \rightarrow 1 \dots i + \log_2 n$

(Time)  $j \rightarrow \left(\frac{n+1}{z}\right) + \left(\frac{n+1}{z}\right) + \dots + \left(\frac{n+1}{z}\right)$

$$= (1 + \log_2 n) \left(\frac{n+1}{z}\right)$$

$$= \left(\frac{n+1}{z}\right) + \left(\frac{n+1}{z}\right) \log_2 n$$

$$= \frac{1}{z} (n + 1 + n \log_2 n + \log_2 n)$$

$$= O(n \log_2 n)$$

Ans: (value)

(Time)

Ex: Find time complexity.

Sum = 0;

for (i = 1; i ≤ n; i = i + 1)

{

    for (j = 1; j ≤ i; j = j \* 2)

{

        sum = sum + j;

}

Ans:

(value)    i →    1                2                ...            n

(Time)    j →  $(1 + \log_2 1) + (1 + \log_2 2) + \dots + (1 + \log_2 n)$

$$= n + (\log_2 1 + \log_2 2 + \dots + \log_2 n)$$

$$= n + \log_2 (1 \cdot 2 \cdot 3 \cdot \dots \cdot n)$$

$$= n + \log_2 (n!)$$

∴  $\therefore$

$$= O(n) + O(n \log n)$$

$$= O(n \log n)$$

Ex: Find time complexity:

Sum = 0;

for (i = 1; i ≤ n; i++)

{

    for (j = 1; j ≤ n; j = j + 2)

{

        for (k = 1; k ≤ n; k = k \* 2)

{

            sum = sum + k;

}

}

Ans:(Value):  $i \rightarrow 1 \quad 2 \quad \dots \quad n$ (Time):  $j \rightarrow \left(\frac{n+1}{2}\right) + \left(\frac{n+1}{2}\right) + \dots + \left(\frac{n+1}{2}\right)$ 

$$\downarrow$$

$$1 \quad 2 \quad \dots \quad \frac{n+1}{2}$$

$$(Time) \quad k \rightarrow (\log_2 n) + (\log_2 n) + \dots + (\log_2 n)$$

$$k \rightarrow \left[ \frac{n+1}{2} (1 + \log_2 n) \right] + \left[ \frac{n+1}{2} (1 + \log_2 n) \right] + \dots + \left[ \left( \frac{n+1}{2} \right) (1 + \log_2 n) \right]$$

$$= n * \left( \frac{n+1}{2} \right) (1 + \log_2 n)$$

$$= \left( \frac{n^2+n}{2} \right) (1 + \log_2 n)$$

$$= \frac{n^2 + n + n^2 \log_2 n + n \log_2 n}{2}$$

$$= O(n^2 \log_2 n)$$

Ex: Find time complexity:

$$\text{Sum} = 0$$

```
for (i=0; i <= n; i++)
{
```

```
    for (j=1; j < i; j++)
{
```

```
        if (j > i - 1)
{
```

```
            for (k=1; k <= n; k++)
{
```

```
                Sum = Sum + k;
```

Ans:

(val)

(Time)

O

(Time)

O

O

O

O

O

O

O

O

NoteEx: In

the

Ans:

(value)

(Time)

(Time)

O

(Time)

O

(Time)

O

\* Re

in

{

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O

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O

O

Ans:(value)  $i \rightarrow 1 \ 2 \ 3 \ 4 \ \dots \ n$ (Time)  $j \rightarrow 0 \ 1 \ 2 \ 3 \ \dots \ (n-1)$ (Time)  $it \rightarrow 0 + 1 + 2 + 3 + \dots + (n-1)$ 

$$= \frac{(n-1)(n-1+1)}{2}$$

$$= \frac{n(n-1)}{2}$$

$$= O\left(\frac{n^2-n}{2}\right)$$

$$= O(n^2)$$

Note: Inner most loop never been executed.

Ex: In above example change  $j$  loop for ( $i=1; j \leq i; j++$ )  
then find time complexity.

Ans:(value)  $i \rightarrow 1 \ 2 \ 3 \ \dots \ n$ (Time)  $j \rightarrow 1 \ (1+2) \ (1+2+3) \ \dots \ n$ (Time)  $it \rightarrow 1 \ 2 \ 3 \ \dots \ n$ (Time)  $k \rightarrow n + n + n + \dots + n$ 

$$\Rightarrow O(n^2)$$

### \* Recursive Algorithm :

```
int fact (int n)
```

{

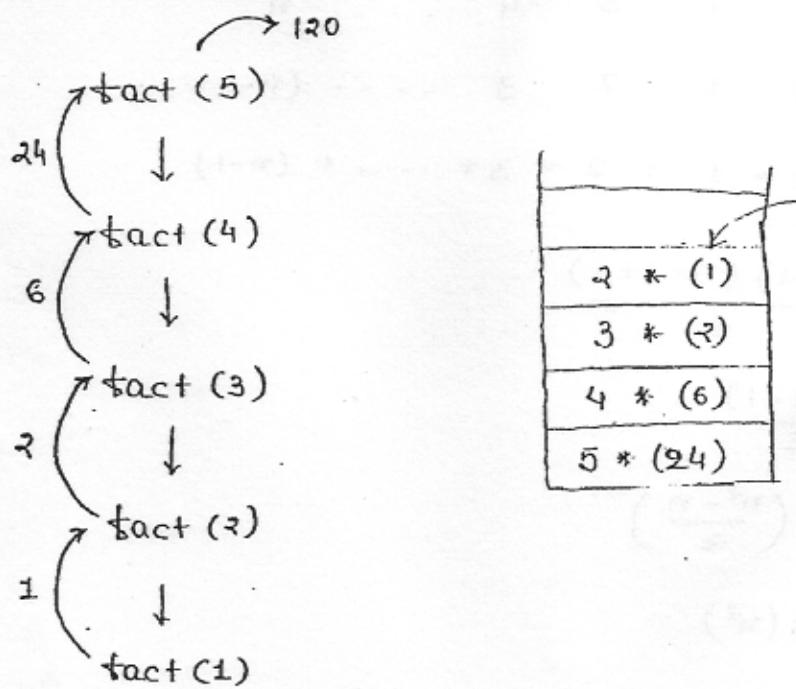
```
if ( $n == 0 \ || \ n == 1$ ) // Base condition
```

```
return 1;
```

```
else
```

```
return  $n * fact(n-1);$ 
```

Here if we i/p  $n = 5$  then,



Notes:

1). Time complexity of recursive algorithm =  
No. of function call

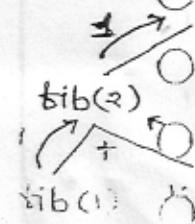
∴ Time complexity of  $\text{fact}(n) = O(n)$

2). Space complexity of recursive algorithm  
= Depth of recursive tree  
or  
= No. of activation record.

3). Space complexity of  $\text{fact}(n) = O(n-1)$   
=  $O(n)$

Ex: Find time complexity of recursive fun. of tibonacci sequence.

```
int tib (int n)
{
    B.C. { if (n == 0)
           return 0;
           if (n == 1)
               return 1;
    }
}
```



## Lecture 1

else

i.e. { return  $tib(n-1) + tib(n-2)$ ;  
}

~~x~~(a)  $O(n^2)$  (b)  $O(2^n)$  (c)  $O(n)$  (d)  $O(n \log n)$

Ans: Here we take  $n = 5$ .

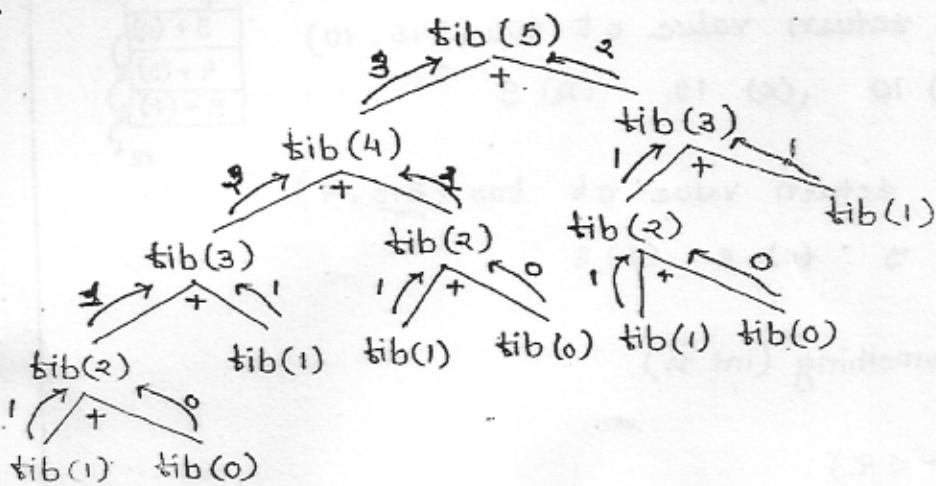
Note: For small values of  $n$   $tib(n) = O(n^2)$  & for large values of  $n$   $tib(n) = O(2^n)$  since our analysis is only for large values of  $n$ . so time complexity of  $tib(n) = O(2^n)$

Note: No. of fun<sup>n</sup>. calls on input size  $n$  in tibonacci sequence =  $2 \cdot tib(n+1) - 1$

Note: e.g.:  $n = 5$ , fun<sup>n</sup>. call =  $15$   
 $= 16 - 1$   
 $= 2 \times 8 - 1$   
 $= 2 tib(6) - 1$

Note: No. of addition performed on input size  $n$  in  $tib(n) = tib(n+1) - 1$

e.g.  $n = 5$ , addition =  $7$   
 $= 8 - 1$   
 $= tib(6) - 1$



$n$	0	1	2	3	4	5	6	7
$tib(n)$	0	1	1	2	3	5	8	13

Function call = 15 (Total no. of nodes)

Total Addition = 7

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Ex: Linked Question.

int foo (int n, int z)

{

if ( $n > 0$ )

return ((n \* z) + foo (n / z, z))

else

return 0;

}

1. What is the return value of foo (345, 10)

- (a) 345 (b) 10 (c) 12 (d) 9

2. What is the return value of foo (513, ?)

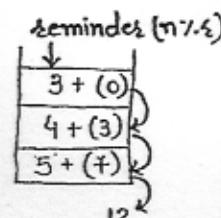
- (a) 2 (b) 5 (c) 6 (d) 8

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Ex: int Dosomething (int n)

{

if ( $n \leq 2$ )



For Ans

Q

O

O

O

O

O

O

O

O

O

```

        return 1;
    else
        return (DoSomething(Hoor(Sqrt(n))) + n);
    }
}

```

1. Find time complexity :

- (a)  $O(\log_2 n)$
- (b)  $O(\log_2 \log_2 n)$
- (c)  $O(n \log_2 n)$
- (d)  $O(n)$

2. What is the return value of DoSomething(16384) ?

DS(16384)

$\downarrow \sqrt{16384}$   
DS(128)

$\downarrow \sqrt{128}$   
DS(11)

$\downarrow \sqrt{11}$   
DS(3)

$\downarrow \sqrt{3}$   
DS(1)

return 1  
 (1) + 3  
 (4) + 11  
 (15) + 128  
 (143) + 16384

Ans: 2: 16527

For Ans: 1.

n	fun <sup>n</sup> . call	Option
16384	5	x(D) $O(16384)$
		x(C) $O(16384 \times \log_2 16384)$
		x(B) $O(\log_2 \log_2 16384)$
		$= O(\log_2 \log_2^{14})$
		$= O(\log_2 14)$
		$= O(\log_2 2^4) = O(4)$
		x(A) $O(\log_2 16384)$
		$= O(\log_2^{14}) = O(14)$

## How to solve R-Algo.

### 1. Construct Recursion Tree.

- T-Complexity = No. of fun<sup>n</sup>. calls.

- S-Complexity = Depth of R-Tree

### 1. Construct Recurrence Equation.

Solve it by using.

(a) Substitution Method

or

(b) Master Theorem.

Put

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

Subs-

$\Rightarrow$

$\Rightarrow$

Ex: Fin

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

Ams:

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

$\Rightarrow$

### Construction of Recurrence eqn.:

#### 1. In the above problem,

$$T(n) = T(\sqrt{n}) + 1 + 1$$

↘ For comparison ( $n \leq z$ )  
 ↗ For store () + n into the stack  
 (These two are constant value.)

#### 2. In the above problem, it,

return (DoSomething((n-1) + n)) then,

$$\Rightarrow T(n) = T(n-1) + 1 + 1$$

$$\therefore T(n) = T(n-1) + c$$

#### 3. In the above problem, it

return (DoSomething((n/2) + n \* n)) then,

$$\Rightarrow T(n) = T(n/2) + 1 + 1$$

$$\therefore T(n) = T(n/2) + c$$

### \* Substitution Method :

$$T(n) = T(\sqrt{n}) + c \quad \dots \dots \text{1}^{\text{st}}$$

$$= T(\sqrt{\sqrt{n}}) + c + c$$

$$T(n) = T(n^{1/2^2}) + 2c \quad \dots \dots \text{2}^{\text{nd}}$$

$$T(n) = T(n^{1/2^k}) + kc \quad \dots \dots k^{\text{th}}$$

----- (\*)

Here

Put  $n^{\frac{1}{2^k}} = z$

$$\Rightarrow \frac{1}{2^k} = \log_z 2$$

$$\Rightarrow 2^k = \log_2 z$$

$$\Rightarrow k = \log_2 \log_2 z$$

Substitute  $k$  in (\*).

$$\Rightarrow T(n) = T(z) + (\log_2 \log_2 z) c$$

$$= O(1 + c(\log_2 \log_2 z)) c$$

$$T(n) = O(\log_2 \log_2 z)$$

Ex: Find recurrence eqn. using S.M.

```
int recursive (int n)
{
    if (n < z)
        return 1;
    else
        return recursive (n-1) + recursive (n-1);
}
```

- (a)  $O(n^2)$     (b)  $O(2^n)$     (c)  $O(n \log n)$     (d)  $O(n)$

Ans:  $T(n) = T(n-1) + T(n-1) + 1 + 1$

$$T(n) = 2T(n-1) + c \quad \dots \text{1st}$$

$$T(n) = 2^2 T(n-2) + 3c \quad \dots \text{2nd}$$

$$= 2^3 T(n-3) + 7c \quad \dots \text{3rd}$$

$$T(n) = 2^3 T(n-3) + (2^3 - 1)c \quad \dots \text{3rd}$$

$$T(n) = 2^k T(n-k) + (2^k - 1)c \quad \dots \text{kth} \quad (*)$$

$$\text{Here, } n-k = 1 \quad [\text{bcz } (n-1) < z]$$

$$\Rightarrow k = n-1$$

Substitute  $k$  in (\*)

$$\begin{aligned} T(n) &= 2^{n-1} T(1) + (2^{n-1} - 1) C \\ &= 2^{n-1} + (2^{n-1} - 1) C \\ &= O(2^{n-1}) \\ &= O(2^n) \end{aligned}$$

$n=2 \Rightarrow$

which

Ex:

Shortcut:  $T(n) = aT(n-b) + O(n^k)$

$$a > 0, b \geq 1, k \geq 0$$

$$(i) \text{ if } a = 1, T(n) = O(n^{k+1})$$

$$(ii) \text{ if } a > 1, T(n) = O(n^k \cdot a^{\frac{n}{b}})$$

$$(iii) \text{ if } a < 1, T(n) = O(n^k)$$

For the above problem shortcut is.

$$T(n) = 2T(n-1) + cn^0 \rightarrow \text{For standard notation.}$$

$$= 2T(n-1) + O(n^0)$$

$$a = 2, b = 1, k = 0$$

$\Rightarrow$  Since  $a = 2 (> 1)$

$$\therefore T(n) = O(n^0 \cdot 2^{\frac{n}{1}}) \quad ($$

$$= O(2^n)$$

Put

Ex:  $T(1) = 1$  (if  $n = 1$ )

$$T(n) = 2T(n-1) + n \quad (\text{if } n \geq 2)$$

evaluates to,

$$(a) 2^{n+1} - n - 2 \quad (b) 2^{n+1} - 2n - 2$$

$$(c) 2^n + n \quad (d) 2^n - n$$

$\rightarrow$  Here we can't apply shortcut method b'coz options are not in that format.

Solve

TQ

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C

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Ex: Let

Further

N.

$$T(1) = 1 \quad (\text{if } m=1)$$

$$T(n) = 2T(n-1) + n$$

$$n=2 \Rightarrow T(2) = 2T(1) + 2 = 2(1) + 2 = 4$$

which option gives value 4 is the answer.

Ex:  $T(n) = 2T(\sqrt{n}) + c$  ; if  $n > 2$   
 $= 1$  ; if  $n \leq 2$

- (a)  $O(\log_2 n)$       (b)  $O(\log_2 \log_2 n)$   
 (c)  $O(n \log n)$       (d)  $O(n)$

$$\begin{aligned} \rightarrow T(n) &= 2T(\sqrt{n}) + c \quad \dots \text{1st} \\ &= 2\{2T(\sqrt{\sqrt{n}}) + c\} + c \quad \dots \text{2nd} \\ &= 2^2 T(n^{1/2^2}) + 3c \quad \dots \text{2nd} \\ T(n) &= 2^k T(n^{1/2^k}) + (2^k - 1)c \quad \dots \text{2nd} \\ T(n) &= 2^k T(n^{1/2^k}) + (2^k - 1)c \quad \dots k^{\text{th}} \end{aligned}$$

$$\text{Put } n^{1/2^k} = 2 \quad (*)$$

$$\frac{1}{2^k} = \log_2 2$$

$$k = \log_2 \log_2 n$$

Substitute  $k$  in  $(*)$

$$\begin{aligned} T(n) &= 2^{\log_2 \log_2 n} T(1) + (2^{\log_2 \log_2 n} - 1)c \\ &= \log_2 n(1) + (\log_2 n - 1)c \quad \left[ \begin{array}{l} a^{\log_a b} = b \\ a = 2, b = \log_2 n \end{array} \right] \\ &= O(\log_2 n) \end{aligned}$$

Ex: Let 'S' be a string containing either '0' or '1'.

Further there are no two consecutive 0's in S.

No. of solution on i/p size 'n' in  $S(N)$  is bounded by

- (a)  $O(n^2)$  (b)  $O(n \log n)$  (c)  $O(2^n)$  (d)  $O(n)$

$\rightarrow$ No. of bits ( $n$ )	Possible strings	No. of soln. in $S(N)$	case
0	NULL	1	○
1	0 1	2	○
2	00 01 10 11	3	○
3	000 001 010 011 100 101 110 111	5	○
			case (i) Ex: $T(n) = 1 + T(n-1) + T(n-2)$

Let  $T(n)$  be no. of possible soln. on ip 'n' in  $S(N)$

$$\begin{aligned} T(n) &= 1 && \text{if } n=0 \\ &= 2 && \text{if } n=1 \\ &= T(n-1) + T(n-2) && \text{if } n \geq 2 \end{aligned}$$

$$T(2) = T(1) + T(0)$$

### \* Master Theorem:

$$\text{If } T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^k \log^p n)$$

where  $a \geq 1$ ,  $b > 1$ ,  $k \geq 0$ , 'p' is any real no.

case (i): If  $a > b^k$ ,  $T(n) = \Theta(n^{\log_b a})$

case (ii): If  $a < b^k$  and

$$\text{a) if } p \geq 0 ; T(n) = \Theta(n^k \log^p n)$$

b). If  $p < 0$ ;  $T(n) = \Theta(n^k)$

$S(N)$

case (iii): If  $a = b^k$  and

a) If  $p > -1$ ;  $\Theta(n^{\log_b a} \log^{p+1} n)$

b) If  $p = -1$ ;  $\Theta(n^{\log_b a} \log \log n)$

c) If  $p < -1$ ;  $\Theta(n^{\log_b a})$

### Case (i) Examples:

$$\text{Ex: } T(n) = 16T\left(\frac{n}{4}\right) + n$$

$$= 16T\left(\frac{n}{4}\right) + \Theta(n^{\log^0 n})$$

$$a = 16, b = 4, k = 1, p = 0$$

From case (i) is  $a > b^k$ ,

$$16 > 4^1 \rightarrow \text{yes}$$

$$\Rightarrow T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_4 16}) = \Theta(n^2)$$

$$\text{Ex: } T(n) = 4T\left(\frac{n}{2}\right) + \log n$$

$$= 4T\left(\frac{n}{2}\right) + \Theta(n^0 \log n)$$

$$a = 4, b = 2, k = 0, p = 1.$$

Is  $a > b^k$ ,  $4 > 2^0$  (Yes)

$$T(n) = \Theta(n^{\log_2 4}) = \Theta(n^2)$$

$$\text{Ex: } T(n) = \sqrt{2} T\left(\frac{n}{2}\right) + \log n$$

$$= \sqrt{2} T\left(\frac{n}{2}\right) + \Theta(n^0 \log n)$$

$$a = \sqrt{2}, b = 2, k = 0, p = 1$$

Is,  $a > b^k, \sqrt{2} > 2^0$  (Yes)

$$\Rightarrow T(n) = \Theta(n^{\log_2 \sqrt{2}}) = \Theta(\sqrt{n})$$

Ex:  $T(n) = 3T\left(\frac{n}{2}\right) + \frac{n}{2}$

$$T(n) = 3T\left(\frac{n}{2}\right) + \Theta(n \log n)$$

$$a = 3, b = 2, k = 1, p = 0$$

Is,  $a > b^k, 3 > 2^1$  (Yes)

$$\Rightarrow T(n) = \Theta(n^{\log_2 3})$$

Case (ii) examples:

$$\underline{\text{Ex: }} T(n) = 2T\left(\frac{n}{2}\right) + n^2$$

$$a = 2, b = 2, k = 2, p = 0$$

Is,  $a < b^k, 2 < 2^2 \rightarrow \text{yes}$

$$p = 0 (> 0), \text{ case (ii) (a)}$$

$$\Rightarrow T(n) = \Theta(n^2)$$

case  $\frac{Q_i}{Q_j}$

Ex:  $T(n) = 2T\left(\frac{n}{2}\right) + n^2$

$$\underline{\text{Ex: }} T(n) = 6T\left(\frac{n}{3}\right) + n^2 \log n$$

Is,  $a < b^k, 6 < 3^2 \rightarrow \text{yes}$

$$p = 1 (> 0), \text{ case (ii) (a)}$$

$$\Rightarrow T(n) = \Theta(n^2 \log n)$$

$$\underline{\text{Ex: }} T(n) = 3T\left(\frac{n}{2}\right) + n^3 \log^2 n$$

Is,  $a < b^k, 3 < 2^3 \rightarrow \text{yes}$

$$\Rightarrow T(n) = \Theta(n^3 \log^2 n)$$

$$\underline{\text{Ex: }} T(n) = 3T\left(\frac{n}{4}\right) + n \log n$$

$$\Rightarrow T(n) = \Theta(n \log n)$$

Ex:  $T(n) = 2T\left(\frac{n}{2}\right) + n$

$$a = 2$$

Is,  $(n)$

$$p = 0$$

$$= 0$$

$$0$$

$$0$$

$$\text{Ex: } T(n) = 3T\left(\frac{n}{2}\right) + \frac{n^2}{\log n}$$

$$= 3T\left(\frac{n}{2}\right) + n^2 \log^{-1} n$$

$$a = 3, b = 2, k = 2, p = -1$$

- Is  $a < b^k, 3 < 2^2 \rightarrow \text{yes}$

$p = -1 (< 0)$ , case (ii) (b)

$$\Rightarrow T(n) = O(n^k)$$

$$= O(n^2)$$

case (iii): Examples:

$$\text{Ex: } T(n) = 4T\left(\frac{n}{2}\right) + n^2$$

$$= 4T\left(\frac{n}{2}\right) + \Theta(n^2 \log^0 n)$$

$$a = 4, b = 2, k = 2, p = 0$$

Is  $a = b^k, 4 = 2^2 \rightarrow \text{yes.}$

$p = 0 (> -1)$ , case (iii) a

$$\Rightarrow T(n) = \Theta(n^{\log_2 4} \log^{0+1} n)$$

$$= \Theta(n^2 \log n)$$

$$\text{Ex: } T(n) = 3T\left(\frac{n}{3}\right) + \frac{n}{2}$$

$$a = 3, b = 3, k = 1, p = 0$$

Is  $a = b^k, 3 = 3^1 \rightarrow \text{yes.}$

$p = 0 (> -1)$ , case (iii) a

$$\Rightarrow T(n) = \Theta(n^{\log_3 3} \log^{0+1} n)$$

$$= \Theta(n \log n)$$

Ex:  $T(n) = 3T\left(\frac{n}{3}\right) + \frac{n}{\log n}$

$$a = 3, b = 2, k = 1, p = -1$$

Is  $a = b^k, 3 = 2^1 \rightarrow \text{yes.}$

$$p = -1 (= -1), \text{ case (iii) (b)}$$

$$\Rightarrow T(n) = \Theta(n^{\log_b a} \log \log n)$$

$$= \Theta(n \log \log n)$$

Ex:  $T(n) = 8T\left(\frac{n}{2}\right) + \frac{n^3}{\log^3 n}$

$$a = 8, b = 2, k = 3, p = -2$$

$$T(n) = 8T\left(\frac{n}{2}\right) + n^3 \log^{-2} n$$

Is  $a = b^k, 8 = 2^3 \rightarrow \text{yes.}$

$$p = -2 (< -1), \text{ case (iii) (c)}$$

$$\Rightarrow T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 8})$$

$$= \Theta(n^3)$$

(II)  
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Ex:  $T(n) = 2T\left(\frac{n}{2}\right) + \sqrt{n}$

$$(A) T(n) = \Theta(\log n) \quad (B) T(n) = \Theta(\sqrt{n})$$

L.C.F.  $T(n) = \Theta(n)$   
 $a = 2, b = 2, k = \frac{1}{2}, p = 0$

case (i) :  $T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^{\log_2 2})$$

$$= \Theta(n)$$

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Ex:

Ex:  $T(n) = T\left(\frac{n}{3}\right) + O(n)$

$$(A) \Theta(n^2) \quad (B) \Theta(\log n) \quad (C) \Theta(n)$$

case (ii) :  $a < b^k$

Sin

So,

2). T(

Here,  $a'$

$p > 0$ , case (ii) a

$$\therefore T(n) = \Theta(n)$$

2008  
Ex:  $T(n) = \sqrt{2} T\left(\frac{n}{2}\right) + \sqrt{n}$

(A)  $\sqrt{n} (\log n + 1)$       (B)  $\sqrt{n} \log \sqrt{n}$

(C)  $\sqrt{n} \log^2 n$       (D)  $n \log \sqrt{n}$

(E)  $\sqrt{n} \log n$

~~Ex~~  $T(n) = \sqrt{2} T\left(\frac{n}{2}\right) + \sqrt{n}$

$$a = \sqrt{2}, b = 2, k = 1/2, p = 0$$

$$\text{Is } a = b^k, \sqrt{2} = 2^{1/2} \rightarrow \text{true.}$$

$p = 0$  ( $p > -1$ ), case (ii) (a)

$$\therefore T(n) = \Theta(n^{\log_b a} \log^{p+1} n)$$

$$= \Theta(n^{\log_2 \sqrt{2}} \log^1 n)$$

$$= \Theta(n^{1/2} \log n)$$

$$= \Theta(\sqrt{n} \log n)$$

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Ex:  $T(n) = 2T\left(\frac{n}{2}\right) + O(n)$  which of the following is FALSE.

(A)  $T(n) = O(n^2)$       (B)  $T(n) = O(n \log n)$

(C)  $T(n) = \Theta(n \log n)$       (D)  $T(n) = \Omega(n^2)$

$\Rightarrow$  Special cases in Master Theorem:

1).  $T(n) = 0.5 T\left(\frac{n}{2}\right) + n^2$

Since  $a = 0.5 (< 1)$

So, we can't apply master theorem.

2).  $T(n) = 2^n T\left(\frac{n}{2}\right) + n^2$

Here, 'a' can't be a fun<sup>n</sup>.

So, we can't apply M.T.

$$3). T(n) = 2T\left(\frac{n}{2}\right) - n^2$$

Negative  $n^{th}$  can't allow in M.T. so, we can't apply M.T.

✓ 4).  $T(n) = 2T\left(\frac{n}{2}\right) + 2^n$   $\leftarrow$  exponential  $n^{th}$ , then put in directly in Answer.

$$T(n) = 2T\left(\frac{n}{2}\right) + 2^n$$

$$\text{Ans: } O(2^n)$$

✓ 5).  $T(n) = 2T\left(\frac{n}{2}\right) + n!$

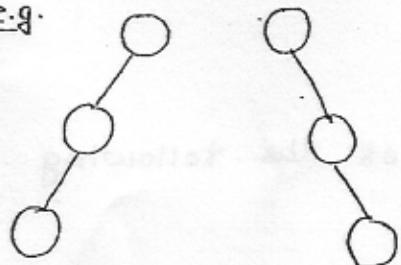
$$\text{Ans: } O(n!)$$

### Divide & Conquer:

#### \* Binary Tree:

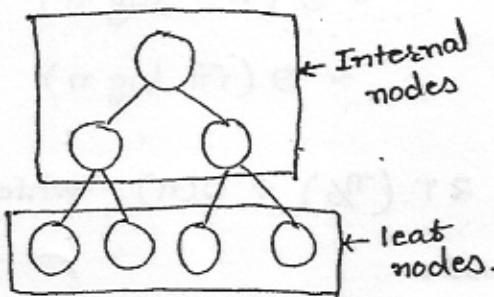
In a binary tree every node must have atmost two children.

e.g.



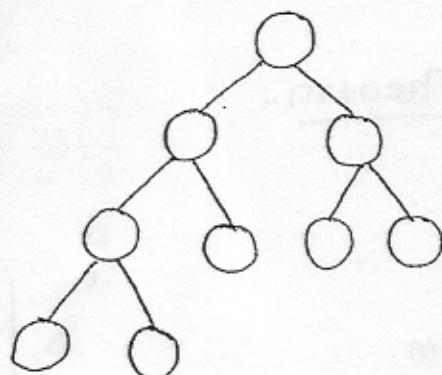
Left skewed  
B.T.

Right skewed  
B.T.

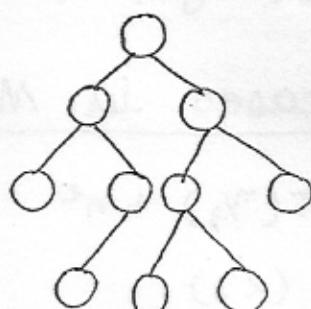


2-array tree

Full B.T.



Complete B.T.



Not C.B.T.

$\rightarrow$  L :-  
- In c  
expt

- Evq  
w/

c.()

O

O

O

O

$\rightarrow$  C or

- InOr

& O

- Evq

be

$\Rightarrow$  Popp

↑  
O  
O

height = O

↓  
O  
O

i) Hig

- hQ

- hQ

O

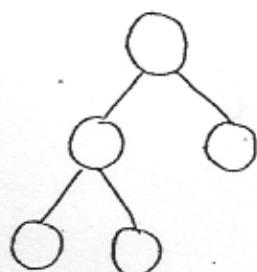
- He

O

→ 2-array tree:

- In a 2-array tree every internal node have exactly two children.
- Every Full B.T is 2-array tree but converse is not true.

e.g.

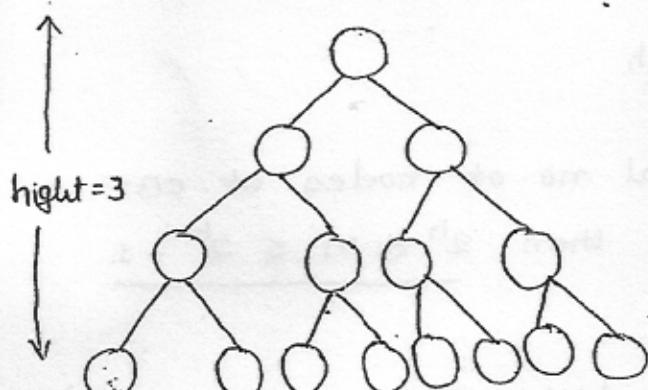


← It's 2-array tree but  
not F.B.T.

→ Complete Binary Tree (C.B.T)

- In a CBT all levels are full except last level & it is filling from left to right.
- Every FBT is a CBT but converse need not be true.

⇒ Properties of FBT:



Level	No. of nodes
0	$1 = 2^0$
1	$2 = 2^1$
2	$4 = 2^2$
3	$8 = 2^3$

i) Height:

- height of leaf node = 0
- height of internal node = longest path from that node to leaf.
- Height of tree = height of root node.  
 $= \log_2 (\text{no. of leaf nodes})$

$$= \log_2 (\text{no. of internal nodes} + 1)$$

Proof

ii) Maximum no. of nodes of FBT whose height is (h)

$$= 2^0 + 2^1 + 2^2 + \dots + 2^h$$

$$S_n = \frac{a(\varepsilon^n - 1)}{\varepsilon - 1}; |\varepsilon| > 1$$

$$S_{h+1} = \frac{1(2^{h+1} - 1)}{2 - 1} = 2^{h+1} - 1$$

⇒ Properties of CBT:i) Maximum No. of nodes of CBT whose height is (h) =  $2^{h+1} - 1$ ii) Minimum No. of nodes of CBT whose height is (h) =  $2^h$ 

$$= (2^0 + 2^1 + 2^2 + \dots + 2^{h-1}) + 1$$

$$(S_h = \frac{1(2^h - 1)}{2 - 1}) + 1$$

$$= 2^h - 1 + 1 = 2^h$$

iii) If  $n$  represent total no. of nodes of CBT whose height is (h) then  $2^h \leq n \leq 2^{h+1} - 1$ Theorem:Prove that maximum height of CBT with  $n$  nodes is bounded by  $O(\log_2 n)$ .Q.E.D.Prove that in a CBT with ' $n$ ' nodes and height ' $h$ ' satisfies the following.

$$\log_2 (n+1) - 1 \leq h \leq \log_2 n$$

$$\text{Proof: } \underbrace{2^h \leq n}_{\text{is } (h)} \leq \underbrace{2^{h+1} - 1}_{\text{is } (h+1)}$$

$$2^h \leq n$$

$$\Rightarrow h \leq \log_2 n \quad \dots \text{(i)}$$

$$n \leq 2^{h+1} - 1$$

$$n+1 \leq 2^{h+1}$$

$$\log_2(n+1) \leq h+1$$

$$\log_2(n+1) - 1 \leq h \quad \dots \text{(ii)}$$

Combining (i) & (ii)

$$\log_2(n+1) - 1 \leq h \leq \log_2 n$$

$$h = O(\log_2 n)$$

\*\*\*\*

$\text{height of CBT} = O(\log_2 n)$

### \* Binary Search:

Search  $x = 151$  from the following array.

-10	0	5	9	14	28	52	76	84	98	111	122	136	151
1	2	3	4	5	6	7	8	9	10	11	12	13	14

$x = 52$  (Average case)

$x = -10$  (Best case)

$x = 151$  (Worst case)

Seq <sup>n</sup> . search	Best case	Worst case	Average case
Successful	$O(1)$	$O(n)$	$O(\frac{n}{2}) = O(n)$
Unsuccessful	-	$O(n)$	

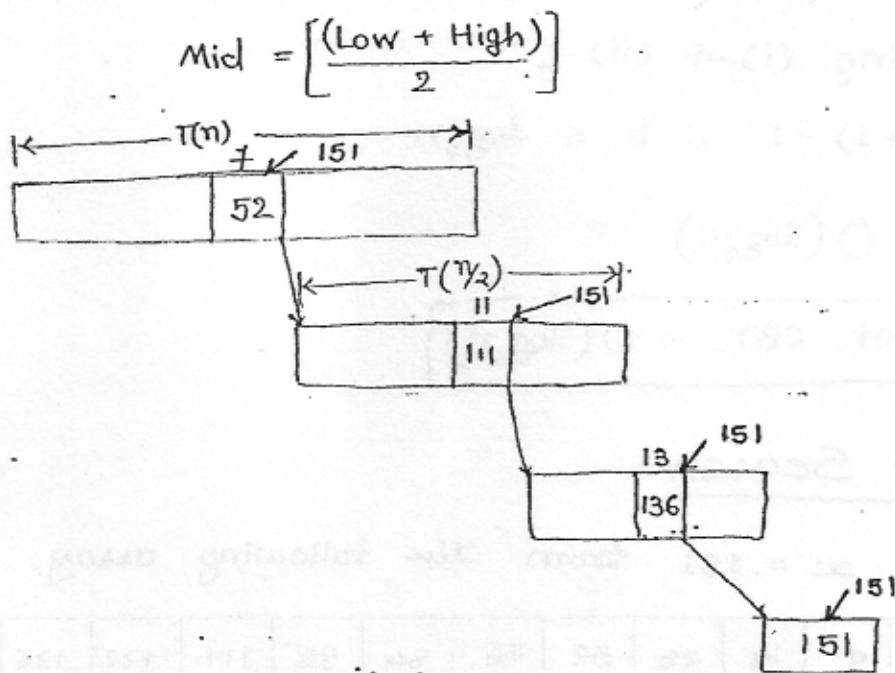
Binary Search	Best case	Worst case	Average Case
Successful	$O(1)$	$O(\log_2 n)$	$O(\log_2 n)$
Unsuccessful		$O(\log_2 n)$	

40

→ Binary search:

Low	High	Mid	$\alpha = 151$
1	14	7, $a[7] = 52$	
8	14	11, $a[11] = 111$	
12	14	13, $a[13] = 136$	
14	14	14, $a[14] = 151$	

Ex: G



$$\begin{aligned}
 \text{Comparision} &= \text{Level} + 1 \\
 &= \text{Height of tree} + 1 \\
 &= \text{Height of CBT} + 1 \\
 &= (\log_2 n + 1) \\
 &= O(\log n)
 \end{aligned}$$

Ex: G

$$\begin{aligned}
 T(n) &= T\left(\frac{n}{2}\right) + 1 \\
 &= T\left(\frac{n}{2}\right) + \Theta(n^0 \log^0 n)
 \end{aligned}$$

C

C

C

$$\alpha = 1, b = 2, k = 0, p = 0$$

Is.  $a = b^k, p = 0 \ (r-1)$ , case (iii)(a)

$$\begin{aligned}
 T(n) &= \Theta(n^{\log_b \alpha} \log^{p+1} n) \\
 &= \Theta(n^{\log_2 1} \log^{0+1} n) = \Theta(\log n).
 \end{aligned}$$

Application

Ex: In

no

in

(A)

O

O

Ex: Let  $A[n]$  be array of  $n$  elements in increasing order where  $n \in (2^{k-1}, 2^k]$  in order to search a key from that array in worst case. How many comparison are reqd. by using most efficient algorithm.

- (a)  $n$  (b)  $k-1$  (c)  $2^k$  (d)  $k$

→ In the previous example array contains 14 elements which are in the increasing order. So, clearly  $14 \in (2^3, 2^4]$ .

To search a key  $x = 151$ , we need 4 comparisons. Therefore in worst case we need  $k$  comparison.

Ex: Suppose that we have no. betw. 1 & 100 in a binary search tree & we want to search for the no. 55. Which of the following seqn. of nodes can't be examined.

- (A) {10, 75, 64, 43, 60, 57, 55}

- (B) {9, 85, 47, 68, 53, 57, 55}

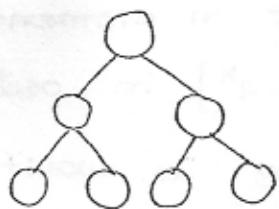
- (C) {90, 12, 68, 34, 62, 45, 55}

- (D) {79, 14, 72, 56, 16, 53, 55}

Application of B.T.

Ex: In a complete  $k$ -array tree every internal node has exactly  $k$  children. No. of leaf nodes in such a tree with  $n$  internal node.

- (A)  $nk$ . (B)  $(n-1)k+1$  (C)  $n(k-1)+1$  (D)  $n(k-1)$



$k = 2$  (2-array)

$n = 3$

leaf node = 4

So,  $n(k-1) + 1$  gives answer 4.

Ex: If  $L = 41$ ,  $I = 10$  where  $L = \text{no. of leaf node}$ ,  
 $I = \text{no. of internal nodes of } n\text{-array tree}$ .  
 what is the value of  $n$ .

- (a) 3 (b) 4 (c) 5 (d) 6.

$$\text{No. of leaf nodes} = n(k-1) + 1$$

$$L = I(n-1) + 1$$

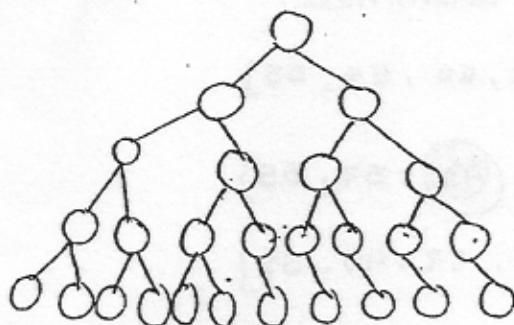
$$41 = 10(n-1) + 1$$

$$\Rightarrow n = 5$$

IT 2006

Ex: In a B.T. No. of internal nodes of degree 2  
 is 10 & no. of internal nodes of degree 1  
 is 5. what is the leaf node in search tree.

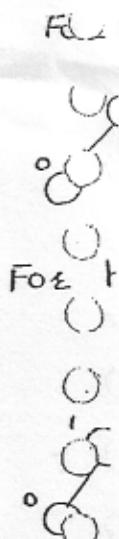
- (A) 10 (B) 11 (C) 12 (D) 15



Ex: In a binary tree every node the difference bet.  
 the no. of nodes in a left & right sub tree  
 is atmost 2. If the height of the tree  $h > 0$ .

Then the minimum no. of nodes in a tree -

- (A)  $2^h$  (B)  $2^h - 1$  (C)  $2^{h-1}$  (D)  $2^{h-1} + 1$



\* Hea

if it

(i)

(ii)

→ Min.

(i)

(ii)

→ Max.

(i)

(ii)

e.g. 10

(i)

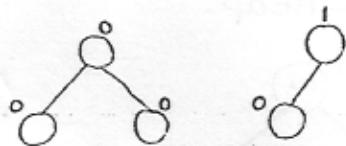
(ii)

2

(i)

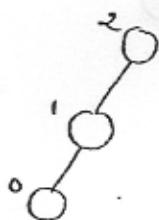
(ii)

For  $h = 1$



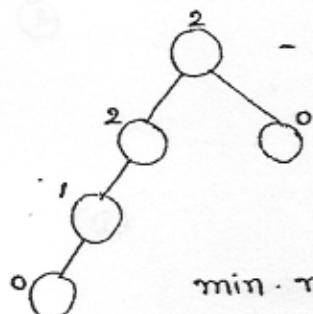
| LST nodes - RST nodes |  $\leq 2$

For  $h = 2$



min. nodes in  $h = 2$   
is 3.

For  $h = 3$



min. nodes in  $h = 3$  is 5.

5

### \* Heap Tree:

A binary tree is said to be heap tree if it satisfies following property.

(i) Structuring Property.

(ii) Ordering property. (Max-heap / Min-heap)

#### → Max. heap:

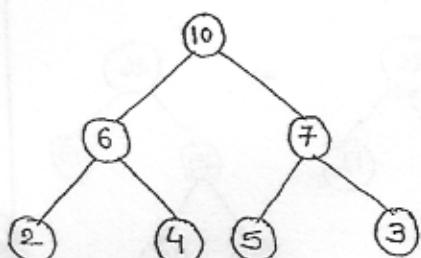
In max. heap tree

Parent > children.

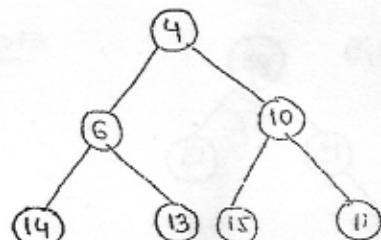
#### → Min. heap:

Parent < children.

E.g. Max-heap:

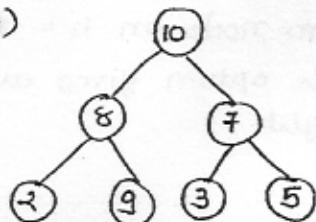


E.g. Min-heap.

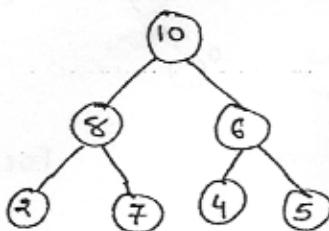
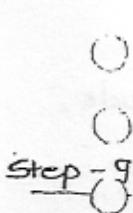


Ex: Which of the following is Max-heap.

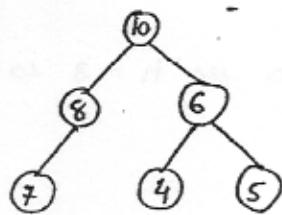
(A)



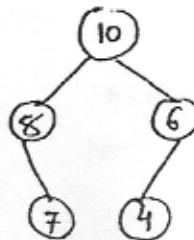
(B)

Step-1

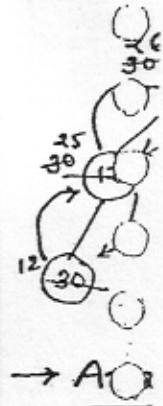
(C)



(D)



Not maintaining  
structuring property.  
So, Not heap tree.



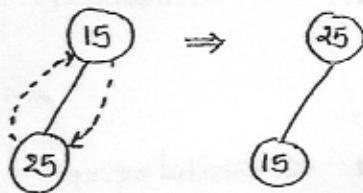
Note: By default every heap is Max-heap.

Ex: Construct heap tree for the following key by inserting one after another in the given order.

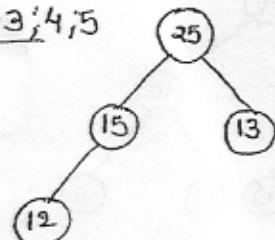
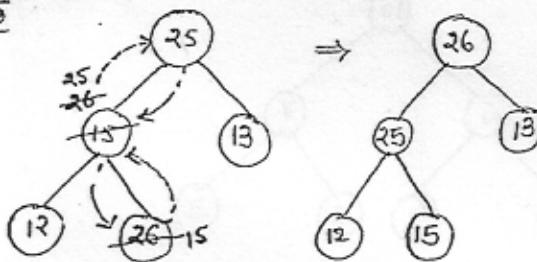
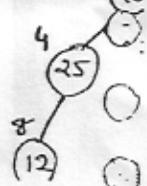
15, 25, 13, 12, 26, 9, 16, 30

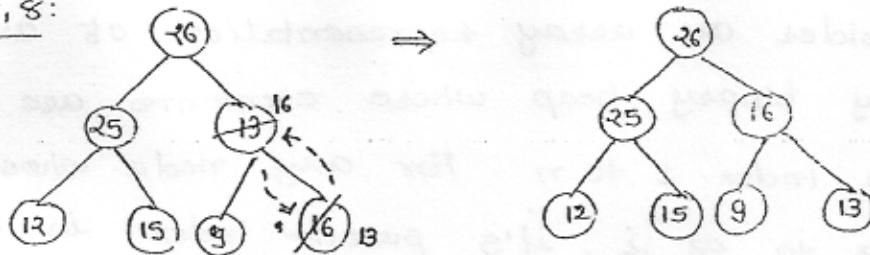
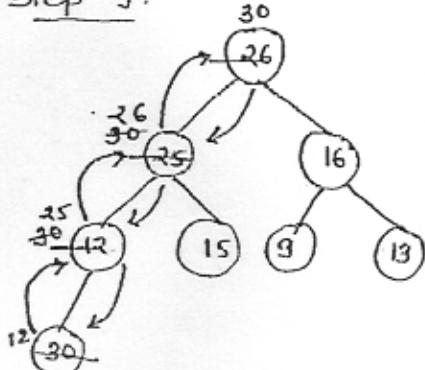
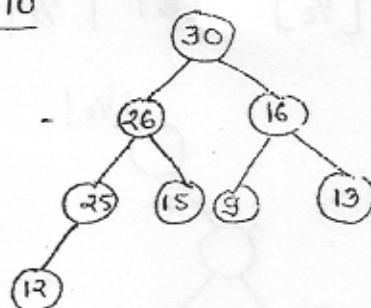
Step-1

15

Step-2

• b'coz parent is always big.  
that's why we do swapping.

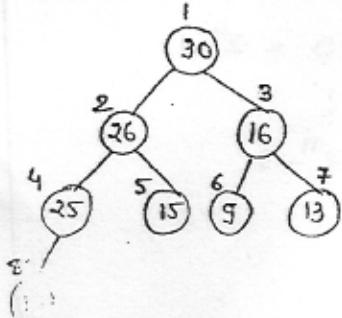
Step-3: 4, 5Step-4\* Answer

Step - 7, 8:Step - 9:Step - 10

### Analysis of Heap tree:

- 1) To insert a key into empty heap takes the complexity  $O(1)$ .  
e.g. Inserting node 15 takes  $O(1)$ .
- 2) To insert a key into already constructed heap in the worst case it requires  $\log n$  comparisons &  $\log n$  swapings.  
So, total time is  $O(\log n + \log n) = \underline{O(\log n)}$
- 3) Since we are constructing heap tree with  $n$  elements by inserting one after another.  
So the total time complexity is  $O(n \log n)$ .

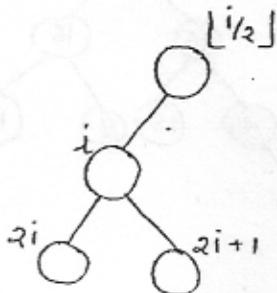
### \* Array Representation of Heap tree:



1	2	3	4	5	6	7	8
30	26	16	25	15	9	13	12

Ex: Consider an array representation of an  $n$  array binary heap where elements are stored from index 1 to  $n$ . For any node whose index is at  $i$ , its parent index is  $\lceil \frac{i}{2} \rceil$ .

- (A)  $\lfloor \frac{i}{2} \rfloor$  (B)  $\lceil \frac{i}{2} \rceil$  (C)  $\frac{i-1}{2}$  (D)  $i-1$

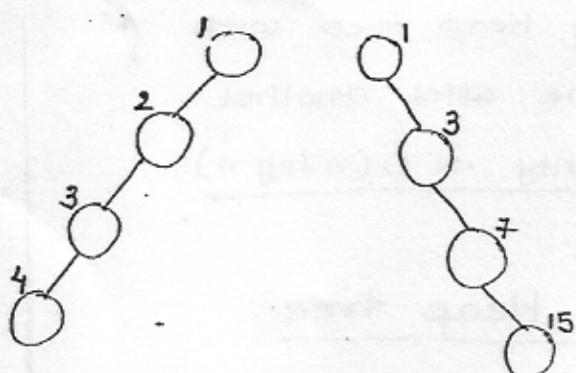


Ex: A scheme of storing B.T. in an array  $x$  is as follows.

Indexing of  $x$  is starting from 1.

For any node whose index is 'i' its left child is at  $x[2i]$ , and right child is at  $x[2i+1]$ . To be able to store any B.T. with ' $n$ ' nodes minimum size of  $x$  —

- (A)  $n$  (B)  $\log_2 n$  (C)  $2n-1$  (D)  $2n$



for left child  $x[2i]$  &  
for right child  $x[2i+1]$

$n$	size
3	$7 = 2^3 - 1$
4	$15 = 2^4 - 1$
:	⋮
$n$	$2^n - 1$

So, maximum  $= 2^n - 1$  &

Minimum  $= n$

Ex:  $M$

$M$

$T$

$t_{\text{so}}$

$at$

$(A)$

$(B)$

$O$

$b$

$(3)$

Ex:  $M$

$en$

$(A)$

$(B)$

$(C)$

CE 2006  
Ex:

$A$

$tollc$

$a[0]$

$star$

$repr$

$(A)$

$(B)$

$(C)$

$(D)$

Ex: A priority queue is implemented as a Max-heap.

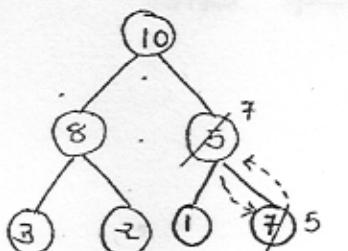
stored

The level order traversal is 10, 8, 5, 3, 2.

Two new elements '1' & '7' are added to traversal of heap. What is the level order after insertion.

(A) 10, 8, 7, 5, 3, 2, 1

(B) 10, 8, 7, 3, 2, 1, 5



10, 8, 7, 3, 2, 1, 5

Ex: Which of the following sequence of array element represent Max-heap.

(A) {23, 17, 14, 6, 13, 10, 1, 12, 7, 5}

(B) {23, 17, 14, 7, 13, 10, 1, 12, 5, 7}

(C) {23, 17, 14, 7, 13, 10, 1, 5, 6, 12}

~~CE 2006~~ Linked que<sup>n</sup>:

Ex: A three array Max-heap is implemented as follows. The root is stored from the location a[0]. and nodes in the next location is started from a[1] to a[n]. Which of the following represent 3-array Max-heap.

(A) 1, 3, 5, 6, 8, 9

(B) 9, 3, 6, 8, 5, 1

(C) 9, 6, 3, 1, 8, 5

(D) 9, 5, 6, 8, 3, 1

Step-3 Ans:

Ans:

Step-4 Ans:

Ans:

Time

○

St

○

Et

○

○

○

25



Delete &amp; put at 80

○

○

15



○

○

○

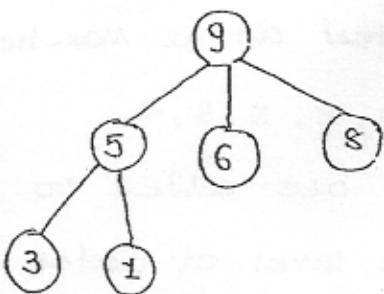
60

○

○

○

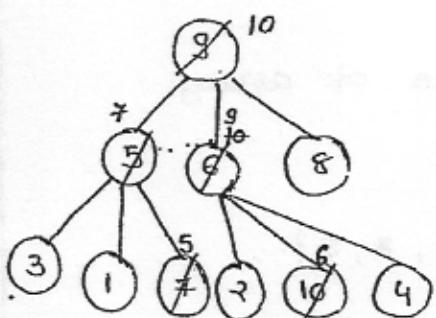
○



3 - array means every node has 3 - child.

Q-2 Suppose the elements 7, 2, 10 & 4 are inserted into the valid Max-heap found in the above question. What is the resultant heap after insertion.

- (A) 10, 8, 6, 9, 7, 7, 3, 4, 1, 5.
- (B) 10, 7, 9, 8, 3, 1, 5, 2, 6, 4



### \* Heap Sort:

Sort the following array using heap sort.

1	2	3	4	5	6	7	8
15	25	13	12	26	9	16	30

Step-1: Construct heap tree by inserting keys one after another in the given order.

In each iteration, Delete root node by replacing it with last leafnode, & the Delete node will be placed in highest empty index of the array.

Step-3 Adjust the CBT such that it maintains Heap tree property.

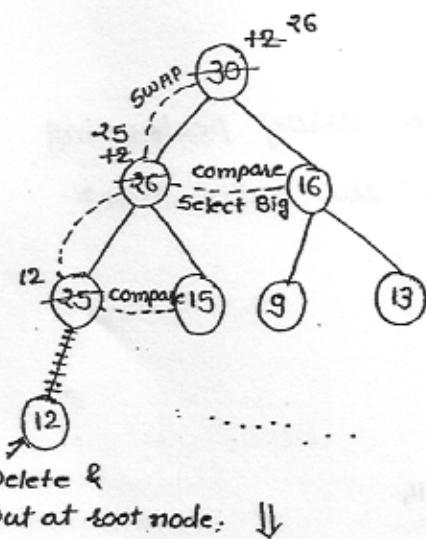
Step-4 Continue step-2 & step-3 until all elements are sorted.

Time complexity for every step:

$$\text{Step-1} = O(n \log n)$$

$$\text{Step-2} = O(\log_2 n)$$

$$\text{Step-3} = O(n \log_2 n)$$



Delete & put at root node:  $\Downarrow$

Comparison bet<sup>n</sup>. Sibling to Sibling

$$= 2 = \log_2 n$$

Comparison bet<sup>n</sup>. parent to child

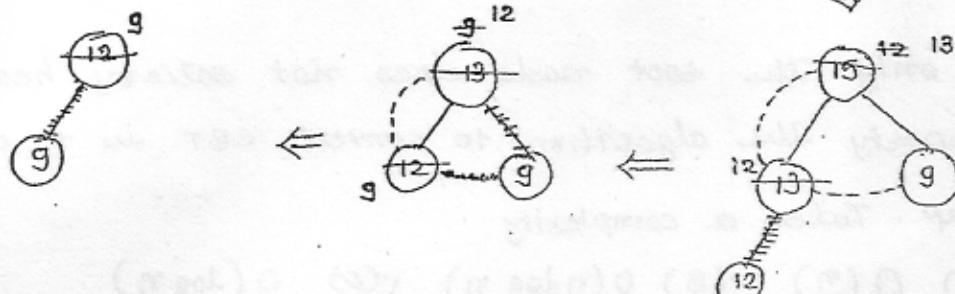
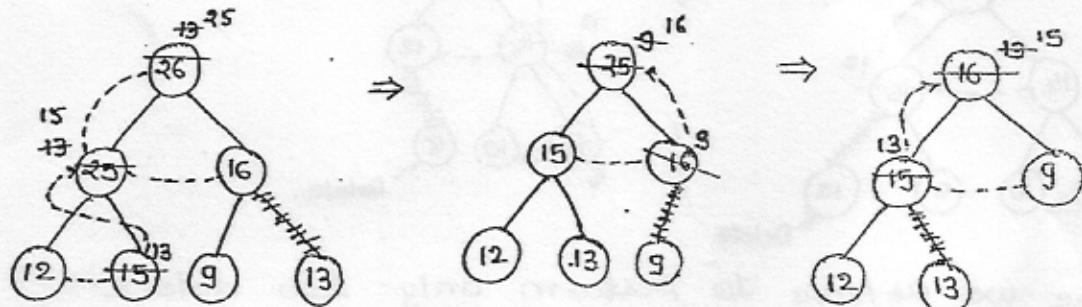
$$= 2 = \log_2 n$$

Swapping bet<sup>n</sup>. parent to child

$$= 2 = \log_2 n$$

$$\text{Total} = 3 \log_2 n$$

$$= O(3 \log_2 n) = \log_2 n$$



50,

1	2	3	4	5	6	7	8
9	12	13	15	16	25	26	30

$$\begin{aligned}
 \text{Total time} &= \text{step-1} + \text{step+4} \\
 &= n \log n + n \log n \\
 &= O(2n \log n) \\
 \text{Ex:} &= O(n \log n)
 \end{aligned}$$

Q-1: Which of the following seq<sup>n</sup>. of array represent

Max-heap.

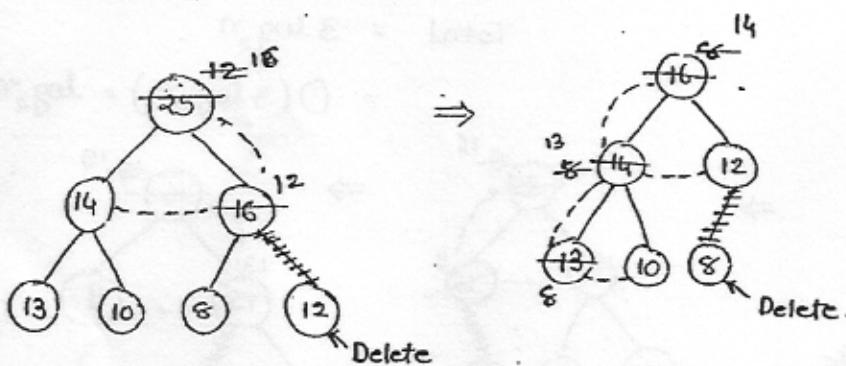
(A) {25, 12, 16, 13, 10, 8, 14}

(B) {25, 14, 16, 13, 10, 8, 12}

Q-2: Which is the content of the array performing after two delete operation on the valid heap found in the above question?

(A) {14, 13, 12, 10, 8}

(B) {14, 13, 12, 8, 10}



Here we have to perform only two delete operations.

Ex: If only the root node does not satisfy heap property the algorithm to convert CBT into a heap. Takes a complexity

- (A)  $O(n)$  (B)  $O(n \log n)$  (C)  $O(\log n)$   
(D)  $O(n \log \log n)$

2003

Ex: In a heap with  $n$  elements smallest element is at the root. The  $7^{\text{th}}$  smallest element can be found in time.

- (A)  $O(\log n)$  (B)  $O(1)$  (C)  $O(n)$  (D)  $O(n \log n)$

→ To find the smallest element from the heap we have to perform  $7$  delete operation. Since each delete operation takes  $\log n$  time,

$$\begin{aligned}\text{So, total delete time} &= O(7 \log n) \\ &= O(\log n)\end{aligned}$$

Find	Max-heap (from array)	Min-heap (From array)
Max element	$O(\log n)$	$O(n \log n)$
Min element	$O(n \log n)$	$O(\log n)$

Ex: Time complexity of finding smallest element from the heap min-heap.

- (A)  $O(1)$  (B)  $O(1)$  (C)  $O(n \log n)$  (D)  $O(\sqrt{n})$

→ Only one delete operation we have to perform.

2007

Ex: Consider the process of inserting an element into a max-heap where the max-heap is represented by an array. Suppose we perform binary search on the path from the new leaf linked to the root node. To find position from newly inserted element no. of comparison performed.

- (A)  $O(\log_2 n)$  (B)  $O(n)$   
 (C)  $O(\log_2 \log_2 n)$  (D)  $O(n \log_2 n)$

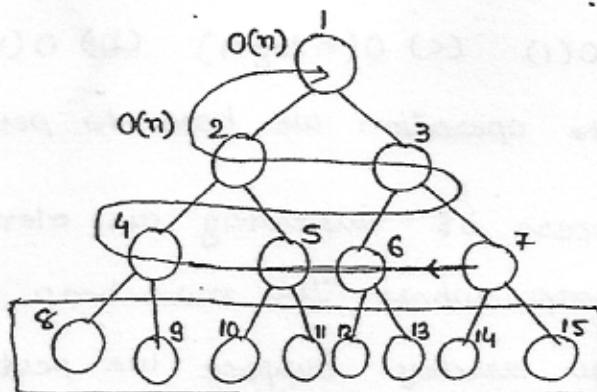
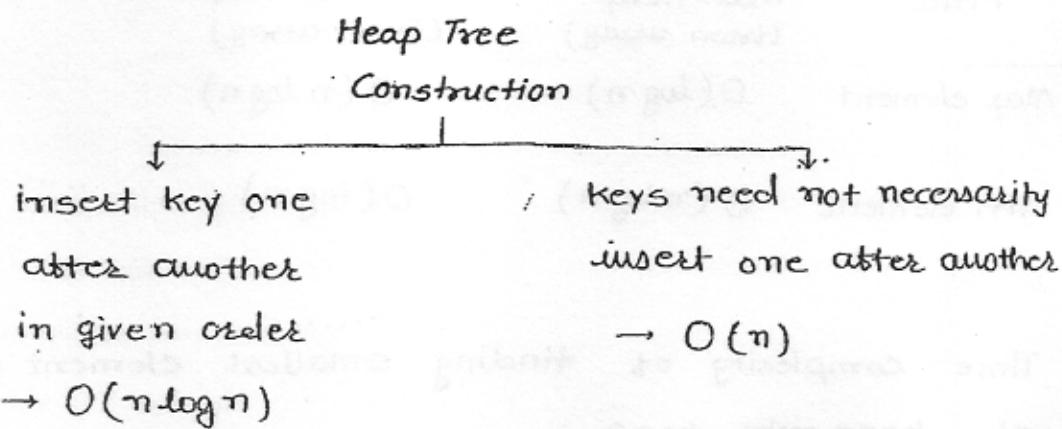
Ex: 2005

$O(\log_2 n)$ Seg. search	$O(n)$	$O(k)$	$O(s \cdot \min\{n, k\})$
$O(\log_2 \log_2 n)$ Binary search	$O(\log_2 n)$	$O(\log k)$	$O(\log_2 s \cdot \min\{n, k\})$

2008

Ex: We have a binary heap on  $n$  elements & wish to insert  $n$  more element (need not necessarily insert one after another) into this heap. Total time required is -

- (A)  $O(\log_2 n)$       (B)  $O(n \log_2 n)$   
 (C)  $O(n)$       (D)  $O(n^2)$



Here we have not consider leaf node.

Max-heap : Parent  $>$  child.

```

for (i =  $\lfloor \frac{n}{2} \rfloor$ ; i > 0; i--)
{
}
  
```

it takes  $O(\frac{n}{2})$  times

$\therefore O(n)$

2005

Ex: Suppose there are  $\log n$  sorted lists & each list contains  $\frac{n}{\log n}$  elements. The time complexity of producing a sorted list of all these elements is (Hint: Use heap data structure.)

- (A)  $O(n \log \log n)$       (B)  $\Omega(n \log n)$   
 (C)  $O(n \log n)$       (D)  $\Omega(n^{3/2})$

→ Build heap tree with  $\log n$  heaps. takes the time complexity  $O(\log n)$  [keys need not insert one after another].

→ Perform delete operation on heap tree with  $\log n$  node it takes the complexity  $O(1)$ .

If we perform delete operation it returns the list whose 1<sup>st</sup> element is smallest among all then delete the current list first element & insert the remaining same list based on next element in the list. Perform this operation repeatedly until heap is empty.

Since there are  $n$  such operation total time complexity =  $O(n \log \log n)$

1	<table border="1"> <tr> <td>2</td><td>6</td><td>8</td><td>10</td></tr> </table>	2	6	8	10	$\frac{n}{\log n}$
2	6	8	10			

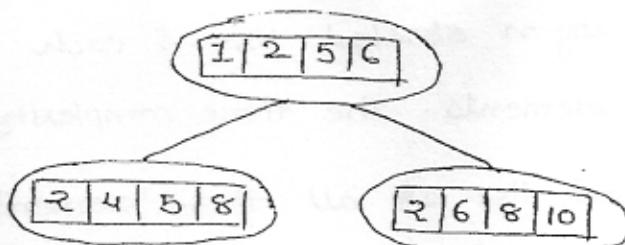
2	<table border="1"> <tr> <td>1</td><td>2</td><td>5</td><td>6</td></tr> </table>	1	2	5	6
1	2	5	6		

Total no. of elements

$$= \log n * \frac{n}{\log n}$$

log n	<table border="1"> <tr> <td>2</td><td>4</td><td>5</td><td>8</td></tr> </table>	2	4	5	8
2	4	5	8		

$$= n$$



Remove first node from first node first tree then  
remove first element from that node & leave other  
as it is.

$$\begin{aligned}
 n &\left\{ \begin{array}{l} 1). O(\log n) \\ 2). \log \log n \\ 3). \log \log n \end{array} \right. \\
 &\quad = \log n + n \log \log n + n \log \log n \\
 &\quad = \log n + 2n \log \log n \\
 &\quad = O(2n \log \log n) \\
 &\quad = O(n \log \log n)
 \end{aligned}$$

### \* Quick Sort:

Sort the following.

65 70 75 80 85 60 55 50 45

Step-1: Select any 1 for pivot, say  $a[k]$  where  
 $L \leq k \leq U$ ,  $L$  = Lower bound of array.  
 $U$  = Upper bound of array.

Now hide pivot at  $a[0]$ , to do this,  
swap ( $a[k], a[U]$ ).

Step-2: Let  $i = L$ ,  $j = U - 1$

Step-3: Select bigger element than the pivot by  
searching toward from the lower bound of  
array.

i.e. while ( $a[i] \leq \text{pivot}$ )  
 $i++$ ;

Step-4: Select smaller element than pivot by searching  
backward from the upper bound of the array.

Step-5

Step-6

Step-7

Step-8

Step-9

Step-10

Step-11

Step-12

Step-13

Step-14

Step-15

Step-16

Step-17

Step-18

Step-19

Step-20

Step-21

Step-22

Step-23

Step-24

Step-25

Step-26

Step-27

Step-28

Step-29

Step-30

i.e. while ( $a[i] \geq \text{pivot}$ )

卷之三

Step-5: Exchange  $a[i]$  &  $a[j]$

step-6 : Repeatedly select elements in opposite ways until 'i' & 'j' are cross each other.

Step-7: Now replace pivot at  $a[i]$ .

Step-8: Divide the array into two sub arrays at pivot position say they are left & right sub arrays.

Step-9 : Continue above process recursively on left & right sub arrays until all elements are sorted.

L 65 70 75 80 85 60 55 50 45 0

Let  $a[k] = 65$ , SWAP L & U

L. 45 70 75 80 85 65 55 50 65 & Hide.

45 50 75 80 85 60 55 70 65

45 50 55 ~~50~~ 85 60 75 70 65  
↓ ↓

45 50 55 60  $\frac{55}{i}$  80 75 70 65

45 50 55 60 65 80 75 70 85

45 50 55 60      80 75 70 85

Left sub tree

Right sub tree.

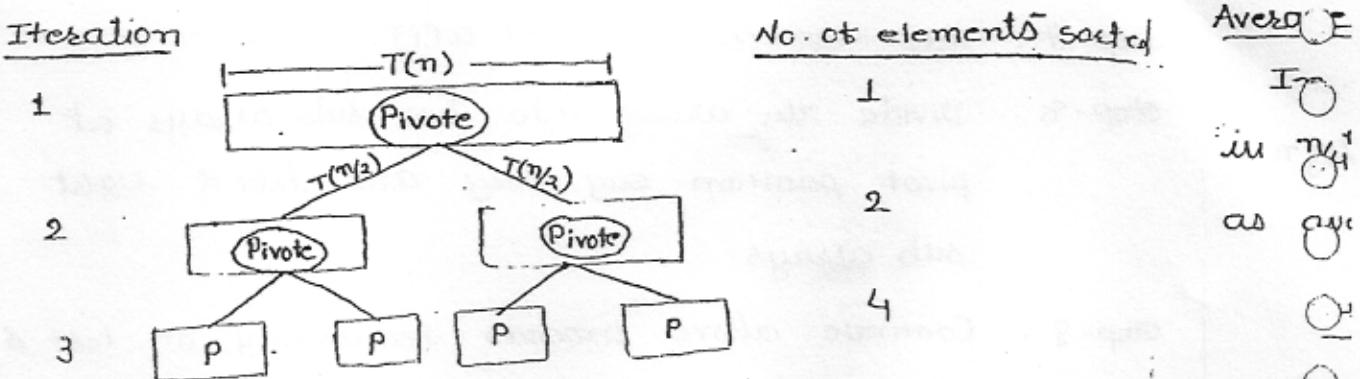
- Now recursively apply above process on left & right subarray until all elements are sorted.

## $\Rightarrow$ Analysis of Quick sort:

### Best Case:

In each iteration if selected pivot placed in exactly in middle most position then it is called best case in quick sort.

In  
Op  
wce



Total time complexity for  $n$  element is  $T(n)$ .

$$T(n) = T(n/2) + T(n/2) + O(n)$$

$\hookrightarrow$  Time required for placing pivot.

$$= 2T(n/2) + O(n)$$

$$\dots \dots = O(n \log n)$$

### Note:

Worst

Worst

Worst

### Worst case:

In each iteration if the selected pivot placed in either 1<sup>st</sup> or last position then it is called worst case of quick sort.

Ex: Med

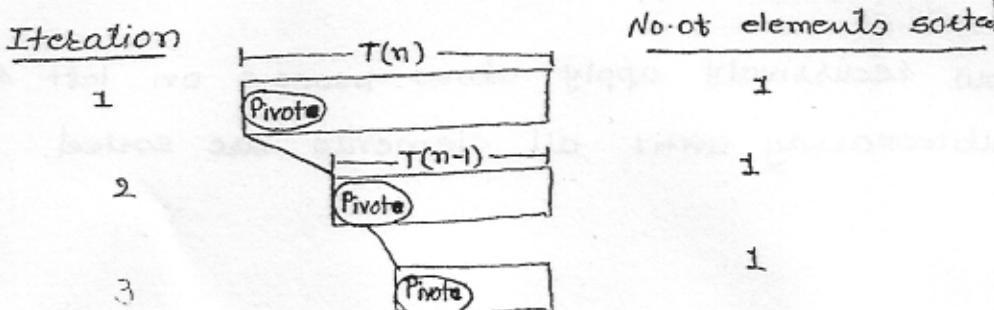
time

time

(A)

(C)

e.g.

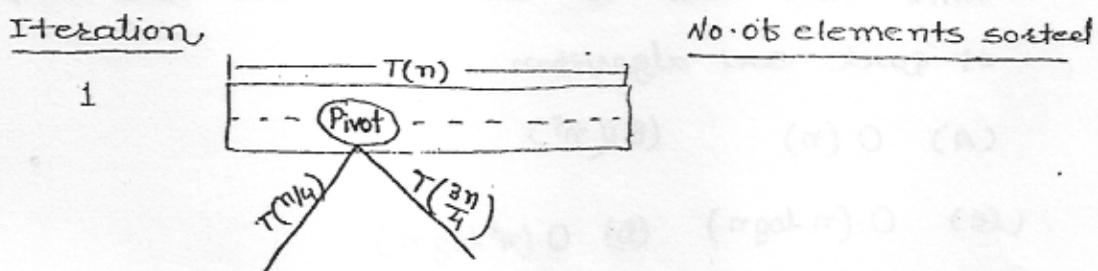


$$\begin{aligned}
 T(n) &= T(n-1) + O(n) \\
 &= O(n^2) \quad \left[ \begin{array}{l} T(n) = aT(n-b) + O(n^k) \\ \text{if } a=1, T(n) = O(n^{k+1}) \end{array} \right]
 \end{aligned}$$

In worst case of quick sort to sort  $n$  elements we need  $n$  iterations.

### Average Case:

In each iteration if the selected pivot placed in  $\frac{n}{4}$ <sup>th</sup> position or  $\frac{3n}{4}$ <sup>th</sup> position then it is called as average case.



$$\begin{aligned}
 T(n) &= T\left(\frac{n}{4}\right) + T\left(\frac{3n}{4}\right) + O(n) \\
 &\xrightarrow{\text{Constructive method.}} O(n \log n)
 \end{aligned}$$

### Note:

Worst case behaviour of best case behaviour =  $O(n \log n)$

Worst case behaviour of Avg. case behaviour =  $O(n \log n)$

Worst case behaviour of Worst case behaviour =  $O(n^2)$

2006

Ex: Median of ' $n$ ' elements can be found in  $O(n)$  time. Which of the following is correct about time complexity of quick sort.

- (A)  $O(n \log n)$
- (B)  $O(n)$
- (C)  $O(n^2)$
- (D)  $O(n^3)$

→ e.g. 2 1 6 3 5

Median  $\downarrow$  To find median 1<sup>st</sup> arrange into ascending order.

1 2 (3) 5 6

To find median we can apply any sorting method.

So minimum time =  $O(n \log n)$ , Maximum time =  $O(n^2)$

In quick sort example we found median 65 in ~~o(n)~~  $O(n)$  without sorting all elements. It is possible only in the case of best case of quick sort so time complexity is order of  $-O(n \log n)$ .

2009

Ex: In quick sort for sorting  $n$  elements the  $\frac{n}{4}^{th}$  smallest element is selected as pivot using  $O(n)$  time then what is the worst case time complexity of quick sort algorithm.

(A)  $O(n)$       (B)  $(n^2)$

(C)  $O(n \log n)$     (D)  $O(n^2 \log n)$

Worst case of average case =  $O(n \log n)$

Depends on pivot position.

2008

Ex: Consider the quick sort algorithm. Suppose there is a procedure to find a pivot element which splits list into two sublist. Each of which contains atleast  $\frac{1}{5}^{th}$  elements. Let  $T(n)$  be the no. of comparision required.

(A)  $T(n) \leq 2T\left(\frac{n}{5}\right) + n$

(B)  $T(n) \leq 2T\left(\frac{4n}{5}\right) + n$

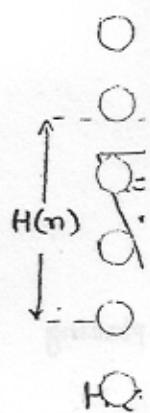
(C)  $T(n) \leq 2T\left(\frac{n}{2}\right) + n$

(D)  $T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{4n}{5}\right) + O(n)$

2002

Ex: A weight balanced tree is a B.T. in which each node the no. of nodes in the left sub tree is atleast half & atmost twice the no. of nodes in the right sub tree. ie maximum possible

High  
(A)  
Let  
the  
left  
node  
high



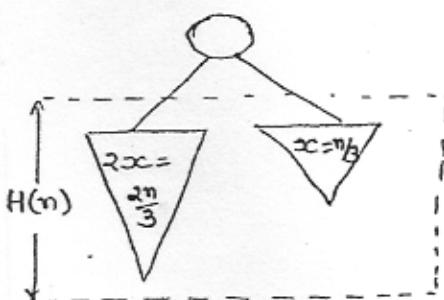
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O  
O

height of tree is described by.

- (A)  $\log_2 n$  (B)  $\log_3 n$  (C)  $\log_{4/3} n$  (D)  $\log_{3/2} n$

Let  $H(n)$  represent maximum possible height of the tree with  $n$  nodes. If we assume that left subtree contains atmost twice the no of nodes in the right subtree then the maximum height is possible only in direction of left subtree.



$$\begin{aligned} 2x + x &= n \\ \Rightarrow 3x &= n \\ \Rightarrow x &= n/3 \end{aligned}$$

$$H(n) = H\left(\frac{2}{3}n\right) + 1 \quad \dots \text{1st}$$

$$= H\left(\left(\frac{2}{3}\right)^2 n\right) + 1 + 1$$

$$= H\left(\left(\frac{2}{3}\right)^2 n\right) + 2 \quad \dots \text{2nd}$$

⋮

$$= H\left(\underbrace{\left(\frac{2}{3}\right)^k n}\right) + k \quad \dots \text{k}^{\text{th}} \quad (*)$$

$$\left(\frac{2}{3}\right)^k n = 1$$

Put  $k$  in  $(*)$

$$n = \left(\frac{3}{2}\right)^k$$

$$= H(1) + \log_{3/2} n$$

$$k = \log_{3/2} n$$

$$= 0 + \log_{3/2} n$$

$$= O\left(\log_{3/2} n\right)$$

## GREEDY METHOD

e.g.

### \* Spanning Tree:

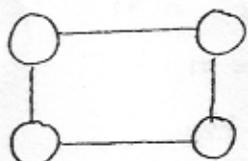
Let  $G(V, E)$  be an undirected connected graph

A subset  $T(V, E')$  of  $G(V, E)$  is said to be a spanning tree iff  $T$  is a tree.

### → Connected graph:

In a connected graph bet<sup>n</sup>. every pair of vertex there exist a path.

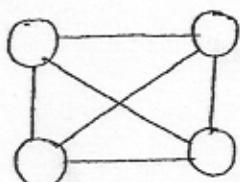
e.g.



### → Complete graph:

In a complete graph bet<sup>n</sup>. every pair of vertices there exists an edge.

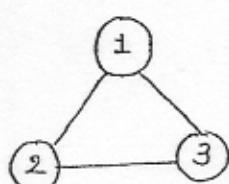
e.g.



→ Total no. of edges in a complete undirected graph with  $n$  vertices =  $(n-1) + (n-2) + \dots + 0$

$$= \frac{n(n-1)}{2}$$

e.g.



vertex : 1 2 3

$$\text{outdeg} : 2 + 1 + 0 = 3$$

→ Total no. of edges in a complete directed

$$\text{graph with } n \text{ vertices} = 2 \times \left( \frac{n(n-1)}{2} \right)$$

$$= n(n-1)$$

\* The  
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e.g.  
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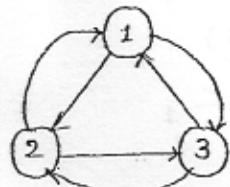
cas  
O  
O

C  
C  
C

Proof:

(i) G  
O

Ex:



$$\Rightarrow 2 \times 3 = 6 \text{ edges}$$

graph

be

\* Theorem:

- Prove that maximum no. of undirected graphs with 'n' vertices =  $2^{\frac{n(n-1)}{2}}$  edges

Ex: Take  $n = 3$  vertices.

$$\Rightarrow \text{Maximum no. of edges} = \frac{n(n-1)}{2} = \frac{3(3-1)}{2} = 3 \text{ edges}$$

$\hookrightarrow$  Undirected graph.

case (i) : Graph with '0' no. of edges:

$$\begin{array}{c} O \\ | \\ O \quad O \end{array} = 3C_0 = 1$$

vertices  
case (ii) : Graph with '1' no. of edges.

$$\begin{array}{c} O \\ | \\ O \end{array} \quad \begin{array}{c} O \\ | \\ O \end{array} \quad \begin{array}{c} O \\ | \\ O \end{array} = 3C_1 = 3$$

case (iii) : Graph with '2' no. of edges.

$$\begin{array}{c} O \\ | \\ O \end{array} \quad \begin{array}{c} O \\ | \\ O \end{array} \quad \begin{array}{c} O \\ | \\ O \end{array} = 3C_2 = 3$$

graph  
case (iv) : Graph with '3' no. of edges.

$$\begin{array}{c} O \\ | \\ O \end{array} \quad \begin{array}{c} O \\ | \\ O \end{array} = 3C_3 = 1$$

$$\therefore \text{Total no. of graph} = 1 + 3 + 3 + 1 = 8$$

Proof:  $n = \text{vertices}$

$$\text{edges} = \frac{n(n-1)}{2}$$

$$(i) \text{ Graph with '0' edge} = \frac{n(n-1)}{2} C_0$$

(iii) Graph with '1' edge =  $\frac{n(n-1)}{2} c_1$

e.g.

Graph with  $\frac{n(n-1)}{2}$  edges =  $\frac{n(n-1)}{2} c_{\frac{n(n-1)}{2}}$

$$\therefore \text{Total no. of graphs} = \frac{n(n-1)}{2} c_0 + \frac{n(n-1)}{2} c_1 + \dots + \frac{n(n-1)}{2} c_{\frac{n(n-1)}{2}}$$

$$= 2^{\frac{n(n-1)}{2}} \quad [\because n_0 + n_1 + \dots + n_{n-1} = 2^n]$$

Ex:

Total no. of graphs =  $2^{\text{No. of edges}}$

### \* Properties of Spanning Tree:

- 1). Every spanning tree of  $G(V, E)$
- 2). To construct spanning tree of  $G(V, E)$  we have to remove  $(E - V + 1)$  no. of edges from  $G(V, E)$
- 3). Every spanning tree is maximally acyclic that is by addition of 1 edge to the S.T. it forms a cycle.
- 4). Every spanning tree (S.T.) is minimally connected. i.e. by the removal of 1 edge from S.T. it becomes disconnected.
- 5). Maximum no. of possible ST of the complete graph  $G(V, E)$  is  $V^{V-2}$ .
- 6). To identify minimum cost ST out of  $V^{V-2}$  ST we can take help of either Prim's or Kruskal's algorithm.



Put

Ex: Cor

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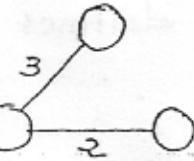
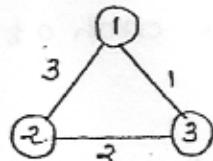
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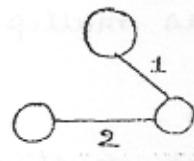
(A) G<sub>1</sub>(B) G<sub>2</sub>

e.g.

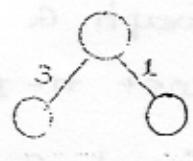


$$T(3,2) \\ (v, E')$$

$$\text{cost} = 5$$



$$T'(3,2) \\ \text{cost} = 3$$



$$T''(3,2) \\ \text{cost} = 4$$

$$\frac{(m-1)}{2} \\ \frac{c}{2} \\ \frac{n(n-1)}{2}$$

$$E \quad v \quad \text{remove} = E - v + 1 \\ 3 \quad 3 \quad 1 \\ 6 \quad 4 \quad 3$$

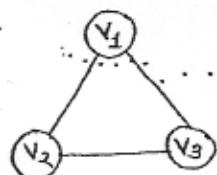
$$v \quad S.T \\ 3 \quad 3 = 3^{3-2} = v-2$$

$$x_n = 2^n$$

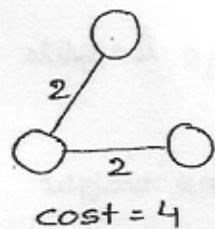
Ex: Consider a weighted complete graph  $G$  on the vertex set  $\{v_1, v_2, \dots, v_n\}$  such that weight of an edge  $\langle v_i, v_j \rangle = 2|i-j|$ . Then weight of minimum cost spanning tree (MST) =

- (A)  $n^2$  (B)  $2n-1$  (C)  $2n-2$  (D)  $n/2$

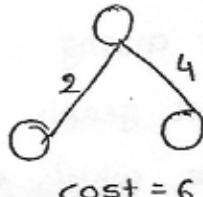
→ e.g.



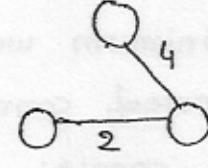
$$\Rightarrow \langle v_i, v_j \rangle = 2|i-j| \\ = 2|1-2| \\ = 2$$



$$\Downarrow \\ n=3$$



$$\text{cost} = 6$$



$$\text{cost} = 6$$

Put  $n=3$  in options & check ans gives 4 or not.

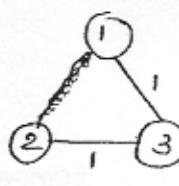
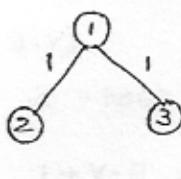
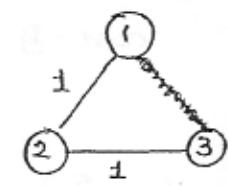
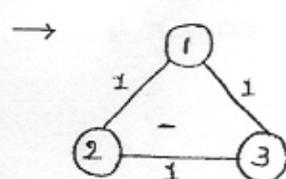
Ex: Consider an undirected graph  $G$  with  $n$  nodes. Its adjacency matrix is given by  $n \times n$  square matrix whose principle diagonal elements are 0 & remaining elements are 1 which of the following is true.

- (A) Graph  $G$  has no MST.

- (B) Graph  $G$  has unique MST at cost  $n-1$

(C) Graph G has multiple distinct MSTs each of cost  $n-1$ .

(D) Graph G has multiple STs at diff<sup>n</sup>. cost.

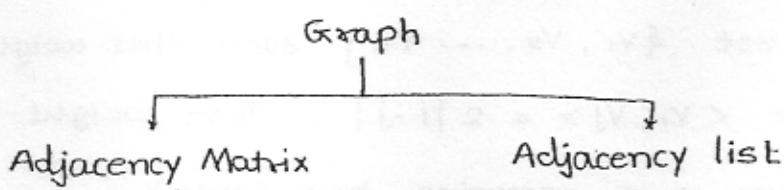


$$\begin{bmatrix} 1 & 2 & 3 \\ 1 & 0 & 1 \\ 2 & 1 & 0 \\ 3 & 1 & 1 \end{bmatrix}$$

$$\begin{aligned} \text{cost} &= 2 \\ &= 3-1 \\ &= n-1 \end{aligned}$$

$$\begin{aligned} &= 2 \\ &= n-1 \end{aligned}$$

$$\begin{aligned} &= 2 \\ &= n-1 \end{aligned}$$



Unweighted

$$\begin{bmatrix} 1 & 2 & 3 \\ 1 & 0 & 1 \\ 2 & 1 & 0 \\ 3 & 1 & 0 \end{bmatrix}_{3 \times 3}$$

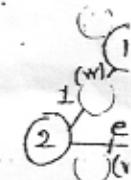
Weighted

$$\begin{bmatrix} 1 & 2 & 3 \\ 1 & 0 & 2 & 3 \\ 2 & 2 & 0 & 4 \\ 3 & 3 & 4 & 0 \end{bmatrix}$$

Ex: Let 'w' be minimum weight among all edge weights in an undirected connected graph.

Let 'e' be a specific edge which contains weight 'w'. Which of the following is false.

- (A) There is a MST which contains an edge 'e'
- (B) Every MST contain an edge of weight 'w'.
- (C) If 'e' is not in MST, 'T' then by adding e to 'T' it forms a cycle.
- (D) Every MST contain an edge of 'e'.



H.W.: OF

\* Kya

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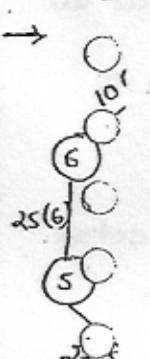
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→ Ans

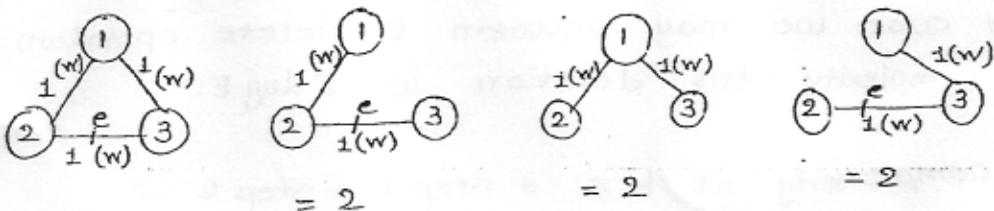
1). Adj

order

2). In

queue

pair

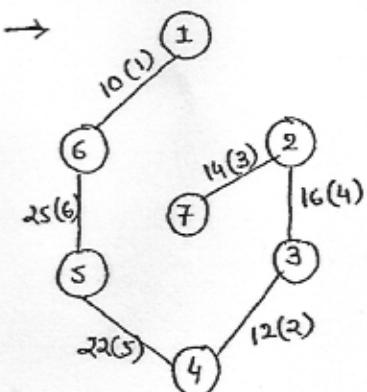
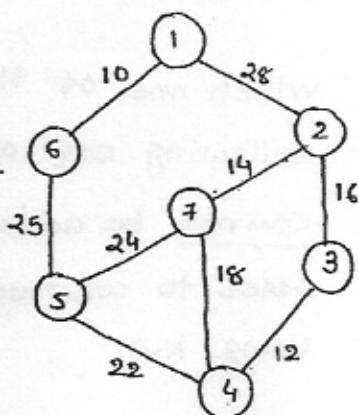


Here we have to take same weight edges b'coz  
we want more than 1 MST.

H.W: Retex CSE 2011 problem:

### \* Kruskal's Algorithm:

Find MST for the following graph.



It's said MST =

COST = 99

It satisfies all the properties  
of spanning tree.

### → Analysis of Kruskal's Algorithm:

- 1). Adjacent Edges must be arrange in the increasing order of their weight with order of  $E \log E$  time.
- 2). In each iteration delete root node from priority queue with  $\log E$  time and include it into the partially constructed forest without forming a cycle.

In worst case we may perform E delete operation.  
So time complexity for deletion is  $E \log E$ .

3. Time complexity of K.A. = Step 1 + Step 2

$$\checkmark = E \log E + E \log E$$

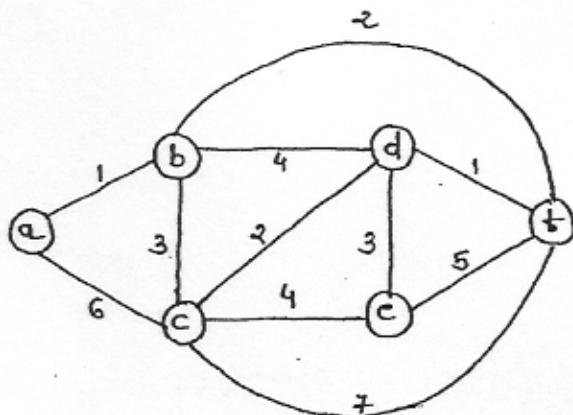
$$= O(E \log E)$$

### Priority Queue (Heap tree).

$\begin{matrix} 10 & 12 & 14 & 16 & 18 & 22 & 24 & 25 & 28 \\ <1, 6> <3, 4> <2, 7> <2, 3> <\cancel{7}, 4> <5, 4> <\cancel{5}, \cancel{7}> <\cancel{5}, 6> <1, 2> \end{matrix}$

↑  
Delete but not  
include in heap b'coz  
it forming a cycle.

2006

Ex:

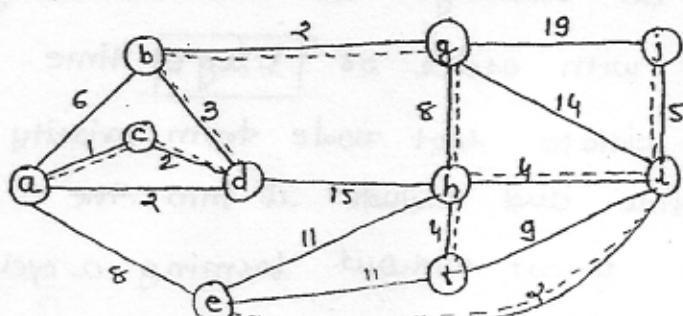
which one of the  
following seqn. of edges  
cannot be added in  
order to construct MST  
Using K.A.

- ✓ (A)  $(a^1-b)(d^1-t)(b^2-t)(d^2-c)(d^3-e)$
- ✓ (B)  $(a^1-b)(d^1-t)(d^2-c)(b^2-t)(d^3-e)$
- ✓ (C)  $(d^1-t)(a^1-b)(d^2-c)(b^2-t)(d^3-e)$
- ✗ (D)  $(d^1-t)(a^1-b)(b^2-t)(d^3-e)(d^2-c)$

2009

Ex: Refer.

2003 Ex: What is the weight of MST for the following graph.



2008

Ex: For  
whi  
co  
MS.

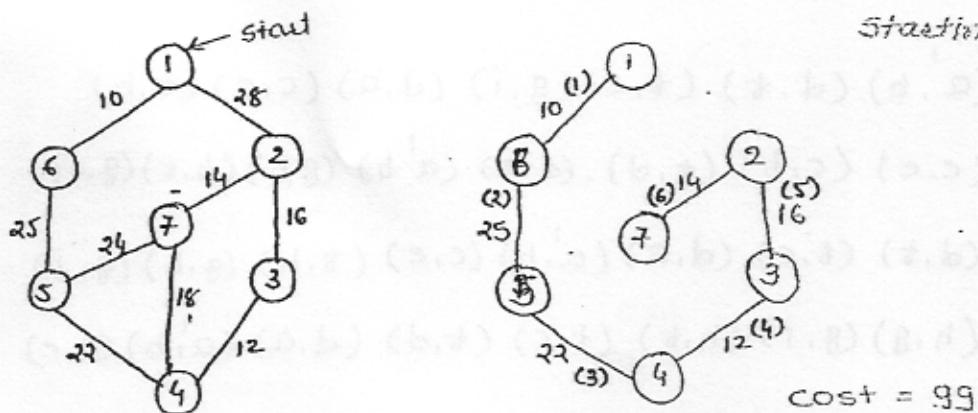
operation.

- (A) 30    (B) 31    (C) 32    (D) 41

### \* Prim's Algorithm:

Apply Prim's algo. on the following graph. On

Starting vertex 1.



→ Comparison betw. Prim's & k. Algo.

1. Structure of MST w.r.t. Prim's & k.A. is always same if graph contains distinct edge weights.
2. k.A. does not maintain continuity where prim's algo. maintain continuity.

### \* Analysis of Prim's Algo:

- 1). By using adjacency matrix:

Since adjacency matrix contains  $n^2$  elements so time complexity bounded by  $O(n^2)$ .

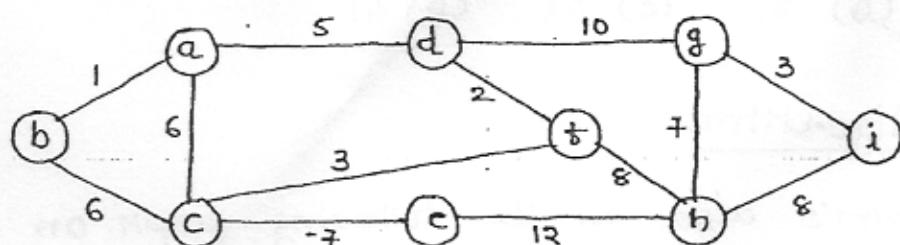
- 2). By using binary heap (Priority queue):

By using binary heap time complexity

$$= O((V+E) \log V)$$

2008

Ex: For the undirected weighted graph given below which of the following segn. of edges represent correct execution of Prim's algo. to construct MST.



~~(A)~~ (a, b) (d, t) (t, c) (g, i) (d, a) (c, e) (t, h)

~~(B)~~ (c, e) (c, t) (t, d), (d, a) (a, b) (g, h) (h, t) (g, i)

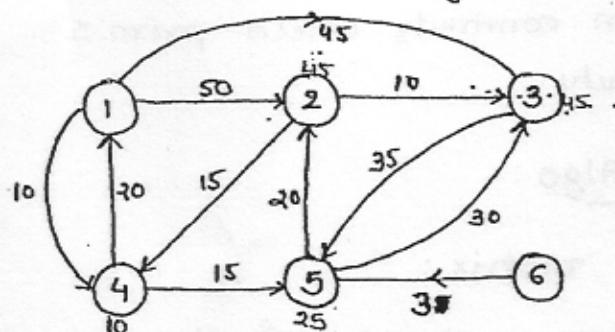
(C) (d, t) (t, c) (d, a) (a, b) (c, e) (t, h) (g, h) (g, i)

~~(D)~~ (h, g) (g, i) (h, t) (t, c) (t, d) (d, a) (a, b) (c, e)

$$\rightarrow \text{No. of edges} = V - 1 = 8$$

### \* Dijkstra Algo:

Find the shortest path distance from source vertex ① to remaining all vertex of graph.



$\rightarrow$  DSSSP algo. can be implemented only on +ve weight edged graph.

### Cost Matrix Representation

	2	3	4	5	6
--	---	---	---	---	---

$S = \{1\}$       50    45    10\*     $\infty$      $\infty$

$S = \{1, 4\}$       50    45    10\*    25\*     $\infty$

$S = \{1, 4, 5\}$     45\*    45    10\*    25\*     $\infty$

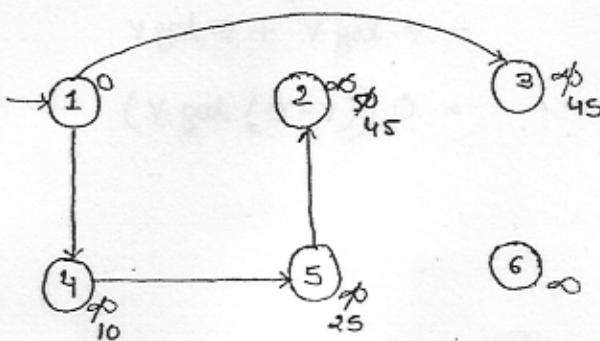
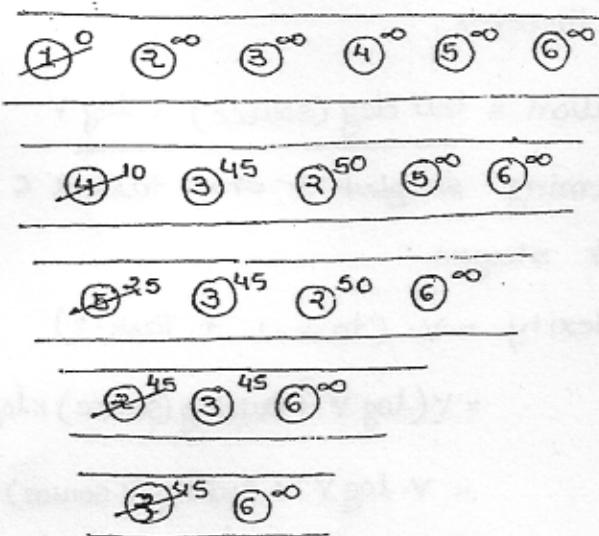
$S = \{1, 4, 5, 2\}$     44\*    45\*    10\*    25\*     $\infty$

$S = \{1, 4, 5, 2, 3\}$     44\*    45\*    10\*    25\*     $\infty$

- Time
- Draw
- can
- bing
- given
- path
- We
- over

Source	Destination	Path
1	2	1 - 4 - 5 - 2
1	3	1 - 3
1	4	1 - 4 -
1	5	1 - 4 - 5
1	6	No path.

- Time complexity of DSSSP using cost matrix is =  $O(n^2)$
- Draw back of cost matrix represent is we can't find shortest path implicitly. i.e. we can bind a vertices which are reachable from the given source but it can not generate shortest path.
- We have to construct shortest path explicitly. To overcome this drawback we can use graph method.



2004  
Ex:

### → Analysis:

1. To delete a key in Priority queue =  $\log V$   
(Heap)
2. To adjust a key in Priority queue =  $\log V$   
(Heap)
3. Out degree of all vertices ( $V$ ) =  $E$  (i.e. total no. of edges)

At each stage (i.e. each vertex) we are performing two tasks:

task-1: Deleting a vertex from P. Queue whose table is minimum with order of  $\log V$  time.

task-2: Identify all vertices which are having direct edge from the source & update their cost tables.

→ No. of updated vertices = Outdeg (source vertex)

Since to update each vertex table in P. Queue takes order of  $\log V$  time thereto,

→ Total time for updation = Outdeg (source)  $\times \log V$

task-3: since we are performing task-2 & task-1 & task-2 in  $V$  no. of stages

$$\therefore \text{Total time complexity} = V \cdot (\text{task-1} + \text{task-2})$$

$$= V(\log V + \text{outdeg (source)} \times \log V)$$

$$= V \cdot \log V + \text{outdeg (V, source)} \times$$

$$\log V$$

$$= V \cdot \log V + E \log V$$

$$= O((V+E) \log V)$$

2006  
Ex:

It  
do  
short

(A)

(C)

(C)

(C)

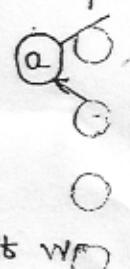
(C)

(C)

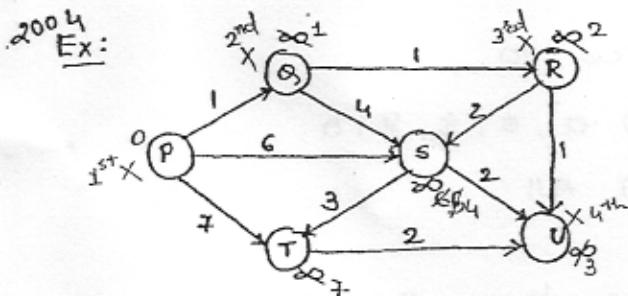
(C)

(A)

(C)



It w/



at edges)

If we run DSSSP algo. at vertex 'P' in what order do the nodes get included, in order to compute shortest path.

(A) P Q, R S T U

(B) P Q, R U T S

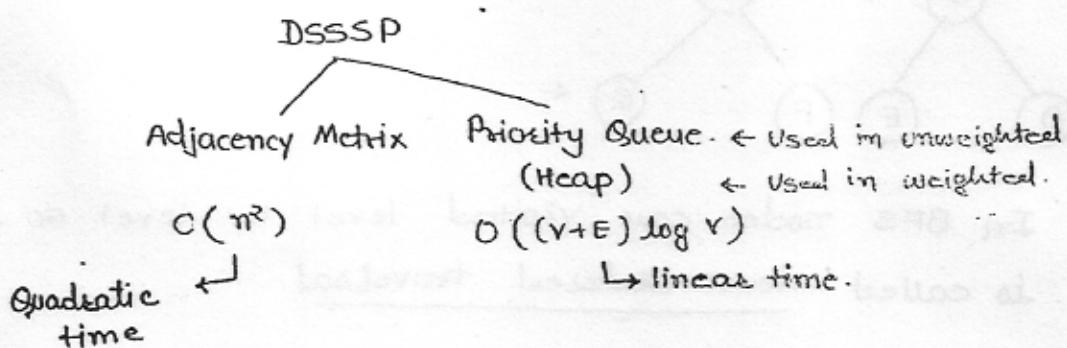
(C) P Q, R U S T

(D) P Q, T R U S

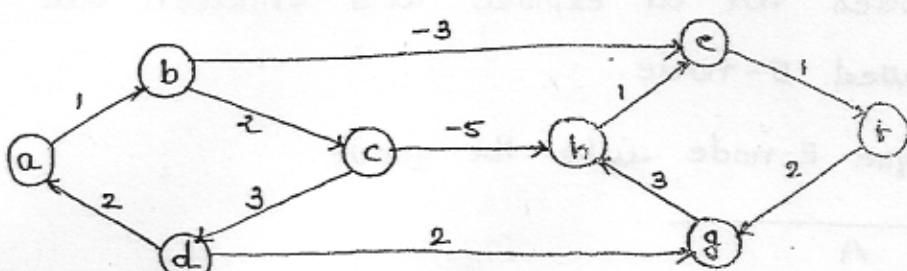
Ex)

2006 Ex: To implement DSSSP algo. on weighted graph so that it runs on linear time, what is the data structure to be used.

(A) Queue (B) stack (c) B-Tree (D) Heap



2008 Ex:



If we run DSSSP on vertex 'a', shortest path

distance can be computed to.

- (A) a, b, c, d      (B) a, e, t, g, h  
 (C) a                  (D) All

→ If any vertex is reachable from the given source

with the +ve & -ve weight edges (i.e. vertex 'g'),

+ve path is a-b-c-d-g & -ve path is

a-b-e-t-g) for such type of vertices we

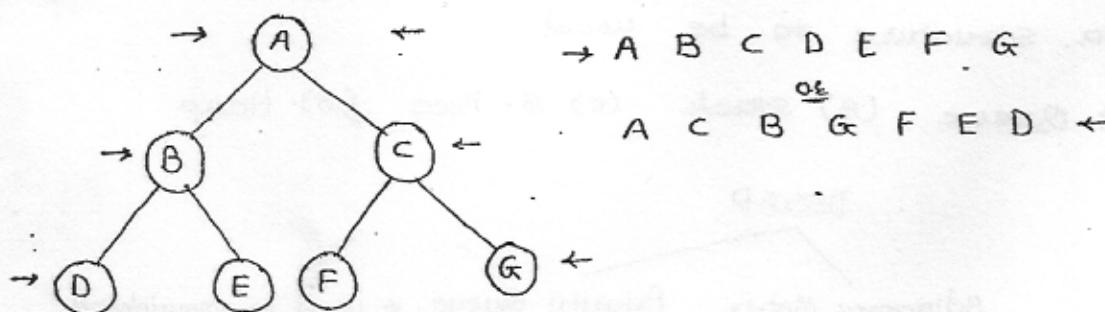
can not find shortest path distance. Ignore that vertex.

Dead or

### \* BFS - Breath Fist Search:

1. BFS is useful for finding shortest path distance in the unweighted graph.

- Contin



⇒ Time

TQ

O

Ex: TQ

40

2. In BFS nodes are visited level by level so it is called level ordered traversal.

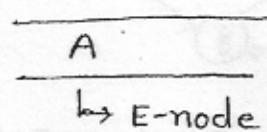
LCA

3. To implement BFS we use queue data structure.

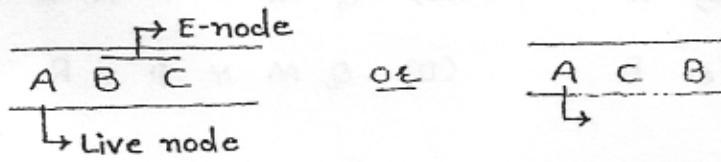
E-node : Nodes not yet explored & its children are called E-node.

→ Enque E-node into the queue.

Ex:



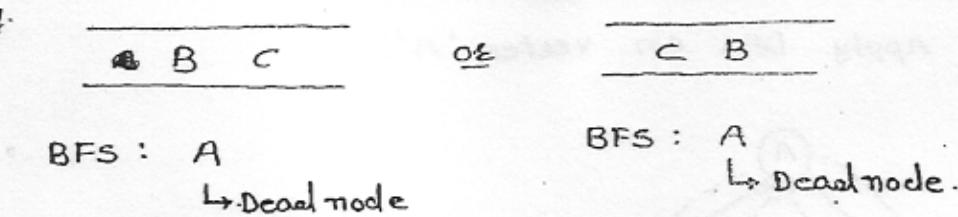
Live node : Nodes currently being exploring its children are called live node.



source

ex 'g', Dead node : Node which can't explore further is called dead node. Dequeue dead node from the queue & append them into BFS sequence.

e.g.



BFS : A  
↳ Dead node

BFS : A  
↳ Dead node.

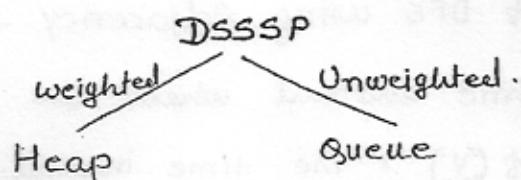
- Continue above process until all nodes are visited.

$\Rightarrow$  Time complexity of BFS using adjacency list:

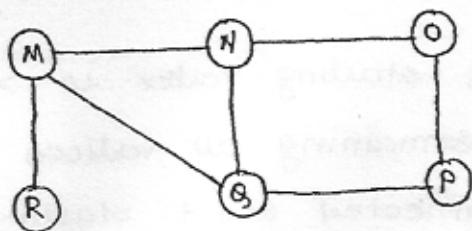
Time complexity of BFS using adjacency list =  $O(V+E)$

Ex: To implement DSSSP on unweighted graph so that it runs in a linear time data structure to be used ...

- (A) Queue (B) stack (c) B-Tree (D) Heap.



Ex:



Which of the following is valid BFS.

- (A) M N O P Q R      (B) Q M N P R O  
 (C) N Q M P O R      (D) Q M N P O R

M  $\xrightarrow{\text{child}}$  R Q N

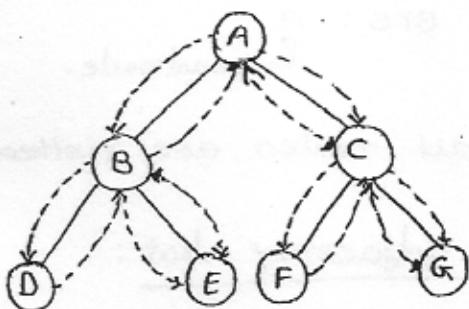
N  $\xrightarrow{\text{child}}$  M Q O

Q  $\xrightarrow{\text{child}}$  M N P

5). N(-)

### \* DFS - Depth First Search:

Apply DFS on vertex 'A'.



Ex: Oc

DFS seq<sup>n</sup>: A B D E C F G

- 1). In DFS nodes can visit in deeper direction until it reaches leaf node & then it applies backtracking to processing remaining nodes.

- 2). To implement DFS we use stack data structure.

- 3). Time complexity of DFS using Adjacency list =  $O(V+E)$ .

- 4). Let  $d[v]$  = The time instant when the node  $v$  first visited. &  $f[v]$  = The time instant when the node last visited.

Note: If the finishing time of starting vertex is  $>$  the finishing time of remaining all vertices then the graph is said to connected w.r.t. starting vertex.

(A) On

(B) If

(C) Q

(D) -

✓ (C) Q

(D) -

C

6

d[6] = 6

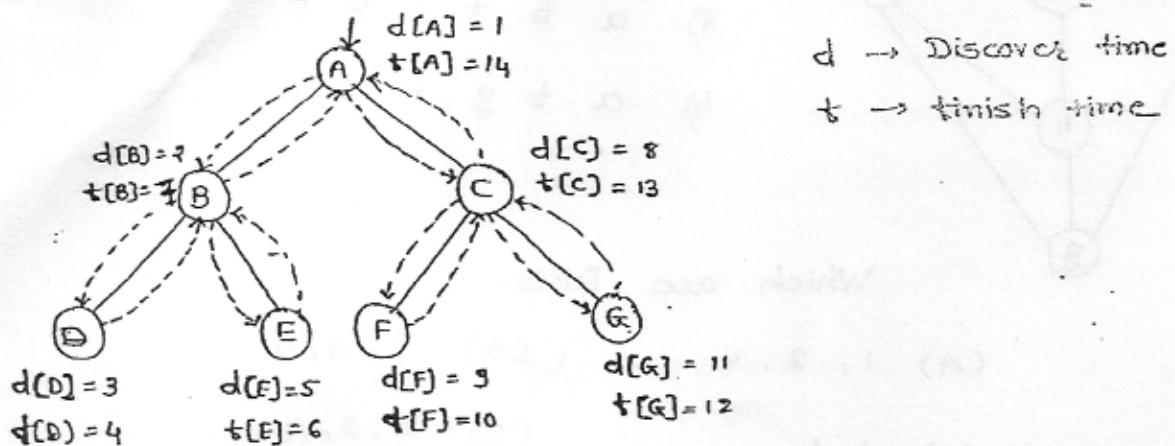
+[6] O

2003

Ex:

Con

- 5). No. of connected components in graph  $G(V, E)$   
 = No. of DFS calls required on diff<sup>n</sup>. vertices  
 for processing all vertices of the graph.



$d \rightarrow$  Discover time  
 $t \rightarrow$  finish time

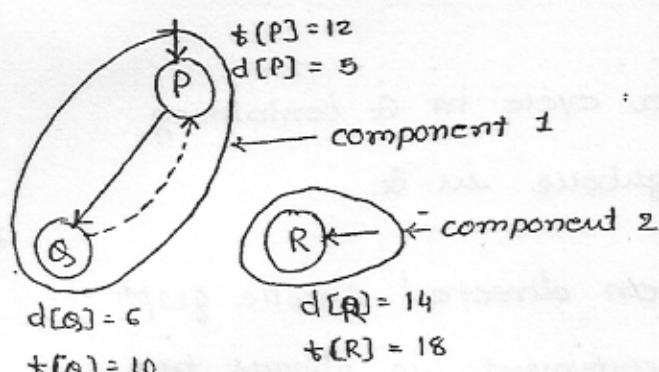
Ex: Consider DFS of undirected graph with 3 vertices P, Q & R.  $d[P] = 5$  units,  $t[P] = 12$  units  
 $d[Q] = 6$  units  $t[Q] = 10$  units  
 $d[R] = 14$  units.  $t[R] = 18$  units

Which of the following is true.

- (A) There is only 1-connected component.  
 (B) There are 2-connected components and 'P' & 'R'  
 are connected.

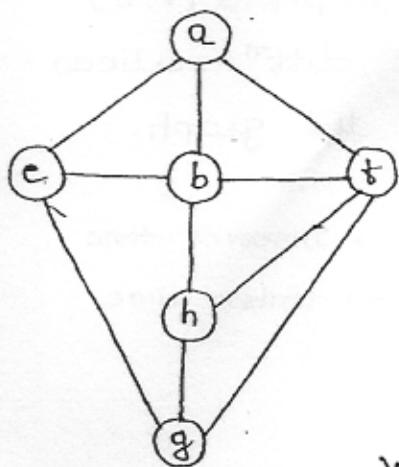
(C) ----- 'P' & 'Q' are connected.

- (D) ----- 'Q' & 'R' are connected.



2003

Ex: Consider the following graph.



- 1). a b e g h t
- 2). a b t e h g
- 3). a b t h g e
- 4). a t g h b e

Ex:  T

Which are DFS.

- (A) 1, 2, 4       (B) 1, 3, 4  
 (C) 1, 4      (D) 2, 3, 4

2006

Ex: Let 'T' be a DFS in an undirected graph

G. Vertices 'U' &amp; 'V' levels of this tree.

The degree of 'U' &amp; 'V' are atleast 2.

Which

- (A) There must exists vertex 'w' adjacent to both 'U' & 'V' in G.  
 (B) There must exists a vertex 'w' whose removal disconnect 'U' & 'V'.  
 (C) There must exists a cycle in G containing 'U' & 'V'.  
 (D) There must exist a cycle in G containing 'U' & all its neighbours in G.

IT  
2007

Ex: A DFS is performed on directed acyclic graph.

Which of the following statement is always true for all edges  $(u, v)$  in the graph.

- (A)  $d[u] < d[v]$       (B)  $d[u] > d[v]$   
 (C)  $f[u] < f[v]$       (D)  $f[u] > f[v]$

\*  A

A C

zero

the go

( )

( )

Ex: The most efficient algo. for finding no. of connected component in an undirected graph on ' $n$ ' vertices & ' $m$ ' edges. takes the complexity.

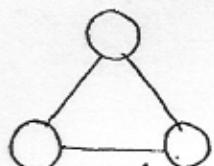
- (A)  $O(n)$  (B)  $O(m)$  (C)  $O(n+m)$  (D)  $O(nm)$

$$\downarrow \text{DFS} \longrightarrow O(V + E)$$

$\frac{n+m}{n+m}$   
Connected components

Ex: What is the largest integer ' $m$ ' such that every simple connected graph with ' $n$ ' vertices & ' $m$ ' edges contains atleast ' $m$ ' diff. spanning trees.

- (A) 1 (B) 2 (C) 3 (D)  $n$



$\Rightarrow$  We get  $m$  diff. spanning trees.

$$\text{Here, } m = 3 = n$$

edges  $n = 3$

vertices  $n = 3$

The above graph contains 3 edges & 3 vertices, we have to remove one edge of the graph, we can do this in three ways.

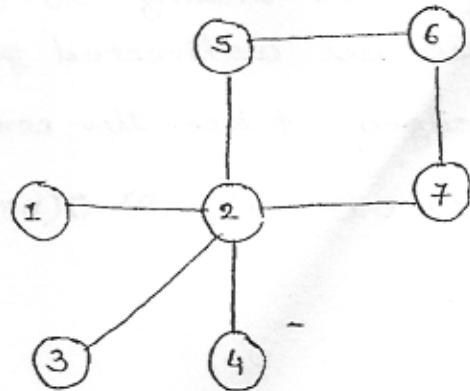
$\therefore$  For ' $n$ ' edges, we can do it in ' $n$ ' ways

$$\therefore \underline{m = n}$$

### \* Articulation Point:

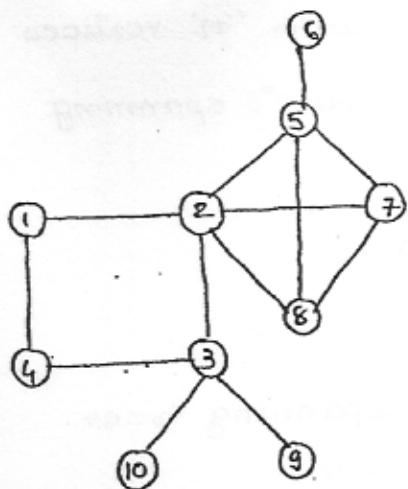
A point ' $p$ ' is called articulation point whose removal together all its edges will disconnect the graph into two or more nonempty components.

e.g.



⇒ only 2 is articulation  
geo point.

Ex: Find articulation points:

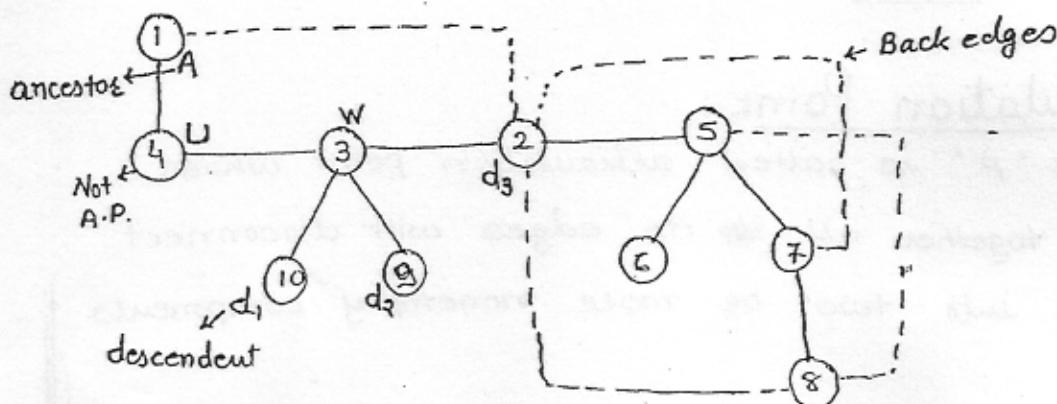


Ans: 2, 3 & 5 are  
articulation points.

→ Bi-connected : If graph has no articulation points  
then it is called as Bi-connected  
graph.

⇒ Find articulation point using DFS:

Consider above graph.



Only one connected component.

- 1). Root node of DFS tree not an articulation point.  
if it contains only one child. So, node '1' is not an articulation point (A.P.)
- 2). All leaf nodes of DFS tree are not an A.P.  
So, 6, 8, 9, 10 are not an A.P.
- 3). An internal node 'U' is said to be not an A.P. if from every child 'W' of 'U' it is possible to reach an ancestor 'U' using the path made up of descendant of 'W' & back edges.

→ Back edges: Edges which are present in the graph but not present in DFS are called back edges. shown by dotted lines.

→ Tree edges: Edges which are present in graph as well as in DFS tree are called tree edges. These are shown by thick lines.

Ex: In DFS of a graph with 'n' vertices 'k' edges are marked as tree edges. The maximum no. of connected components.

(A)  $k$  (B)  $k+1$  (C)  $n-k+1$  (D)  $n-k$

→ In the previous example  $n=10$  & tree edges = 9  
& No. of connected component is = 1.

$$\Rightarrow 10 - 9 = 1$$

### \* Job Sequencing Problem:

Find maximum profit by processing below jobs.

Job	J <sub>1</sub>	J <sub>2</sub>	J <sub>3</sub>	J <sub>4</sub>
Dead lines	2	1	2	1
Profit	100	10	15	27

- Let us consider  $n$  jobs ( $J_1, J_2, \dots, J_n$ )
- Each job having deadline  $d_i$  & if we process the job within its deadline we
- Only one job can be process at a time
- Only one CPU is available for processing all jobs.
- CPU can take only one unit of time for processing any job.
- All jobs arrived at same time.
- Objective of Job sequencing (JS) is process as many job as possible within its dead line & generate maximum profit.

Shortcut: If there are  $n$  jobs, possible subsets are  $2^n$ . Objective of JS. is to find the subset which generates maximum profit.

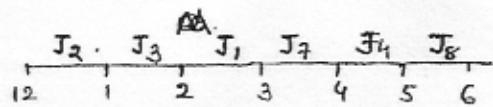
- Arrange all jobs in decreasing order of their process their profit & then process in that order within its deadline.

$$J_1 \geq J_4 \geq J_3 \geq J_2.$$

$$\langle J_1, J_4 \rangle \Rightarrow 100 + 27 = 127$$

	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$	$J_6$	$J_7$	$J_8$
deadline	6	5	6	6	3	4	4	5
Profit	10	8	9	12	3	6	11	13

$$J_8 \geq J_4 \geq J_7 \geq J_1 \geq J_3 \geq J_2 \geq J_6 \geq J_5$$



$$\text{Max. Profit} = 63$$

Ex: Linked que.

Task	T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>	T <sub>4</sub>	T <sub>5</sub>	T <sub>6</sub>	T <sub>7</sub>	T <sub>8</sub>	T <sub>9</sub>
Deadline	7	2	5	3	4	5	2	7	3
Profit	15	20	30	18	18	10	23	16	25

Q1: Are all tasks are completed?

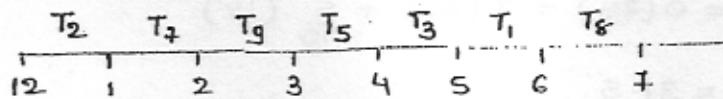
(A) All are completed    (B) T<sub>1</sub> & T<sub>6</sub> are left out

✓ (C) T<sub>4</sub> & T<sub>6</sub> are left out    (D) T<sub>1</sub> & T<sub>8</sub> are left out.

$$T_3 \geq T_9 \geq T_7 \geq T_2 \geq T_4 \geq T_5 \geq T_8 \geq T_1 \geq T_6$$

Q2: What is the maximum profit?

(A) 144    (B) 147    (C) 150    (D) 152



### \* Analysis of Job Sequencing:

- To implement J.S. we are use priority queue where priority are assigned to profits of job. so, the time complexity is O(n log n).

Ex: Find maximum profit by placing

### \* KnapSack Problem:

Find maximum profit by placing below objects into the knapsack.

Objects	O <sub>1</sub>	O <sub>2</sub>	O <sub>3</sub>
(w <sub>1</sub> , w <sub>2</sub> , w <sub>3</sub> )	18	15	10
(p <sub>1</sub> , p <sub>2</sub> , p <sub>3</sub> )	25	24	15

$$\therefore M = 20$$

Greedy about weight

$$\Rightarrow P_{\text{profit}} = 0(25) + \frac{10}{15}(24) + 1(15) \\ = 31$$

Greedy about profit

$$\Rightarrow P_{\text{profit}} = 1(25) + \frac{2}{15}(24) + 0(15) \\ = 28.5.$$

Greedy about unit weight profit

$$\Rightarrow \left. \begin{array}{l} \frac{P_1}{w_1} = \frac{25}{18} = 1.3 \\ \frac{P_2}{w_2} = \frac{24}{15} = 1.6 \\ \frac{P_3}{w_3} = \frac{15}{10} = 1.5. \end{array} \right\} \quad \left. \begin{array}{l} \frac{P_2}{w_2} \geq \frac{P_3}{w_3} \geq \frac{P_1}{w_1} \end{array} \right\}$$

$$\therefore P_{\text{profit}} = 0(25) + 1(24) + \frac{5}{10}(15) \\ = 31.5.$$

Shortcut: Arrange all unit weight profit into decreasing order & then process objects in that order without exceeding knapsack size.

→ Since we are using priority queue, where priority are assign to unit weight profit.  
So, time complexity =  $O(n \log n)$

Note: Let ' $x_i$ ' denote decision on  $i$ th object then  
 $0 \leq x_i \leq 1$  (Fractional knapsack problem).

### \* Optimal Merge Problem:

Let us consider 3 tiles  $F_1$ ,  $F_2$  &  $F_3$  with their corresponding record length 30, 10 & 20 respectively.

Since  
But  
object  
to fit  
move  
Shortcut  
at the  
select  
second  
merge  
→ HD  
P  
(30)  
Q  
F<sub>1</sub>    F<sub>2</sub>  
\_\_\_\_\_  
F<sub>2</sub>    F<sub>3</sub>  
\_\_\_\_\_  
F<sub>3</sub>    F<sub>1</sub>  
I  
40  
F<sub>1</sub>  
(30)  
C  
G  
In (A)  
In (B)  
In (C)

Since  $n = 3$ , we can arrange in  $n! = 3!$   
 $\Rightarrow 6$  ways

But merging can be done in  $\frac{n!}{2}$  ways  $\Rightarrow \frac{3!}{2} = 3$  ways.

objective of OMP is out of  $\frac{n!}{2}$  way, we have to find the weight with least no. of second movement.

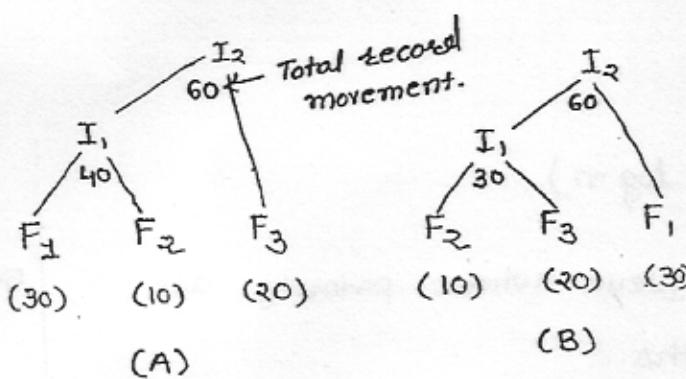
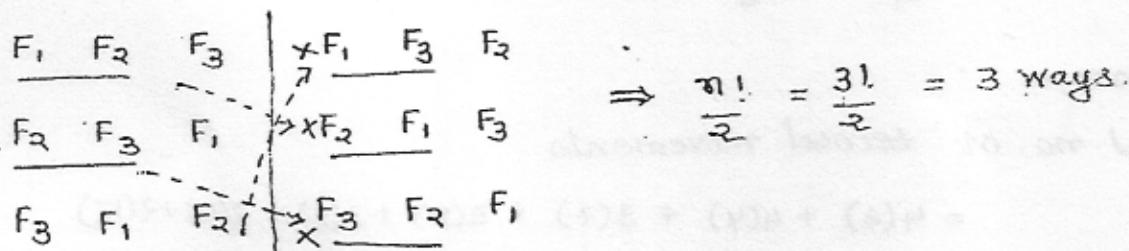
Shortcut: Arrange all tiles in the increasing order of their record length and in each iteration select two tiles which are having least no. of records and merge them into single tile.

Continue the process until all tiles are merged.

$\rightarrow$  Here,  $n = 3$

$F_1$        $F_2$        $F_3$   
(30)      (10)      (20)

$$3! = 6 \text{ ways}$$



In (A) Total no. of recorded movements = 100

$E_{\text{in}} \text{ (B)} = 90$

Im. (c)      u      v      w      x

Ex: Find least no. of record movement.

\* Held

$F_1$	$F_2$	$F_3$	$F_4$	$F_5$	$F_6$	$F_7$
4	8	15	3	7	9	10

→ Objec

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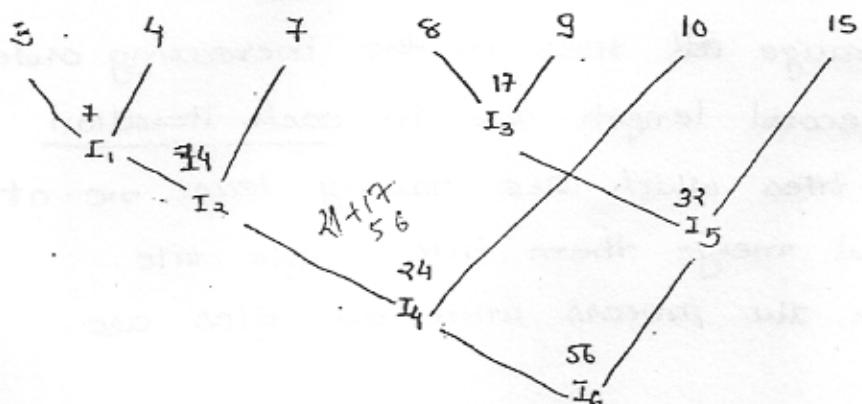
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- (A) 150    (B) 171    (C) 169    (D) 170.

$$F_4 \leq F_1 \leq F_5 \leq F_2 \leq F_6 \leq F_7 \leq F_3$$



Note: Total no. of record movements =  $\sum_{i=1}^n d_i q_i$

where,  $d_i$  = distance from root to  $i^{th}$  file.

$q_i$  = length of  $i^{th}$  file.

For above.

Total no. of record movements

$$= 4(3) + 4(4) + 3(7) + 3(5) + 3(9) + 2(10) + 2(15)$$

$$= 150$$

⇒ Analysis:

- Time complexity =  $O(n \log n)$ .
- We are using priority queue where priority are assigned to records lengths.

Step-1:

## \* Huffman Encoding:

→ Objective of H.E. is encode letters with least no. of bits. Let us consider a E-mail application which contains letter a,b,c,d,e with corresponding frequency 10, 20, 4, 15, 6 respectively.

→ Thereto~~r~~ there are 5 letters to encode each letter we need atleast 3-bits.

$$\text{So, total no. of bits required} = (10+20+4+5+6) \times 3 \\ = 165$$

No. of bits      No. of letters to be encoded.

1       $\begin{cases} 0 & - a \\ 1 & - b \end{cases}$

2       $\begin{cases} 00 & - a \\ 01 & - d \\ 10 & - c \\ 11 & - b \end{cases}$

3       $\begin{cases} 000 & - e \\ 001 & - \\ 010 & - \\ 011 & - a \\ 100 & - e \\ 101 & - h \\ 110 & - c \\ 111 & - d \end{cases}$

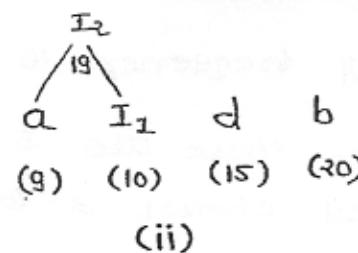
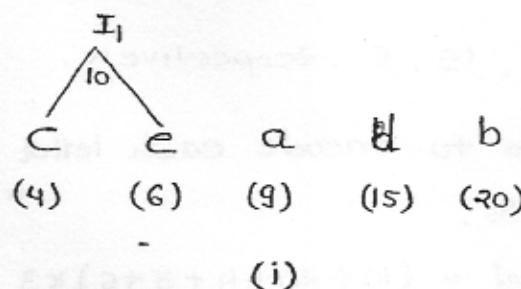
$(10+2(15))$

Step-1: Arrange all letters in the increasing order of their frequencies & then in each iteration construct binary tree by assigning 1<sup>st</sup> least frequency letter as left child & 2<sup>nd</sup> least freqn. letter as a right child.

Step-2: After constructing binary tree from root to leaf path every left branch is assign with 0 & right branch is assign with 1.

→ In

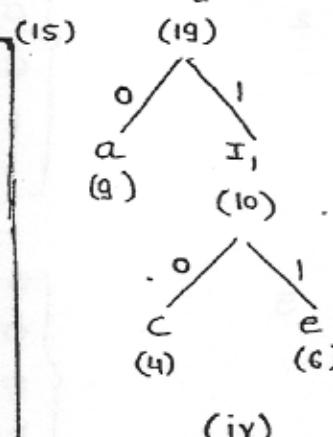
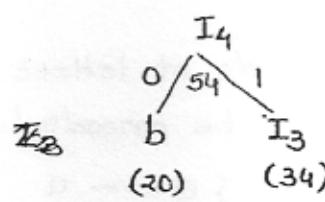
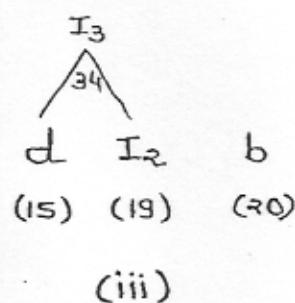
bit



\* Mul

Or

V



	$d_i$	$q_i$	
a	1	10	$= 3 \times 9 = 27$
b	0		$= 1 \times 20 = 20$
c	1	110	$= 4 \times 4 = 16$
d	1	0	$= 2 \times 15 = 30$
e	1	111	$= 4 \times 6 = 24$
			117 bits.

Note:

$$\rightarrow \text{Total no. of bits required} = \sum_{i=1}^n d_i q_i$$

where,  $d_i$  = distance from root to  $i^{th}$  letter

$q_i$  = freq. of  $i^{th}$  letter.

Greedy  
decision

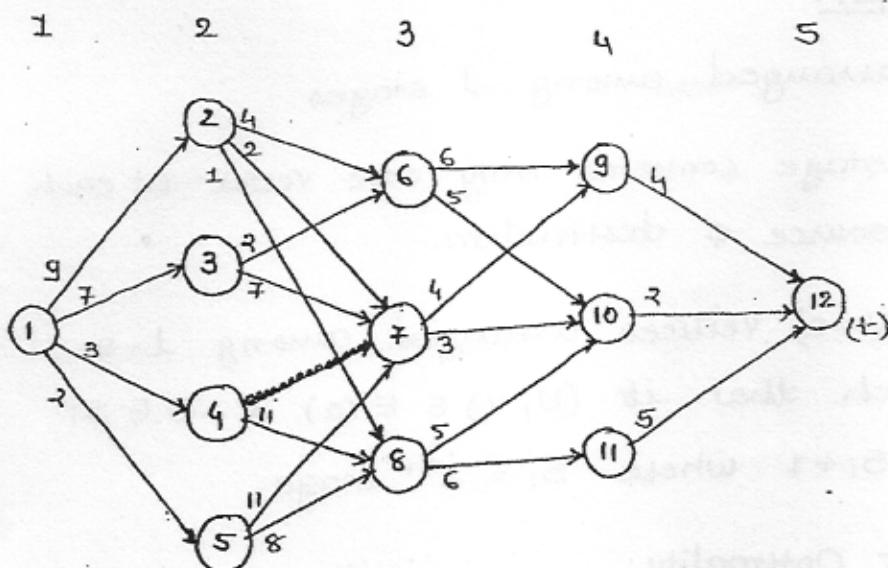
Dynamic  
decision

→ In H.E. more frequently occurring letter is encoded with least no. of bits.

## Dynamic Programming

### \* Multistage Graph:

Find shortest path distance cost from the vertex 1 to vertex 12.



If we apply greedy method we get path

$$1 - 5 - 8 - 10 - 12 = \text{cost} = 17.$$

Since there exists another path whose cost is minimum then the greedy method cost. So, greedy method fails to evaluate shortest path cost in multistage graph.

i.e.  $1 - 2 - 7 - 10 - 12 = 16 \leftarrow$  least then greed.

Greedy Method: It always gives stage wise optimal decision which looks good at that movement only.

Dynamic Program: It provide series of optimal decisions which look good for future purpose.

## Multistage Graph:

~~1)  $V$  vertices arranged among ' $l$ ' stages.  
 1<sup>st</sup> & last vertices stage contains only 1 vertex  
 at each~~

~~X Remaining  $(V-2)$  vertices arranged among  $l-2$   
 stages such that~~

### Multistage graph:

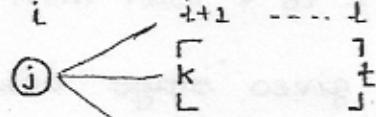
1. 'V' vertices arranged among 1 stages.
  2. 1<sup>st</sup> & last stage contains only one vertex at each known as source & destination.
  3. Remaining  $(V-2)$  vertices arranged among 1-2 stages such that if  $(U, V) \in E(a)$  &  $U \in S_i$  then  $V \in S_{i+1}$  where  $S_i = i^{\text{th}}$  stage.

### \* Principle Of Optimality:

"Whatever initial state decision you are, remaining sequence of decision must constitute an optimal solution with regard to starting state decision."

$c(i,j)$  = Cost of an edge b/w 'i' and 'j'

$\text{cost}(i, j)$  = Minimum cost of path from vertex 'j' in stage 'i' to the destination 't'.



$$\text{cost}(i, j) = \min \{ c(j, k) + \text{cost}(i+1, k) \}$$

where  $\langle j, k \rangle \in E(G)$

$A^0$	1	Q
1	0	○
2	6	○
·	3	α

From the previous graph:

$$\text{cost}(1,1) = \min \left\{ \begin{array}{l} c(1,2) + \text{cost}(2,2) \\ c(1,3) + \text{cost}(2,3) \\ c(1,4) + \text{cost}(2,4) \\ c(1,5) + \text{cost}(2,5) \end{array} \right\} = \text{cost } 16 \quad (\text{Minimum sum}).$$

$$\text{cost}(2,2) = \min \left\{ \begin{array}{l} c(2,6) + \text{cost}(3,6) \\ c(2,7) + \text{cost}(3,7) \\ c(2,8) + \text{cost}(3,8) \end{array} \right\} = \text{cost } 7$$

$$\text{cost}(2,3) = \min \left\{ \begin{array}{l} c(3,6) + \text{cost}(3,6) \\ c(3,7) + \text{cost}(3,7) \end{array} \right\} = 9$$

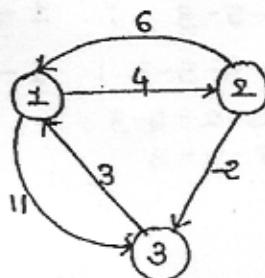
$$\text{cost}(2,4) = \min \left\{ c(4,8) + \text{cost}(3,8) \right\} = 18$$

$$\text{cost}(2,5) = \min \left\{ \begin{array}{l} c(5,7) + \text{cost}(3,7) \\ c(5,8) + \text{cost}(3,8) \end{array} \right\} = 15$$

→ Time complexity of multistage graph using adjacency list is  $O(V+E)$ .

### \* All pairs Shortest Path:

Find shortest path distance b/w every pair of vertices.



$A^0$	1	2	3
1	0	4	11
2	6	0	2
3	3	∞	0

$A^1$	1	2	3
1	0	4	11
2	6	0	2
3	3	7	0

$A^2$	1	2	3
1	0	4	6
2	6	0	2
3	3	7	0

$A^3$	1	2	3
1	0	4	6
2	5	0	2
3	3	7	0

$$A^1(2,3) = \min \{ 2 - 1 - 3, 2 - 3 \} = 2$$

e.g.

$$A^1(3,2) = \min \{ 3 - 1 - 2, 3 - 2 \} = 4$$

$$A^2(1,3) = \min \{ 1 - 4 - 2 - 3, 1 - 3 \} = 6$$

$$A^2(3,1) = \min \{ 3 - \infty - 2 - 1, 3 - 1 \} = 3$$

$$A^3(1,2) = \min \{ 1 - 3 - \infty - 2, 1 - 4 \} = 4$$

$$A^3(2,1) = \min \{ 2 - 3 - 1, 2 - 6 \} = 5$$

case ①

case ②:

→ It's

→ Time Complexity:

Since we are using  $(n+1)$  vertices & each matrix contain  $n^2$  elements. so time complexity is bounded by  $O(n^3)$ .

Since

min

O

So

→ Notation:

$A^k(i,j)$  = shortest path distance b/w 'i' and 'j' in the graph whose highest intermediate vertex is 'k'.

→ Notat

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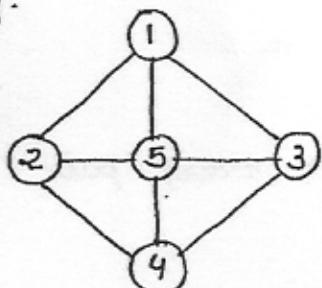
O

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e.g.



$$A^k(i,j) = \min \{ A^{k-1}(i,k) + A^{k-1}(k,j), A^{k-1}(i,j) \}$$

$$A^5(1,3) = \min \begin{cases} 1-5-3 : \\ 1-2-5-3 ; & 1-2-4-3 \\ 1-2-4-5-3 ; & 1-3 \\ 1-5-2-4-3 : \\ 1-5-4-3 , \end{cases}$$

\* Travelling Salesman (TSP):

e.g.

Find minimum cost of tour from city 1, visiting all cities available in the graph and return to home town V<sub>0</sub>.

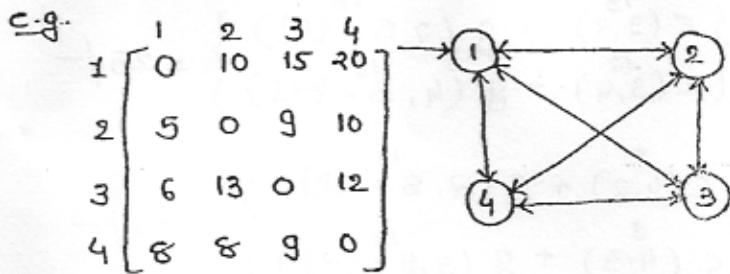
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case - 1 :  $\textcircled{1} \rightarrow \text{(visit)} \rightarrow \textcircled{1}$

case - 2 : (start from any city)  $\rightarrow \text{(visit)} \rightarrow \textcircled{1}$

$\rightarrow$  If we apply greedy method we get path,

$$\textcircled{1} \rightarrow \textcircled{2} \rightarrow \textcircled{3} \rightarrow \textcircled{4} \rightarrow \textcircled{1} = 39/-$$

Since there exists another path whose cost is minimum then greedy method cost that is.

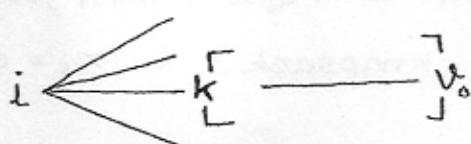
$$\textcircled{1} \rightarrow \textcircled{2} \rightarrow \textcircled{4} \rightarrow \textcircled{3} \rightarrow \textcircled{1} = 35/-$$

So, greedy method fails to evaluate TSP.

$\rightarrow$  Notation:

g(i, s) = Min. cost of tour from city 'i' visiting all cities available in 's' and return to starting (Home) city  $v_0$

$$(i = v_0 \text{ (case-1)} \& i \neq v_0 \text{ (case-2)})$$



$$g(i, s) = \min_{\langle i, k \rangle \in E(G)} \{c(i, k) + g(k, s - \{k\})\}$$

E.g.

$$g(1, s = \{2, 3, 4\}) = \min \left\{ \begin{array}{l} c(1, 2) + g(2, s = \{3, 4\}) \\ c(1, 3) + g(3, s = \{2, 4\}) \\ c(1, 4) + g(4, s = \{2, 3\}) \end{array} \right\} = 35/-$$

$$g(2, s = \{3, 4\}) = \min \left\{ \begin{array}{l} c(2, 3) + g(3, s = \{4\}) \\ c(2, 4) + g(4, s = \{3\}) \end{array} \right\} = 25/-$$

$$g(3, S = \{2, 4\}) = \min \left\{ \begin{array}{l} C(3, 2) + g(2, S = \{4\}) \\ C(3, 4) + g(4, S = \{2\}) \end{array} \right\} = 25/-$$

$$g(4, S = \{2, 3\}) = \min \left\{ \begin{array}{l} C(4, 2) + g(2, S = \{3\}) \\ C(4, 3) + g(3, S = \{2\}) \end{array} \right\} = 23/-$$

$\frac{11}{3} - 2 = 1$

### \* 0/1 Knapsack:

Find maximum profit by placing below objects into knapsack.

Objects	$O_1$	$O_2$	$O_3$
$w_1, w_2, w_3$	2	3	4
$p_1, p_2, p_3$	1	2	5

$$M = 6$$

→ Let us consider  $n$  objects  $O_1, O_2, \dots, O_n$  with their corresponding weight  $w_1, w_2, \dots, w_n$  & profits  $p_1, p_2, \dots, p_n$  respectively.

→ Let  $x_i$  denote decision on  $i^{\text{th}}$  object. then  $x_i = 1$  (if we place it in to the knapsack) &  $x_i = 0$  (if we ignore it).

→ Since each object takes '2' decisions, and there are ' $n$ ' objects.

So total no. of decisions are  $2^n$  decisions.

→ Time complexity of 0/1 knapsack problem bounded by  $O(2^n)$  (approximately).

→ Notation:

$t_n(M)$  = Maximum profit obtained by filling knapsack with the objects, which are

Selected from  $n$ -objects.

$$t_n(m) = \max \{ t_{n-1}(m) + 0, t_{n-1}(m - w_i) + p_i \}$$

Ex: The subset-sum problem is defined as follows.

For given set of  $n$  (+ve integers)  $\{a_1, a_2, \dots, a_n\}$ ,

Is there any subset whose element sum to ' $w$ '?

To solve this problem, Dynamic program uses

a Two-dimensional boolean array  $x[i, j]$ , where  
 $1 \leq i \leq n, 0 \leq j \leq w$ .

$x[i, j]$  is true iff there is a subset  $\{a_1, a_2, \dots, a_i\}$   
 whose element sum to 'j'.

Q1: Which of the following is true?

(A)  $x[i, j] = x[i, j - a_i] \vee x[i-1, j]$

(B)  $x[i, j] = x[i-1, j - a_i] \vee x[i-1, j - a_i]$

(C)  $x[i, j] = x[i, j - a_i] \wedge x[i-1, j]$

(D)  $x[i, j] = x[i-1, j - a_i] \wedge x[i-1, j - a_i]$

Q2: Which entry of the following is true implies  
 that there is a subset whose element  
 sum to  $w$ ?

(A)  $x[n, w]$       (B)  $x[n-1, n]$

(C)  $x[n, 0]$       (D)  $x[1, w]$

Ans-1:  $t_n(m) = \max \{ t_{n-1}(m) + 0, t_{n-1}(m - w_i) + p_i \}$

$\uparrow$   
or ( $\Delta V$ )

So, (C) & (D) is not correct.

$$\frac{n^2}{2} \rightarrow C_2$$

## Sorting Technique (Continue...)

### \* Bubble Sort:

Sort the following 90, 30, 10, 20, 70

	Before	After	
$i=1$ (Pass 1)			
$j=1$	90 30 10 20 70	30 90 10 20 70	
$j=2$	30 90 10 20 70	30 10 90 20 70	
$j=3$	30 10 90 20 70	30 10 20 90 70	
$j=4$	30 10 20 90 70	30 10 20 70 90	

$i=2$  (Pass 2)

$j=1$	30 10 20 70	10 30 20 70	No.
$j=2$	10 30 20 70	10 20 30 70	
$j=3$	10 20 30 70	10 20 30 70	Total

Time

No. of iteration	No. of comparision	No. of elements sorted.
1	$n-1$	1
2	$n-2$	1
⋮	⋮	⋮
$n-1$	1	$\frac{2}{n}$
$\text{Total} = \frac{n(n-1)}{2}$		

$$\text{No. of comparision} = \frac{n(n-1)}{2}$$

$$= n^2 - n = n^2$$

\* Se  
→ InD

eleC

\* Se  
→ InO  
srf

So.

iQ

Q

$\lceil \frac{n^2}{2} \rceil \rightarrow$  if ( $a[i] > a[i+1]$ )  
 {  
 swap ( $a[i], a[i+1]$ )  
 }  
 Swap ( $a[i], a[i+1]$ )  
 {  
 temp =  $a[i]$ ;  
 $a[i] = a[i+1]$ ;  
 $a[i+1] = \text{temp}$ ;  
 }

Since  $\frac{n^2}{2}$  comparisons. By using probabilistic analysis we can take  $\frac{1}{2}$  (comparisons) are true.

$$\begin{aligned}\text{No. of swapping} &= \frac{1}{2} \left( \frac{n^2}{2} \right) \\ &= \frac{n^2}{4}\end{aligned}$$

$$\text{Total time for swap} = 3 * \frac{n^2}{4}$$

$$\begin{aligned}\text{Time complexity for bubble sort} &= \text{Time reqd. for compar.} \\ &\quad + \text{Time reqd. for swap.} \\ &= \frac{n^2}{2} + \left( 3 * \frac{n^2}{4} \right) \\ &= O(n^2)\end{aligned}$$

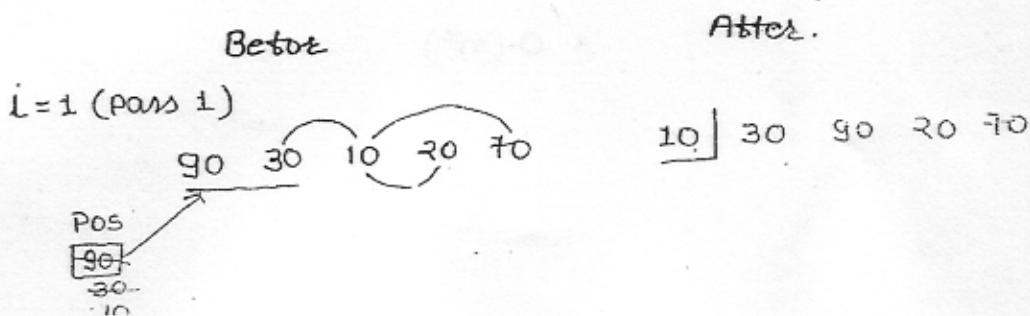
#### \* Sort

→ In bubble sort each iteration replace largest element in its proper place.

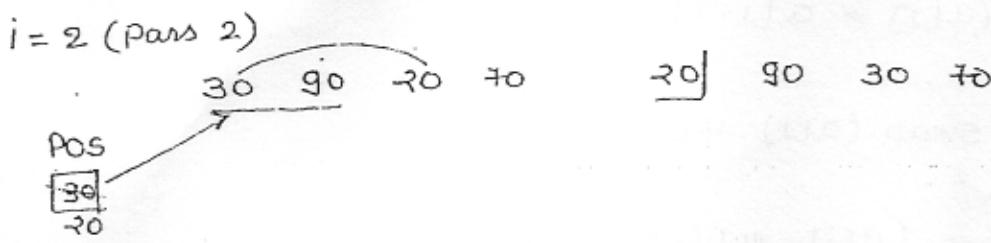
#### \* Selection Sort:

→ In selection sort in each iteration we place smallest element in its proper position.

Sort the following 90, 30, 10, 20, 70.



\*  $\frac{N^2}{2}$   
 In  
 problem



i = 3 (Pass 3)



i = 4 (Pass 4)



pos



No. of iteration	No. of Comparison	No. of elements sorted	No. of swaps
1	$n - 1$	1	1
2.	$n - 2$	1	1
⋮	⋮	⋮	⋮
$n - 1$	1	$\frac{2}{n}$	$\frac{1}{n-1}$

$$\text{No. of comparison} = \frac{n(n-1)}{2}$$

$$= \frac{n^2}{2} - \frac{n}{2} = \frac{n^2}{2}$$

Time complexity of S.S. = Time reqd. for comparison +  
 Time reqd. for swapping.

$$= \frac{n^2}{2} + 3 * (n-1)$$

$$= O(n^2)$$

## \* NP - Completeness

In theory of computation there are two types of problem. (i) P-class & (ii) NP-class.

<u>Algorithm</u>	<u>Time Complexity</u>
fact(n)	$O(n)$
fib(n)	$O(2^n)$
Height of CBT	$O(\log_2 n)$
Binary Search	$O(\log_2 n)$
Seq <sup>n</sup> . search	$O(n)$
Heap tree	$O(n \log n)$
Delete an element from heap	$O(\log n)$
Heap sort	$O(n \log n)$
Insert element into heap tree	$O(\log n)$
Bubble sort	$O(n^2)$
Selection sort	$O(n^2)$
Insertion sort	$O(n) \rightarrow O(n^2)$
Merge sort	$O(n \log n)$
Quick sort	$O(n \log n) \rightarrow O(n^2)$
Job sequencing Knapsack Huffman coding Optimizing pattern	Priority Queue $O(n \log n)$
Prim's	$O(n^2)$ (using matrix) $O((V+E) \log V)$ (using heap)
Kruskals	$O(E \log E)$
DSSSP	$O(n^2)$ (using matrix)

DFS, BFS  $O(V+E)$  ( $\because$  Adjacency list)

Finding connected component  $O(V+E)$  ( $\because$  DFS)

Multistage graph  $O(V+E)$  ( $\because$  Adjacency list)

$\frac{NP\text{-Clc}}{P_0}$

All pairs shortest path  $O(n^3)$  ( $\because (n+1)$  matrix)

using

0/1 knapsack  $O(2^{V/2})$  (approximately)

$NP - O$   
→ Hor

TSP  $O(n^2 2^n)$  (approximately)

$NP$

### P-class:

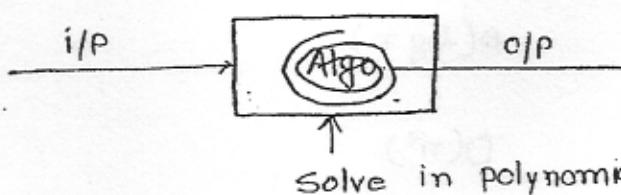
Problems which can be solved in polynomial time  
is called P-class problem.

1. Th

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Algorithm listed above accept 2, 26, 27 are comes under P-class problem.

length



Solve in polynomial time.

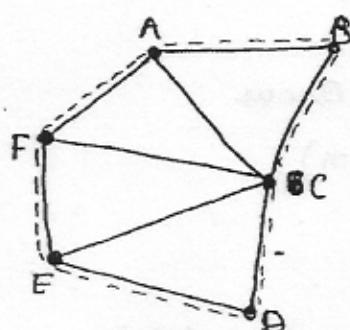
2. Po

are

i.e. if

### → Hamiltonian Cycle:

If hamiltonian cycle in a graph G is a cycle which spans all vertices of the graph.



→ Since  
time

Note:

prob

also

\* Redu

Let

Problem  
it is

### → Hamiltonian Path:

It is a path of length  $n-1$  which spans all vertices of the graph. where  $n$  is no. of vertices.

$$AB - BC - CD - DE - EF = \text{length} \\ = n - 1$$

### NP-class:

Problems which can be verified in polynomial time using non-deterministic turing m/c are called

NP-class problem

→ How do we prove whether the problem belongs to NP-class or not.

### Prover

### Verifier

1. The above graph contain  
Hamiltonian path of  
length 5

How do you say?

2. Pass all edges which  
are forming H. path  
i.e. AB - BC - CD - DE - EF

Now verifier verifies in  
 $O(n-1) = O(n)$   
and gives reply as 'yes'.

→ Since hamiltonian path problem verified in polynomial time. So, it is called as NP-class problem.

### Note:

problems which can be solved in polynomial time  
also verified in polynomial time. i.e.  $P \subseteq NP$ .

### \* Reducibility:

Let us consider two problems  $\Pi_1$  &  $\Pi_2$ .

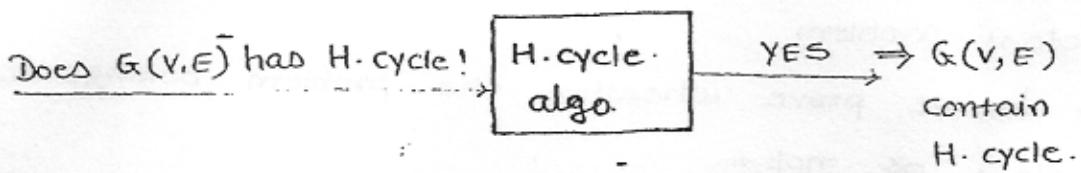
Problem  $\Pi_2$  is said to be reducible to problem  $\Pi_1$ ,  
if it is possible to solve  $\Pi_2$  using alg. from of  $\Pi_1$ .

It is denoted by  $\alpha$ .

e.g.  $\Pi_2 \alpha \Pi_1$

$\Pi_1$  : Does  $G(V, E)$  has hamiltonian cycle?

$\Pi_2$  : Does  $G(V, E)$  has hamiltonian path



Since H-path problem solved using algorithm of

H-cycle, so we can say  $\Pi_2 \alpha \Pi_1$ .

NP - So

### NP-Hard:

A problem  $\Pi$  is called NP-hard if (Assume) it is possible to solve in polynomial time then every problem in NP is also solved in polynomial time.

or

Problems which can not be solved in polynomial

times is called NP-hard problem.

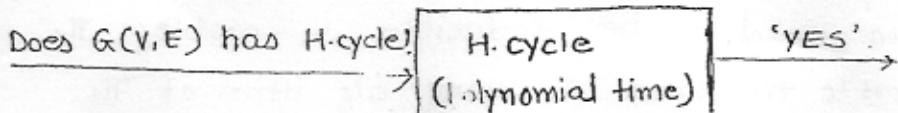
→ How do we prove that problem is NP-hard or not?

Step-1: Assume that problem  $\Pi$  can be solved in polynomial time.

Step-2: Using step-1 if we can prove that there is a polynomial algo. for NP-hard problem then our assumption is false. So,  $\Pi$  can not be solved in polynomial time  $\Rightarrow \Pi$  is NP-hard.

e.g. Prove that H-cycle is NP-hard!

Step-1: Assume that there is a polynomial time algo for solving H-cycle.



'YES' means  $G(V, E)$  has hamiltonian cycle and it is solved in polynomial time.

Since we know that if  $G(V, E)$  has H-cycle then it contains H-path.

$\therefore$  H-path problem solved in polynomial time which contradicts to that H-path can not be solved in polynomial time.

$\therefore$  Our assumption is wrong.

$\therefore$  There is no polynomial time algo to H-cycle.

$\therefore$  H-cycle is NP-hard.

### NP-Complete:

A problem ' $\Pi$ ' is called NP-complete iff,

(i)  $\Pi \in NP$

(ii)  $\Pi$  is NP hard.

### Defn. (SAT)

#### SATISFIABILITY:-

(1) Let  $x_1, x_2, \dots, x_n$  are boolean variables which takes either '0' or '1'

(2) Let  $C_1, C_2, \dots, C_n$  are clauses which obtained by using literals  $x_i$ 's

$$\text{e.g. } C_1 = x_1 \vee x_2$$

$$C_2 = \bar{x}_1 \vee x_2$$

$$C_3 = x_1 \vee \bar{x}_2$$

(3) Let  $F = C_1 \wedge C_2 \wedge C_3 \dots \wedge C_n$  is called

boolean calculus formula. (CNF - Conjunctive normal formula)

Equation (\*) is said to be in satisfiability iff there exists an assignment to each  $x_i$ 's such that 'F' is true.

$$\text{e.g. } F = C_1 \wedge C_2 \wedge C_3$$

$$= (\bar{x}_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2) \wedge (x_1 \vee \bar{x}_2)$$

$$= 1 \wedge 1 \wedge 1$$

$= 1$  [Since  $x_1 = 1$  &  $x_2 = 1$ . we have  $F = 1$   
So, F is satisfiability]

→ In 2-SAT problem each class is obtained by using 2 literals & in 3-SAT problem each class is obtained by using 3-literals.

→ In SAT problem each class is obtained by using n-literals.

### \* Cook's Theorem:

SAT is NP-complete.

i.e. SAT  $\in$  NP and SAT is NP-hard.

Proof:

1. Since we can verify SAT problem in polynomial time, so, SAT is in NP-class.

2. Since SAT problem each class contains n-literals & each literal has two decisions then the total no. of decision bounded by  $O(2^n)$ . So, it is NP-hard problem.

Note:

2-SAT problem can be solved in polynomial time so, it belongs to 'P' class. However, 3-SAT,

4-SAT & SAT problem cannot be solved in polynomial time. so these are called as NP-hard problem.

Note:

→ Hard  
time  
in p  
Fun

(i) SAT  
(NP-H)

(ii) Clique  
(NP-H)

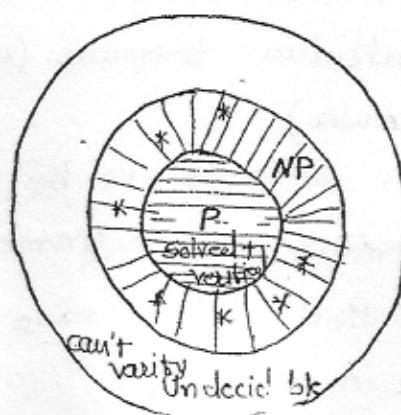
(iii) Indepen  
(NP-H)

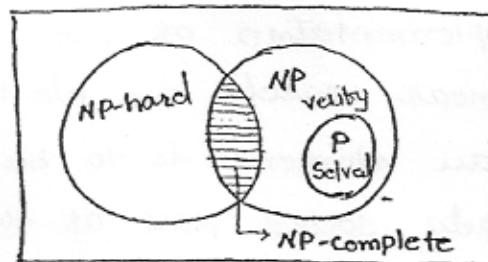
Form

(i) NP

(ii) NC

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Note: Give an example for NP-hard problem which is not in NP.

→ Halting problem can not be solved in polynomial time, so it is not in NP. Since it can be solved in polynomial time, so it is NP-hard.

Fundamentals of algo by Horowitz - page 326. ↑

(i) SAT  $\leq_p$  Clique  $\Rightarrow$  clique is NP-hard.  
 $(NP\text{-hard}) \quad (Unknown)$

(ii) Clique  $\leq_p$  Independent set  $\Rightarrow$  Independent set is NP-hard  
 $(NP\text{-hard}) \quad (Unknown)$

(iii) Independent set  $\leq_p$  Vertex cover  $\Rightarrow$  Vertex cover is NP-hard.  
 $(NP\text{-hard}) \quad (Unknown)$

From all the above we conclude that.

(i) NP-hard  $\leq_p$  Unknown  $\Rightarrow$  Unknown is NP-hard.

(ii) NP-hard  $\leq_p$  Unknown  $\Rightarrow$  NP complete.  
 is  
 in NP

Ex: RAM & SHYAM have been asked to show that a certain problem  $\pi$  is NP complete. RAM shows that a polynomial time reduction from 3-SAT problem to  $\pi$ .

SHYAM shows that a polynomial time reduction from  $\pi$  to 3-SAT.

Which of the following is true?

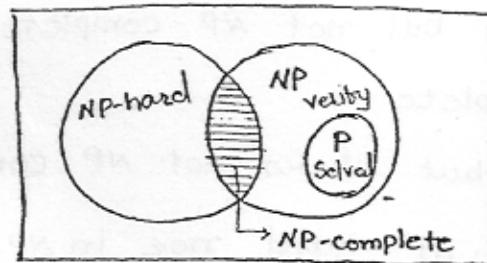
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Ex: Usual  $O(n^2)$  implementation of I.S. to sort an array uses linear search to identifying the position where an element is to be inserted into the already sorted part of the array. If instead we use binary search to identifying the position the worst case summing time will be

- (A) Remains  $O(n^2)$
- (B) Becomes  $O(n \log n)$
- (C) Becomes  $O(n)$
- (D) Becomes  $O(n(\log n)^2)$

$$\text{Seq. search} = O(n^2 + n)$$

$$\text{Binary search} = O(n^2 + \log n) = O(n^2)$$



Note: Give an example to an NP-hard problem which is not in NP.

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 is  
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2003

Ex: RAM & SHYAM have been asked to show that a certain problem  $\Pi$  is NP complete. RAM shows that a polynomial time reduction from 3-SAT problem to  $\Pi$ .

SHYAM shows that a polynomial time reduction from  $\Pi$  to 3-SAT.

Which of the following is true?

(A)  $\Pi$  is NP-hard but not NP-complete.

PQ

(B)  $\Pi$  is NP-complete.

 (C)  $\Pi$ 

(C)  $\Pi$  is in NP but it is not NP complete.

(D) TR

(D)  $\Pi$  is neither NP-hard nor in NP.

→ RAM : 3-SAT  $\leq_p \Pi \Rightarrow \Pi$  is NP-hard.  
(NP-hard) (Unknown)

SHYAM :  $\Pi \leq_p$  3-SAT  $\Rightarrow$  Wrong.  
(Unknown) (NP-hard) [ $\because$  NP-hard can't solve  
in P.T.]

 Ex: wt

2006  
Ex: Let 'S' be NP-complete problem. 'Q' & 'R' are  
two other problems not known to be in NP.

 (A) (B) (C) (D)

$$Q \leq_p S, S \leq_p R$$

Which of the following is true.

(A) R is NP-hard.

(B) R is NP-complete.

(C) Q is NP-hard.

(D) Q is NP-complete.

 Prove Det^n: Q AC clique an

→  $Q \leq_p S$   
(Unknown) (NP-complete)

$S \leq_p R \Rightarrow R$  is NP-complete.  
(NP-complete) (Unknown)

2004

Ex: 2-SAT & 3-SAT problem.

Ans: P & NP-complete problem respectively

Ex: Let  $T_A$  be a problem that belongs to class NP.

Which of the following is true.

(A) There is no polynomial time algorithm for  
solving  $T_A$ .

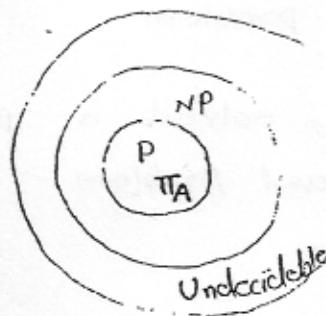
(B) It  $T_A$  can be solved in deterministic time in

 even

polynomial time then  $P = NP$ .

- (C) If  $\Pi_A$  is NP-hard then it is NP-complete.
- (D)  $\Pi_A$  may be undecidable.

→



+ solve

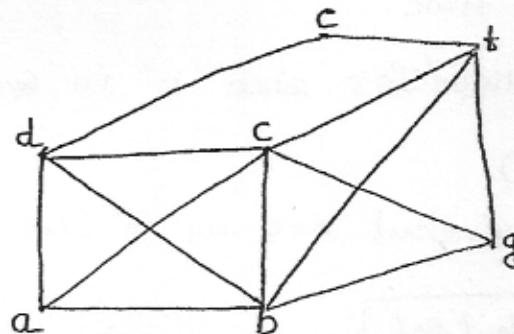
Ex: Which of the following is not NP-hard.

- (A) Hamiltonian Cycle.
- (B) 0/1 knapsack problem
- (C) Graph coloring problem.
- (D) Finding biconnected component.  
No articulation point  
↓  
Use DFS tree

Prove that independent set is NP-complete:

Defn: (clique):

A subset  $U$  of  $V$  in  $G(V, E)$  is said to be clique of size ' $k$ ' iff  $\forall u_1, u_2 \in U$  there exists an edge in  $G(V, E)$ .



$$V = \{a, b, c, d, e, f, g\}$$

$U = \{a, b, c, d\} \rightarrow$  is a clique in size 4.

every vertex in  $U$  is connected.

Note:

1. Since clique problem can be solved in polynomial time,  
i.e.  $O(k)$ , where  $k$  is size of the clique.  
So, clique is NP class problem.

2. Since clique cannot be solved in polynomial time,  
so it is called NP-hard problem.  
 $\therefore$  clique is NP-complete.

\* Independent Set:

A sub set  $U$  of  $V$  in  $G(V, E)$  is said to be an independent set of size  $k$  iff  $\forall u_1, u_2 \in U$  there does not exists an edge in  $G(V, E)$ .

Consider above graph.

$$U = \{a, g, e\} \rightarrow \text{Independent of size 3.}$$

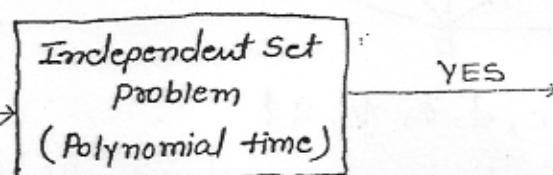
Since independent set problem can be solved in polynomial time i.e.  $O(k)$ , where  $k$  is size of independent set.

$\therefore$  So, it belongs to NP-class.

Prove that Independent Set is NP-hard.

1. Assume that independent set problem can be solved in polynomial time.
2. Let us consider a clique  $U$  of size ' $k$ ' in  $G(V, E)$ .
3. Now construct  $G^c(V, E)$ .  
i.e. ' $U$ ' is an independent set in  $G^c(V, E)$ .

Does  $G^c(V, E)$  has a independent set  $U$ ?



'YES' means independent set problem ' $U$ ' in  $G(V, E)$  solved in polynomial time.

Clique ' $U$ ' in  $G(V, E)$  solved in polynomial time which is contradictory to clique is NP-hard.

$\therefore$  Our assumption is wrong.

$\therefore$  Independent set can not be solved in Polynomial time.

$\therefore$  Independent is NP-hard.

## \* Insertion Sort:

Sort the following element. 2, 18, 12, 14, 15, 3

### Objective:

Insert an element among the sorted part of the array.

Best Case: If the array is already sorted, to insert an element it requires  $n$  comparisons.

$$\begin{aligned} &\Rightarrow h+1 \\ &\Rightarrow n \log_2 n \\ &= n \log_2 n \end{aligned}$$

Average Case: If the array is randomly distributed then to insert an element we have to perform to task.

$$= O(n^2)$$

Task-1: Arrange element in the increasing order using  $O(n^2)$  time.

Task-2: Insert element among sorted part of the array using  $O(n)$  time.  
 $\therefore$  Total time =  $O(n^2+n)$  .....  
 $= O(n^2)$

Worst case: If the array elements are in the decreasing order, then it is called worst case.

Ex: 2007  
Worst case

To insert an element we have to perform to task which are described in average case.

Ex:

## \* Merge Sort:

Sort the following array.

1	2	3	4	5	6	7	8
90	25	24	32	14	26	42	76

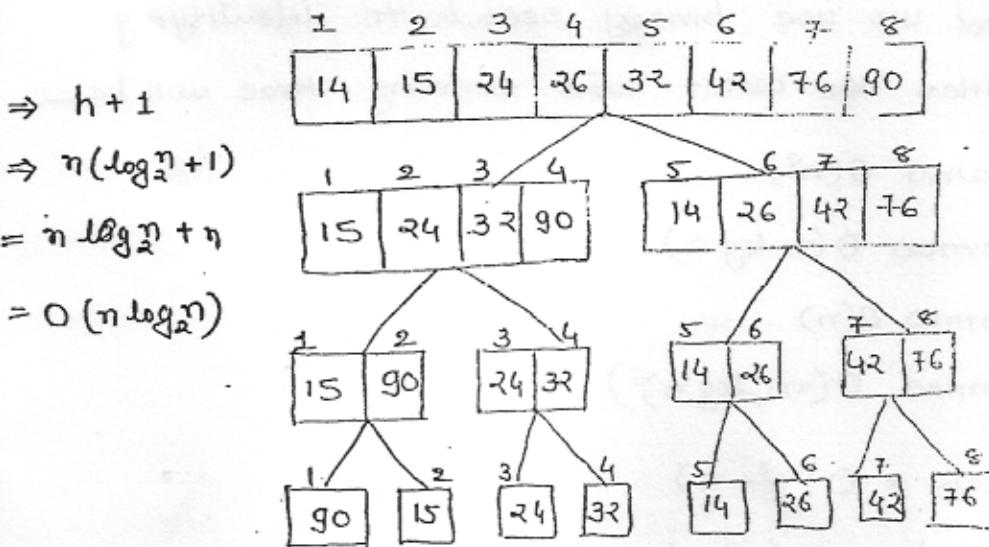
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→ If the primary storage device (i.e. RAM) doesn't provide enough space to sort all element then

the array is splitted into two equal parts.

In such a case we use merge sort algorithm.

→ To implement merge sort we use auxiliary array of same size. So the space complexity  $O(n)$ .



$$\begin{aligned}
 T(n) &= T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + O(n) \\
 &= 2T\left(\frac{n}{2}\right) + O(n) \quad \xrightarrow{\text{Time required for merging elements.}} \\
 &= O(n \log n)
 \end{aligned}$$

Ex: Which of the following sorting algo. has lowest worst case time complexity.

- (A) M.S. (B) Q.S. (C) S.S. (D) B.S.  
 $O(n \log n)$        $O(n^2)$        $O(n^2)$        $O(n^2)$

Ex: Which of the following sorting algo. needs min. no. of swaps.

- (A) Q.S. (B) S.S. (C) I.S. (D) H.S.  
 $\frac{n}{2}$       1       $\log n$

→ In I.S. there is no concept of swapping of data, only movements of data is there.

2003  
Ex: Usual  $O(n^2)$  implementation of I.S. to sort an array uses linear search to identifying the position where an element is to be inserted into the already sorted part of the array. If instead we use binary search to identifying the position the worst case summing time will be \_\_\_\_\_

- (A) Remains  $O(n^2)$
- (B) Becomes  $O(n \log n)$
- (C) Becomes  $O(n)$
- (D) Becomes  $O(n(\log n)^2)$

$$\text{Seqn. search} = O(n^2 + n)$$

$$\text{Binary search} = O(n^2 + \log n) = O(n^2)$$