



# Smoke and Mirrors: Driver Signatures are Optional

Gabriel Landau

# whoami

Low-level Windows [reverse] engineer

Help build Elastic Endpoint Security

Detecting malware tradecraft

Attack & defense of EDR

Presented research at:

BlueHat IL

Shmoocon

Black Hat USA

Black Hat Asia

Blue, formerly red



# Chapter 1 – Windows File Sharing

More than you've ever wanted to know about sharing violations.



The Pirate Bay


# Opening Files - Access Rights

**CreateFile** - Win32 API to open or create files.

- ntdll analog is **NtCreateFile**.
- Kernel driver analog is **ZwCreateFile**.

Specify desired access rights:

- **FILE\_READ\_DATA**
- **FILE\_WRITE\_DATA**
- **DELETE**
- ...

```
HANDLE CreateFileW(  
    [in] LPCWSTR lpFileName,  
    [in] DWORD dwDesiredAccess,   
    [in] DWORD dwShareMode,  
    [in, optional] LPSECURITY_ATTRIBUTES lpSecurityAttributes,  
    [in] DWORD dwCreationDisposition,  
    [in] DWORD dwFlagsAndAttributes,  
    [in, optional] HANDLE hTemplateFile  
);
```

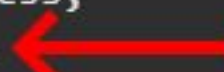
# Opening Files - Share Mode

`FILE_SHARE_READ / FILE_SHARE_WRITE / FILE_SHARE_DELETE`

"I'm okay with others reading/writing/deleting this file while I'm using it."

As file is opened:

- **DesiredAccess** is tested against **ShareMode** of all existing file handles
- **ShareMode** is tested against **GrantedAccess** of all existing file handles

```
HANDLE CreateFileW(  
    [in]          LPCWSTR          lpFileName,  
    [in]          DWORD             dwDesiredAccess,  
    [in]          DWORD             dwShareMode,   
    [in, optional] LPSECURITY_ATTRIBUTES lpSecurityAttributes,  
    [in]          DWORD             dwCreationDisposition,  
    [in]          DWORD             dwFlagsAndAttributes,  
    [in, optional] HANDLE           hTemplateFile  
);
```

# Opening Files - Sharing Violation

**DesiredAccess/ShareMode** incompatibilities fail the **CreateFile** call.

- **ERROR\_SHARING\_VIOLATION / STATUS\_SHARING\_VIOLATION**

| First call to <b>CreateFile</b> | Valid second calls to <b>CreateFile</b>   |
|---------------------------------|---|
| GENERIC_READ, FILE_SHARE_READ   | <ul style="list-style-type: none"><li>• GENERIC_READ, FILE_SHARE_READ</li><li>• GENERIC_READ, FILE_SHARE_READ FILE_SHARE_WRITE</li></ul>  |
| GENERIC_READ, FILE_SHARE_WRITE  | <ul style="list-style-type: none"><li>• GENERIC_WRITE, FILE_SHARE_READ</li><li>• GENERIC_WRITE, FILE_SHARE_READ FILE_SHARE_WRITE</li></ul>  |
| GENERIC_READ, FILE_SHARE_READ   | <b>FILE_SHARE_WRITE</b> <ul style="list-style-type: none"><li>• GENERIC_READ, FILE_SHARE_READ</li><li>• GENERIC_READ, FILE_SHARE_READ, FILE_SHARE_WRITE</li><li>• GENERIC_WRITE, FILE_SHARE_READ</li><li>• GENERIC_WRITE, FILE_SHARE_READ, FILE_SHARE_WRITE</li><li>• GENERIC_READ GENERIC_WRITE, FILE_SHARE_READ</li><li>• GENERIC_READ GENERIC_WRITE, FILE_SHARE_READ, FILE_SHARE_WRITE</li></ul> |
| GENERIC_WRITE, FILE_SHARE_READ  | <ul style="list-style-type: none"><li>• GENERIC_READ, FILE_SHARE_WRITE</li><li>• GENERIC_READ, FILE_SHARE_READ, FILE_SHARE_WRITE</li></ul>  |

# Opening Files - Exclusive Access

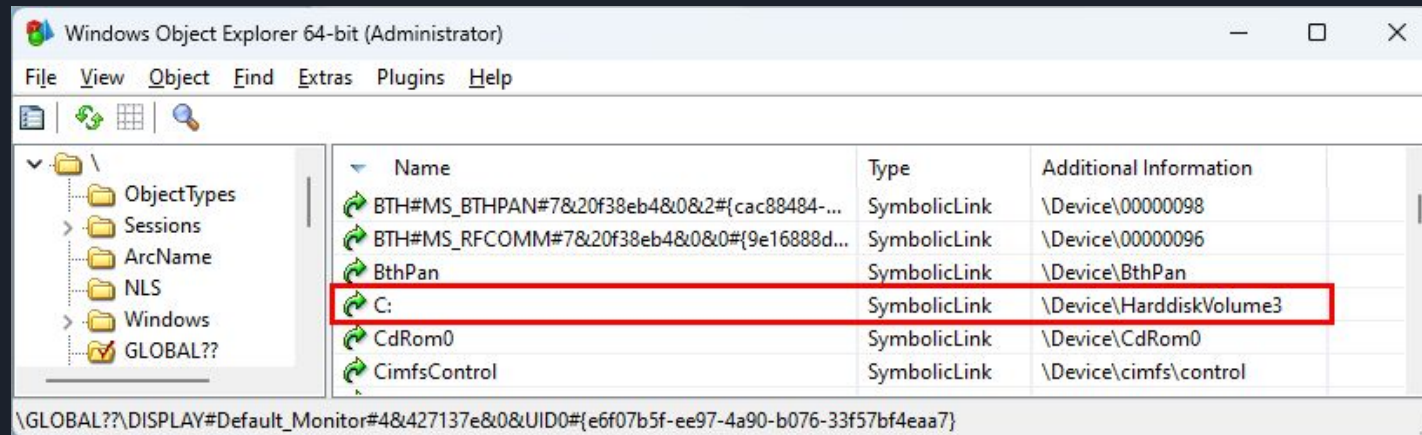
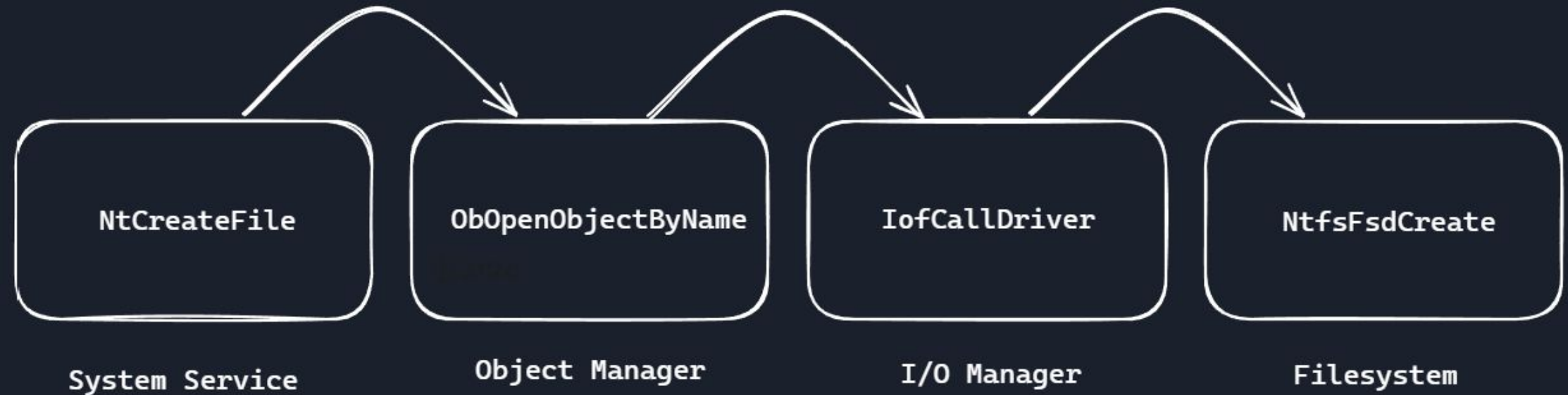
Set **ShareMode**=0 for exclusive access to files until you close the handle.

An application also uses **CreateFile** to specify whether it wants to share the file for reading, writing, both, or neither. This is known as the *sharing mode*. An open file that is not shared (*dwShareMode* set to zero) cannot be opened again, either by the application that opened it or by another application, until its handle has been closed. This is also referred to as exclusive access.



# I/O Flow (Abbreviated)

What happens when a program opens a file?





# I/O Flow (Abbreviated)

What happens when a program opens a file?

| #                  | Child-SP          | RetAddr           | Call Site   |                |
|--------------------|-------------------|-------------------|---|----------------|
| <a href="#">00</a> | ffff8580`20e66c98 | fffff800`3742d935 | Ntfs!NtfsFsdCreate  | Filesystem     |
| <a href="#">01</a> | ffff8580`20e66ca0 | fffff800`330b710f | nt!IofCallDriver+0x55                                       |                |
| <a href="#">02</a> | ffff8580`20e66ce0 | fffff800`330e9f54 | FLTMGR!FltpLegacyProcessingAfterPreCallbacksCompleted+0x28f |                |
| <a href="#">03</a> | ffff8580`20e66d50 | fffff800`3742d935 | FLTMGR!FltpCreate+0x324                                     |                |
| <a href="#">04</a> | ffff8580`20e66e00 | fffff800`37444704 | nt!IofCallDriver+0x55                                       | I/O Manager    |
| <a href="#">05</a> | ffff8580`20e66e40 | fffff800`3783ec6b | nt!IoCallDriverWithTracing+0x34                             |                |
| <a href="#">06</a> | ffff8580`20e66e90 | fffff800`37833527 | nt!IopParseDevice+0x11bb                                    |                |
| <a href="#">07</a> | ffff8580`20e67000 | fffff800`3783baca | nt!ObpLookupObjectName+0x1117                               | Object Manager |
| <a href="#">08</a> | ffff8580`20e671d0 | fffff800`3782ae8b | nt!ObOpenObjectByNameEx+0x1fa                               |                |
| <a href="#">09</a> | ffff8580`20e67300 | fffff800`37828fb9 | nt!IopCreateFile+0x132b                                     |                |
| <a href="#">0a</a> | ffff8580`20e673c0 | fffff800`376119c8 | nt!NtCreateFile+0x79  | System Service |
| <a href="#">0b</a> | ffff8580`20e67450 | 00007fff`5b68da84 | nt!KiSystemServiceCopyEnd+0x28                              | KERNEL         |
| <a href="#">0c</a> | 00000090`da3fe558 | 00007fff`58d741e9 | ntdll!NtCreateFile+0x14                                     | USER           |
| <a href="#">0d</a> | 00000090`da3fe560 | 00007fff`58d73c56 | KERNELBASE!CreateFileInternal+0x579                         |                |
| <a href="#">0e</a> | 00000090`da3fe6d0 | 00007fff`58d75343 | KERNELBASE!CreateFileW+0x66                                 |                |
| <a href="#">0f</a> | 00000090`da3fe730 | 00007fff`58d732a0 | KERNELBASE!BasepLoadLibraryAsDataFileInternal+0x293         |                |
| <a href="#">10</a> | 00000090`da3fe960 | 00007fff`4b2afc16 | KERNELBASE!LoadLibraryExW+0xe0                              |                |

# Sharing Enforcement - I/O Manager

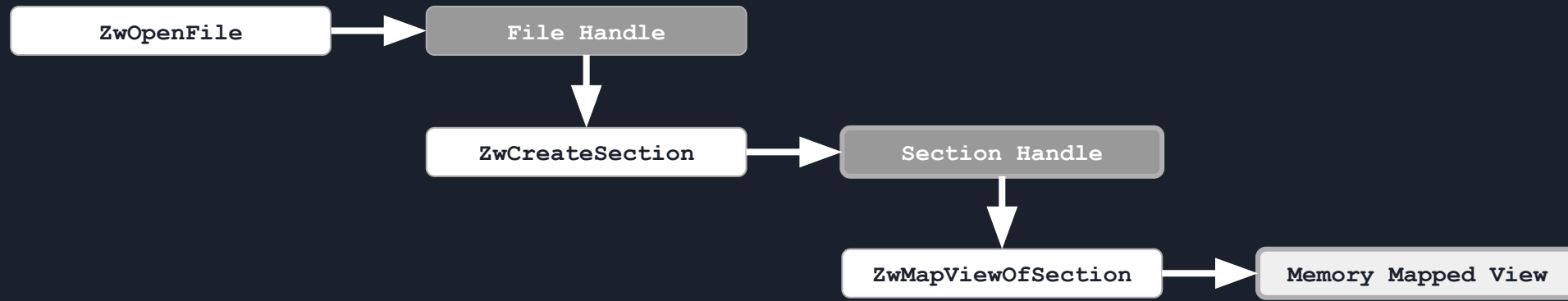
Filesystems call **IoCheckLinkShareAccess** to see whether **DesiredAccess/ShareMode** is compatible with existing handles.

```
NTSTATUS NtfsCheckShareAccess(FileObject, DesiredAccess, ShareAccess)
{
    ntStatus = IoCheckLinkShareAccess(
        FileObject, DesiredAccess, ShareAccess);
    if (!NT_SUCCESS(ntStatus))
    {
        return ntStatus;
    }
    ...
}
```

```
NTSTATUS IoCheckLinkShareAccess(
    [in]                ACCESS_MASK    DesiredAccess,
    [in]                ULONG          DesiredShareAccess,
    [in, out, optional] PFILE_OBJECT   FileObject,
    [in, out, optional] PSHARE_ACCESS  ShareAccess,
    [in, out, optional] PLINK_SHARE_ACCESS LinkShareAccess,
    [in]                ULONG          IoShareAccessFlags
);
```

# Sharing Enforcement - File Mapping

File mappings (section objects) allow files to be readable/writable after handles are closed.



```
NTSTATUS NtfsOpenAttributeCheck(...)
{
    if (!FlagOn(ShareMode, FILE_SHARE_WRITE) &&
        MmDoesFileHaveUserWritableReferences(FileObject->SectionObjectPointer))
    {
        return STATUS_SHARING_VIOLATION;
    }
    ...
}
```

# Sharing Enforcement - Executables

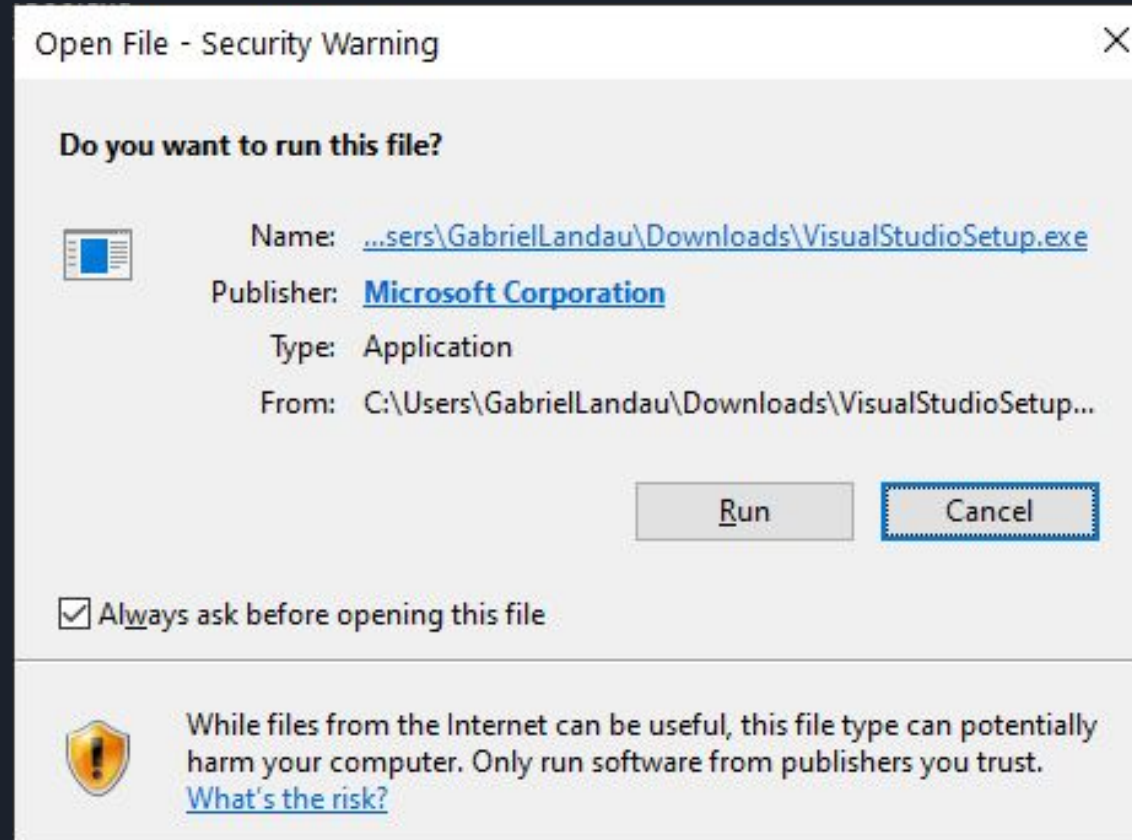
Files mapped as executable images (EXEs/DLLs/etc) **must be immutable** while in use.

In other words, `ZwMapViewOfSection(SEC_IMAGE)` implies no-write-sharing.

```
NTSTATUS NtfsOpenAttributeCheck(...)
{
    // Block writes to active image section objects
    if (FlagOn(DesiredAccess, FILE_WRITE_DATA) &&
        FileObject->SectionObjectPointer.ImageSectionObject &&
        !MmFlushImageSection(FileObject->SectionObjectPointer), MmFlushForWrite)
    {
        return STATUS_SHARING_VIOLATION
    }
    ...
}
```

# Chapter 2 – Code Integrity

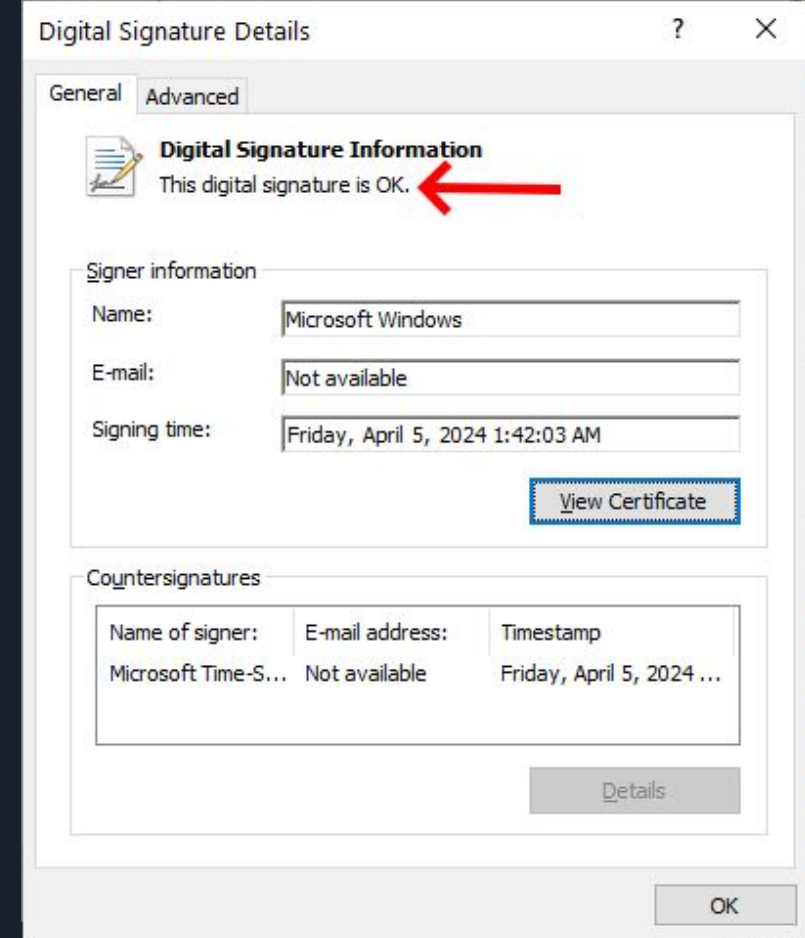
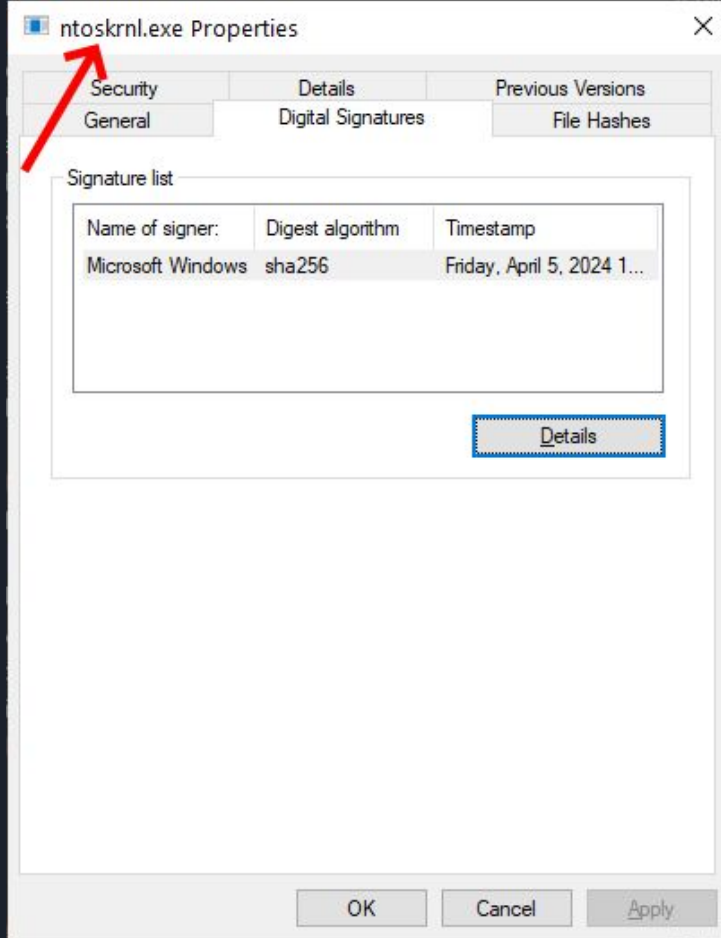
How do you trust the code that's running on your system?





# Authenticode

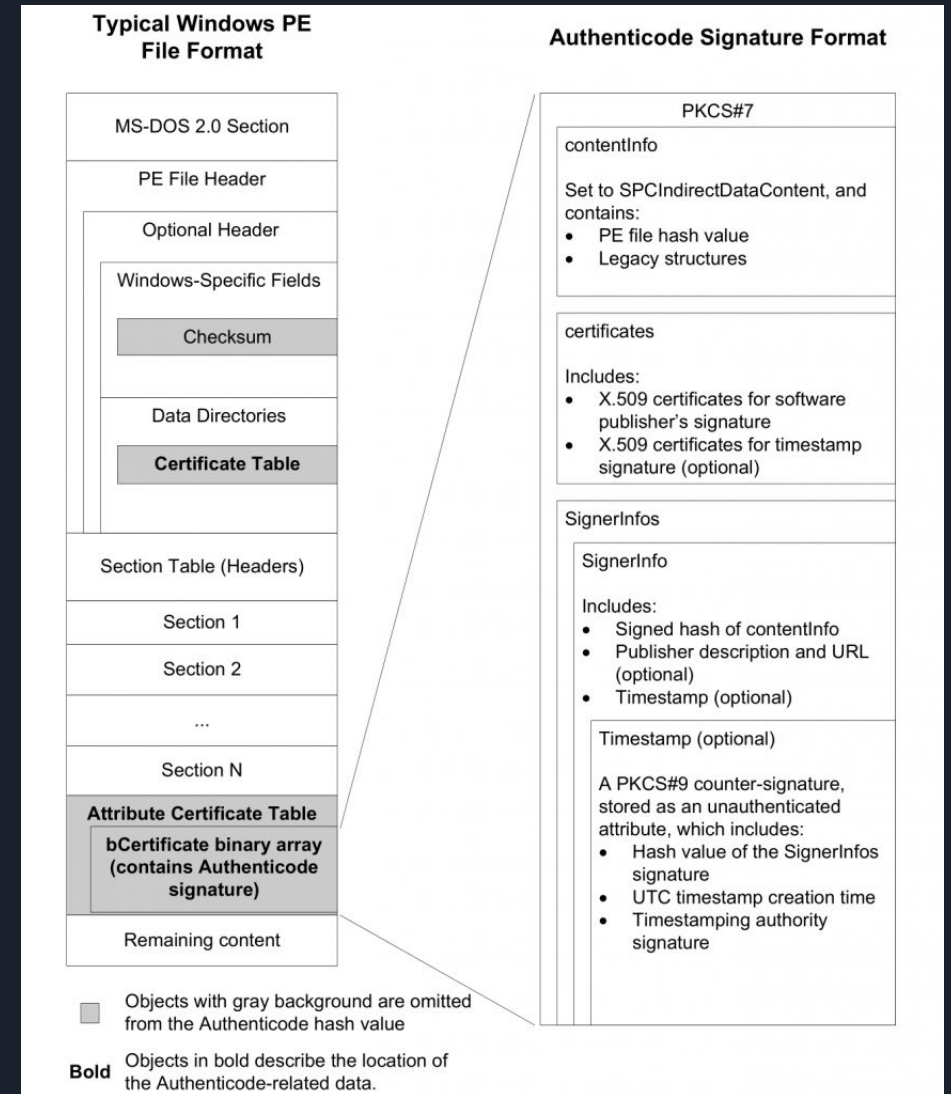
Microsoft specification to digitally sign Portable Executable (PE) files.



# Authenticode Signing

**Authentihash** algorithm computes hash over most (but not all) of the PE file.

Authentihash is signed using PKCS #7 and appended to PE as Security Directory (aka Certificate Table).





# Authenticode Implementations

User and kernel implementations to validate signatures.

The user implementation is out of scope for this talk.

The kernel implementation is the **Code Integrity** (CI) subsystem.

CI.dll protected from tampering by Secure Boot and Trusted Boot systems.

# Code Integrity

## Kernel Mode Code Integrity (KMCI)

- Enforces Driver Signing Enforcement and Vulnerable Driver Blocklist.

## User Mode Code Integrity (UMCI)

- CI validates the signatures of EXEs and DLLs before allowing them to load.
- Enforces Protected Processes and Protected Process Light signature requirements.
- Enforces Microsoft Signer process mitigation (**SetProcessMitigationPolicy**).
- Enforces **/INTEGRITYCHECK** for FIPS 140-2 modules.
- Exposed to consumers as **Smart App Control**.
- Exposed to businesses as **App Control for Business** (formerly WDAC).

KMCI and UMCI implement different policies for different scenarios.

<https://learn.microsoft.com/en-us/windows/security/application-security/application-control/windows-defender-application-control/design/select-types-of-rules-to-create>  
<https://learn.microsoft.com/en-us/windows/win32/api/processthreadsapi/nf-processthreadsapi-setprocessmitigationpolicy>  
<https://x.com/GabrielLandau/status/1668353640833114131>  
<https://learn.microsoft.com/en-us/windows/apps/develop/smart-app-control/overview>  
<https://learn.microsoft.com/en-us/windows/security/application-security/application-control/windows-defender-application-control/wdac>  
<https://learn.microsoft.com/en-us/windows/security/application-security/application-control/windows-defender-application-control/design/microsoft-recommended-driver-block-rules>

# Chapter 3 – Incorrect Assumptions

Let's discuss a class of vulnerabilities resulting from incorrect assumptions.

# Incorrect Assumptions

Microsoft docs imply that files successfully opened without write sharing can't be modified under you.

**FILE\_SHARE\_WRITE**  
0x00000002

Enables subsequent open operations on a file or device to request write access.  
Otherwise, other processes cannot open the file or device if they request write access.

If this flag is not specified, but the file or device has been opened for write access or has a file mapping with write access, the function fails.

*What if the filesystem doesn't know that the file's been modified?*

# Executable Image Section Paging

Executable image sections originate from PE files.

MM can page these out if memory is needed:

- Never modified? Discard it. We already have a copy in the original PE.
- Modified? Save it to the pagefile.
  - Example: ntdll was detoured. MM copy-on-write created private copy.

Upon page fault:

- Never modified\*? Read the page from the original PE file.
- Modified? Grab the private copy from the pagefile.

\* Exception: The memory manager may treat PE-relocated pages as unmodified, dynamically reapplying relocations during page faults.

# Page Hashes

Optional list of hashes of each 4KB page of PE. Allows MM to validate hashes of individual pages during page faults.

Static page hashes

- Stored within signature when file is signed.
- **signtool.exe /ph**

A small, dark rectangular icon with a lighter background, containing the text `/ph` in a monospaced font.

If supported, generates page hashes for executable files.

Dynamic page hashes

- Computed on the fly by CI when **SEC\_IMAGE** is created and validated.
- Enables page hash enforcement even if signature does not include them.

Page hashes are not free - they use CPU and slow down page faults.

# Attacking Code Integrity

Scenario:

1. Orphanage administrator enables macros in email attachment containing ransomware.
2. Ransomware employs UAC bypass to instantly elevate to Admin.
3. Ransomware fails to terminate AV running as Protected Process Light (PPL).
4. Ransomware author wants PPL rights so it can kill AV and ransom orphanage.

Can it launch itself directly as PPL?

✗ UACI prevents improperly-signed EXEs and DLLs from loading into PPL.

**CreateFile(FILE\_WRITE\_DATA)** to inject code into already-in-use DLL?

✗ NTFS checks prevent **CreateFile(FILE\_WRITE\_DATA)** to in-use image sections.

- Aforementioned **MmFlushImageSection** check.

**FILE\_WRITE\_DATA** check is in NTFS. What if we move the filesystem to another machine?

- SMB server could be a Samba server, or even a python script.

Attacker can modify a DLL server-side, bypassing sharing restrictions.

- DLLs are incorrectly assumed to be immutable.
- **False File Immutability**



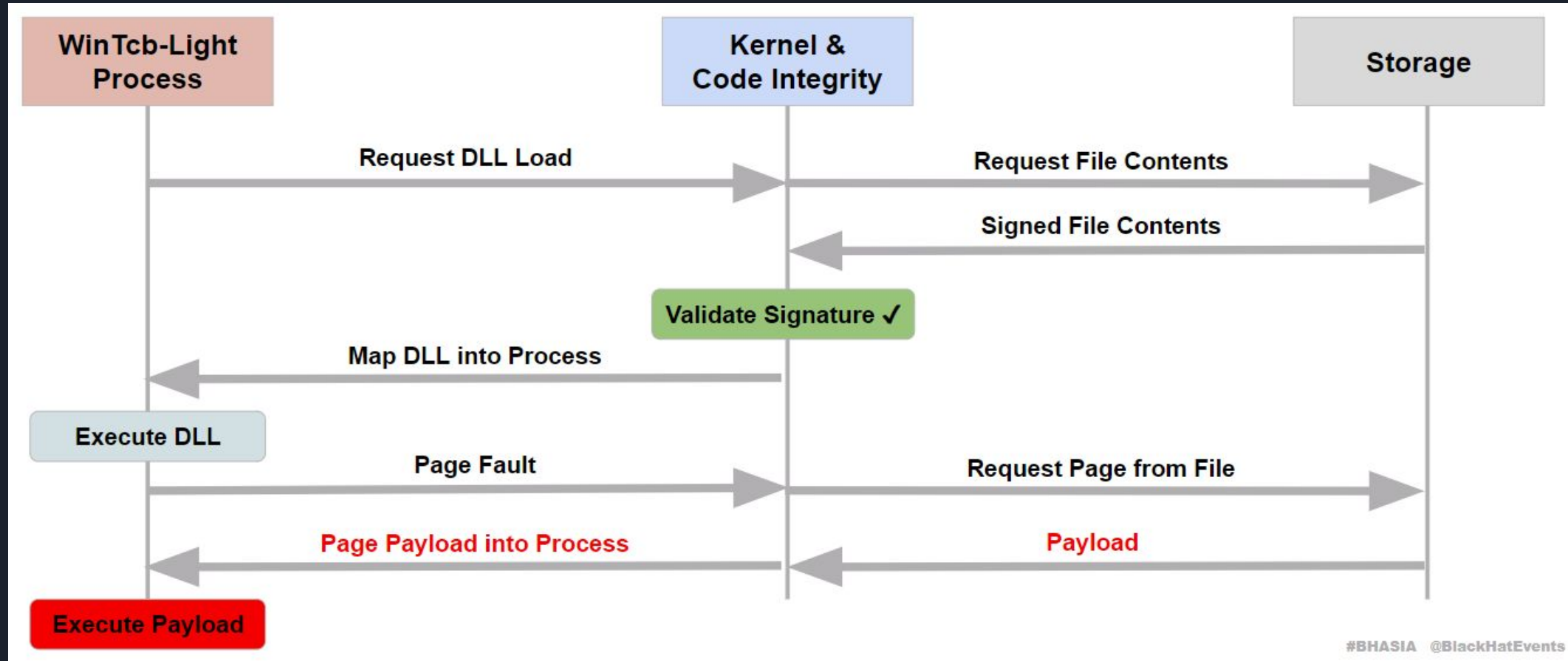
# Can Attacker Exploit Paging?

Even if an attacker successfully exploits **false file immutability** to inject code into a PE, won't page hashes catch this attack?

|                               | Authenticode | Page Hashes |
|-------------------------------|--------------|-------------|
| Kernel Drivers                | ✓            | ✓           |
| Protected Processes           | ✓            | ✓           |
| Protected Process Light (PPL) | ✓            | ✗           |

# Admin->PPL Exploit: PPLFault

Disclosed by me at Black Hat Asia 2023.



# Mitigating PPLFault

In February 2024, Microsoft added a check to mitigate PPLFault.

MM sets a flag requiring dynamic page hashes for images that originate from remote devices such as network redirectors like SMB.

```
124 if ( (ControlArea->u.LongFlags & 0x800) != 0 )// ImageControlAreaOnRemovableMedia
125 {
126     if ( (InFlags & 0x40000000) != 0 )
127     {
128         SomeGlobal = 115;
129         return 0xC0000433i64;           // STATUS_ENCOUNTERED_WRITE_IN_PROGRESS
130     }
131     IntermediaryFlags = InFlags | 0x10000000; // FLAG_IMAGE_ON_REMOVABLE_MEDIA
132 }
133 else // This else block is new code
134 {
135     IntermediaryFlags = InFlags;
136     if ( (FileObject->DeviceObject->Characteristics & FILE_REMOTE_DEVICE) != 0 )
137         IntermediaryFlags = InFlags | 0x40; // Set a flag to compute page hashes for this image
138 }
139 v96 = (char *)&ControlArea->u1.Flags + 2;
140 FinalFlags = IntermediaryFlags | 0x10000000;
141 if ( (*((__BYTE *)&ControlArea->u1.Flags + 2) & 0xC) != 4 )
142     FinalFlags = IntermediaryFlags;
143 FinalFlags_ = FinalFlags;
006D2BE0 MiValidateSectionCreate 111 (75EBE0)
```

# PPLFault - Takeaways

What did we learn?

PPLFault successfully exploited bad assumptions in CI about DLL immutability, achieving unsigned WinTcb-Light PPL code execution. For reasons out-of-scope, it was easy to chain this to full physical memory read/write, **compromising the entire OS in a few seconds.**

The mitigation was narrow in scope - targeting images loaded from remote devices.

# Chapter 4 – New Research

Can we exploit false file immutability in other ways?

Let's look beyond executable image sections.

*What about attacks against data files?*

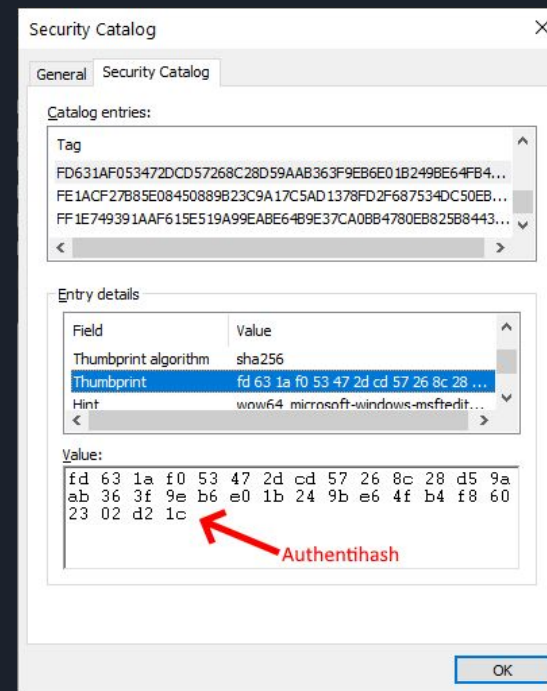
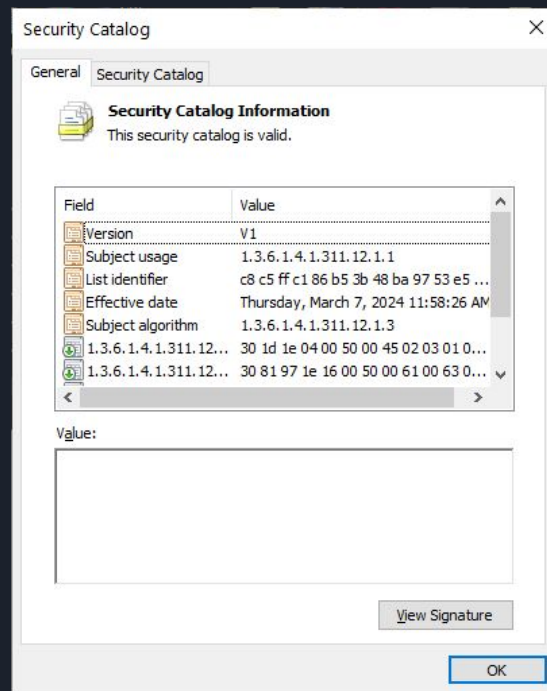
# Authenticode - Security Catalogs

Security catalogs - detached Authenticode signatures.

Signed array of Authentihashes in .cat files in `C:\Windows\System32\CatRoot`

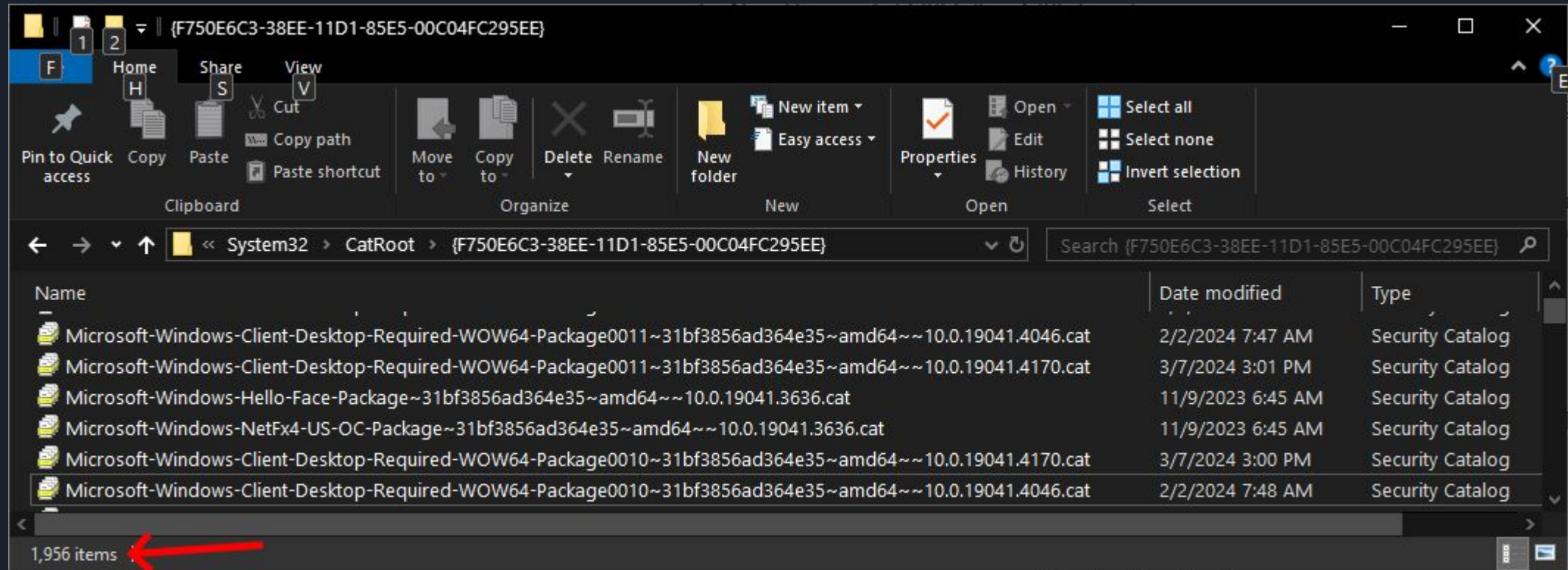
Every PE with Authentihash in list is considered to be signed by that signer.

| Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Hash | Signature |
|------|------|------|------|------|------|------|------|------|------|------|------|------|-----------|
|------|------|------|------|------|------|------|------|------|------|------|------|------|-----------|



# Authenticode - Security Catalogs

Large list of catalogs. CI loads them into kernel pool for fast lookup.





# Code Integrity - Catalog Parsing

Map File Into Memory

Validate Signature

Parse Catalog

```
nt!ZwOpenFile(  
    GENERIC_READ,  
    FILE_SHARE_READ)
```

```
nt!ZwCreateSection(  
    SEC_COMMIT)
```

```
nt!ZwMapViewOfSection
```

```
CI!MinCrypK_  
    VerifySignedDataKModeEx
```

```
CI!I_MapFileHashes
```

# Catalog Parsing - Key Insights

`ZwOpenFile(GENERIC_READ, FILE_SHARE_READ)`

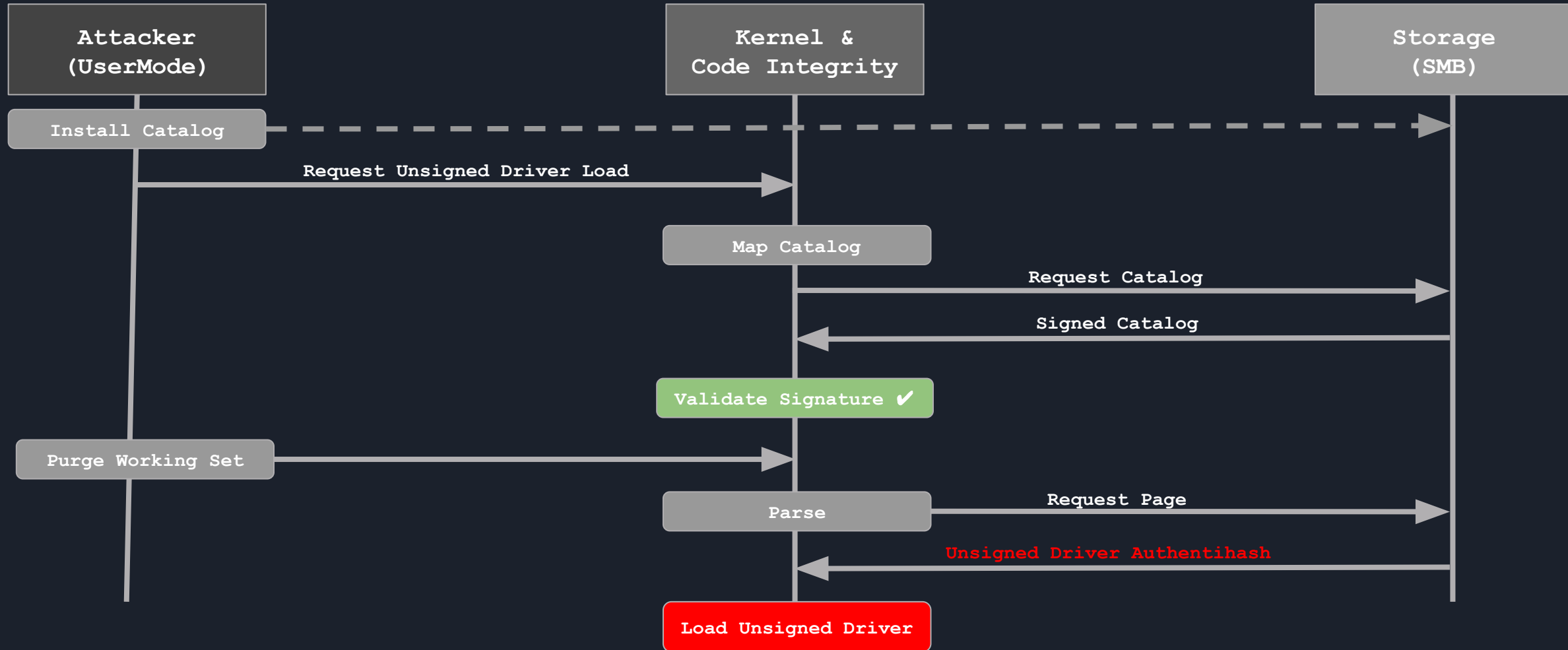
- Denies write sharing to prevent catalog modifications during processing.
- Bad assumption - **false file immutability**.

`ZwCreateSection(SEC_COMMIT)`

- Creates a data section.
- Not an image section - no page hashes.

Can we perform a PPLFault-style attack on security catalogs?

# Exploiting Security Catalogs



# Exploit - Toggling the Catalog

PPLFault used an oplock to deterministically pause the victim process then switch to the payload DLL contents.

No good opportunities here  
for oplocks.

Rapidly toggle the catalog  
between benign and malicious  
- probabilistic approach.

Choose hash near end of catalog because parsing is [probably] linear.



# Exploit - Race Condition

Attacker needs CI to trigger a page fault between validation and parsing, but the page is already resident from recent validation. Without a page fault, CI will use the same pages for validation and parsing.

To evict page from kernel memory, attacker must empty working set between **MinCrypK\_VerifySignedDataKModeEx** and **I\_MapFileHashes**.

Very short race window. Employ multiple approaches to slow CI and improve chances of winning race:

- Choose large security catalog (4MB).
- Dedicated thread emptying working set.
- Dedicated thread repeatedly loading unsigned driver.
- High-priority dummy threads spinning CPU cores to starve system worker threads.

# Fail - Signature Check Failed

Validate Signature ❌

## Hash

## Hash

## Hash

## Hash

## Hash

## Hash

## Hash

## Hash

## Signature

# Fail - Benign Catalog Parsed

An even number of swaps (including zero) between signature validation and parsing means CI will parse the benign hash and reject our driver.

Validate Signature ✓



## Context Switch 🤔

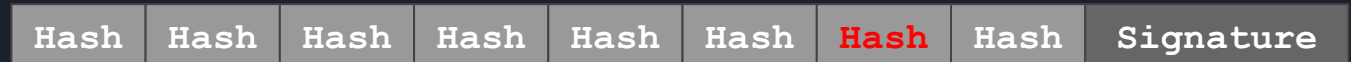
## Parse Catalog



# Win - Payload Catalog Parsed

CI must validate a benign catalog then parse a malicious one.

Validate Signature ❌



Validate Signature ✅



Parse Catalog 🐱



# Exploit Demo!

Windows 11 23H2 22631.3447 (April 2024)

# Chapter 4 – Avoiding Pitfalls

To avoid this type of bug, we first need to understand it better.

# Double Read / Fetch

Imagine a shared memory mapping for an IPC mechanism. Double Read is a TOCTOU where victim reads a value from attacker-controlled shared memory twice.

Attacker changes memory between the reads, resulting in a unexpected victim behavior.

Example:

- Attacker initially specifies a small length field.
  - `pPacket->length = 16;`
- Victim code allocates a small buffer to hold data.
  - `pBuffer = malloc(pPacket->length);`
- Attacker changes to large length value.
  - `pPacket->length = 32;`
- Victim code uses new length, copying too much data and overflowing buffer.
  - `memcpy(pBuffer, pPacket->data, pPacket->length);` 💥

```
struct IPC_PACKET
{
    SIZE_T length;
    UCHAR data[];
};
```

Windows kernel (and drivers) often operate directly on user mode memory.

- Significant consideration for **METHOD\_DIRECT** IOCTL handlers.

# Call To Action

Treat attacker-writable files as subject to double-read vulnerabilities.

Denying write sharing does not necessarily prevent modification.

# Affected Operations

What types of operations are affected by **False File Immutability**?

| Operation      | API  | Mitigations  |
|----------------|--|--|
| Image Sections | <b>CreateProcess</b><br><b>LoadLibrary</b> | 1. Enable Page Hashes.   |
| Data Sections  | <b>MapViewOfFile</b>                       | 1. Avoid double reads.<br>2. Copy the file to a heap buffer before processing.<br>3. Prevent paging via <b>MmProbeAndLockPages/VirtualLock</b> . |
| Regular I/O    | <b>ReadFile</b>                            | 1. Avoid double reads.<br>2. Copy the file to a heap buffer before processing.   |

# What Else Could Be Vulnerable?

| xrefs to ZwMapViewOfSection |      |   |                          |
|-----------------------------|------|---|--------------------------|
| Direction                   | Type | Address                                   | Text                     |
| Up                          | o    | .pdata:000000001400F1A10                  | RUNTIME_FUNCTION <rva Zw |
| Down                        | p    | ApiSetpLoadSchemaImage +12D               | call ZwMapViewOfSection  |
| Down                        | p    | AslpFileLargeMapCreate +118               | call ZwMapViewOfSection  |
| Down                        | p    | AslpFileLargeMapCreate +1C7               | call ZwMapViewOfSection  |
| Down                        | p    | CMFReadCompressedSegment +12B             | call ZwMapViewOfSection  |
| Down                        | p    | CMFSystemThreadRoutine +4C3               | call ZwMapViewOfSection  |
| Up                          | p    | CmSiMapViewOfSection +4F                  | call ZwMapViewOfSection  |
| Down                        | p    | CmpSetSystemBiosInformation +AF           | call ZwMapViewOfSection  |
| Down                        | p    | CmpSetVideoBiosInformation +128           | call ZwMapViewOfSection  |
| Down                        | p    | CmpSetVideoBiosInformation +9F            | call ZwMapViewOfSection  |
| Down                        | p    | DifZwMapViewOfSectionWrapper +138         | call ZwMapViewOfSection  |
| Down                        | p    | EmpMapPhysicalAddress +D9                 | call ZwMapViewOfSection  |
| Down                        | p    | ExpQueryCodeIntegrityCertificateInfo +19B | call ZwMapViewOfSection  |
| Down                        | p    | ExpQueryElamCertInfo +1B3                 | call ZwMapViewOfSection  |
| Down                        | p    | IopIsNotNativeDriverImage +15B            | call ZwMapViewOfSection  |
| Down                        | p    | PiInitializeDDB +17C                      | call ZwMapViewOfSection  |
| Up                          | p    | RtlFileMapMapView +12D                    | call ZwMapViewOfSection  |

Line 1 of 17

OK Cancel Search Help

| xrefs to ZwReadFile |      |   |                                      |
|---------------------|------|---|--------------------------------------|
| Direction           | Type | Address                                 | Text                                 |
| Up                  | o    | .pdata:000000001400F1878                | RUNTIME_FUNCTION <rva ZwReadFile, rv |
| Down                | p    | CMFSystemThreadRoutine +242             | call ZwReadFile                      |
| Down                | p    | CMFSystemThreadRoutine +51E             | call ZwReadFile                      |
| Up                  | p    | CmpDoFileRead +B2                       | call ZwReadFile                      |
| Down                | p    | DifZwReadFileWrapper +12F               | call ZwReadFile                      |
| Down                | p    | EmInitSystem +3784B                     | call ZwReadFile                      |
| Down                | p    | EtwpFinalizeHeader +109                 | call ZwReadFile                      |
| Down                | p    | EtwpFinalizeHeader +1DB                 | call ZwReadFile                      |
| Down                | p    | EtwpRealtimeRestoreBuffer +108          | call ZwReadFile                      |
| Down                | p    | EtwpRealtimeRestoreBuffer +6E           | call ZwReadFile                      |
| Down                | p    | EtwpRealtimeRestoreState +C1            | call ZwReadFile                      |
| Down                | p    | EtwpUpdateFileHeader +1D4               | call ZwReadFile                      |
| Down                | p    | RtlCheckBootStatusIntegrity +63         | call ZwReadFile                      |
| Down                | p    | RtlCheckBootStatusIntegrity +F7         | call ZwReadFile                      |
| Up                  | p    | RtlInitializeBootStatDataCache +5D      | call ZwReadFile                      |
| Up                  | p    | RtlInitializeBootStatDataCache +D6      | call ZwReadFile                      |
| Down                | p    | RtlInitializeBootStatDataBlackBox +79   | call ZwReadFile                      |
| Down                | p    | RtlpGetSetBootStatusData +199465        | call ZwReadFile                      |
| Down                | p    | RtlpGetSetBootStatusData +76            | call ZwReadFile                      |
| Down                | p    | SecureDump_LoadCertAndProvisionKey +1C2 | call ZwReadFile                      |

Line 1 of 20

OK Cancel Search Help

Note: **ZwReadFile** may be used for more than just files. Only uses on files (or those which could be coerced into operating on files) could be vulnerable.

# What Else Could Be Vulnerable?

```
Administrator: Command Prompt
C:\Windows\System32\drivers>grep -R ZwReadFile
Binary file appid.sys matches
Binary file bfs.sys matches
Binary file cht4vx64.sys matches
Binary file cimfs.sys matches
Binary file ClipSp.sys matches
Binary file crashdmp.sys matches
Binary file dxgkrnl.sys matches
Binary file fvevol.sys matches
Binary file mlx4_bus.sys matches
Binary file mountmgr.sys matches
Binary file mrxsmb.sys matches
Binary file mssecflt.sys matches
Binary file ndis.sys matches
Binary file netbt.sys matches
Binary file PEAAuth.sys matches
Binary file rspndr.sys matches
Binary file srv2.sys matches
Binary file vhdmp.sys matches
Binary file videoprt.sys matches
Binary file vmrawdsk.sys matches
Binary file volsnap.sys matches
Binary file xboxgip.sys matches

C:\Windows\System32\drivers>
```

```
Administrator: Command Prompt
C:\Windows\System32\drivers>grep -R ZwMapViewOfSection
Binary file ahcache.sys matches
Binary file bxvbda.sys matches
Binary file cht4sx64.sys matches
Binary file dxgkrnl.sys matches
Binary file evbda.sys matches
Binary file rmcast.sys matches
Binary file SgrmAgent.sys matches
Binary file vhdmp.sys matches
Binary file Vid.sys matches
Binary file volsnap.sys matches
Binary file werkernel.sys matches

C:\Windows\System32\drivers>
```



# Don't Forget About User Mode

*Any user-mode application that calls **ReadFile**, **MapViewOfFile**, or **LoadLibrary** on an attacker-controllable file, denying write sharing for immutability, may be vulnerable.*

Hypothetical examples:

- **MapViewOfFile**
  - Auto-elevate installers that apply downloaded patches if correctly signed
- **ReadFile**
  - Memory corruption in file parsers by changing double-read values
    - AV engines
    - Search indexers
- **LoadLibrary**
  - RPC server relying on **SetProcessMitigationPolicy(ProcessSignaturePolicy)** to prevent DLL injection via impersonation system drive remapping attacks.

# Chapter 5 - Mitigating the Exploit

MSRC won't service Admin -> Kernel vulnerabilities by default.

- "service" means "fix via security update."

As a third-party AV dev, I can't fix CI.dll. How can I protect my customers?

What can Microsoft do to fix it?

# Third-Party Mitigation

To mitigate `ItsNotASecurityBoundary`, I wrote `FineButWeCanStillEasilyStopIt.sys`

Filesystem Minifilter. In Pre `IRP_MJ_ACQUIRE_FOR_SECTION_SYNCHRONIZATION` callback invoked during `ZwCreateSection`, if:

- `SyncType == SyncTypeCreateSection &&`
- `PageProtection == PAGE_READONLY &&`
- `FlagOn(TargetFileObject->DeviceObject->Characteristics, FILE_REMOTE_DEVICE) &&`
- `Data->RequestorMode == KernelMode &&`
- `FltGetRequestorProcess(Data) == PsInitialSystemProcess &&`
- `IsCalledByCodeIntegrity() && // Check caller via RtlWalkFrameChain`
- `Contains catalog magic bytes and Certificate Trust List PKCS #7 OID.`

then deny the operation.

Messy, right? It's likely imperfect too. Compare that to a three-line fix in CI.

# DSE Exploit Mitigation #1

Map File Into Memory

Validate Signature

Parse Catalog

```
nt!ZwOpenFile(  
    GENERIC_READ,  
    FILE_SHARE_READ)
```

```
CI!MinCrypK_  
VerifySignedDataKModeEx
```

```
CI!I_MapFileHashes
```


```
nt!ZwCreateSection(  
    SEC_COMMIT)
```

```
nt!ZwMapViewOfSection
```

```
nt!ExAllocatePool2
```

```
nt!RtlCopyMemory
```

Copy the file to a heap buffer before processing.



# DSE Exploit Mitigation #2

Map/**Lock** File Into Memory

Validate Signature

Parse Catalog

```
nt!ZwOpenFile(  
    GENERIC_READ,  
    FILE_SHARE_READ)
```

```
CI!MinCrypK_  
    VerifySignedDataKModeEx
```

```
CI!I_MapFileHashes
```

```
nt!ZwCreateSection(  
    SEC_COMMIT)
```

```
nt!ZwMapViewOfSection
```

```
nt!IoAllocateMdl
```

```
nt!MmProbeAndLockPages
```

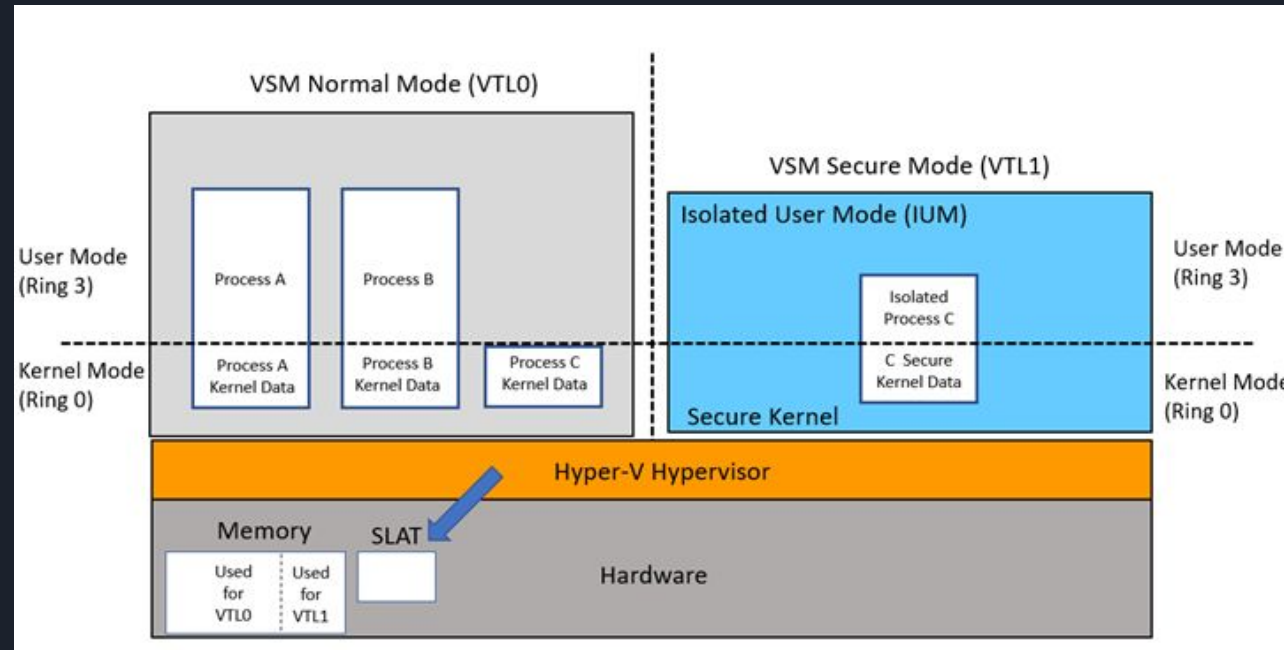
Lock pages into RAM to block working set eviction.



# Mitigating the Exploit - HVCI

If HVCI is enabled, CI.dll doesn't do catalog parsing.

- CI sends the catalog contents to the Secure Kernel (SK)
- SK runs in a separate virtual machine.
- SK puts catalog contents in its own secure allocation.
- Signature validation and parsing are done from this secure allocation.
- Attack is mitigated because file changes have no effect on the secure allocation.



# Disclosure Timeline

- 2024-02-14 Reported **ItsNotASecurityBoundary** and **FineButWeCanStillEasilyStopIt** to MSRC as VULN-119340, suggesting **ExAllocatePool** and **MmProbeAndLockPages** as fixes, and offering to coordinate disclosure.
- 2024-02-22 I asked MSRC for an update
- 2024-02-29 Windows Defender team reached out to coordinate disclosure.
- 2024-04-23 Microsoft releases KB5036980 preview with **MmProbeAndLockPages** fix.
- 2024-05-14 Fix reaches GA for desktop releases.
- 2024-05-20 I gave this talk at BlueHat IL

# Inside The Mitigation

`I_MapAndSizeDataFile` is the legacy vulnerable code.



```
v10 = ZwCreateSection(&SectionHandle, SECTION_MAP_READ,
if ( v10 >= 0 )
{
    v10 = ZwMapViewOfSection(
        SectionHandle,
        (HANDLE)0xFFFFFFFFFFFFFFFFLL,
        BaseAddress,
        0LL,
        0LL,
        0LL,
        &ViewSize,
        ViewShare,
        0,
        ViewUnmap);
    if ( v10 >= 0 )
    {
        v12 = FileHandle;
        goto LABEL_16;
    }
}
0004CC04 I_MapAndSizeDataFile:83 (1C004DC04)
```



# Inside The Mitigation

CipMapAndSizeDataFileWithMDL contains the fix.



```
v13 = ZwCreateSection(&SectionHandle, SECTION_MAP_READ,
if ( v13 >= 0 )
{
    v13 = ZwMapViewOfSection(
        SectionHandle,
        (HANDLE)0xFFFFFFFFFFFFFFFFi64,
        v12,
        0i64,
        0i64,
        0i64,
        &ViewSize,
        ViewShare,
        0,
        2u);
    if ( v13 >= 0 )
    {
        if ( a10 )
        {
            if ( ViewSize > 0xFFFFFFFF )
            {
                v13 = -1073741760;
                goto LABEL_16;
            }
            Mdl = IoAllocateMdl(*v12, ViewSize, 0, 0, 0i64);
            v15 = Mdl;
            if ( !Mdl )
            {
                v13 = -1073741670;
                goto LABEL_16;
            }
            MmProbeAndLockPages(Mdl, 0, IoReadAccess);
            *a10 = v15;
        }
        goto LABEL_15;
    }
}
}
```

0004E138 CipMapAndSizeDataFileWithMDL:57 (1C004F138)

# Disclosure Timeline

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- 2024-05-14 Fix reaches GA for desktop releases.
- 2024-05-20 I gave this talk at BlueHat IL
- **2024-06-14 MSRC responded:**
  - "We have completed our investigation and determined that the case doesn't meet our bar for servicing at this time. As a result, we have opened a next-version candidate bug for the issue, and it will be evaluated for upcoming releases. Thanks, again, for sharing this report with us."

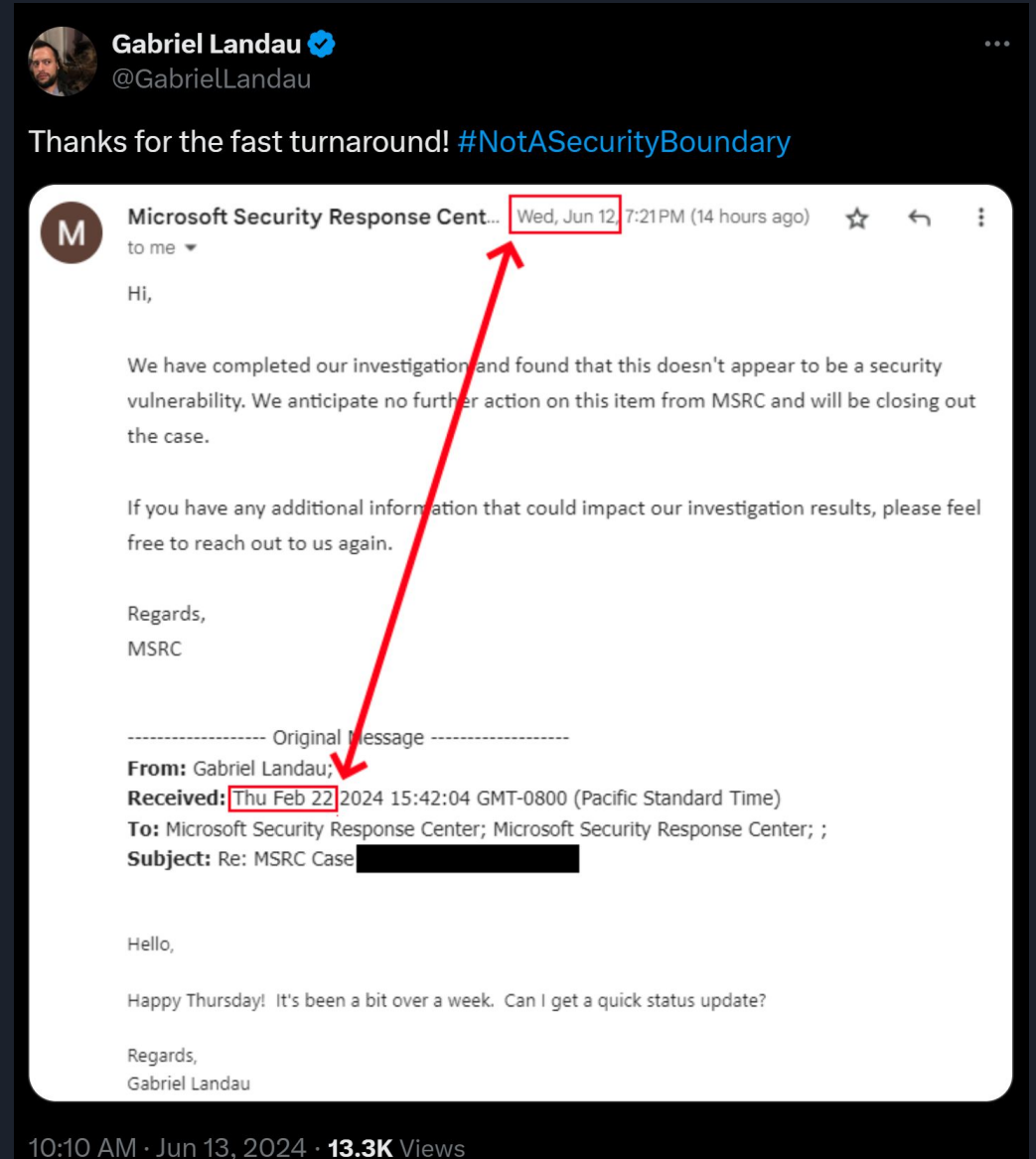
# It's All About Incentives

Admin -> PPL / Kernel bugs:

- 4 months for MSRC response.
- Multiple occurrences, not just one.
- This is a different bug 🙌
- My cable company is more responsive.
- *Is MSRC sufficiently staffed?*

Researchers must wait months for expected WONTFIX responses before publishing.

- Disrespectful of our time.



# It's All About Incentives

Many such bugs eventually get fixed, which acknowledges that these bugs DO matter.

- No credit.
- No bounty.
- No CVE.
- Why bother reporting?
- Can there be a middle ground between WONTFIX and Security Boundary?
- Is MSRC creating perverse incentives?

"Did I report these issues to Microsoft? Microsoft has made it clear that they will not fix issues only affecting PP and PPL in a security bulletin. Without a security bulletin the researcher receives no acknowledgement for the find, such as a CVE. The issue will not be fixed in current versions of Windows although it might be fixed in the next major version. Previously confirming Microsoft's policy on fixing a particular security issue was based on precedent, however they've recently published a list of Windows technologies that will or will not be fixed in the Windows Security Service Criteria which, as shown below for Protected Process Light, Microsoft will not fix or pay a bounty for issues relating to the feature. **Therefore, from now on I will not be engaging Microsoft if I discover issues which I believe to only affect PP or PPL.**"

James Forshaw

# Summary

Bug class: False File Immutability

PPLFault: Admin -> PPL [-> Kernel via GodFault/AngryOrchard]

- Exploits bad immutability assumptions about image sections in CI/MM.
- Reported September 2022
- Patched February 2024 (~510 days)

ItsNotASecurityBoundary: Admin -> Kernel

- Exploits bad immutability assumptions about data sections in CI.
- Reported February 2024
- Patched May 2024 (~90 days)

Call to action

- Treat attacker-writable files as subject to double-read vulnerabilities.
- Denying write sharing is insufficient to prevent modification.

More exploits: TBA 😊



# Conclusion

Gabriel Landau at Elastic Security. Any opinions expressed are my own.

Thanks to the Windows Defender team for collaborating on disclosure and fixes!

Exploit PoC to be released on Twitter later today.

Twitter/ X: @GabrielLandau

