STACK NUMBER IS NOT BOUNDED BY QUEUE-NUMBER or STACK NUMBER IS NOT QUEUE-NUMBER BOUNDED or STACKS ARE NOT MORE POWERFUL THAN QUEUES or STACKS ARE NO MORE POWERFUL THAN QUEUES

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ABSTRACT. We describe a family of graphs in which every member has queue number at most 4, but for every integer *s*, there is a member of the family whose stack number is greater than *s*. This resolves open problems of ??? and Blankenship and Oporwoski (???).

1 Introduction

Stacks and queues are fundamental structures in computer science. But which one is more powerful? In 1992, this question was explored by Heath et al. [9] where they compared the graph parameters *stack-number* and *queue-number* which respectively measure the power of stacks and queues for laying out graphs. They showed that every graph which admits a 1-queue layout has a 2-stack layout and that the ternary hypercubes requires exponentially more stacks than queues [9]. In particular, n-vertex ternary hypercubes have queue-number at most $2\log_3 n$, but stack-number at least $\Omega(n^{1/9-\epsilon})$ for any $\epsilon>0$.

We say that a graph parameter α is bounded by β if there exists a function f such that for every graph G we have $\alpha(G) \leq f(\beta(G))$. In 2005, Dujmović et al. [6] extended the study on the comparison of stacks and queues by asking whether stack-number is bounded by queue-number or queue-number bounded by stack-number. If stack-number is bounded by queue-number but queue-number is not bounded by stack-number, then we would consider stacks to be more powerful than queues. Similarly, if the converse holds, then we would consider queues to be more powerful than stacks. Despite extensive research on stack and queue layouts of graphs [references???], it has remained unclear which one is more powerful for laying out graphs.

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UPDATE THE FOLLOWING PARAGRAPH WITH PAT'S NOTATION

We now formally define stack and queue layouts of graphs. Let G = (V, E) be a graph with disjoint edges vw, xy and a linear ordering \leq of the vertices. Without loss of generality, we may assume that v < w, x < y and v < x. We say that vw and xy cross if v < x < w < y, nest if v < x < y < w, and are disjoint if v < w < x < y. A stack is a set of pairwise noncrossing edges and a queue is a set of pairwise non-nesting edges. A k-queue layout of G consist of a linear ordering \leq of its vertices and a partition E_1, E_2, \ldots, E_k , of its edges into queues with respect to \leq . The stack-number of a graph G, S(G), is the minimum integer k such that G has a k-stack layout. Similarly, the queue-number of a graph G, S(G), is the minimum integer k such that K0 has a K1-queue layout. We note that stack layouts of graphs are related to book embeddings of graph and that stack-number is also known as K1-queue layout. We note that stack layouts of graphs are related to book embeddings of graph and that stack-number is also known as K2-queue layout.

Note that stacks and queues are closely related to breadth-first search (BFS) and depth-first search (DFS) layouts of graphs. It can be easily shown that a BFS vertex ordering of a tree admits a 1-queue layout and a DFS vertex ordering of a tree admits a 1-stack layout.

Our key contribution is the following theorem, which shows that stack-number is not bounded by queue-number.

Theorem 1. For every $s \in \mathbb{N}$ there exists a graph G with $qn(G) \leq 4$ and sn(G) > s.

This demonstrates that stacks are not more powerful than queues for laying out graphs. It remains open whether queues are more powerful than stacks; that is, whether queue-number is bounded by stack-number. Before we specify the graph G in Theorem 1, we must first introduce graph products.

Let Q and G be graph. We define a graph product $G \times Q$ with the vertex set:

$$V(G \times Q) = \{(a, v) : a \in V(G), v \in V(Q)\}.$$

A potential edge (a, v)(b, u) in $G \times Q$ can be classified as:

- G-edge: $ab \in E(G)$ and v = u;
- Q-edge: $uv \in E(Q)$ and a = b; or
- direct-edge: $ab \in E(G)$ and $uv \in E(Q)$.

The *Cartesian product* $G \square Q$ consists of the *G*-edges and *Q*-edges. The *direct product* $G \times Q$ consist of the direct edges. The *strong product* $G \boxtimes Q$ consist of the *G*-edges, *Q*-edges and the direct edges.

Graph products are a powerful tool in structural graph theory and have been used to solve many open problems concerning queue layouts of graphs [4, 5]. The graph G in Theorem 1 is obtained as follows (See Figure 1): Let S_b denote the star graph with root r and b leaves. For an even positive integer n, let H_n be the Hex $n \times n$ grid, defined by $V(H_n) := \{1, ..., n\}^2$ and

$$E(H_n) := \{(x,y)(x+1,y) : x \in \{1,\ldots,n-1\}, y \in \{1,\ldots,n\}\}$$

$$\cup \{(x,y)(x,y+1) : x \in \{1,\ldots,n\}, y \in \{1,\ldots,n-1\}\}$$

$$\cup \{(x,y+1)(x+1,y) : x,y \in \{1,\ldots,n-1\}\}.$$

Note that the Hex graph corresponds to the board used in the game Hex which was invented by the mathematician Peit Hein in 1942. In this paper, we prove Theorem 1 with the graph $G := S_b \square H_n$ where b and n are large compared to s. We note that Pupyrev first suggested using graph products to show that stack-number is not bounded by queue-number [11].

Another noteworthy consequence of Theorem 1 is that it resolves a conjecture of Blankenship and Oporowski [1, 2]. A graph G' is a *subdivision* of a graph G if it can be obtained by replacing every edge by a path. The vertices in G'-G are called the *division vertices*. A subdivision of G with exactly f division vertices for each edge of G is called the *t-subdivision* of G. In 1999, Blankeship and Oporowski conjectured that the subdivision of any graph is not too much smaller than that of the original graph. More precisely:

Conjecture 1. [1, 2] There exists a function f such that for every graph G and the 2-subdivision G' of G we have $\operatorname{sn}(G) \leqslant f(\operatorname{sn}(G'))$.

Dujmović et al. [6], in their extensive study of layout of graph subdivisions, proved the following.

Theorem 2. [6] For every integer $s \ge 3$, every graph G has an s-stack subdivision with $1 + 2\lceil \log_{s-1} \operatorname{qn}(G) \rceil$ division vertices per edge.

As a consequence of this result, Dujvonic et al. showed that if the Blankeship and Oporowski Conjecture is true, then it follows that stack-number is bounded by queue-number. But as Theorem 1 proves that this is not the case, it follows that Conjecture 1 is indeed false. We note that for our graph G in Theorem 1, since $\operatorname{qn}(G) \leqslant 4$ as a consequence of Theorem 2 we have the following corollary.

Corollary 1. For the graph G specified in Theorem 1, there exists a 3-stack layout of the 5-subdivision of G.

This demonstrates that the stack-number of a graph can be unboundedly larger than that of a subdivision of it.

Heath et al. [9] showed that every 1-stack graph has a 2-queue layout. Dujmović et al. [4] showed that planar graphs have bounded queue-number. In particular, 2-stack graphs have bounded queue-number. It is open whether 3-stack graphs have bounded queue-number. In fact, the case of three stacks is as hard as the general question. Dujmović and Wood [6] proved that queue-number is bounded by stack-number if and only if 3-stack graphs have bounded queue-number. Moreover, if this is true then stack-number is bounded by a polynomial function of queue-number.

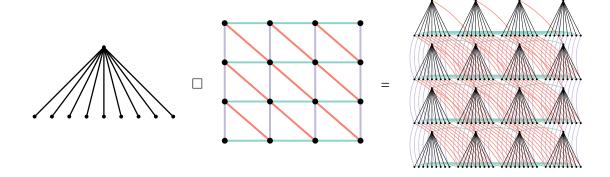


Figure 1: $S_9 \square Q_4$.

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The graph G in Theorem 1 is obtained as follows (See Figure 1): Let S_b denote the star graph with root r and b leaves. For an even positive integer n, let Q_n be the $n \times n$ triangulated grid, defined by $V(Q_n) := \{1, ..., n\}^2$ and

$$E(Q_n) := \{(x,y)(x+1,y) : x \in \{1,\ldots,n-1\}, y \in \{1,\ldots,n\}\}$$

$$\cup \{(x,y)(x,y+1) : x \in \{1,\ldots,n\}, y \in \{1,\ldots,n-1\}\}$$

$$\cup \{(x,y+1)(x+1,y) : x,y \in \{1,\ldots,n-1\}\}.$$

We consider the graph $G := S_b \square Q_n$. That is, $V(G) = V(S_b) \times V(Q_n)$ where vertices $(v_1, w_1), (v_2, w_2) \in V(G)$ are adjacent whenever $v_1 = w_1$ and $v_2 w_2 \in E(Q_n)$, or $v_1 w_1 \in E(S_b)$ and $v_2 = w_2$. PM: Sug-

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2 Queue-Number Upper Bound

To prove that $\operatorname{qn}(G) \leqslant 4$ in Theorem 1 we need the following definition due to Wood [12]. A queue layout (φ, \prec) is *strict* if for every vertex $u \in V(G)$ and for all neighbours $v, w \in N_G(u)$ with $u \prec v, w$ or $v, w \prec u$, we have $\varphi(uv) \neq \varphi(uw)$. Let $\operatorname{sqn}(G)$ be the minimum integer k such that G has a strict k-queue layout. Note that $\operatorname{sqn}(Q_n) \leqslant 3$: Order the vertices row-by-row and then left-to-right within a row, with vertical edges in one queue, horizontal edges in one queue, and diagonal edges in another queue. Wood [12] proved that $\operatorname{qn}(G \square H) \leqslant \operatorname{qn}(G) + \operatorname{sqn}(H)$ for all graphs G and H. Of course, S_b has a 1-queue layout (since no two edges are nested for any vertex-ordering). Thus

$$\operatorname{qn}(S_b \square Q_n) \leqslant 4.$$

ADD TO DISCUSSION LATER: Q_n is planar with a Hamiltonian cycle (assuming n is even), so $\operatorname{sn}(Q_n) \leq 2$

3 Stack-Number Lower Bound

Consider a hypothetical s-stack layout (φ, \prec) of G where n and b are chosen sufficiently large compared to s as detailed below. We begin with three lemmata that, for sufficiently large b, allow us to find a large subgraph S_d of S_b for which the stack layout (φ, \prec) of $S_d \square Q_n$ is highly structured.

For each node v of S_b , we define π_v as the permutation of $\{1, ..., n\}^2$ in which (x_1, y_1) appears before (x_2, y_2) if and only $(v, x_1, y_1) < (v, x_2, y_2)$. The following lemma is an immediate consequence of the Pigeonhole Principle:

Lemma 1. There exists a permutation π of $\{1,...,n\}^2$ and a set L_1 of leaves of S_b of size $b_1 \ge \lceil b/(n^2)! \rceil$ such that $\pi_v = \pi$ for each $v \in L_1$.

If we cared, we could improve this to $b/2^{cn^2}$ since we only use the weaker property (P3) in the final proof.

For each leaf v in L, let φ_v be the edge colouring of Q_n defined by $\varphi_v(x,y) := \varphi(v,x,y)$. The edges of Q_n are defined as the union of three sets, each of which has size less than n^2 , so Q_n has less than $3n^2$ edges. Therefore are fewer than s^{3n^2} edge colourings of Q_n using s colours. Another application of the Pigeonhole Principle proves the following:

Lemma 2. There exists a subset $L_2 \subseteq L_1$ of size $b_2 \geqslant b_1/s^{3n^2}$ and an edge colouring $\varphi_0 : Q_n \to \{1, \ldots, s\}$ such that $\varphi_v = \varphi_0$ for each $v \in L_2$.

The preceding two lemmata ensure that, for distinct leaves v and w of S_{b_2} , the stack layout of the isomorphic graphs $Q_v := G[\{(v,p): p \in V(Q)] \text{ and } Q_w := G[\{(w,p): p \in V(Q)] \text{ is identical.}$ The next lemma is a statement about the relationships between the stack layouts of $S_p := G[\{(v,p): v \in V(S_{b_2})] \text{ and } S_q := G[\{(v,q): v \in V(S_{b_2})] \text{ for two distinct } p,q \in V(Q).$ It cannot assert that these two layouts are identical but it does state that they fall into one of two categories.

Lemma 3. There exists a sequence $L_3 := u_1, \dots, u_{b_3}$ with $\{u_1, \dots, u_{b_3}\} \subseteq L_2$ of length $b_3 \geqslant b_2^{1/2^{n^2-1}}$ such that, for each $p \in V(Q_n)$, $(u_1, p) < (u_2, p) < \dots < (u_{b_3}, p)$ or $(u_1, p) > (u_2, p) > \dots > (u_{b_3}, p)$.

Proof. Let p_1, \ldots, p_{n^2} denote the vertices of Q_n , in any order. Begin with the sequence $S_1 := v_{1,1}, \ldots, v_{1,d_1}$ that contains all $d_1 := b_2$ elements of L_2 ordered so that $(v_{1,1}, p_1) < \cdots < (v_{1,d_1}, p_1)$. For each $i \in \{2, \ldots, n^2\}$, the Erdős-Szekeres Theorem [7] implies that, S_{i-1} contains a subsequence $S_i := v_{i,1}, \ldots, v_{i,d_i}$ of length $d_i \geqslant \sqrt{|S_{i-1}|}$ such that $(v_{i,1}, p_i) < \cdots < (v_{i,d_i}, p_i)$ or $(v_{i,1}, p_i) > \cdots > (v_{i,d_i}, p_i)$. It is straightforward to verify by induction on i that $d_i \geqslant b_2^{1/2^{i-1}}$ resulting in a final sequence $S_{n^2} := L_3$ of length at least $b_2^{1/2^{n^2-1}}$.

Let $d := b_3$ and let S_d be the subgraph of S_b induced by $\{r\} \cup \{u_1, \dots, u_d\}$ where u_1, \dots, u_d is the sequence of leaves defined in Lemma 3. Consider the (improper) vertex colouring of Q_n obtained by colouring each vertex $p \in V(Q_n)$ red if $(u_1, p) < \dots < (u_d, p)$ and colouring p blue if $(u_1, p) > \dots > (u_d, p)$.

Lemma 4. The graph Q_n contains an n-vertex path R consisting entirely of red vertices or entirely of blue vertices.

Proof. The dual of Q_n is the board on which the game Hex is played. The well-known Hex *Lemma* states that any colouring of the vertices of Q_n with colours red and blue contains exactly one of the following [8]:

- 1. a path with endpoints (x,1) and (x',n) consisting entirely of red vertices, for some $x,x' \in \{1,\ldots,n\}$; or
- 2. a path with endpoints (1, y) and (n, y') consisting entirely of blue vertices, for some $y, y' \in \{1, ..., n\}$.

In either case, the path contains at least n vertices and therefore has a n-vertex subpath consisting entirely of red vertices or entirely of blue vertices.

Without loss of generality, assume that the path $R:=p_1,\ldots,p_n$ defined by Lemma 4 consists entirely of red vertices, so that $(u_1,p_j)<\cdots<(u_d,p_j)$ for each $j\in\{1,\ldots,n\}$. Recall that $(\varphi,<)$ is a hypothetical s-stack layout of G and therefore it is also an s-stack layout of the subgraph $X:=S_d\square R$. The following result finishes the proof by showing that this is not possible when n>2s and $d>s2^n$.

Lemma 5. The graph X contains a set of edges of size at least $\min\{d/2^n, n/2\}$ that are pairwise crossing with respect to \prec .

Proof. We will define two sequences of nested sets $A_1 \supseteq \cdots \supseteq A_n$ of leaves of S_d so that each A_i satisifies the following conditions:

- (C1) A_i contain $d_i \ge d/2^{i-1}$ leaves of S_d .
- (C2) Each leaf $v \in A_i$ defines an i-element vertex set $Z_{i,v} := \{(v, p_j) : j \in \{1, ..., i\}\}$. For any distinct $v, w \in A_i$, $Z_{i,v}$ and $Z_{i,w}$ are separated with respect to \prec , i.e., $Z_{i,v} \prec Z_{i,w}$ or $Z_{i,v} > Z_{i,w}$.

Before defining $A_1, ..., A_n$ we first show how the existence of the set A_n implies the lemma. To avoid triple-subscripts, let $d' := d_n \ge d/2^n$. The set A_n defines vertex sets $Z_{n,v_1} < \cdots < Z_{n,v_{d'}}$. Refer to Figure 2. Recall that r is the root of S_b so it is adjacent to each of $v_1, ..., v_{d'}$ in S_b . Therefore, for each $j \in \{1, ..., n\}$ and each $i \in \{1, ..., d'\}$, the edge $(r,p_j)(v_i,p_j)$ is in X. Therefore, (r,p_j) is adjacent to an element of each of $Z_{n,v_1}, ..., Z_{n,v_{d'}}$.

Since $Z_{n,v_1},\ldots,Z_{n,v_{d'}}$ are separated with respect to \prec , when viewed from afar, this situation looks like a complete bipartite graph $K_{n,d'}$ with the root vertices $L:=\{(r,p_j): j\in\{1,\ldots,n\}\}$ in one part and the groups $R:=Z_{n,v_1}\cup\cdots\cup Z_{n,v_{d'}}$ in the other part. Any linear ordering of $K_{n,d'}$ has a large set of pairwise crossing edges so, intuitively, the graph induced by $L\cup R$ should also have a large set of pairwise crossing edges. Lemma 6, below, formalizes this and shows that this graph has a set of at least $\min\{d',n\}/2$ pairwise crossing edges.

All that remains is to define the sets $A_1 \supseteq \cdots \supseteq A_n$ that satisfy (C1) and (C2). The set A_1 contains all the leaves of S_d . For each $i \in \{2, ..., n\}$, the set A_i is defined as follows: Let $Z_1, ..., Z_r$ denote the sets $\{(v, p_j) : j \in \{1, ..., i-1\}\}$ for $v \in A_{i-1}$ ordered so that $Z_1 < \cdots < Z_r$.

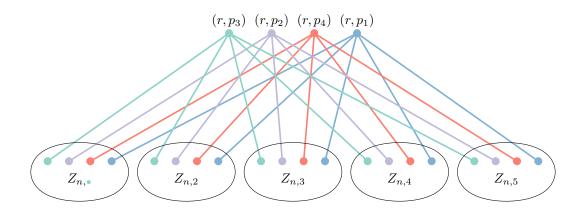


Figure 2: The sets $Z_{n,1},...,Z_{n,d'}$ (n = 4, d' = 5).

By Property (C2), this is always possible. Label the vertices of A_{i-1} as v_1, \ldots, v_r so that $(v_1, p_{i-1}) < \cdots < (v_r, p_{i-1})$. (This is equivalent to naming them so that $(v_j, p_{i-1}) \in Z_j$ for each $j \in \{1, \ldots, r\}$.) We define the set $A_i := \{v_{2k+1} : k \in \{0, \ldots, \lfloor (r-1)/2 \rfloor\}\} = \{v_j \in A_{i-1} : j \text{ is odd}\}$. This completes the definition of A_1, \ldots, A_n .

All that remains is to verify that A_i satisfies (C1) and (C2). We do this by induction on i. The base case i=1 is trivial so we assume from this point on that $i \in \{2,...,n\}$. To see that A_i satisfies (C1) just observe that $|A_i| = \lceil r/2 \rceil \geqslant r/2 = |A_{i-1}|/2 \geqslant d/2^{i-1}$. All that remains is to show that A_i satisfies (C2).

For each $j \in \{i-1,i\}$, let $Q_j := Q_n[\{(v,p_j) : v \in A_{i-1}\}]$. Recall that, for each $v \in A_{i-1}$, the edge $e_v := (v,p_{i-1})(v,p_i)$ is in X. We have the following properties:

- (P1) By Lemma 2, $\varphi(e_v) = \varphi_0(p_{i-1}, p_i)$ for each $v \in \{A_{i-1}, e_i\}$
- (P2) By the application of Lemma 4, $(v, p_{i-1}) < (w, p_{i-1})$ if and only if $(v, p_i) < (w, p_i)$ for each $v, w \in A_{i-1}$.
- (P3) By Lemma 1, $(v, p_{i-1}) < (v, p_i)$ for every $v \in A_{i-1}$ or $(v, p_{i-1}) > (v, p_i)$ for every $v \in A_{i-1}$. We claim that these three conditions imply that the vertex sets Q_{i-1} and Q_i interleave perfectly with respect to <. More precisely:

Claim 1. $(v_1, p_{i-1+t}) < (v_1, p_{i-t}) < (v_2, p_{i-1+t}) < (v_2, p_{i-t}) \cdots < (v_r, p_{i-1+t}) < (v_r, p_{i-t})$ for some $t \in \{0, 1\}$.

Proof of Claim 1. By (P3) we may assume, without loss of generality, that $(v,p_{i-1}) < (v,p_i)$ for each $v \in A_{i-1}$, in which case we are trying to prove the claim for t=0. It is sufficient, therefore to show that $(v_j,p_i) < (v_{j+1},p_{i-1})$ for each $j \in \{1,\ldots,r-1\}$. For the sake of contradiction, suppose $(v_j,p_i) > (v_{j+1},p_{i-1})$ for some $j \in \{1,\ldots,r-1\}$. By definition $(v_j,p_{i-1}) < (v_{j+1},p_{i-1})$ so, by (P2) $(v_j,p_i) < (v_{j+1},p_i)$. Therefore

$$(v_j, p_{i-1}) < (v_{j+1}, p_{i-1}) < (v_j, p_i) < (v_{j+1}, p_i)$$
.

Therefore the edges $(v_j, p_{i-1})(v_j, p_i)$ and $(v_{j+1}, p_{i-1})(v_{j+1}, p_i)$ cross with repect to \prec . But this is a contradiction since, by (P1), $\varphi((v_j, p_{i-1})(v_j, p_i)) = \varphi((v_{j+1}, p_{i-1})(v_{j+1}, p_i)) = \varphi_0(p_{i-1}p_i)$. This contradiction completes the proof of Claim 1.

Tiny notation conflict here: Q_n , Q_{i-1} .

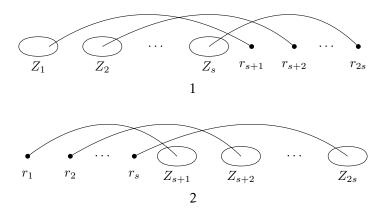


Figure 3: The two cases in the proof of Lemma 6.

Now, apply Claim 1 and assume without loss of generality that t = 0, so that

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$$(v_1, p_{i-1}) < (v_1, p_i) < (v_2, p_{i-1}) < (v_2, p_i) \cdots < (v_r, p_{i-1}) < (v_r, p_i)$$
.

For each $j \in \{1,...,r-2\}$, $(v_{j+1},p_{i-1}) \in Z_{j+1} < Z_{j+2}$, so $(v_j,p_i) < (v_{j+1},p_{i-1}) < Z_{j+2}$. Therefore $Z_j \cup \{(v_j,p_i)\} < Z_{j+2}$. By a symmetric argument, $Z_j \cup \{(v_j,p_i)\} > Z_{j-2}$ for each $j \in \{2,...,r\}$. Finally, since $(v_j,p_i) < (v_{j+2},p_i)$ for each odd $i\S_4in\{1,...,r\}$, we have $Z_j \cup \{(v_j,p_i)\} < Z_{j+2} \cup \{(v_{j+2},p_i)\}$ for each odd $j \in \{1,...,r-2\}$. Thus A_i satisifies (C2) since the sets $Z_1 \cup \{(v_1,p_i)\}, Z_3 \cup \{(v_3,p_i)\}, ..., Z_{2\lfloor (\lfloor r-1)/2 \rfloor + 1} \cup (v_{2\lfloor (\lfloor r-1)/2 \rfloor + 1},p_i)$ are precisely the sets $Z_{i,1},...,Z_{i,d_i}$ determined by our choice of A_i .

Lemma 6. Let G be any graph, let < be any linear ordering of V(G), let $Z_1 < \cdots < Z_{2s}$ be subsets of V(G), and let $r_1 < \cdots < r_{2s}$ be vertices of G such that, for each $i, j \in \{1, \dots, 2s\}$, G contains an edge $r_i z_j$ with $z_j \in Z_j$. Then G contains a set of s edges that are pairwise crossing with respect to <.

Proof. At least one of the following two cases applies (see Figure 3):

- 1. $Z_s < r_{s+1}$ in which case the graph between r_{s+1}, \dots, r_{2s} and Z_1, \dots, Z_s has a set of s pairwise-crossing edges.
- 2. $r_s < Z_{s+1}$ in which case the graph between $r_1, ..., r_s$ and $Z_{s+1}, ..., Z_{2s}$ has a set of s pairwise-crossing edges.

4 Open Problems

Recall that every 1-queue graph has a 2-stack layout [9] and we proved that there are 4-queue graphs with unbounded stack-number. The following questions remain open: Do 2-queue graphs have bounded stack-number? Do 3-queue graphs have bounded stack-number?

Is $\operatorname{sn}(T \square H)$ bounded for every tree T and outerplanar graph H with bounded degree? Is $\operatorname{sn}(T \boxtimes P)$ bounded for every tree T and path P?

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