

#### UNIT IV

#### Greedy method and Backtracking

⇒ The General Greedy method:-

→ most straight-forward design technique.

a) most problems have  $n$  inputs.

b) solution → contains subset of inputs that satisfies a given constraint.

c) Feasible solution → Any subset that satisfies the constraint.

d) need to find a feasible solution that maximizes/minimizes a given objective function - optimal solution.

→ used to determine a feasible solution that may / may not be optimal.

a) At every point, make a decision that is locally optimal; & hope that it leads to a globally optimal solution.

b) leads to a powerful method for getting a solution that works well for a wide range of applications.  
(wide)



$$\Rightarrow n=3, m=20, (p_1, p_2, p_3) = (25, 24, 15),$$

$$(w_1, w_2, w_3) = (18, 15, 10).$$

Four feasible solutions are:-

	$(x_1, x_2, x_3)$	$\sum w_i x_i$	$\sum p_i x_i$
a)	$(\frac{1}{2}, \frac{1}{3}, \frac{1}{6})$	16.5	24.25
b)	$(\frac{1}{4}, \frac{2}{15}, 10)$	20	28.2p
c)	$(0, 2/3, 1)$	20	31
d)	$(0, 1, \frac{1}{2})$	20	31.5

out of these,

$$m=15$$

Solution 4 yields maximum

profit. As we shall see,

this solution is optimal for the given problem instance.

$\Rightarrow$  change making problem:-

Given unlimited amounts of coins of denominations  $d_1, \dots, d_m$ , give change of amount  $n$  with the least no. of coins.

$$\text{Ex: } d_1=25c, d_2=10c, d_3=5c, d_4=1c \quad \& \quad n=48c$$

Greedy solution:-

$\rightarrow$  Pick

$d_1 \rightarrow$  As it reduces remaining most

$d_2 \rightarrow$  remaining amount reduces to



$d_2$  / amount remaining, reduces to 3

$d_4$  / remaining amount, reduces to 2

$d_4$  / remaining amount, reduces to 1

$d_4$  / remaining amount, reduces to 0.

⇒ Greedy Technique:-

→ constructs a solution to an optimization problem piece by piece through a sequence of choices that are:-  
a) feasible, b) locally optimal, c) irrevocable.

→ For some problems, yields an optimal solution for every instance.

→ For most, doesn't but can be useful for fast approximations.

⇒ The General method:-

Algorithm Greedy( $a, n$ )

//  $a[1:n]$  contains the  $n$  inputs

{  
  Solution := 0 ; // Initialize the solution.

  for  $i=1$  to  $n$  do

  {  
     $x := \text{Select}(a)$ ;

    if Feasible(Solution,  $x$ ) then

      Solution := Union(Solution,  $x$ );



```

    }
    return solution;
}

```

Greedy method central abstraction for the  
Subset paradigm.

⇒ Knapsack problem:-

→ problem definition,

→ Given  $n$  objects and a knapsack  
where object  $i$  has a weight  $w_i$   
& Knapsack has a capacity  $m$ .

→ If a fraction  $x_i$  of object  $i$  placed  
into knapsack, a profit  $p_i x_i$  is earned.

→ The objective is to obtain a filling  
of knapsack maximizing the total profit.

$$\text{maximize } \sum_{1 \leq i \leq n} p_i x_i \quad (4.1)$$

$$\text{Subject to } \sum_{1 \leq i \leq n} w_i x_i \leq m \quad (4.2)$$

$$\text{and } 0 \leq x_i \leq 1, \quad 1 \leq i \leq n \quad (4.3)$$

→ A feasible solution is any set satisfying (4.2) & (4.3)

→ An optimal solution is a feasible solution for which

(4.1) is maxim

⇒ Algorithm

//  $p[1..n]$  -

// of the

//  $\geq p$

//  $x \in$

{ for

for

{

}



(a.i) is maximized.

⇒ Algorithm GreedyKnapsack( $m, n$ )  
//  $p[1:n]$  - profits,  $w[1:n]$  - weights,  
// of the  $n$  objects ordered such that  $p[i]/w[i]$   
//  $\geq p[i+1]/w[i+1]$ .  $m$  is the knapsack size &  
//  $x[1:n]$  is the solution vector.

{  
  for  $i := 1$  to  $n$  do  $x[i] := 0, 0$ ; // initialise  $x$   
   $U := m$

  for  $i := 1$  to  $n$  do  $m = 15$

  {  
    if ( $w[i] > U$ ) then break;  
     $x[i] := 1, 0$ ;  $U := U - w[i]$ ;

i	1	2	3
p	15	10	20
w	5	4	6
p/w	3	2.5	2

  }  
  if ( $i \leq n$ ) then  $x[i] := U/w[i]$ ;

i	U	$w[i]$	$x[i]$
1	15	5	1
2	10	4	1
3	6	6	0.6

$$P = 15 + 10 + 20 * (0.6) = 37$$

Algorithm for greedy strategies for the knapsack problem.



⇒ Time Complexity :-

→ Sorting:  $O(n \log n)$  using <sup>sorting</sup> fast algorithm like merge sort.

→ Greedy Knapsack:  $O(n)$

→ So, total time is  $O(n \log n)$

→ if  $P_1/w_1 \geq P_2/w_2 \geq \dots \geq P_n/w_n$ , then Greedy Knapsack generates an optimal solution to the given instance of the Knapsack problem.

⇒ Find an optimal solution to the Knapsack instance

$$n = 7$$

$$m = 15$$

$$(P_1, P_2, \dots, P_7) = (10, 5, 15, 7, 6, 18, 3)$$

$$(w_1, w_2, \dots, w_7) = (2, 3, 5, 7, 1, 4, 1)$$

⇒ Job sequencing with deadlines:-

$$P_i: 5 \quad 10 \quad 25 \quad 15 \quad 20$$

$$d_i: 2 \quad 1 \quad 3 \quad 3 \quad 1$$

Q) we are given a set (n Jobs).



b)  $i$  - integer,  $d_i \rightarrow$  deadline,  $d_i \geq 0$  & a profit  $p_i \geq 0$ .

c) Job  $i$ , profit  $p_i$  is earned iff the Job is completed by its deadline.

d) Each Job need one unit of time to be completed & only one machine is available.

e) A feasible solution is a Subset  $J$  of Jobs such that each Job in this Subset can be completed by its deadline & the total profit is the sum of the Jobs' profits in  $J$ .

f) An optimal solution is a feasible solution with a maximum profit.

Ex:-

$$n=4 / (p_1, p_2, p_3, p_4) = (100, 10, 15, 27)$$

$$(d_1, d_2, d_3, d_4) = (2, 1, 2, 1)$$

Feasible solution	processing sequence	value
1. (1, 2)	2, 1	110
2. (1, 3)	1, 3 / 3, 1	115
3. (1, 4)	4, 1	127
4. (2, 3)	2, 3	25
5. (3, 4)	4, 3	42
6. (1)	1	100
7. (2)	2	10
8. (3)	3	15
9. (4)	4	27



→ Begin optimization function  
with  $J = \phi$ .

→  $J_1$  considered, added to  $J \rightarrow J = \{1\}$

→  $J_4$  considered, added to  $J \rightarrow J = \{1, 4\}$

→  $J_3$  considered, but discarded; not feasible  $\rightarrow J = \{1, 4\}$

→  $J_2$  considered, but discarded; not feasible  $\rightarrow J = \{1, 4\}$

→ Final Solution is  $J = \{1, 4\}$  with total profit 127

→  $J$  is optimal.

→ Greedy method described above always obtains an optimal solution to the Job Sequencing problem.

→ High level description of Job Sequencing algorithm.

→ Assuming Jobs are ordered as  $p[1] \geq p[2] \geq \dots \geq p[n]$

GreedyJob(int d[], set J, int n)

// J is a set of Jobs that can be completed by their deadlines.

{  
     $J = \{1\};$

    for (int i = 2; i ≤ n; i++)

        if (all Jobs in  $J \cup \{i\}$  can be completed by the deadlines)

$J = J \cup \{i\};$   
}



⇒ Single Source shortest path:-

Length = Sum of weights of the edges.

$V_s$  - starting vertex

$V_n$  - destination

Digraphs to allow for one-way streets.

$G = (V, E)$ ,  $V_s$  - Source vertex.

weighting for set for the edges  $E$ .

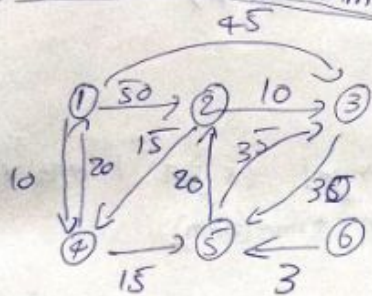
shortest path from  $V_s \rightarrow$  all vertices ( $G$ )

weights  $\Rightarrow +ve$

$V_s \rightarrow$  other node is an ordering among subset of the edges.

This problem fits the ordering (diag) paradigm.

⇒ Dijkstra Algorithm:-



a) Graph

Path length

- a) 1, 4      10
- b) 1, 4, 5    25
- c) 1, 4, 5, 2   45
- d) 1, 3      45

b) shortest path from 1.

⇒ Algorithm  $shortest(v, cost, dist, n)$

{ for  $i := 1$  to  $n$  do

{  $dist[i] := cost[v, i]$  // initialize s.

}

//  $dist[v] = 0$ ,  $G$  is represented by its cost adjacency matrix  $cost[1:n, 1:n]$ .

//  $dist[i]$ ,  $1 \leq i \leq n$  is set to  
// length of shortest path  
// from vertexes  $v$  to  $i$   
// in digraph  $G$   
// with  $n$  vertices.



$S[v] := \text{true}; \text{dist}[v] = 0.0;$  // put  $v$  in  $S$ .

for  $\text{num} := 2$  to  $n-1$  do

{ determine  $n-1$  paths from  $v$ .  
(choose  $u$  from among those vertices not  
in  $S$  such that  $\text{dist}[u]$  is minimum)

$S[u] := \text{true};$  // put  $u$  in  $S$ .

for (each  $w$  adjacent to  $u$  with  $S[w] = \text{false}$ ,

if ( $\text{dist}[w] > (\text{dist}[u] + \text{cost}[u, w])$ ) then

$\text{dist}[w] := \text{dist}[u] + \text{cost}[u, w];$  // (update) distances

}

$\Rightarrow$  optimal merge pattern:-

2 sorted files  $\xrightarrow[n \text{ records}]{m}$  merged to get 1 sorted file  
in time  $(n+m)$ .

$\rightarrow$

$> 2$  sorted files merged together, done by  
repeatedly merging sorted files in pairs.

$\rightarrow$

If  $x_1, x_2, x_3, x_4$  for merging,

$$x_1 + x_2 = y_1$$

$$y_1 + x_3 = y_2$$

$y_2 + x_4 \rightarrow$  desired sorted file.

Alternatively,  $x_1 + x_2 = y_1$ ,  $x_3 + x_4 = y_2$   
 $y_1 + y_2 \rightarrow$  desired sorted file.



no. of ways to merge 'n' Sorted files.

→ Diff. patterns / diff. computations, one best optimal way to merge (we want) that.

Ex:-

$x_1 = 30$	/	$x_1 + x_2 \rightarrow 50 \text{ moves}$
$x_2 = 20$		$y_1 + x_3 \rightarrow 60 \text{ moves}$
$x_3 = 10$		

a) 110 moves needed

b)

$x_2 + x_3 \rightarrow 30 \text{ moves}$
$y_1 + x_1 \rightarrow 60 \text{ moves}$

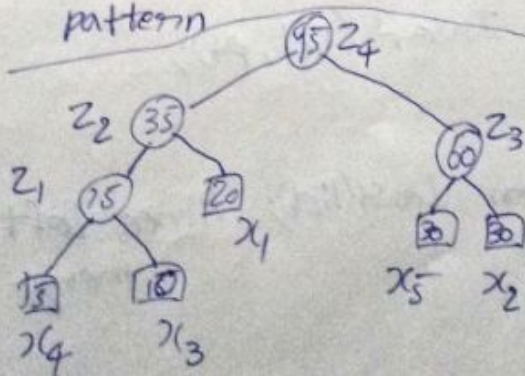
90 moves needed

2nd merging pattern is better than 1st.

Ex:-

Binary merge Tree!  
for getting optimal merge pattern  $(x_1, x_2, x_3, x_4 \& x_5)$

Combining,  
Small  $\rightarrow$  Big



$$x_3 + x_4 = y_1$$

$$y_1 + x_1 = y_2$$

$$y_1 + y_2 = y_3$$

$$x_2 + x_5 = y_4$$

$$y_3 + y_4 = \text{desired sorted file}$$



→ Algorithm:

```
tree node = struct {
    tree node * lchild;
    tree node * rchild;
    integer weight;
};
```

Algorithm Tree(n) // list is a global of n single nodes  
 // binary trees as described below  
 {  
 for i = 1 to n-1 do

{

pt = new tree node; // get a new tree node

(pt → lchild) = Least(list); // merge 2 trees with

(pt → rchild) = Least(list); // Small lengths

(pt → weight) := ((pt → lchild) → weight)  
 + ((pt → rchild) → weight);

Insert(list, pt);

}

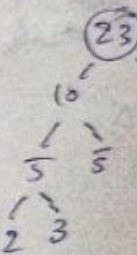
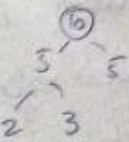
return Least(list); // tree left in list is the merge tree

}

Time complexity:  $O(n \log n)$

→ Huffman

2



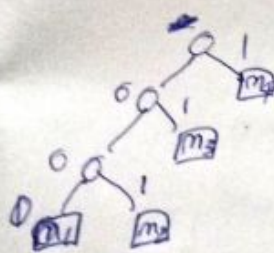
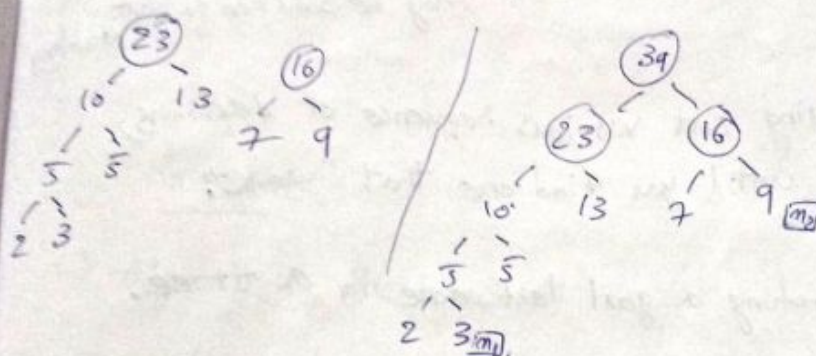


# 1) Huffman Code:-

2 3 5 7 9 13

5 7 9 13

2 9 13 10 16 13



$m_1 = 000$   
 $m_2 = 001$   
 $m_3 = 01$   
 $m_4 = 1$

Decoding messages

→ velocity

$\sum_{1 \leq i \leq n} q_i d_i$  → distance from external node to message.





Scanned By Camera Scanner



```

{
  if ( $x[1], \dots, x[k]$  is a path to an answer node)
    then write ( $x[1:k]$ );
     $k = k + 1$ ; // consider the next set;
}
else  $k := k - 1$ ; // Backtrack to the previous set.
}
}

```

### Recursive

⇒ Algorithm Backtrack( $k$ )

// using Recursion.

// on entering, first  $k-1$  values  $x[1], x[2], \dots$ ,  
//  $x[k-1]$  of the solution vector.

//  $x[1:n]$  have been assigned,  $x[i] \in n$  are global.

```

{
  for (each  $x[k] \in T(x[1], \dots, x[k-1])$ ) do
  {
    if ( $B_x(x[1], x[2], \dots, x[k]) \neq 0$ ) then
    {
      if ( $x[1], x[2], \dots, x[k]$  is a path to
        an answer node)
        then write ( $x[1:k]$ );
      if ( $k = n$ ) then Backtrack( $k+1$ );
    }
  }
}

```



=> The "8 Queens" problem:-

No queen must be in same

row & same column & same diagonal/not attack others.

Number of Queens = (Queen \* Queen) based

Choices / make (or) un-make / Stop time

-> Brute force <sup>method</sup> / naive Algorithm.

Before placing 1 queen =>  $64 * 63 * 62 \dots$

After placing 1 queen =>  $8 * 8 * 8 \dots$

=> Algorithm place(k, i)

// Returns true if a queen can be placed

// in kth row & ith column, otherwise it

// returns false, x[] is a global array

// whose first (k-1) values have been set.

// Abs(n) returns the absolute value of n.

{ for j = 1 to k-1 do

if ((x[j] == i) // Two in the same column

or (Abs(x[j] - i) == Abs(j - k))

then return false;

return true;



1. true, 1. true,

in same  
agonal/ not to  
ward  
ake/ Stop time.

for  $i := 1$  to  $n$  do

۱۰۰ ۱۰۱ ۱۰۲

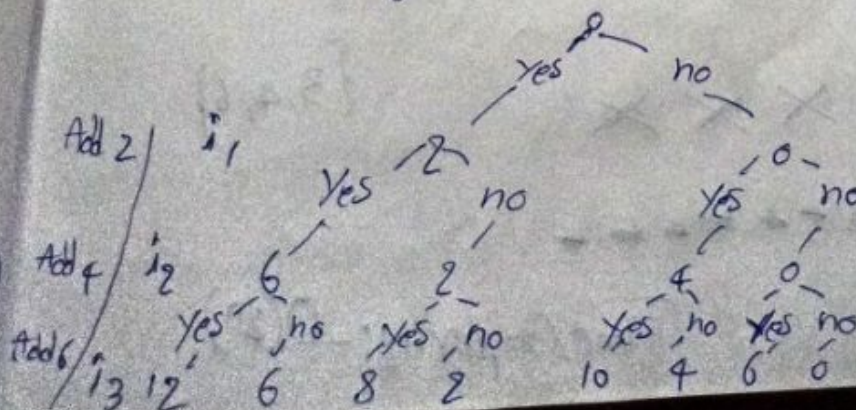
placed  
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Ad





⇒ DFS:-

based on height, (no balance, to solution)  
checks leaf node & checks the  
-ion of solution.

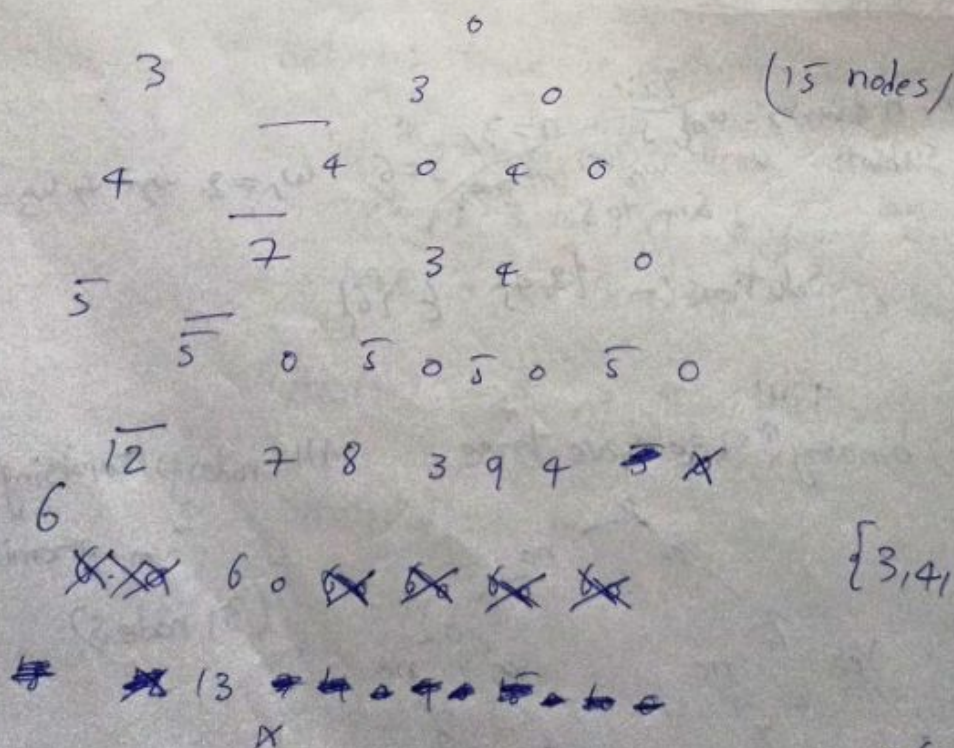
Slow process

non-promising node → not a feasible solution  
it is promising node.

A pruned State Space Tree:-

No Non-promising node

$$w_1 = 3, w_2 = 4, w_3 = 5, w_4 = 6, S = 13$$



Time Complexity:-  $O(2^n)$



⇒ Algorithm Sumofsub( $s, k, m$ )

{

$x[k] := 1;$

if ( $s + w[k] = m$ ) then write ( $x[1:k]$ );

else if ( $s + w[k] + w[k+1] \leq m$ )

then Sumofsub( $s + w[k], k+1, m - w[k]$ );

if (( $s + m - w[k] \geq m$ ) and ( $s + w[k+1] \leq m$ ))

{

then

$x[k] := 0;$

Sumofsub( $s, k+1, m - w[k]$ );

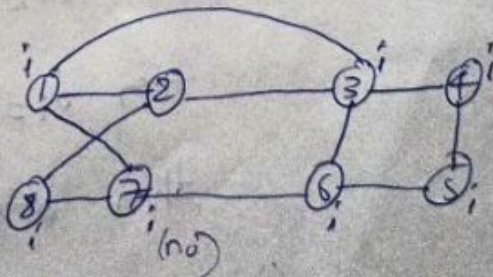
}

}

Recursive backtracking algorithm for Sum of Subsets problem.

⇒ Hamiltonian Cycles:-

Graph:

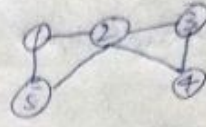


1, 3, 4, 5, 6, 7, 8, 2, 1 / 3, 4, 5, 6, 7, 8, 2, 1, 3

1, 2, 8, 7, 6, 5, 4, 3, 1



Graph 2:



no hamiltonian cycle

=> Algorithm Nextvalue(k)

//  $x[1:k-1]$ , path of  $k-1$  vertices, If  $x[k]=0$

// then no vertex has as yet been assigned

// to  $x[k]$ . After Execution,  $x[k]$  <sup>assigned</sup> ~~is~~ <sup>to</sup>

// next highest numbered vertex which does

// already appear in  $x[1:k-1]$  & is connected

// by an edge to  $x[k-1]$ . otherwise  $x[k]=0$ .

// If  $k=n$  then in addition  $x[k]$  is connected

// to  $x[1]$ .

{

repeat

{

$x[k] := (x[k] + 1) \bmod (n+1)$ ; // next vertex

if  $(x[k] = 0)$  then return;

if  $(G[x[k-1], x[k]] \neq 0)$  then

{

// Is there an edge?

for  $j := 1$  to  $k-1$  do if  $(x[j] = x[k])$

then break; // check for distinctness

if  $(j = k)$  then // If true, then the vertex is <sup>distinct</sup>

if  $(1 \leq k) \text{ or } (k = n) \text{ and } G[x[n], x[1]] \neq 0)$



```

    } then return;
  } until (false);
} // Generating a next vertex.
Algorithm Hamiltonian(k)

```

// This algorithm uses the recursive formulation  
 // of backtracking to find all the Hamiltonian  
 // cycles of a graph. The graph is <sup>stored</sup> as an  
 // adjacent matrix  $G[1:n, 1:n]$ . All cycles begin  
 // at node 1.

```

{
  repeat
  {
    // Generate values for  $x[k]$ .
    nextvalue(k); // Assign a legal next value to  $x[k]$ ;
    if ( $x[k] == 0$ ) then return;
    if ( $k == n$ ) then write ( $x[1:n]$ );
    else Hamiltonian(k+1);
  } until (false);
}

```

Finding all Hamiltonian cycles.



=> Algorithm Tsp using dynamic programming :-

Algorithm Tsp( $N, s$ )

{ Visited[ $N$ ] = 0;

Cost = 0;

Visited[ $s$ ] = 1;

if  $|N| = 2$  and  $k \neq s$  then

{ Cost( $N, k$ ) = dist( $s, k$ );

Return Cost;

}

else

{ for  $i \in N$  do

{ for  $i \in N$  and Visited[ $i$ ] = 0 do

{

if  $j \neq i$  and  $j \neq s$  then

{ Cost( $N, j$ ) = min (Tsp( $N - \{i\}, j$ ) + dist( $i, j$ ))

Visited[ $j$ ] = 1;

}

}

}

}

Return Cost;

}