

UNIT-V

Branch & Bound, NP-Hard & NP-Complete Problems

2) Branch & Bound:-

- To systematically search a solution space.
- Avoid subtrees which not contain answer node.

- a) Branching function:- (growth) DFS/BFS based on bounding function.
- b) Bounding function:- goes far beyond the feasibility test as a mean to prune efficiently the search space.

nodes
leaf
E
live
parent

BFS - FIFO (queue) / Explored fully the node.
DFS - LIFO (Stack)
Live \rightarrow E-node when children node attached



4 queen's problem
no optimality,
only pattern finding.

\Rightarrow Least cost (LC) Search:-

Ranking method

Rank/p :- optimal, least
Answer giving
path

Answer node
 \uparrow
 x
 \uparrow
Root

$\Rightarrow C()$

$$\Rightarrow C(x) = f(h(x)) + g(x)$$

Ranking
function

Approximation function

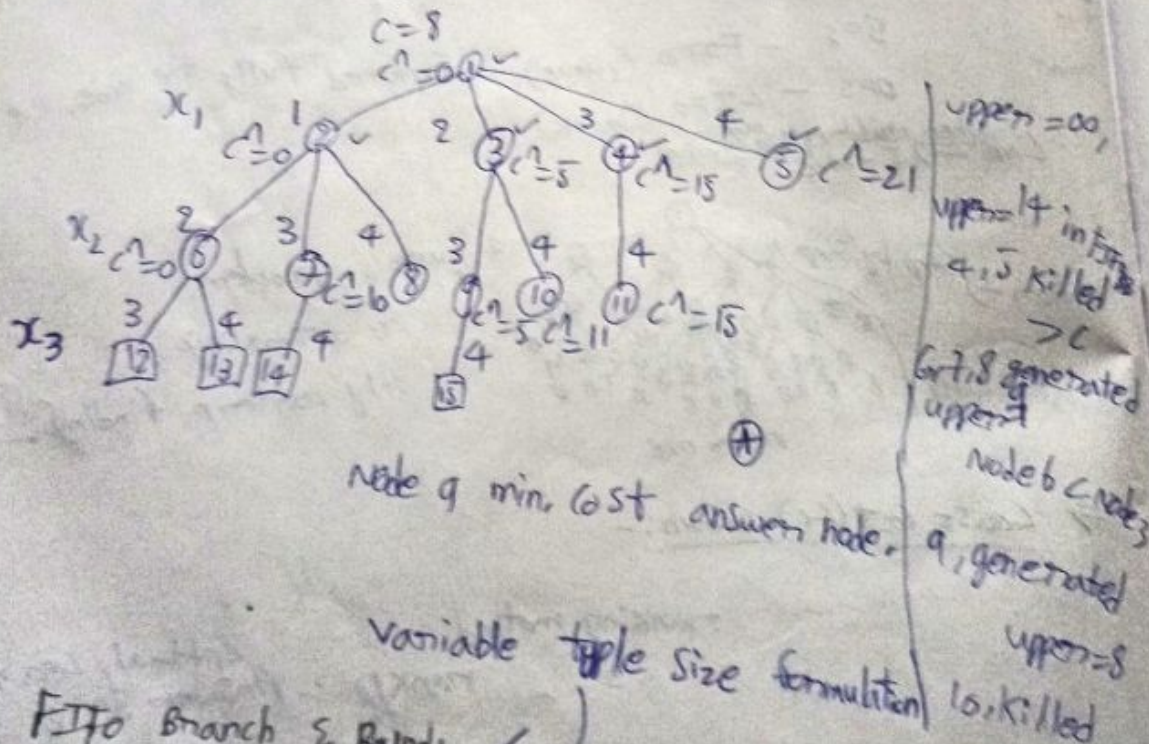
Additional effort for
reaching answer node from
the x .

(Cost \rightarrow height/depth of tree
 \rightarrow computational complexity)

\Rightarrow P, NP, NP-complete problems :-

P, NP, NP-complete
 fact solvable in polynomial time \rightarrow cost solvable in non-deterministic polynomial time
 optimization, (Time Expressed in polynomial)

\Rightarrow LC Branch & Bound :-

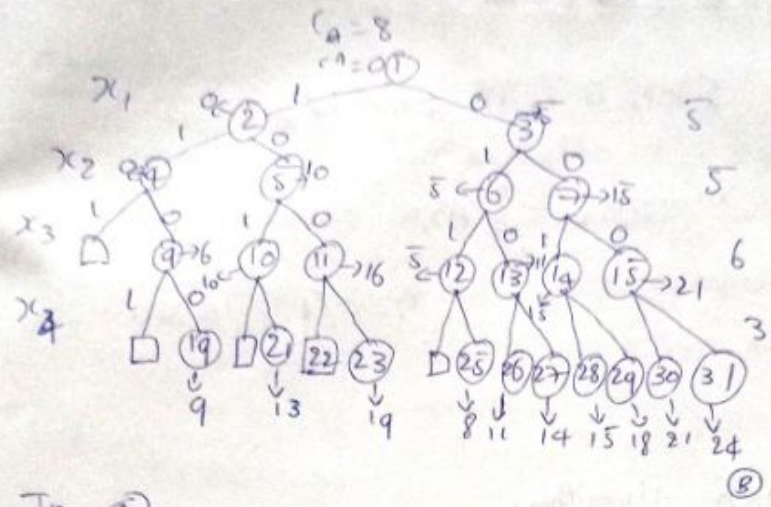


\Rightarrow FIFO Branch & Bound :-

general algorithm (or systematic method) for finding an optimal solution to various optimization problems, especially in discrete & combinatorial optimization.

Depth of tree
Time complexity

The child nodes are explored in first in first out manner.
fixed tuple size formation



In (A)

$$u(2) = 19, u(3) = 14, u(4) = 18, u(5) = 21$$

$u(2)$ = penalties sum of jobs.

next E-node

$$upper = 4$$

4, 5 Killed > upper.
(Bounded)

6 7 8

$$u(6) = 9 \quad upper = 9$$

$$u(7) = 10 > upper, \text{ killed.}$$

Node 8 - infeasible.

3 -> E-node

9 10

$$u(9) \rightarrow upper = 8 \quad u(10) = 11 > upper, \text{ node is killed}$$

minimum cost = 8

minimum cost answer node is 9

⇒ o/ Knapsack problem

$\sum p_i x_i$ is \uparrow , iff. $-\sum p_i x_i$ is \downarrow

minimize $-\sum_{i=1}^n p_i x_i$ Subject to $\sum_{i=1}^n w_i x_i \leq m$

$x_i = 0/1, 1 \leq i \leq n$

⇒ LC-Search Algorithm:-

```
listnode = record of
    listnode *next, *parent;
    float cost;
};
```

Algorithm LCsearch(t)

```
{
    if *t is an answer node then output *t &
        return;
    E := t;
```

Initialize the list of live nodes to be empty.

repeat

```
{ for each child x of E do
```

```
{ if x is an answer node then output
    the path from x to t & return;
```


Add(x);

(x → parent) := E;

}

if there are no more live nodes then

{ write("No answer node");

return;

}

E := Least();

} until (false);

}

⇒ Function Algorithm
U(.) for knapsack problem:-

Algorithm $UBand(\overset{\text{current item}}{p}, \overset{\text{profit}}{w}, \overset{\text{removed index}}{k}, \overset{\text{size}}{m})$
 $\overset{\text{current weight}}{b}$

{

$b := p, E := w;$

for $i := k+1$ to m do

{ if $(C + w[i] \leq m)$ then

{

$C := C + w[i];$

$b := b - p[i];$

}

}

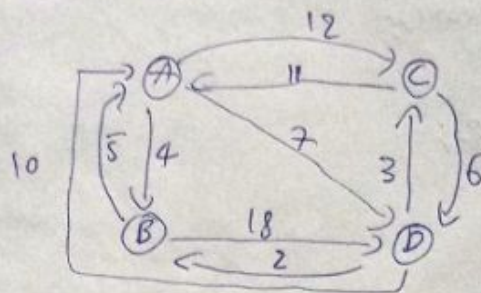
return $b;$

}

⇒ Travelling Sales person problem

Using a level order,

observe the nodes in which order they are generated. calculate the cost of the node simultaneously. If $\text{cost}(\text{any node}) > \text{upper bound}$, we will remove that node.



①

	A	B	C	D
A	∞	4	12	7
B	5	∞	∞	18
C	11	∞	∞	6
D	10	2	3	∞

Row Reduction (every Row at least one 0)

A - 4	∞	0	8	3
B - 5	0	∞	∞	13
C - 6	5	∞	∞	0
D - 2	8	0	1	∞
C - 1				

Sum = 18

Column Reduction (every column at least one 0)

∞	0	7	3
0	∞	∞	13
5	∞	∞	0
8	0	0	∞

Cost = 18

② Set, A, B to ∞ to A

If 0 $\rightarrow \infty$

(R.R.)

∞	∞	∞	∞
∞	∞	∞	13
5	∞	∞	0
8	∞	0	∞

C.R.

5

∞	∞	∞	∞
∞	∞	∞	∞
0	∞	∞	0
3	∞	0	∞

18

$$\text{Sum} = 18 + \text{Sum}(1)$$

$$= 36$$

$$\text{cost}(2) = 36$$

③ Set, A, C to ∞

A \rightarrow B

to ④

$$m(A, C) = 7$$

∞	∞	∞	∞
0	∞	∞	13
∞	∞	∞	0
8	0	∞	∞

R.R.

Same

C.R.

Same

$$\text{cost}(3) = \text{cost}(1)$$

$$+ 7$$

$$= 18 + 7 = 25 \checkmark$$

A \rightarrow C

④ Set, A, D to ∞ to ④

$$m(A, D) = 3$$

∞	∞	∞	∞
0	∞	∞	∞
5	∞	∞	∞
∞	0	0	∞

R.R.

C-5

∞	∞	∞	∞
0	∞	∞	∞
0	∞	∞	∞
∞	0	0	∞

C.R.

$$\text{cost}(4) = \text{cost}(1) + 5 + 3$$

$$= 18 + 5 + 3$$

$$= 26$$

A \rightarrow D

A-C is good

①

$A \rightarrow C \rightarrow B$

Set C, B to ∞

∞	∞	∞	∞
∞	∞	∞	13
∞	∞	∞	∞
8	0	∞	∞

$$m[C, B] = \infty$$

R.R.

B-13

D-8

C-R.

Same

$$\text{cost} \Rightarrow \text{cost}(A \rightarrow C) + 13 + 8 + \infty$$

$$\Rightarrow \infty$$

② $A \rightarrow C \rightarrow D$

Set C, D to ∞ to (B)
 $m[C, D] = \infty$

$A \rightarrow C \rightarrow B$

∞	∞	∞	∞
0	∞	∞	∞
∞	∞	∞	∞
∞	0	∞	∞

R.R.

same

C.R.

Same

$$\text{cost} \Rightarrow \text{cost}(A \rightarrow C) + 0$$

$$\Rightarrow 25$$

$A \rightarrow C \rightarrow D$

$A \rightarrow C \rightarrow D$ is good

①

$A \rightarrow C \rightarrow D \rightarrow B$

Set, D/B to ∞

∞	∞	∞	∞
∞	∞	∞	∞
∞	∞	∞	∞
∞	∞	∞	∞

R.R. / C.R.
same / same

$$\text{Cost} = \text{Cost}(A \rightarrow C \rightarrow D) + 0 + 0$$

$$= 25$$

$$A \rightarrow C \rightarrow D \rightarrow B \rightarrow A = 25$$

\Rightarrow Non-deterministic Algorithms:-

It exhibits different behaviors on different runs for same input.

Choice(s) - 1 element of S. $- O(1)$

Failure() - unsuccessful completion $- O(1)$

Success() - successful completion $- O(1)$

It terminates unsuccessfully iff. there exists no set of choices leading to a success signal.

\Rightarrow NP-hard & NP-complete. /

NP-hard \rightarrow If algorithm solving to 1 given solving NP problem
"at least as hard as any NP problem"

By NP-complete problem

no NP

no decision problem

Ex:- Halting problem,
Vertex cover problem etc.

NP-complete if problem is
solvable in polynomial
time by non-deterministic
algorithm

both NP & NP-hard
decision problem.

Ex:- Hamiltonian cycle in
graph / not,
Boolean formula is
satisfiable / not,
circuit satisfiability
problem etc.

\Rightarrow Cook's theorem: /

It proves that Satisfiability is NP-complete by reducing all non-deterministic Turing machines to SAT.

SAT \Rightarrow If assigned values to equation is true,
Satisfiable

if $P \wedge Q$ else
 $P=1, Q=1$ $P \wedge \sim P$

3SAT
=>

$\{\phi \mid \phi \text{ is satisfiable}\}$
3-CNF formula

$(x \vee y \vee z) \wedge (\neg x \vee \neg y \vee z) \leftarrow$ conjunctive normal form
 \downarrow
clause
Every clause exactly
 \downarrow
OR 3 literals

Theorem:-
SAT is NP-complete

Steps

a) NDTM \rightarrow non-deterministic Time machine.
Execution (polynomial time) \rightarrow well formed formulas

Such that formula satisfies if machine accept input.

b) Show Sum of lengths of formulae is polynomial in the size of problem.

2) Steps

\rightarrow NP-Hard(L) - can polynomial reduce NP to L

\rightarrow NP-complete - $L \in NP$

$\rightarrow L \in NP \rightarrow$ NDTM for L runs in polynomial time

\rightarrow NDTM \rightarrow only model we have for NP problem.

$\rightarrow SAT \in NP.$

→ ∴ we can polynomially reduce Σ an arbitrary polynomial NDTM to SAT. it means we have proven SAT is NP complete.

⇒ NP-scheduling problems:- optimal schedule for set of jobs is NP-complete even in 2 restricted cases:-
 a) All jobs require 1 time unit. b) All jobs require 1/2 time units, & there are only 2 processors.
 Resolving,

$P_1, P_2, \dots, P_n \rightarrow m$ machines

$T_1, T_2, T_3, \dots, T_k \rightarrow$ processing time.

Schedule S_i for each job J_k .

- processor which job J_k is carried out.
- Time period during which job J_k carries out processing.

only 1 job, f_k - finish time for job J_k .
 mean finish time

MFT \Rightarrow

$$\frac{1}{n} \sum_{k=1}^n f_k$$

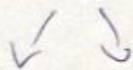
weight mean finish time

WMFT $\Rightarrow \frac{1}{n} \sum_{k=1}^n w_k \times f_k$

finish time of schedule \Rightarrow

$$FT(s) = \max_{1 \leq k \leq m} \{T_k(s)\}$$

Schedule S



Non-preemptive

Start to finish Job

on same processor

Preemptive

Start to finish Job

on different processor