



TDA Form # KTP OLA 186

Individual brokerage application

Account number
686202947

Date
11/09/2019



Follow the steps below

- Review your application for accuracy
- Mark any necessary changes on the form
- Sign the application
- Include the supporting documents listed below
- Return the signed application and documents to us

Mail or fax all required paperwork to:

Fax:
1-866-468-6268

Regular mail:
TD Ameritrade, Inc.
PO Box 2760
Omaha, NE 68103-2760

Overnight mail:
TD Ameritrade, Inc.
200 South 108th Ave.
Omaha, NE 68154-2631

Include these supporting documents

For joint accounts, please submit the same supporting documents for all account owners.

- IRS Form W-9 (if you did not enter the Social Security Numbers correctly for all applicants)
- A copy of your Social Security card or Individual Taxpayer Identification Number (ITIN)
- A copy of your government-issued ID, such as a current passport, visa, or driver's license
- Trusted contact form (optional)



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Do you already have an account with us?
No

Contact information

Name
Mr Mihir Nitin Patwardhan

Email you use regularly
patwardhan.mihir.n@gmail.com

Personal information

Home address
19119 Wildcat Trl
Davidson, NC 28036-7814
United States

Daytime phone number
(704) 770-6216

Date of birth
01/03/2001

SSN/ITIN
617-23-0567

Are you a U.S. citizen?
Yes

Are you also a citizen of another country?
No

How you'll use this account
Trade frequently, including stocks, options,
futures, and forex.

☒ I have read the TD Ameritrade Privacy Statement.

Financial information

Employment status
Student

Annual income
\$0-\$24,999

Net worth
\$15,000-\$49,999

Liquid net worth
\$15,000-\$49,999

Source of funds for initial deposit
Savings

Source of funds for ongoing deposits
Employment wages

Affiliations
Are you, or is your spouse, or is any member of your immediate family living in the same household licensed by, employed by, or associated with a broker-dealer firm a financial services regulator, a securities exchange, or a member of a securities exchange?
Yes

Business/employer name
Wellsfargo

Business/employer address
301 S Tryon St Fl 1
Charlotte, NC 28282
United States

Affiliations
Are you, or is your spouse, or is any member of your immediate family a director, a 10% shareholder, or a policy-making officer of a publicly traded company?
No

Sweep of uninvested cash
FDIC-insured deposit account(s)



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Electronic delivery of documents

- Client Agreement
- Account Handbook
- Business Continuity Plan Statement
- TD Ameritrade Privacy Statement

You'll receive these documents in an email after your account is opened. They're also available for you after you log in to your account at Client Services > Forms & Agreements.

☒ I, Mr Mihir Nitin Patwardhan, accept electronic delivery of these documents and do not need them mailed to me.

IRS Form W-9 certifications

Under penalty of perjury, I, Mr Mihir Nitin Patwardhan, certify that:

- 617-23-0567 is my correct taxpayer identification number
- I am not subject to backup withholding

☒ I agree ☐ I disagree

- I am a U.S. citizen or other U.S. person
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct

You must select "I disagree" above if you have been notified by the IRS that you are subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Client agreement

Before we can open your account, you must read and electronically sign the TD Ameritrade Client Agreement. If you do not agree with the Client Agreement or find any part of it unacceptable, you shouldn't open your account at this time.

By signing below, I represent that:

- I am the person identified in this account
- I am accepting and agreeing to abide by all of the Client Agreement
- I am electronically signing these documents which will have the same effect as the execution of these documents by a written signature
- I am consenting to the electronic delivery of all information relating to my account, including statements, confirmations, and other regulatory documents. I will receive shareholder information electronically when available

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement on page 8.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

I, Mr Mihir Nitin Patwardhan, agree to the terms of the Client Agreement and certify the accuracy of the W-9 statements above.

Mr Mihir Nitin Patwardhan

Date

Questions? Call us at 800-454-9272.

For additional information on how to fund your account, go to www.tdameritrade.com/funding-and-transfers.page.

Date submitted: 2019-11-09 01:56:12 PM CST