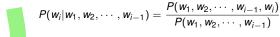
# Natural Language Processing Sequence to Sequence Models and Attention

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## Language Models and Language Generation

- Language modeling is the task of assigning a probability to sentences in a language.
- Example: what is the probability of seeing the sentence "the lazy dog barked loudly"?
- The task can be formulated as the task of predicting the probability of seeing a word conditioned on previous words:

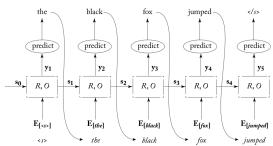


## Language Models and Language Generation

- RNNs can be used to train language models by tying the output at time i with its input at time i+1.
- This network can be used to generate sequences of words or random sentences.
- Generation process: predict a probability distribution over the first word conditioned on the start symbol, and draw a random word according to the predicted distribution.
- Then predict a probability distribution over the second word conditioned on the first, and so on, until predicting the end-of-sequence < /s> symbol.

## Language Models and Language Generation

• After predicting a distribution over the next output symbols  $P(t_i = k | t_{1:i-1})$ , a token  $t_i$  is chosen and its corresponding embedding vector is fed as the input to the next step.



- Teacher-forcing: during training the generator is fed with the ground-truth previous word even if its own prediction put a small probability mass on it.
- It is likely that the generator would have generated a different word at this state in test time.

## Sequence to Sequence Problems

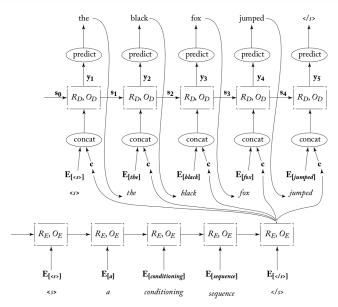
Nearly any task in NLP can be formulated as a sequence to sequence (or conditioned generation) task i.e., generate output sequences from input ones. Input and output sequences can have different lengths.

- Machine Translation: source language to target language.
- Summarization: long text to short text.
- Dialogue (chatbots): previous utterances to next utterance.

## **Conditioned Generation**

- While using the RNN as a generator is a cute exercise for demonstrating its strength, the power of RNN generator is really revealed when moving to a conditioned generation or encoder-decoder framework.
- Core idea: using two RNNs.
- Encoder: One RNN is used to encode the source input into a vector  $\overrightarrow{c}$ .
- Decoder: Another RNN is used to decode the encoder's output and generate the target output.
- At each stage of the generation process the context vector  $\overrightarrow{c}$  is concatenated to the input  $\hat{t}_j$  and the concatenation is fed into the RNN.

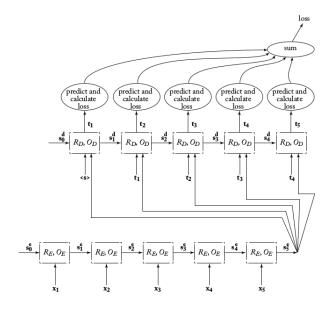
#### **Encoder Decoder Framework**



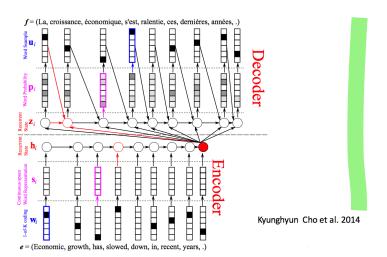
#### Conditioned Generation

- This setup is useful for mapping sequences of length n to sequences of length m.
- The encoder summarizes the source sentence as a vector  $\vec{c}$ .
- The decoder RNN is then used to predict (using a language modeling objective) the target sequence words conditioned on the previously predicted words as well as the encoded sentence c.
- The encoder and decoder RNNs are trained jointly.
- The supervision happens only for the decoder RNN, but the gradients are propagated all the way back to the encoder RNN.

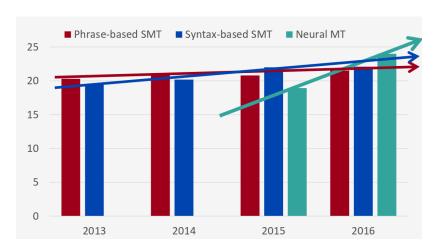
## Sequence to Sequence Training Graph



### **Neural Machine Translation**



## Machine Translation BLEU progress over time



#### [Edinburgh En-De WMT]

Osource: http://www.meta-net.eu/events/meta-forum-2016/ slides/09\_sennrich.pdf

## **Decoding Approaches**

- The decoder aims to generate the output sequence with maximal score (or maximal probability), i.e., such that  $\sum_{i=1}^{n} P(\hat{t}_{i}|\hat{t}_{1:i-1})$  is maximized.
- The non-markovian nature of the RNN means that the probability function cannot be decomposed into factors that allow for exact search using standard dynamic programming.
- Exact search: finding the optimum sequence requires evaluating every possible sequence (computationally prohibitive).
- Thus, it only makes sense to solving the optimization problem above approximately.
- Greedy search: choose the highest scoring prediction (word) at each step.
- This may result in sub-optimal overall probability leading to prefixes that are followed by low-probability events.

## **Greedy Search**

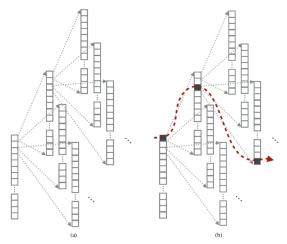


Figure 6.4: (a) Search space depicted as a tree. (b) Greedy search.

<sup>0</sup>Source: [Cho, 2015]

## Beam Search

- Beam search interpolates between the exact search and the greedy search by changing the size K of hypotheses maintained throughout the search procedure [Cho, 2015].
- The Beam search algorithm works in stages.
- We first pick the K starting words with the highest probability
- At each step, each candidate sequence is expanded with all possible next steps.
- Each candidate step is scored.
- The K sequences with the most likely probabilities are retained and all other candidates are pruned.
- The search process can halt for each candidate separately either by reaching a
  maximum length, by reaching an end-of-sequence token, or by reaching a
  threshold likelihood.
- The sentence with the highest overall probability is selected.

- In the encoder-decoder networks the input sentence is encoded into a single vector, which is then used as a conditioning context for an RNN-generator.
- This architectures forces the encoded vector  $\vec{c}$  to contain all the information required for generation.
- It doesn't work well for long sentences!
- It also requires the generator to be able to extract this information from the fixed-length vector.
- "You can't cram the meaning of a whole %&!\$# sentence into a single \$&!#\* vector!" -Raymond Mooney
- This architecture can be can be substantially improved (in many cases) by the addition of an attention mechanism.
- The attention mechanism attempts to solve this problem by allowing the decoder to "look back" at the encoder's hidden states based on its current state.

- The input sentence (a length n input sequence  $\vec{x}_{1:n}$ ) is encoded using a biRNN as a sequence of vectors  $\vec{c}_{1:n}$ .
- The decoder uses a soft attention mechanism in order to decide on which parts
  of the encoding input it should focus.
- At each stage j the decoder sees a weighted average of the vectors  $\vec{c}_{1:n}$ , where the attention weights  $(\vec{c}^j)$  are chosen by the attention mechanism.

$$\vec{c}^j = \sum_{i=1}^n \vec{c}^j_{[i]} \cdot \vec{c}_i$$

• The elements of  $\vec{\alpha}^j$  are all positive and sum to one.

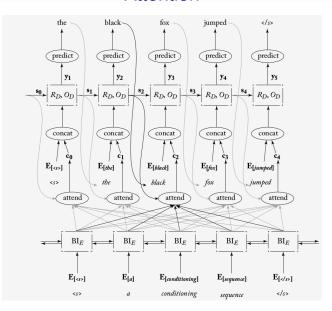
- Unnormalized attention weights  $(\vec{a}_{[i]}^j)$  are produced taking into account the decoder state at time j  $(\vec{s}_i)$  and each of the vectors  $\vec{c}_i$ .
- They can be obtained in various ways, basically any differentiable function returning a scalar out of two vectors  $\vec{s_i}$  and  $\vec{c_i}$  could be employed.
- The simplest approach is a dot product:  $\bar{\alpha}^{j}_{ij} = \vec{s}_{j} \cdot \vec{c}_{i}$ .
- The one we will use in these slides is Additive attention, which uses a Multilayer Perceptron:  $\vec{\alpha}^j_{[i]} = MLP^{att}([\vec{s}_j; \vec{c}_i]) = \vec{v} \cdot \tanh([\vec{s}_j; \vec{c}_i]U + \vec{b})$

 These unnormalized weights are then normalized into a probability distribution using the softmax function.

$$\begin{split} \operatorname{attend}(c_{1:n}, \hat{l}_{1:j}) &= c^j \\ c^j &= \sum_{i=1}^n \alpha_{[i]}^j \cdot c_i \\ \alpha^j &= \operatorname{softmax}(\tilde{\alpha}_{[1]}^j, \dots, \tilde{\alpha}_{[n]}^j) \\ \tilde{\alpha}_{[i]}^j &= \operatorname{MLP}^{\operatorname{att}}([s_j; c_i]), \end{split}$$

 The encoder, decoder, and attention mechanism are all trained jointly in order to play well with each other.

#### **Attention**



The entire sequence-to-sequence generation with attention is given by:

$$p(t_{j+1} = k \mid \hat{t}_{1:j}, x_{1:n}) = f(O_{\text{dec}}(s_{j+1}))$$

$$s_{j+1} = R_{\text{dec}}(s_{j}, [\hat{t}_{j}; c^{j}])$$

$$c^{j} = \sum_{i=1}^{n} \alpha_{[i]}^{j} \cdot c_{i}$$

$$c_{1:n} = \text{biRNN}_{\text{enc}}^{\star}(x_{1:n})$$

$$\alpha^{j} = \text{softmax}(\bar{\alpha}_{[1]}^{j}, \dots, \bar{\alpha}_{[n]}^{j})$$

$$\bar{\alpha}_{[i]}^{j} = \text{MLP}^{\text{att}}([s_{j}; c_{i}])$$

$$\hat{t}_{j} \sim p(t_{j} \mid \hat{t}_{1:j-1}, x_{1:n})$$

$$f(z) = \text{softmax}(\text{MLP}^{\text{out}}(z))$$

$$\text{MLP}^{\text{att}}([s_{i}; c_{i}]) = v \tanh([s_{i}; c_{i}]U + b).$$

- Why use the biRNN encoder to translate the conditioning sequence  $\vec{x}_{1:n}$  into the context vectors  $\vec{c}_{1:n}$ ?
- Why we just don't attend directly on the inputs (word embeddings)  $MLP^{att}(\vec{s_i}; \vec{x_i})$ ?
- We could, but we get important benefits from the encoding process.
- First, the biRNN vectors  $\vec{c}_i$  represent the items  $\vec{x}_i$  in their sentential context.
- Sentential context: a window focused around the input item  $\vec{x}_i$  and not the item itself.
- Second, by having a trainable encoding component that is trained jointly with the decoder, the encoder and decoder evolve together.
- Hence, the network can learn to encode relevant properties of the input that are
  useful for decoding, and that may not be present at the source sequence \$\vec{x}\_{1:n}\$
  directly.

## **Attention and Word Alignments**

 In the context of machine translation, one can think of MLP<sup>att</sup> as computing a soft alignment between the current decoder state \$\vec{s}\_{j}\$ (capturing the recently produced foreign words) and each of the source sentence components \$\vec{c}\_{i}\$.

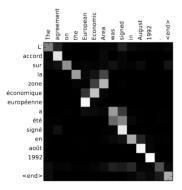


Fig. 2. Visualization of the attention weights  $\alpha_j^t$  of the attention-based neural machine translation model [32]. Each row corresponds to the output symbol, and each column the input symbol. Brighter the higher  $\alpha_i^t$ .

Figure: Source: [Cho et al., 2015]

## Other types of Attention

#### Summary

Below is a summary table of several popular attention mechanisms (or broader categories of attention mechanisms).

Name	Alignment score function	Citation
Additive(*)	$\operatorname{score}(\boldsymbol{s}_t, \boldsymbol{h}_i) = \mathbf{v}_a^{\top} \operatorname{tanh}(\mathbf{W}_a[\boldsymbol{s}_t; \boldsymbol{h}_i])$	Bahdanau201
Location-Base	$\alpha_{t,i} = \text{softmax}(\mathbf{W}_a \mathbf{s}_t)$	Luong2015
	Note: This simplifies the softmax alignment max to only depend on the target position.	
General	$score(s_t, h_i) = s_i^\top W_a h_i$	Luong2015
	where $\mathbf{W}_a$ is a trainable weight matrix in the attention layer.	
Dot-Product	$\operatorname{score}(\boldsymbol{s}_t, \boldsymbol{h}_i) = \boldsymbol{s}_t^{ op} \boldsymbol{h}_i$	Luong2015
Scaled Dot- Product(^)	$\operatorname{score}(m{s}_t, m{h}_i) = rac{m{s}_i^{\scriptscriptstyle T} m{h}_i}{\sqrt{n}}$	Vaswani2017
	Note: very similar to the dot-product attention except for a scaling factor; where n is the dimension of the source hidden state.	
Self- Attention(&)	Relating different positions of the same input sequence. Theoretically the self-attention can adopt any score functions above, but just replace the target sequence with the same input sequence.	Cheng2016
Global/Soft	Attending to the entire input state space.	Xu2015
Local/Hard	Attending to the part of input state space; i.e. a patch of the input image.	Xu2015; Luong2015

- (\*) Referred to as "concat" in Luong, et al., 2015 and as "additive attention" in Vaswani, et al., 2017.
- (^) It adds a scaling factor  $1/\sqrt{n}$  , motivated by the concern when the input is large, the softmax
- function may have an extremely small gradient, hard for efficient learning.

  (&) Also, referred to as "intra-attention" in Cheng et al., 2016 and some other papers.

Figure: Source: https://lilianwong.github.io/li

Figure: Source: https://lilianweng.github.io/lil-log/2018/06/24/attention-attention.html

Questions?

Thanks for your Attention!

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