# **TLS Mastery**

## Notes on TLS

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#### **Appendix B: DNS Server Setup Using Bind9**

These notes are based on TLS Mastery by Michael W. Lucas. I highly recommend to buy that book. The examples have been modified as needed. Appendices providing instructions on how to setup a basic web and DNS server have been added.

## **Chapter 0: Introduction**

OpenSSL commands have the following syntax:

```
$ openssl [subcommand] [flags]
```

The flags use single dashes with long names: -foo, not -f or --foo.

Common flags:

- -in: define input (key file or the like)
- -out: define output
- -text: use textual rather than binary output

#### **TLS Client**

The s\_client subcommand provides a TLS-aware netcat. It can be used to fetch and output a certificate from a remote website:

```
$ openssl s_client -showcerts -connect paedubucher.ch:443 </dev/null | \
   openssl x509 -text -noout</pre>
```

There are two openssl commands:

- 1. Fetching the certificate using s\_client:
  - -showcerts: show the TLS certificate
  - -connect: specify a host: port to connect to (paedubucher.ch on TLS port 443)
  - </dev/null: do not provide any input, which is usually required from openssl
    commands</li>
- 2. Parsing and displaying the certificate using x509 (deals with X.509 certificates):
  - -text: output human-readable text instead of the binary representation
  - -noout: do not output the encoded certificate

Man pages are usually to be found with openssl-[subcommand]. Check apropos openssl.

#### Regulation

The FIPS (Federal Information Processing Standards) regulates which TLS algorithms can be used. For organizations operating under FIPS regulation, those guidelines are mandatory, even though the FIPS lacks a bit behind (e.g. SHA-1 is still considered safe).

TLS is used for TCP, DTLS for UDP protocols; they work mostly the same.

## **Chapter 1: TLS Cryptography**

RSA (Rivest, Shamir Adelman) and ECDSA (Elliptic Curve Digital Signature Algorithm) are the most important algorithms for private/public key pairs.

TLS uses public key cryptography to negotiate a temporary, symmetric key that is actually used to encrypt the data being transferred.

A public key infrastructure (PKI) encompasses the entire system providing cryptography (e.g. TLS, PGP).

(H)MAC: (Hashed) Message Authentication Code is a symmetrically encrypted hash (e.g. HMAC-256). A message is first hashed, then the hash is encrypted using the private key. The encrypted hash then can be decrypted against the public key.

See keylength.com for recommendations concerning secure key lengths.

## Ciphers

A cipher suite is a combination of asymmetric, symmetric, and checksum algorithms and parameters for end-to-end communication (but unrelated to the signature algorithm).

TLS 1.2 indicates cipher suites as follows: TLS\_[Kx]\_[Au]\_WITH\_[Enc]\_[MAC]:

- Kx: key exchange method (e.g. ECDHE, RSA)
- Au: authentication method (e.g. ECDSA, RSA)
- Enc: symmetric encryption and mode of operation (e.g. AES, CBC, CCM, GCM)
- MAC: message authentication code (e.g. SHA, SHA256, SHA384)

If Kx and Au are the same, the indication is only listed once.

TLS 1.3 indicates cipher suites using a shorter form: TLS\_[Enc]\_[MAC] (Kx, Au, and WITH are omitted). No public key algorithm is indicated, because it is negotiated between client and server.

Different implementations use different syntaxes, use ciphersuite.info to check.

Use the ciphers subcommand to list supported cipher suites:

```
$ openssl ciphers -v -stdname -s -tls1_3
```

- -v: list one cipher per line
- -V: display hex values (official "names")
- -stdname: display standard names
- -s: only display supported ciphers

Example (cut off all but the first column):

```
$ openssl ciphers -stdname -s -v -tls1_3 | cut -f1 | sort
TLS_AES_128_GCM_SHA256
TLS_AES_256_GCM_SHA384
TLS_CHACHA20_POLY1305_SHA256
```

Ciphers are grouped in cipher lists, see openssl-ciphers (1) for details (e.g. HIGH, MEDIUM, RSA, ECDHE etc.). They can be listed using the ciphers subcommand:

```
$ openssl ciphers HIGH
```

Applications can be configured to use specific cipher lists. Sticking to HIGH is a good idea in general. Check ssl-config.mozilla.org for application specific configurations.

#### **Security Model**

There are two models of trust for publik key cryptography:

- 1. Web of Trust: the user decides whom to trust, used for PGP.
- 2. Certificate Authority: audited organizations are considered trustworthy, used for TLS.

Private keys, which are enough to pretend being its identity, should only be readable/writable by the root user (chmod 0600). All certificates based on a private key have to be revoked immediately if that private key has been leaked.

Protecting private key with passphrases is usually not viable, because the passphrase needs to be entered as a service using TLS is started.

TLS resumption is a mechanism to speed up subsequent communication after TLS validation has been performed with the first request. TLS 1.2 uses session tickets (client) and server caches (server). TLS 1.3 uses pre-shared keys (PKS) and (restricted) session tickets. Resumption could be a privacy threat, because browsers can be identified by pre-shared keys and session tickets. Therefore, TLS resumption is deactivated where privacy is of high concern.

Secure renegotiation is the idea to use different levels of encryption within the same context (e.g. a web application with higher encryption for the login process than for just browsing). It was discarded, hence is missing in TLS 1.3, due to security flaws.

DHE and ECDHE (based on RSA and ECD, respectively) do not use the private key to negotiate a symmetric key used for the actual data transfer (PFS: perfect forward secrecy). Therefore, captured encrypted packets can't be decrypted using a leaked private key.

Since multiple web sites can be hosted under the same IP address, the first request must indicate a domain, so that the right TLS certificate can be picked for it (SNI: server name indication). This is done in cleartext.

## **Chapter 2: TLS Connections**

The openssl s\_client subcommand is useful for debugging daemons that offer TLS-encrypted connections. Different implementations of Netcat (nc(1)) can deal with TLS more or less well, so better stick to openssl.

s\_client was made for debugging and, therefore, also accepts invalid certificates:

```
$ openssl s_client -connect expired.badssl.com:443 </dev/null >/dev/null
$ echo $?
```

Specify -verify\_return\_error to fail if the certificate offered is invalid:

```
$ openssl s_client -verify_return_error -connect expired.badssl.com:443 \
    </dev/null >/dev/null
$ echo $?
```

#### **Interactive TLS Sessions**

Some protocols, like HTTP, require CR+LF (carriage return and line feed:  $\r$ ) to end commands, while pressing [Enter] on Unix terminals only sends the new line character  $\n$ . Add the -crlf option to translate a line feed into CR+LF:

```
$ openssl s_client -connect paedubucher.ch:443 -crlf
GET / HTTP/1.1
Host: paedubucher.ch
```

Press [Enter] twice to terminate HTTP commands; the index.html page will be listed.

If server name indication (SNI) is used, specify the server name so using the -servername flag.

Servers that offer *opportunistic TLS* (STARTTLS) allow the client to connect without TLS first and then allow the client to switch to a TLS-encrypted connection, if it wants so. The - starttls [protocol] can be defined to indicate that the switch to TLS is desired for the given protocol:

```
$ openssl s_client -connect mail.company.com:25 -starttls smtp
```

#### Helpful Commands and Flags

Various commands can be used within an interactive TLS-encrypted session:

- Q: quit (cleanly close the connection)
- k: update the key
- K: update the key and request a new key
- R: re-negotiate the terms of the connection

Use the flag -ign\_eof to keep the connection alife after EOF was sent. This also deactivates the commands above.

To only display a summary of the negotiated TLS characteristics, use the -brief flag:

```
$ openssl s_client -connect paedubucher.ch:443 -brief </dev/null
CONNECTION ESTABLISHED
Protocol version: TLSv1.2
Ciphersuite: ECDHE-RSA-AES256-GCM-SHA384
Peer certificate: CN = paedubucher.ch
Hash used: SHA256
Signature type: RSA-PSS
Verification: OK
Supported Elliptic Curve Point Formats: uncompressed:ansiX962_compressed_...
Server Temp Key: X25519, 253 bits
DONE</pre>
```

To only display a summary of the certificate chain, use the -quiet flag:

```
$ openssl s_client -connect paedubucher.ch:443 -quiet </dev/null
depth=2 0 = Digital Signature Trust Co., CN = DST Root CA X3
verify return:1
depth=1 C = US, 0 = Let's Encrypt, CN = R3</pre>
```

```
verify return:1
depth=0 CN = paedubucher.ch
verify return:1
```

#### **Constraining TLS Versions and Ciphers**

By default, s\_client uses the highest version of TLS offered. The protocol version can be specified using the flags -tls1\_3, -tls1\_2, and the indications for the obsolete versions - tls1\_1, -tls1, -ssl3. It is also possible to forbid certain protocol versions using the flags of the form -no\_[version], such as -no\_tls1\_1, -no\_ssl3, etc. Don't mix those two kinds of flags. For example, this command can be used to check if a server still offers obsolete TLS versions (< TLS 1.2):

```
$ openssl s_client -brief -no_tls1_3 -no_tls1_2 \
  -connect paedubucher.ch:443 -crlf </dev/null</pre>
CONNECTION ESTABLISHED
Protocol version: TLSv1.1
Ciphersuite: ECDHE-RSA-AES256-SHA
Peer certificate: CN = paedubucher.ch
Hash used: MD5-SHA1
Signature type: RSA
Verification: OK
Supported Elliptic Curve Point Formats: uncompressed:ansiX962_compressed_...
Server Temp Key: X25519, 253 bits
DONE
In the case above, TLS 1.1 is still offered.
TLS 1.2 ciphers and TLS 1.3 cipher suites can be defined using the -cipher and -
ciphersuites, respectively:
$ openssl s_client -brief -cipher TLS_RSA_WITH_AES_128_CBC_SHA256 \
  -connect paedubucher.ch:443 -crlf </dev/null</pre>
Error with command: "-cipher TLS_RSA_WITH_AES_128_CBC_SHA256"
140339066332544:error:1410D0B9:SSL routines:SSL_CTX_set_cipher_list:...
$ openssl s_client -brief -cipher ECDHE-RSA-AES256-GCM-SHA384 \
  -connect paedubucher.ch:443 -crlf </dev/null</pre>
CONNECTION ESTABLISHED
Protocol version: TLSv1.2
Ciphersuite: ECDHE-RSA-AES256-GCM-SHA384
Peer certificate: CN = paedubucher.ch
```

Hash used: SHA256

Signature type: RSA-PSS

Verification: OK

Supported Elliptic Curve Point Formats: uncompressed:ansiX962\_compressed\_...

Server Temp Key: X25519, 253 bits

DONE

 $\$  openssl s\_client -brief -tls1\_3 -ciphersuites TLS\_AES\_128\_GCM\_SHA256  $\$ 

-connect mozilla.org:443 -crlf </dev/null</pre>

CONNECTION ESTABLISHED Protocol version: TLSv1.3

Ciphersuite: TLS\_AES\_128\_GCM\_SHA256
Peer certificate: CN = mozilla.org

Hash used: SHA256

Signature type: RSA-PSS

Verification: OK

Server Temp Key: X25519, 253 bits

DONE

Use openssl ciphers or ciphersuite.info to find proper ciphersuite indications.

## **Chapter 3: Certificates**

TLS uses X.509 certificates, which is an ITU standard for digital certificates built on ASN.1 (Abstract Syntax Notation One), a cross-platform tree-like data structure with object identifiers (OID).

The X.500 directory standard is used to specify informations about the certificate holder (organization unit OU=, organization O=, common name CN=, etc.) The common name used to be the host name, but can be any identification (uid, email, first and last name).

A *trust anchor* or *root certificate* is an ultimately trusted certificate, often self-signed by some big organization that runs its own Certificate Authority (CA). Those certificates are included in operating systems (usually Mozilla's bundle in Unix-like systems, or Microsoft's bundle in Windows). Those bundles can be curated manually, which causes a lot of work and trouble.

On Unix-like systems, certificates are usually stored under /etc/ssl/certs. Use openssl to figure out the real and optional additional paths:

\$ openssl version -a

OpenSSL 1.1.1k 25 Mar 2021

[...]

OPENSSLDIR: "/etc/ssl"

```
ENGINESDIR: "/usr/lib/engines-1.1"
[...]
```

#### Certificate Validation and Verification

All certificates are validated against those in the trust bundles. Additional certificates can be added (and removed) by operating system or distribution specific tools, such as certctl, add-trusted-cert, update-ca-trust etc.

Use the -CAfile flag to validate a certificate against a specific CA:

A certificate contains two main pieces:

- 1. information about the entity being certified
- 2. the digital signature of that information

The signed organization information is put together with a public key into a Certificate Signing Request (CSR). The CSR, which is a certificate without a digital signature, is then submitted to the Certificate Auhtority, which verifies the submitted information more or less thoroughly, and then signs the certificate with its private key for a duration of usually 3 to 12 months. (Modern browsers impose a limit of 398 days.)

Certificates can be constrained:

- Some can be used to sign other certificates within the same domain name.
- The cryptographic algorithms to be used can be constrained.
- The certificate is valid only for a certain domain (foobar.com) or, in case of a wildcard certificate, all subdomains thereof (\*.foobar.com).

Those constraints can be extended beyond the standard. There are critical and non-critical extensions. Critical extensions must be processed and validated by all clients. Non-critical extensions can be processed if the client wants to and is available to.

There are different levels of validation for a certificate:

- 1. *Domain Validation* (DV): The CA checked that the domain is under control of the requesting entity (usually done via DNS).
- 2. *Organization Validation* (OV): The CA verified that the requesting organization exists and is located at the address indicated.
- 3. *Extended Validation* (EV): The CA verifies the business registration. This is expensive; the CA will charge for the certificate accordingly.

Domain Validation is usually enough. Extended Validation is mostly used for regulatory compliance, say, in the finance sector. The requesting entity has to prove its identity in all cases, only the mechanisms differ.

#### **Chain of Trust**

The verification process of a certificate is based on a *Chain of Trust*, which nowadays is rather a *Tree of Trust*. Root CAs protect their private keys very well and don't want to use it for every certificate to be signed. Instead, they sign certificates of intermediate CAs (with lower lifetimes and limited rights), which in turn sign certificates using their private key.

The validation is performed bottom-up: domain owner, intermediate CA, root CA. This requires the whole chain of certificates being available to the client, which only knows the public keys of some well-known root CAs. Therefore, the certififaces and public keys of the intermedia CA must also be delivered in a *CA bundle*.

Certificates can be *cross signed*, i.e. be signed using signatures of different CAs (both intermediate and root). Only one single path from the domain certificate up to the root certificate must be found for a successful validation. This makes a certificate more robust in case an intermediary/root certificate is revoked (see RFC 5280 for details on certificate revocation).

#### **Certificate Formats**

Certificates are usually delivered in the X.509 format, but can be stored in a different formats.

#### **DER: Distinguished Encoding Rules**

Distinguished Encoding Rules (DER) is an old binary format using a subset of ASN.1, each information being stored with a tag, a length, and the actual data. This format is very small and usually stored in files with the ending .der in their name:

```
$ openssl x509 -in certificate.der -inform der -text -noout
```

#### PEM: Privacy-Enhanced Mail

*Privacy-Enhanced Mail* (PEM) is a standard for sending encrypted email, which is nowadays less popular than PGP. It is still in common use to encode keys and certificates. PEM is basically base64-encoded DER with human friendly headers and footers separating multiple certificates or keys:

```
----BEGIN CERTIFICATE----
...
----END CERTIFICATE----
----BEGIN CERTIFICATE----
...
----END CERTIFICATE----
```

Usually, .pem is used for the file name ending, byt .crt or .key is also common, often for backwards compatibility (i.e. when still relying on the old name, even though the transition to a new format has been made). The PEM format is assumed by default, so no -inform option needs to be passed in order to read PEM Files:

```
$ openssl x509 -in chain.pem -noout -text
```

Certificates can be re-encoded by combining the the -in, -out, -inform, and -outform options. Here, a DER-encoded certificate is converted to the PEM format:

```
$ openssl x509 -in part.pem -inform pem -outform der -out part.der
```

#### PKCS#12: Public Key Cryptography Standard 12

Public Key Cryptography Standard 12 (PKCS#12) can store multiple related encryption files in a single archive, which can be signed and/or encrypted (e.g. a certificate chain combined with a private key). Each piece of information is stored in its own SafeBox, which are combined to archives, usually stored with the ending .p12 or the older .pfx. The pkcs12 subcommand is used to process such archives. A private key can be combined with a (PEM) certificate as follows:

\$ openssl pkcs12 -export -out archive.p12 -inkey private.key -in cert.pem

Additional certificates can be provided using the -certfile option. A password is prompted to encrypt the archive. A PKCS#12 file can be viewed as follows:

```
$ openssl pkcs12 -info -in archive.p12
```

Pass the -nodes option to omit encryption for the private key in cleartext, -nokeys to omit any keys in the output, and -nocerts to omit the certificates. Those options can be combined to split up a PKCS#12 archive into certificate and private key files:

```
$ openssl pkcs12 -in archive.p12 -out all.crt -nodes
$ openssl pkcs12 -in archive.p12 -out certs.crt -nokeys
$ openssl pkcs12 -in archive.p12 -out private.key -nocerts -nodes
```

Notice that the output file private.key in the last example is exported in the PKCS#8 format, i.e. without an algorithm mentioned in the header:

```
----BEGIN PRIVATE KEY----
...
----END PRIVATE KEY----
```

Pipe the output through openssl rsa or openssl ec in order to transform it to the PKCS#1 format with an algorithm indication:

```
$ openssl pkcs12 -in archive.p12 -nocerts -nodes | openssl rsa -out key.pem
```

Notice that the common endings .pem, .der, and .crt do not necessarily imply the format used; better rely on the output of file(1) and the validation of openssl-x509(1ssl) instead.

#### **Certificate Contents**

A certificate contains various fields, which can be viewed as follows (output of public keys and signatures shortened):

```
$ openssl x509 -in cert.der -inform der -text -noout
Certificate:
    Data:
    Version: 3 (0x2)
    Serial Number:
    04:a9:5c:4e:9c:51:cd:df:b3:ef:00:78:5b:97:b5:7f:79:39
```

```
Signature Algorithm: sha256WithRSAEncryption
Issuer: C = US, O = Let's Encrypt, CN = R3
Validity
    Not Before: Apr 26 08:25:39 2021 GMT
    Not After : Jul 25 08:25:39 2021 GMT
Subject: CN = paedubucher.ch
Subject Public Key Info:
    Public Key Algorithm: rsaEncryption
        RSA Public-Key: (2048 bit)
        Modulus:
            00:a0:98:97:a0:9d:41:4d:3a:27:2d:c3:86:12:ce:
        Exponent: 65537 (0x10001)
X509v3 extensions:
   X509v3 Key Usage: critical
        Digital Signature, Key Encipherment
   X509v3 Extended Key Usage:
     TLS Web Server Authentication, TLS Web Client Authentication
   X509v3 Basic Constraints: critical
        CA: FALSE
   X509v3 Subject Key Identifier:
       44:9F:81:5F:58:39:34:C1:0C:E1:A0:E1:3E:B0:BF:E2:61:12:...
   X509v3 Authority Key Identifier:
       keyid:14:2E:B3:17:B7:58:56:CB:AE:50:09:40:E6:1F:AF:9D:...
    Authority Information Access:
        OCSP - URI:http://r3.o.lencr.org
        CA Issuers - URI:http://r3.i.lencr.org/
    X509v3 Subject Alternative Name:
        DNS:paedubucher.ch, DNS:www.paedubucher.ch
   X509v3 Certificate Policies:
        Policy: 2.23.140.1.2.1
        Policy: 1.3.6.1.4.1.44947.1.1.1
          CPS: http://cps.letsencrypt.org
   CT Precertificate SCTs:
        Signed Certificate Timestamp:
            Version : v1 (0x0)
                    : 94:20:BC:1E:8E:D5:8D:6C:88:73:1F:82:8B:...
          Log ID
                      D1:DA:4D:5E:6C:4F:94:3D:61:DB:4E:2F:58:...
            Timestamp: Apr 26 09:25:39.415 2021 GMT
            Extensions: none
            Signature: ecdsa-with-SHA256
```

- Version (usually 3) is the X.509, *not* the TLS version.
- Serial Number is a unique number, which is useful for certificate revocation.
- Signature Algorithm describes how the CA signed the certificate.
- Issuer identifies the CA that issued the certificate.
- Validity defines a time span in which a certificate can be used.
- Subject contains information about the entity being certified.
  - For Domain Validation (DV), only the common name (CN) is listed.
  - For Organization or Extended Validation (OV and EV), information about the organization, city, country are listed.
- Subject Public Key is the public part of the key that has been used to create the Certificate Signing Request (CSR).
- X509v3 extensions lists critical and non-critical extensions (mandatory and optional for certificate verification):
  - X509v3 Key Usage (critical) describes how a key can be used.
  - X509v3 Extended Key Usage (non-critical) describes additional purposes the key can be used for.
  - X509v3 Basic Constraints (critical) lists if the certificate's key can be used to sign other certificates (CA:TRUE) or not (CA:FALSE)
  - X509v3 Subject Key Identifier and X509v3 Authority Key Identifier is the identifier for the subject's and the CA authority's key.
  - Authority Information Access shows how to get more information about the CA.
  - X509v3 Subject Alternative Name shows the hostnames covered by the certificate.
  - X509v3 Certificate Policies describes the CA.
- CT Precertificate SCTs contains the Signed Certificate Timestamp (SCT) with the signatures used, which is a cryptographic proof that the certificate was submitted to a

certificate log (Certificat Transparency).

• There's a digital signature of the CA at the very end of the certificate with the indicated Signature Algorithm.

#### Narrowing Down the Output

Additional information to X.509 extensions can be queried using the -ext option with commaseparated extensions to be listed (x509v3\_config(3)):

```
$ openssl x509 -in cert.pem -noout -ext keyUsage,extendedKeyUsage
X509v3 Key Usage: critical
    Digital Signature, Key Encipherment
X509v3 Extended Key Usage:
    TLS Web Server Authentication, TLS Web Client Authentication
```

Extensions not understood by the openssl client in use are displayed as raw binary data (consider updating openssl or look up the respective OID).

The output can be further shortened using the -certopt option, which accepts commaseparated values (here: neither display public keys nor signature dumps):

```
$ openssl x509 -in first.pem -text -noout -certopt no_pubkey,no_sigdump
Certificate:
Data:
   Version: 3 (0x2)
   Serial Number:
        04:a9:5c:4e:9c:51:cd:df:b3:ef:00:78:5b:97:b5:7f:79:39
   Signature Algorithm: sha256WithRSAEncryption
   Issuer: C = US, O = Let's Encrypt, CN = R3
   Validity
        Not Before: Apr 26 08:25:39 2021 GMT
        Not After: Jul 25 08:25:39 2021 GMT
   Subject: CN = paedubucher.ch
   X509v3 extensions:
        X509v3 Key Usage: critical
            Digital Signature, Key Encipherment
        X509v3 Extended Key Usage:
            TLS Web Server Authentication, TLS Web Client Authentication
        X509v3 Basic Constraints: critical
            CA: FALSE
        X509v3 Subject Key Identifier:
            44:9F:81:5F:58:39:34:C1:0C:E1:A0:E1:3E:B0:BF:E2:61:12:...
```

```
X509v3 Authority Key Identifier:
    keyid:14:2E:B3:17:B7:58:56:CB:AE:50:09:40:E6:1F:AF:9D:...
Authority Information Access:
    OCSP - URI:http://r3.o.lencr.org
   CA Issuers - URI:http://r3.i.lencr.org/
X509v3 Subject Alternative Name:
   DNS:paedubucher.ch, DNS:www.paedubucher.ch
X509v3 Certificate Policies:
    Policy: 2.23.140.1.2.1
    Policy: 1.3.6.1.4.1.44947.1.1.1
      CPS: http://cps.letsencrypt.org
CT Precertificate SCTs:
    Signed Certificate Timestamp:
       Version : v1 (0x0)
       Log ID
                 : 94:20:BC:1E:8E:D5:8D:6C:88:73:1F:82:8B:...
                    D1:DA:4D:5E:6C:4F:94:3D:61:DB:4E:2F:58:...
       Timestamp: Apr 26 09:25:39.415 2021 GMT
       Extensions: none
       Signature : ecdsa-with-SHA256
                    30:44:02:20:0B:2F:D4:47:A0:86:F4:9E:F0:...
    Signed Certificate Timestamp:
       Version : v1 (0x0)
       Log ID
                 : F6:5C:94:2F:D1:77:30:22:14:54:18:08:30:...
                    E3:4D:13:19:33:BF:DF:0C:2F:20:0B:CC:4E:...
       Timestamp: Apr 26 09:25:39.392 2021 GMT
       Extensions: none
       Signature : ecdsa-with-SHA256
                    30:45:02:20:4D:7C:04:F4:F7:02:BC:3F:2B:...
```

#### **Multiple Hostnames**

A site can be reachable under different names, such as paedubucher.ch and www.paedubucher.ch. Subject Alternative Names (SAN) identify all the hostnames a certificate is good for and can be displayed as follows:

```
$ openssl x509 -in first.pem -noout -ext subjectAltName
X509v3 Subject Alternative Name:
    DNS:paedubucher.ch, DNS:www.paedubucher.ch
```

A wildcard certificate is good for any subdomain of a hostname (\*.paedubucher.ch), which can be dangerous, because a successful attacker can offer services with subdomains made up for the purpose (such as www2.paedubucher.ch).

#### **Fetching Certificates**

It's also possible to fetch and display remote certificates using the s\_client and x509 sub-commands combined with a pipe (same output as further above):

```
$ openssl s_client -connect paedubucher.ch:443 </dev/null | \
   openssl x509 -text -noout -certopt no_pubkey,no_sigdump</pre>
```

Use the -showcerts option to display the whole certificate chain:

```
$ openssl s_client -showcerts -connect paedubucher.ch:443 </dev/null</pre>
```

#### **Some CA Considerations**

When buying a certificate, consider the reputation a CA has, and in which jurisdication it is located. A CA must support Certificate Revocation Lists (CRL), the Online Certificat Status Protocol (OCSP), and, optionally, Certification Authority Authorization (CAA).

## **Chapter 4: Revocation and Invalidation**

When private key gets stolen, the certificates based on it can no longer be trusted. However, the certificate itself still looks trustworthy, and cannot be revoked by the owner of the private key that has been used to sign the certificate. Since trust comes from above, only the signing CA can revoke a certificate.

CAs offer web interfaces or automated tools and interfaces for this purpose. Usually, a replacement certificate is ordered in this purpose, which of course needs to be requested with a new private key. It's a good idea to test this process, which gives you an idea how well the CA can handle the certificate invalidation and replacement process.

TLS offers multiple mechanisms for certificate revocation:

#### **Certificate Revocation Lists (CRL)**

Certificate Revocation Lists (CRL) are lists of revoked (but not yet expired) certificates offered by the CA. An endpoint to this list is linked as the CRL Endpoint (Distribution Point) in the CA's intermediary certificate. The client downloads this list and makes sure the respective certificate is not on that list by comparing the certificate's serial number to those on the list.

CRLs become big quite fast and don't scale very well nowadays, even though they can be cached. Caching, however, slows down the revocation process. Use the crl subcommand on your CA's root certificate to show the CRL:

```
$ curl http://crl.identrust.com/DSTROOTCAX3CRL.crl | \
  openssl crl -text -inform der -noout
```

CRLs are usually served in DER format to keep the files small, but other formats can be used, too.

#### **Online Certificate Status Protocol (OCSP)**

With the *Online Certificate Status Protocol* (OCSP), the client no longer needs to fetch a CA's complete list of rekoved certificates, but can query the status of a single certificate via an HTTP endpoint. A result (good, revoked, unknown) and a cache time to live are returned. The CA signs the response, so raw HTTP (without TLS) is used here. The endpoint for OCSP can be extracted from the certificate chain:

```
$ openssl s_client -showcerts -connect paedubucher.ch:443 \
    </dev/null 2>/dev/null | openssl x509 -noout -ocsp_uri
http://r3.o.lencr.org
```

Given the certificate chain and the OCSP URL, the revocation status can be tested using the ocsp subcommand (openssl-ocsp(1ssl)):

```
$ openssl s_client -connect github.com:443 </dev/null | \
   openssl x509 >cert.pem
$ openssl s_client -showcerts -connect github.com:443 \
   </dev/null >chain.pem
$ openssl x509 -in chain.pem -noout -ocsp_uri
http://ocsp.digicert.com
$ openssl ocsp -issuer chain.pem -cert cert.pem -text \
   -url http://ocsp.digicert.com
OCSP Response Data:
OCSP Response Status: successful (0x0)
```

Response Type: Basic OCSP Response

Version: 1 (0x0)

Responder Id: 5061A6A0D235C4112A208D1F0FAC42F0CD29CF4B

Produced At: Jun 24 03:36:53 2021 GMT

Responses:

Certificate ID:

Hash Algorithm: sha1

Issuer Name Hash: C6325AEE2FA3FD33D07789FD6B4CCEF0CA3FD029
Issuer Key Hash: 5061A6A0D235C4112A208D1F0FAC42F0CD29CF4B

Serial Number: 0E8BF3770D92D196F0BB61F93C4166BE

Cert Status: good

This Update: Jun 24 03:21:02 2021 GMT Next Update: Jul 1 02:36:02 2021 GMT

Check the Cert Status; "good" means that the certificate hasn't been revoked.

This process consumes less bandwith than CRLs, but more processing power on the client side. The CA also gets to know the clients accessing particular domains, which is a privay issue.

## **OCSP Stapling**

The OCSP query response (see above) contains a field Next Update, which is the expiration date of that query. A server can make this request on behalf of the client, and attach ("staple") the OCSP response to the TLS session with the client, and digitally sign it. Doing so, the server can save the clients a lot of OCSP queries—and better protect their privacy—but also needs to perform the OCSP lookups periodically (according to the Next Update indication). Most modern web browsers and servers support OCSP nowadays.

#### **Revocation Issues**

Not all CAs offer all the revocation mechanisms described, or they implement them in a non-standard or bad way (say, offering just empty CRLs for technical compatibility). Client software failing to perform OCSP checks are often hidden from the user for the sake of convenience. Some modern browsers rely on their own curated list of CRLs, which are shipped with their software updates, instead of fetching CRLs in real-time.

How a client deals with revocation can be tested with sites like revoked-rsa-dv.ssl.com. Using short-lived certificates with heavy automation mitigates revocation issues. Unfortunately, Chrome ignores the OCSP Must Staple server setting, which would be a way to emulate short-lived certificates.

## **Chapter 5: TLS Negotiation**

Clients and servers may have different software and configurations for TLS deployed, which support different protocol versions, algorithms, and options. Therefore, the parameters to be uses for a connection are not known in advance, but need to be negotiated between client and server.

A TLS connection, which can be initiated using the s\_client subcommand, consists of three parts: certificate validation, protocol settings, and session resumption.

#### **Certificate Validation**

The TLS client attempts to find a way from the served certificate up to a trusted root certificate. The process is finished as soon as one such valid path is discovered. The openssl client then then outputs this path to standard error:

```
$ openssl s_client -connect paedubucher.ch:443 </dev/null >/dev/null
depth=2 C = US, 0 = Internet Security Research Group, CN = ISRG Root X1
verify return:1
depth=1 C = US, 0 = Let's Encrypt, CN = R3
verify return:1
depth=0 CN = paedubucher.ch
verify return:1
DONE
```

The certificates are listed from top (root certificate) to bottom (domain certificate), with a depth field indicating the distance from the domain certificate. The field verify return: 1 signifies successful validation of the certificate.

The certificate chain is displayed in reverse order (from domain to root) in the standard output, followed by the server certificate, details about the algorithms and keys being used (here: SHA256, RSA-PSS, and X25519 with 253 bits), and, finally, the result of the SSL handshake (Verification: OK):

```
$ openssl s_client -connect paedubucher.ch:443 </dev/null 2>/dev/null
...
Certificate chain
0 s:CN = paedubucher.ch
   i:C = US, 0 = Let's Encrypt, CN = R3
1 s:C = US, 0 = Let's Encrypt, CN = R3
   i:C = US, 0 = Internet Security Research Group, CN = ISRG Root X1
2 s:C = US, 0 = Internet Security Research Group, CN = ISRG Root X1
   i:0 = Digital Signature Trust Co., CN = DST Root CA X3
```

```
----BEGIN CERTIFICATE----
MIIFNjCCBB6gAwIBAgISBJa0Pa3QlqD/TEZHmLlZtqQnMA0GCSqGSIb3DQEBCwUA
...
wnvurz8wdWtXilw61qAJJwivHeU+/FfF1Lt+wRN6mDZ/bQoU3dFubw1n
----END CERTIFICATE----
subject=CN = paedubucher.ch

issuer=C = US, 0 = Let's Encrypt, CN = R3
---
No client certificate CA names sent
Peer signing digest: SHA256
Peer signature type: RSA-PSS
Server Temp Key: X25519, 253 bits
---
SSL handshake has read 4691 bytes and written 409 bytes
Verification: OK
```

## **Protocol Settings**

Parameters such as key length, TLS version and cipher, and the like are negotiated between the parties involved. Compression, being deactivated by default, can be activated using the -comp flag.

Application Layer Protocol Negotiation (ALPN) is a way to integrate TLS setup into the protocol setup, which is mostly used in HTTP/2, and can be activated using the -alpn flag.

Application data can be bundled with a TLS connection using the <code>-early\_data</code> flag. All those informations are displayed in the subsequent sections of the output:

```
$ openssl s_client -connect paedubucher.ch:443 </dev/null 2>/dev/null
...
New, TLSv1.2, Cipher is ECDHE-RSA-AES256-GCM-SHA384
Server public key is 2048 bit
Secure Renegotiation IS supported
Compression: NONE
Expansion: NONE
No ALPN negotiated
```

#### **Session Resumption**

The TLS session and resumption details vary strongly between TLS versions being used:

#### **TLS 1.2**

The session information starts with the protocol version (TLSv1.2) and the cipher being used. Every session has its ID (Session-ID) and Context ID (Session-ID-ctx), which could refer to some server-internal context such as an application (database server, web server) and is often used for load balancing.

The Master Key is the result of the key agreement between client and server. Additional fields (prefixes: PSK and SRP) are only set if pre-shared keys and the Secure Remote Password (SRP) protocol are being used.

The actual *session ticket* follows, which is then used for a subsequent request within the same TLS session (*resumption*).

The ticket is accompanied by TTL information indicating the timespan in which the ticket is valid. Verify return code: 0 (ok) signifies success, all other codes point to a verification error.

```
$ openssl s_client -tls1_2 -connect paedubucher.ch:443 </dev/null 2>/dev/null
SSL-Session:
   Protocol : TLSv1.2
           : ECDHE-RSA-AES256-GCM-SHA384
   Cipher
   Session-ID: 3E09DF7A7C7C90B577C12254ED7ACA74CCCB5BDBE5B5B2AF44B...
   Session-ID-ctx:
   Master-Key: BB1EA0160136226FEB81B5849161EA9C87BAE06EAFAF649722A...
   PSK identity: None
   PSK identity hint: None
   SRP username: None
   TLS session ticket lifetime hint: 300 (seconds)
   TLS session ticket:
   0000 - 5d 43 c4 64 d9 18 b1 bd-c7 42 cc e9 49 44 2a fd
                                                             1C.d...
   00b0 - 77 9f 38 b6 a2 cf bd 03-e6 7f 31 e1 f7 5f 58 b0
                                                             w.8...
   Start Time: 1624727116
   Timeout: 7200 (sec)
   Verify return code: 0 (ok)
   Extended master secret: yes
```

#### **TLS 1.3**

TLS 1.3 supports many fields of TLS 1.2 just for the sake of backward compatibility, which is important for network devices and tools that perform deep packet inspection on network

traffic.

In TLS 1.3, sessions are only established *after* the main handshake has been completed. Therefore, no SSL-Session section can be found when dealing with TLS 1.3.

#### **TLS Failures**

A TLS connection usually fails for two reasons:

- 1. The client won't accept a certificate.
- 2. Client and server cannot agree on TLS options, algorithms, and protocols.

If the client uses a current software version and certificate bundle together with a default configuration, then usually the server is to blame for a failed TLS connection.

One common error is a server only serving its own domain certificate instead of providing the whole certificate chain. This usually results in an error message like "unable to get local issuer certificate". Searching for an OpenSSL error message usually yields quick and accurate results.

The website badssl.com provides many subdomains with different TLS and SSL (mis)configurations. Try them out with the s\_client subcommand, and make sure to pass the -verify\_return\_error, so that you'll learn which TLS issues cause which OpenSSL error messages.

```
$ openssl s_client -connect untrusted-root.badssl.com:443 \
   -verify_return_error </dev/null 2>/dev/null | grep 'Verification error'
Verification error: self signed certificate in certificate chain

$ openssl s_client -connect superfish.badssl.com:443 \
   -verify_return_error </dev/null 2>/dev/null | grep 'Verification error'
Verification error: unable to get local issuer certificate
```

## **Chapter 6: Certificate Signing Requests and Commecrial CAs**

Certificate Signing Requests (CSR) are specified in RFC 2986. They contain all the information that is verified by the CA, and then signed. A CSR can be seen as an unsigned certificate. It is a good idea to automate the process of creating CSRs, so that you get it right without re-trying multiple times, especially if you urgently need to replace a certificate based on a private key that just has been leaked. It's a good idea to create a new private key with each request, because older private keys are more likely to have been leaked unknowingly, and they also tend to base on older best practices and cryptographic algorithms.

#### **Gathering Information**

Free CAs (like ACME) are a good choice for DV (domain validation) certificates. Internal policy, the need for a OV (organization validation) or EV (extended validation), or if you want to run your own CA are reasons to use a commercial CA instead. DV certificates usually only require a domain name. More information is needed for an OV or EV certificate. Make sure to gather this information in advance:

- Country Name (C): two-letter country code (ISO 3166), e.g. CH for Switzerland
- State/Provice (ST): spelled out name of state or provice, e.g. Lucerne
- Locality (L): the city name, in which a company is officially located, e.g. Lucerne
- Organization (0): the company's legal name, e.g. Foo Brewery AG (not just Foo Brewery!)
- Organization Unit (OU): the department that handles the certificates (usually IT), optional field

Storing the hostname under Common Name (CN) is an obsolete practice, which is still used a lot for the sake of backward compatibility. Notice that the CN field is limited to 63 characters, and no name constraints are checked for this field by the CA.

#### **RSA or ECDSA**

There are two possible choices for a public key algorithm:

- 1. RSA is the time-proofen option that is supported by most CAs and most software.
- 2. ECDSA is a newer standard that provides the same security with shorter key lengths.

Consider ECDSA to save processing power for cryptography if the certificates are mostly used on devices with little computing power or that run on battery. It is possible that the CA's root certificate uses a different algorithm than the CSR, but then the client has to deal with both RSA and ECDSA. Better pick a CA that supports your choice of algorithm. It is also possible to deploy one certificate by algorithm, depending on the software you're using.

### **OpenSSL Configuration**

The information needed for a CSR can be entered in different ways:

- 1. Using an interactive prompt.
- 2. Using command-line flags.
- 3. Using configuration files.

Using the interactive prompt is very error-prone and limits automation. Better use one of the other two options.

In order to provide your CSR details with a configuration file, you can get to know the default configuration of your local OpenSSL installation:

```
$ openssl version -a | grep -i openssldir
OPENSSLDIR: "/etc/ssl"
```

The configuration is located in that directory under openssl.cnf, so in this example in /etc/ssl/openssl.cnf. The file is organized in different section. For example, configuration relevant for the req subcommand is stored under the [ req ] section. The settings are stored as key-value pairs. Comments start with # and go to the end of a line. Better do *not* modify this file, but provide local files for specific needs.

#### **CSR Configuration File**

When creating a CSR, make sure to name the files used for this purpose properly, i.e. containing the domain name, for example paedubucher.ch-private.key for a private key, paedubucher.ch.csr for the CSR, and paedubucher.ch.crt for the certificate you get back from the CA.

The CSR is created using openssl's req subcommand. Let's gather the required information in a config file (paedubucher.ch.conf):

```
[ req ]
prompt
                  = no
default_keyfile = paedubucher.ch-private.key
distinguished_name = req_distinguished_name
req_extensions = v3_req
encrypt_key
                  = yes
output_password = topsecret
[ req_distinguished_name ]
C = CH
ST = Lucerne
L = Lucerne
0 = Patrick Bucher Kompooter AG
OU = Department of IT Operations
CN = paedubucher.ch
[ v3_req ]
subjectAltName = DNS:paedubucher.ch,DNS:www.paedubucher.ch
```

There are three sections with the following options:

- req: parameters to create the CSR
  - prompt: whether (yes) or not (no) to prompt information interactively from the command line
  - default\_keyfile: path to the file the new private key is stored in
  - distinguished\_name: pointing to the section the information to be validated is stored
  - req\_extensions: pointing to extensions used (here: SAN stored in X.509v3 extension)
  - encrypt\_key: whether (yes) or not (no) the private key should be encrypted with a password (the command line option -nodes for "no DES" deactivates encryption, even though DES is no longer used for that purpose)
  - output\_password: the password in plain text to encrypt the private key with,omit if encrypt\_key = no
- req\_distinguished\_name: a section containing the information to be validated by the CA (see the meaning of those fields further above)
- v3\_req: information for X.509v3 extensions.
  - subjectAltName: list all the (sub)domains for which this certificate should be valid as comma-separated values with DNS: prefix (see RFC 5280)

When using an encrypted private key, the password needs to be provided when the service using the certificate is restarted. Protect the config file containing the password as good as the private key!

If a lot of subject alt names are to be defined (say, more than would fit on a single line), you can use an array instead:

```
[ v3_req ]
subjectAltName = @alt_names

[ alt_names ]
DNS.1 = paedubucher.ch
DNS.2 = www.paedubucher.ch
DNS.3 = *.cdn.paedubucher.ch
```

The entries have to be listed with increasing numbers (i in DNS.i), gaps are allowed.

#### **Creating the CSR**

The CSR, which should be stored in a file named [domain].csr, can be created using openssl's req subcommand. Notice that there are some differences depending on the public key algorithm to be used.

#### **ECDSA**

When using ECDSA, a parameters file to configure the elliptic curve is needed.

First, pick one among the available curves, which can be listed using the ecparam subcommand:

```
$ openssl ecparam -list_curves
```

P-256 (prime256v1) is a good default choice.

Second, create the parameters file to configure the curve using the genpkey subcommand:

```
$ openssl genpkey -genparam -out ec-p256-params.pem -algorithm ec \
   -pkeyopt ec_paramgen_curve:prime256v1
```

Finally, the CSR can be created:

```
$ openssl req -newkey ec:ec-p256-params.pem -config paedubucher.ch.conf \
  -out paedubucher.csr
```

Which should create two files: the private key paedubucher.ch-private.key and the actual CSR paedubucher.csr.

#### **RSA**

When using RSA, the configuration file needs to be modified by including the default\_bits (usually 2048 or 4096) and the hashing algorithm (e.g. sha256):

Since the crypto parameters are already provided in the configuration file, the CSR can be created without further ado:

```
$ openssl req -newkey rsa -config paedubucher.ch.conf -out paedubucher.csr
```

Again, the private key paedubucher.ch-private.key and the actual CSR paedubucher.csr should have been generated.

## **Client Certificates**

The information needed for client certificates mostly depends on the application requesting such a certificate. Here's a config file (application.conf) for a client certificate supposed to verify a subject by email using RSA encryption:

```
[ req ]
prompt
                  = no
default_bits
                 = 2048
default_md
                  = sha256
default_keyfile = application-private.key
distinguished_name = req_distinguished_name
encrypt_key
                 = yes
output_password = topsecret
[ req_distinguished_name ]
CN = Patrick Bucher
emailAddress = patrick.bucher@mailbox.org
[ v3_req ]
subjectAltName = email:patrick.bucher@mailbox.org
```

The CSR is created as follows:

```
$ openssl req -newkey rsa -config application.conf -out application.csr
```

Which creates two files: application-private.key and application.csr.

For applications like VPN connections, a passphrase is commonly used, since the key lies on a client device. Other applications do without a passphrase.

Notice that when leaving a way a distinguished name (DN) for an OV or EV certificate, the prompt setting cannot be set to no via configuration file. Use the -subj flag with the value / to suppress the prompt nonetheless.

## Without Config File

If you need to create your CSR without an intermediary config file, you can provide all the information needed using the <code>-subj</code> and <code>-addext</code> command line flags:

```
$ openssl req -newkey rsa:2048 \
   -keyout paedubucher.ch-private.key \
   -out paedubucher.ch.csr \
   -subj '/C=CH/ST=Lucerne/L=Lucerne/0=My Company/OU=IT/CN=paedubucher.ch' \
   -addext 'subjectAltName=DNS:paedubucher.ch,DNS:www.paedubucher.ch'
```

Use –nodes for an unprotected private key file. Make sure to provide the C, ST, L, and O field for OV and EV certificates. DV certificates only require the CN field.

#### Viewing a CSR

Before sending the CSR to your CA, double check it:

```
$ openssl req -in paedubucher.ch.csr -noout -text
Certificate Request:
   Data:
        Version: 1 (0x0)
      Subject: C = CH, ST = Lucerne, L = Lucerne, O = My Company, OU = ...
        Subject Public Key Info:
            Public Key Algorithm: rsaEncryption
                RSA Public-Key: (2048 bit)
                Modulus:
                    00:c4:c8:a3:c7:c4:87:67:8b:80:04:b7:c7:b9:01:
                Exponent: 65537 (0x10001)
        Attributes:
        Requested Extensions:
            X509v3 Subject Alternative Name:
                DNS:paedubucher.ch, DNS:www.paedubucher.ch
   Signature Algorithm: sha256WithRSAEncryption
         81:32:09:8a:c3:2e:9e:da:a9:5f:7f:f1:60:c2:97:18:1d:92:
```

Are all the parameters correct? Is there anything misspelled? Store as much information in configuration files and/or scripts as possible, so that re-creating the CSR is only a matter of seconds.

If everything is fine, submit your CSR to your CA.

#### **Storing the Certificate**

When you get your certificate back from the CA, make sure to store it properly on your server:

- Make sure to store the private key with the certificate. A sub-folder for private keys (e.g. /etc/certs/keys) is often used with certificates being stored one level above (e.g. /etc/certs).
- Those folders should be readable only by root. The files therein should be owned by root and by a group to which application users belong (e.g. nginx).
- Prefix the files stored with the (sub)domain name. Consider adding date information as a prefix, too (e.g. 2021-07-03-paedubucher.ch-private.key).
- Keep backups of those files.
- Consider storing passphrases in a password manager, which is backed up, too.

#### Matching CSR, Private Key, and Certificate

If you don't know which files (CSR, private key, and certificate) belong together, you can figure this out using the *modulus*, which is a cryptographic information that is commonly stored in all the files mentioned.

Use the respective subcommand per file (x509 for the certificate, rsa or ecdsa for the private key, and req for the CSR) to figure out the modulus using the -modulus flag. Pipe the output through the md5 subcommand for easier comparison:

```
$ openssl x509 -noout -modulus -in paedubucher.ch.crt | openssl md5
(stdin)= 00ae93c0ba0b571cfbe5e6e0233a6fb1
$ openssl rsa -noout -modulus -in paedubucher.ch-private.key | openssl md5
(stdin)= 00ae93c0ba0b571cfbe5e6e0233a6fb1
$ openssl req -noout -modulus -in paedubucher.ch.csr | openssl md5
(stdin)= 00ae93c0ba0b571cfbe5e6e0233a6fb1
```

Here, certificate, private key, and CSR belong to one another!

It's a good idea to write a script (accepting the domain name paedubucher.ch as a parameter) to automate the process.

## **Chapter 7: Automated Certificate Management Environment**

The Automated Certificate Management Environment (ACME) is a protocol for clients interacting with CAs, defined in RFC 8555 by the Internet Security Research Group (ISRG), which run's its own CA called Let's Encrypt. The API of ACME can be used for the entire process: creating an account, negotiating the challenges, submitting the CSRs, and deploying the certificates.

#### **ACME Registration**

The ACME client creates a key pair to identify the client. The client contact's the CS's server, accepts its terms and conditions. The server then registers an account identified by the client's public key. This key is then used to sign further interaction between client and server.

Commercial CAs can make use of this key as well, which is linked to a user account. Additional services (EV, OV) are provided against payment by those CAs.

#### **ACME Challenge Process**

Once registered, the client can request certificates for the domains (or hosts) under his control. The server responds with a list of challenge methods (one per domain) the client can use to prove his ownership of the respective domain. The client picks one challenge per domain and reports his choice to the server. Depending on the of challenge, the server provides additional information the client needs to pass the verification. If the challenge succeeded, the client can submit CSRs to the server, which will respond with signed certificates ready for deployment.

#### **ACME Challenges**

An ACME challenge requires the client to place some *key authorization* under a specific *token*. The token is a specific location, and the key authorization combines the token with a digest of the client account's key. If the server can find the specified key authorization by accessing the given token, the client has proven his ownership of the domain successfully.

ACME supports the following challenge methods:

- 1. HTTP-01: The server verifies if the client controls a web server handling the domain certificates are about to be requested for. For the domain paedubucher.ch, the key authorization must be made available under http://paedubucher.ch/.well-known/acme-challenge/[token], with the token indicated by the server. The server verifies if the token with the correct content (key authorization) is served from this location (URL). This challenge runs under port 80, but can be redirected to port 443. HTTP-01 is the simplest challenge, but cannot be used for wildcard certificates.
- 2. DNS-01: The server verifies if the client controls the DNS server managing the domain certificates are about to be requested for. For the domain paedubucher.ch, a TXT record under the token \_acme\_challenge.paedubucher.ch must be provided containing the key authorization as its value. DNS-01 is especially useful for web servers not facing the internet, and if wildcard certificates are being requested. It also makes it possible to request certificates from an other server than they are used on.

3. TLS-ALPN-01: Much like HTTP-01, the server verifies that the client controls a server facing the internet. Unlike HTTP-01, the server need not be a web server, but a TLS-aware server running on port 443 supporting Application Layer Protocol Negotiation (ALPN), which allows to run different services under a single port. For this challenge, the client doesn't need any token/key authorization information from the server, but can setup everything before picking the challenge method. It's possible to take the productive web server down and start up a special ALPN server running on the same port during the verification process, which causes some downtime. Web servers support ALPN using modules (Apache's mod\_md) or by a special proxy configuration (nginx). TLS-ALPN-01 is the right choice for deployments using procies and/or load balancers, but requires the server(s) to be publicly reachable by the internet. Wildcard certificates are not supported by this challenge method.

Use DNS-01 if you need a wildcard certificate or a certificate for a server not facing the internet. Use HTTP-01 if it works for your environment, and pick TLS-ALPN-01 otherwise.

#### Some Practical Advice

When first testing and deploying ACME, make sure to not hit your CA's imposed by-account resource limit. If your CA offers a testing or staging environment (which don't provide trusted certificates), try to get your setup right first by using one of these. If everything works, use the productive environment.

After the initial successful deployment, it's a good idea to test the renewal process after two thirds into the certificate's lifetime, i.e. after 60 days for a certificate valid for 90 days. (Some CAs do not renew certificates that are younger. Thirty days is plenty of time left to fix your environment if something doesn't work.)

#### **ACME Clients**

There are plenty of ACME clients to choose from, some of them are:

- OpenBSD's acme-client(1), which, unfortunately, isn't available neatly packed for other operating systems.
- Apache's mod\_md, which manages ACME right from the web server.
- Docker's *Let's Encrypt* container, which does everything for you.
- The EFF's certbot, which was the first ACME implementation, comes with heavy Python dependencies, but doesn't support the TLS-ALPN-01 challenge yet.
- Dehydrated, which is a simple client based on shell scripts and basic system utilities, and therefore should work on any Unix-like environment.

#### Dehydrated

Dehydrated can be downloaded and installed using a package manager or manually from dehydrated.io. Make sure /etc/dehydrated exists into which the example configuration shipped with dehydrated should be copied (/etc/dehydrated/config).

The main script dehydrated relies on hook scripts that provide the functionality specific to each challenge. For HTTP-01, hook.sh is provided as an example. The Dehydrated web site provides additional hook scripts for other challenges and specific software packages (e.g. DNS servers, load balancers, etc.). Put hook.sh into your configuration path (/etc/dehydrated/hook.sh). (Alternatively, modify your configuration so that it points to this hook script.)

Certificates created by Dehydrated should only be accessible by root—and the user that runs the dehydrated scripts. Create an unprivileged user called acme with a home in /var/acme. This is where certificates are going to be stored. Do not allow the user to login by setting a bogus shell (e.g. /usr/bin/nologin). Also set a lengthy password. (Remember: this setup takes place on a Internet-facing server, which is prone to attacks from the outside.) On Arch Linux:

```
# useradd -d /var/acme -m -s /usr/bin/nologin -U acme
# chown -R acme:acme /var/acme
# passwd acme
```

Modify the configuration (/etc/dehydrated/config) so that BASEDIR points to /var/acme—the home directory just set for the acme user. Also set the DEHYDRATED\_USER and DEHYDRATED\_GROUP to acme. Provide a proper CONTACT\_EMAIL address.

List the domains to manage certificates for in /etc/dehydrated/domains.txt (more of which later) and set DOMAINS\_TXT accordingly.

For a challenge other than HTTP-01, set the challenge type using CHALLENGETYPE. Set the CA to the certificate authority to be used: letsencrypt (default), letsencrypt-test (for testing your setup), buypass, buypass-test, zerossl (others supported by default). For other CAs, set CA to the API URL provided by the respective CA instead of its name.

Additional configuration settings can be put in an extra folder, say /etc/dehydrated/config.d to be referred to by the option CONFIG\_D.

```
BASEDIR="/var/acme"

DEHYDRATED_USER="acme"

DEHYDRATED_GROUP="acme"

CONTACT_EMAIL="patrick.bucher@mailbox.org"

DOMAINS_TXT="/etc/dehydrated/domains.txt"

CHALLENGETYPE="http-01"
```

```
CA="letsencrypt-test"
CONFIG_D="/etc/dehydrated/config.d"
```

The files in CONFIG\_D ending in .sh will be processed in alphanumerical order, with later files overriding settings of earlier files.

Put all the domains that will use the same certificate on a single line in your domain list (/etc/dehydrated/domains.txt). Make sure to put the domain with the Common Name (CN) first (max. 64 characters):

```
foo.bar www.foo.bar mail.foo.bar
qux.com www.qux.com mail.qux.com
```

The common name (above: foo.bar and qux.com) will be used as a directory name to store the certificates inside. Define an optional alias name after > at the end of a line in order to tie domains together:

```
buythisnow.com bestdealever.com youneedthisstuff.com > scamsites
```

If you're using wildcard certificates, always define an alias name for it, so that you don't end up with a \* character in your folder name:

```
*.foo.bar > wildcard.foo.bar
```

#### **Dehydrated HTTP-01 Challenge**

In order to test Dehydrated with the HTTP-01 challenge, a web server serving a web site must be set up, say Apache 2 serving the site foobar.com (see *Appendix A*). Dehydrated will create the file needed to pass challenge according to the information provided by the CA upon request, and clean it up after the challenge succeeded.

For a web server serving its data from /var/www, create a directory /var/www/acme owned by acme: acme:

```
# mkdir /var/www/acme
# chown -R acme:acme /var/www/acme
```

This directory must be made available for every site whose certificates are going to be managed by Dehydrated under the path /.well-known/acme-challenge, both via HTTP and HTTPS. For the Apache web server, a reusable configuration can be created as follows (e.g. under /etc/apache2/acme.config):

For each virtual host supposed to serve TLS certificates managed by Dehydrated, add the following line to the configuration:

```
<VirtualHost *:443>
        Include /etc/apache2/acme.config
        ...
</VirtualHost>
```

#### **Running Dehydrated**

Make sure that the hook.sh script for the HTTP-01 challenge is available under /etc/dehydrated/hook.sh. When using another location, set the configuration option HOOK in /etc/dehydrated/config pointing to that script. Also set the WELLKNOWN option to /var/www/acme, so that the challenge files end up in that directory, which was setup before.

Dehydrated is now ready to run. Make sure you can run dehydrated either using su or sudo, depending on your setup:

```
$ su -m acme -c 'dehydrated -v'
$ sudo -u acme dehydrated -v
```

If this command's output includes version information about Dehydrated, everything is ready to run the registration command (sudo is used for all dehydrated commands henceforth):

```
$ sudo -u acme dehydrated --register --accept-terms
# INFO: Using main config file /etc/dehydrated/config
+ Generating account key...
+ Registering account key with ACME server...
+ Fetching account ID...
+ Done!
```

Dehydrated can now be run to request the certificates. Certificates that are missing, or that will will expire within the next 30 days, are requested by providing the --cron option:

```
$ sudo -u acme dehydrated --cron
# INFO: Using main config file /etc/dehydrated/config
Processing foobar.com
+ Signing domains...
+ Generating private key...
+ Generating signing request...
+ Requesting new certificate order from CA...
+ Received 1 authorizations URLs from the CA
+ Handling authorization for foobar.com
+ 1 pending challenge(s)
 + Deploying challenge tokens...
+ Responding to challenge for foobar.com authorization...
+ Challenge is valid!
+ Cleaning challenge tokens...
+ Requesting certificate...
+ Checking certificate...
+ Done!
 + Creating fullchain.pem...
 + Done!
```

This should output a list of domains for which certificates are going to be checked for expiration periodically. Since no certificates existed yet, they have been requested right away. The challenge files are cleaned up automatically.

### **Deploying the Certificate**

The certificate files end up in a sub-directory of BASEDIR (e.g. /var/acme):

- accounts/ contains the account information resulting from the registration. There is one sub-directory for each CA account.
- archive/ contains all the expired certificate, key, chain, and CSR files, which are kept around for later inspection.
- chains/ containes cached certificate chain files, which are used to speed up the process of building new certificate chains.
- cert/ contains the current certificate, key, chain, and CSR files. For each domain, a sub-directory named after its common name is created.

Every sub-directory of cert/ contains the actual chain file to be deployed. The file name contains the epochal timestamp of the certificate creation time. Symlinks from the CSR (cert.csr -> cert-1627207259.csr), the certificate (cert.pem -> cert-1627207259.pem), the chain (fullchain.pem -> fullchain-1627207259.pem), and the private key (privkey.pem -> privkey-1627207259.pem) are created automatically, so that the paths to be used from the web server configuration remain stable.

Use the paths to fullchain.pem and privkey.pem for your webserver configuration (/etc/apache2/sites-enabled/foobar.com.conf):

```
SSLEngine on

SSLCertificateFile /var/acme/certs/foobar.com/fullchain.pem

SSLCertificateKeyFile /var/acme/certs/foobar.com/privkey.pem
```

Make sure to restart your web server or to reload its config after modifying those paths:

```
# systemctl restart apache2.service
```

#### Cleanup

Since renewed certificates end up in the same folder, old certificate, CSR, chain, and private key files should be archived once in a while:

```
$ sudo -u acme dehydrated --cleanup
```

The archived files, which end up in a sub-directory of /var/acme/archive (or generally speaking: in \${BASEDIR}/archive), should be deleted once in a while using a cron job running a command as follows, which deletes archive files older than 300 days:

```
# find /var/acme/archive -type f -mtime 300 -delete
```

Notice that most web servers load the certificate files on startup and won't reload renewed certificate chains automatically. Consider running your web server's reload or restart command after certificate renewal using a cron job. Or as a better alternative, put this command into the deploy\_cert() function of your hook.sh script. Make sure that the user running dehydrated has the according rights.

# Debugging

For debugging, make sure that the challenge files are created in the first place:

```
# watch -n 1 find -f /var/www/acme
```

This lists the contents of /var/www/acme every second, and a challenge token should appear while dehydrated is running. If not, something with your Dehydrated config or access rights to /var/www/acme must be wrong.

If the challenge file was created, but the challenge failed nonetheless, double check your Apache configuration; probably the challenge files aren't served.

### **Dehydrated DNS-01 Challenge**

Whereas the HTTP-01 challenge verifies that a web server requesting certificates can create arbitrary files in the directories served by it, the DNS-01 challenge verifies that a DNS server requesting certificates can create arbitrary TXT records for the domains managed by it.

Therefore, the DNS-01 challenge requires access to a domain's DNS configuration—and some familiarity with DNS on the side of the system's administrator. (*Appendix B* describes the process of setting up a basic authoritative-only DNS server which can be used to test the Dehydrated setup.)

For each SAN a certificate is to be managed by ACME, a TXT entry under a specific subdomain, say \_acme-challenge, must be created; e.g. \_acme-challenge.foobar.com for the domain foobar.com, or \_acme-challenge.www.foobar.com for its subdomain www.foobar.com. According CNAME entries can be used to point to those TXT entries managed by the stub-DNS resolver used for ACME. Dehydrated must be able to update a TXT record on its own. When the dehydrated script runs, it creates one such TXT entry, which is used for all the challenges domains are pointing to using their CNAME record. This TXT record is deleted after the challenge succeeded (or failed).

## **Dynamic Zone Setup**

Since the TXT record is going to be managed dynamically, a dynamic DNS key needs bo be created using ddns-confgen(8):

```
# ddns-confgen -k acme
```

Copy the following part of the output into /etc/bind/acme.key, and rename the key from acme to acmekey. Ignore the rest of the output:

```
key "acmekey" {
          algorithm hmac-sha256;
          secret "S6u5iSJYaur7K...";
};
```

In /etc/bind/named.conf.local, make sure to include that key:

```
include "/etc/bind/acme.key";
```

Also adjust the zone definition for \_acme-challenge.foobar.com as created in *Appendix B*, so that it looks as follows:

```
zone "_acme-challenge.foobar.com" {
         type master;
         file "/var/cache/bind/db._acme-challenge.foobar.com";
         allow-query { any; };
         update-policy {
              grant acmekey name _acme-challenge.foobar.com TXT;
         };
};
```

This update-policy allows for dynamic DNS updates of TXT records within the zone \_acme-challenge.foobar.com to the key acme.

Check your configuration, restart the bind9 service, and run a test:

```
# named-checkconf
# named-checkzone _acme-challenge.foobar.com \
   /var/cache/bind/db._acme-challenge.foobar.com
# systemctl restart bind9.service
$ dig -t txt +short test._acme-challenge.foobar.com @localhost
"this is a test"
```

# **Creating a Test TXT Record**

Next, nsupdate(1) is used to create the TXT required by the DNS-01 challenge. The key created before is loaded using the -k option. (The commands after the > prompt are to be entered interactively; the show command is used to double-check the configuration change to be performed.)

```
# nsupdate -k /etc/bind/acme.key
> server localhost
> update add _acme-challenge.foobar.com 300 TXT HelloWorld
> show
Outgoing update query:
;; ->>HEADER<<- opcode: UPDATE, status: NOERROR, id: 0
;; flags:; ZONE: 0, PREREQ: 0, UPDATE: 0, ADDITIONAL: 0
;; UPDATE SECTION:
_acme-challenge.foobar.com. 300 IN TXT "HelloWorld"
> send
> quit
```

Check if the TXT record is served correctly when queried (both locally and remotely):

```
$ dig -t txt +short _acme-challenge.foobar.com @localhost
"HelloWorld"
$ dig -t txt +short _acme-challenge.foobar.com @ns1.foobar.com
"HelloWorld"
```

Since this is only a configuration test, and the ACME client will create a TXT entry with the challenge secret on its own, the record can be deleted again:

```
# nsupdate -k /etc/bind/acme.key
> server localhost
> update delete _acme-challenge.foobar.com TXT
> send
> quit
```

Now the TXT record should be gone (no output should be shown):

```
$ dig -t txt +short _acme-challenge.foobar.com @localhost
```

#### **Setting up DNS Aliases**

In order to make use of the TXT entry being managed dynamically by Dehydrated, each domain needs an alias of their \_acme-challenge subdomain to the challenge domain's \_acme-challenge subdomain. For this purpose CNAME entries need to be created, e.g.:

```
_acme-challenge.my-website.com _acme-challenge.whatever.com _acme-challenge.www.foobar.com _acme-challenge.foobar.com _acme-challenge.foobar.com _acme-challenge.foobar.com
```

Use low TTLs (300 or 600 seconds), so that no old ACME challenges can interfere with newer ones. No CNAME entry is needed for the actual challenge domain.

### **DNS-01 Hook Script**

Download Dehydrated's sample DNS-01 script, which uses nsupdate(1), and save it to /etc/dehydrated/hook.sh. Some adjustments are needed:

First, change the content of the NSUPDATE variable, so that it points to the correct key file:

```
NSUPDATE='nsupdate -k /etc/bind/acme.key'
```

Second, change the instructions under the "deploy\_cert" branch, so that the apache configuration is updated:

```
"deploy_cert")
    sudo systemctl reload apache2.service
```

Make sure acme can perform this step by adding the following line to the sudoers file using visudo(8):

```
acme ALL=(root) NOPASSWD: /etc/init.d/apache2 reload
```

Test if acme can actually reload Apache using sudo:

```
$ sudo -u acme bash
$ sudo /etc/init.d/apache2 reload
```

The printf statement for the "deploy\_challenge" and "clean\_challenge" challenge step cases ("hooks") use the variables and arguments provided in and to the script and should work as intended. The following arguments are provided to the script:

- 1. the challenge step (deploy\_challenge, clean\_challenge)
- 2. the domain name being challenged (e.g. www.foobar.com)
- 3. the filename (not used for DNS-01, only for HTTP-01)
- 4. the secret that must go into the TXT record

Adjust your /etc/dehydrated/config for the right challenge type:

```
CHALLENGETYPE="dns-01"
```

Also consider requesting a wildcard certificate by adjusting /etc/dehydrated/domains.txt as follows, which, after all, is the main reason for all the DNS hassle::

```
foobar.com *.foobar.com
```

Better first use the staging environment until certificate renewal is proofed to work. Finally, dehydrated can be executed:

```
$ sudo -u acme dehydrated --cron
# INFO: Using main config file /etc/dehydrated/config
Processing foobar.com with alternative names: *.foobar.com
+ Signing domains...
+ Generating private key...
+ Generating signing request...
+ Requesting new certificate order from CA...
+ Received 2 authorizations URLs from the CA
+ Handling authorization for foobar.com
+ Handling authorization for foobar.com
+ 2 pending challenge(s)
+ Deploying challenge tokens...
+ Responding to challenge for foobar.com authorization...
+ Challenge is valid!
+ Responding to challenge for foobar.com authorization...
+ Challenge is valid!
+ Cleaning challenge tokens...
+ Requesting certificate...
+ Checking certificate...
+ Done!
+ Creating fullchain.pem...
+ Done!
```

The certificates have been created, and Apache should have been reloaded.

# **Dehydrated Configuration per Domain**

If most of your domains work with the HTTP-01 challenge, but some special domains need DNS-01 because they need a wildcard certificate, the Dehydrated configuration can be created by domain to some extent. Set the DOMAINS\_D option to point to a directory where those configurations are to be stored in /etc/dehydrated/config:

```
DOMAINS_D="/etc/dehydrated/domains.d"
```

Create one file per common name (the first entry per line in domains.txt), which contains the settings to be overwritten for that specific domain, e.g. /etc/dehydrated/domains.d/quxbaz.com for the domain quxbaz.com (here, the challenge type and the path to a special hook script are set):

```
CHALLENGETYPE="dns-01"
HOOK="/etc/dehydrated/dns01-hook.sh"
```

Notice that some options, e.g. CA, cannot be overwritten by a domain-specific configuration. The output of dehydrated will show that a special config is used for such a domain.

## **Certificate Renewal**

If the Dehydrated setup works, schedule a cron job so that renewals are attempted once a week. Certificates expiring within the next 30 days can be renewed, so running one renewal attempt once per week gives four attempts before the certificates are expired.

Make sure to create the cron job for the user acme:

```
$ sudo -u acme EDITOR=vi crontab -e
```

The following line configures a cron job that runs every sunday evening at 8 o'clock p.m. and attaches the command's output to /var/log/dehydrated/renewal.log:

```
0 20 * * 7 dehydrated --cron >/var/log/dehydrated/renewal.log 2>&1
```

Make sure to prepare the log folder as follows:

```
# mkdir /var/log/dehydrated
# chown -R acme:acme /var/log/dehydrated
```

As an alternative, use systemd's built-in logger:

```
0 20 * * 7 systemd-cat dehydrated --cron
```

Whose output can be viewed using:

```
$ sudo -u acme journalctl -u cron
```

# **Appendix A: Web Server Setup Using Apache 2**

In order to setup and test Dehydrated for the domain foobar.com, a web server must be running, serving that particular site. (Use a real domain owned by you instead.) This quick tutorial describes how to do so under Debian 10 (Buster).

First, install Apache 2:

```
# apt install apache2
```

Second, create the directory to serve your site from (replace foobar.com by your proper domain) with proper permissions:

```
# mkdir -p /var/www/foobar.com/public_html
# chown -R 755 /var/www/foobar.com/public_html
```

Third, create a simple test index page (/var/www/foobar.com/public\_html/index.html):

```
<h1>Hello, World!</h1>
```

Fourth, create a configuration file both serving HTTP and (yet bogus) HTTPS (/etc/apache2/sites-available/foobar.com.conf):

```
<VirtualHost *:443>
   ServerAdmin webmaster@foobar.com
   ServerName foobar.com
   ServerAlias www.foobar.com www2.foobar.com
   DocumentRoot /var/www/foobar.com/public_html
   ErrorLog ${APACHE_LOG_DIR}/error.log
   CustomLog ${APACHE_LOG_DIR}/access.log combined
   SSLEngine
   SSLCertificateFile
                         /etc/ssl/certs/ssl-cert-snakeoil.pem
   SSLCertificateKeyFile /etc/ssl/private/ssl-cert-snakeoil.key
</VirtualHost>
<VirtualHost *:80>
    ServerAdmin webmaster@foobar.com
   ServerName
                foobar.com
   ServerAlias www.foobar.com www2.foobar.com
   DocumentRoot /var/www/foobar.com/public_html
```

```
ErrorLog ${APACHE_LOG_DIR}/error.log
  CustomLog ${APACHE_LOG_DIR}/access.log combined
</VirtualHost>
```

Fifth, disable the default page and activate foobar.com. Also enable Apache's SSL module:

```
# a2dissite 000-default.conf
# a2ensite foobar.com.conf
# a2enmod ssl
```

Finally, the webserver can be restarted:

```
# systemctl restart apache2.service
```

If everything works, the demo page should be available under both HTTP and HTTPS:

```
$ curl http://foobar.com/index.html
$ curl -k https://foobar.com/index.html
```

# **Appendix B: DNS Server Setup Using Bind9**

If you want to test the DNS-01 challenge with Dehydrated, besides a web server, you also must run your own authoritative-only DNS server. Again the domain foobar.com is used as a placeholder, to be replaced with your real domain name. As an IP address, the placeholder 123.45.67.89 is used. Debian 10 (Buster) is used in this tutorial, too. This setup requires that both the DNS and web server are running on the same host.

For this setup, the DNS server only manages the TXT records needed for the ACME challenge. CNAME records are created on the main DNS server of your hosting provider, one per SAN, pointing to the TXT records managed on your ACME-only DNS server.

First, a NS record is needed, which assigns your subdomain (\_acme-challenge.foobar.com) to the DNS server that will manage it (ns1.foobar.com). Use your registrar's web interface to create one.

Second, an A record is needed setting ns1.foobar.com to the IP address 123.45.67.89. (Consider a glue record for this purpose.)

Make sure that UDP port 53 is open on your server:

```
$ nmap 123.45.67.89 -p 53
```

Install the Bind 9 on your server and check the version number:

```
# apt install bind9 bind9utils bind9-doc
# named -v
```

Next, enable and start the bind9 service and check its status (the last line should read: "server is up and running"):

```
# systemctl enable --now bind9
# rndc status
```

Also enable the bind9-resolvconf service so that Bind can be used as the system's default DNS resolver:

```
# systemctl enable --now bind9-resolvconf
```

Check if /etc/resolv.conf uses 127.0.0.1 as its name server:

```
$ cat /etc/resolv.conf
nameserver 127.0.0.1
```

Deactivate zone transfer and activate the query log by adding the following options to /etc/bind/named.conf.options:

```
allow-transfer { none; };
querylog yes;
```

Define your zone in /etc/bind/named.conf.local as follows:

```
zone "_acme-challenge.foobar.com" {
          type master;
          file "/var/cache/bind/db.acme.foobar.com";
          allow-query { any; };
};
```

The path /var/cache/bind is used instead of /etc/bind, because the former is allowed using Debian's default App Armor settings.

To create the zone file db.\_acme-challenge.foobar.com, copy from the template:

```
# cp /etc/bind/db.empty /var/cache/bind/db._acme-challenge.foobar.com
```

And create a zone configuration as follows:

```
$TTL
       300
$ORIGIN _acme-challenge.foobar.com.
       ΙN
               SOA
                       ns1.foobar.com. webmaster.foobar.com. (
                    2021072600
                                      ; Serial
                                      ; Refresh
                        604800
                         86400
                                      ; Retry
                       2419200
                                      ; Expire
                         86400 )
                                      ; Negative Cache TTL
                       ns1.foobar.com.
       ΙN
               NS
               TXT
                       "this is a test"
test
       ΙN
```

Check the global and zone configuration and restart the bind9 service:

```
# named-checkconf
# named-checkzone _acme-challenge.foobar.com \
   /var/cache/bind/db._acme-challenge.foobar.com
zone _acme-challenge.foobar.com/IN: loaded serial 2021072600
OK
# systemctl restart bind9.service
```

Test your DNS setup on the server by querying the test TXT record defined above:

```
$ dig -t txt +short test._acme-challenge.foobar.com @localhost
"this is a test"
```

If this succeeds, also perform the same test from your local computer:

```
$ dig -t txt +short test._acme-challenge.foobar.com @ns1.foobar.com
"this is a test"
```

If this also works, your DNS server is ready.