# Lecture 14—Fine-Grained Locking; Cache Coherency ECE 459: Programming for Performance

February 27, 2014

#### Last Time

- ⇒ Memory ordering:
  - Sequential consistency;
  - Relaxed consistency;
  - Weak consistency.
- ⇒ How to prevent memory reordering with fences.

#### Also:

- Other atomic operations.
- C++11: memory model, thread primitives
- Good increment practice.

### Digression: The Wayside



(Daderot, Wikimedia Commons)

#### It's Not Just Software

#### Nathaniel Hawthorne wrote:

I have been equally unsuccessful in my architectural projects; and have transformed a simple and small old farm-house into the absurdest anomaly you ever saw; but I really was not so much to blame here as the programmer village-carpenter, who took the matter into his own hands, and produced an unimaginable sort of thing instead of what I asked for. (January 1864)

Original budget: \$500 (\$7540 inflation-adjusted)
Actual cost: \$2000 (\$30160 inflation-adjusted)

### Part I

### Midterm Discussion

### Midterm Q1: Turnstiles



(ArnoldReinhold, Wikimedia Commons)

#### Midterm

- Q2 was harder than intended
   (I forgot sharing of data was allowed);
   solutions: replace int \* by a struct elem \*; or
   say that you expect aliased locks iff data aliases.
- didn't notice too many questions about Q3;
- Q4: methodA was intended to be x[j] += y[i+1].

We will be marking the midterm on Monday.

### Part II

## Locking Granularity

### Locking

#### Locks prevent data races.

 Locks' extents constitute their granularity—do you lock large sections of your program with a big lock, or do you divide the locks and protect smaller sections?

#### Concerns when using locks:

- overhead;
- contention; and
- deadlocks.

### Locking: Overhead

Using a lock isn't free. You pay:

- allocated memory for the locks;
- initialization and destruction time; and
- acquisition and release time.

These costs scale with the number of locks that you have.

### Locking: Contention

Most locking time is wasted waiting for the lock to become available.

How can we fix this?

- Make the locking regions smaller (more granular);
- Make more locks for independent sections.

### Locking: Deadlocks

The more locks you have, the more you have to worry about deadlocks.

#### Key condition:

waiting for a lock held by process X while holding a lock held by process X'. (X=X' allowed).

#### Flashback: From Lecture 1

Consider two processors trying to get two locks:

Thread 1	Thread 2
Get Lock 1	Get Lock 2
Get Lock 2	Get Lock 1
Release Lock 2	Release Lock
Release Lock 1	Release Lock 2

Processor 1 gets Lock 1, then Processor 2 gets Lock 2. Oops! They both wait for each other (deadlock).

### Key to Preventing Deadlock

Always be careful if your code acquires a lock while holding one.

Here's how to prevent a deadlock:

- Ensure consistent ordering in acquiring locks; or
- Use trylock.

### Preventing Deadlocks—Ensuring Consistent Ordering

```
void f1() {
    lock(&|1);
    lock(&12);
    // protected code
    unlock(&12);
    unlock(&II);
}
void f2() {
    lock(&|1);
    lock(&12);
    // protected code
    unlock(&12);
    unlock(&II);
```

This code will not deadlock: you can only get I2 if you have I1.

### Preventing Deadlocks—Using trylock

Recall: Pthreads' trylock returns 0 if it gets the lock.

```
void f1() {
    lock(&l1);
    while (trylock(&l2) != 0) {
        unlock(&l1);
        // wait
        lock(&l1);
    }
    // protected code
    unlock(&l2);
    unlock(&l1);
}
```

This code also won't deadlock: it will give up I1 if it can't get I2.

(BTW: trylocks also enable measuring lock contention.)

## Coarse-Grained Locking (1)



### Coarse-Grained Locking (2)

#### **Advantages:**

- Easier to implement;
- No chance of deadlocking;
- Lowest memory usage / setup time.

#### Disadvantages:

• Your parallel program can quickly become sequential.

### Coarse-Grained Locking Example—Python GIL

This is the main reason (most) scripting languages have poor parallel performance; Python's just an example.

- Python puts a lock around the whole interpreter (global interpreter lock).
- Only performance benefit you'll see from threading is if a thread is waiting for IO.
- Any non-I/O-bound threaded program will be slower than the sequential version (plus, it'll slow down your system).

## Fine-Grained Locking (1)



### Fine-Grained Locking (2)

#### **Advantages:**

Maximizes parallelization in your program.

#### Disadvantages

- May be mostly wasted memory / setup time.
- Prone to deadlocks.
- Generally more error-prone (be sure you grab the right lock!)

### Fine-Grained Locking Examples

The Linux kernel used to have **one big lock** that essentially made the kernel sequential.

• (worked fine for single-processor systems!) Now uses finer-grained locks for performance.

Databases may lock fields / records / tables. (fine-grained  $\rightarrow$  coarse-grained).

Can lock individual objects.

### Live Coding Example: Midterm Tree Access Code

I ran the code from the midterm with:

- a coarse-grained lock;
- per-item fine-grained locks.

Fine-grained locks were suspiciously fast.

### Part III

## Cache Coherency

#### Introduction

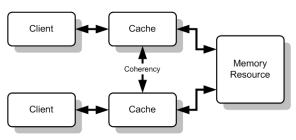


Image courtesy of Wikipedia.

#### **Coherency:**

- Values in all caches are consistent;
- System behaves as if all CPUs are using shared memory.

#### Cache Coherence Example

Initially in main memory: x = 7.

- OPU1 reads x, puts the value in its cache.
- ② CPU3 reads x, puts the value in its cache.
- 3 CPU3 modifies x := 42
- OPU1 reads x . . . from its cache?
- OPU2 reads x. Which value does it get?

Unless we do something, CPU1 is going to read invalid data.

### High-Level Explanation of Snoopy Caches

- Each CPU is connected to a simple bus.
- Each CPU "snoops" to observe if a memory location is read or written by another CPU.
- We need a cache controller for every CPU.

#### What happens?

 Each CPU reads the bus to see if any memory operation is relevant. If it is, the controller takes appropriate action.

### Write-Through Cache

#### Simplest type of cache coherence:

- All cache writes are done to main memory.
- All cache writes also appear on the bus.
- If another CPU snoops and sees it has the same location in its cache, it will either *invalidate* or *update* the data.

(We'll be looking at invalidating.)

For write-through caches: normally, when you write to an invalidated location, you bypass the cache and go directly to memory (aka write no-allocate).

### Write-Through Protocol

- Two states, valid and invalid, for each memory location.
- Events are either from a processor (Pr) or the Bus.

State	Observed	Generated	Next State
Valid	PrRd		Valid
Valid	PrWr	BusWr	Valid
Valid	BusWr		Invalid
Invalid	PrWr	BusWr	Invalid
Invalid	PrRd	BusRd	Valid

### Write-Through Protocol Example

 For simplicity (this isn't an architecture course), assume all cache reads/writes are atomic.

#### Using the same example as before:

Initially in main memory: x = 7.

- ① CPU1 reads x, puts the value in its cache. (valid)
- 2 CPU3 reads x, puts the value in its cache. (valid)
- 3 CPU3 modifies x := 42. (write to memory)
  - ► CPU1 snoops and marks data as invalid.
- OPU1 reads x, from main memory. (valid)
- OPU2 reads x, from main memory. (valid)

#### Write-Back Cache

- What if, in our example, CPU3 writes to x 3 times?
- Main goal: delay the write to memory as long as possible.
- At minimum, we have to add a "dirty" bit:
   Indicates the our data has not yet been written to memory.

#### Write-Back Implementation

The simplest type of write-back protocol (MSI), with 3 states:

- Modified—only this cache has a valid copy; main memory is out-of-date.
- Shared—location is unmodified, up-to-date with main memory; may be present in other caches (also up-to-date).
- Invalid—same as before.

Initial state, upon first read, is "shared".

Implementation will only write the data to memory if another processor requests it.

During write-back, a processor may read the data from the bus.

#### **MSI** Protocol

- Bus write-back (or flush) is **BusWB**.
- Exclusive read on the bus is **BusRdX**.

State	Observed	Generated	Next State
Modified	PrRd		Modified
Modified	PrWr		Modified
Modified	BusRd	BusWB	Shared
Modified	BusRdX	BusWB	Invalid
Shared	PrRd		Shared
Shared	BusRd		Shared
Shared	BusRdX		Invalid
Shared	PrWr	BusRdX	Modified
Invalid	PrRd	BusRd	Shared
Invalid	PrWr	BusRdX	Modified

### MSI Example

#### Using the same example as before:

Initially in main memory: x = 7.

- CPU1 reads x from memory. (BusRd, shared)
- Q CPU3 reads x from memory. (BusRd, shared)
- **3** CPU3 modifies x = 42:
  - Generates a BusRdX.
  - ► CPU1 snoops and invalidates x.
  - ► CPU3 changes x's state to modified.
- CPU1 reads x:
  - ► Generates a BusRd.
  - ► CPU3 writes back the data and sets x to shared.
  - ▶ CPU1 reads the new value from the bus as shared.
- OPU2 reads x from memory. (BusRd, shared)

#### An Extension to MSI: MESI

The most common protocol for cache coherence is MESI.

#### Adds another state:

- Modified—only this cache has a valid copy;
   main memory is out-of-date.
- Exclusive—only this cache has a valid copy;
   main memory is up-to-date.
- Shared—same as before.
- Invalid—same as before.

MESI allows a processor to modify data exclusive to it, without having to communicate with the bus.

MESI is safe: in E state, no other processor has the data.

#### Even More States!

#### MESIF (used in latest i7 processors):

• Forward—basically a shared state; but, current cache is the only one that will respond to a request to transfer the data.

Hence: a processor requesting data that is already shared or exclusive will only get one response transferring the data.

Permits more efficient usage of the bus.

### Good Questions (1)

# Cache coherency seems to make sure my data is consistent. Why do I have to have something like flush or fence?

- You might be ok, if all of the writes on processors are to the cache. But they're not!
- Cache coherency won't update any values modified in registers.

### Good Questions (2)

Well, I read that volatile variables aren't stored in registers, so then am I okay?

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# Well, I read that volatile variables aren't stored in registers, so then am I okay?

volatile in C was only designed to:

- Allow access to memory mapped devices.
- Allow uses of variables between setjmp and longjmp.
- Allow uses of sig\_atomic\_t variables in signal handlers.

Remember, things can also be reordered by the compiler, volatile doesn't prevent this.

Also, it's likely your variables could be in registers the majority of the time, except in critical areas.

#### Cache Coherency Summary

We saw the basics of cache coherence (good to know, but more of an architecture thing).

There are many other protocols for cache coherence, each with their own trade-offs.

Recall: OpenMP flush acts as a **memory barrier/fence** so the compiler and hardware don't reorder reads and writes.

Neither cache coherence nor volatile will save you.