# Lecture 13—Cache Coherency; Building Servers ECE 459: Programming for Performance

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### Part I

## Cache Coherency

#### Last Time

- ⇒ Memory ordering:
  - Sequential consistency;
  - Relaxed consistency;
  - Weak consistency.
- ⇒ How to prevent memory reordering with fences.

### Also:

- Other atomic operations.
- Good increment practice.

### Introduction

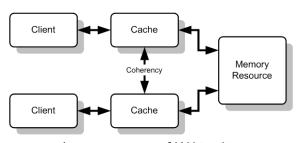


Image courtesy of Wikipedia.

### **Coherency:**

- Values in all caches are consistent;
- System behaves as if all CPUs are using shared memory.

### Cache Coherence Example

Initially in main memory: x = 7.

- OPU1 reads x, puts the value in its cache.
- ② CPU3 reads x, puts the value in its cache.
- 3 CPU3 modifies x := 42
- OPU1 reads x . . . from its cache?
- OPU2 reads x. Which value does it get?

Unless we do something, CPU1 is going to read invalid data.

## High-Level Explanation of Snoopy Caches

- Each CPU is connected to a simple bus.
- Each CPU "snoops" to observe if a memory location is read or written by another CPU.
- We need a cache controller for every CPU.

### What happens?

 Each CPU reads the bus to see if any memory operation is relevant. If it is, the controller takes appropriate action.

## Write-Through Cache

### Simplest type of cache coherence:

- All cache writes are done to main memory.
- All cache writes also appear on the bus.
- If another CPU snoops and sees it has the same location in its cache, it will either *invalidate* or *update* the data.

(We'll be looking at invalidating.)

For write-through caches: normally, when you write to an invalidated location, you bypass the cache and go directly to memory (aka write no-allocate).

## Write-Through Protocol

- Two states, valid and invalid, for each memory location.
- Events are either from a processor (Pr) or the Bus.

State	Observed	Generated	Next State
Valid	PrRd		Valid
Valid	PrWr	BusWr	Valid
Valid	BusWr		Invalid
Invalid	PrWr	BusWr	Invalid
Invalid	PrRd	BusRd	Valid

## Write-Through Protocol Example

 For simplicity (this isn't an architecture course), assume all cache reads/writes are atomic.

### Using the same example as before:

Initially in main memory: x = 7.

- ① CPU1 reads x, puts the value in its cache. (valid)
- 2 CPU3 reads x, puts the value in its cache. (valid)
- 3 CPU3 modifies x := 42. (write to memory)
  - ► CPU1 snoops and marks data as invalid.
- 4 CPU1 reads x, from main memory. (valid)
- OPU2 reads x, from main memory. (valid)

### Write-Back Cache

- What if, in our example, CPU3 writes to x 3 times?
- Main goal: delay the write to memory as long as possible.
- At minimum, we have to add a "dirty" bit:
   Indicates the our data has not yet been written to memory.

### Write-Back Implementation

The simplest type of write-back protocol (MSI), with 3 states:

- Modified—only this cache has a valid copy; main memory is out-of-date.
- Shared—location is unmodified, up-to-date with main memory; may be present in other caches (also up-to-date).
- Invalid—same as before.

Initial state, upon first read, is "shared".

Implementation will only write the data to memory if another processor requests it.

During write-back, a processor may read the data from the bus.

### **MSI Protocol**

- Bus write-back (or flush) is **BusWB**.
- Exclusive read on the bus is **BusRdX**.

State	Observed	Generated	Next State
Modified	PrRd		Modified
Modified	PrWr		Modified
Modified	BusRd	BusWB	Shared
Modified	BusRdX	BusWB	Invalid
Shared	PrRd		Shared
Shared	BusRd		Shared
Shared	BusRdX		Invalid
Shared	PrWr	BusRdX	Modified
Invalid	PrRd	BusRd	Shared
Invalid	PrWr	BusRdX	Modified

## MSI Example

### Using the same example as before:

Initially in main memory: x = 7.

- ① CPU1 reads x from memory. (BusRd, shared)
- Q CPU3 reads x from memory. (BusRd, shared)
- **3** CPU3 modifies x = 42:
  - Generates a BusRdX.
  - ► CPU1 snoops and invalidates x.
  - ► CPU3 changes x's state to modified.
- CPU1 reads x:
  - ► Generates a BusRd.
  - ► CPU3 writes back the data and sets x to shared.
  - ▶ CPU1 reads the new value from the bus as shared.
- OPU2 reads x from memory. (BusRd, shared)

### An Extension to MSI: MESI

The most common protocol for cache coherence is MESI.

#### Adds another state:

- Modified—only this cache has a valid copy;
   main memory is out-of-date.
- Exclusive—only this cache has a valid copy;
   main memory is up-to-date.
- Shared—same as before.
- Invalid—same as before.

MESI allows a processor to modify data exclusive to it, without having to communicate with the bus.

MESI is safe: in E state, no other processor has the data.

### Even More States!

### MESIF (used in latest i7 processors):

• Forward—basically a shared state; but, current cache is the only one that will respond to a request to transfer the data.

Hence: a processor requesting data that is already shared or exclusive will only get one response transferring the data.

Permits more efficient usage of the bus.

## Good Questions (1)

# Cache coherency seems to make sure my data is consistent. Why do I have to have something like flush or fence?

- You might be ok, if all of the writes on processors are to the cache. But they're not!
- Cache coherency won't update any values modified in registers.

## Good Questions (2)

Well, I read that volatile variables aren't stored in registers, so then am I okay?

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volatile in C was only designed to:

- Allow access to memory mapped devices.
- Allow uses of variables between setjmp and longjmp.
- Allow uses of sig\_atomic\_t variables in signal handlers.

Remember, things can also be reordered by the compiler, volatile doesn't prevent this.

Also, it's likely your variables could be in registers the majority of the time, except in critical areas.

### Cache Coherency Summary

We saw the basics of cache coherence (good to know, but more of an architecture thing).

There are many other protocols for cache coherence, each with their own trade-offs.

Recall: OpenMP flush acts as a **memory barrier/fence** so the compiler and hardware don't reorder reads and writes.

• Neither cache coherence nor volatile will save you.

## Part II

## **Building Servers**

## Concurrent Socket I/O

Complete change of topic. A Quora question:

What is the ideal design for server process in Linux that handles concurrent socket I/O?

So far in this class, we've seen:

- processes;
- threads; and
- thread pools.

We'll see the answer by Robert Love, Linux kernel hacker<sup>1</sup>.

https://plus.google.com/105706754763991756749/posts/VPMT8ucAcFH

### The Real Question

How do you want to do I/O?

Not really "how many threads?".

### Four Choices

- Blocking I/O; 1 process per request.
- Blocking I/O; 1 thread per request.
- Asynchronous I/O, pool of threads, callbacks, each thread handles multiple connections.
- Nonblocking I/O, pool of threads, multiplexed with select/poll, event-driven, each thread handles multiple connections.

## Blocking I/O; 1 process per request

### Old Apache model:



- Main thread waits for connections.
- Upon connect, forks off a new process, which completely handles the connection.
- Each I/O request is blocking:
   e.g. reads wait until more data arrives.

### Advantage:

• "Simple to undertand and easy to program."

### Disadvantage:

High overhead from starting 1000s of processes.
 (can somewhat mitigate with process pool).

Can handle  ${\sim}10~000$  processes, but doesn't generally scale.

## Blocking I/O; 1 thread per request

We know that threads are more lightweight than processes.

Same as 1 process per request, but less overhead.

I/O is the same—still blocking.

### Advantage:

Still simple to understand and easy to program.

### Disadvantages:

- Overhead still piles up, although less than processes.
- New complication: race conditions on shared data.

## Asynchronous I/O Benefits

In 2006, perf benefits of asynchronous I/O on lighttpd<sup>2</sup>:

version		fetches/sec	bytes/sec	CPU idle
1.4.13	sendfile	36.45	3.73e + 06	16.43%
1.5.0	sendfile	40.51	4.14e + 06	12.77%
1.5.0	linux-aio-sendfile	72.70	7.44e + 06	46.11%

(Workload:  $2 \times 7200$  RPM in RAID1, 1GB RAM, transferring 10GBytes on a 100MBit network).

<sup>2</sup> http://blog.lighttpd.net/articles/2006/11/12/lighty-1-5-0-and-linux-aio/

## Using Asynchronous I/O in Linux (select/poll)

#### Basic workflow:

- enqueue a request;
- ... do something else;
- (if needed) periodically check whether request is done; and
- read the return value.

## Asynchronous I/O Code Example I: Setup

```
#include <aio.h>
int main() {
    // so far, just like normal
    int file = open("blah.txt", O_RDONLY, 0);
    // create buffer and control block
    char* buffer = new char[SIZE_TO_READ];
    aiocb cb:
    memset(&cb, 0, sizeof(aiocb));
    cb.aio_nbytes = SIZE_TO_READ;
    cb.aio_fildes = file;
    cb.aio offset = 0;
    cb.aio_buf = buffer;
```

## Asynchronous I/O Code Example II: Read

```
// enqueue the read
if (aio\_read(\&cb) = -1) \{ /* error handling */ \}
do {
 // ... do something else ...
while (aio_error(&cb) == EINPROGRESS); // poll
// inspect the return value
int numBytes = aio_return(&cb);
if (\text{numBytes} = -1) { /* error handling */ }
// clean up
delete[] buffer;
close (file):
```

## Nonblocking I/O in Servers using Select/Poll

Each thread handles multiple connections.

When a thread is ready, it uses select/poll to find work.

- perhaps it needs to read from disk into a mmap'd tempfile;
- perhaps it needs to copy the tempfile to the network.

In either case, the thread does work and updates the request state.

## Callback-Based Asynchronous I/O Model

Weird programming model; not popular.

Instead of select/poll, pass along a callback, to be executed upon success or failure.

JavaScript does this extensively, but more unwieldy in C.

We'll see the Go programming model, which makes this easy.

## Callback-Based Example

```
hiov
new_connection_cb (int cfd)
  if (cfd < 0) {
    fprintf (stderr, "error in accepting connection!\n");
    exit (1);
  ref < connection_state > c =
    new refcounted < connection_state > (cfd);
 c->killing_task = delaycb(10, 0, wrap(&clean_up, c));
 /* next step: read information on the new connection */
 fdcb (cfd, selread, wrap (&read_http_cb, cfd, c, true,
                 wrap(&read_req_complete_cb)));
```

### node.js: A Superficial View

node.js is another event-based nonblocking I/O model.

(Since JavaScript is singlethreaded, nonblocking I/O mandatory.)

Canonical example from node.js homepage:

```
var http = require('http');
http.createServer(function (req, res) {
  res.writeHead(200, {'Content-Type': 'text/plain'});
  res.end('Hello World\n');
}).listen(1337, '127.0.0.1');
console.log('Server running at http://127.0.0.1:1337/');
```

Note the use of the callback—it's called upon each connection.

### Building on node.js

Usually we want a higher-level view, e.g. expressjs<sup>3</sup>.

An example from the Internet<sup>4</sup>:

```
app.post('/nod', function(req, res) {
  loadAccount(req, function(account) {
    if(account && account.username) {
     var n = new Nod();
    n.username = account.username;
    n.text = req.body.nod;
    n.date = new Date();
    n.save(function(err){
        res.redirect('/');
    });
    });
}
```

<sup>3</sup>http://expressjs.com

<sup>4</sup> https://github.com/tglines/nodrr/blob/master/controllers/nod.js

## Summary: Building Servers

- Blocking I/O; 1 process per request (old Apache).
- Blocking I/O; 1 thread per request (Java).
- Asynchronous I/O, pool of threads, callbacks, each thread handles multiple connections. (no one does this)
- Nonblocking I/O, pool of threads, multiplexed with select/poll, event-driven, each thread handles multiple connections. (JavaScript)