



**Due Diligence Automation System
Troubleshooting Guide**

Revision 2
21-June-2018

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Revision History

| Date | Version | Section | Description | Author |
|--------------|---------|--------------------------|-----------------------|------------------|
| 19-June-2018 | 1.0 | All | Initial | Pradeep / Johnly |
| 21-June-2018 | 2.0 | Application Architecture | Updated | Pradeep / Johnly |
| 21-June-2018 | 2.0 | Header | ICON Logo – replaced. | |
| | | | | |
| | | | | |
| | | | | |

Introduction

DDAS is a Web Application and can be accessed through: <http://ddas.iconplc.com> and <http://ddastest.iconplc.com>

The main goal of the DDAS application is to aid the user to collate Investigator and Institute related Compliance Issues into Investigator Compliance Search Forms (ICSF).

The application collects Investigator Compliance related data from various public sources. The application downloads this every 24 hours and converts it into data repositories.

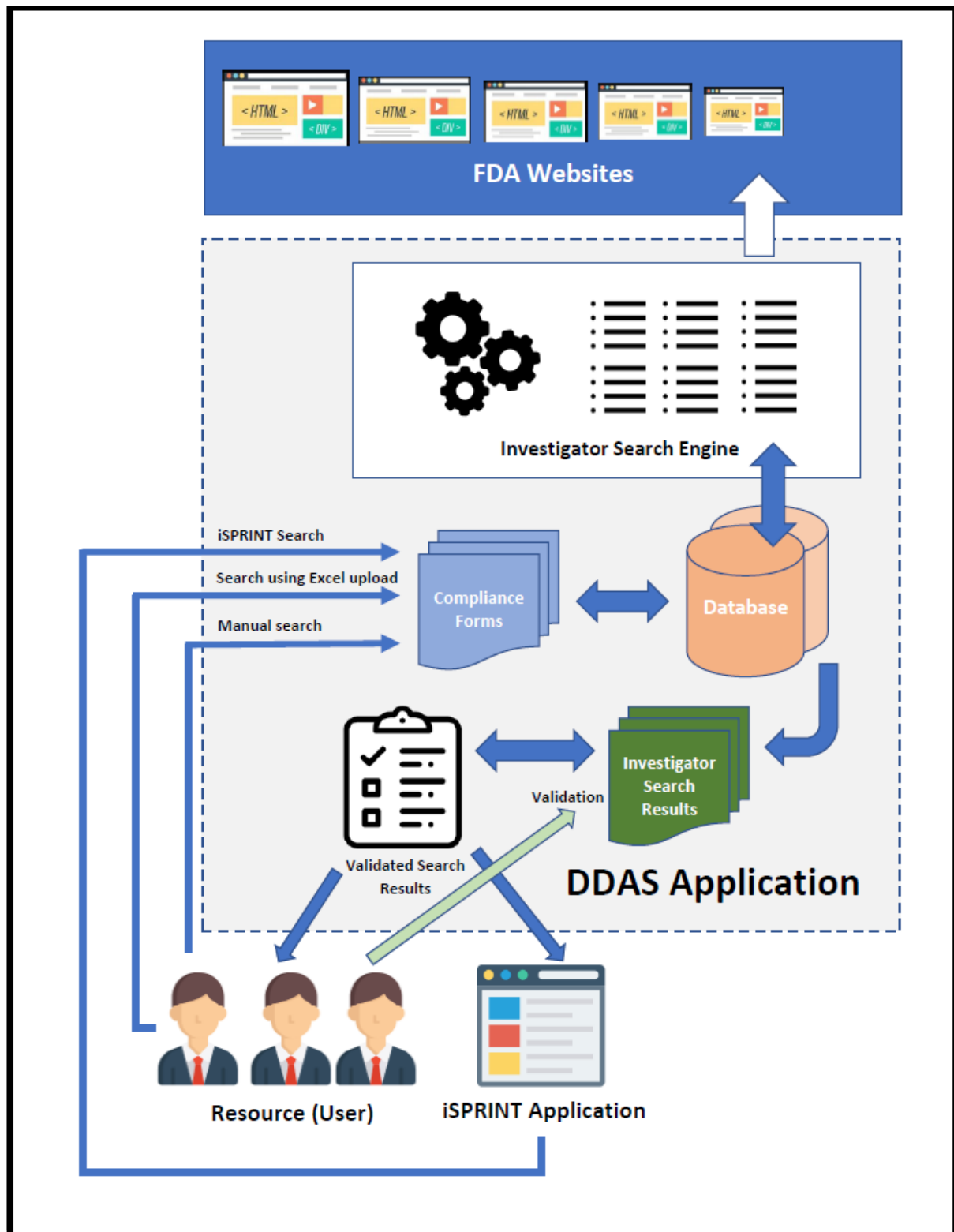
The application user inputs details such as Project Number, Name of Institute, Country, List of Investigators and their Role, Principal or Sub. The application scans through the latest version of the data repositories for suitable match of Investigator Name and presents the results to the user for recording observations.

The data is extracted from the following web sites –

1. FDA Debarment List
2. Clinical Investigator Inspection List (CLIL)(CDER)
3. FDA Warning Letters and Responses
4. Notice of Opportunity for Hearing (NOOH) – Proposal to Debar
5. Adequate Assurances List for Clinical Investigators
6. Clinical Investigators – Disqualification Proceedings (FDA Disqualified/Restricted)
7. Clinical Investigator Inspection List (CBER)
8. PHS Administrative Actions Listing
9. HHS/OIG/ EXCLUSIONS DATABASE SEARCH/ FRAUD
10. HHS/OIG Corporate Integrity Agreements/Watch List
11. SAM/SYSTEM FOR AWARD MANAGEMENT
12. LIST OF SPECIALLY DESIGNATED NATIONALS

1 Application Environment

1.1 Application Architecture





Application Servers

Web Servers

| Function | IP Address | Server Name | Physical Location | Software | Version |
|----------|---------------|-------------|-------------------|----------|---------|
| Prod | 10.11.103.145 | | | | |
| Test | 10.11.103.149 | | | | |

Folder Structure

| Path | Notes |
|---|--|
| \DataFiles\Attachments | Files attached while requesting QC are saved in this folder |
| \DataFiles\Downloads\CILFolder | Files extracted for CIL source are saved |
| \DataFiles\Downloads\ExclusionDatabase | Files extracted for Exclusion database source are saved |
| \DataFiles\Downloads\FDAWarningLetters | Files extracted for FDA Warning Letters source are saved |
| \DataFiles\Downloads\SAM | Files extracted for SAM source are saved |
| \DataFiles\Downloads\SDN | Files extracted for SDN source are saved |
| \DataFiles\Downloads\ErrorScreenCapture | Errors occurred during data extraction process are saved |
| \DataFiles\Downloads\OutputFileFolder | Output files generated by the application are saved |
| \DataFiles\Downloads\Templates | Templates used in the application are saved (Input, Output template and user manual) |
| \DataFiles\Downloads\Uploads | Compliance forms uploaded using input excel template are saved |

1.2 Database Servers

Database Servers

| Function | IP Address | Server Name | Physical Location | Software | Version |
|----------|---------------|-----------------|-------------------|----------|---------|
| Prod | 10.11.103.154 | eu-duedilp-d001 | - | | |
| Test | 10.11.103.156 | eu-duedilt-d001 | - | | |
| Dev | 10.11.103.156 | eu-duedilt-d001 | - | | |

Database Instances

| Function | Server | Instance | Notes |
|----------|-----------------|----------|-------|
| Prod | eu-duedilp-d001 | ddasprod | |
| Test | eu-duedilt-d001 | ddastest | |
| Dev | eu-duedilt-d001 | ddasdevp | |



1.3 System Functions and or Services

Batch Schedulers

Type: Scheduled Task

File Path: bin\DDASDataExtractor.exe

Frequency: Scheduled to run at 1 am everyday

Description: The scheduled task extracts data from the data sources (refer to Annexure), downloads files and saves data into the database

1.4 Backups/Restores

Handled by ICON IT

2 Support Procedures

2.1 Common Problems/Troubleshooting

Data Extraction Errors

Data Extraction Error:

DDAS application downloads/extracts data from 11 FDA sites every day. The download status of these websites provided in the application is shown below.

Extractor Indicator

Data Extractor

| Data Extractor | | | | | |
|----------------|--|----------------------|-----------------------------|-------------------|---------|
| Site # | Site Name | Scanned/Extracted On | Site Source Last Updated On | Error Description | Execute |
| 1 | FDADebarPage | 24 May 2018 11:40 AM | 03 Jan 2018 12:00 AM | | |
| 2 | ClinicalInvestigatorInspectionPage | 24 May 2018 11:41 AM | 19 Mar 2018 12:00 AM | | |
| 3 | FDAWarningLettersPage | 24 May 2018 11:41 AM | 22 May 2018 12:00 AM | | |
| 4 | ERRProposalToDebarPage | 24 May 2018 11:44 AM | 07 Aug 2017 12:00 AM | | |
| 5 | AdequateAssuranceListPage | 30 Nov 2017 02:46 PM | 24 Apr 2014 12:00 AM | | |
| 6 | ClinicalInvestigatorDisqualificationPage | 24 May 2018 11:58 AM | 15 May 2018 12:00 AM | | |
| 7 | PHSAdministrativeActionListingPage | 28 May 2018 01:59 PM | 25 May 2018 12:00 AM | | |
| 8 | CBERClinicalInvestigatorInspectionPage | 24 May 2018 12:08 PM | 10 Apr 2018 12:00 AM | | |
| 9 | ExclusionDatabaseSearchPage | 24 May 2018 12:10 PM | 08 May 2018 12:00 AM | | |
| 10 | CorporateIntegrityAgreementsListPage | 24 May 2018 12:13 PM | 08 May 2018 12:00 AM | | |
| 11 | SystemForAwardManagementPage | 04 Jan 2018 04:53 PM | 04 Jan 2018 12:00 AM | | |
| 12 | SpecialtyDesignedNationalsListPage | 24 May 2018 12:14 PM | 22 May 2018 10:01 AM | | |

- As indicated in the above screenshot – **Extractor Indicator**, the number of sites for which the data has not been extracted is shown in red colour
- '**Run Extractor**' link next to the **Extractor Indicator** appears only for admin. This link will navigate to '**Data Extractor**' page as per the above screenshot '**Data Extractor**'
- As indicated in '**Run Extractor**' page, data can be extracted for any site. In case of success, the message is shown as below
- The extraction message in case of failure is shown as below

(On successful data extraction)



Data Extractor

Extraction successful for ERRProposalToDebarPage

| Site # | Site Name | Scanned/Extracted On | Site Source Last Updated On | Error Description | Execute |
|--------|--|----------------------|-----------------------------|-------------------|---------|
| 1 | FDADebarPage | 01 Jun 2018 12:05 PM | 03 Jan 2018 12:00 AM | | |
| 2 | ClinicalInvestigatorInspectionPage | 24 May 2018 11:41 AM | 19 Mar 2018 12:00 AM | | |
| 3 | FDAWarningLettersPage | 24 May 2018 11:41 AM | 22 May 2018 12:00 AM | | |
| 4 | ERRProposalToDebarPage | 18 Jun 2018 05:40 PM | 07 Aug 2017 12:00 AM | | |
| 5 | AdequateAssuranceListPage | 30 Nov 2017 02:46 PM | 24 Apr 2014 12:00 AM | | |
| 6 | ClinicalInvestigatorDisqualificationPage | 24 May 2018 11:58 AM | 15 May 2018 12:00 AM | | |
| 7 | PHSAdministrativeActionListingPage | 01 Jun 2018 12:07 PM | 31 May 2018 12:00 AM | | |
| 8 | CBERClinicalInvestigatorInspectionPage | 24 May 2018 12:08 PM | 10 Apr 2018 12:00 AM | | |

(On failure)

Data Extractor

Extraction did not succeed for AdequateAssuranceListPage. The error is logged in Logs/Extraction Log

| Site # | Site Name | Scanned/Extracted On | Site Source Last Updated On | Error Description | Execute |
|--------|--|----------------------|-----------------------------|-------------------|---------|
| 1 | FDADebarPage | 24 May 2018 11:40 AM | 03 Jan 2018 12:00 AM | | |
| 2 | ClinicalInvestigatorInspectionPage | 24 May 2018 11:41 AM | 19 Mar 2018 12:00 AM | | |
| 3 | FDAWarningLettersPage | 24 May 2018 11:41 AM | 22 May 2018 12:00 AM | | |
| 4 | ERRProposalToDebarPage | 24 May 2018 11:44 AM | 07 Aug 2017 12:00 AM | | |
| 5 | AdequateAssuranceListPage | 30 Nov 2017 02:46 PM | 24 Apr 2014 12:00 AM | | |
| 6 | ClinicalInvestigatorDisqualificationPage | 24 May 2018 11:58 AM | 15 May 2018 12:00 AM | | |
| 7 | PHSAdministrativeActionListingPage | 28 May 2018 01:59 PM | 25 May 2018 12:00 AM | | |
| 8 | CBERClinicalInvestigatorInspectionPage | 24 May 2018 12:08 PM | 10 Apr 2018 12:00 AM | | |
| 9 | ExclusionDatabaseSearchPage | 24 May 2018 12:10 PM | 08 May 2018 12:00 AM | | |
| 10 | CorporateIntegrityAgreementsListPage | 24 May 2018 12:13 PM | 08 May 2018 12:00 AM | | |
| 11 | SystemForAwardManagementPage | 04 Jan 2018 04:53 PM | 04 Jan 2018 12:00 AM | | |
| 12 | SpecialtyDesignedNationalsListPage | 24 May 2018 12:14 PM | 22 May 2018 10:01 AM | | |

- If extraction fails, navigate to the site (refer **Annexure-1**) and check whether the site is accessible



Annexure:

Below are the active links for the sites for which data extraction is carried out

| Serial Number | Site Name | Site Url |
|---------------|---|---|
| 1 | FDA Debarment List | http://www.fda.gov/ora/compliance_ref/debar/default.htm |
| 2 | Clinical Investigator Inspection List (CLIL)(CDER) | https://www.fda.gov/Drugs/InformationOnDrugs/ucm135198.htm |
| 3 | FDA Warning Letters and Responses | https://www.accessdata.fda.gov/scripts/warningletters/wlSearchResultExcel.cfm?qryStr= |
| 4 | Notice of Opportunity for Hearing (NOOH) – Proposal to Debar | http://www.fda.gov/RegulatoryInformation/FOI/ElectronicReadingRoom/ucm143240.htm |
| 5 | Adequate Assurances List for Clinical Investigators | http://www.fda.gov/ora/compliance_ref/bimo/asurlist.htm |
| 6 | Clinical Investigators – Disqualification Proceedings (FDA Disqualified/Restricted) | http://www.accessdata.fda.gov/scripts/SDA/sdNavigation.cfm?sd=clinicalinvestigatorsdisqualificationproceedings&previewMode=true&displayAll=true |
| 7 | Clinical Investigator Inspection List (CBER) | http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/ComplianceActivities/ucm195364.htm |
| 8 | PHS Administrative Actions Listing | https://ori.hhs.gov/ORI_PHS_alert.html?d=update |
| 9 | HHS/OIG/ EXCLUSIONS | https://oig.hhs.gov/exclusions/exclusions_list.asp |




| | | |
|----|--|---|
| | DATABASE SEARCH/ FRAUD | |
| 10 | HHS/OIG Corporate Integrity Agreements/ Watch List | http://oig.hhs.gov/compliance/corporate-integrity-agreements/cia-documents.asp |
| 11 | SAM/SYSTEM FOR AWARD MANAGEMENT | https://www.sam.gov/portal/public/SAM |
| 12 | LIST OF SPECIALLY DESIGNATED NATIONALS | http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx |

1. FDA Debarment List

Data File Downloaded: No

Contents in the table indicated below are extracted and saved into database

 **U.S. FOOD & DRUG
ADMINISTRATION**

A to Z Index | Follow FDA | En Español

Search FDA

Home | Food | Drugs | Medical Devices | Radiation-Emitting Products | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Tobacco Products

Inspections, Compliance, Enforcement, and Criminal Investigations

Home > Inspections, Compliance, Enforcement, and Criminal Investigations > Compliance Actions and Activities > FDA Debarment List

FDA Debarment List
FDA Debarment List (Food Importation)
FDA Debarment List Updates

Resources for You

- Notice of Opportunity for Hearing (NOOH) - Proposal to Debar

FDA Debarment List (Drug Product Applications)

[SHARE](#) [TWEET](#) [LINKEDIN](#) [PIN IT](#) [EMAIL](#) [PRINT](#)

The following is a public list of firms or persons debarred pursuant to sections 306(a), (b)(1) and (b)(2) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 335(a), (b)(1), and (b)(2)) as published in the [FEDERAL REGISTER \(FR\)](#):

Firms

| NAME OF FIRM | EFFECTIVE DATE | END/TERM OF DEBARMENT | FR DATE.txt (MM/DD/YY) | VOLUME PAGE.pdf |
|----------------------|----------------|-----------------------|------------------------|-----------------|
| None as of this date | | | | |

Persons

| NAME OF PERSON | EFFECTIVE DATE | END/TERM OF DEBARMENT | FR DATE.txt (MM/DD/YY) | VOLUME PAGE.pdf |
|------------------------|----------------|-----------------------|------------------------|-----------------|
| Alaiche, Adrien E. | 5/27/2011 | 5 years% | 5/27/2011 | 76FR30946 |
| Akhigbe, Ehigiator O. | 12/17/2010 | 25 Year% | 12/17/2010 | 75FR79005 |
| Albanese, Anthony W. | 11/23/2009 | Permanent* | 11/23/2009 | 74FR61151 |
| Aminzada, Mirwaiss | 06/22/2015 | Permanent* | 06/22/2015 | 80FR35652 |
| Anthony, James Michael | 11/07/1997 | Permanent* | 11/07/1997 | 62FR60249 |





2. Clinical Investigator Inspection List (CLIL)(CDER)

Data File Downloaded: Yes

Data file is downloaded from the link indicated below and the data is saved into database

U.S. Department of Health and Human Services

FDA U.S. FOOD & DRUG ADMINISTRATION

A to Z Index | Follow FDA | En Español

Search FDA

Home Food **Drugs** Medical Devices Radiation-Emitting Products Vaccines, Blood & Biologics Animal & Veterinary Cosmetics Tobacco Products

Drugs

Home > Drugs > Drug Approvals and Databases

Drug Approvals and Databases

Approved Drugs

Clinical Investigator Inspection List (CLIL)


SHARE TWEET LINKEDIN PIN IT EMAIL PRINT

The Clinical Investigator Inspection List (CLIL) contains names, addresses, and other pertinent information gathered from inspections of clinical investigators who have performed studies with investigational new drugs. The list contains information on inspections that have been closed since July 1977.

Dates of Coverage: August 1977-present
Update frequency: Quarterly

The Clinical Investigator Inspection List (CLIL) is updated quarterly.

Additional Information

- Clinical Investigator Inspection List Search Page
- Clinical Investigator Inspection List Zip File (ZIP - 486KB) 
Current through 3/19/2018
- Clinical Investigator Inspection List (CLIL) Database Codes Database definition codes for the Clinical Investigator Inspection List (CLIL)
- Information Sheet Guidance for Institutional Review Boards (IRBs), Clinical Investigators, and Sponsors FDA, guidance, information sheets, IRB, informed consent, clinical, trial, investigator, institutional review board, sponsor, advice, human subject research

You can also search this file online at: [Clinical Investigator Inspection List Search](#)

3. FDA Warning Letters and Responses

Data File Downloaded: Yes

The given link directly downloads the file. Data is then saved into database



U.S. Department of Health & Human Services

A to Z Index | Follow FDA | En Español

FDA U.S. FOOD & DRUG ADMINISTRATION

Home | Food | Drugs | Medical Devices | Radiation-Emitting Products | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Tobacco Products

FDA's Electronic Reading Room - Warning Letters

FDA Home | Warning Letters and Responses | Search Warning Letters by Issue Date and Export to Excel

Search Warning Letters by Issue Date and Export to Excel

Date Range (please use the format: 'mm/dd/yyyy')

Letter Issued: Letter Issued Date (From): 05/07/2018 Letter Issued Date (To): 06/06/2018

Search Reset

Note: If you need help accessing information in different file formats, see [Instructions for Downloading Viewers and Players](#).
Language Assistance Available: Español | 繁體中文 | Tiếng Việt | 한국어 | Tagalog | Русский | العربية | Kreyòl Ayisyen | Français | Polski | Português | Italiano | Deutsch | 日本語 | فارسی | English

Accessibility | Contact FDA | Careers | FDA Basics | FOIA | No FEAR Act | Site Map | Nondiscrimination | Website Policies

U.S. Food and Drug Administration
10903 New Hampshire Avenue
Silver Spring, MD 20993

Combination Products
Advisory Committees

U.S. Department of Health & Human Services

4. Notice of Opportunity for Hearing (NOOH) – Proposal to Debar

Data File Downloaded: No

Contents in the table indicated below are extracted and saved into database



U.S. Department of Health and Human Services

FDA U.S. FOOD & DRUG ADMINISTRATION

A to Z Index | Follow FDA | En Español

Search FDA

Home | Food | Drugs | Medical Devices | Radiation-Emitting Products | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Tobacco Products

Regulatory Information

Home > Regulatory Information > Freedom of Information > Electronic Reading Room

Electronic Reading Room

Notice of Opportunity for Hearing (NOOH) - Food

▶ Notice of Opportunity for Hearing (NOOH) - Proposal to Debar

Resources for You

- Clinical Investigators - Disqualification Proceedings

Notice of Opportunity for Hearing (NOOH) - Proposal to Debar

SHARE TWEET LINKEDIN PIN IT EMAIL PRINT

The Notice of Opportunity for Hearing provides an individual with the opportunity for a hearing on a regulatory action, including a proposed action (such as debarment), before a presiding officer designated by the Commissioner.

Some of these documents are in Portable Document Format (PDF) to retain the original format. To view or print these documents, you must use the Adobe Acrobat viewer. Acrobat is free and available directly from Adobe's website with full installation instructions.



| Name | Center | Date | Issuing Office |
|---|--------|----------|------------------------------|
| Aiache, Adrien E. M.D. (PDF 985.38 KB) Text Version (Proposal to Debar) | CDER | 02/02/11 | Office of Regulatory Affairs |
| Akhigbe, Ehigiator O. (PDF - 2.53MB) Text Version (Proposal to Debar) | | 09/13/10 | Office of Regulatory Affairs |

5. Adequate Assurances List for Clinical Investigators

Data File Downloaded: No
Currently this site is down

6. Clinical Investigators - Disqualification Proceedings (FDA Disqualified/Restricted)

Data File Downloaded: No
Contents in the table indicated below are extracted and saved into database

U.S. Department of Health & Human Services

FDA U.S. FOOD & DRUG ADMINISTRATION

A to Z Index | Follow FDA | En Español

Home | Food | Drugs | Medical Devices | Radiation-Emitting Products | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Tobacco Products

Clinical Investigators - Disqualification Proceedings

Location: <http://www.accessdata.fda.gov/scripts/cdrh/cdrhnav/jsp/cdrhnavclinicalinvestigatorsdisqualificationproceedings.jsp>

Compliance and Enforcement
Regulatory Activities
Clinical Investigators - Disqualification Proceedings

Return to Introductory Page

Click on this link to download all data from the selected searchable database in Excel format. If you need help accessing information in different file formats, see [Instructions for Downloading Viewers and Players](#).

Search Criteria

Advanced Search

Sort by: Name ASC Go Reset

No. of Records Found: 228

| Name | Center | Status | Date of status | Date NIDPOE Issued | Date NOOH Issued | Link to NIDPOE Letter | Link to NOOH Letter |
|-----------------------------|--------|--------------|----------------|--------------------|------------------|-----------------------|---------------------|
| Abuzzo, Jr., William A., MD | CDER | Disqualified | 09/31/1996 | | | | |
| Achreja, Manjeet Kaur, MD | CDER | Disqualified | 04/24/2008 | 08/29/2007 | | PDF Text | |
| Agus, Bertrand, MD | CDER | Disqualified | 02/12/2008 | 09/27/2007 | | PDF Text | |
| Alexander, Elmore, DO | CDER | Disqualified | 08/15/2013 | 01/27/2012 | 08/15/2012 | PDF | PDF |



7. Clinical Investigator Inspection List (CBER)

Data File Downloaded: No

Contents in the table indicated below are extracted and saved into database

Data is extracted for all the lists: A-D, E-K, L-P, Q-S and T-Z

U.S. Department of Health and Human Services

FDA U.S. FOOD & DRUG ADMINISTRATION

A to Z Index | Follow FDA | En Español

Search FDA

Home | Food | Drugs | Medical Devices | Radiation-Emitting Products | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Tobacco Products

Vaccines, Blood & Biologics

Home > Vaccines, Blood & Biologics > Guidance, Compliance & Regulatory Information (Biologics) > Compliance Actions (Biologics)

Clinical Investigator Inspection List A-D

SHARE | TWEET | LINKEDIN | PIN IT | EMAIL | PRINT

Return to:

- [Clinical Investigator Inspection List E-K](#)
- [Clinical Investigator Inspection List L-P](#)
- [Clinical Investigator Inspection List Q-S](#)
- [Clinical Investigator Inspection List T-Z](#)
- [Clinical Investigator Status \(Biologics\)](#)

This list contains names, addresses, and other information gathered from inspections of clinical investigators (with last names beginning with A-D) who have conducted studies with investigational new drugs or investigational devices reviewed by the Center for Biologics Evaluation and Research (CBER). These inspections were conducted as part of the Food and Drug Administration's Bioresearch Monitoring Program. This list contains information on inspections that were closed after 1989.

Classifications: All FDA inspections are classified using the same categories:

NAI = No Action Indicated
VAI = Voluntary Action Indicated
OAI = Official Action Indicated

Updated through 3/31/2018

| NAME | TITLE | INSTITUTE/ADDRESS | INSPECTION START/END DATE | CLASSIFICATION |
|---------------|-------|--|---------------------------|----------------|
| AARONS, DIANA | RN | SINAI HOSPITAL BELVEDERE AT GREENSPRING AVE | 8/17/1991 - 8/17/1991 | NAI |

8. PHS Administrative Actions Listing

Data File Downloaded: No

Contents in the table below are extracted and saved into database

PHS Administrative Action Report - 06/01/2018

| Last Name | First Name | Middle Name | Debarment until | No PHS Advisory until | Certification of work until | Supervision until | Retraction of Article(s) | Correction of Article(s) | Memo |
|--------------|----------------|-------------|-----------------|-----------------------|-----------------------------|-------------------|--------------------------|--------------------------|--|
| Anderson | David | | N/A | 06/22/2018 | 06/22/2018 | 06/22/2018 | | | |
| Raughman | Brandi | | N/A | 05/16/2020 | 05/16/2020 | 05/16/2020 | | | These admin. actions are superseded by the admin. actions ending on 03/18/2020, which include debarment. |
| Raughman | Brandi | M | 03/18/2020 | 03/18/2020 | N/A | N/A | | | |
| Bilzegeio | Julia | | N/A | 06/22/2018 | 06/22/2018 | 06/22/2018 | | | |
| Blaylock | Brandi | Lyn | N/A | 08/03/2018 | 08/03/2018 | 08/03/2018 | | | If within 3 years, Respondent receives or applies for PHS support, certification and supervision will be for a period of 3 years beginning on the date of her employment in a position in which she receives PHS support. If she does not receive or apply for PHS support within 3 years, the admin actions are no longer in effect as of 08/03/2018. |
| Chepini | Nasser | | N/A | 07/11/2022 | 07/11/2022 | 07/11/2022 | | | For supervision and certification - if within 5 years of the effective date of the VSA, respondent becomes employed in a position in which he receives or applies for PHS support, he agreed to supervision and certification for 5 years, beginning with the start date of his employment. |
| Chetram | Mahandranauth | Anand | 10/18/2020 | 10/18/2020 | N/A | N/A | | | |
| Cullinane | Andrew | R. | N/A | 05/05/2021 | 05/05/2026 | 05/05/2026 | | | If respondent receives or applies for PHS funds in the next 5 years, his research must be supervised and certified for a period of 10 years from the start date of his employment where he receives PHS support. |
| Dasmahapatra | Girija | | 11/04/2018 | 11/04/2018 | N/A | N/A | | | |
| El-Remessy | Azza | | N/A | 09/11/2020 | 09/11/2020 | 09/11/2020 | | | Correction or retraction of one paper |
| Elouhub | Maria Cristina | Miron | N/A | 04/25/2021 | 04/25/2021 | 04/25/2021 | | | Correction or retraction of one published paper. |
| Endo | Matthew | | N/A | 11/15/2020 | 11/15/2020 | 11/15/2020 | | | |
| Forbes | Meredith | M. | 05/05/2019 | 05/05/2019 | N/A | N/A | | | |
| Geraedts | Maartje | C.P. | N/A | 09/22/2018 | N/A | N/A | | | Supervision and certification for three years beginning on date of employment in a position in which respondent receives PHS funds. If respondent has not obtained employment in research position in which she receives PHS funds within one year, supervision and certification no longer apply. |
| John | Gareth | | N/A | 04/25/2019 | 04/25/2019 | 04/25/2019 | | | |
| Kornak | Paul | H | For life | N/A | N/A | N/A | | | |
| Li | Zhiyu | | 07/02/2021 | 07/02/2021 | N/A | N/A | | | |
| Littlefield | Peter | | N/A | 08/03/2018 | 08/03/2018 | 08/03/2018 | ✓ | | The VSA was a 3-way with respondent and institution. |

9. HHS/OIG/ EXCLUSIONS DATABASE SEARCH/ FRAUD

Data File Downloaded: Yes

Data file is downloaded from the link indicated below and the data is saved into database

The screenshot shows the official website of the Office of Inspector General, U.S. Department of Health & Human Services. The page is titled "LEIE Downloadable Databases". It features a navigation bar with links to "About OIG", "Reports & Publications", "Fraud", "Compliance", "Exclusions", "Newsroom", and "Careers". A search bar is located in the top right corner. The main content area includes a "Download the LEIE Database" section with a link to "Instructions and information About the LEIE Files." and a "Related Information" box with links to "Waiver Info" and "Search the Online LEIE Database". A red box on the right side of the page says "I'm looking for" and lists various topics. An orange arrow points to the link "04-2018 Updated LEIE Database (CSV)" under the "LEIE Database" section.

10. HHS/OIG Corporate Integrity Agreements/Watch List

Data File Downloaded: No

Contents in the table indicated below are extracted and saved into database (From A to Z)



Corporate Integrity Agreement Documents

Corporate Integrity Agreements (CIAs), Certification of Compliance Agreements (CCAs) and Settlement Agreements with Integrity Provisions. See also Quality of Care CIAs.

Updated 06-05-2018

[View complete list](#)

Related Information

- › [Corporate Integrity Agreement Enforcement](#)

| New Cases | City | State | Effective |
|----------------------------|-----------|-------|------------|
| Muttath, M.D., Sureshkumar | Riverdale | MD | 05-11-2018 |

Complete CIA List

#|A|B|C|D|E|F|G|H|I|J|K|L|M|N|O|P|Q|R|S|T|U|V|W|X|Y|Z

#

| Provider | City | State | Effective |
|-----------------------------------|-------------|-------|------------|
| 21st Century Oncology, Inc. | Fort Myers | FL | 11-17-2017 |
| 21st Century Oncology, LLC | Fort Myers | FL | 12-17-2015 |
| ✦ March 2, 2016 Amendment | | | |
| 365 Hospice, LLC and John C. Rezk | Carrolltown | PA | 02-08-2018 |



A

| Provider | City | State | Effective |
|---|--------------|-------|------------|
| AA Healthcare Management see Extencare Health Services, Inc. | Skokie | IL | 10-03-2014 |
| A Jane K, LLC see Med-Fast Pharmacy, Inc., Med-Fast Pharmacy, LP, Douglas Kaleugher, A Jane K, LLC | Aliquippa | PA | 09-11-2017 |
| Abbott Laboratories | Abbott Park | IL | 05-07-2012 |
| Adesokan, Yinka see Family Dermatology, P.C. | Lilburn | GA | 04-21-2015 |
| Adult Educational Technologies, Inc. and Wendell James | Palm Springs | CA | 11-08-2016 |


11. SAM/SYSTEM FOR AWARD MANAGEMENT

Data File Downloaded: Yes

Below is the Home Page



View assistance for SAM.gov



SYSTEM FOR AWARD MANAGEMENT

Username

Forgot Username?

Password

Forgot Password?

Log In

Create an Account

HOME

SEARCH RECORDS

DATA ACCESS

CHECK STATUS

ABOUT

HELP

ALERT: You must submit a [notarized letter](#) appointing the authorized Entity Administrator before your registration will be activated. This requirement now applies to both new and existing entities. Read [our FAQs](#) to learn more about this process change.

The System for Award Management (SAM) is an official website of the U.S. government. There is no cost to use SAM. You can use this site for FREE to:

Register to do business with the U.S. government

Update or renew your entity registration

Check status of an entity registration

Search for entity registration and exclusion records

Getting Started


Create A User Account

Register Entity

Search Records

Navigate to the 'DATA ACCESS' page. At the bottom of the page, 'Exclusion Extract Data Package' table is displayed, as shown below. This table contains past 7 days files of exclusion extract lists. Download the last file to get the most recent exclusion extract data as shown below

| | | |
|---|--|--|
| 444 EAM Public ASCII 143 EAM Public ASCII 149 EAM Public ASCII 149 EAM Public ASCII 150 EAM Public ASCII 151 EAM Public ASCII 152 EAM Public ASCII 153 EAM Public ASCII 156 EAM Public ASCII 157 | | |
| UTF-8 DATA EAM Public UTF-8 128 EAM Public UTF-8 129 EAM Public UTF-8 130 EAM Public UTF-8 131 EAM Public UTF-8 132 EAM Public UTF-8 133 EAM Public UTF-8 136 EAM Public UTF-8 137 EAM Public UTF-8 138 EAM Public UTF-8 139 EAM Public UTF-8 142 EAM Public UTF-8 143 EAM Public UTF-8 144 EAM Public UTF-8 145 EAM Public UTF-8 146 EAM Public UTF-8 149 EAM Public UTF-8 150 EAM Public UTF-8 151 EAM Public UTF-8 152 EAM Public UTF-8 153 EAM Public UTF-8 156 EAM Public UTF-8 157 | | JUN 2018 Public UTF-8 Monthly |
| Exclusions Extract Data Package | | Data Package Information |
| Data Access Role: Public Format: CSV Description: This data package contains the publicly available data for all active exclusion records entered by the Federal government identifying those parties excluded from receiving Federal contracts, certain subcontracts, and certain types of Federal financial and non-financial assistance and benefits. Users must read the exclusion record completely to understand how it impacts the excluded party. The links include the three-digit Julian date for easier identification of the most recent file. No special data access is needed to download this file. Warning: Older versions of Microsoft Excel cannot load more than 65,536 rows from a CSV file. If you have Microsoft Excel version 2007 or higher, you should be able to load the complete file. If you encounter this limitation, and do not need the complete file, you can use SAM's Search functionality to filter to a smaller subset of data and export the results. Complete File - Last 7 Days Exclusions 152 Exclusions 152 Exclusions 154 Exclusions 155 Exclusions 156 Exclusions 157 | | Public Exclusions Extract Layout |



Search Records

Data Access

Check Status

About

Disclaimers

Accessibility

Privacy Policy

FAPIS.gov

GSA.gov/LAE

GSA.gov

USA.gov

12. LIST OF SPECIALLY DESIGNATED NATIONALS

Data File Downloaded: Yes

Data file is downloaded from the link indicated below and the data is saved into database

- Consumer Policy
- Economic Policy
- Financial Markets, Financial Institutions, and Fiscal Service
- Financial Sanctions
 - Specially Designated Nationals List (SDN List)**
 - Consolidated Sanctions List
 - Search OFAC's Sanctions Lists
 - Additional Sanctions Lists
 - OFAC Recent Actions
 - Complete List of Sanctions Programs and Country Information
 - Frequently Asked Questions
 - OFAC Civil Penalties and Enforcement
 - Contact OFAC
- International
- Small Business Programs
- Tax Policy
- Terrorism and Global Finance
- Data and Charts Center
- FACs

Resource Center

Home » Resource Center » Financial Sanctions » SDN List

Specially Designated Nationals And Blocked Persons List (SDN) Human Readable Lists

As part of its enforcement efforts, OFAC publishes a list of individuals and companies owned or controlled by, or acting for or on behalf of, targeted countries. It also lists individuals, groups, and entities, such as terrorists and narcotics traffickers designated under programs that are not country specific. Collectively, such individuals and companies are called "Specially Designated Nationals" or "SDNs." Their assets are blocked and U.S. persons are generally prohibited from dealing with them. Click here for more information on Treasury's Sanctions Programs.



Search OFAC's Sanctions Lists including the SDN List

SEARCH OFAC'S SANCTIONS LISTS

[Information About OFAC's Sanctions List Search Tools](#)

Full Lists:

- Complete Specially Designated Nationals List (in PDF format)
- Complete Specially Designated Nationals List (in TEXT format)

Changes to the SDN List:

- What changed on the list when it was last updated?
- Archive of changes to the SDN list

List by Program and Country:

- SDN List Sorted by OFAC Sanctions Program
- SDN List Sorted by Country

Guidance:

- Definition of SDN List Program Tags
- Specially Designated Nationals Alias Screening

How to be Removed from a Sanctions List:

- If you have an OFAC Alert on your Credit Report
- Click here to appeal an OFAC designation or other listing

Subscribe to OFAC Alerts

