

SAM Exclusions Public Extract Layout

Daily File Name: SAM_Exclusions_Public_Extract_YYDDD.ZIP

Column Order	Column Header	Data Element Description	Max Length
1	Classification	Identifies the exclusion Classification Type as either a Firm, Individual, Special Entity Designation, or Vessel.	50
2	Name	The name of the entity being excluded (not applicable for Individual exclusions).	200
3	Prefix	The prefix for the actual name of a person being excluded (applicable for Individual exclusions only).	25
4	First	The first name for the actual name of a person being excluded (applicable for Individual exclusions only).	50
5	Middle	The middle name for the actual name of a person being excluded (applicable for Individual exclusions only).	50
6	Last	The last name for the actual name of a person being excluded (applicable for Individual exclusions only).	50
7	Suffix	The suffix for the actual name of a person being excluded (applicable for Individual exclusions only).	25
8	Address 1	The first line of the excluded entity's primary address.	150
9	Address 2	The second line of the excluded entity's primary address.	150
10	Address 3	Address line 3 of the exclusion's primary address.	150
11	Address 4	Address line 4 of the exclusion's primary address.	150
12	City	The City name of the excluded entity's primary address.	150
13	State / Province	The State or Province two-character abbreviation of the excluded entity's primary address.	150
14	Country	The Country for the excluded entity's primary address.	3
15	Zip Code	The ZIP or Postal Code for the excluded entity's primary address.	10
16	DUNS	The unique identifier of the excluded entity, currently the Data Universal Numbering System (DUNS) Number. Exclusion records with a Classification Type of Firm must have a DUNS Number. It may be found in exclusion records of other Classification Types if the if the Individual, Special Entity, or Vessel has a DUNS Number.	9
17	Exclusion Program	Identifies if the exclusion is Reciprocal, Nonreciprocal or Procurement. For any exclusion record created on or after August 25, 1995, the value will always be Reciprocal.	50
18	Excluding Agency	Identifies the Agency which created the exclusion.	25
19	CT Code	Identifies the legacy Excluded Parties List System (EPLS) Cause & Treatment (CT) Code associated with the exclusion. CT Codes were replayed by the Exclusion Type in SAM. Exclusions created after August 2012 will not have CT Codes. They will only have Exclusion Types.	16

Column Order	Column Header	Data Element Description	Max Length
20	Exclusion Type	Identifies the Exclusion Type for the record replacing the CT Code. Exclusion Type is a simplified, easier to understand way to identify why the entity is being excluded.	50
21	Additional Comments	This field provides the Agency creating the exclusion space to enter additional information as necessary.	4000
22	Active Date	Identifies the date the exclusion went active. Format: MM/DD/YYYY	10
23	Termination Date	Identifies the date the exclusion will be terminated. If "Indefinite" is in this field, there is no set date that the exclusion will terminate. Format: MM/DD/YYYY or Indefinite	10
24	Record Status	Identifies the record as being Active or Inactive. This extract only displays Active exclusion records, therefore this column is always blank. All records in the extract are Active.	50
25	Cross-Reference	Identifies other names/aliases with which the entity being excluded has been identified.	200
26	SAM Number	The internal number used by SAM to identify exclusion records. Since only Firm exclusion records are required to have a DUNS Number, SAM needed a way to uniquely track exclusion records of other Classification Types.	9
27	CAGE	The CAGE Code associated with the excluded entity. Mostly found on Firm exclusion records, but could be in other types if the Individual, Special Entity, or Vessel has a CAGE Code.	5
28	NPI	The National Provider Identifier (NPI) associated with the exclusion. Healthcare providers acquire their unique 10-digit NPIs from the Centers for Medicare & Medicaid Services (CMS) at the Department of Health & Human Services (HHS) to identify themselves in a standard way throughout their industry.	100

The Exclusions extract is a plain-text, comma-separated values (CSV) file.

File Naming Convention

The SAM Exclusions extract has the following naming convention:

File Description: SAM_Exclusions_Public_Extract_

File Creation Date: YYDDD (2-digit year plus 3-digit Julian date)

File Extension: .ZIP

Example: SAM_Exclusions_Public_Extract_16139.ZIP is the daily file for May 18, 2016.