

INVESTIGATOR COMPLIANCE SEARCH FORM

ICON Project Number:	0078-1409	Sponsor Protocol No.:	IM011011
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Medical License Number (if applicable)	Kim Alexander Papp, MD, PhD, FRCPC / ML # 55598 Jensen Yeung, MD, FRCPC, FAAD / ML # 76124 Ajith Cy, MB BS, MD, FRCPC / ML # 89297		

Please list all relevant sources of Investigator information, against which this Investigator has been checked.

SOURCE #	SOURCE NAME	SOURCE DATE	WEBLINK	ISSUES IDENTIFIED	
1.	FDA DEBARMENT LIST	04 Apr 2016	http://www.fda.gov/ora/compliance_ref/debar/default.htm	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
2.	CLINICAL INVESTIGATOR INSPECTION LIST (CLIL) (CDER)	22 Sep 2016	http://www.accessdata.fda.gov/scripts/cder/clil/index.cfm	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
3.	FDA WARNING LETTERS and RESPONSES	05 Dec 2016	http://www.fda.gov/ICEC/EnforcementActions/WarningLetters/default.htm	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
4.	NOTICE OF OPPORTUNITY FOR HEARING (NOOH) – PROPOSAL TO DEBAR	29 Nov 2016	http://www.fda.gov/RegulatoryInformation/FOI/ElectronicReadingRoom/ucm143240.htm	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
5.	ADEQUATE ASSURANCES LIST FOR CLINICAL INVESTIGATORS	24 Apr 2014	http://www.fda.gov/ora/compliance_ref/bimo/asurlist.htm	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
6.	CLINICAL INVESTIGATORS – DISQUALIFICATION PROCEEDINGS (FDA DISQUALIFIED/RESTRICTED)	05 Dec 2016	http://www.accessdata.fda.gov/scripts/SDA/sdNavigation.cfm?sd=clinicalinvestigatorsdisqualificationproceedings&previewMode=true&displayAll=true	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
7.	PHS Administrative Actions Listing	05 Dec 2016	http://ori.hhs.gov/misconduct/AdminBulletinBoard.shtml	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
8.	Clinical Investigator Inspection List (CBER)	27 Oct 2016	http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/ComplianceActivities/ucm195364.htm	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
9.	HHS/OIG/ EXCLUSIONS DATABASE SEARCH/ FRAUD	07 Nov 2016	http://exclusions.oig.hhs.gov/	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
10.	HHS/OIG Corporate Integrity Agreements/Watch List	18 Nov 2016	http://oig.hhs.gov/compliance/corporate-integrity-agreements/cia-documents.asp	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes

11.	SAM/SYSTEM FOR AWARD MANAGEMENT	11 Nov 2016	https://www.sam.gov/portal/public/SAM	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
12.	LIST OF SPECIALLY DESIGNATED NATIONALS	02 Dec 2016	http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
13.	World Check (Only for PI)	06 Dec 2016	http://www.truthtechnologies.com/	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes

If issues are identified (Yes checked above), please provide additional details. Details may be printed and attached.

SOURCE #	DATE OF INSPECTION/ ACTION	DESCRIPTION OF FINDINGS
NA	Kim Alexander Papp, MD, PhD, FRCPC	Was also searched as Kim Alexander, Alexander Papp and Kim Papp.
NA	Ajith Cy, MB BS, MD, FRCPC	Was also searched as Ajith Chakkittakandiyil.
2	15 March 2004 Kim Alexander Papp, MD, PhD, FRCPC ID # 416907 DA / NAI	DA - Data Audit: An inspection in which the focus is on verification of study data. NAI - No Action Indicated. No objectionable conditions or practices were found during the inspection.
2	05 May 2014 Kim Alexander Papp, MD, PhD, FRCPC ID # 416907 DA / NAI / 00	DA - Data Audit: An inspection in which the focus is on verification of study data. NAI - No Action Indicated. No objectionable conditions or practices were found during the inspection. 00 - No deficiencies noted

Search Performed By:

Printed Name:	Signature:	Date:
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Sharon Jerome

Sharon Jerome 06 Dec 2016 08:51:037+0000

I approve this document as author.

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Second Review / QC :

Pramodh Manganam Siddiah Babu


Pramodh Manganamsiddiahbabu 06 Dec 2016 09:03:019+0000

I approve this document.


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Investigator Information								Inspection Information			
ID No.	Name	Location	Address	City	State	Cntry	Zip	Insp. Date	Type	Class.	Def. Codes
416907	papp, kim a MD	K.Papp Clinical Research	135 Union St. East	Waterloo, On	-	CAN	N2J 1C4	05/05/14	DA	NAI	00
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