

INVESTIGATOR COMPLIANCE SEARCH FORM

ICON Project Number:	9002-0472	Sponsor Protocol No.:	B7981005
Principal Investigator Name:	Bruce Salzberg	Address:	Atlanta Gastroenterology Consultants.net 4395 Johns Creek Parkway Suwanee, GA 30024, USA
Medical License Number (if applicable)	29775		

Please list all relevant sources of Investigator information, against which this Investigator has been checked.

SOURCE #	SOURCE NAME	SOURCE DATE	WEBLINK	ISSUES IDENTIFIED		
1.	FDA DEBARMENT LIST	04 Apr 2016	http://www.fda.gov/ora/compliance_ref/debar/default.htm	⊠ No	☐ Yes	
2.	CLINICAL INVESTIGATOR INSPECTION LIST (CLIIL) (CDER)	22 Sep 2016	http://www.accessdata.fda. gov/scripts/cder/cliil/index.c fm	□No	⊠ Yes	
3.	FDA WARNING LETTERS and RESPONSES	07 Dec 2016	http://www.fda.gov/ICECI/E nforcementActions/Warning Letters/default.htm	⊠ No	☐ Yes	
4.	NOTICE OF OPPORTUNITY FOR HEARING (NOOH) – PROPOSAL TO DEBAR	29 Nov 2016	http://www.fda.gov/Regulat oryInformation/FOI/Electron icReadingRoom/ucm14324 0.htm	⊠ No	☐ Yes	
5.	ADEQUATE ASSURANCES LIST FOR CLINICAL INVESTIGATORS	24 Apr 2016	http://www.fda.gov/ora/compliance_ref/bimo/asurlist.ht_m	⊠ No	☐ Yes	
6.	CLINICAL INVESTIGATORS – DISQUALIFICATION PROCEEDINGS (FDA DISQUALIFIED/RESTRI CTED)	05 Dec 2016	http://www.accessdata.fda. gov/scripts/SDA/sdNavigati on.cfm?sd=clinicalinvestiga torsdisqualificationproceedi ngs&previewMode=true&di splayAll=true	⊠ No	☐ Yes	
7.	PHS Administrative Actions Listing	07 Dec 2016	http://ori.hhs.gov/misconduct/AdminBulletinBoard.shtm	⊠ No	☐ Yes	
8.	Clinical Investigator Inspection List (CBER)	27 Oct 2016	http://www.fda.gov/Biologic sBloodVaccines/Guidance ComplianceRegulatoryInfor mation/ComplianceActivitie s/ucm195364.htm	⊠ No	☐ Yes	
9.	HHS/OIG/ EXCLUSIONS DATABASE SEARCH/	07 Nov 2016	http://exclusions.oig.hhs.go	⊠ No	☐ Yes	

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(Ref. SOP CP03.1)

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10.	HHS/OIG Corporate Integrity Agreements/Watch List	18 Nov 2016	http://oig.hhs.gov/complian ce/corporate-integrity- agreements/cia- documents.asp	⊠ No	☐ Yes
11.	SAM/SYSTEM FOR AWARD MANAGEMENT	11 Nov 2016	https://www.sam.gov/portal/public/SAM	⊠ No	☐ Yes
12.	LIST OF SPECIALLY DESIGNATED NATIONALS	02 Dec 2016	http://www.treasury.gov/res ource- center/sanctions/SDN- List/Pages/default.aspx	⊠ No	☐ Yes
13.	World Check (Only for PI)	07 Dec 2016	https://sentinel.truthtechnologies.com	⊠ No	☐ Yes
14.	DMC Check	29 Nov 2016	http://ecf12.pfizer.com/sites /clinicaloversightcommittee s/default.aspx	⊠ No	☐ Yes

If issues are identified (Yes checked above), please provide additional details. Details may be printed and attached.

SOURCE #	DATE OF INSPECTION/ ACTION	DESCRIPTION OF FINDINGS					
	22 March 2016 Bruce Salzberg MD	DA - Data Audit: An inspection in which the focus is on verification of study data					
2	ID # 391968 DA / NAI / 00	NAI - No Action Indicated. No objectionable conditions or practices were found during the inspection.00 - No deficiencies noted [n/a]					
2	17 November 2008 Bruce Salzberg, MD ID # 391968 DA / VAI / 05	DA - Data Audit: An inspection in which the focus is on verification of study data VAI - Voluntary Action Indicated. Objectionable conditions were found but the problems do not justify further regulatory action. Any corrective action is left to the investigator to take voluntarily. 05 - Failure to follow investigational plan [21 CFR 312.60]					

Search Performed By:

Printed Name:	Signature:	Date:

Pramodh Manganam Siddiah Babu

Pramodh Manganamsiddiahbabu 08 Dec 2016 05:08:049+0000

I approve this document as author.

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Investigator Information

Inspection Info	ormation
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391968	salzberg, bruce a MD	Atlanta Gastroenterology Associates	5671 Peachtree Dunwoody Rd Ne Ste 600	Atlanta	GA	USA	30342	11/17/08	DA	VAI	05

Investigator Information Inspection							on Informa	tion				
ID No.	Name	Location	Address	City	State	Cntry	Zip	Insp. Date	Туре	Clas	S.	Def. Codes
391968	salzberg, bruce a MD	Atlanta Gastroenterology Associates	5671 Peachtree Dunwoody Rd Ne Ste 600	Atlanta	GA	USA	30342	03/22/16	DA	NAI		00
ID No.	Name	Location	Address	City	State	Cntry	Zip	Insp. Date	Туре	Clas	s.	Def. Codes
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