**ICON**

Enhancements to DDAS Web Application

**QC Workflow**

**Brief Business Requirements**

Rev 0

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# Revision History

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Revision** | **Date** | **Sections Changed** | **Summary/Description of Change** | **Author(s) of Change** |
| 1 | Dec/20/2017 | QC Workflow | Section revised – based on discussions with DD Team on Dec 19, 2017 | Patrick / Pradeep |

# Requirements

The existing DDAS Web application needs to be enhanced with the following additions.

1. QC Work Flow
   1. Arrangement to allow the junior resource to pass on their works to senior resource for review along with any comments and similarly, senior resource allowed to review as well as pass this back on junior resource with any review comments
   2. Provision for users to upload files during QC work flow, before the QC is completed with maximum file size being 5MB
   3. All comments from auditee and auditor should be stored in the tool for internal purposes and should be archived after 6 months along with the ICSF

# Proposed Solution

## QC Work Flow

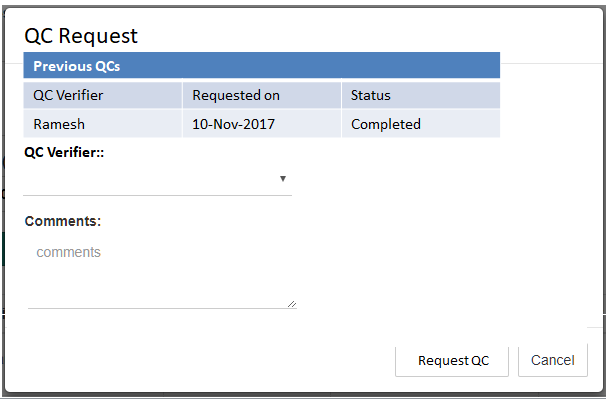
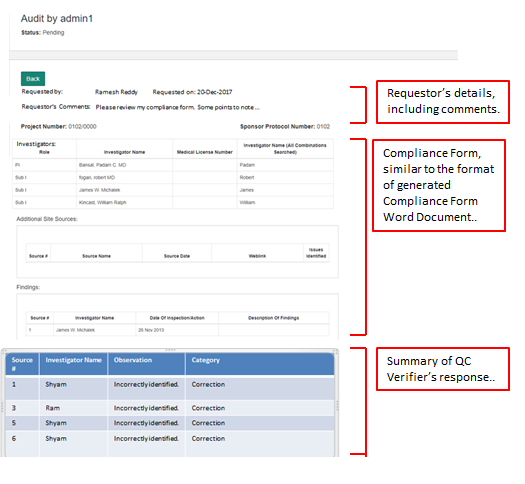
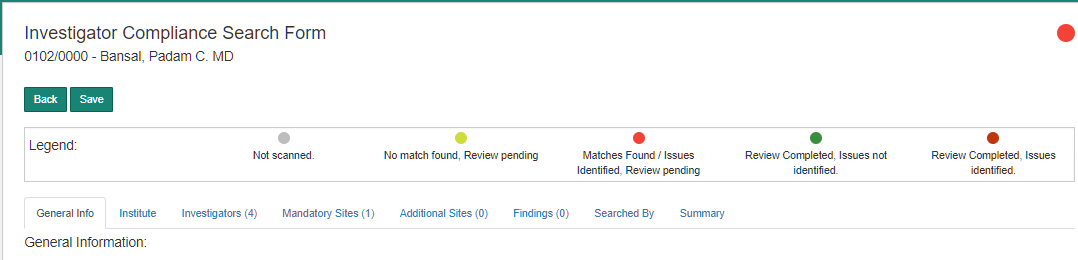
QC Workflow section will provide a facility for a Due Diligence Team Member to QC the Compliance Forms of another team member.

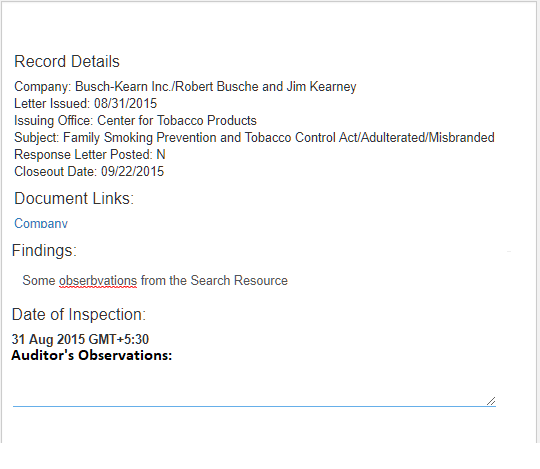
A Due Diligence Team Member (Search Resource) can select a Compliance Form completed by self for QC Check and also identify a person (QC Verifier) who should do the QC Check. An Email alert will be sent to the QC Verifier.

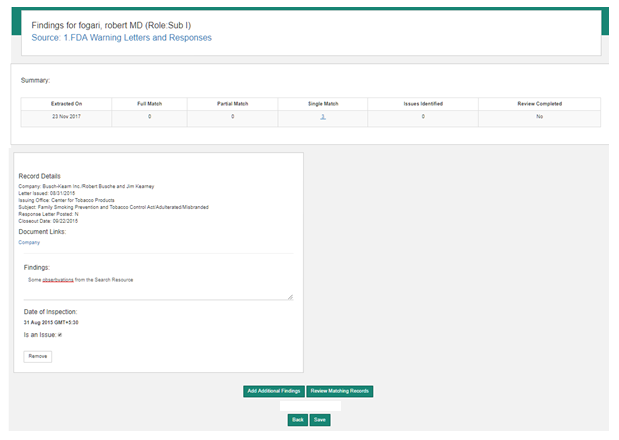
The QC Verifier will have View access to the Compliance Form to be verified and will be able to provide feedback corresponding to the Findings inserted by the Search Resource.

Upon completion of the QC Check, the Search Resource may carry out changes to the Compliance Form and then mark the Compliance Form as ‘completed’.

QC Workflow

1. The Compliance Forms whose review is completed will be displayed in a page titled ‘Review Completed’.
2. The Search Resource will be able to mark a Compliance Form as ‘Completed’ or request for a QC Check.
3. The Compliance Forms marked as completed will be listed in a page titled ‘Completed ICSFs’.
   1. Facility to export the completed ICSFs to iSprint will be provided on the ‘Completed ICSFs’ page. This will be made functional when the DDAS-iSprint integration is implemented.
   2. Option will be provided to ‘Undo’ the ‘Completed’ status. Undo action will move the Compliance Form to ‘Review Completed’ page.
   3. Undo option will be active until the Compliance Form data is exported to iSprint. Thereafter the Undo option will be disabled.
4. The Search Resource will be able to assign a Compliance Form for QC (in the Review Completed page) and identify a QC Verifier, add a comment and optionally upload files related to the QC Check request.
   1. The Search Resource will be able to request one or more QC Checks.
   2. All the earlier QC Check Requests and the corresponding status will be displayed on the ‘QC Request’ request form. This is to inform the Search Resource about the earlier requests if any.
5. The compliance Form marked for QC will be listed in the ‘QC Check’ page.
   1. The Search Resource can undo the Request for Audit. Undo is permitted only till the QC Verifier picks the Compliance Form for QC.
   2. The application will send an email alert informing the QC Verifier to take up the QC. The mail subject and body will follow the pattern provided in Annexure – 1
6. The Search Resource and QC Verifier will be able to view the QC Check Requests related to them but admin will be able to view all the QC Check Requests.
7. QC VerifierQC
   1. The starting page of the QC Verification Action will be similar to the following:
   2. QC Verifier will be able to navigate through all the tabs of the Compliance:
   3. QC VerifierSearch Resource
   4. QC Verifier will be able to make observations on a Finding inserted by the Search Resource.

 The following fields will be provided for the QC Verifier to provide observations.

* + 1. Comments
    2. Response Category (DDAS Team, Please provide the list of Response)
  1. QC Verifier will be able to view the list of Full Match, Partial Match and Single Matches and add a Finding if required. 
  2. QC Verifier will be able to insert a finding under Institute and Investigator-Site Findings sections.

The following fields will be provided for the findings inserted by the QC Verifier.

1. Comments

Response Category (DDAS Team: Please provide the list of Response)

1. The QC Verifier will mark the QC Check as completed and an email alert will inform the Search Resource about the status. The mail subject and body will follow the pattern provided in Annexure – 2
2. The QC Completed status will be displayed in the QC Check page.
3. Search Resource will be able to open the QC Report and respond to QC Observations made by the QC Verifier.
4. Search Resource will mark the Compliance Form as ‘completed’ and the Compliance Form will be moved to ‘Completed ICSFs’ page.















Annexure 1.

Email Format for mail generated when the Search Resource requests for an Audit.

DDAS Team to fill in the details below. Some parts of the mail body can be shown in bold.

Mail Recipients:

To: QC Verifier

CC:

Subject:

Body:

Signature:

Annexure 2.

Email Format for mail generated when the QC Verifier completes the QC.

DDAS Team to fill in the details below. Some parts of the mail body can be shown in bold.

Mail Recipients:

To: Search Resource

CC:

Subject:

Body:

Signature: