

## ORIGINAL RESEARCH

# Association of Frailty With Body Composition Among Patients on Hemodialysis

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Although sarcopenia is thought to underlie the manifestations of frailty, association of frailty with measures of body composition is underinvestigated.

**Methods:** Eighty hemodialysis patients were included in the study. Performance-based frailty (PbF) used gait speed over 20 feet and 5 sit-to-stand (1 point each for lowest quintile) for the physical components of the frailty phenotype plus exhaustion (Short Form-36 [SF-36] vitality score <55) and physical activity (lowest quintile of weekly kcal energy expenditure on leisure activity on the Physical Activity Scale for the Elderly questionnaire; 1 point). Function-based frailty (FbF) defined by questionnaire measures of physical functioning (SF-36 Physical Function score <75; 1 point), exhaustion, and physical activity as for PbF. A score of 2 or greater was defined as frail. Outcomes related to muscle size included muscle area of the contractile tissue of the anterior tibialis and quadriceps muscles using magnetic resonance imaging, phase angle using bioimpedance analysis, lean body mass using dual energy X-ray absorptiometry, and body mass index (BMI). Linear regression was used to analyze associations between frailty and muscle size, with and without sex and age covariates.

**Results:** Fifty-nine percent of individuals met PbF criteria, 63% met FbF criteria, and 55% met both. In univariate analysis, PbF and FbF were associated with smaller muscle area of the quadriceps, smaller phase angle, and higher BMI. Associations remained significant for the quadriceps after adjustment for age and sex. The magnitude of association of PbF with quadriceps muscle area was greater than 10 years of age ( $-30.3 \text{ cm}^2 P = .02$  vs.  $-6.6 \text{ cm}^2 P < .0001$ ) in multivariate analysis. There was no significant association between either measure of frailty and other measures of body composition after adjustment for age and sex.

**Conclusion:** Frailty was associated with measurements related to muscle size in a population of individuals with chronic kidney disease, a known contributor to muscle wasting.

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## Introduction

MUSCLE WASTING LEADING to reduced physical functioning is thought to be one of the major underpinnings of frailty. Frailty has long been recognized as a syndrome of decreased reserve that predisposes to disability and other adverse outcomes.<sup>1,2</sup> One phenotypic definition of frailty that includes at least 3 of the following, weight loss, weakness, exhaustion, slow gait, and low physical activity level, has gained wide acceptance and has been applied to several populations, including chronic kidney disease (CKD).<sup>3-5</sup>

Frailty is thought to result from the additive effects of chronic inflammation and acute insults (illness, injuries, major life events) accompanied by periods of limited activity including periods of bedrest.<sup>6</sup> These processes are

thought to ultimately lead to loss of muscle mass and poor physical functioning. Although the frailty phenotype is generally assumed to reflect muscle wasting, there has been little direct confirmation of this linkage. In a general population of elderly individuals, those who were frail had a lower muscle density measured by peripheral quantitative computerized tomography compared with nonfrail individuals.<sup>7</sup> However, it remains unknown whether the association persists in the setting of chronic disease that might affect muscle size and function.

In the CKD population, decreased muscle cross-sectional area, strength, and physical functioning have been well described.<sup>8</sup> Low exercise capacity among patients with CKD has been documented in several studies.<sup>9-12</sup> Systemic inflammation, decreased protein synthesis,<sup>13</sup> and decreased oxygen extraction<sup>14</sup> have been described as playing a role in muscle wasting and dysfunction in CKD.<sup>15-21</sup>

Most studies of frailty in the CKD population have been based on self-reported function (function-based frailty; FbF) rather than on directly measured physical performance (performance-based frailty; PbF). The prevalence of FbF in the CKD population is quite high, reported to be 21% among participants in the National Health and Nutrition Examination Survey<sup>22</sup> data and 67% among patients newly started on dialysis.<sup>4</sup> The measurement of FbF has recently been suggested to overestimate the prevalence in

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the CKD population.<sup>23</sup> We sought to determine whether frailty is associated with body composition in the expected way in the end-stage renal disease (ESRD) population in which disease-specific processes are affecting muscle size and function. We also examined FbF and PbF in the same study population to assess the extent to which FbF is associated with body composition and thus might be a reasonable alternative when components of PbF are unavailable or their measurement is not feasible.

## Methods

### Study Participants

We used baseline data from the Nandrolone and Exercise study (NEXT), which enrolled 80 patients undergoing hemodialysis for more than 3 months.<sup>24</sup> The Committee on Human Subjects at the University of California—San Francisco and the Research and Development Committee of the San Francisco Veterans Affairs Medical Center approved the study. All subjects provided written informed consent.

### PbF

PbF was defined using gait speed over 20 feet and the time required to perform 5 sit-to-stand maneuvers. Sit to stand was chosen as a measure of muscle strength because grip strength was not measured in the NEXT study. For each measure, a point was allocated if individuals were in the lowest quintile using normative data.<sup>25–28</sup> Specifically, a point was allocated to the frailty score if gait speed was less than 0.8 m/second.<sup>25,28</sup> For sit-to-stand measurement,<sup>29</sup> a point was allocated if participants had a sit-to-stand time of greater than 14.5 seconds.<sup>26</sup> The Short Form-36 SF-36 vitality score less than 55 (1 point)<sup>30</sup> and the lowest quintile of weekly energy expenditure on leisure activity calculated from the Physical Activity Scale for the Elderly questionnaire using normative data (1 point)<sup>31</sup> were also used, and a score of 2 or greater was considered frail.

### FbF

FbF was defined using questionnaire measures of physical functioning, exhaustion, and physical activity as has been previously described and previously used.<sup>32</sup> These included a SF-36 physical function score less than 75 (1 point), a SF-36 vitality score less than 55 (1 point),<sup>30</sup> and the lowest quintile of weekly energy expenditure on leisure activity calculated from the Physical Activity Scale for the Elderly questionnaire using normative data.<sup>31</sup> On the basis of previous evaluations in the dialysis population, the association of poor physical functioning to outcomes was similar to associations with exhaustion and low physical activity; thus, 1 point was allocated to physical function, a slight deviation from the Woods criteria.<sup>4,32,33</sup> No baseline weight change data were available for inclusion in the definition of frailty. A score of 2 or greater was considered frail.

## Body Composition

### Body Mass Index

Baseline weight in kilograms and height in centimeters were collected as previously described.<sup>24</sup> Body mass index (BMI) was calculated in kilograms per meter squared ( $\text{kg}/\text{m}^2$ ).

### Phase Angle

Measures of body composition included bioimpedance analysis (BIA; RJL Spectrum III, RJL Systems, Clinton Township, MI) at 50 kHz. BIA introduces a low-amplitude current across electrodes placed on the dorsum of the hand and foot of participants. Resistance (R) and reactance (Xc) can then be used to estimate body composition.<sup>34,35</sup> Phase angle (PA; the arc tangent of the Xc to R ratio) captures the relative contribution of Xc and R and can range in theory from 0 to 90°: 0° if the circuit is only resistive (a system with no cell membranes) and 90° if the circuit is only capacitive (a system of membranes with no fluid). Thus, higher phase angle indicates more intact cell membranes and higher body cell mass. BIA has been validated as a method of body composition analysis in several patient groups including dialysis patients.<sup>35,36</sup>

### Lean Body Mass

Whole-body dual energy X-ray absorptiometry (Lunar DPX, Madison, WI) was used to measure lean body mass (LBM) and fat mass in kilograms. Measurements were made 1 hour after hemodialysis as previously described.<sup>24</sup>

### Muscle Area

Magnetic resonance images of the leg were obtained on a day after a dialysis session. The right leg was studied except in cases in which there was hardware or previous injury that distorted leg anatomy. Muscle areas were measured using proton T1-weighted axial images acquired at 1.5 T with image parameters as follows: echo time of 14 milliseconds, field of view equal to 210  $\text{mm}^2$ , and matrix equal to 256 × 256. The single slice with largest area of the muscle of interest was selected for analysis. For the quadriceps, slices were centered around the midpoint between the kneecap and the femoral head, and slice thickness was 8 mm. For the anterior tibialis, a 31-cm-diameter extremity coil was used, and slices were 4 mm thick. A customized software program written in IDL (Research Systems, Inc., Boulder, CO) allowed for the separate quantitation of contractile and noncontractile components of the anterior compartment of the leg, which contains the ankle dorsiflexor muscles and the anterior compartment of the thigh containing the rectus femoris, vastus lateralis, vastus intermedius, and vastus medialis (collectively the quadriceps). The software produced the following output: total compartment cross sectional area (CSA) ( $\text{cm}^2$ ), contractile tissue CSA (variable of interest;  $\text{cm}^2$ ), noncontractile CSA ( $\text{cm}^2$ ), percent contractile tissue (contractile CSA/total CSA × 100), signal intensity threshold value, and total number of pixels. Each

participant's image was analyzed 3 times, and the average values for each variable were recorded.

### Statistical Methods

Characteristics by PbF and FbF were compared with nonfrail participants by *t* test for continuous variables and  $\chi^2$  for categorical variables. BMI, muscle area, phase angle, and LBM were treated as continuous outcome variables. Linear regression analyses were performed with measures related to muscle size, including BMI, phase angle, LBM, and tibialis anterior and quadriceps muscle areas as the outcomes and frailty (by PbF and FbF in separate models) as the main predictor of interest. Potential covariates included age and sex because of their expected associations with frailty and body composition. Potential interactions among age, sex, and frailty were also tested. For each frailty definition, the univariate associations with body composition measures were modeled (Model 1), and then the association was sequentially adjusted for age (Model 2) and sex (Model 3). Interaction terms were included in the model if they had a *P* value of less than .1. Stata version 11 (College Station, TX) was used for all analyses.

## Results

### Study Participants

Most participants were male (63%) with a mean age of  $55 \pm 13$  years. Fifty-eight percent of participants were African American (Table 1). Fifty percent of individuals had diabetes mellitus, and 92% had been previously diagnosed

with hypertension. The median dialysis vintage was 26 (12, 52) months, and the mean Kt/V was  $1.41 \pm 0.3$ . The mean hemoglobin was  $11.8 \pm 1.5$  g/dL and the mean albumin was  $3.9 \pm 0.5$  g/dL.

### Frailty

Fifty-four individuals (66%) met at least 1 definition of frailty, including 47 (59%) who met performance-based criteria for frailty, 50 (63%) who met function-based criteria, and 44 (55%) who met both (Fig. 1). Participants who were frail by PbF were older on average and more likely to be female and to have diabetes than individuals who were not frail on the basis of the PbF definition (Table 1); these associations were not statistically significant for FbF (Table 2). Most clinical characteristics of frail individuals did not differ from nonfrail individuals (Tables 1 and 2). There was no statistically significant difference in the proportion of individuals with hypertension, previous tobacco use, cerebral vascular accidents, or congestive heart failure among frail and nonfrail individuals.

### Body Composition Assessments

As expected, measures of body composition differed by sex and age. Mean area of the anterior compartment of the lower leg and quadriceps was greater in men than women (anterior tibialis  $69.1 \pm 15.5$  vs.  $53.6 \pm 12.6$ , respectively,  $P < .0001$ ; quadriceps  $109.0 \pm 21.9$  vs.  $82.3 \pm 23.0$ , respectively  $P < .0001$ ). Mean phase angle was greater in men ( $6.1 \pm 1.5^\circ$ ) than women ( $4.7 \pm 1.1^\circ$ ,

**Table 1.** Characteristics of Study Population by Performance-Based Frailty

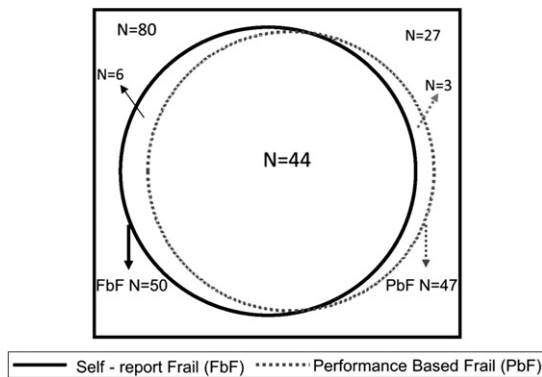
N = 80	All Participants	Frail (n = 47)	Not Frail (n = 33)	P
Male	63%	55%	72%	.04
Mean age, y (SD)	55 (13)	58 (13)	53 (12)	.04
Race/ethnicity				.23
White	4%	6%	0%	
Black	58%	62%	50%	
Asian	26%	21%	33%	
Hispanic	11%	8%	15%	.37
Clinical characteristics				
Coronary artery disease	45%	51%	36%	.23
Previous or current tobacco use	50%	51%	48%	.74
CVA/TIA	14%	13%	15%	.79
PVD	13%	14%	9%	.41
CHF	28%	29%	24%	.58
Hypertension	93%	91%	94%	.68
Diabetes	50%	60%	36%	.04
Body composition				
BMI (kg/m <sup>2</sup> )	27 (6.9)	28.8 (7.7)	24.9 (4.7)	.01
Anterior tibialis muscle area (cm <sup>2</sup> )*	9.7 (2.2)	9.33 (2.4)	10.2 (2.0)	.13
Quadriceps muscle area (cm <sup>2</sup> )†	47.4 (14.7)	42.3 (9.6)	53.0 (17.3)	.003
Phase angle (°)‡	5.7 (1.5)	5.24 (1.3)	6.24 (1.6)	.006
Lean body mass (kg)	48.3 (11.1)	47.2 (10.2)	50.0 (12.4)	.32

BMI, body mass index; CHF, congestive heart failure; CVA, cerebral vascular accident; PVD, peripheral vascular disease; SD, standard deviation; TIA, transient ischemic attack.

\* n = 66 for analysis of anterior tibialis muscle area.

† n = 62 for analysis of quadriceps muscle area.

‡ n = 69 for analysis of phase angle.



**Figure 1.** Proportion of individuals meeting either definition of frailty.

$P < .0001$ ). Although LBM measures were greater in men than women ( $53.0 \pm 9.5$  kg vs.  $40.0 \pm 8.6$  kg, respectively;  $P < .0001$ ), there was no statistically significant difference in BMI between the two sexes. Likewise, age was associated with smaller measures of body composition for all measures ( $P < .05$ ) except BMI ( $P = .88$ ).

### Association Between PbF and Body Composition

In bivariate analyses, PbF was associated with higher BMI, lower phase angle, and smaller muscle area of the quadriceps muscle (all  $P < .05$ ; Table 3). LBM and anterior tibialis muscle area were smaller in PbF individuals, but the

difference was not statistically significant. In multivariable analyses, PbF remained associated with quadriceps muscle area after adjustment for age, sex, and the interaction of PbF with age. The association of PbF with LBM became more pronounced with adjustment for age and sex (and an interaction with age and PbF similar to that observed for quadriceps muscle area), but it did not reach statistical significance.

### Association Between FbF and Body Composition

Mirroring PbF, in bivariate analyses, FbF was associated with higher BMI, lower phase angle, and smaller muscle area of the quadriceps muscle in bivariate analyses (all  $P < .05$ ; Table 4). LBM and anterior tibialis muscle area were smaller in individuals who were frail on the basis of FbF than among those who were not, but the difference was not statistically significant. Multivariable analyses of the associations of FbF with body composition were similar to those of PbF after adjustment for age and sex.

### Discussion

In this study we set out to determine the extent to which both performance-based measures (PbF) and self-reported functioning-based measures (FbF) of frailty were associated with body composition in the setting of ESRD. We found that performance-based and function-based measures of frailty identified overlapping but nonidentical groups of patients in this cohort, with the percentage of frail

**Table 2.** Characteristics of Study Population by Functional-Based Frailty

N = 80	All Participants	Frail (n = 50)	Not Frail (n = 30)	P
Male	63%	56%	73%	.12
Mean age, y (SD)	55 (13)	56 (13)	54 (13)	.60
Race/ethnicity				.13
White	4%	6%	0%	
Black	58%	62%	50%	
Asian	26%	24%	30%	
Hispanic	11%	6%	20%	.06
Clinical characteristics				
Coronary artery disease	45%	46%	43%	.92
Previous or current tobacco use	50%	56%	40%	.13
CVA/TIA	14%	14%	13%	.90
PVD	13%	14%	10%	.57
CHF	28%	28%	26%	.89
Hypertension	93%	92%	93%	.82
Diabetes	50%	52%	46%	.64
Body composition				
BMI (kg/m <sup>2</sup> )	27 (6.9)	28.4 (7.5)	25.2 (5.1)	.04
Anterior tibialis muscle area (cm <sup>2</sup> )*	9.7 (2.2)	9.3 (2.2)	10.4 (2.0)	.06
Quadriceps muscle area (cm <sup>2</sup> )†	47.4 (14.7)	44.0 (9.8)	52.7 (19.0)	.02
Phase angle (°)‡	5.7 (1.5)	5.4 (1.5)	6.1 (1.5)	.04
Lean body mass (kg)	48.3 (11.1)	47.8 (10.7)	50.0 (12.0)	.61

BMI, body mass index; CHF, congestive heart failure; CVA, cerebral vascular accident; PVD, peripheral vascular disease; SD, standard deviation; TIA, transient ischemic attack.

\* n = 66 for analysis of anterior tibialis muscle area.

† n = 62 for analysis of quadriceps muscle area.

‡ n = 69 for analysis of phase angle.

**Table 3.** Bivariate and Multivariable Regression Models of the Association of Performance-Based Frailty With Body Composition

Variable	Anterior Tibialis Muscle Area		Quadriceps Muscle Area		Phase Angle		Body Mass Index		Lean Body Mass	
	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P
Model 1: bivariate										
PbF	−0.85	.13	−10.65	.004	−0.99	.007	3.86	.01	−2.58	.32
Model 2: Model 1 + age										
PbF	−1.22	.60	−34.44	.02	−2.23	.13	−2.65	.69	−19.54	.08
Age per 10 y	−0.59	.08	−7.03	.001	−0.60	.005	−1.25	.20	−4.64	.004
PbF × age, per 10 y	—		4.92	.06	—		—		3.4	.08
Model 3: Model 2 + sex										
PbF	−1.08	.60	−36.28	.02	−1.49	.27	−2.96	.66	−15.80	.08
Sex	−2.1	<.0001	−10.0	.001	−1.15	.001	1.09	.51	−12.33	<.0001
Age per 10 y	−0.54	.08	−7.00	<.0001	−0.51	.01	−1.32	.18	−3.88	.004
PbF × age, per 10 y	—		4.64	.05	—		—		3.11	.06

PbF, performance-based frailty.

individuals slightly greater using function-based criteria. We found an association between measures of body composition and both definitions of frailty in patients with ESRD.

Previous studies, such as the Invecchiare in Chianti study, have shown that frailty is linked to muscle mass and size in the general elderly population,<sup>37–40</sup> but very few studies have tested this link in the setting of other known contributors to muscle wasting. Our results are similar to those of the Invecchiare in Chianti study, showing that the relationship between frailty and body composition is present even in an ESRD population in whom muscle mass and physical performance and function are limited and the prevalence of frailty is considerably higher. Furthermore, the magnitude of the association of frailty with quadriceps muscle size was impressive. In specific, the association between quadriceps

muscle area and PbF was of greater magnitude than that of 10 years of age or of female sex.

Frailty was associated with smaller muscle size as estimated by quadriceps muscle area and phase angle in bivariate analyses, but it was not significantly associated with anterior tibialis muscle area or total body LBM. It is interesting to speculate that the anterior tibialis muscle may be less vulnerable to inactivity-related atrophy because it plays a large role in the mechanics of walking, requiring its regular use even in an inactive population. Variations in fluid balance among individuals on dialysis may alter the hydration status of lean tissue and thus introduce variability into the LBM measure that is not related to muscle size, obscuring any relationship with frailty.

The somewhat counterintuitive association of frailty with higher rather than lower BMI in univariate analysis

**Table 4.** Bivariate and Multivariable Regression Models of the Association of Self- Reported Functional-Based Frailty With Body Composition

Variable	Anterior Tibialis Muscle Area		Quadriceps Muscle Area		Phase Angle		Body Mass Index		Lean Body Mass	
	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P	Coefficient (cm <sup>2</sup> )	P
Model 1										
FbF	−1.10	.06	−8.83	.02	−0.77	.04	3.21	.05	−1.34	.61
Model 2										
FbF	−2.86	.23	−37.73	.008	−1.82	.23	−3.0	.66	−19.76	.07
Age per 10 y	−0.76	.03	−8.05	<.0001	−0.61	.005	−0.88	.36	−4.70	.003
PbF × age, per 10 y	—		5.6	.03	—		—		3.42	.07
Model 3										
FbF	−2.47	.24	−32.59	.01	−1.16	.40	−3.3	.63	−16.33	.07
Sex	−2.02	<.0001	−10.70	.001	−1.14	.001	1.16	.48	−12.48	<.0001
Age per 10 y	−0.65	.04	−7.30	<.0001	−0.53	.01	−0.96	.32	−3.89	.003
FbF × age per 10 y	—		5.09	.03	—		—		3.23	.04

FbF, functional-based frailty.



has been reported by others<sup>41,42</sup> and may be driven by decreased functioning, low physical activity levels, and greater inflammation among obese individuals, a situation that has been referred to as “sarcopenic obesity”.<sup>41,42</sup>

In analyses that also adjusted for age and sex, frailty remained independently associated with the cross-sectional area of the quadriceps muscles of these patients but not with phase angle. There are several potential explanations for the lack of independent association of frailty with phase angle. First, sex and age, particularly age, may be along the “causal pathway” of frailty. Because women have smaller muscles than men, reductions in muscle mass that occur in relation to aging, chronic disease, and other factors may be more likely to lead to frailty in women because less atrophy would be required to reach any threshold level of muscle mass that leads to poor physical function. Thus, adjusting for age and sex in our models may represent over-adjustment. On the other hand, because the magnitude and direction of the coefficients relating frailty to phase angle did not diminish with adjustment for age and sex, small sample size and limited power might be a reason for the lack of statistical significance. Finally, it is possible that frailty is not associated with muscle in this population, but we believe that the consistent results across measures even in the absence of statistical significance for some associations makes this possibility less likely.

The associations of frailty with body composition were similar regardless of the definition of frailty used. Results from the modeling of FbF with measures of body composition were similar to those using the PbF definition. The associations of frailty with quadriceps area were of similar magnitude as that of female sex and 10 years of age. There was a significant interaction between age and PbF, such that the association of age with quadriceps area was stronger among those who were not frail than among frail patients, perhaps confirming that these frailty phenotypes reflect more than just the effect of age on muscle size.

The similarity of these findings using either FbF or PbF is not surprising considering the large overlap of individuals identified using either definition of frailty. Thus, although larger studies are needed to confirm our findings, the similarities in the association of body composition with both definitions of frailty, combined with data showing that FbF is associated with bad outcomes among patients with ESRD,<sup>4</sup> suggest that FbF is a reasonable surrogate for PbF when PbF is not available or practical.

Although our study showed an association between muscle atrophy and frailty, several limitations should be noted. First, in part because of the size of the study, this study may have been underpowered. Second, the patients in this study were not necessarily representative of the entire U.S. population with ESRD. However, we do not expect that associations between frailty and muscle size would systematically differ based on patient characteristics. Third, because this study is cross-sectional, directionality of the

linkage between frailty and measures of body composition could not be determined. However, it is biologically plausible that chronic inflammation and acute physiologic insults such as illness, injuries, and possibly uremia or inactivity lead to small muscles, with the resultant weakness leading to the designation of frailty. On the other hand, the exhaustion that is part of frailty could also lead to inactivity and low muscle mass and weakness. Regardless of the cause of the association, low muscle mass is more difficult to measure than frailty, and small phase angle<sup>36,43</sup> and frailty<sup>4</sup> are associated with bad outcomes among those with ESRD, rendering frailty a potentially useful construct in the ESRD population. It remains to be seen whether measures of muscle size will add prognostic information above and beyond the designation of frailty.

In conclusion, we found that frailty is associated with smaller muscle cross-sectional area even in the setting of kidney disease. It is interesting to note that the relation of frailty with measures of quadriceps area was of comparable magnitude as associations with age and sex, which are key nonmodifiable determinants of muscle size. Because it is more feasible to measure frailty in large cohorts than it is to obtain direct measures of muscle size and body composition, a frailty designation could be used to identify patients likely to have muscle wasting who are at risk for poor outcomes and who could benefit from interventions aimed at improving muscle atrophy and poor physical function.

## Practical Application

Identifying frail CKD patients who could benefit from interventions aimed at improving muscle atrophy and poor physical function may improve risk for poor outcomes.

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