

OVERVIEW: One of the most puzzling phenomena at the syntax-semantics interface are so-called *intervention effects*, as first detailed by Beck (1996, 2006). Beck identified a relatively cross-linguistically robust set of operators – *interveners* – which are transparent with respect to *overt wh*-movement, but do not tolerate *in-situ wh*-expressions within their scope. Beck (2006) outlined an influential theory of intervention effects based on association with focus (see also Kotek 2014, 2017 for an elaboration), but a common criticism levelled against such accounts is that they fail to capture the intervener-status of operators such as negation in a non-stipulative way – see Mayr (2014) for discussion. (1) (Beck 1996, 1a) illustrates intervention by negation in a partial movement configuration, and (2) illustrated intervention by a negative quantifier in a multiple question.

- | | |
|--|---|
| <p>(1) * Was glaubt Hans nicht wer
 What believes Hans not who.NOM
 da war?
 there is?</p> | <p>(2) * Wann hat niemand wen
 When has nobody who.ACC
 eingeladen?
 invited?</p> |
|--|---|

“Who does Hans believe isn’t there?”

“When did nobody invite who?”

Many of the same operators that give rise to intervention effects, such as negative expressions, also give rise to *weak island effects* with certain *wh*-expressions – see, e.g., Rullmann (1995), Fox & Hackl (2007), Abrusán (2014). But there are two obstacles to a unification: (i) *wh*-expressions ranging over individuals are sensitive to interveners, but not weak islands (ii) weak islands allow for *modal obviation* (Fox & Hackl 2007), as in *how fast is one not allowed to drive?*, but is not observed with intervention; (3) is not noticeably better than (1).

- (3) * Was darf Hans nicht glauben wer da war?
 What allowed Hans not believe who.NOM there is?

“Who is Hans not allowed to believe who isn’t there?”

We propose a unified account which overcomes these obstacles, with two essential ingredients (i) scope-marking constructions and *wh-in-situ* involve *cyclic scope-taking* (Dayal 1996, Charlow 2017), and (ii) question nuclei undergo obligatory strengthening via *exh* (Nicolae 2013). Because *exh* is not sensitive to the nature of the *wh*-expression, but just to the question nucleus, it can predict intervention with individual-type *wh*-expressions as long as they trigger cyclic movement leaving behind a higher-type trace. Modal obviation is not predicted unless movement can skip both negation and a modal in a single cycle.

EXHAUSTIFICATION IN QUESTIONS: Following Nicolae (2013), question nuclei undergo an obligatory strengthening operation, which we identify with the grammatical exhaustification operator *exh*, as defined in (4); it takes a set of alternatives *C*, a prejacent *p*, asserts *p* and negates *p*’s logically non-weaker alternatives. Also following Nicolae, we assume that *exh* associates with the trace of a moved *wh*-expression. The LF we assume for a basic constituent question is given in (5). Since *exh* associates with the *wh*-trace, the set of alternatives *C* in (5) is $\{p \mid \exists x[p = \lambda w . m \text{ praise}_w x]\}$. *exh* therefore returns the (strengthened) proposition that *Mary praised x and nobody else*. The resulting question meaning is given in (6).

$$(4) \quad \text{exh } C p w := p w \wedge \forall p' \in C[p \not\subseteq p' \rightarrow \neg p' w] \quad \langle \text{stt}, \langle \text{st}, \text{st} \rangle \rangle$$

$$(5) \quad \lambda p [\text{which students}_@] [\lambda x [t_p [C_Q [\text{exh} [\text{Mary praise } t_x^F]]]]]$$

$$(6) \quad \lambda p, . \exists x \left[\begin{array}{c} m \text{ praise}_w x \\ \text{students}_@ x \wedge p = \lambda w . \wedge \forall p' \in \{ q \mid \exists x' [q = \lambda w' . m \text{ praise}_{w'} x'] \} \\ [p \not\subseteq p' \rightarrow \neg p' w] \end{array} \right]$$

CYCLIC SCOPE: We implement Dayal’s (1996) account of scope-marking by treating *was* in German as the spellout of Cable’s (2010) Q-morpheme, which we treat as a type-flexible existential quantifier as in (7). The LF we posit for scope-marking in German is given in (8b). Note that here, *exh* associated with a *propositional* trace.

$$(7) \quad Q := \lambda P . \lambda Q . \exists r [P r \wedge Q r]$$

- (8) a. *Was* did Hans believe which students Mary praised?
b. $\lambda q [Q [\text{which students Mary praised}]] [\lambda p [C_q [\text{exh} [\text{Hans believe } t_p^F]]]]$

The computed meaning for (8b) – given in (9a) – is the same as *which students did Hans believe that Mary praised?*, modulo the contribution of *exh*. It returns the set of propositions of the form *Hans believes that Mary praised students x*, where *Mary praised students x* is the only thing that Hans believes. Of course, this is too strong, so we assume that global, contextual domain restriction constrains the set of alternatives to those of the form *Mary praised x students*.

- (9) a. $\lambda q . \exists r \in P [q = \lambda w . h \text{ believe}_w r \wedge \forall q' \in Q [(\lambda w . h \text{ believe}_w r) \not\subseteq q' \rightarrow \neg q' w]]$
b. $P = \{ p \mid \exists x [\text{students}_@ x \wedge p = \lambda w . m \text{ praised}_w x] \}$
c. $Q = \{ t \mid \exists u [t = \lambda w . h \text{ believe}_w u] \}$

One overtly spelled-out Q-morpheme (*was*) can be construed with a second intermediate one. We assume furthermore that with *wh-in-situ* a silent Q-morpheme with an Q-complementizer occurs at every phrasal level above the *wh-in-situ* and associates with the *wh-in-situ*.

INTERVENTION: We only address intervention with scope marking in (10), but this case generalizes to *wh-in-situ*.

- (10) a. *Was* didn’t Hans believe which students Mary praised?
b. $\lambda q [Q [\text{which students Mary praised}]] [\lambda p [C_q [\textcircled{1} \text{ exh} [\text{Hans not believe } t_p^F]]]]$

Intervention follows as a weak island phenomenon because (11) presupposes a maximal *p* that Hans doesn’t believe, but this doesn’t exist except in pathological cases. In line with Fox & Hackl (2007), contextual domain restriction can’t rescue this pathology.

$$(11) \quad \textcircled{1} = \lambda w . \neg h \text{ believe}_w p \wedge \forall p' \in \{ q \mid q = \lambda w' . \neg h \text{ believe}_{w'} q \} [p \not\subseteq p' \rightarrow \neg p' w]$$

FURTHER EVIDENCE: Our approach predict modal obviation to reemerge with intervention when cyclic movement skips negation and modal in one step. As predicted, 12, where *kann nicht glauben* is an idiom, is more acceptable than 3.

- (12) *Was kann Hans nicht glauben wer da war?*
What allowed Hans not believe who.NOM there is?

“Who can Hans not believe who isn’t there?”