

# PHYS 522

## Accelerator Physics

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## 1 Basic Quantities

$$\begin{array}{ll}
1\text{eV} = 1.6022 \cdot 10^{-19} \text{ J} & m_p = 938 \text{ MeV}/c^2 \\
m_p = 1.672 \cdot 10^{-27} \text{ kg} & u = 931.5 \text{ MeV}/c^2 \\
u = 1.6606 \cdot 10^{-27} \text{ kg} & m_e = 0.51 \text{ MeV}/c^2 \\
m_e = 9.109 \cdot 10^{-31} \text{ kg} & m_\mu = 105.7 \text{ MeV}/c^2 \\
e = 1.6022 \cdot 10^{-19} \text{ A}\cdot\text{s} &
\end{array}$$

Tera (T)	$10^{12}$	Mega (M)	$10^9$	Kilo (k)	$10^3$
Milli (m)	$10^{-3}$	Micro ( $\mu$ )	$10^{-6}$	Nano (n)	$10^{-9}$

## 2 Classical Laws

The Lorentz force law:

$$\vec{F} = q \cdot \vec{E} + q \cdot \vec{v} \times \vec{B} \quad (2.1)$$

is a relativistically valid (provided you set  $F = \frac{d}{dt}p$ ) description of how the electromagnetic fields apply forces to charged point-like particles.

## 3 Relativistic Parameters

Momentum is given by

$$p = \gamma m v \quad (3.1)$$

energy is given by:

$$E = \sqrt{p^2 c^2 + m^2 c^4} = \gamma m c^2 \quad (3.2)$$

and can be split into rest energy and kinetic energy by:

$$E = E_{\text{kin}} + m c^2 \implies E_{\text{kin}} = (\gamma - 1) m c^2 \quad (3.3)$$

Useful equations are:

$$\gamma = \frac{E_{\text{kin}}}{m c^2} + 1, \quad \beta = \sqrt{1 - \gamma^{-2}}, \quad \gamma \beta = \sqrt{\gamma^2 - 1} \quad (3.4)$$

Note, that commonly masses are given in  $\text{MeV}/c^2$  so that the calculation of the Lorentz factor is made simple.

For a beam, the rigidity  $B\rho$  which is a measure of how much magnetic field is needed to bend it is given by:

$$B\rho = \frac{p}{q} \quad (3.5)$$

where  $p$  is the relativistic momentum. This is made espically easy to calculate by noting that  $p = \gamma mc\beta$ ,

$$B\rho = \frac{mc}{q}\gamma\beta \quad (3.6)$$

and if  $[m] = \text{MeV}/c^2$ ,  $c = 299792458 \text{ m/s} \approx 3 \cdot 10^8 \text{ m/s}$  so the electron charge will cancel with the  $e$  in  $\text{MeV}$ , and this becomes a simple number, multiplying the  $\beta\gamma$  factor.

$$\begin{array}{ll} \text{Protons} & B\rho = 3.13\gamma\beta \text{ Tm} \\ \text{Electrons} & B\rho = 0.0017\gamma\beta \text{ Tm} \end{array}$$

this works out nicely since  $1 \text{ T} = 1 \text{ Vs/m}^2$ .

## 4 Optical Elements

Before we can study the dynamics of particle accelerators, it helps to understand what physical devices are used to create field configurations.

### 4.1 Dipole

The dipole field is a uniform field in one direction, typically the vertical directions to provide horizontal steering. With a field:

$$\vec{B} = B_0 \hat{y} \quad (4.1)$$

Dipoles come in three varieties, depending on the cross-section of the yoke and coil: C-Magnet, H-Magnet, and Window-Frame Magnet.

The magnetic field in the dipole is given by the current  $I$ , number of turns,  $n$ , and the distance between the poles  $h$  in the following manner:

$$B_0 = \mu_0 \frac{nI}{h} \quad (4.2)$$

### 4.2 Quadrupole

Quadrupoles are the most commonly used magnetic element for focusing, they provide focusing in one axis and defocussing in the other. Their field is described by:

$$\vec{B} = g(y\hat{x} + x\hat{y}) \quad (4.3)$$

where  $g$  is magnetic field gradient, which is given by the number of turns  $n$ , current  $I$ , and radius of the quadrupole aperture:

$$g = B' = \frac{2\mu_0 n I}{R^2} \quad (4.4)$$

and we can express the restoring force as:

$$k = \frac{g}{B\rho} \quad (4.5)$$

### 4.3 Fringe Field

There is always a field present outside of the iron yoke, called the fringe field. We can define an effective length,  $L_{\text{eff}}$ , which takes this into account:

$$L_{\text{dipole}} = \frac{1}{B_0} \int_{-\infty}^{\infty} B(s) ds, \quad L_{\text{quad}} = \frac{1}{g_0} \int_{-\infty}^{\infty} g(s) ds \quad (4.6)$$

where it can usually be related to the length of the yoke  $L_{\text{fe}}$  (fe for iron in yoke) by:

$$L_{\text{dipole}} \approx L_{\text{fe}} + 1.3h, \quad L_{\text{quad}} \approx L_{\text{fe}} + R \quad (4.7)$$

where  $h$  is the height of the gap in the dipole and  $a$  is the aperture radius of the quad.

### 4.4 Solenoid

The solenoid provides a uniform field along the beam axis:

$$\vec{B} = B_s \hat{s} \quad (4.8)$$

and particles with motion in the transverse directions experience circular motion in the transverse plane, which has the effect of focusing if the solenoid is the correct length. The restoring force amplitude,  $k$  for a solenoid is:

$$k = \left(\frac{q}{2m}\right)^2 \frac{B_s^2}{v_s^2} = \frac{q^2 B_s^2}{4(mv_s)^2} \quad (4.9)$$

now take  $mv_s = p$  and  $B\rho = p/q$  to find this in terms of rigidity:

$$k = \frac{B_s^2}{4(B\rho)^2} \quad (4.10)$$

### 4.5 Einzel Lens

An Einzel lens is created by putting a constant electric potential across a gap. It can accelerate particles by focusing then defocussing, or decelerate particles by defocussing then focusing.

The electrostatic equivalent of the solenoid, the thin lens focal strength is given by:

$$\frac{1}{f} \approx \frac{1}{8\sqrt{U_0}} \int_{s_1}^{s_2} \frac{(V')^2}{(U_0 - V)^{3/2}} ds \quad (4.11)$$

where  $U_0$  is the starting potential of the ions.

A particular case of an anode aperture is a divergent lens for all extraction systems:

$$f \approx -\frac{4U_0}{E_1} = -\frac{4U_0}{E_{\text{anode}}} \quad (4.12)$$

## 4.6 Multipoles

For a straight reference trajectory  $A_s$  depends on only  $x, y$  and  $\nabla^2 A_s = 0$  so we have the following solution:

$$A_s = \text{Re} \left[ \sum_n C_n (x + iy)^n \right] \quad (4.13)$$

where the  $n = 1, 2, 3$  term in the series is the dipole, quadrupole, sextupole, etc. The complex term  $C_n$  has the real and imaginary components where the imaginary component is called the ‘skew’ component. For dipoles, the real component is a field in the  $y$ -direction, and the imaginary component has a field in the  $x$ -direction.

## 5 Focusing

### 5.1 Vertical Magnetic Gradient Focusing

Magnetic gradient focusing in a cyclotron, the vertical force is given by:

$$F_z = qv \cdot B_r = qv \cdot \frac{\partial B_z}{\partial r} z \quad (5.1)$$

but  $\frac{\partial B_z}{\partial r} = \frac{\partial B_r}{\partial z}$  since  $\nabla \times \vec{B} = 0$  in the gap. So we have the differential equation for the vertical motion:

$$m\ddot{z} - qv \cdot \frac{\partial B_z}{\partial r} z = 0 \quad (5.2)$$

which has exponential solutions, periodic if we can write the frequency  $\omega_z^2 = -qv \cdot \frac{\partial B_z}{\partial r}$ . The vertical tune,  $Q_z$ , is defined by the number of oscillations in the vertical plane per revolution in the circular machine i.e.

$$Q_z = \frac{\omega_z}{\omega_c} = \sqrt{-\frac{r}{B_z} \frac{\partial B_z}{\partial r}} = \sqrt{n} \quad (5.3)$$

where the dimensionless number  $n$  is called the ‘field-index’.

## 5.2 Radial Magnetic Gradient Focusing

Oscillations in  $r$  about the reference orbit. For uniform circular motion  $Q_r = 1$ , i.e. in a uniform dipole field.

## 5.3 Weak Focusing

In a situation with ‘weak focusing’ we have tunes given by:

$$Q_r = \sqrt{1-n}, \quad Q_z = \sqrt{n} \quad (5.4)$$

which, for stability in both planes requires  $0 < n < 1$ .

## 5.4 Edge Focusing

Particles entering a magnetic field at an angle  $\kappa$  to the normal interact with the longitudinal components of the fringe field  $B_y$  proportionally to their velocity component  $v_x$ , parallel to the edge yielding a force:

$$F_z = qv_x B_y = -qv B_y \sin \kappa \quad (5.5)$$

## 5.5 Strong Focusing

Using a FODO type series of lenses lets one focus much more strongly than the weak focusing machines. The main advantage of his scheme is the decoupling of the acceleration, focusing and steering components.

## 6 Hill’s Equations

Hill’s Equations are the linear equations of motion derived from Newton’s laws and the Lorentz force, the transverse equations are:

$$x''(s) + \left( \frac{1}{\rho^2(s)} - k(s) \right) x(s) = \frac{1}{\rho(s)} \frac{\Delta p}{p} \quad (6.1)$$

$$y''(s) + k(s)y(s) = 0 \quad (6.2)$$

since we assume the bending from the dipoles are in the  $x$ -plane. It is assumed that:

$$\frac{mv_s^2}{\rho} = qv_s B_y \implies \frac{1}{\rho} = \left| \frac{qB_y}{p} \right| \quad (6.3)$$

If one Taylor expands the magnetic field in the  $x$ -direction (a typical multi-pole expansion) then:

$$\frac{q}{p} B_y = \frac{q}{p} \left[ B_{y0} + \frac{dB_y}{dx} x + \frac{1}{2!} \frac{d^2 B_y}{dx^2} x^2 + \frac{1}{3!} \frac{d^3 B_y}{dx^3} x^3 + \dots \right] \quad (6.4)$$

$$= \frac{q}{p} \left[ \frac{1}{\rho} + kx + \frac{1}{2!} mx^2 + \frac{1}{3!} ox^3 + \dots \right] \quad (6.5)$$

where we have identified terms  $1/\rho$  is the dipole term,  $k$  is the quadrupole,  $m$  is the sextuple, and  $o$  is the octupole term. So to first order, we have assumed that the dipole steering is only occurring in the  $x$ -direction so to first order then:

$$\frac{qB_y(x)}{p} = \frac{1}{\rho} - kx, \quad \frac{qB_x(y)}{p} = ky. \quad (6.6)$$

## 6.1 Transport

If there is no acceleration just transport  $\Delta p = 0$  so we get:

$$x''(s) + K_x(s)x(s) = 0, \quad y''(s) + k(s)y(s) = 0 \quad (6.7)$$

so for example, we can choose hard edge quads that are a constant  $k(s) = -k < 0$  to get:

$$x''(s) + kx(s) = 0, \quad y''(s) - ky(s) = 0 \quad (6.8)$$

which has exponential (oscillatory and hyperbolic) solutions with frequency  $\sqrt{k}$ . Written in matrix form, the solutions are:

$$\begin{bmatrix} x(s) \\ x'(s) \end{bmatrix} = \begin{bmatrix} \cos \sqrt{k}s & \frac{1}{\sqrt{k}} \sin \sqrt{k}s \\ -\sqrt{k} \sin \sqrt{k}s & \cos \sqrt{k}s \end{bmatrix} \begin{bmatrix} x_0 \\ x'_0 \end{bmatrix} \quad (6.9)$$

$$\begin{bmatrix} y(s) \\ y'(s) \end{bmatrix} = \begin{bmatrix} \cosh \sqrt{k}s & \frac{1}{\sqrt{k}} \sinh \sqrt{k}s \\ \sqrt{k} \sinh \sqrt{k}s & \cosh \sqrt{k}s \end{bmatrix} \begin{bmatrix} y_0 \\ y'_0 \end{bmatrix} \quad (6.10)$$

Instead of  $p_x$  used in Hamiltonian formulations, we use the coordinate  $x'$ , which is the angle of the particle's velocity from the axis, usually given in mrad. It is related to  $p_x$  by:

$$x' = \frac{dx}{ds} = \tan \left( \frac{p_x}{p_s} \right) \approx \frac{p_x}{p_s} \quad (6.11)$$

since  $p_s \gg p_x$ . So using the vector-matrix representation, we call the solution matrix the transfer matrix:

$$\begin{bmatrix} x(s) \\ x'(s) \end{bmatrix} = \underbrace{\begin{bmatrix} C_x(s) & S_x(s) \\ C'_x(s) & S'_x(s) \end{bmatrix}}_{A(s)} \begin{bmatrix} x_0(s) \\ x'_0(s) \end{bmatrix} \implies \vec{x}(s) = A(s) \cdot \vec{x}(s_0) \quad (6.12)$$

where  $C, S$  refer to either  $\cos, \sin$  or  $\cosh, \sinh$  if  $k > 0$  or  $k < 0$  respectively. In the longitudinal direction we also consider the distance to the reference particle  $\lambda(s)$  and the relative momentum difference  $\delta(s) = \frac{\Delta p}{p}$ . This will become particularly important in circular machines like Synchrotrons where the RF acceleration efficiency depends on the longitudinal spread.



## 6.2 Common Transfer Matrices

A drift is given by:

$$A_{\text{drift}} = \begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix} \quad (6.13)$$

since  $x = x_0 + x'_0 L$  and  $x' = x'_0$ . A dipole magnet without edge focusing is:

$$A_{\text{dipole}} = \begin{bmatrix} \cos \alpha & \rho \sin \alpha \\ -1/\rho \sin \alpha & \cos \alpha \end{bmatrix} \quad (6.14)$$

where  $\alpha[\text{rad}]$  is the angle of the dipole related to the length of the path that the particle takes in the magnet  $L[\text{m}]$ , and the bending radius  $\rho[\text{m}]$  by  $L = \rho\alpha$ . The thin lens focusing element can be written:

$$A_{\text{thin}} = \begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix} \quad (6.15)$$

where  $f$  is the focal length.  $f > 0$  corresponds to focusing and  $f < 0$  to defocussing. The restoring force strength is related to the focal length by  $1/f = kL$ , where  $L$  is the length of the element. The common focusing elements approximated as thin lenses are quadrupoles, solenoids, and Einzel lenses.

The drift in the longitudinal direction can be written:

$$\begin{bmatrix} \lambda \\ \delta \end{bmatrix} = \begin{bmatrix} 1 & L/\gamma^2 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \lambda_0 \\ \delta_0 \end{bmatrix} \quad (6.16)$$

which is to say that the relative momentum remains the same but the distance from the reference particle changes based on the value of the relative velocity difference.

### 6.2.1 FODO Cell

A FODO cell consists of a focusing (F), drift (O), defocussing (D), and drift (O) elements. Commonly, the drifts are chosen to be the same length, in practice these drifts are benders to keep the orbit circular in a synchrotron. It has a transfer matrix:

$$A_{\text{fodo}} = \begin{bmatrix} 1 & 0 \\ -\frac{1}{2f_1} & 1 \end{bmatrix} \begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -\frac{1}{f_2} & 1 \end{bmatrix} \begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -\frac{1}{2f_1} & 1 \end{bmatrix} \quad (6.17)$$

when  $f_1 = -f_2 \equiv f$  it simplifies to:

$$A_{\text{fodo}} = \begin{bmatrix} 1 - \frac{d^2}{2f^2} & 2d \left(1 + \frac{d}{2f}\right) \\ -\frac{d}{2f^2} \left(1 - \frac{d}{2f}\right) & 1 - \frac{d^2}{2f^2} \end{bmatrix} \quad (6.18)$$

to recover the same angle at the start we set  $f = d/2$  to find:

$$A_{\text{fodo}} = \begin{bmatrix} -1 & 4d \\ 0 & -1 \end{bmatrix} \quad (6.19)$$

which applies a  $180^\circ$  phase advance.

### 6.3 General Solution and Beta-function

The ansatz for the general solution to Hill's equations is:

$$x(s) = Au(s) \cos(\Psi(s) + \Psi_0) \quad (6.20)$$

where we define  $\beta(s) = u^2(s)$ , and the amplitude is  $A = \sqrt{\epsilon}$  to get:

$$x(s) = \sqrt{\epsilon\beta} \cos(\Psi(s) + \Psi_0) \quad (6.21)$$

$$x'(s) = -\sqrt{\frac{\epsilon}{\beta}} [\alpha(s) \cos(\Psi(s) + \Psi_0) + \sin(\Psi(s) + \Psi_0)] \quad (6.22)$$

where:

$$\Psi(s) = \int \frac{ds}{\beta(s)}, \quad \alpha(s) = -\frac{1}{2}\beta'(s) \quad (6.23)$$

so we can define:

$$\gamma(s) = \frac{1 + \alpha^2(s)}{\beta(s)} \quad (6.24)$$

to get the equation for a  $x$ - $x'$  space ellipse:

$$\gamma x^2 + 2\alpha x x' + \beta x'^2 = \epsilon \quad (6.25)$$

the ellipse has the following properties:

$$x'_{\max} = \sqrt{\epsilon\gamma}, \quad x'_{\min} = -\sqrt{\frac{\epsilon}{\beta}} \quad (6.26)$$

$$x_{\max} = \sqrt{\epsilon\beta}, \quad x_{\min} = -\sqrt{\frac{\epsilon}{\gamma}} \quad (6.27)$$

where the area of the ellipse is  $A = \pi\epsilon$ . The set  $\alpha, \beta, \gamma$  are the TWISS parameters and they fully describe the phase space ellipse to first order.

### 6.4 Periodic Sections

The transfer matrix of a single cell of a periodic section can be written:

$$M_{\text{cell}} = \begin{bmatrix} \cos \mu + \alpha_{cs} & \beta_{cs} \sin \mu \\ -\gamma_{cs} \sin \mu & \cos \mu - \alpha_{cs} \sin \mu \end{bmatrix} = \mathbf{1} \cos \mu + \mathbf{J} \sin \mu \quad (6.28)$$

where

$$\mathbf{J} = \begin{bmatrix} \alpha_{cs} & \beta_{cs} \\ -\gamma_{cs} & -\alpha_{cs} \end{bmatrix} \quad (6.29)$$

and  $\mu$  is the phase advance, and  $\gamma, \alpha, \beta$  are the Twiss parameters. For any periodic section, if we wish to determine the TWISS parameters, we can equate

this matrix to an  $s$ -dependent transfer matrix. This matrix is constructed such that when  $s = 0$ , it describes the transfer matrix from start of the cell to the end of the last element, and  $s > 0$ , corresponds to starting some  $s$  away from this point, and still transporting the particle the same length.

We construct periodic sections such that  $\mu = \pi/2$ , independent of our  $s$ , but this can always be checked by taking the trace of both matrices and solving for  $\mu$ .

## 7 Envelope

The transport equations and solution is given by:

$$\frac{d\vec{X}}{ds} = F\vec{X}, \quad \vec{X}_f = M\vec{X}_i \quad (7.1)$$

where  $M = \exp(\int F ds)$  is the transfer matrix which is the force integrated over the length of the beam path. So we can define statistical variables of an ensemble of  $N$  particles:

$$\langle \vec{X} \rangle = \frac{1}{N} \sum_{i=1}^N \vec{X}_i, \quad \sigma = \frac{1}{N} \sum_{i=1}^N \vec{X}_i \vec{X}_i^T \quad (7.2)$$

so these statistical quantities obey the equations of motion:

$$\langle \vec{X} \rangle' = F_{\text{ext}} \langle \vec{X} \rangle, \quad \sigma' = F\sigma + \sigma F^T \quad (7.3)$$

with solutions:

$$\langle \vec{X} \rangle_f = M \langle \vec{X} \rangle_i, \quad \sigma_f = M \sigma_i M^T \quad (7.4)$$

## 8 Luminosity

For a simple beam model, with beam intersection area  $A$ , bunch number  $N_p$ , and frequency of bunches  $f_b$ :

$$\mathcal{L} = \frac{N_p^2 f_b}{A} \quad (8.1)$$

where the rate of interactions is given by:

$$\Gamma = \mathcal{L} \sigma_p \quad (8.2)$$

where  $\sigma_p$  is the cross-section for the interaction.

## 9 Emittance

Phase space ellipses can be described by the Twiss parameters  $\alpha$ ,  $\beta$ , and  $\gamma$  where the emittance  $\varepsilon$  is given by:

$$\varepsilon = \gamma(s)x^2 + 2\alpha(s)xx' + \beta(s)x'^2, \quad \gamma(s) = \frac{1 + \alpha^2(s)}{\beta(s)} \quad (9.1)$$

Emittance determines the movement of the particles on the beam envelope in phase space and defines the phase space volume of the beam.

$$A_{xx'} = \frac{1}{p_s} \iint dx \cdot dp_x = \frac{1}{\gamma m_0 \beta c} \iint dx \cdot dp_x = \iint dx \cdot dx' \quad (9.2)$$

$$\implies \varepsilon_{xx'} = \frac{A_{xx'}}{\pi} = \frac{1}{\pi} \iint dx \cdot dx' \quad (9.3)$$

In practice with general statistical shapes of beam, the emittance is evaluated given by the root mean square of the beam in phase space:

$$\varepsilon_{\text{RMS}} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2} \quad (9.4)$$

where the Twiss parameters are now:

$$\beta_{\text{RMS}} = \frac{\langle x^2 \rangle}{\varepsilon_{\text{RMS}}}, \quad \gamma_{\text{RMS}} = \frac{\langle x'^2 \rangle}{\varepsilon_{\text{RMS}}}, \quad \alpha_{\text{RMS}} = \frac{\langle xx' \rangle}{\varepsilon_{\text{RMS}}}. \quad (9.5)$$

Normalized emittance is preserved with acceleration:

$$\varepsilon_{\text{N}} = \beta_{\text{rel}} \gamma_{\text{rel}} \varepsilon \quad (9.6)$$

where  $\varepsilon$  is the geometric emittance.

### 9.1 Emittance Conservation

The Emittance is conserved by Liouville's theorem (phase space volume is conserved along the equations of motion). We can express this via transfer matrices considering the Wronskian of our general transfer matrix  $A$ :

$$A = \begin{bmatrix} C(s) & S(s) \\ C'(s) & S'(s) \end{bmatrix} \implies W = \begin{vmatrix} C(s) & S(s) \\ C'(s) & S'(s) \end{vmatrix} = CS' - C'S \quad (9.7)$$

therefore  $\frac{dW}{ds} = 0$  and given that the identity matrix is a valid transfer matrix with determinant one, so we find that  $W = 1$  for all transfer matrices.

### 9.2 Twiss Transformation

The Twiss parameters transform according to:

$$\begin{bmatrix} \beta \\ \alpha \\ \gamma \end{bmatrix} = \begin{bmatrix} c^2 & -2sc & s^2 \\ -cc' & cs' + sc' & -ss' \\ c'^2 & -2s'c' & s'^2 \end{bmatrix} \begin{bmatrix} \beta_0 \\ \alpha_0 \\ \gamma_0 \end{bmatrix} \quad (9.8)$$

where  $c$  and  $s$  correspond to  $C(s)$  and  $S(s)$  respectively which are the components of the transfer matrix of interest. It can be derived using the fact that the emittance expression:

$$\varepsilon = \gamma x^2 + 2\alpha x x' + \beta x'^2 = \gamma_0 x^2 + 2\alpha_0 x x' + \beta_0 x'^2 \quad (9.9)$$

can be written:

$$\varepsilon = \vec{x}^T \tau \vec{x} = \begin{bmatrix} x & x' \end{bmatrix} \begin{bmatrix} \gamma & \alpha \\ \alpha & \beta \end{bmatrix} \begin{bmatrix} x \\ x' \end{bmatrix} = \vec{x}_0^T \tau_0 \vec{x}_0 = \begin{bmatrix} x_0 & x'_0 \end{bmatrix} \begin{bmatrix} \gamma_0 & \alpha_0 \\ \alpha_0 & \beta_0 \end{bmatrix} \begin{bmatrix} x_0 \\ x'_0 \end{bmatrix} \quad (9.10)$$

and then solved for the Twiss parameters as a function of the initial Twiss parameters and the transfer matrix.

## 10 Dispersion

Recall the transverse equations of motion:

$$x'' + \left( \frac{1}{\rho} - k(s) \right) \cdot x = h(s)\delta \quad y'' + k(s) \cdot y = 0 \quad (10.1)$$

where  $h(s) = 1/\rho(s)$  and  $\delta = \Delta p/p_0$ . We define  $x_\delta(s) = x_D(s) + x(s)$  so the equation of motion is  $x''_\delta + k_x x_\delta = h\delta$ . So we can get the inhomogeneous Hill's equations for the dispersion function:

$$D''(s) + k_x(s)D(s) = h(s) \quad (10.2)$$

where  $D(s)$  is the Dispersion function. The solution  $x_\delta$  is:

$$x_\delta(s) = x_D(s) + x(s) = x(s) + D(s) \frac{\Delta p}{p} \quad (10.3)$$

The 'Momentum Compaction Factor',  $\alpha_p$  is the relative change in the orbit length to the relative momentum deviation given by:

$$\alpha_p = \frac{\Delta C/C_0}{\Delta p/p_0} \quad (10.4)$$

Note for a linear accelerator  $\alpha_p = 0$ . Now, integrating over the path lengths:

$$C + \Delta C = \oint ds + \oint \frac{x_D}{\rho} ds \implies \Delta C = \oint \frac{x_D}{\rho} ds = \frac{\Delta p}{p_0} \oint \frac{D(s)}{\rho} ds \quad (10.5)$$

so we found  $\Delta C$  which gives:

$$\alpha_p = \frac{1}{C_0} \oint \frac{D(s)}{\rho} ds \quad (10.6)$$

## 10.1 Slip Factor

In a synchrotron  $\omega = 2\pi \frac{v}{C}$  so we can write:

$$\frac{\Delta\omega}{\omega_0} = \frac{\Delta v}{v_0} - \frac{\Delta C}{C_0} \quad (10.7)$$

where we know  $\Delta v/v_0 = \gamma^{-2} \Delta p/p_0$  so we can write:

$$\frac{\Delta\omega}{\omega_0} = (\gamma^{-2} - \alpha_p) \frac{\Delta p}{p_0} = \eta \frac{\Delta p}{p_0} \quad (10.8)$$

we defined  $\eta$ , called the ‘slip factor’ defined by:

$$\eta = \frac{1}{\gamma^2} - \alpha_p \quad (10.9)$$

so we can define  $\gamma_t$  to be given by the velocity at which  $\eta = 0$ , the energy that this happens at is the transition energy,  $E_{tr}$ .

If  $\gamma < \gamma_t$  then  $\eta > 0$ , so  $\Delta\omega$  increases with  $\Delta p$ . If  $\gamma > \gamma_t$  then  $\eta < 0$  and  $\Delta\omega$  decreases with  $\Delta p$ . If  $\gamma = \gamma_t$  then  $\eta = 0$  and the particles circulate isochrone in a ring independent of their momentum.

While accelerating heavy ions, increasing  $\gamma$ , when  $\gamma < \gamma_t$  then transitions to  $\gamma > \gamma_t$  the synchronous RF phase goes from  $\varphi_s$  to  $\pi - \varphi_s$ , this is called a phase jump.

## 10.2 RF Phase and Slip Factor

The relation between the rf-phase and orbit angle:

$$\Delta\phi = -h \cdot \Delta\theta \quad (10.10)$$

where  $\Delta\phi$  is the distance from the synchronous RF phase, and  $\Delta\theta$  is the orbit angle.

$$\Delta\omega_s = \frac{d}{dt} \Delta\theta = -\frac{1}{h} \frac{d}{dt} \Delta\phi = \omega_s \eta_s \frac{\Delta p}{p_s} \quad (10.11)$$

$$\frac{d}{dt} \Delta\phi = -h\omega_s \eta_s \frac{\Delta p}{p_s} = -\frac{h\omega_s \eta_s}{\beta^2} \frac{\Delta E}{E_s} = -h \frac{h\omega_s^2 \eta_s}{\beta^2 E_s} \left( \frac{\Delta E}{\omega_s} \right) \quad (10.12)$$

## 10.3 Longitudinal Equations of Motion

$$\Delta p = \frac{\Delta E}{c\beta} = \frac{qU_{\text{eff}}}{\beta c} \sin \phi_s = \frac{qU_{\text{eff}}}{R\omega} \sin \phi_s \quad (10.13)$$

where  $U_{\text{eff}} = U_0 T$  and  $T$  is the ‘transit time factor’ that describes the reduction of energy gain in an acceleration gap due to a time varying field compared to

the energy gain in a DC field described by  $E_0 = gU_0$  where  $g$  is the gap length.  $T$  can be computed by:

$$T = \left[ \int_{-g/2}^{g/2} E_s(s) \cos(\omega_{\text{HF}} t) ds \right] \left[ \int_{-g/2}^{g/2} E_s(s) ds \right]^{-1} \leq 1 \quad (10.14)$$

where we can express the arguments of the cosine as:

$$\omega_{\text{HF}} t = \omega \int \frac{ds}{v(s)} \approx \omega \frac{s}{v(s)} = \frac{2\pi s}{T_{\text{HF}} \beta c} = \frac{2\pi s}{\beta \lambda} \quad (10.15)$$

for a constant electric field  $E_s = E_0$  we can directly compute  $T$ :

$$T = \frac{\beta \lambda}{\pi g} \sin \left( \frac{\pi g}{\beta \lambda} \right). \quad (10.16)$$

One can write the energy by the acceleration cavity per turn using:

$$\Delta E_s^{\text{HF}} = qgE_0T \sin \varphi_s = qU_{\text{eff}} \sin \varphi_s = qR\dot{B}C_s \quad (10.17)$$

and then we find a DE for the energy spread:

$$\frac{d}{dt} \left( \frac{\Delta E}{\omega} \right) = \frac{q}{2\pi} U_{\text{eff}} (\sin \phi - \sin \varphi_s) \quad (10.18)$$

Combining all of these components lets us finally describe the angular synchrotron frequency:

$$\omega_{\text{syn}} = \omega_s \sqrt{\frac{h\eta_s}{2\pi\beta^2 E_s} qU_{\text{eff}} \cos \varphi_s} \quad (10.19)$$

where  $\nu_{\text{syn}} = \omega_{\text{syn}}/2\pi$  is the ‘synchrotron frequency’ which is the frequency at which particles oscillate around the synchronous particle. Since we have a new oscillation frequency, we describe the ‘longitudinal tune’ as:

$$Q_{\text{syn}} = \frac{\omega_{\text{syn}}}{\omega_s} = \sqrt{\frac{h\eta_s}{2\pi\beta^2 E_s} qU_{\text{eff}} \cos \varphi_s} \quad (10.20)$$

and we can write a Hill’s equation for  $\Delta\phi$  the relative RF angle:

$$\frac{d^2}{dt^2} \Delta\phi + \omega_{\text{syn}}^2 \Delta\phi = 0 \quad (10.21)$$

## 10.4 Separatrix

The longitudinal phase space ellipse, in  $(\Delta E, \Delta\phi)$ , is given by:

$$\left( \frac{\Delta\phi}{\Delta\phi_{\text{max}}} \right)^2 + \left( \frac{\Delta E}{\Delta E_{\text{max}}} \right)^2 = 1 \quad (10.22)$$

and is valid close to  $\varphi_s$  since the solutions to Hill's equations in these dimensions are:

$$\Delta\phi = \Delta\phi_{\max} \cos(\omega_{\text{syn}}t), \quad \Delta E = \Delta E_{\max} \sin(\omega_{\text{syn}}t) \quad (10.23)$$

where  $\Delta\phi_{\max}$  is given by  $\pi - \varphi_s$  and we can write the other amplitude as:

$$\Delta E_{\max} = Q_{\text{syn}} \frac{\beta^2 E_s}{h\eta_s} \Delta\phi_{\max} \quad (10.24)$$

This approximation breaks for larger orbits, as they become more teardrop shaped as they approach the ‘Separatrix’, which is the boundary between the stable and unstable orbits.

## 11 RF Acceleration

Particles traveling through a series of tubes to which an alternating current is applied such that when the particle exits a tube it is accelerated by the potential difference between the tube it left and the next. The field-free drift tubes shield the ions from the electric field while it reverses direction. The beam must be bunched into short pulses or ‘bunches’ separated by the radio frequency period  $T_{\text{rf}}$ .

Wideroe condition: the time to travel from center of gap  $i$  to gap  $i + 1$  is half the RF-cycle time  $T_{\text{rf}}$ . So the velocity is approximately:

$$v_i = \sqrt{\frac{2q \cdot iU_{\text{acc}}}{m}} = \beta_i c, \quad (11.1)$$

and the length of the  $i^{\text{th}}$  drift tube is given by:

$$l_i = \frac{v_i T_{\text{rf}}}{2} = \frac{\beta_i \lambda_{\text{rf}}}{2}. \quad (11.2)$$

## 12 Cyclotron

Particles traveling in a dipole field of strength  $B$ , using the Lorentz force law, undergo circular motion with frequency:

$$\omega_c = \frac{q}{m} B \quad (12.1)$$

independent of velocity, where the radius of motion is:

$$r = \frac{mv}{qB} = \frac{p}{qB} \quad (12.2)$$



## 12.1 Sector-focused Cyclotrons

Having alternating high ( $B_h$ ) and low ( $B_v$ ) field sectors in a cyclotron allow for edge focusing at regular intervals. The angle at which the orbits cross the sector edges is given by the ‘Thomas Angle’ written:

$$\kappa = \frac{\pi}{N} \frac{(B_h - \bar{B})(\bar{B} - B_v)}{(B_h - B_v)\bar{B}} \quad (12.3)$$

where  $N$  is the number of sectors.

## 13 Synchrotron

Synchrotrons are strongly focused circular machines using mostly series of FODO cells for focusing, RF accelerators for acceleration and dipoles for circularity.

$$\rho = \frac{p}{qB} = \frac{\gamma m \beta c}{qB}, \quad \omega_c = \frac{qB}{m\gamma} \quad (13.1)$$

so we can write:

$$\frac{d\omega_c}{dB} = \frac{q}{m\gamma^3} \implies \dot{\omega} = \frac{q\dot{B}}{m\gamma^3} \quad (13.2)$$

The revolution frequency is given approximately by:

$$f_u = \frac{\omega}{2\pi} = \frac{\beta c}{L} \approx \frac{\beta c}{2\pi\rho} = \frac{qB}{2\pi\gamma m} \quad (13.3)$$

since they are not quite circular, where  $L$  is the ring circumference. The RF frequency is then:

$$f_{RF} = hf_u = \frac{h\beta c}{L} \quad (13.4)$$

where  $h$  is the harmonic on which the RF accelerators are operating on. Hence, the lowest RF frequency is where  $h = 1$  so  $f_{RF} = f_u$ .

## 14 Space Charge

The space charge force for a uniform cylindrical beam of charge density  $\rho_0$  and radius  $R$  has electric field given by:

$$E(r) = \begin{cases} -\frac{\rho_0 r}{2\epsilon_0} & r < R \\ -\frac{\rho_0 R^2}{2\epsilon_0 r} & r > R \end{cases} \quad (14.1)$$

and hence potential given by:

$$U(r) = \begin{cases} \frac{\rho_0 r^2}{4\epsilon_0} + U_0 & r < R \\ \frac{\rho_0 R^2}{4\epsilon_0} [2\ln(r/R) + 1] + U_0 & r > R \end{cases} \quad (14.2)$$

where  $U_0$  is the potential at the center of the beam. Hence the potential difference between the wall and center of the beam is:

$$\Delta U_W = \frac{\rho_0 R^2}{4\epsilon_0} [2 \ln(r_W/R) + 1] \quad (14.3)$$

where  $r_W$  is the radius of the wall. The potential difference between the beam edge and the center of the beam is:

$$\Delta U = \frac{\rho_0 R^2}{4\epsilon_0} \quad (14.4)$$

The charge density can be related to the current density by  $J = \rho_0 v_s = \frac{I}{\pi R^2}$  so we find:

$$\rho_0 = \frac{I}{\pi R^2 v_s} \quad (14.5)$$

where  $v_s = c\beta$  can be found using the energy of the beam.

The self magnetic field is azimuthal and is given by:

$$B_\phi(r) = \frac{\mu_0}{2} j \cdot r = \frac{\mu_0}{2} \rho_0 \beta c \cdot r \quad (14.6)$$

which has a focusing effect. So the Lorentz force is given by:

$$F_r(r) = q(E_r - vB_\phi) = q \frac{\rho_0}{2\epsilon_0} (1 - \beta^2) \cdot r = q \frac{\rho_0}{2\epsilon_0 \gamma^2} \cdot r \quad (14.7)$$

one defines the ‘degree of compensation’  $f \leq 1$  so we can write:

$$E(r) = \frac{\rho_0 r}{2\epsilon_0} (1 - f) \implies F_r(r) = q \frac{\rho_0}{2\epsilon_0} (1 - f - \beta^2) \cdot r \quad (14.8)$$

where if the self fields focus the beam it is called a ‘pinch effect’.

## 15 Ion Sources

### 15.1 Plasmas

Plasmas are described by the number density,  $n_e$  for electrons,  $n_0$  for neutral atoms and  $n_i$  for ions in the  $i^{\text{th}}$  charge state where  $i$  electrons have been freed. Since the source is a neutral gas we have an expression for conservation:

$$n_e = \sum_i q_i n_i \quad (15.1)$$

where  $q_i$  is the ion charge in units of  $-e$ , so it is an integer. The degree of ionization is:

$$P_i = \frac{n_i}{n_i + n_0} \quad (15.2)$$

a plasma is highly ionized if  $P_i > 0.1$ . The electrons act as a cloud or wave medium with fundamental frequency:

$$\omega_p^2 = \frac{e^2 n_e}{m_e \epsilon_0} \quad (15.3)$$

often the frequency  $f_p = \omega_p/2\pi$  is used, for example,  $1/f_p$  is the characteristic time in which the plasma can react to a disturbance. Electromagnetic waves with  $f < f_p$  cannot pass through the plasma. The ‘Debye length’ is the characteristic length that this screening can occur given by:

$$\lambda_D = \sqrt{\frac{\epsilon_0 k_B T}{n_e e^2}} \quad (15.4)$$

In a low density hot plasma, ionization is due to collisions of electrons and atoms. The number density of the different populations can be described by, for example the ratio of number densities in charge state  $i + 1$  to charge state  $i$  is given by:

$$\frac{n_{i+1} n_e}{n_i} = \frac{2}{\Lambda^3} \frac{g_{i+1}}{g_i} \exp \left[ -\frac{\varepsilon_{i+1} - \varepsilon_i}{k_B T} \right] \quad (15.5)$$

where  $n_e$  is electron number density,  $g_i$  is the degeneracy of the  $i^{\text{th}}$  charge state given by  $g_i = 2J_i + 1$ ,  $J_i$  is the total angular momentum.  $\varepsilon_i$  is the ionization energy to remove  $i$  electrons from a neutral atom,  $\Lambda$  is the de Broglie wavelength of the electrons:

$$\Lambda = \sqrt{\frac{h^2}{2\pi m_e k_B T}} \quad (15.6)$$

The electron number density on a hot surface due to thermal ionization is:

$$n_e = \frac{2}{\Lambda^3} \exp \left[ -\frac{\varphi}{k_B T} \right] \quad (15.7)$$

where  $\varphi$  is the work function of the surface material, which is the energy needed to release an electron. From these we can compute the first ratio of densities for a hot thermal surface:

$$\frac{n_1}{n_0} = \frac{g_1}{g_0} \exp \left[ \frac{\varphi - \varepsilon_1}{k_B T} \right] \quad (15.8)$$

## 15.2 Beam Formation

Consider the emission of charged particles from an infinite source surface into an acceleration gap where the particles start off with zero velocity. There is then created a constant current density  $J$  and particle density  $n(z)$ .

$$J = q \cdot n(z) \cdot v_z(z) \quad (15.9)$$

we then relate the kinetic energy at a specific point to the potential across the gap as:

$$v_z(z)^2 = -\frac{2}{m_0}q\phi(z) \quad (15.10)$$

we find the Poisson equation for the potential is:

$$\frac{d^2\phi}{dz^2} = -\frac{qn(z)}{\epsilon_0} = -\frac{J}{\epsilon_0} \sqrt{\frac{m_0}{2|q\phi(z)|}} \quad (15.11)$$

with boundary conditions  $\phi(0) = 0$ ,  $\phi(d) = V_0$  and  $\phi'(0) = 0$  gives the solutions:

$$J_0 = \frac{4\epsilon_0}{9} \sqrt{\frac{2q}{m_0}} \frac{V_0^{3/2}}{d^2}, \quad \phi(z) = V_0 \left(\frac{z}{d}\right)^{4/3} \quad (15.12)$$

which also turns out to be a good approximation for cylindrical beams with  $2r_a \ll d$  where  $r_a$  is the anode radius. For a cylindrical beam, we can integrate over the current density  $J$ , to find the current is:

$$I_0 = \frac{4\epsilon_0}{9} \sqrt{\frac{2q}{m_0}} \frac{\pi r_a^2}{d^2} V_0^{3/2} = P \cdot V_0^{3/2} \quad (15.13)$$

where we call  $P$  the ‘perveance’, and is the coefficients out front.

The aperture of the extraction electrode is a defocussing electrostatic element with a focal length given approximately:

$$f \approx \frac{4T}{q(E_2 - E_1)} \quad (15.14)$$

where  $T$  is the kinetic energy,  $E_1$  and  $E_2$  are the electric field strengths before and after the aperture.

The emittance of the beam in the transverse  $(x, y)$  directions is given by the momentum distribution due to thermal energy so we can write the mean momentum from the mean of a Boltzmann velocity distribution and then the normalized RMS emittance is simply:

$$\langle x' \rangle = \frac{1}{v_z} \sqrt{\frac{k_B T}{m_0}} \implies \varepsilon^* = \beta \gamma \langle x \rangle \langle x' \rangle = r_a \sqrt{\frac{k_B T}{mc^2}} \quad (15.15)$$

a quantity related to the emittance is the ‘Brightness’ given by:

$$B = \frac{I}{\pi^2 (\varepsilon^*)^2} = \frac{mc^2 J}{\pi k_B T} \quad (15.16)$$

If the ions in a plasma have velocity distributed according to a Maxwell velocity distribution:

$$g(v_z) = \sqrt{\frac{2m_1}{\pi k_B T_i}} \exp \left[ -\frac{m_i v_z^2}{2k_B T_i} \right] \quad (15.17)$$

so ions crossing a plane with velocity  $v_z$ :

$$\frac{\Delta N(v_z)}{\Delta t} = -n_i v_z g(v_z) dv_z \quad (15.18)$$

integrating over all velocities:

$$\frac{dN}{dt} = n_i \sqrt{\frac{k_B T_i}{2\pi m_i}} \quad (15.19)$$

so we can write current density as  $J = q \frac{dN}{dt}$ .

### 15.3 Magnetic Confinement

The Lorentz force due to a magnetic field is  $\vec{F} = q\vec{v} \times \vec{B}$  so in a constant magnetic field, the velocity perpendicular velocity undergoes uniform circular motion with frequency  $\omega_c = \frac{qB}{m}$ , the cyclotron frequency. The Lamor radius describes the radius of the uniform circular motion due to velocity from the thermal energy:

$$r_L = \frac{\sqrt{2mk_B T}}{qB} \quad (15.20)$$

A charged particle on a circular orbit has a magnetic moment:

$$\vec{\mu}_m = \frac{1}{2} q \omega r^2 \vec{n}_F \quad (15.21)$$

where  $\vec{n}_F$  is the unit normal vector, and we can re-express this in terms of  $W_p$  the energy of motion perpendicular to the magnetic field:

$$\vec{\mu}_m = \frac{q^2}{2m} B r_L^2 \vec{n}_F = \frac{m v_p^2}{2B} \vec{n}_F = \frac{W_p}{B} \vec{n}_F \quad (15.22)$$

if the parallel drift velocity is small compared to  $v_p$  then the magnetic moment will be approximately constant. If the total kinetic energy is  $W = W_p + W_l = \frac{m}{2}(v_p^2 + v_l^2)$  then in a magnetic mirror with a high field region,  $B_2$  at the edges and a low field region  $B_1$  in the center then:

$$\vec{\mu}_{m1} = \vec{\mu}_{m2} \implies \frac{W_{p1}}{B_1} = \frac{W_{p2}}{B_2} \quad (15.23)$$

then the difference in perpendicular energy of motion is:

$$\Delta W_p = W_{p2} - W_{p1} \leq W_{l1} \quad (15.24)$$

hence the particle will return if  $\Delta W_p = W_{l1}$ , namely:

$$\frac{B_2}{B_1} - 1 = \frac{W_{l1}}{W_{p1}} = \left( \frac{v_{l1}}{v_{p1}} \right)^2 = \cot^2 \alpha \quad (15.25)$$

where  $\alpha$  is the angle between  $v_p$  and  $v_l$ . Therefore particles are reflected if:

$$\alpha \geq \text{acot} \left( \sqrt{B_2/B_1 - 1} \right) \quad (15.26)$$

otherwise the particles are not confined. Rearranging the space charge limited flow through the extraction gap from Child's law for the gap length:

$$d = \left( \frac{2q}{m_0} \right)^{1/4} \left( \frac{2\epsilon_0 \pi r_a^2}{9I_0} V_0^{3/2} \right)^{1/2} \quad (15.27)$$

## 16 Hamiltonian Formalism

We can write the relativistic energy as:

$$E = \gamma m c^2 \implies E^2 = m^2 c^4 + c^2 p^2 \quad (16.1)$$

and since the electric potential modifies the total energy,  $E \rightarrow E - q\Phi$  and the canonical momentum  $\vec{P}$  is substituted for  $\vec{p}$  using  $\vec{P} = \vec{p} + q\vec{A}$ :

$$(E - q\Phi)^2 = m^2 c^4 + c^2 (\vec{P} - q\vec{A})^2 \quad (16.2)$$

so we have the canonical pairs  $(-E, t)$  and  $(P_i, x_i)$ . So we can solve for  $E$  to find the Hamiltonian:

$$H = q\Phi + \sqrt{m^2 c^4 + c^2 (\vec{P} - q\vec{A})^2} \quad (16.3)$$

and associated Hamilton's equations:

$$\frac{dx_i}{dt} = \frac{\partial H}{\partial P_i}, \quad \frac{dP_i}{dt} = -\frac{\partial H}{\partial x_i}, \quad \frac{dE}{dt} = \frac{\partial H}{\partial t} \quad (16.4)$$

Upon a change of canonical coordinates we have the canonical pairs:  $(x, P_x), (y, P_y), (s, P_s), (t, -E)$  so the  $s$ -based Hamiltonian sets  $H = -P_s$  to find:

$$H_s = -qA_s - \left( 1 + \frac{x}{\rho} \right) \sqrt{\frac{1}{c^2} (E - q\Phi)^2 - m^2 c^2 - (P_x - qA_x)^2 - (P_y - qA_y)^2} \quad (16.5)$$

with equations of motion:

$$\frac{dx}{ds} = \frac{\partial H_s}{\partial P_x}, \quad \frac{dP_x}{ds} = -\frac{\partial H_s}{\partial x}, \quad \frac{dy}{ds} = \frac{\partial H_s}{\partial P_y}, \quad \frac{dP_y}{ds} = -\frac{\partial H_s}{\partial y} \quad (16.6)$$

$$\frac{dt}{ds} = \frac{\partial H_s}{\partial (-E)}, \quad \frac{d(-E)}{ds} = -\frac{\partial H_s}{\partial t}, \quad \frac{dP_s}{ds} = -\frac{\partial H_s}{\partial s} \quad (16.7)$$

## 17 RF Cavities

### 17.1 Pill Box Cavity

The pill box cavity, is a cylindrical cavity with the axis in-line with the beam axis. It can have both ends connected or one end disconnected, for closed or closed/open boundary conditions. The electric field is along the beam axis and the magnetic field is in the azimuthal direction. The field modes are specified by  $m, n, p$  usually denoted TM-mnp. The wavenumber and frequencies are related by  $\omega_n = ck_n$  or  $k_n = 2\pi/\lambda_n$ . The non-zero field components are:

$$\vec{E}(r, t) = E_{0n} J_0(k_n r) \cos(\omega_n t) \hat{z}, \quad \vec{H}(r, t) = -\frac{E_{0n}}{\eta} J_1(k_n r) \sin(\omega_n t) \hat{\theta} \quad (17.1)$$

Recall the magnetic field is related by  $\vec{H} = \frac{1}{\mu_0} \vec{B} + \vec{M}$ , where  $\vec{M}$  is the magnetization. In free space, where  $\vec{M} = 0$  we find  $\vec{B} = \mu_0 \vec{H}$ .

### 17.2 Coaxial Resonator

The coaxial resonator is a central conductor placed coaxially inside a larger conductor, where the beam line travels through the cavity perpendicular to the cavity's axis. The non-zero field components are:

$$\vec{E}(r, t) = -2i \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{I_0}{2\pi r} \sin\left(\frac{p\pi z}{d}\right) e^{i\omega t} \hat{z}, \quad \vec{B}(r, t) = \frac{\mu_0 I_0}{\pi r} \cos\left(\frac{p\pi z}{d}\right) e^{i\omega t} \hat{\theta} \quad (17.2)$$

where  $\omega = k_z c = \frac{p\pi c}{d}$  and  $p = 1, 2, 3, \dots$  corresponds the wavenumber for example,  $p = 1$  is a half wave resonator. A coaxial quarter wave resonator has one open end and the other closed, and has resonant length  $L = \lambda(1 + 2p)/4$ , where  $p = 0, 1, 2$  with  $p = 0$  being the most popular accelerating mode.

### 17.3 Accelerating Voltage

The exact accelerating voltage is given by:

$$V(\varphi) = \int_{-\infty}^{\infty} dz E_z(\rho = 0, z) \cos(\omega t(z) + \varphi) \quad (17.3)$$

taking  $t = z/v = z/\beta c$ , since  $c = f\lambda$  and  $\omega = 2\pi f$ . This lets us define the effective voltage  $V_{\text{eff}}$  and the phase dependence simplifies:

$$V(\varphi) = V_{\text{eff}} \cos(\varphi), \quad V_{\text{eff}} = \int_{-\infty}^{\infty} dz E_z(\rho = 0, z) \cos\left(\frac{2\pi z}{\beta\lambda}\right) \quad (17.4)$$

### 17.3.1 Transit Time Factor

We can split up the definition of  $V_{\text{eff}}$  into:

$$V_{\text{eff}} = V_0 T \implies V(\varphi) = V_0 T \cos(\varphi) \quad (17.5)$$

Where we define each component by:

$$V_0 = \left| \int_{-\infty}^{\infty} dz E_z(\rho = 0, z) \right|, \quad T = \frac{\left| \int_{-\infty}^{\infty} dz E_z(\rho = 0, z) \cos(\omega t(z)) \right|}{\left| \int_{-\infty}^{\infty} dz E_z(\rho = 0, z) \right|} \quad (17.6)$$

where  $\beta_s$  is the synchronous velocity, where a particle at that speed hits peak field in both gaps, defined by  $\beta_s = \frac{2D}{\lambda}$ , where  $D$  is the distance between the center of the gaps.

So we can determine the single gap transit time factor:

$$T_{1\text{-gap}} = \frac{\beta\lambda}{\pi g} \sin\left(\frac{\pi g}{\beta\lambda}\right) \quad (17.7)$$

and the two gap transit time factor:

$$T_{2\text{-gap}} = \frac{\beta\lambda}{\pi g} \sin\left(\frac{\pi g}{\beta\lambda}\right) \sin\left(\frac{\pi\beta_s}{2\beta}\right) \quad (17.8)$$

Now we can define the figure of merit called the accelerating gradient  $E_a$ , in terms of the effective voltage and the effective length of the cavity by:

$$E_a = \frac{V_{\text{eff}}}{L} = \frac{V_0 T}{L} \quad (17.9)$$

where the length of the cavity is  $L = n\beta\lambda/2$ , where  $n$  is the number of gaps.

## 17.4 Quality Factor

The energy stored in the field is:

$$U = \frac{\mu_0}{2} \int_V dV |H|^2 \quad (17.10)$$

The quality factor  $Q_0$  is the energy stored in the cavity divided by the energy dissipated in the walls per radian.

$$Q_0 = \frac{U}{\Delta u} = \frac{2\pi U}{P_c T_{\text{rf}}} = \frac{\omega_0 U}{P_c} \quad (17.11)$$

where  $P_c$  is the power loss in the cavity walls, and  $T_{\text{rf}}$  is the RF-period. The power loss in the cavity walls is the magnetic field in the surface times the surface resistance integrated over the surface:

$$P_c = \frac{1}{2} \int_S ds R_s |H|^2 \quad (17.12)$$



so now we can calculate the quality factor explicitly:

$$Q_0 = \omega_0 \mu_0 \frac{\int_V dV |H|^2}{\int_S ds R_s |H|^2} \approx \frac{1}{R_s} \omega_0 \mu_0 \frac{\int_V dV |H|^2}{\int_S ds |H|^2} \quad (17.13)$$

for an approximately constant  $R_s$ . Where these integrals are only determined by the cavity geometry so we define the geometry factor by:

$$Q_0 = \frac{G}{R_s} \quad G = \omega_0 \mu_0 \frac{\int_V dV |H|^2}{\int_S ds |H|^2} \quad (17.14)$$

## 17.5 Shunt Impedance

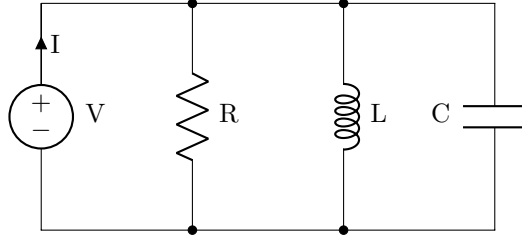
The shunt resistance  $R_a$ , is related to the RF efficiency, and is different from the surface resistance  $R_s$ . We have the following relations including the power loss in the cavity  $P_{\text{cav}}$ :

$$R_a = \frac{V_{\text{eff}}^2}{P_{\text{cav}}}, \quad \frac{R_a}{Q_0} = \frac{V_{\text{eff}}^2}{\omega_0 U} \implies P_{\text{cav}} = \frac{V_{\text{eff}}^2}{\frac{R_a}{Q_0} Q_0}, \quad P_{\text{cav}} = \frac{V_{\text{eff}}^2}{\frac{R_a}{Q_0} \cdot \frac{G}{R_s}} \quad (17.15)$$

The factor  $R_a/Q_0$  is a common figure of merit that describes the efficiency of accelerating charged particles and is independent of surface resistance.

## 17.6 Circuit Model

A cavity is analogous to a LCR parallel circuit:



where the current is given by this differential equation:

$$I(t) = C \frac{dV(t)}{dt} + \frac{1}{L} \int V(t) dt + \frac{V(t)}{R} \quad (17.16)$$

with solution:

$$V(t) = \frac{RI_0}{\sqrt{1 + \chi^2}} \cos(\omega t + \varphi) \quad (17.17)$$

where we have  $\tan \varphi = -\chi$  and the following relations between the relevant quantities:

$$\omega_0 = \frac{1}{\sqrt{LC}}, \quad \chi = 2Q \frac{\delta\omega}{\omega_0} \quad (17.18)$$

where  $\delta\omega = \omega - \omega_0$  is the distance from the resonance frequency, and the bandwidth, a measure of the resonance peak,  $\Delta\omega$  is given by:

$$\Delta\omega = \frac{\omega_0}{Q_0} \quad (17.19)$$

where  $\omega_0$  is the resonance frequency.

$$2U = CV_0^2, \quad P = \frac{V_0^2}{2R}, \quad Q = \frac{\omega_0 U}{P} = \omega_0 RC = \frac{R}{\omega_0 L} \quad (17.20)$$

The time decay constant of the stored energy  $\tau_U$  is related to the time constant of the cavity voltage  $\tau_V$  by:

$$\tau_U = \frac{Q_0}{\omega_0}, \quad \tau_V = 2\tau_U = \frac{2Q_0}{\omega_0} \quad (17.21)$$

### 17.6.1 Coupling Power

The total power loss in the RF system is the sum of the cavity power, the external power and a pickup:

$$P_{\text{total}} = P_c + P_{\text{ext}} + P_{\text{pu}} \quad (17.22)$$

where the external power is given by:

$$P_{\text{ext}} = \frac{V_{\text{eff}}^2}{2Z_0 n^2} = \frac{V_{\text{eff}}^2}{\frac{R_a}{Q_0} Q_{\text{ext}}} \quad (17.23)$$

where a ‘loaded’ quality factor,  $Q_L$ , is defined in terms of the total power:

$$Q_L = \frac{\omega_0 U}{P_{\text{total}}} \quad (17.24)$$

and the coupling is modeled as a  $1 : n$  transformer which has  $Z_0 \cdot n^2$  as the internal impedance. The quality factors are related by:

$$\frac{1}{Q_L} = \frac{1}{Q_0} + \frac{1}{Q_{\text{ext}}} = \frac{1}{Q_0} + \frac{\beta}{Q_0} = \frac{1+\beta}{Q_0} \quad (17.25)$$

the  $\beta$  term is the ‘coupling factor’, which relates the quality factors by:

$$Q_0 = \beta Q_{\text{ext}} \quad (17.26)$$

Recall the definition of the cavity’s bandwidth  $\Delta\omega$  but there are more components in the circuit that effect the width of the resonance peak so the actual bandwidth in practice  $\Delta\Omega$  depends on the loaded quality factor so  $Q_L$ :

$$\Delta\Omega = \frac{\omega_0}{Q_L} = \omega_0 \frac{(1+\beta)}{Q_0}, \quad \text{since} \quad \frac{1}{Q_L} = \frac{1+\beta}{Q_0} \implies \beta = \frac{Q_0}{Q_L} - 1 \quad (17.27)$$

## 17.7 Transmission Lines

The RF transmission line that connects the cavity to the generator is described by the characteristic impedance  $Z_0$ . The impedance of the cavity (the load) is  $Z_L$  and the source or generator has impedance  $Z_S$ . Differences in these cause reflections of the voltage, described the reflection coefficient:

$$\Gamma = \frac{Z_L - Z_0}{Z_L + Z_0} \quad (17.28)$$

another characteristic of the transmission line is the VSWR or the ‘voltage standing wave ratio’, which is the ratio of the peak amplitude to the minimum amplitude and is related to the reflection coefficient by:

$$\text{VSWR} = \frac{1 + |\Gamma_L|}{1 - |\Gamma_L|} \quad (17.29)$$

## 17.8 Coupling and Transmission Power

We find the coupling factor to be related to the impedances by  $Z_L = \beta Z_0$  and so reflection coefficient by:

$$\Gamma = \frac{Z_L - Z_0}{Z_L + Z_0} = \frac{\beta Z_0 - Z_0}{\beta Z_0 + Z_0} = \frac{\beta - 1}{\beta + 1} \quad (17.30)$$

So the ratio of the forward and backwards transmitted power is given by:

$$\frac{P_{\text{rfl}}}{P_{\text{fwd}}} = \Gamma^2 \implies P_{\text{rfl}} = \Gamma^2 P_{\text{fwd}} \implies P_{\text{fwd}} - P_{\text{rfl}} = P_{\text{fwd}}(1 - \Gamma^2) \quad (17.31)$$

so the power fed to the cavity is the power that is fed forward and not reflected back so we have  $P_{\text{cav}} = P_{\text{fwd}} - P_{\text{rfl}}$ , so in terms of the coupling constant:

$$P_{\text{cav}} = P_{\text{fwd}} \frac{4\beta}{(1 + \beta)^2} \quad (17.32)$$

However, off resonance, the detuning factor  $\chi_L = 2Q_L \frac{\delta\omega}{\omega_0}$  changes the cavity power:

$$P_{\text{cav}} = P_{\text{fwd}} \frac{4\beta}{(1 + \beta)^2} \frac{1}{1 + \chi_L^2} \quad (17.33)$$

and we can re-express the detuning factor and the detuning angle  $\tan \psi$  as:

$$\chi_L = -\tan \psi = 2Q_L \frac{\delta\omega}{\omega_0} = 2 \frac{Q_0}{1 + \beta} \frac{\delta\omega}{\omega_0} \quad (17.34)$$

Finally, we can relate the generator power to the cavity power by:

$$P_{\text{gen}} = \frac{P_{\text{cav}}}{4\beta} \left( (1 + \beta + b_0 \cos \varphi)^2 + \left( -2Q_0 \frac{\Delta\omega}{\omega} - b_0 \sin \varphi \right)^2 \right) \quad (17.35)$$

where:

$$b = \frac{P_{\text{beam}}}{P_{\text{cav}}} = b_0 \cos \phi = \frac{R_a I_0}{V_{\text{cav}}} \cos \phi, \quad \beta = Q_0 / Q_{\text{ext}} \quad (17.36)$$

Detuning has both static and dynamic components:

$$\tan \psi = -2Q_L \frac{\Delta\omega_c \pm \delta\omega_d}{\omega_0}, \quad \tan \psi_0 = -2Q_L \frac{\Delta\omega_c}{\omega_0} \quad (17.37)$$

where  $\Delta\omega_c$  is the static detuning which is controllable and  $\delta\omega_d$  is the random dynamic detuning. Using this relation we can simplify the previous generator-cavity power relation:

$$P_{\text{gen}} = \frac{P_{\text{cav}}}{4\beta} \left( (1 + \beta + b)^2 + 4Q_0^2 \left( \frac{\delta\omega_d}{\omega_0} \right)^2 \right) \quad (17.38)$$

and we can find the system such that

$$\beta_{\text{opt}}^2 = (1 + b_0 \cos \varphi)^2 + \left( -2Q_0 \frac{\Delta\omega}{\omega_0} - b_0 \sin \varphi \right)^2 \quad (17.39)$$

$$P_{\text{gen}}^{\text{opt}} = \frac{V_c^2}{R_a} ((1 + b_0 \cos \varphi) + \beta_{\text{opt}}) \quad (17.40)$$

## 18 Superconducting Radio Frequency

### 18.1 Normal Conducting and the Anomalous Skin Effect

Recall from classical electrodynamics that the current density is related to the conductance, and the electric field in the material by:

$$J = \sigma E, \quad \sigma = \frac{n e^2 \lambda_{\text{mfp}}}{m_e v_f} \quad (18.1)$$

where  $v_f$  is the Fermi velocity, and  $\lambda_{\text{mfp}}$  is the mean free path. External fields will penetrate a metal decaying exponentially, with the decay constant, called the ‘skin depth’:

$$\delta = \frac{1}{\sqrt{\mu_0 \pi f \sigma}} \quad (18.2)$$

the surface resistance is therefore:

$$R_s = \frac{1}{\sigma \delta} = \sqrt{\frac{\omega \mu_0}{2\sigma}} \quad (18.3)$$

The resistivity is the inverse of the conductance  $\rho = 1/\sigma$ , and we can define a characteristic constant that is independent of temperature  $\rho \lambda_{\text{mfp}}$ . Now, the dimensionless parameter  $\alpha_s$  is a function of  $\lambda_{\text{mfp}}$ .

$$\alpha_s = \frac{3}{4} \frac{\mu_0 \omega}{(\rho \lambda_{\text{mfp}})} \lambda_{\text{mfp}}^3 \quad (18.4)$$

and we can calculate the surface resistance from the Anomalous Skin Effect:

$$R(\lambda_{\text{mfp}}) = R(\infty)(1 + 1.157\alpha_s^{-0.2757}), \quad R(\infty) = 3.79 \cdot 10^{-5} \omega^{2/3} (\rho \lambda_{\text{mfp}})^{1/3} \quad (18.5)$$

when  $\alpha_s$  is small this reduces to the classical formula for  $R_s$ . Another empirical tool is the RRR value which is the ratio of the resistivity at 300 K to the value at absolute zero.

## 18.2 SRF Surface Resistance

The surface resistance is given by the sum of the residual resistance  $R_0$ , due to impurities and trapped flux, and  $R_{\text{BCS}}$ , where BCS refers to Bardeen-Cooper-Schrieffer theory of super conductivity.

$$R_s = R_{\text{BCS}} + R_0, \quad R_{\text{BCS}}[\Omega] \approx 2 \cdot 10^{-4} \frac{1}{T} \left( \frac{f[\text{GHz}]}{1.5} \right)^2 \exp \left( -\frac{17.67}{T} \right) \quad (18.6)$$

Recall that  $R_0$  contains both residual resistance due to impurities as well as trapped flux. So, we can separate these terms:

$$R_0 = R_0^* + R_{\text{mag}}, \quad R_{\text{mag}}[\text{n}\Omega] \approx 0.3 H_{\text{ext}}[\text{mOe}] \sqrt{f[\text{GHz}]} \quad (18.7)$$

note that  $1 \text{ Oe} = 1 \text{ G} = 0.1 \text{ mT}$  so  $1 \text{ mOe} = 1 \text{ mG} = 1 \cdot 10^{-7} \text{ T}$ , and recall that  $\vec{B} = \mu_0 \vec{H}$  when there is no magnetization, so this should be accounted for in external fields.

## 18.3 Superconducting Quench

Quenches can occur due to impurities in the cavity, that have a normal resistance, and when the field is turned up, this resistance will generate heat. Heating the surrounding material, causing it to become non-superconducting and this runaway effect will overpower the cooling of the cavity, and ultimately cause it to stop functioning.

We can use a simple model of a spherical defect of radius  $a$ , resistance  $R_n$  (anomalous skin effect resistance), embedded in a sheet of thickness  $d$ , cooled by a bath at temperature  $T_b$ . This defect produces heat  $Q$ , which we can calculate to be:

$$Q = \frac{1}{2} R_n \int_S |H|^2 dS = \frac{1}{2} R_n |H|^2 \pi a^2 \quad (18.8)$$

Now, if we model this by a sphere of heat  $2Q$ , inside a sphere of metal with thermal conductivity  $\kappa$ , inside a bath of temperature  $T_b$ , then:

$$-4\pi^2 \kappa \frac{\partial T}{\partial r} = 2Q \implies \int_a^b \frac{dr}{r^2} = -\frac{2\pi\kappa}{Q} \int_{T_a}^{T_b} dT \quad (18.9)$$

then approximating for  $b \gg a$  this gives:

$$\frac{1}{a} = \frac{2\pi\kappa(T_a - T_b)}{Q} \implies H = \sqrt{\frac{4\kappa(T_a - T_b)}{aR_n}} \quad (18.10)$$

and if the system is undergoing a quench,  $T_a = T_c$ , the critical temperature, and  $H = H_{\max}$ .

## 19 RF Acceleration

The normalized energy gain in cell  $n$  is given by:

$$\frac{\Delta W_n}{A} = \frac{Q}{A} V_{\text{eff}} \cos \varphi \quad (19.1)$$

where the left hand side is given in MeV/u and the effective voltage is in MV. The complication in this formula is that  $V_{\text{eff}} = V_0 T(\beta)$  and  $\beta$  changes as the particles accelerate. We can rewrite this as:

$$\frac{\Delta W_n}{A} = \frac{Q}{A} V_{\text{eff}} \frac{T(\beta)}{T_0} \cos \varphi_n = \frac{Q}{A} E_a L \frac{T(\beta)}{T_0} \cos \varphi_n \quad (19.2)$$

since  $V_{\text{eff}} = E_a L$ . From this we can see how a fluctuation in phase and effective voltage, translates to a fluctuation in final energy:

$$\frac{\delta W}{W} = \frac{\delta V_{\text{eff}}}{V_{\text{eff}}} - \tan(\varphi) \delta \varphi \quad (19.3)$$

So now we can define a detuning factor:

$$\chi = -\tan \psi = 2Q \frac{\delta \omega}{\omega_0} \quad (19.4)$$

## 20 Isotopes

Isotopes are described by  ${}^A_Z X_N^q$ , where  $X$  is the chemical element (specified by number of protons),  $A$  is the mass number,  $Z$  is the proton number,  $q$  is the charge and  $N$  is the neutron number. The mass number is the total number of protons and neutrons  $A = Z + N$ .

### 20.1 Nuclear Mass

The Weizsäcker Formula for Nuclear mass or Semi-Empirical Mass Formula gives an approximate mass for a general nuclei:

$$M(A, Z) = N M_n + Z(M_p + m_e) - a_v A + a_s A^{2/3} + a_c \frac{Z^2}{A^{1/3}} + a_a \frac{(N - Z)^2}{4A} + \frac{\delta}{A^{1/2}} \quad (20.1)$$

where the terms describe:

Volume term	$a_v = 15.67 \text{ MeV}/c^2$
Surface term	$a_s = 17.23 \text{ MeV}/c^2$
Coulomb term	$a_c = 0.714 \text{ MeV}/c^2$
(A)symmetry term	$a_a = 93.15 \text{ MeV}/c^2$

and the pairing term:

$$\delta = \begin{cases} -11.2 \text{ MeV}/c^2 & \text{even } Z, \text{ even } N \text{ (even-even)} \\ 0 \text{ MeV}/c^2 & \text{odd } A \text{ (odd-even)} \\ 11.2 \text{ MeV}/c^2 & \text{odd } Z, \text{ odd } N \text{ (odd-odd)} \end{cases} \quad (20.2)$$

## 20.2 Binding Energy

The binding energy is the energy difference between the mass of the nuclei and its individual components.

$$B(Z, A) = (Z(M_p + m_e) + NM_n - M(A, Z)) c^2 \quad (20.3)$$

where we often use the SEMF for  $M(A, Z)$ .

## 20.3 Nuclear Decay

Nuclear decay is characterized by the rate equation:

$$\frac{dN}{dt} = -\lambda N \quad (20.4)$$

where lifetime and half life are defined by:

$$\tau = \frac{1}{\lambda}, \quad t_{1/2} = \frac{\ln 2}{\lambda} \quad (20.5)$$

## 20.4 Nuclear Reactions

Nuclear reactions described by heavy nuclei  $A, B$  and light incoming and outgoing products  $a, b$  is written:

$$a + A \rightarrow B + b, \quad A(a, b)B \quad (20.6)$$

where  $a + A$  is referred to as the entrance channel and  $B + b$  is the exit channel. We have that in nuclear reactions, the energy released is released as heat into our system and can be calculated by the mass differences of the entrance and exit channel:

$$Q = ((M_a + M_A) - (M_B + M_b)) c^2 \quad (20.7)$$

The rate at which nuclear reactions occur when a beam of particles is incident on a target is

$$R = \varphi(1 - e^{-x/\ell}) = \varphi(1 - e^{-N\sigma x}) \quad (20.8)$$

where  $\ell$  is the mean free path, related to the number density of the target and the cross section of the reaction by  $\ell = \frac{1}{N\sigma}$ .  $\varphi$  is the incident number rate. For a thin target we can approximate this by:

$$R \approx \varphi N \sigma x \quad (20.9)$$

After irradiating a target then letting it cool, the yield is given by:

$$N_1 = \frac{R}{\lambda_1} (1 - e^{-\lambda_1 t_{\text{irr}}}) e^{-\lambda_1 t_{\text{cool}}} \quad (20.10)$$

where  $\lambda_1$  is the decay rate of the nuclear product of interest, and  $R$  is the reaction rate during irradiation. This can be derived from a rate differential equation by considering the simultaneous production and decay rates.

## 21 Targets

Extracting quickly decaying isotopes from a target is quite difficult. Experimentally, we find the relation between the extraction efficiency  $\varepsilon_{t_{1/2}}$ , the ionization efficiency  $\varepsilon_s$ , and various fit parameters:

$$\varepsilon_{t_{1/2}} = \frac{\varepsilon_s}{1 + (t_{1/2}/t_0)^2} \quad (21.1)$$