Applied Deep Learning



Beyond Supervised Learning



May 23rd, 2022 http://adl.miulab.tw



National Taiwan University

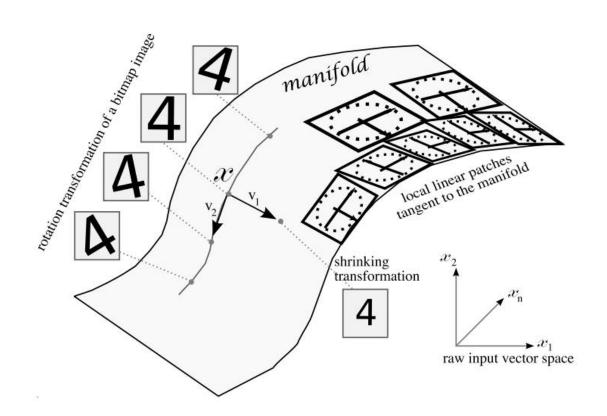
Introduction

- Big data ≠ Big annotated data
- Machine learning techniques include:
 - Supervised learning (if we have labelled data)
 - Reinforcement learning (if we have an environment for reward)
 - Unsupervised learning (if we do not have labelled data)

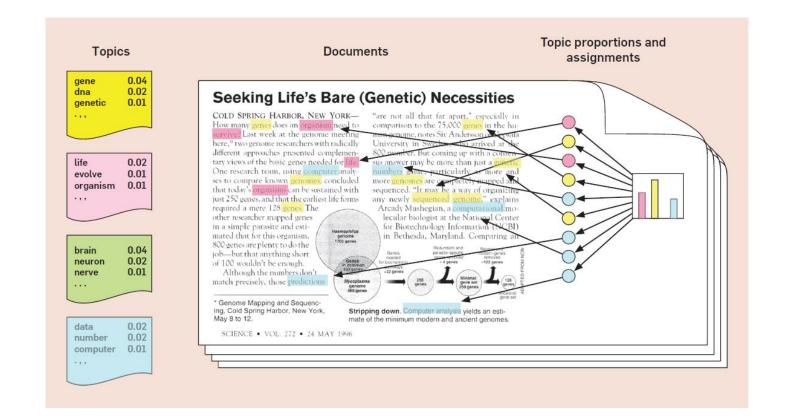
Why does unlabeled and unrelated data help the tasks?

Finding latent factors that control the observations

Latent Factors for Handwritten Digits



Latent Factors for Documents



Latent Factors for Recommendation System



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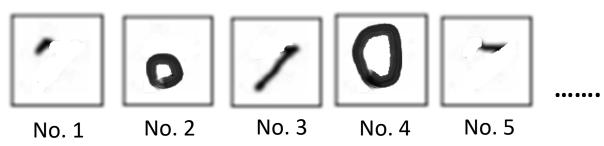
Latent Factor Exploitation

Mandwritten digits



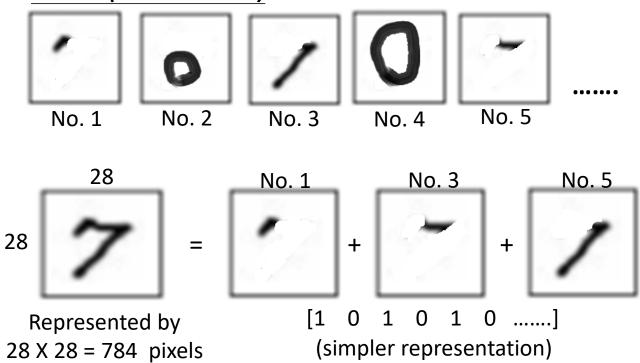
The handwritten images are composed of **strokes**

Strokes (Latent Factors)



Latent Factor Exploitation

Strokes (Latent Factors)



Discriminative v.s. Generative

- **Discriminative**: calculate the probability of output given input P(Y|X)
- **Generative**: calculate the probability of a variable P(X), or multiple variables P(X,Y)

Variable Types

- Observed vs. Latent:
 - Observed: something we can see from our data, e.g. X or Y
 - Latent: a variable that we assume exists without a given value
- Deterministic vs. Random:
 - Deterministic: variables calculated directly via deterministic functions
 - Random (stochastic): variables obeying a probability distribution
- A latent variable model is a probability distribution over two sets of variables

$$p(x, z; \theta)$$

Latent Variable Types $p(x, z; \theta)$

Latent

- Latent continuous vector
 - Auto-encoder
 - Variational auto-encoder
- Latent discrete vector
 - Topic model
- Latent structure
 - HMM
 - Tree-structured model

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Auto-Encoder

Representation Learning

Auto-Encoder

- An observed output x
- A latent variable z
- \bullet A function (network) f parameterized by θ maps from z to x

$$oldsymbol{x} = f(oldsymbol{z}; heta)$$
Observed Latent

Idea: represent the output in a more compact way (latent codes)

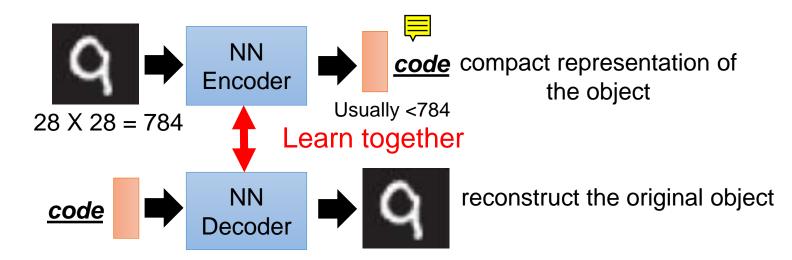
Auto-Encoder



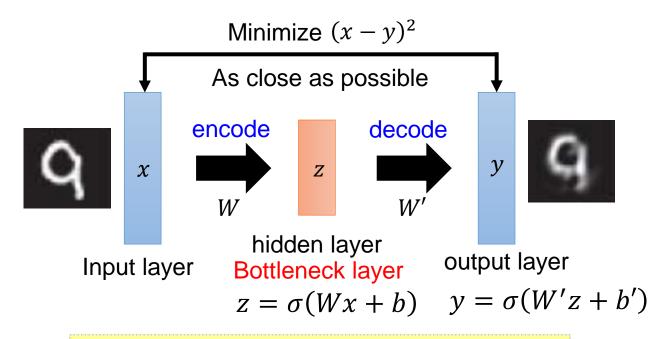


- Represent a digit using 28 X 28 dimensions
- Not all 28 X 28 images are digits

Idea: represent the images of digits in a more compact way



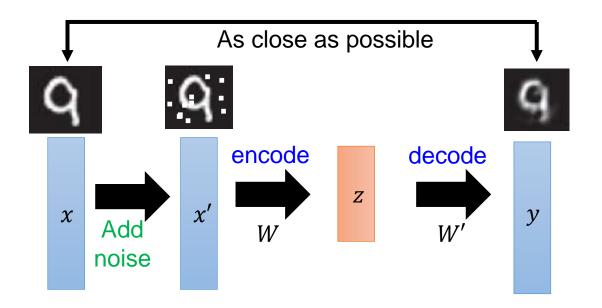
Auto-Encoder



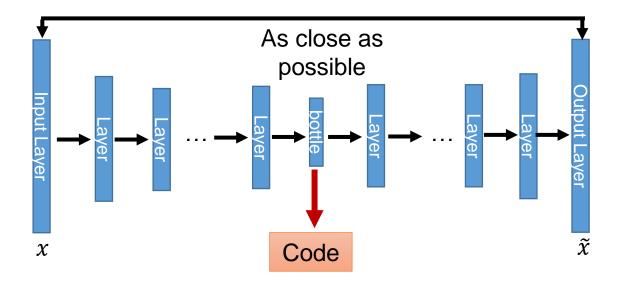
Output of the hidden layer is the code

Denoising Auto-Encoder

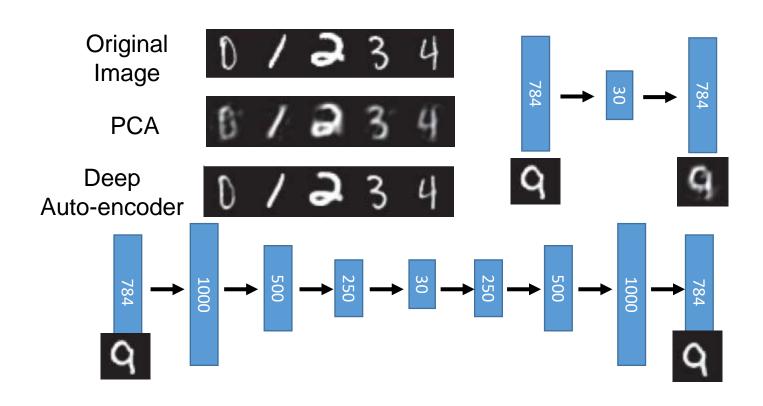
Improve robustness of a latent variable



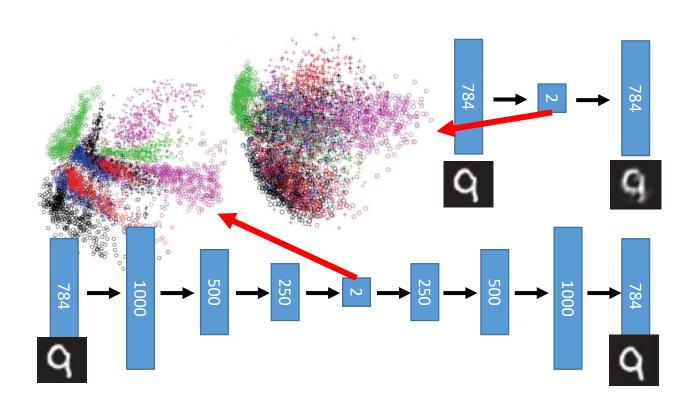
Deep Auto-Encoder



Deep Auto-Encoder



Feature Representation

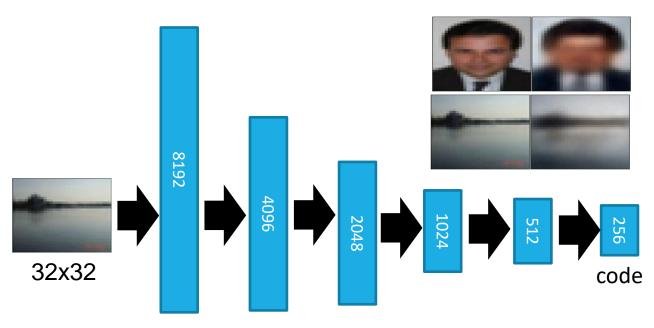


Auto-Encoder – Similar Image Retrieval

Retrieved using Euclidean distance in pixel intensity space



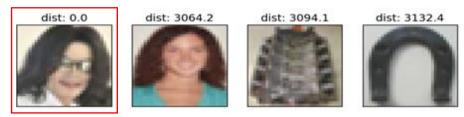
Auto-Encoder – Similar Image Retrieval



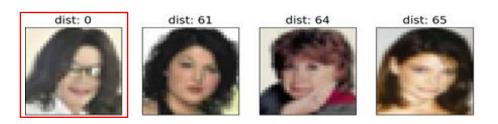
(crawl millions of images from the Internet)

Auto-Encoder – Similar Image Retrieval

Images retrieved using Euclidean distance in pixel intensity space



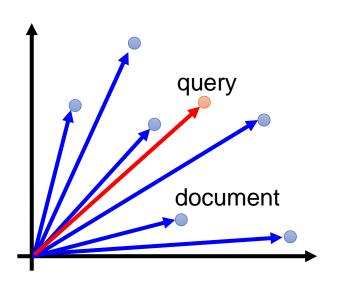
• Images retrieved using 256 codes

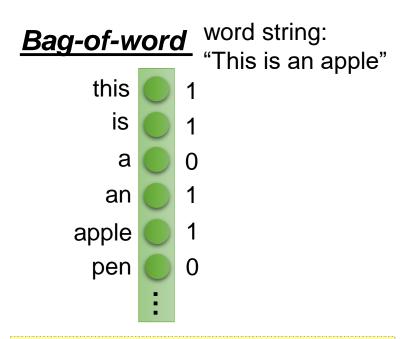


Learning the useful latent factors

Auto-Encoder – Text Retrieval

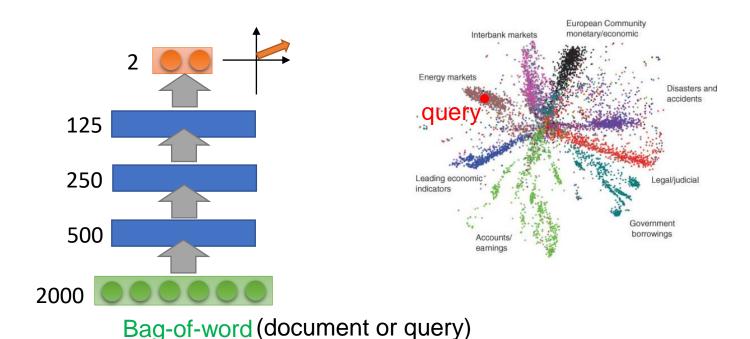
Vector Space Model





Semantics are not considered

Auto-Encoder – Text Retrieval



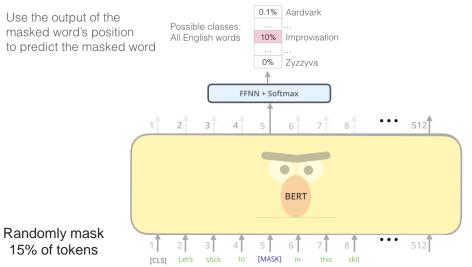
The documents talking about the same thing will have close code

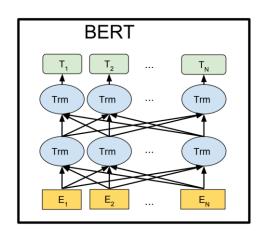
Denoising Auto-Encoding

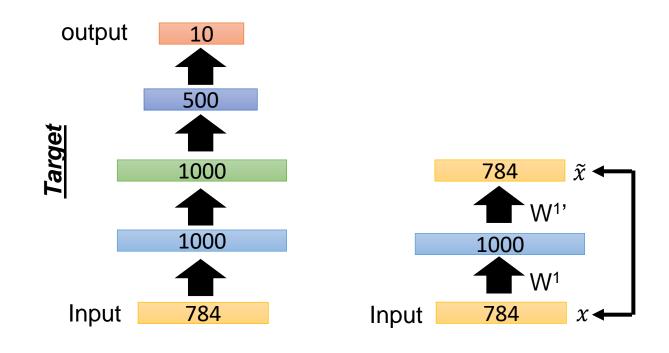
• Objective: reconstructing \bar{x} from \hat{x}

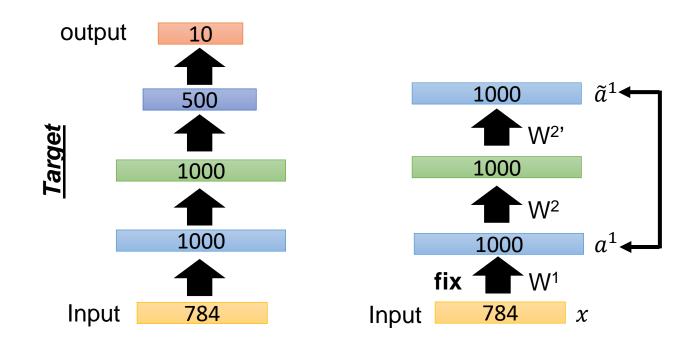
$$\max_{\theta} \quad \log p_{\theta}(\bar{\mathbf{x}} \mid \hat{\mathbf{x}}) \approx \sum_{t=1}^{T} m_{t} \log p_{\theta}(x_{t} \mid \hat{\mathbf{x}}) = \sum_{t=1}^{T} m_{t} \log \frac{\exp\left(H_{\theta}(\hat{\mathbf{x}})_{t}^{\top} e(x_{t})\right)}{\sum_{x'} \exp\left(H_{\theta}(\hat{\mathbf{x}})_{t}^{\top} e(x')\right)}$$

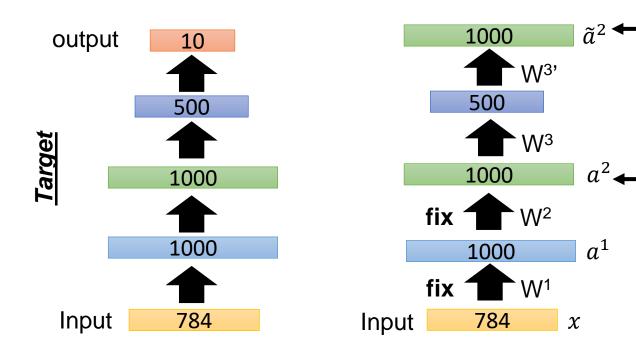
dimension reduction or denoising (masked LM)

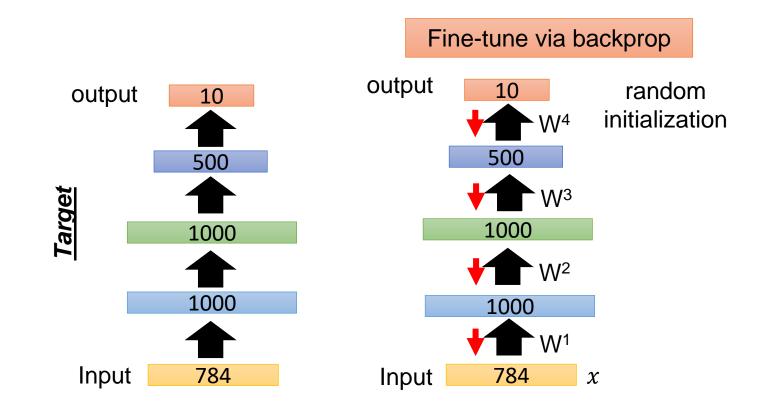






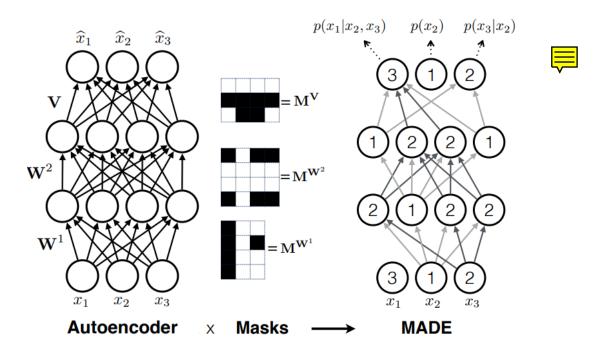






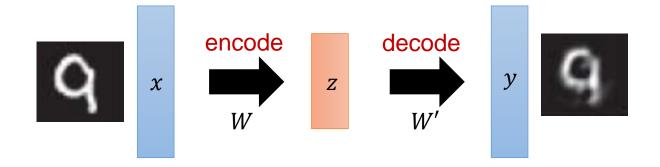
Masked Auto-Encoder (Germain et al., 2015)

- MADE: masked auto-encoder for distribution estimation
 - Reconstruction in a given ordering



Representation Learning and Generation

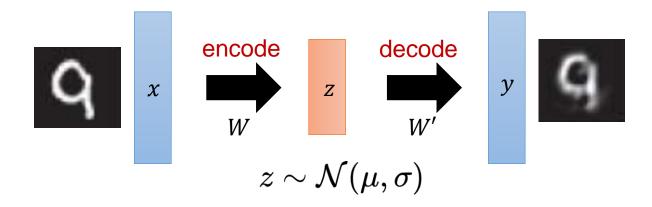
Generation from Latent Codes



How can we set a latent code for generation?

Latent Code Distribution Constraints

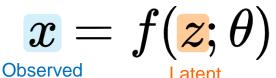
- Constrain the data distribution for learned latent codes
- Generate the latent code via a prior distribution



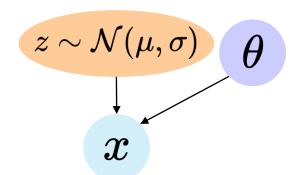
- An observed output x
- A latent variable z generated from a Gaussian
- lacktriangle A function (network) f parameterized by θ maps from z to x

$$oldsymbol{x} = f(oldsymbol{z}; heta)$$
 Latent $oldsymbol{z} \sim \mathcal{N}(\mu, \sigma) \quad oldsymbol{ heta}$

Idea: the compact representations follow a distribution



- For each datapoint i
 - o Draw latent variables $z_i \sim p(z)$
 - o Draw a datapoint $x_i \sim p_{ heta}(x \mid z)$



Joint probability distribution over data and latent variables

$$p(x,z) = p(z)p_{ heta}(x\mid z)$$

Learning objective: maximize the corpus log likelihood

$$\log P(\mathcal{X}) = \sum_{x \in \mathcal{X}} \log P(x; heta)$$

The marginal likelihood of a single datapoint x

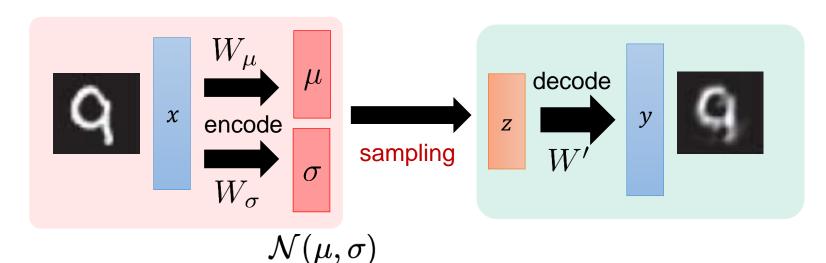
$$P(x; heta) = \int P(x \mid z; heta) P(z) dz$$

Approximation by sampling z

$$P(x; heta)pprox \sum_{z\sim P(z)}P(x\mid z; heta)$$

Two tasks

- Learn to generate data from the latent code: $p_{ heta}(x \mid z)$
- Learn the distribution of latent factors: $p_{\theta}(z \mid x)$



Variational Auto-Encoder

- Two tasks
 - Learn to generate data from the latent code: $p_{\theta}(x \mid z)$
 - Learn the distribution of latent factors: $p_{\theta}(z \mid x)$

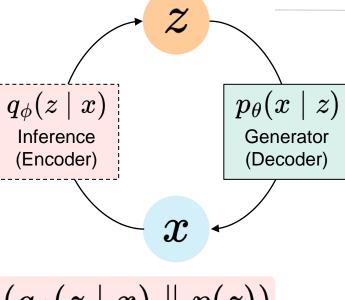
$$p_{ heta}(z\mid x)=rac{p_{ heta}(x\mid z)p(z)}{p(x)}$$
 $=\int_{z}^{z} p(z)p_{ heta}(x\mid z)dz$ intractable $=\int_{z}^{z} p(z)p_{ heta}(x\mid z)dz$ intractable $=\int_{z}^{z} p(z)p_{ heta}(x\mid z)dz$

with a family of distributions $q_{\phi}(z \mid x)$

minimize
$$\mathrm{KL}(q_\phi(z\mid x)\parallel p_ heta(z\mid x))$$

Variational Auto-Encoder

- Two tasks
 - \circ Generator (Decoder): $p_{ heta}(x \mid z)$
 - \circ Inference (Encoder) : $q_\phi(z\mid x)$

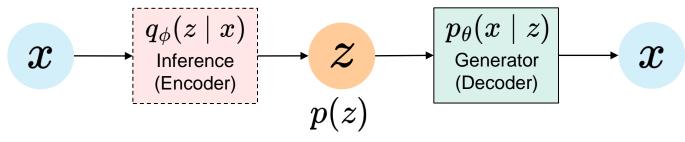


$$\mathbb{E}_{z \sim q_\phi(z|x)}[\log p_ heta(x \mid z)] - D_{\mathrm{KL}}(q_\phi(z \mid x) \parallel p(z))$$
reconstruction loss

Regularized Auto-Encoder

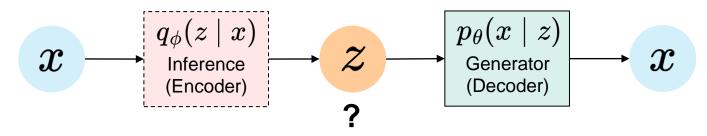
Variational Auto-Encoder





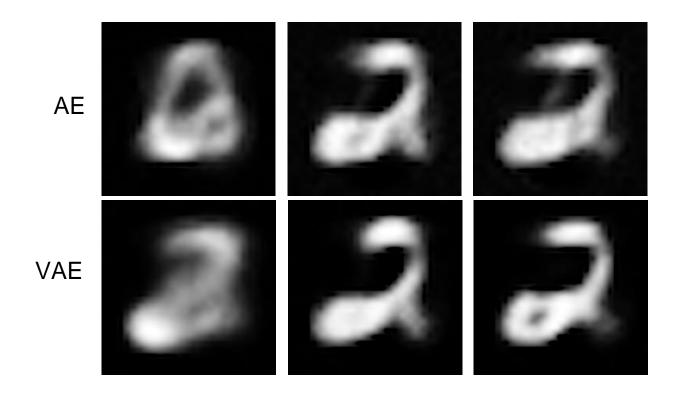
$$\mathbb{E}_{z \sim q_{\phi}(z \mid x)}[\log p_{ heta}(x \mid z)] - D_{\mathrm{KL}}(q_{\phi}(z \mid x) \parallel p(z))$$

AE



AE is not generative model: (1) Can't sample new data from AE (2) Can't compute the log likelihood of data x

Image Reconstruction



Text Reconstruction

AE: standard encoder-decoder

embedding interpolation

i went to the store to buy some groceries.
i store to buy some groceries.
i were to buy any groceries.
horses are to buy any groceries.
horses are to buy any animal.
horses the favorite any animal.
horses the favorite favorite animal.
horses are my favorite animal.



· / (_

embedding interpolation

"i want to talk to you."

"i want to be with you."

"i do n't want to be with you."

i do n't want to be with you.

she did n't want to be with him.

he was silent for a long moment .

he was silent for a moment. it was quiet for a moment. it was dark and cold. there was a pause. it was my turn.

VAE Training Tips

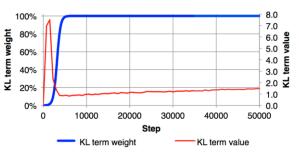
Posterior collapse issue

 KL divergence is easier to learn, so model learning relies solely on decoder and ignore latent variable

$$\mathbb{E}_{z\sim q_\phi(z|x)}[\log p_ heta(x\mid z)] - D_{\mathrm{KL}}(q_\phi(z\mid x)\parallel p(z))$$
 set the mean/variance of q to be the same as p

Solutions

- KL divergence annealing: an increasing constant to weight KL term
- KL thresholding $\sum_{i} \max[\lambda, D_{\mathrm{KL}}(q_{\phi}(z_{i}|x)||p(z_{i}))]$

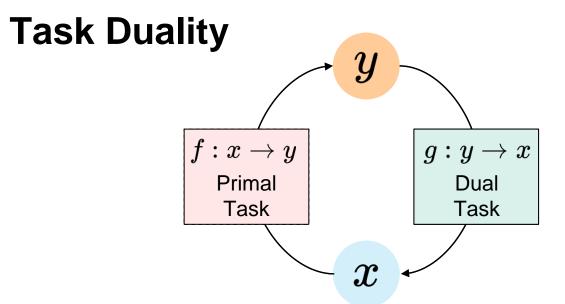


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Dual Learning

Learning Two Tasks via Duality

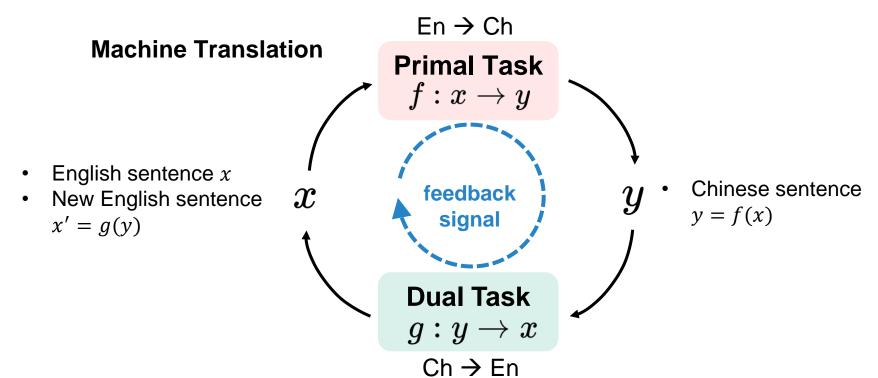
Slides credited from ACML 2018 Tutorial



Al Tasks	f:x o y	g:y o x
Machine translation	EN → CH	CH → EN
Speech processing	ASR	TTS
Image understanding	captioning	Image generation
Language understanding	Language understanding	Language generation
Question answering	QA	Question generation

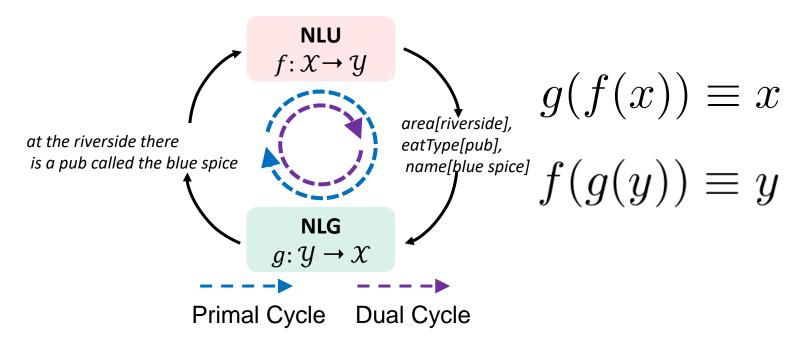
Dual Unsupervised Learning

• Idea: improve tasks by leveraging feedback signal via RL etc.



Joint Dual Learning

• Idea: perfectly reconstructing the input via two models



Joint Dual Learning Objective

Explicit

Reconstruction Likelihood

$$\begin{cases} \log p(x \mid f(x_i; \theta_{x \to y}); \theta_{y \to x}) & \mathbf{Primal} \\ \log p(y \mid g(y_i; \theta_{y \to x}); \theta_{x \to y}) & \mathbf{Dual} \end{cases}$$

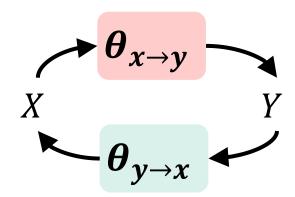
- Automatic Evaluation Score
 - BLEU and ROUGE for language (NLG)
 - F-score for semantic (NLU)

• Implicit

- Model-based methods estimating data distribution
 - Language modeling (LM) for language
 - Masked autoencoder (MADE) for semantics

Dual Supervised Learning (Xia et al., 2017)

- Proposed for machine translation
- igoplus Consider two domains X and Y, and two tasks $X \to Y$ and $Y \to X$



We have $P(x, y) = P(x \mid y)P(y) = P(y \mid x)P(x)$ Ideally $P(x, y) = P(x \mid y; \boldsymbol{\theta}_{\boldsymbol{v} \to \boldsymbol{x}})P(y) = P(y \mid x; \boldsymbol{\theta}_{\boldsymbol{x} \to \boldsymbol{v}})P(x)$

Dual Supervised Learning

Exploit the duality by forcing models to follow the probabilistic constraint $P(x \mid y; \theta_{y \to x})P(y) = P(y \mid x; \theta_{x \to y})P(x)$

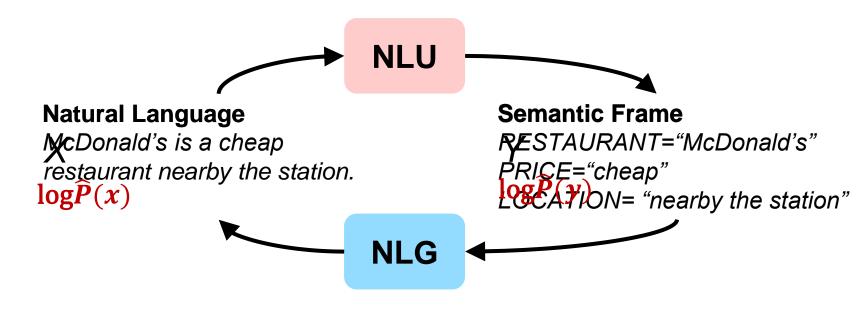
Objective function

$$\begin{cases} \min_{\theta_{x \to y}} \mathbb{E} [l_1(f(x; \theta_{x \to y}), y)] + \lambda_{x \to y} \ l_{duality} \\ \min_{\theta_{y \to x}} \mathbb{E} [l_2(g(y; \theta_{y \to x}), x)] + \lambda_{y \to x} \ l_{duality} \\ l_{duality} = (\log \hat{P}(x) + \log P(y \mid x; \theta_{x \to y}) - \log \hat{P}(y) - \log P(x \mid y; \theta_{y \to x}))^2 \end{cases}$$

How to model the marginal distributions of *X* and *Y*?

Dual Supervised Learning

Considering NLU and NLG

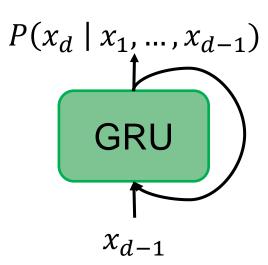


Shang-Yu Su, Chao-Wei Huang, and Yun-Nung Chen, "Dual Supervised Learning for Natual Language Understanding and Generation," in *Proceedings of The 57th Annual Meeting of the Association for Computational Linguistics (ACL)*, 2019.

Natural Language $\log \hat{P}(x)$

Language modeling

$$p(x) = \prod_{d}^{D} p(x_d \mid x_1, ..., x_{d-1})$$





Semantic Frame $\log \hat{P}(y)$

We treat NLU as a multi-label classification problem Each label is a slot-value pair RESTAURANT="McDonald's" PRICE="cheap" LOCATION= "nearby the station"

How to model the marginal distributions of y?

Semantic Frame $\log \hat{P}(y)$

- Naïve approach
 - Calculate prior probability for each label $\hat{P}(y_i)$ on training set.



Assumption: labels are independent

Restaurant: "McDonald's"	Price: "cheap"	Food: "Pizza"
Restaurant: "KFC"	Price: "expensive"	Food: "Hamburger"
Restaurant: "PizzaHut"		Food:"Chinese"

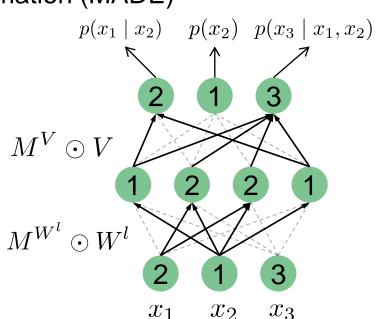
Semantic Frame $\log \hat{P}(y)$

Masked autoencoder for distribution estimation (MADE) Introduce sequential dependency among $p(x_1 \mid x_2)$ labels by masking certain connections

$$M = \begin{cases} 1 & \text{if } m^l(k') \ge m^{l-1}(k) \text{ or } m^L(d) > m^{L-1}(k) \\ 0 & \text{otherwise} \end{cases}$$

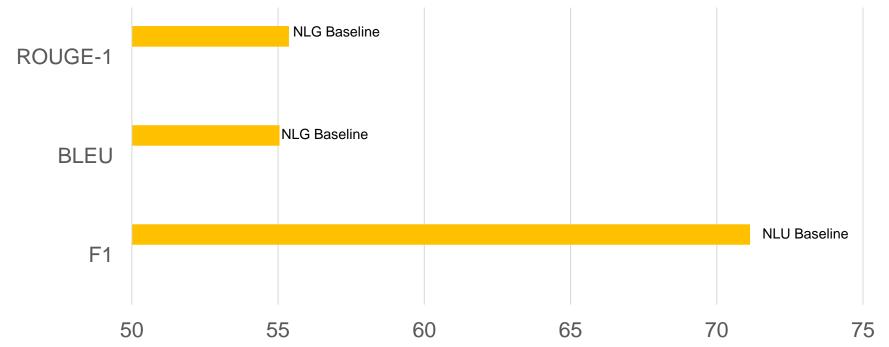
$$p(x) = \prod_{d}^{D} p(x_d \mid S_d)$$

 \rightarrow marginal distribution of y



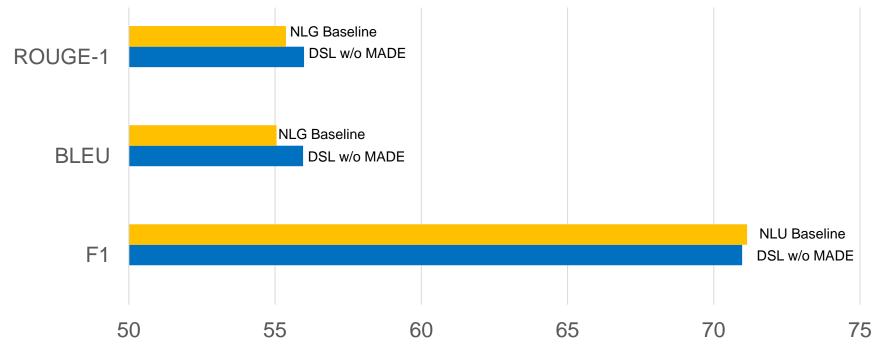
NLU/NLG Results

- E2E NLG data: 50k examples in the restaurant domain
- NLU: F-1 score; NLG: BLEU, ROUGE



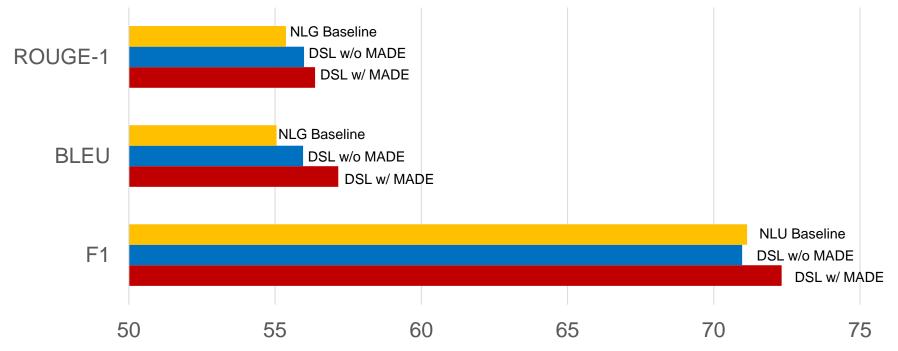
NLU/NLG Results

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NLU/NLG Results

- E2E NLG data: 50k examples in the restaurant domain
- NLU: F-1 score; NLG: BLEU, ROUGE



Comparison

Unsupervised/semi-supervised learning: only one task; no feedback signals for unlabeled data

Co-training: only one task; different feature sets provide complementary information about the instance

Multi-task learning: multiple tasks share the same representation

Transfer learning: use auxiliary tasks to boost the target task

Dual learning: multiple tasks involved; automatically generate reinforcement feedback for unlabeled data,

Dual learning: multiple tasks involved; no assumption on feature sets

Dual learning: don't need to share representations, only when the closed loop

Dual learning: all tasks are mutually and simultaneously boosted

Self-Supervised Learning

Self-Prediction and Contrastive Learning

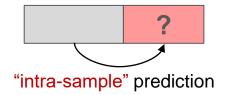
Slides credited from NeurIPS 2021 Tutorial

Self-Supervised Learning

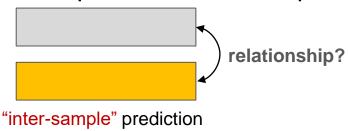
- Self-supervised learning (SSL): a special type of representation learning via unlabeled data
- Idea: constructing supervised tasks out of unsupervised data
 - High cost of data annotation
 - Limited annotated data
 - Good representation makes it easier to transfer to diverse downstream tasks

Self-Supervised Learning

- Self-Prediction
 - Given an individual data sample, the task is to predict one missing part of the sample given the other part

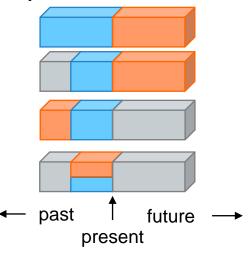


- Contrastive Learning
 - Given multiple data samples, the task is to predict their relationship



Self-Prediction (illustration from Yann LeCun)

- Assume: a part of the input is unknown and predict it
 - Predict the future from the past
 - Predict the future from the recent past
 - Predict the past from the present
 - Predict the top from the bottom
 - Predict the occluded from the visible



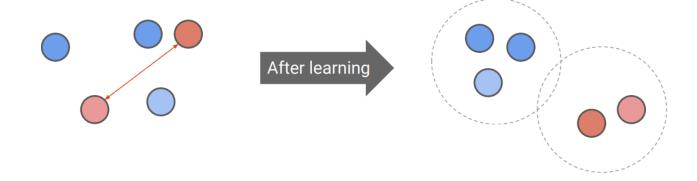
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Contrastive Learning

Adapting Embedding Spaces

Contrastive Learning

- Idea: learn an embedding space where similar sample pairs stay close to each other while dissimilar ones are far apart
 - Inter-sample classification
 - Feature clustering
 - Multi-view coding



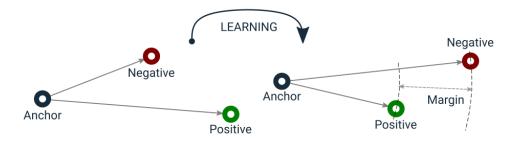
Inter-Sample Classification

- Task: given both similar ("positive") and dissimilar ("negative") candidates, identifying which is similar to the anchor datapoint
- Datapoint candidates
 - The original input and its distorted version
 - Data capturing the same target from different views

Inter-Sample Classification

- Triplet loss (Schroff et al., 2015)
 - o minimize the distance between the anchor x and positive x^+ and maximize the distance between the anchor x and negative x^- at the same time

$$\mathcal{L}_{ ext{triplet}}(x,x^+,x^-) = \sum_x \max(0,ig\|f(x)-f(x^+)ig\|_2^2 - ig\|f(x)-f(x^-)ig\|_2^2 + \epsilon)$$
 as close as possible as far as possible



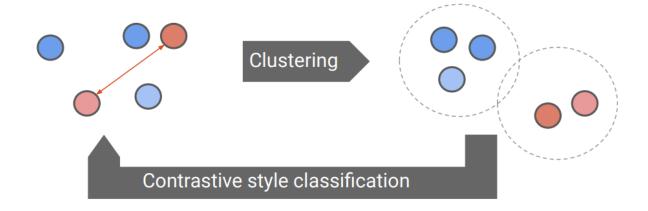
Inter-Sample Classification

- N-pair loss (Sohn, 2016)
 - generalizes to include comparison with multiple negative samples

$$\mathcal{L}_{ ext{N-pair}}(x, x^+, \{x_i^-\}) = \log \Biggl(1 + \sum_i \expig(f(x)^T f(x_i^-) - f(x)^T f(x^+)ig)\Biggr)$$

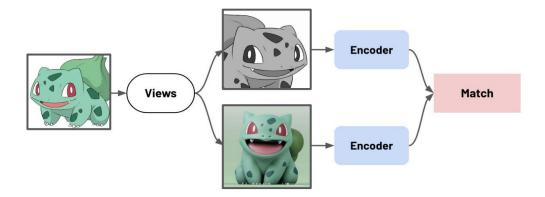
Feature Clustering

Idea: cluster similar datapoints based on learned features
 assign pseudo labels to samples for intra-sample classification



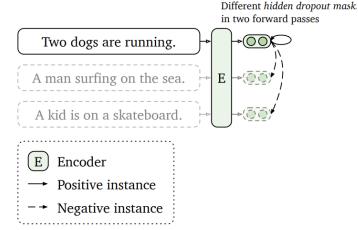
Multiview Coding

- Idea: apply the InfoNCE objective to different views of input
 - Data augmentation is adopted for generating different views
 - "views" can come from different modalities



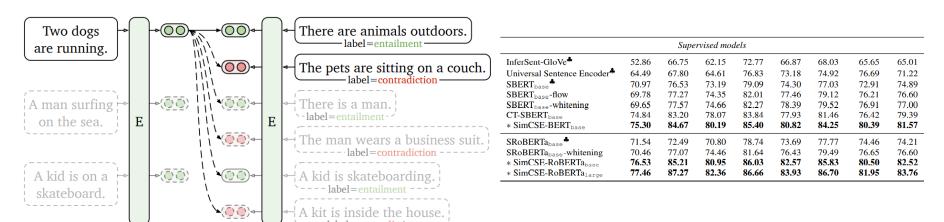
mainstream approaches for contrastive learning

- SimCSE (Gao et al., 2021): simple contrastive learning of sentence embeddings
 - Unsupervised: predict a sentence from itself with only dropout noise
 - (a) Unsupervised SimCSE



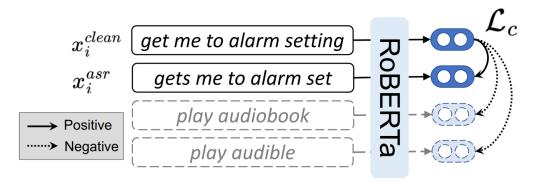
Model	STS12	STS13	STS14	STS15	STS16	STS-B	SICK-R	Avg.
Unsupervised models								
GloVe embeddings (avg.)♣	55.14	70.66	59.73	68.25	63.66	58.02	53.76	61.32
BERT _{base} (first-last avg.)	39.70	59.38	49.67	66.03	66.19	53.87	62.06	56.70
$BERT_{base}$ -flow	58.40	67.10	60.85	75.16	71.22	68.66	64.47	66.55
BERT _{base} -whitening	57.83	66.90	60.90	75.08	71.31	68.24	63.73	66.28
IS-BERT _{base} ♡	56.77	69.24	61.21	75.23	70.16	69.21	64.25	66.58
CT-BERT _{base}	61.63	76.80	68.47	77.50	76.48	74.31	69.19	72.05
* SimCSE-BERT _{base}	68.40	82.41	74.38	80.91	78.56	76.85	72.23	76.25
RoBERTa _{base} (first-last avg.)	40.88	58.74	49.07	65.63	61.48	58.55	61.63	56.57
RoBERTa _{base} -whitening	46.99	63.24	57.23	71.36	68.99	61.36	62.91	61.73
DeCLUTR-RoBERTabase	52.41	75.19	65.52	77.12	78.63	72.41	68.62	69.99
* SimCSE-RoBERTabase	70.16	81.77	73.24	81.36	80.65	80.22	68.56	76.57
* SimCSE-RoBERTa _{large}	72.86	83.99	75.62	84.77	81.80	81.98	71.26	78.90

- SimCSE (Gao et al., 2021): simple contrastive learning of sentence embeddings
 - Supervised: further adapt embeddings based on labels
 - (b) Supervised SimCSE



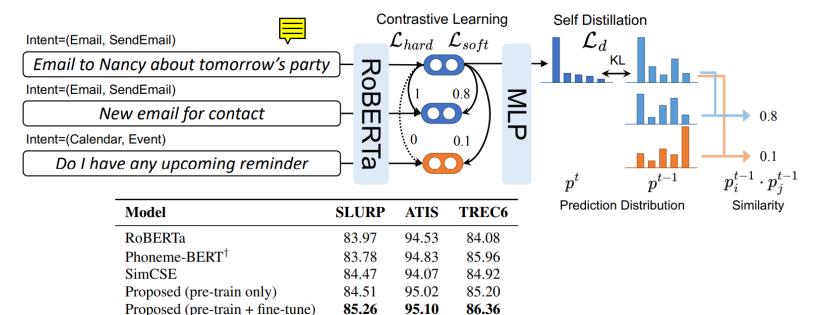
Gao, Tianyu, Xingcheng Yao, and Danqi Chen. "SimCSE: Simple Contrastive Learning of Sentence Embeddings." in *Proceedings of the 2021 Conference on Empirical Methods in Natural Language Processing*. 2021.

- SpokenCSE (Chang & Chen, 2022): improve ASR robustness
 - Unsupervised: learning with the paired clean/noisy sentences

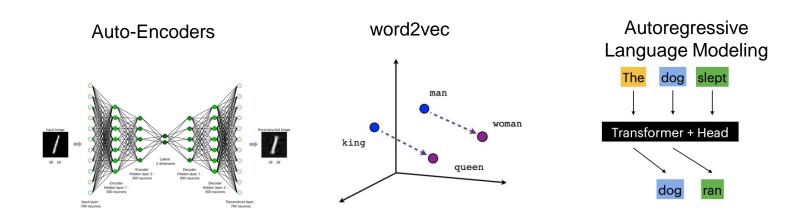


Model	SLURP	ATIS	TREC6
RoBERTa	83.97	94.53	84.08
Phoneme-BERT [†]	83.78	94.83	85.96
SimCSE	84.47	94.07	84.92
Proposed (pre-train only)	84.51	95.02	85.20

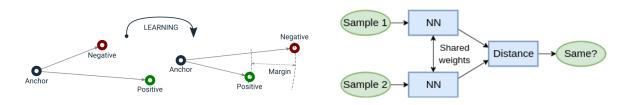
- SpokenCSE (Chang & Chen, 2022): improve ASR robustness
 - Supervised: learning with self-distillation



Diverse Approaches and Applications



Contrastive Learning



Siamese Networks

Concluding Remarks

- Labeling data is expensive, but we have large unlabeled data
- AE / VAE
 - exploits unlabeled data to learn latent factors as representations
 - learned representations can be transfer to other tasks
- Dual Learning
 - utilize the duality of two tasks
 - towards semi-supervised learning / unsupervised learning
- Self-Prediction
 - predict one missing part of the sample given the other part
- Contrastive Learning \(\equiv \)
 - positive pairs have similar embeddings