# **NLP Reading Group**

### NeST: A Neural Network Synthesis Tool Based on a Grow-and-Prune Paradigm

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## Introduction

- Major problems for finding an appropriate architecture :
- BP algorithm assumes a fixed DNN architecture and only trains weights
- trial-and-error methodology inefficient when DNNs get deeper
- lead to large, accurate, but over-parameterized DNNs
- Methodology to address these problems :

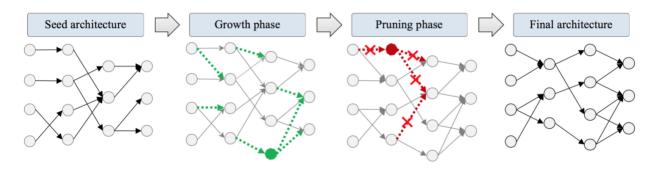


Figure 1: An illustration of the architecture synthesis flow in NeST.

#### 1. Connection Growth:

**Policy 1 :** Add a connection  $w \iff$  it can quickly reduce the value of loss function L.

• evaluate  $\partial L/\partial w \ \forall w$ .

activation  $\iff$  w most efficient reducing L.

- neuroscience perspective: Hebbian theory: "Neurons that fire together wire together."
  - Let  $\frac{\partial L}{\partial u^{l+1}}$  be the stimulation magnitude of the  $m^{th}$  presynaptic neuron in the  $(l+1)^{th}$  layer
  - Let  $x_n^l$  be the  $n^{th}$  postsynaptic neuron in the  $l^{th}$  layer.
  - Based on Hebbian theory, the connections activated would have a strong correlation between presynaptic and postsynaptic cells  $\iff$  large value of :  $|(\frac{\partial L}{\partial u_n^{l+1}})x_n^l|$ .
- Also the magnitude of the gradient of L with respect to w, so:

$$|\partial \mathbf{L}/\partial \mathbf{w}| = |(\frac{\partial L}{\partial u_m^{l+1}}) x_n^l|$$

#### 2. Neuron Growth:

**Policy 2 :** In the  $l^{th}$  layer, add a new neuron as a shared intermediate node between existing neuron pairs that have high postsynaptic (x) and presynaptic ( $\partial L/\partial u$ ) neuron correlations (each pair contains one neuron from the  $(l-1)^{th}$  layer and the other from the  $(l+1)^{th}$  layer). Initialize weights based on batch gradients to reduce the value of L.

## **Algorithm 1** Neuron growth in the $l^{th}$ layer

```
Input: \alpha - birth strength, \beta - growth ratio Denote: M - number of neurons in the (l+1)^{th} layer, N - number of neurons in the (l-1)^{th} layer, \mathbf{G} \in R^{M \times N} - bridging gradient matrix, avg - extracts mean value of non-zero elements Add a neuron in the l^{th} layer, initialize \mathbf{w}^{out} = \vec{\mathbf{0}} \in R^M, \mathbf{w}^{in} = \vec{\mathbf{0}} \in R^N for 1 \leq m \leq M, 1 \leq n \leq N do G_{m,n} = \frac{\partial L}{\partial u_m^{l+1}} \times x_n^{l-1} end for thres = (\beta M N)^{th} largest element in abs(\mathbf{G}) for 1 \leq m \leq M, 1 \leq n \leq N do if |G_{m,n}| > thres then \delta w = \sqrt{|G_{m,n}|} \times rand\{1, -1\} w_m^{out} \leftarrow w_m^{out} + \delta w, w_n^{in} \leftarrow w_n^{in} + \delta w \times sgn(G_{m,n}) end if \mathbf{w}^{out} \leftarrow \mathbf{w}^{out} \times \alpha \frac{avg(abs(\mathbf{W}^{l+1}))}{avg(abs(\mathbf{w}^{out}))}, \mathbf{w}^{in} \leftarrow \mathbf{w}^{in} \times \alpha \frac{avg(abs(\mathbf{W}^{l}))}{avg(abs(\mathbf{w}^{in}))} end for Concatenate network weights \mathbf{W} with \mathbf{w}^{in}, \mathbf{w}^{out}
```

#### 2.bis Neuron Growth: Study of the Weight Initialization

- Bridging connection  $w_b$  between  $x_n^{l-1}$  and  $u_m^{l+1}$ .
- Initialized with a square root rule to imitate a BP update on  $w_b$ :
  - $\circ$  Leads to a change in  $u_m^{l+1}$ :

$$|\Delta u_m^{l+1}|_{b.p.} = |x_n^{l-1} \times \delta w_b| = \eta |x_n^{l-1} \times G_{m,n}|$$
, where  $\eta$  is the learning rate.

• Proof: In Algorithm 1, connection of the newly added neuron with  $x_n^{l-1}$  and  $u_m^{l+1}$ :

$$|\delta w_n^{in}| = |\delta w_m^{out}| = \sqrt{|G_{m,n}|}$$

- $\circ$   $|\Delta u_m^{l+1}| = |f(x_n^{l-1} \times \delta w_n^{in}) \times \delta w_m^{out}|$ , where f is the activation function.
- If f = tanh (or ReLU, Leaky ReLU...):  $f(x) = tanh(x) \approx x$ , if  $x \ll 1$

$$|\Delta u_m^{l+1}| \approx |x_n^{l-1} \times \delta w_n^{in} \times \delta w_m^{out}| = \frac{1}{\eta} \times |\Delta u_m^{l+1}|_{b.p.}$$

• After the square root rule based weight initialization : scaling up of the newly added weights :

$$\mathbf{w}^{out} \leftarrow \alpha \mathbf{w}^{out} \times \frac{avg(abs(\mathbf{W}^{l+1}))}{avg(abs(\mathbf{w}^{out}))}, \ \mathbf{w}^{in} \leftarrow \alpha \mathbf{w}^{in} \times \frac{avg(abs(\mathbf{W}^{l}))}{avg(abs(\mathbf{w}^{in}))}$$

### 3. Growth in Convolutionnal Layers

- Same methodology as Policy 1
- **Policy 3 :** To add a new feature map to the convolutional layers, randomly generate sets of kernels, and pick the set of kernels that reduces L the most.

## Magnitude Pruning:

• **Policy 4 :** Remove a connection (neuron)  $\iff$  the magnitude of the weight (neuron output) is smaller than a pre-defined threshold.

Pruning insignificant weights : Consider the  $l^{th}$  batch normalization layer:

$$\mathbf{u}^l = [(\mathbf{W}^l \mathbf{x}^{l-1} + \mathbf{b}^l) - \mathbf{E}] \oslash \mathbf{V} = \mathbf{W}^l_* \mathbf{x} + \mathbf{b}^l_*$$

where  ${\bf E}$  and  ${\bf V}$  are batch normalization terms, and  $\oslash$  depicts the Hadamard (element-wise) division operator

Effective Weights and biases are defined as:

$$\mathbf{W}_{*}^{l} = \mathbf{W}^{l} \oslash \mathbf{V}, \mathbf{b}_{*}^{l} = (\mathbf{b}^{l} - \mathbf{E}) \oslash \mathbf{V}$$

# Magnitude Pruning: Partial Area Convolution:

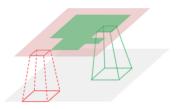


Figure: Pruned connections (dashed red lines) and remaining connections (solid green lines) in partial-area convolution.

#### Algorithm 2 Partial-area convolution

```
Input: I - M input images, K - kernel matrix, Msk - feature map mask, \gamma - pruning ratio Output: Msk, F - N feature maps Denote: \mathbf{C} \in R^{M \times N \times P \times Q} - Depthwise feature map, \otimes - Hadamard (element-wise) multiplication for 1 \leq m \leq M, 1 \leq n \leq N do \mathbf{C}_{m,n} = convolve(\mathbf{I}_m, \mathbf{K}_{m,n}) end for thres = (\gamma MNPQ)^{th} \text{ largest element in } abs(\mathbf{C}) for 1 \leq m \leq M, 1 \leq n \leq N, 1 \leq p \leq P, 1 \leq q \leq Q do if |C_{m,n,p,q}| < thres then <math display="block">Msk_{m,n,p,q} = 0 end if end for \mathbf{C} \leftarrow \mathbf{C} \otimes \mathbf{Msk}, \ \mathbf{F} \leftarrow \Sigma_{m=1}^M \mathbf{C}_m
```

# **Experimental Results**

- **Wide seed range :** high-performance DNNs with a wide range of seed architectures.
- **Drastic redundancy removal :** NeST-generated DNNs are very compact.

Table 2: Different inference models for MNIST

Model	Method	Error	#Param	FLOPs
RBF network [7]	- 3.60%		794K	1588K
Polynomial classifier [7]	-	3.30%	40K	78K
K-nearest neighbors [7]	-	3.09%	47M	94M
SVMs (reduced set) [35]	-	1.10%	650K	1300K
Caffe model (LeNet-300-100) [36]	-	1.60%	266K	532K
LWS (LeNet-300-100) [22]	Prune	1.96%	4K	8K
Net pruning (LeNet-300-100) [5]	Prune	1.59%	22K	43K
Our LeNet-300-100: compact	Grow+Prune	1.58%	3.8K	6.7K
Our LeNet-300-100: accurate	<b>Grow+Prune</b>	1.29%	7.8K	14.9K
Caffe model (LeNet-5) [36]	-	0.80%	431K	4586K
LWS (LeNet-5) [22]	Prune	1.66%	4K	199K
Net pruning (LeNet-5) [5]	Prune	0.77%	35K	734K
Our LeNet-5	Grow+Prune	0.77%	5.8K	105K

Table 3: Different AlexNet and VGG-16 based inference models for ImageNet

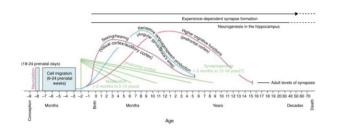
Model	Method	$\Delta$ Top-1 err.	$\Delta$ Top-5 err.	#Param (M)	FLOPs (B)
Baseline AlexNet [37]	-	0.0%	0.0%	61 (1.0×)	1.5 (1.0×)
Data-free pruning [38]	Prune	+1.62%	-	$39.6 (1.5 \times)$	$1.0(1.5\times)$
Fastfood-16-AD [39]	-	+0.12%	-	$16.4(3.7\times)$	$1.4(1.1\times)$
Memory-bounded [40]	-	+1.62%	_	$15.2 (4.0 \times)$	-
SVD [41]	-	+1.24%	+0.83%	$11.9 (5.1 \times)$	-
LWS (AlexNet) [22]	Prune	+0.33%	+0.28%	$6.7 (9.1 \times)$	$0.5(3.0\times)$
Net pruning (AlexNet) [5]	Prune	-0.01%	-0.06%	$6.7 (9.1 \times)$	$0.5(3.0\times)$
Our AlexNet	Grow+Prune	-0.02%	-0.06%	3.9 (15.7×)	0.33 (4.6×)
Baseline VGG-16 [42]	-	0.0%	0.0%	138 (1.0×)	30.9 (1.0×)
LWS (VGG-16) [22]	Prune	+3.61%	+1.35%	$10.3 (13.3 \times)$	$6.5 (4.8 \times)$
Net pruning (VGG-16) [5]	Prune	+2.93%	+1.26%	$10.3\ (13.3\times)$	$6.5 (4.8 \times)$
Our VGG-16: accurate	Grow+Prune	-0.35%	-0.31%	9.9 (13.9×)	6.3 (4.9×)*
Our VGG-16: compact	Grow+Prune	+2.31%	+0.98%	4.6 (30.2×)	3.6 (8.6×)*

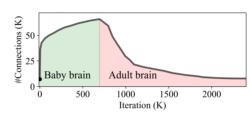
<sup>\*</sup> Currently without partial-area convolution due to GPU memory limits.

# **Summary and Discussions**

NeST methodology incorporates three Inspirations from the human brain:

Variation of the number of synaptic connections :





- Rewiring of synapses between Neurons
- Small fraction of neurons active at a given time.

# Some unusual ways to talk about/find papers

Recreative ways: podcasts: NLP Highlights on SoundCloud, Lex Fridman's podcast... 0 r/LanguageTechnology 0 Machine Learning Subreddit: r/MachineLearning: Discussions and Research reviews: WAYR: Weekly "What Are You Reading" Post: Most Upvoted papers Topics about papers 0 [R] Is BERT Really Robust? A Strong Baseline for Natural Language Attack on Text Classification and Entailment Research [R] Turing-NLG: A 17-billion-parameter language model by Microsoft Research One of the team members of Project Turing here (who built this model). Happy to answer any Reply Give Award Share Report Save

# Thank you for your attention Any questions?