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# 通訊系統 (II)

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## Chapter 9 Error-Control Coding

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# Introduction

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## Introduction

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- Considering different types of communication channels, such as
  - **AWGN channels**: AWGN is the main source of channel impairment, such as the satellite communication channels
  - **Multipath channels**: multipath interference is the main source of channel impairment, such as the wireless channels
  - **Interference channels**: interference is the main source of channel impairment, such as the random access channels
- These scenarios are naturally quite different from each other
  - But they share a common practical shortcoming: **reliability**
- The use of **error-control coding** is essential for supporting **reliable transmissions**.

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## Introduction (Cont.)

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- From a communication theoretic perspective, the two key resources for reliable transmissions are
  - **Transmitted signal power  $P$**
  - **Channel bandwidth  $B$**
- With the **power spectral density** of the receiver noise, the **signal energy per bit-to-noise power spectral density ratio** is  $E_b/N_0 = E_s/(N_0 \log_2 M) = PT_s/(N_0 \log_2 M) = P/(N_0 B \log_2 M)$ 
  - $E_s$ : symbol energy;  $T_s$ : symbol duration;  $M$ -ary modulation
- $E_b/N_0$  uniquely determines the BER of a particular modulation scheme operating over a **Gaussian noise channel**.
- For a fixed  $E_b/N_0$ , the only practical option available for **improving data quality** is to use **error-control coding**

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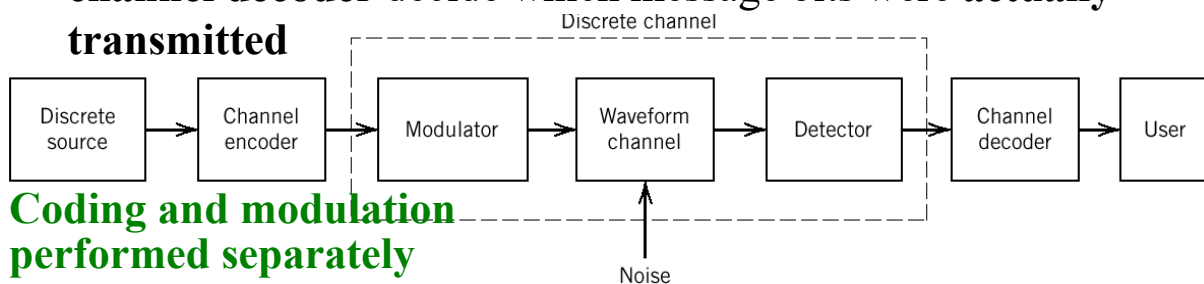
## Introduction (Cont.)

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- **Error-control coding**: At the transmitter, incorporate a fixed number of **redundant bits** into the structure of a **codeword**
- It is feasible to provide **reliable communication** over a noisy channel
  - Provided that **Shannon's code theorem** is satisfied
- In effect, **channel bandwidth** is traded off for **reliability** in communications.
- Another practical motivation for the use of coding is to **reduce the required  $E_b/N_0$**  for a fixed BER. This reduction in  $E_b/N_0$  may, in turn, be exploited to
  - **Reduce the required transmitted power**
  - **Reduce the hardware costs** by requiring a **smaller antenna size** (antenna gain) in the case of **radio communications**

# Forward Error Correction

- Error control for data integrity may be achieved by means of **forward error correction (FEC)**.
- The **discrete source** generates information (binary symbols)
- The **channel encoder** accepts message bits and adds **redundancy** according to a prescribed rule
  - Produce an encoded data stream at a **higher bit rate**
- Based on a **noisy version** of the encoded data stream, the **channel decoder** decide which message bits were **actually transmitted**

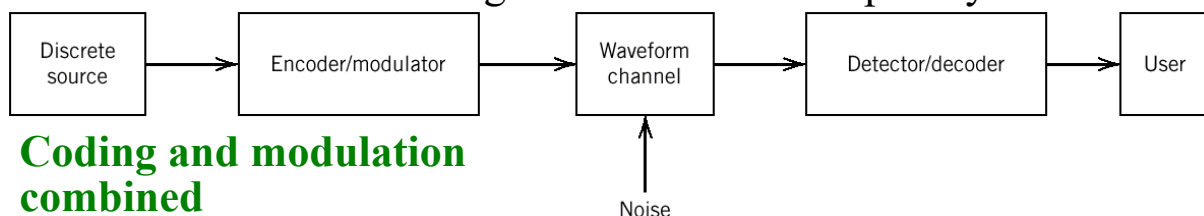


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## Forward Error Correction (Cont.)

- The combined goal of the channel encoder and decoder is to **minimize the effect of channel noise/interference**.
  - The number of errors between the channel encoder input and the channel decoder output (source  $\Leftrightarrow$  sink) is **minimized**.
- For a fixed **modulation scheme**, the **addition of redundancy** implies the need for
  - **Increasing in transmission bandwidth**
  - **Increasing in system complexity**
  - **Tradeoff** considering bandwidth and complexity is essential



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## Types of Error-Correcting Codes

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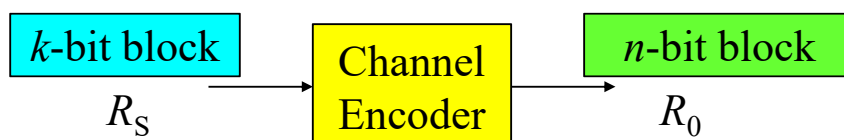
- Historically, error-correcting codes have been classified into **block codes** and **convolutional codes**.
  - The distinguishing feature for this particular classification is the **absence** or **presence** of **memory** in the encoders.
- **Block codes**, **convolutional codes**, and **trellis codes** represent the **classical family of codes**
  - They follow traditional approaches rooted in **algebraic mathematics**
  - **Block codes** and **convolutional codes**: Coding and modulation are designed separately
  - **Trellis codes**: Coding and modulation are designed jointly
- In addition, **turbo codes** and **low-density parity-check (LDPC) codes** are two types of **new generation** coding techniques

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## Block Codes

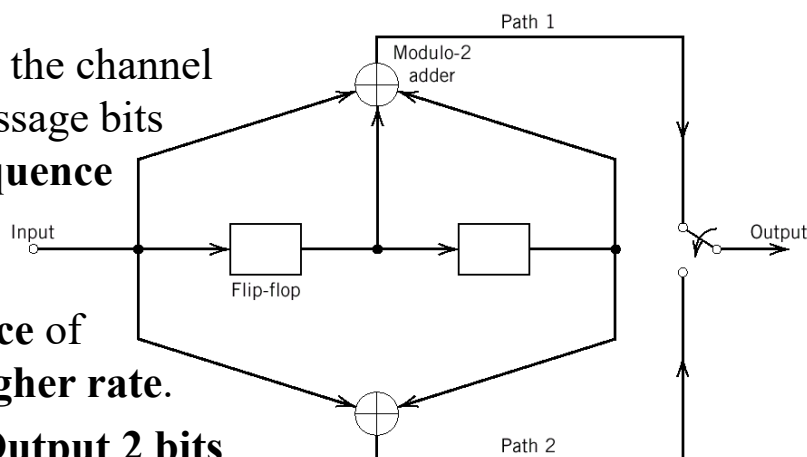
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- To generate an  $(n, k)$  block code
  - The channel encoder accepts  **$k$ -bit blocks** successively
  - For each block, the encoder adds  **$n - k$  redundant bits**
    - That are **algebraically related** to the  $k$  message bits,
    - Thereby producing an encoded block of  **$n$  bits**,  $n > k$
- **Codeword**: The  $n$ -bit block, where  $n$  is the **block length**
- The **channel data rate** (at the encoder output) is  $R_0 = (n/k)R_S$ 
  - where  $R_S$  is the **bit rate** of the **information source**.
- The ratio  $r = k/n$  is called the **code rate**, where  $0 < r < 1$ .



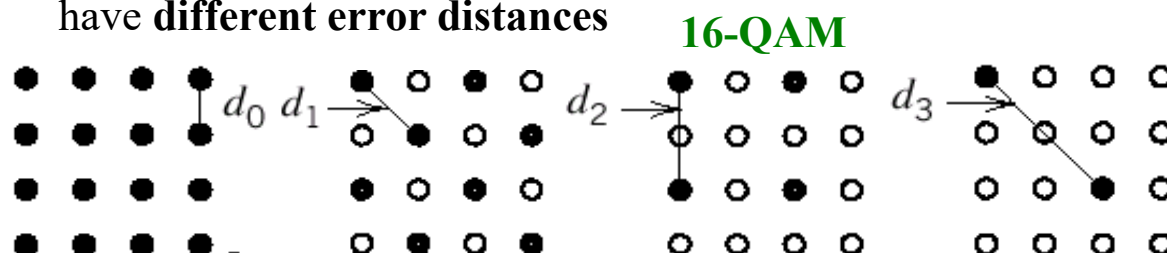
# Convolutional Codes

- In a convolutional code, the encoding operation may be viewed as the **discrete-time convolution** of the **input sequence** with the **impulse response of the encoder**.
- The duration of the impulse response equals the **memory** of the encoder.
- Unlike block codes, the channel encoder accepts message bits as a **continuous sequence** and thereby generates a **continuous sequence** of encoded bits at a **higher rate**.
  - **Input 1 bit  $\Rightarrow$  Output 2 bits**



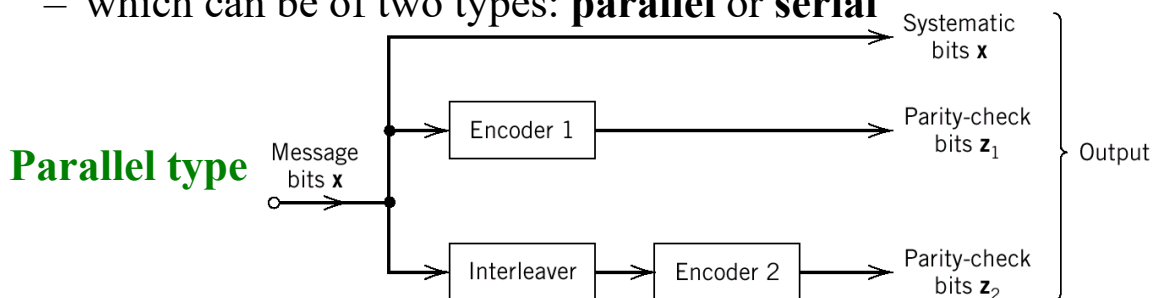
# Trellis Codes

- Conventionally, the operations of **channel coding** and **modulation** are **design/performed** separately at the transmitter
- The **most effective** method of implementing forward error correction coding is to **combine** coding with modulation
- Coding is redefined as a process of **imposing certain patterns** (constellation points) on the **transmitted signal**
  - The resulting code is called a **trellis code**
- Based on the concept that different pairs of constellation points have **different error distances**



# Turbo Codes

- **Turbo codes** are a class of high-performance forward error correction (FEC) codes
  - The first practical codes to **closely approach** the maximum channel capacity or Shannon limit
  - Turbo codes are used in 3G/4G mobile communications
- The design objective of turbo codes is achieved by using **concatenated codes**
  - which can be of two types: **parallel** or **serial**

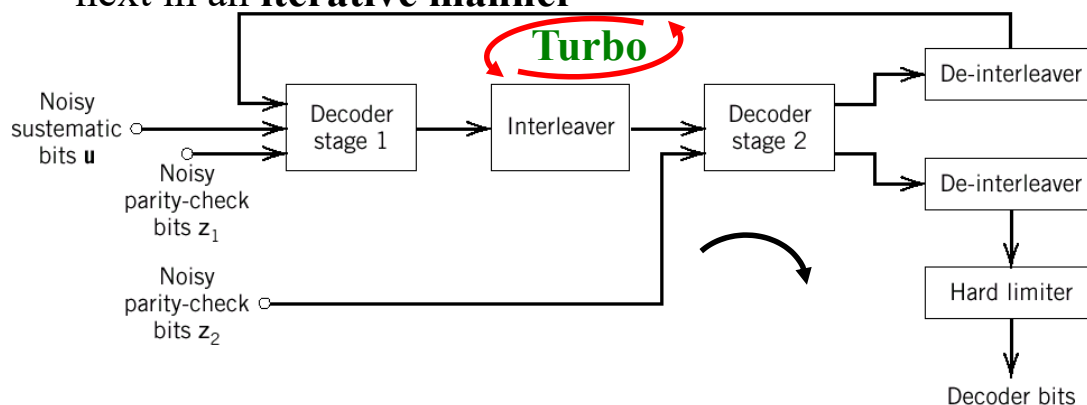


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## Turbo Codes (Cont.)

- The two-stage **turbo decoder** operates on noisy versions of the systematic bits and the two sets of parity-check bits
  - To produce an estimate of the original message bits
- A distinctive feature of the turbo decoder is the use of **feedback**
  - To produce extrinsic information from one decoder to the next in an **iterative manner**



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# Low-Density Parity-Check (LDPC) Codes

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- Low-Density Parity-Check (LDPC) codes are specified by a **parity-check matrix  $\mathbf{A}$** , represented as  $\mathbf{A}^T = \begin{bmatrix} \mathbf{A}_1 \\ \mathbf{A}_2 \end{bmatrix}$ 
  - where  $\mathbf{A}_1$  is a square matrix of dimensions  $(n-k) \times (n-k)$  and  $\mathbf{A}_2$  is a rectangular matrix of dimensions  $k \times (n-k)$ ;
  - $\mathbf{A}$  is purposely (**randomly** with rules) chosen to be **sparse**; that is,  $\mathbf{A}$  consists mainly of **0s** and a small number of **1s**
- The 1-by- $n$  code vector  $\mathbf{c}$  is partitioned as  $\mathbf{c} = [\mathbf{b} \mid \mathbf{m}]$ 
  - where  $\mathbf{m}$  is the  $k$ -by-1 **message vector** and  $\mathbf{b}$  is the  $(n-k)$ -by-1 **parity-check vector**
- Then, based on the parity-check concept,  $\mathbf{c} \mathbf{A}^T = [\mathbf{b} \mid \mathbf{m}] \mathbf{A}^T = \mathbf{0}$
- The parity vector  $\mathbf{b}$  is obtained by  $\mathbf{b} = \mathbf{m} \mathbf{P}$ , where  $\mathbf{P} = \mathbf{A}_2 \mathbf{A}_1^{-1}$

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## Linear Block Codes



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## Channel-Coding Theorem (Revisited)

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- Consider a discrete memoryless **source** that has the source alphabet  $\mathcal{S}$  and entropy  $H(S)$  bits per source symbol.
- Assume that the source **emits symbols** once every  $T_s$  seconds
  - The **average information rate**:  $H(S)/T_s$  bits per second
  - The decoder delivers decoded symbols to the destination at **the same source rate** of one symbol every  $T_s$  seconds
- The discrete memoryless **channel** has a **channel capacity** equal to  $C$  bits per use of the channel.
- Assume that the channel can be used once every  $T_c$  seconds
  - The **channel capacity per unit time**:  $C/T_c$  bits per second
  - The **maximum rate** of information transfer over the channel to the destination:  $C/T_c$  bits per second

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## Channel-Coding Theorem (Cont.)

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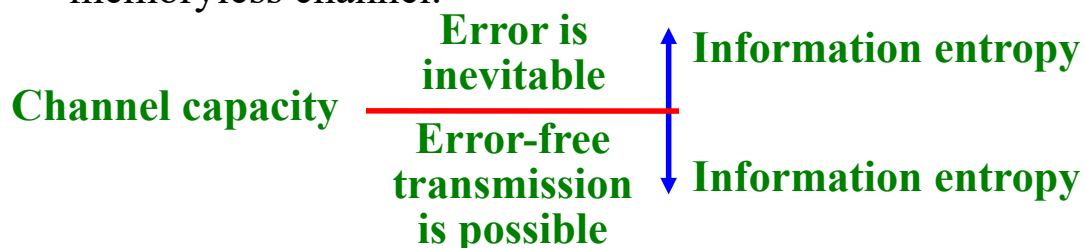
- Shannon's second theorem: the **channel-coding theorem**
- Let a **discrete memoryless source** with an alphabet  $\mathcal{S}$  have entropy  $H(S)$  for random variable  $S$  and produce symbols once every  $T_s$  seconds.
- Let a **discrete memoryless channel** have capacity  $C$  and be used once every  $T_c$  seconds.
- Then, if 
$$H(S)/T_s \leq C/T_c$$
there exists a **coding scheme** for which the source output can be **transmitted** over the channel and be **reconstructed** with an arbitrarily small probability of error.
- The parameter  $C/T_c$  is called the **critical rate**.
  - When  $H(S)/T_s = C/T_c$ , the system is said to be signaling at the critical rate.

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## Channel-Coding Theorem (Cont.)

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- Conversely, if  $H(S)/T_s > C/T_c$  it is **not possible** to transmit information over the channel and reconstruct it **with an arbitrarily small probability of error**.
- The channel-coding theorem is the single **most important** result of information theory.
  - The theorem specifies the channel capacity  $C$  as a **fundamental limit** on the rate at which the transmission of reliable **error-free** messages can take place over a discrete memoryless channel.



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## Binary Arithmetic

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- Many of the codes are **binary codes**, for which the alphabet consists only of binary symbols **0** and **1**.
- The encoding and decoding functions involve the binary arithmetic operations of **modulo-2 addition** and **multiplication**.
  - **Modulo-2 addition**: EXCLUSIVE-OR operation
    - $0 + 0 = 0$ ;  $1 + 0 = 1$ ;  $0 + 1 = 1$ ;  $1 + 1 = 0$ ;
  - **Modulo-2 multiplication**: AND operation
    - $0 \times 0 = 0$ ;  $1 \times 0 = 0$ ;  $0 \times 1 = 0$ ;  $1 \times 1 = 1$ ;

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# Linear Block Codes

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- Definition of a **linear code**:
  - A code is said to be **linear** if **any two codewords** in the code can be **added in modulo-2 arithmetic** to produce a **third codeword** in the code.
- Consider an  $(n, k)$  linear block code, in which  $k$  bits of the  $n$  code bits are **always identical to the message sequence**.
  - This type of codes are called **systematic codes**.
  - For applications requiring **both error detection and error correction**, it simplifies implementation of the **decoder**.
- The  $(n - k)$  bits in the remaining portion are computed from the message bits in accordance with a prescribed encoding rule.
  - These  $(n - k)$  bits are referred to as **parity-check bits**.

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## Linear Block Codes (Cont.)

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- Let  $m_0, m_1, \dots, m_{k-1}$  constitute a block of  $k$  message bits
  - There are  $2^k$  distinct message blocks
- Let this sequence of message bits be applied to a **linear block encoder**, producing an  $n$ -bit **codeword**:  $c_0, c_1, \dots, c_{n-1}$ 
  - The  $(n - k)$  **parity-check bits**:  $b_0, b_1, \dots, b_{n-k-1}$
  - For a **systematic code**, a codeword is divided into two parts: the message bits and the parity-check bits
- Assume that the  $(n - k)$  **leftmost** bits of a codeword are the corresponding **parity-check bits** and the  $k$  **rightmost** bits of the codeword are the message bits.

$$c_i = \begin{cases} b_i, & i = 0, \dots, n-k-1 \\ m_{i+k-n}, & i = n-k, \dots, n-1 \end{cases}$$

|                              |                            |
|------------------------------|----------------------------|
| $b_0, b_1, \dots, b_{n-k-1}$ | $m_0, m_1, \dots, m_{k-1}$ |
| Parity bits                  | Message bits               |

## Linear Block Codes (Cont.)

- The  $(n - k)$  parity-check bits are **linear sums** of the  $k$  message bits:  

$$b_i = p_{0,i} m_0 + p_{1,i} m_1 + \cdots + p_{k-1,i} m_{k-1}$$
  - where  $p_{j,i} = 1$ , if  $b_i$  depends on  $m_j$ ; and  $p_{j,i} = 0$ , otherwise
- The coefficients  $p_{j,i}$  are chosen in such a way that
  - The rows of the generator matrix are **linearly independent**
  - The parity-check equations are **unique**
- This system can be rewritten in a **matrix form**:
  - The 1-by- $k$  **message** vector  $\mathbf{m} = [m_0, m_1, \cdots, m_{k-1}]$
  - The 1-by- $(n - k)$  **parity-check** vector  $\mathbf{b} = [b_0, b_1, \cdots, b_{n-k-1}]$ 
    - $\mathbf{b} = \mathbf{mP}$ , where  $\mathbf{P}$  is the  $k$ -by- $(n - k)$  **coefficient matrix**
  - The 1-by- $n$  **code** vector  $\mathbf{c} = [c_0, c_1, \cdots, c_{n-1}]$

## Linear Block Codes: Generator Matrix

- The  $k$ -by- $(n - k)$  **coefficient matrix** is defined as

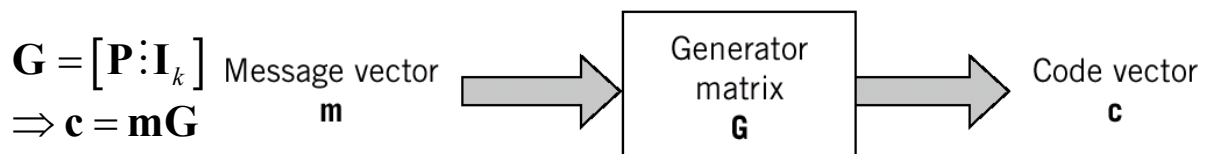
$$\mathbf{P} = \begin{bmatrix} p_{0,0} & p_{0,1} & \cdots & p_{0,n-k-1} \\ p_{1,0} & p_{1,1} & \cdots & p_{1,n-k-1} \\ \vdots & \vdots & \ddots & \vdots \\ p_{k-1,0} & p_{k-1,1} & \cdots & p_{k-1,n-k-1} \end{bmatrix}$$

- The code vector can be expressed as

$$\mathbf{c} = [\mathbf{b} : \mathbf{m}] = \mathbf{m} [\mathbf{P} : \mathbf{I}_k]$$

- where  $\mathbf{I}_k$  is the  $k$ -by- $k$  **identity matrix**

- We then define the  $k$ -by- $n$  **generator matrix** as  $\mathbf{G}$



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## Linear Block Codes: Generator Matrix (Cont.)

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- The full set of **codewords** (the code) is generated by passing the set of possible message vectors  $\mathbf{m}$  into  $\mathbf{c} = \mathbf{mG}$ 
  - The set of all  $2^k$  **binary  $k$ -tuples** (1-by- $k$  vectors)
- A basic property of linear block codes is **closure**
  - The sum of **any two codewords** in the code is **another codeword**
- Consider a pair of **code vectors**  $\mathbf{c}_i$  and  $\mathbf{c}_j$  corresponding to a pair of **message vectors**  $\mathbf{m}_i$  and  $\mathbf{m}_j$ , respectively.
$$\mathbf{c}_i + \mathbf{c}_j = \mathbf{m}_i \mathbf{G} + \mathbf{m}_j \mathbf{G} = (\mathbf{m}_i + \mathbf{m}_j) \mathbf{G}$$
- The modulo-2 sum of  $\mathbf{m}_i$  and  $\mathbf{m}_j$  is a **new message vector**  $\mathbf{m}_k$ 
  - Correspondingly, the modulo-2 sum of  $\mathbf{c}_i$  and  $\mathbf{c}_j$  is a **new code vector**  $\mathbf{c}_k$

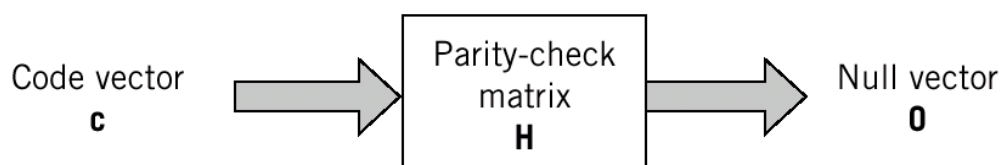
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## Linear Block Codes: Parity-Check Matrix

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- We define the  $(n - k)$ -by- $n$  **parity-check** matrix as
$$\mathbf{H} = [\mathbf{I}_{n-k} : \mathbf{P}^T]$$
  - where the  $(n - k)$ -by- $k$  matrix  $\mathbf{P}^T$  is the transpose of  $\mathbf{P}$
- Accordingly, we have
$$\mathbf{HG}^T = [\mathbf{I}_{n-k} : \mathbf{P}^T] \begin{bmatrix} \mathbf{P}^T \\ \mathbf{I}_k \end{bmatrix} = \mathbf{P}^T + \mathbf{P}^T = \mathbf{0}; \quad \mathbf{GH}^T = \mathbf{0}$$
  - In **modulo-2 arithmetic**, the matrix sum  $\mathbf{P}^T + \mathbf{P}^T$  is  $\mathbf{0}$
- The inner product of a **code vector** and the transpose of  $\mathbf{H}$

$$\mathbf{cH}^T = \mathbf{mGH}^T = \mathbf{0}$$



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## Linear Block Codes: Syndrome

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- The **generator matrix  $\mathbf{G}$**  is used in the **encoding** operation at the **transmitter**.
- On the other hand, the **parity-check matrix  $\mathbf{H}$**  is used in the **decoding** operation at the **receiver**.
- Let  $\mathbf{r}$  denote the 1-by- $n$  **received vector** that results from sending the code vector  $\mathbf{c}$  over a **noisy binary channel**.

- The sum of  $\mathbf{c}$  and an **error vector**, or **error pattern**,  $\mathbf{e}$

$$\mathbf{r} = \mathbf{c} + \mathbf{e}$$

- The  $i$ -th element of  $\mathbf{e}$  equals **0** (or **1**) if the corresponding element of  $\mathbf{r}$  is **the same as** (or **different from**) that of  $\mathbf{c}$ .

$$e_i = \begin{cases} 1, & \text{if an error has occurred in the } i\text{-th location} \\ 0, & \text{otherwise} \end{cases}$$

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## Linear Block Codes: Syndrome (Cont.)

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- The receiver decodes the code vector  $\mathbf{c}$  from  $\mathbf{r}$ 
  - The decoding starts with the computation of a **1-by- $(n - k)$  vector** called the **error-syndrome vector** or **syndrome**

- The **syndrome** (length  $n - k$ ) corresponding to  $\mathbf{r}$  is defined as

$$\mathbf{s} = \mathbf{r}\mathbf{H}^T$$

- Depends only on the **error pattern** and **not** on the transmitted **codeword**

$$\mathbf{s} = \mathbf{r}\mathbf{H}^T = (\mathbf{c} + \mathbf{e})\mathbf{H}^T = \mathbf{c}\mathbf{H}^T + \mathbf{e}\mathbf{H}^T = \mathbf{e}\mathbf{H}^T$$

- Equal to the sum of those rows, corresponding to the **errors have occurred**, of the transposed parity-check matrix  $\mathbf{H}^T$

- If errors occur at locations  $i$  and  $j \Rightarrow \mathbf{s} = \mathbf{h}_i + \mathbf{h}_j$

- where  $\mathbf{h}_i$  and  $\mathbf{h}_j$  are the  $i$ -th and  $j$ -th rows of  $\mathbf{H}^T$

## Linear Block Codes: Syndrome (Cont.)

- For an error pattern  $\mathbf{e}$ , all error patterns that differ to  $\mathbf{e}$  by a codeword are  $\mathbf{e}_i$  that satisfy  $\mathbf{e}_i - \mathbf{e} = \mathbf{c}_i$ 
  - There are  $2^k$  distinct code vectors:  $\mathbf{c}_i, i = 0, 1, \dots, 2^k - 1$
  - $\mathbf{e}_i = \mathbf{e} + \mathbf{c}_i, \text{ for } i = 0, 1, \dots, 2^k - 1$
  - The set of vectors  $\mathbf{e}_i$  is called a **coset** of the code
  - A coset has exactly  $2^k$  elements
  - An  $(n, k)$  linear block code has  $2^{n-k}$  possible cosets
    - $2^n / 2^k = 2^{n-k}$
- Each coset of the code is characterized by a unique syndrome
 
$$\mathbf{e}_i \mathbf{H}^T = \mathbf{e} \mathbf{H}^T + \mathbf{c}_i \mathbf{H}^T = \mathbf{e} \mathbf{H}^T + \mathbf{0} = \mathbf{e} \mathbf{H}^T$$
  - All error patterns that differ by a codeword have the same syndrome.**

## Linear Block Codes: Syndrome (Cont.)

- With the matrix  $\mathbf{H}$ , the  $(n - k)$  elements of the syndrome  $\mathbf{s}$  are **linear combinations** of the  $n$  elements of the error pattern  $\mathbf{e}$ 

$$\mathbf{s} = \mathbf{r} \mathbf{H}^T = \mathbf{r} \begin{bmatrix} \mathbf{I}_{n-k} \\ \mathbf{P} \end{bmatrix} = \mathbf{e} \begin{bmatrix} \mathbf{I}_{n-k} \\ \mathbf{P} \end{bmatrix}$$

$$\left. \begin{aligned} s_0 &= e_0 + e_{n-k} p_{0,0} + e_{n-k+1} p_{1,0} + \dots + e_{n-1} p_{k-1,0} \\ s_1 &= e_1 + e_{n-k} p_{0,1} + e_{n-k+1} p_{1,1} + \dots + e_{n-1} p_{k-1,1} \\ &\vdots \\ s_{n-k-1} &= e_{n-k-1} + e_{n-k} p_{0,n-k-1} + \dots + e_{n-1} p_{k-1,n-k-1} \end{aligned} \right\} \text{Linear combinations}$$
- The syndrome ( **$(n - k)$  linear equations**) contains information about the **error pattern** and may be used for **error detection**.
  - There are more unknowns than equations ( $(n - k) < n$ )
  - The set of equations is **underdetermined**
  - There is **no unique solution** for the error pattern

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## Minimum Distance Considerations

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- Consider a pair of code vectors  $\mathbf{c}_1$  and  $\mathbf{c}_2$  that have the same number of elements.
  - The **Hamming distance**,  $d(\mathbf{c}_1, \mathbf{c}_2)$ , is defined as the **number of locations** in which their respective elements **differ**.
  - The **Hamming weight**,  $w(\mathbf{c})$ , of a code vector  $\mathbf{c}$  is defined as the **number of nonzero elements** in the code vector.
    - The distance between  $\mathbf{c}$  and the **all-zero** code vector.
- The **minimum distance**  $d_{\min}$  of a linear block code is the **smallest Hamming distance** between any pair of codewords.
  - $d_{\min}$  is the same as the **smallest Hamming weight** of the **difference** between any pair of code vectors.
  - From the **closure** property,  $d_{\min}$  is the **smallest Hamming weight** of the **nonzero code vectors** in the code.

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## Minimum Distance Considerations (Cont.)

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- Specifically,  $d_{\min}$  determines the **error-correcting capability** of the code.
- Suppose an  $(n, k)$  linear block code is required to **detect** and **correct all error patterns** having a Hamming weight **less than or equal to  $t$** .
  - That is, if a code vector  $\mathbf{c}_i$  is transmitted and the received vector is  $\mathbf{r} = \mathbf{c}_i + \mathbf{e}$ , we require that the **decoder output**  $\hat{\mathbf{c}} = \mathbf{c}_i$ 
    - whenever the error pattern  $\mathbf{e}$  has a Hamming weight  $w(\mathbf{e}) \leq t$
- The **best decoding strategy** is to pick the code vector **closest** to the received vector  $\mathbf{r}$ 
  - **Maximum Likelihood (ML)** decision rule
  - Choose the one with the **smallest Hamming distance**  $d(\mathbf{c}_i, \mathbf{r})$

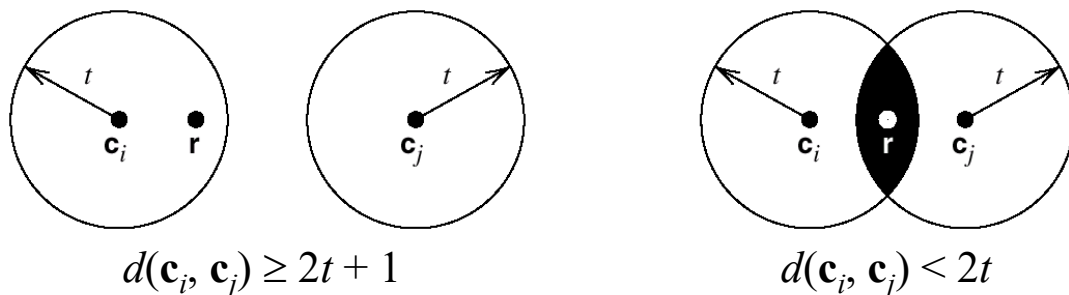


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## Minimum Distance Considerations (Cont.)

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- With the ML strategy, the decoder will be able to detect and correct all error patterns of Hamming weight  $w(\mathbf{e})$ 
  - Provided that the **minimum distance** of the code is **equal to or greater than**  $2t + 1$
- An  $(n, k)$  linear block code has the power to correct all error patterns of weight  $t$  or less if, and only if,
  - $d(\mathbf{c}_i, \mathbf{c}_j) \geq 2t + 1$ , for all  $\mathbf{c}_i$  and  $\mathbf{c}_j$



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## Syndrome Decoding

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- Consider an  $(n, k)$  linear block code with the  $2^k$  **code vectors**  $\mathbf{c}_i$  for  $1 \leq i \leq 2^k$ .
- Let  $\mathbf{r}$  denote the **received vector**: one of  $2^n$  possible values
- The receiver partitions the  $2^n$  possible vectors into  $2^k$  **disjoint** subsets  $D_i$ 
  - The  $i$ -th subset  $D_i$  corresponds to code vector  $\mathbf{c}_i$  for  $1 \leq i \leq 2^k$
  - $\mathbf{r}$  is decoded into  $\mathbf{c}_i$  if it is in  $D_i$  for  $1 \leq i \leq 2^k$
- For the decoding to be **correct**,  $\mathbf{r}$  must be in the subset that belongs to the code vector  $\mathbf{c}_i$  that was actually sent.
- The construction of the  $2^k$  **disjoint** subsets is shown as follows:
  - **Step 1**: The  $2^k$  code vectors are placed in a row with the **all-zero code vector**  $\mathbf{c}_1$  as the **leftmost** element.

## Syndrome Decoding (Cont.)

- **Step 2:** An **error pattern**  $\mathbf{e}_2$  is picked and placed under  $\mathbf{c}_1$ , and a second row is formed by adding  $\mathbf{e}_2$  to  $\mathbf{c}_i$
- **Step 3:** Repeat Step 2 until **all the possible error patterns** have been accounted for
  - The new error pattern must **not previously appeared**

|                                   |                                       |                                       |     |                                       |     |   |
|-----------------------------------|---------------------------------------|---------------------------------------|-----|---------------------------------------|-----|---|
| $D_1$ $\mathbf{c}_1 = \mathbf{0}$ | $\mathbf{c}_2$                        | $\mathbf{c}_3$                        | ... | $D_i$ $\mathbf{c}_i$                  | ... | $\mathbf{c}_{2^k}$                        |
| $\mathbf{e}_2$                    | $\mathbf{c}_2 + \mathbf{e}_2$         | $\mathbf{c}_3 + \mathbf{e}_2$         | ... | $\mathbf{c}_i + \mathbf{e}_2$         | ... | $\mathbf{c}_{2^k} + \mathbf{e}_2$         |
| $\mathbf{e}_3$                    | $\mathbf{c}_2 + \mathbf{e}_3$         | $\mathbf{c}_3 + \mathbf{e}_3$         | ... | $\mathbf{c}_i + \mathbf{e}_3$         | ... | $\mathbf{c}_{2^k} + \mathbf{e}_3$         |
| $\vdots$                          | $\vdots$                              | $\vdots$                              |     | $\vdots$                              |     | $\vdots$                                  |
| $\mathbf{e}_j$                    | $\mathbf{c}_2 + \mathbf{e}_j$         | $\mathbf{c}_3 + \mathbf{e}_j$         | ... | $\mathbf{c}_i + \mathbf{e}_j$         | ... | $\mathbf{c}_{2^k} + \mathbf{e}_j$         |
| $\vdots$                          | $\vdots$                              | $\vdots$                              |     | $\vdots$                              |     | $\vdots$                                  |
| $\mathbf{e}_{2^{n-k}}$            | $\mathbf{c}_2 + \mathbf{e}_{2^{n-k}}$ | $\mathbf{c}_3 + \mathbf{e}_{2^{n-k}}$ |     | $\mathbf{c}_i + \mathbf{e}_{2^{n-k}}$ |     | $\mathbf{c}_{2^k} + \mathbf{e}_{2^{n-k}}$ |

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## Syndrome Decoding (Cont.)

- The  $2^k$  **columns** represent the disjoint subsets  $D_i$
- The  $2^{n-k}$  **rows** represent the **cosets** of the code
  - Their first elements  $\mathbf{e}_j, j = 2, 3, \dots, 2^{n-k}$ , are **coset leaders**
- The probability of **decoding error** is **minimized** when the **most likely error patterns** are chosen as the **coset leaders**.
  - Those with the **largest** probability of occurrence
- In the case of a **binary symmetric channel**, the **smaller** the **Hamming weight** of an error pattern is, the **more likely** it is for an error to occur.
- The construction should choose the error pattern with the **minimum Hamming weight** in its coset as the **coset leader**

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## Syndrome Decoding (Cont.)

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- The **syndrome decoding** procedure for linear block codes:
- **1.** For the received vector  $\mathbf{r}$ , compute the syndrome  $\mathbf{s} = \mathbf{r}\mathbf{H}^T$ .
- **2.** Within the coset characterized by the syndrome  $\mathbf{s}$ , identify the **coset leader**.
  - The error pattern corresponding to the codeword  $\mathbf{c}_1$
  - The error pattern is denoted as  $\mathbf{e}_0$
- **3.** Compute the code vector  $\mathbf{c} = \mathbf{r} + \mathbf{e}_0$  as the decoded output of the received vector  $\mathbf{r}$ .

$$\mathbf{r} \Rightarrow \mathbf{s} \Rightarrow \mathbf{e}_0 \Rightarrow \mathbf{c} = \mathbf{r} + \mathbf{e}_0$$

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## Example: Hamming Codes

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- **Hamming codes:** a family of  $(n, k)$  linear block codes that have the following parameters: ( $m \geq 3$ )
  - Code length:  $n = 2^m - 1$
  - Number of message bits:  $k = 2^m - m - 1$
  - Number of parity-check bits:  $n - k = m$
- Specifically for  $m = 3$ , it is the  $(7, 4)$  Hamming code with the **error-correcting capability** of  $t = 1$  error
- The generator of this code is defined by

$$\mathbf{G} = \begin{bmatrix} 1 & 1 & 0 & \vdots & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & \vdots & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & \vdots & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & \vdots & 0 & 0 & 0 & 1 \end{bmatrix}$$

## Example: Hamming Codes (Cont.)

- The corresponding parity-check matrix is given by

$$\mathbf{H} = \begin{bmatrix} 1 & 0 & 0 & \vdots & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & \vdots & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & \vdots & 0 & 1 & 1 & 1 \end{bmatrix}$$

- The columns of  $\mathbf{P}$  consist of all the nonzero  $m$ -tuples for  $m = 3$
- With  $k = 4$ , there are  $2^k = 16$  distinct message words

| Message | Codeword | Weight | Message | Codeword | Weight |
|---------|----------|--------|---------|----------|--------|
| 0000    | 0000000  | 0      | 1000    | 1101000  | 3      |
| 0001    | 1010001  | 3      | 1001    | 0111001  | 4      |
| 0010    | 1110010  | 4      | 1010    | 0011010  | 3      |
| 0011    | 0100011  | 3      | 1011    | 1001011  | 4      |
| 0100    | 0110100  | 3      | 1100    | 1011100  | 4      |
| 0101    | 1100101  | 4      | 1101    | 0001101  | 3      |
| 0110    | 1000110  | 3      | 1110    | 0101110  | 4      |
| 0111    | 0010111  | 4      | 1111    | 1111111  | 7      |

## Example: Hamming Codes (Cont.)

- The **smallest Hamming weight** of the **nonzero codewords** is 3.
  - It follows that the minimum distance of the code is  $d_{\min} = 3$
  - The **error-correcting capability** is  $t = 1$  error
- There are 7 error patterns, each of which contains only **1 error**
- The syndrome corresponds to an error pattern:  $\mathbf{s} = \mathbf{rH}^T$ 
  - If the transmitted codeword is  $\mathbf{c}_1$ , the received vector  $\mathbf{r}$  is the corresponding error pattern of the **coset leader**
- For example:  $\mathbf{r} = [0010000]$

$$\mathbf{s} = \mathbf{rH}^T = [0010000] \begin{bmatrix} 100 \\ 010 \\ 001 \\ 110 \\ 011 \\ 111 \\ 101 \end{bmatrix} = [001]$$

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## Example: Hamming Codes (Cont.)

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- Based on the **syndrome decoding** procedure, the **syndrome** of a received vector shows the **location** of the erroneous bit.
  - If  $\mathbf{s} = [001] \Rightarrow$  **the third bit of  $\mathbf{r}$  is erroneous**
- Thus, **adding** the error pattern  $\mathbf{e}_0$  to the received vector  $\mathbf{r}$  yields the **correct code vector** actually sent.
  - $\mathbf{c} = \mathbf{r} + \mathbf{e}_0$

**No error**



| Syndrome | Error Pattern |
|----------|---------------|
| 000      | 0000000       |
| 100      | 1000000       |
| 010      | 0100000       |
| 001      | 0010000       |
| 110      | 0001000       |
| 011      | 0000100       |
| 111      | 0000010       |
| 101      | 0000001       |

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## Homework

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- You must give detailed derivations or explanations, otherwise you get no points.**
- Communication Systems, Simon Haykin (4<sup>th</sup> Ed.)
- 10.4;
- 10.5;
- 10.7;
- 10.8;