

# Modular forms modulo 2

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## Abstract

We are interested in Modular forms modulo 2, and computing thing about it. [temporary abstract]

Key words that should appear: Modular forms; Mod 2; Duality of definitions; Governing fields; Frobenian map?; Exact computations;

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# 1 Modular forms

## 1.1 Modular forms of level 1

Let  $\mathbb{H}$  denote the *upper-half plane*, that is,  $\mathbb{H} = \{z = x + yi \in \mathbb{C} \mid y > 0\}$ .

We say that a function  $f : \mathbb{H} \rightarrow \mathbb{C}$  is *weakly modular* of *weight*  $2k$  if  $f$  is meromorphic and

$$f(z) = (cz + d)^{-2k} f\left(\frac{az + b}{cz + d}\right) \quad \text{for all } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}).$$

The group  $\text{SL}_2(\mathbb{Z})$  of invertible  $(2 \times 2)$  matrices over  $\mathbb{Z}$  with is generated by

$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix};$$

see [Conrad, 2020, p.1-2].

From this property, we can derive an alternative definition of weakly modular functions:  $f$  is weakly modular of weight  $2k$  if  $f$  is meromorphic and

$$f(z+1) = f(z) \quad \text{and} \quad f(-1/z) = z^k f(z)$$

for all  $z \in \mathbb{C}$ .

Moreover, we define a function  $f : \mathbb{H} \rightarrow \mathbb{C}$  to be *modular* of weight  $2k$  if  $f$  is holomorphic and weakly modular. Lastly, we say that a function  $f : \mathbb{H} \rightarrow \mathbb{C}$  is a *modular form* of weight  $2k$  if it modular and holomorphic at  $\infty$ , that is,  $f(1/z)$  is holomorphic at  $z = 0$ .

It is straightforward to check, using the above definition, that the set of modular forms of weight  $2k$  is closed under addition and multiplication by complex scalars. More precisely:

- If  $f_1$  and  $f_2$  are modular forms of weight  $2k$ , then  $f_1 + f_2 : z \rightarrow f_1(z) + f_2(z)$  is modular of weight  $2k$  as well.
- Similarly, if  $\lambda \in \mathbb{C}$  and  $f$  is a modular form of weight  $2k$ , then so is  $\lambda \cdot f : z \rightarrow \lambda f(z)$ .

Therefore, modular forms of weight  $2k$  over  $\mathbb{C}$  form a space. We denote it  $M_k$ .

It is also possible to multiply modular forms, in which case the weights are additive: If  $f_1$  and  $f_2$  are modular forms of respective weights  $2k_1$  and  $2k_2$ , then  $f_1 f_2 : z \rightarrow f_1(z) f_2(z)$  is modular of weight  $2k_1 + 2k_2$ .

We deduce that we can take powers of modular forms, and the weight is then multiplied by the exponent: if  $f(z)$  is modular of weight  $2k$ , then  $f^n(z)$  is modular of weight  $2k \cdot n$  (with  $n \in \mathbb{N}^1$ ).

## 1.2 Typical Modular Forms

### 1.2.1 Eisenstein series $G_k$

The most famous class of modular forms is probably the *Eisenstein series*, usually denoted  $G_k$ . We define them as follows [Stein, 2007, Examples of Modular Forms]:

$$G_k(z) = \sum_{(m,n) \in \mathbb{Z}^2 \setminus \{(0,0)\}} \frac{1}{(mz + n)^{2k}}$$

for  $k \geq 2$ .

---

<sup>1</sup>The set of naturals  $\mathbb{N}$  is taken to start from 0 in this paper.

It is easy to check that  $G_k$  are modular of weight  $2k$  [Stein, 2007, Proposition 2.1], as:

$$G_k(z+1) = G_k(z)$$

(using  $(m, n+m) \rightarrow (m, n)$ , an invertible map)

$$G_k(-1/z) = z^k G_k(z)$$

(using  $(m, -n) \rightarrow (m, n)$ , an invertible map).

It is pleasant to remark that [Stein, 2007, Proposition 2.2]

$$G_k(\infty) = \sum_{n \in \mathbb{Z}^*} \frac{1}{n^{2k}} = 2\zeta(2k)$$

. Where  $\zeta(k)$  is Riemann zeta function. The values of this function are well-known on positive even numbers, and we deduce [Lennart Rade, 2013, p.194] that:

$$G_k(\infty) = 2\zeta(2k) = \frac{(2\pi)^{2k}}{(2k)!} B_k$$

where  $B_k = (-1)^{k+1} b_{2k}$  and  $b_k$  are Bernoulli numbers.

### 1.2.2 The Modular Discriminant $\Delta$

We will be interested in one main modular form in the rest of this article: the *modular discriminant*  $\Delta$ . We define  $\Delta$  in terms of  $G_k$  as follows [Serre, 1973, p.84]:

$$\Delta = \left( \frac{1}{(2\pi)^{12}} \right) (g_2^3 - 27g_3^2) \in M_6^0 \quad \text{with } g_2 = 40G_2 \text{ and } g_3 = 140G_3$$

As  $g_2^3$  is modular of weight  $4 \cdot 3 = 12$  and  $g_3^2$  of weight  $6 \cdot 2 = 12$ ,  $\Delta$  is modular of weight 12. Multiplying by the scalar  $(1/(2\pi)^{12})$  doesn't change the weight of the modular form, and it will be useful later for normalization purposes.

Now, using  $G_2(\infty) = 2\zeta(4) = \frac{\pi^4}{45}$  and  $G_3(\infty) = 2\zeta(6) = \frac{2\pi^6}{945}$ , we get

$$\Delta(\infty) = \left( \frac{1}{(2\pi)^{12}} \right) \left[ \left( \frac{4\pi^4}{3} \right)^3 - \left( \frac{8\pi^6}{27} \right)^2 \right] = 0$$

so  $\Delta$  has a zero at infinity.

### 1.3 Cusp Forms

A function  $f : \mathbb{H} \rightarrow \mathbb{C}$  that is a modular form may in addition be a *cusp form*, if  $f(\infty) = 0$ . We will denote the *space of modular cusp forms* of weight  $2k$  over  $\mathbb{C}$  by  $M_k^0$ .

It is useful to note  $G_k(\infty) = \sum_{n \in \mathbb{N}^*} \frac{2}{n^{2k}} > 2$  and in particular,  $G_k(\infty) \neq 0$ , so  $G_k$  are *not* cusp forms for any  $k$ . As we have shown it before,  $\Delta(\infty) = 0$ , so  $\Delta$  is a modular cusp form of weight 12, so  $\Delta \in M_6^0$ . Using tools from complex analysis, we can prove that  $\Delta$  has only one zero (at infinity), which has order one [Serre, 1973, p.88].

We have the following relation:

**Theorem 1.**  $M_k \cong M_k^0 \oplus \mathbb{C} \cdot G_k$  for all  $k \geq 2$  [Serre, 1973, p.88]

*Proof.* We let  $\Phi : M_k \rightarrow \mathbb{C}$  such that if  $f \in M_k$ ,  $\Phi(f) = f(\infty)$ .

Now, we have  $\text{Ker}(\Phi) = M_k^0$ , therefore, by the 1<sup>st</sup> Isomorphism Theorem,  $M_k/M_k^0 \cong \text{Im}(\Phi) \subseteq \mathbb{C}$ .

Note that  $G_k \in M_k$ , and  $G_k(\infty) = \sum_{n \in \mathbb{Z}^*} \frac{1}{n^{2k}} \neq 0$ , so  $G_k \notin M_k^0$ . As  $G_k \neq 0$ ,  $\dim(M_k/M_k^0) \geq 1$  and  $\text{Im}(\Phi) = \mathbb{C}$ . Thus,  $G_k \in M_k$

$M_k^0$

Finally, we have  $M_k \cong M_k^0 \oplus \mathbb{C}G_k$  if  $k \geq 2$ . (The above argument fails for  $k < 2$  as  $G_k$  is not well defined any more.)  $\square$

Therefore, the dimensions of  $M_k$  and  $M_k^0$  are closely linked.

## 1.4 Dimensions of Spaces of Modular Forms

The fact that multiplying two modular forms gives a function that remains modular yields that we may map a set of modular forms to an other.

**Theorem 2.**  $M_{k-6} \cong M_k^0$ . [Serre, 1973, p. 88]

*Proof.* We let  $\Phi : M_{k-6} \rightarrow M_k^0$  such that if  $f \in M_{k-6}$ ,  $\Phi(f)(z) = \Delta(z)f(z)$ .

This is well defined as if  $f$  has weight  $2(k-6)$ ,  $\Delta.f$  has weight  $2k$  since  $\Delta$  has weight 12. As  $\Delta$  is a cusp form,  $\Delta.f$  will also be a cusp form.

From definition,  $\Phi$  is clearly homomorphic.

Now, if  $g \in M_k^0$ , we may define  $\Psi : M_k^0 \rightarrow M_{k-6}$  such that  $\Psi(g)(z) = g(z)/\Delta(z)$

This is well defined as if  $g$  has weight  $2k$ ,  $\Delta.f$  has weight  $2k$  since  $\Delta$  has weight 12. As  $\Delta$  is a cusp form,  $\Delta.f$  will also be a cusp form.

This is well defined as  $\Delta$  has only one zero, at infinity, where  $g$  also has a zero (as  $g$  is a cusp form). The weights agree again as well.

It is then easy to remark that  $\Psi = \Phi^{-1}$ . So  $\Phi$  is bijective, and thus isomorphic.

Finally, we have  $M_{k-6} \cong M_k^0$ .  $\square$

This theorem, combined with the previous one is very powerful: it shows that there must be a pattern (of 6) in the sequence of dimensions  $\dim(M_k)$  and  $\dim(M_k^0)$  for  $k \geq 2$ . We have  $M_k \cong M_k^0 \oplus \mathbb{C}G_k \cong M_{k-6} \oplus \mathbb{C}G_k$ , so  $\dim(M_k) = \dim(M_{k-6}) + 1$  when  $k \geq 2$ . Thus, if we compute the dimensions of  $M_0, M_1, M_2, M_3, M_4, M_5$ , we can extrapolate dimensions of  $M_k$  and  $M_k^0$  for all  $k$ .

Using complex analysis techniques again, we have:

- $\dim(M_k) = 0 \quad k < 0$
- $\dim(M_1) = 0$
- $\dim(M_0) = \dim(M_2) = \dim(M_3) = \dim(M_4) = \dim(M_5) = 1$

In the case  $k = 0$ ,  $\dim(M_0) = 1$ . As  $f(z) = 1$  is clearly a modular form of weight 0,  $\{1\}$  is a basis for  $M_0$ . We deduce  $\dim(M_k^0) = 0$  as 1 is clearly not a cusp form. In the case  $k = 1$ ,  $\dim(M_1) = 0$ , which makes  $\dim(M_1^0) = 0$  automatically. (Cases  $k < 0$  are similar to  $k = 1$ .)

Other cases may be derived directly from the relations (using induction to get general formulas), and we obtain:

Space	$k < 0$	$k \geq 0, k \equiv 1 \pmod{6}$	$k \geq 0, k \not\equiv 1 \pmod{6}$
$\dim(M_k)$	0	$\lfloor k/6 \rfloor$	$\lfloor k/6 \rfloor + 1$
$\dim(M_k^0)$	0	$\max\{0, \lfloor k/6 \rfloor - 1\}$	$\lfloor k/6 \rfloor$

Note that the max is taken only to avoid negative dimensions.

## 1.5 Fourier Expansion

### 1.5.1 Definition

To study such function, we use Fourier Expansion. In the case of  $f$  being a modular form of weight  $2k$ , a *Fourier Expansion* is a representation of  $f$  as a power series of  $e^{2\pi iz}$  i.e.

$$f(z) = \sum_{n \in \mathbb{Z}} a_n(f) e^{2\pi i n z}.$$

We usually denote  $q = e^{2\pi iz}$  so that  $q^n = e^{2\pi i n z}$  and the Fourier expansion of  $f$  become

$$f(q) = \sum_{n \in \mathbb{Z}} a_n(f) q^n.$$

When in this form, we may as well call it the *q-expansion*.

### 1.5.2 Typical Modular Forms Fourier Expansion

**Fourier Expansions of  $G_k$**  The modular forms  $G_k$  have the following  $q$ -expansion [Serre, 1973, p.92]:

$$G_k(q) = 2\zeta(2k) + 2 \frac{(2\pi i)^{2k}}{(2k-1)!} \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n$$

where  $\sigma_d$  is the generalized divisor function such that:

$$\sigma_d(n) = \sum_{m|n} m^d.$$

**Fourier Expansion of  $\Delta$**  We also have [Serre, 1973, p.95]:

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24}$$

## 1.6 A Basis for Modular Forms

The set of modular forms that are weight  $2k$  in fact form a vector space (we can add modular forms together, and multiply them with a constant) over the complex numbers. One may ask then a basis for this vector space.

We would like to find a basis for each set  $M_k$ . It turns out that the modular forms  $G_2$  and  $G_3$  introduced before in fact generate a basis for all  $M_k$ . It is not obvious and may in fact seems wrong at a first stage:  $G_2$  and  $G_3$  are modular forms of weight 4 and 6, whereas  $M_k$  in general have modular forms of weight  $2k$ . However, by taking combinations of  $G_2$  and  $G_3$ , we may obtain modular forms of any weight  $2k$ . It is important to remember that when multiplied, the weight of modular forms add up.

**Theorem 3.** *The set  $S = \{G_2^a G_3^b | a, b \in \mathbb{N}, 2a + 3b = k\}$  is a basis for  $M_k$ . [Stein, 2007, Theorem 2.17]*

*Proof.* Of course, the cases when  $\dim(M_k) = 0$  (for  $k < 0$  and  $k = 1$ ) are trivial, as the basis is empty, and  $2a + 3b = k$  has no solution for  $a, b \in \mathbb{N}$ .

To show  $S$  is a basis, we need it to span  $M_k$  and to be linearly independent.

We start with spanning, and we proceed by induction on  $k$ , with step 6.

As  $\dim(M_k) = 1$  for  $k = 0, 2, 3, 4, 5, 7$ , and the equation  $2a + 3b = k$  has exactly one solution for  $a, b \in \mathbb{N}$  (namely  $(a, b) = (0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (2, 1)$ ),  $S$  has only one element, which must be the basis.

Now, for  $k > 7$ , take some  $a, b \in \mathbb{N}$  such that  $2a + 3b = k$ . Let  $f \in M_k$ , and  $g = G_2^a G_3^b \in M_k$ .  $g(\infty) \neq 0$  as none of  $G_2$  or  $G_3$  is a cusp form. So there must be a complex  $\lambda$  such that  $f - \lambda g$  is a cusp form. Then  $f - \lambda g \in M_k \cong M_{k-6}^0$  and we can find a  $h \in M_{k-6}^*$  such that  $h.\Delta = f - \lambda g$ .

By induction,  $h$  must be a polynomial of  $G_2$  and  $G_3$ ; by definition,  $\Delta$  is one as well (note that yet, we don't put any restriction on powers of  $G_2$  and  $G_3$ , other then being positive integers). Therefore,  $f = \Delta.h + \lambda g$  is a polynomial of  $G_2$  and  $G_3$ . From the fact that  $f \in M_k$  (i.e.  $f$  has weight  $2k$ ), terms of  $f$  as a polynomial of  $G_2$  and  $G_3$  have the form  $G_2^a G_3^b$  with  $2a + 3b = k$ .

We now want to show linear independence, we proceed by contradiction.

Suppose there is a non-trivial linear relation of terms  $G_2^a G_3^b$ . We can multiply it by suitable  $G_2$  and  $G_3$  so that all terms have the form  $2a + 3b = k \equiv 0 \pmod{12}$ . Then, we can divide all terms by  $G_3^2$ , which gives us that there is a polynomial for which  $G_2^3/G_3^2$  is a root. In particular, this polynomial is constant when  $G_2^3/G_3^2$  is plugged. This contradicts the fact that  $q$ -expansion of  $G_2^3/G_3^2$  is not constant.  $\square$

This set of makes to be a basis, and one may even find it pleasant: given the two modular forms  $G_2$  and  $G_3$ , this set generates all the modular forms of weight  $2k$  that we could think of, if we only knew these two modular forms.

## 1.7 Hecke Operators

We define the *Hecke operators* for a modular form  $f$  as follows [Serre, 1973, p.100]:

$$T_n f(z) = n^{2k-1} \sum_{a \geq 1, ad=n, 0 \leq b < d} d^{-2k} f\left(\frac{az+b}{d}\right)$$

with  $n \in \mathbb{N}$ .

We can check that  $T_n f$  is modular if  $f$  is (as the sum of modular forms).

We may as well write  $T_n f$  as a Fourier Expansion of  $q = e^{2\pi iz}$  as follows [Serre, 1973, p.100]:

$$T_n f(z) = \sum_{m \in \mathbb{Z}} \gamma(m) q^m \quad \text{with} \quad \gamma(z) = \sum_{a|(n,m), a \geq 1} a^{2k-1} c\left(\frac{mn}{a^2}\right)$$

$$\text{For modular forms } f \text{ s.t. } f(z) = \sum_{n \in \mathbb{Z}} \alpha(n) q^n$$

## 2 Modular Forms Modulo Two

### 2.1 Strategy to Reduce Modulo Two

It is not trivial, at this point, why and how we can reduce modulo 2 modular forms, objects that have coefficients in  $\mathbb{C}$ . In general, reduction modulo a number is only possible with whole numbers (integers). We would like to reduce modulo 2 coefficients of the Fourier series for modular forms. But at the moment, they lie in  $\mathbb{C}$ .

In fact, we will introduce a new basis for the modular forms: the so called Miller Basis. The coefficients of all the forms in this basis are integers. It is then possible to consider the space of modular forms over  $\mathbb{Z}$  instead of  $\mathbb{C}$ . Once this is done, we will reduce all the newly integral coefficients modulo 2.

In this section, we will denote all objects reduced modulo 2 with an over-line:

- The modular form  $f$  once reduced will be denoted  $\overline{f}$ .
- The coefficients of the  $q$ -expansion  $c$  will reduce to  $\overline{c}$
- The Hecke operators  $T_n$  reduced will be denoted  $\overline{T_n}$ .

### 2.2 Integral Basis

#### 2.2.1 Normalisation of Typical Modular Forms

**Normalisation of Eisenstein series  $G_k$**  We first recall the formula for  $q$  extension of  $G_k$  and the one for  $\zeta(2k)$ :

$$G_k(q) = 2\zeta(2k) + 2 \frac{(2\pi i)^{2k}}{(2k-1)!} \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n$$

and

$$2\zeta(2k) = \frac{(2\pi)^{2k}}{(2k)!} B_k$$

so overall:

$$G_k(q) = \frac{(2\pi)^{2k}}{(2k)!} B_k + 2 \frac{(2\pi i)^{2k}}{(2k-1)!} \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n$$

We would like to normalize this series, so that the coefficients become integers, so that we can ultimately reduce them modulo 2. Right now, coefficients are rational.

As we want to keep the series modular with same weight, the only tool we have to normalize the series is multiplication by a constant. The normalization is a crucial point: If we multiply by 2 all coefficients of a modular form that already lie in  $\mathbb{Z}$ , the reduction mod 2 will always give zero.

First, let's normalize the series to have particular values on some coefficients of interest. There are two justified ways to do so: normalize to have constant coefficient set to one, and to have  $q$  coefficient is set to one. We will introduce both: Let  $E_k$  be such that:

$$E_k \cdot 2\zeta(2k) = G_k$$

so that

$$E_k = 1 + (-1)^k \frac{4k}{B_k} \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n.$$

$E_k$  then has constant coefficient set to one.



Let  $F_k$  be such that:

$$F_k \cdot \left( 2 \frac{(2\pi i)^{2k}}{(2k-1)!} \right) = G_k$$

so that

$$F_k = (-1)^k \frac{B_k}{4k} + \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n.$$

$F_k$  then has  $q$  coefficient set to one (as  $\sigma_{2k-1}(1) = 1$ ).

Clearly, the coefficients of this expansion remain in  $\mathbb{Q}$  at least, and we will show that for some specific  $k$ , the coefficients lie in fact in  $\mathbb{Z}$ . Both  $F_k$  and  $E_k$  are interesting, but for our purpose (reducing modulo 2), we will use  $E_k$ . Note that  $E_k$  are normalized versions of Eisenstein series  $G_k$ , but in literature, both are called Eisenstein series see [Shrivastava, 2017, p.6] for example.

**The Modular Discriminant  $\Delta$  Normalized** Again, we recall the formula for  $q$  extension of  $\Delta$ :

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24}$$

Clearly, the coefficients in expansion of  $\Delta$  are integers (which we can reduce modulo 2). This is the reason why we defined  $\Delta$  with the  $\frac{1}{(2\pi)^{12}}$  factor in front.

### 2.2.2 Miller Basis

**Basis with Integral Coefficients (in Fourier Series)** Applying normalization  $G_k \rightarrow E_k$  above for  $k = 2, 3$ , we get:

$$\begin{aligned} E_2 &= 1 + \frac{8}{B_2} \sum_{n=1}^{\infty} \sigma_3(n) q^n & B_2 &= \frac{1}{30} \\ &= 1 + 240 \sum_{n=1}^{\infty} \sigma_3(n) q^n \end{aligned}$$

and

$$\begin{aligned} E_3 &= 1 - \frac{12}{B_3} \sum_{n=1}^{\infty} \sigma_5(n) q^n & B_3 &= \frac{1}{42} \\ &= 1 - 504 \sum_{n=1}^{\infty} \sigma_5(n) q^n \end{aligned}$$

Now, we have shown that  $\{G_2^a G_3^b | 2a + 3b = k\}$  is a basis for modular forms of weight  $2k$  over the complex (see 1.6). As  $E_2 = \lambda G_2$ ,  $\lambda \in \mathbb{C}$  and  $E_3 = \mu G_3$ ,  $\mu \in \mathbb{C}$ , we have that  $\{E_2^a E_3^b | 2a + 3b = k\}$  remains a basis for  $M_k$  over  $\mathbb{C}$ .

It is clear, from the series, that coefficients of the  $q$ -expansion of both  $E_2$  and  $E_3$  are all integers. Thus, so are coefficients of combinations of  $E_2$  and  $E_3$ . Therefore, we have found a basis for  $M_k$  such that all elements in the basis have only integral coefficients in their  $q$ -expansion.

**Miller Basis for  $M_k^0$**  This is a nice result, but we can in fact do better, by forcing the first coefficients to chosen values.

**Theorem 4.** *For the space of modular cusp forms  $M_k^0$ , there exists a basis  $\{f_1, \dots, f_r\}$  such that:*

- $f_i \in \mathbb{Z}[q]$

- $a_i^j = \delta_{ij} = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases} \quad \forall 1 \leq i, j \leq r$   
where  $a_i^j$  is the coefficient of  $q^j$  in expansion of  $f_i$ .

This is commonly called the Miller basis for  $M_k^0$ , as it was first introduced by Victor Saul Miller [1975].

*Proof.* • For  $k < 6$ ,  $k = 7$ , we have  $\dim(M_k^0) = 0$ . Thus,  $\emptyset$  is a basis which satisfies the Miller basis properties.

- For  $k = 6$ , we have  $\dim(M_k^0) = 1$ . Thus,  $\{\Delta\}$  is a basis which satisfies the Miller basis properties.
- For  $k \geq 7$ , we let  $r = \dim(M_k^0) \geq 1$ . We then consider the set

$$\{g_j | 1 \leq j \leq r\}$$

where

$$g_j = \Delta^j E_3^{2(d-j)+b} E_2^a$$

with

$$\begin{aligned} 2a + 3b &\leq 7 \text{ \& } 2a + 3b \cong k \pmod{6} \\ \& \quad d &= \frac{k - (2a + 3b)}{6} \in \mathbb{N} \text{ as } k \geq 7 \end{aligned}$$

Note that  $a$  and  $b$  are unique unless  $k \cong 0 \pmod{6}$ . In witch case, we use by convention  $a = 0$ ,  $b = 0$ .

As all  $E_2$ ,  $E_3$ , and  $\Delta$  have integral coefficients,  $g_j$  will as well.

We then look at the  $q$  series:

$$\Delta(q) = q + O(k^2) \implies \Delta^j(q) = q^j + O(k^{j+1})$$

As we normalized so,

$$\begin{aligned} E_2(q) &= 1 + O(q) \implies E_2^\alpha(q) = 1 + O(q) \\ E_3(q) &= 1 + O(q) \implies E_3^\alpha(q) = 1 + O(q) \end{aligned}$$

This gives:

$$g_j(q) = q^j + O(q^{j+1}) \quad \forall 1 \leq j \leq r.$$

Therefore,  $\{g_j, | 1 \leq j \leq r\}$  is clearly a linearly independent set. By dimension argument, it also spans  $M_k^0$ . Therefore, it forms a basis. Moreover, in this basis:  $a_i^j = \delta_{ij} \quad i \leq j$ .

Finally, we can use Gaussian elimination on  $\{g_j\}$  to obtain a basis  $\{f_j | 1 \leq j \leq r\}$  such that:  $a_i^j = \delta_{ij} \quad \forall 1 \leq i, j \leq r$ . The coefficients will remain in  $\mathbb{Z}$  after Gaussian elimination. □

**Extension to all  $M_k$**  We already have a basis for  $M_k^0$ , as  $\dim(M_k) = \dim(M_k^0) + 1$  (over  $\mathbb{C}$ ), we just need to adjoin one element of  $M_k \setminus M_k^0$  to our basis.

It was shown before that  $\{E_2^a E_3^b | 2a + 3b = k\}$  is a basis for  $M_k$  with integral coefficients (see 2.2.2). One may see from the  $q$ -expansion that  $E_2^a E_3^b = 1 + O(q)$  so  $E_2^a E_3^b \in M_k \setminus M_k^0$ .

Therefore, we can just add one element of  $\{E_2^a E_3^b | 2a + 3b = k\}$  to the Miller basis, and use Gaussian elimination again. We get a basis for  $M_k$  of the form  $\{f_j | 0 \leq j \leq r\}$  such that in this basis:  $a_i^j = \delta_{ij} \quad \forall 0 \leq i, j \leq r$  (with  $r = \dim(M_k^0)$  i.e.  $r + 1 = \dim(M_k)$ ).

## Miller Basis Examples

**Miller basis for  $k = 16$**  We can calculate the Miller basis for  $k = 16$ :  $k \cong 4 \pmod{12}$  so  $a = 2$  and  $b = 0$ ;  $d = 2$ . We put  $g_1 = \Delta^1 E_3^2 E_2^2$ , so:

$$\begin{aligned} g_1(q) &= \Delta(q) E_2^2(q) E_3^2(q) \\ &= [q - 24q^2 + 252q^3 + O(q^4)] \\ &\quad \cdot [1 + 240q + 2160q^2 + 6720q^3 + O(q^4)]^2 \\ &\quad \cdot [1 - 504q - 16632q^2 + 122976q^3 + O(q^4)]^2 \\ &= q - 552q^2 - 188244q^3 + O(q^4) \end{aligned}$$

and  $g_2 = \Delta^2 E_3^0 E_2^2$ , so:

$$\begin{aligned} g_2(q) &= \Delta^2(q) E_2^2(q) \\ &= [q - 24q^2 + 252q^3 + O(q^4)]^2 \\ &\quad \cdot [1 + 240q + 2160q^2 + 6720q^3 + O(q^4)]^2 \\ &= q^2 + 432q^3 + O(q^4) \end{aligned}$$

Then,  $f_2 = g_2$  and  $f_1 = g_1 + 552g_2$ , so:

$$\begin{aligned} f_1(q) &= q - 552q^2 - 188244q^3 + O(q^4) + 552 \cdot [q^2 + 432q^3 + O(q^4)] \\ &= q + 50220q^3 + O(q^4) \\ f_2(q) &= q^2 + 432q^3 + O(q^4) \end{aligned}$$

Therefore, up to  $O(q^4)$ ,  $\{f_1, f_2\} = \{q + 50220q^3 + O(q^4), q^2 + 432q^3 + O(q^4)\}$  is a basis for  $M_{16}^0$ .

To extend this base to  $M_k$ , we adjoint a term of the form  $g_0 = E_2^a E_3^b$  where  $2a + 3b = 16$ . We pick  $g_0 = E_2^8$ , so:

$$\begin{aligned} g_0(q) &= E_2^8(q) \\ &= [1 + 240q + 2160q^2 + 6720q^3 + O(q^4)]^8 \\ &= 1 + 1920q + 1630080q^2 + 803228160q^3 + O(q^4) \end{aligned}$$

Then,  $f_0 = g_0 - 1920g_1 - 1630080g_2$ , so:

$$\begin{aligned} f_0(q) &= g_0(q) - 1920g_1(q) - 1630080g_2(q) \\ &= [1 + 1920q + 1630080q^2 + 803228160q^3 + O(q^4)] \\ &\quad - 1920 [q + 50220q^3 + O(q^4)] \\ &\quad - 1630080 [q^2 + 432q^3 + O(q^4)] \\ &= 1 + 2611200q^3 + O(q^4) \end{aligned}$$

Therefore, up to  $O(q^4)$ ,  $\{f_0, f_1, f_2\} = \{1 + 2611200q^3 + O(q^4), q + 50220q^3 + O(q^4), q^2 + 432q^3 + O(q^4)\}$  is a basis for  $M_{16}$ .

**Miller basis for  $k = 92$**  The calculation of this basis may be interesting by hand once; However, it is possible to automate it. The procedure that calculates such coefficients is a standard in SageMath Contributors [2020]. Here is, up to  $O(q^{10})$ , the Miller basis for  $M_{92}$ :

$$\begin{aligned} f_0 &= 1 + 3034192667130000q^8 + 137290127714549760000q^9 + O(q^{10}) \\ f_1 &= q + 91578443563200q^8 + 2651503140376278561q^9 + O(q^{10}) \\ f_2 &= q^2 + 2380310529376q^8 + 42238207588515840q^9 + O(q^{10}) \\ f_3 &= q^3 + 51682260816q^8 + 530253459731160q^9 + O(q^{10}) \\ f_4 &= q^4 + 896013480q^8 + 4882999541760q^9 + O(q^{10}) \\ f_5 &= q^5 + 11516000q^8 + 28971735750q^9 + O(q^{10}) \\ f_6 &= q^6 + 94680q^8 + 80990208q^9 + O(q^{10}) \\ f_7 &= q^7 + 312q^8 - 4860q^9 + O(q^{10}) \end{aligned}$$

## 2.3 Basis Modulo Two

### 2.3.1 Reduced Modular Forms

Now that we have a basis with integral coefficients, it makes sense to reduce forms modulo 2. For a modular form  $f$ , we denote its reduced modulo 2 from  $\bar{f}$ . It is defined as follows:

If

$$f(q) = \sum_{n \in \mathbb{N}} c(n)q^n$$

then

$$\bar{f}(q) = \sum_{n \in \mathbb{N}} \bar{c}(n)q^n \quad \text{with } \bar{c}(n) = c(n) \bmod 2.$$

We want to reduce Miller basis modulo 2. The reason is that as we know that some coefficients are ones, the reduction will not be trivial. We will reduce separately  $E_2$ ,  $E_3$  and  $\Delta$  (which together generate the Miller basis).

**$E_2$  reduced** We have:

$$E_2(q) = 1 + 240 \sum_{n=1}^{\infty} \sigma_3(n)q^n \equiv 1 \bmod 2$$

Therefore, the reduction modulo 2 of  $E_2$  is just 1. We write  $\overline{E_2} = 1$ , so  $\overline{E_2^a} = 1$ , for all  $a \geq 0$ .

**$E_3$  reduced** We have:

$$E_3(q) = 1 - 504 \sum_{n=1}^{\infty} \sigma_5(n)q^n \equiv 1 \bmod 2$$

Therefore, the reduction modulo 2 of  $E_3$  is 1 as well. We write  $\overline{E_3} = 1$ , so  $\overline{E_3^b} = 1$ , for all  $b \geq 0$ .

**$\Delta$  reduced** We defined before  $\Delta$ , and we would now like to know its  $q$  extension in the standard way. That is, an infinite sum of  $q^n$ , instead of an infinite product as we have at the moment.

We define the coefficients  $\tau(n)$  to match in the equation:

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \sum_{n=1}^{\infty} \tau(n)q^n$$

When this holds,  $\tau$  is called the Ramanujan function.

We would like an explicit formula for  $\tau(n)$ . More precisely, we are interested in a formula for  $\tau(n) \bmod 2$ .

We will calculate separately the coefficients  $\tau(n) \bmod 2$  for  $n$  even and odd.

**Case  $n$  odd** Remember  $\sigma_s(n)$  as the sum of  $s^{th}$  powers of (positive) divisors of  $n$ . It is known from classical theory [Kolberg, 1962, p.8] that:

$$\tau(8n + l) \equiv a_l \sigma_{11}(8n + l) \pmod{2^{b_l}}$$

where  $\gcd(l, 8) = 1$ ,  $a_1 = 1$ ,  $a_3 = 1217$ ,  $a_5 = 1537$ ,  $a_7 = 705$ ,  $b_1 = 11$ ,  $b_3 = 13$ ,  $b_5 = 12$ ,  $b_7 = 14$

We are interested in congruence class (mod 2) of the Ramanujan function  $\tau(n)$ . For  $n$  odd, we deduce the following:

$$\tau(n) \equiv \sigma_{11}(n) \equiv \sum_{d|n} d^{11} \equiv \sum_{d|n} 1 \equiv \begin{cases} 1 \bmod 2 & \text{if } n \text{ is a square} \\ 0 \bmod 2 & \text{else} \end{cases}$$

**Case  $n$  even** It is easy to calculate that  $\tau(2) = -24 \equiv 0 \bmod 2$ .

Using  $\tau(p^{n+1}) = \tau(p^n)\tau(p) - p^{11}\tau(p^{n-1})$   $p \in \mathbb{P}$  [Serre, 1973, p.97] with  $p = 2$ , it follows by induction that  $\tau(2^k) \equiv 0 \bmod 2 \quad \forall k \in \mathbb{N}$ .

Using  $\tau(nm) = \tau(n)\tau(m)$  if  $\gcd(n, m) = 1$  [Serre, 1973, p.97], it follows that for all  $n$  even,  $\tau(n) \equiv 0 \bmod 2$ .

**Explicit series of the discriminant** Therefore, the only non-zero coefficients (modulo 2) appears on odd squares, i.e.:

$$\tau(n) \equiv \begin{cases} 1 \bmod 2 & \text{if } n = (2m + 1)^2 \text{ for } m \in \mathbb{N} \\ 0 \bmod 2 & \text{else} \end{cases}$$

Thus, we can write the power series of  $\Delta$  as:

$$\Delta(q) \equiv \overline{\Delta}(q) = \sum_{m=0}^{\infty} q^{(2m+1)^2} \bmod 2$$

### 2.3.2 Reduced Basis

The Miller basis for  $M_k$  was obtained via the Gauss elimination of the set  $\{\Delta^j E_3^{2(d-j)+b} E_2^a | 1 \leq j \leq \dim(M_k)\}$  (with some conditions on  $a, b, d$ ).

But  $\overline{E_2^a} = \overline{E_2}^a = 1^a = 1 \bmod 2$  and similarly,  $\overline{E_3^{2(d-j)+b}} = 1 \bmod 2$ . So once the above set is reduced modulo 2, we are left with  $\{\overline{\Delta}^j | 1 \leq j \leq \dim(M_k)\}$ . So the Miller basis just becomes the Gauss elimination of  $\overline{\Delta}$  powers.

This is what motivates the next section.

## 2.4 Space of Modular Forms Modulo Two

We would like to have a definition for this space in a similar way as  $M_k$  was used for modular forms (of weight  $2k$ ) before reduction.

By abuse of notation, we denote the reduction of  $\Delta$  modulo 2 (written  $\overline{\Delta}$  until here) also by  $\Delta$ , that is,

$$\Delta(q) = \sum_{m=0}^{\infty} q^{(2m+1)^2} \bmod 2.$$

### 2.4.1 Weights of Modular Forms Modulo Two

We just saw that the Miller basis for  $\overline{M_k}$  is (the Gaussian elimination of)  $\{\Delta^j | 1 \leq j \leq \dim(M_k)\}$ .

Now, if we look at this set not reduced modulo 2, we have:  $\{\Delta^j | 1 \leq j \leq \dim(M_k)\}$ . This is a set of modular forms that have different weights. However, we started with a modular forms in  $M_k$ , i.e. all modular forms having weight  $2k$ .

We understand now that modulo 2, the weight of modular form doesn't make sense any more. This is one of the consequences of reducing modulo 2: we lose some informations about the modular forms, such as the weight.

From this observation, we should study all modular forms together, modulo 2 (instead of separating by weights). This is why the space of modular forms modulo 2 will be denoted  $\mathcal{F}$ , with no dependence on  $k$ .

### 2.4.2 Powers of the Modular Discriminant $\Delta$

**Set of Powers of the Modular Discriminant  $\Delta$**  As we just saw, the Gaussian elimination of powers  $\Delta^k$  up to  $\dim(\overline{M_k})$  form the Miller basis of  $\overline{M_k}$  (modular forms of weight  $2k$  reduced modulo 2).

For simplicity again, we will just take the powers of  $\Delta$  to be our basis for modular forms modulo 2 (i.e. drop the Gaussian elimination process).

We define the space  $\mathbb{F}_2[\Delta]$  in the usual way:

$$\mathbb{F}_2[\Delta] = \left\{ \sum_{k=1}^n a_k \Delta^k \mid n \in \mathbb{N}, a_k \in \mathbb{F}_2 \right\}$$

From 2.3.1 we had:

$$\Delta(q) = \sum_{n=0}^{\infty} \tau(n) q^n = \sum_{m=0}^{\infty} q^{(2m+1)^2}$$

Therefore, we define

$$\Delta^k(q) = \sum_{n=0}^{\infty} \tau_k(n) q^n = \left( \sum_{m=0}^{\infty} q^{(2m+1)^2} \right)^k \pmod{2}$$

Thus, we have  $\tau(n) = \tau_1(n)$ .

**Proportion of zeros** In fact, most of the coefficients  $\tau_k(n)$  are 0 modulo 2.

When  $k = 1$ , there is already few coefficients that are ones: only the odd squares. When raising to the  $k^{th}$  power, there are even "less".

**Conditions on non-zero coefficients** We can find conditions on coefficients that may not be zero.

We observe: We remark that odd squares are all 1 mod 8, and even squares are all 0 mod 8.

$a =$	0	1	2	3	4	5	6	7	mod8
$a^2 =$	0	1	4	1	0	1	4	1	mod8

Table 1: Squares modulo 8

We know from previous calculations that  $\Delta(q)$  only has odd powers of  $q$ . Thus, raising to the  $k^{th}$  power give terms of power  $n$  such that:

$$\begin{aligned} n &= m_1^2 + m_2^2 + m_3^2 + \dots + m_k^2 \\ &\equiv 1 + 1 + 1 + \dots + 1 \pmod{8} \\ &\equiv k \pmod{8} \end{aligned}$$

Therefore:  $\tau_k(n) \equiv 1 \pmod{2} \implies n \equiv k \pmod{8}$

Equivalently:  $n \not\equiv k \pmod{8} \implies \tau_k(n) \equiv 0 \pmod{2}$  (by taking the contra-positive)

This means, that  $\Delta^k$  may only have terms  $q^n$  such that  $n \equiv k \pmod{8}$ , i.e.  $\Delta^k$  may only have terms of power congruent to  $k \pmod{8}$ . When  $k = 1$ , this is that  $\Delta$  may only have terms of power  $1 \pmod{8}$ , this matches with table 1: all odd squares are  $1 \pmod{8}$ .

**Even powers of  $\Delta$**  We compare  $\Delta^{2k}(q)$  and  $\Delta^k(q^2)$ :

$$\begin{aligned} \Delta^{2k}(q) &= \left( \sum_{m=0}^{\infty} q^{(2m+1)^2} \right)^{2k} \\ &= \sum_{n=0}^{\infty} \#[(2m_1+1)^2 + (2m_2+1)^2 + \dots + (2m_{2k}+1)^2 = n \mid m_0, m_1, \dots, m_{2k} \in \mathbb{N}] q^n \\ &= \sum_{n \text{ even}}^{\infty} \#[(2m_1+1)^2 + (2m_2+1)^2 + \dots + (2m_k+1)^2 = n/2 \mid m_0, m_1, \dots, m_k \in \mathbb{N}] q^n \\ &= \left( \sum_{m=0}^{\infty} q^{((2m+1)^2) \cdot 2} \right)^k \\ &= \left( \sum_{m=0}^{\infty} (q^2)^{(2m+1)^2} \right)^k = \Delta^k(q^2) \end{aligned}$$

Thus,  $\Delta^{2k}(q) = \Delta^k(q^2)$ . Therefore, we can write any modular form modulo 2  $f$  as the following:

$$f = \sum_{s \geq 0} f_s^{2^s} \quad \text{with } f_s \text{ having only odd powers of } \Delta$$

[Nicolas and Serre, 2012a, (3)] So it is sufficient to study only the odd powers of  $\Delta$ .

### 2.4.3 The Space $\mathcal{F}$

We define the space of modular forms modulo 2 denoted  $\mathcal{F}$  to be [Nicolas and Serre, 2012a, 2.1]:

$$\mathcal{F} = \langle \Delta^k \mid k \text{ odd} \rangle = \langle \Delta, \Delta^3, \Delta^5, \Delta^7, \dots \rangle$$

That is, all finite polynomials of  $\Delta$  over  $\mathbb{F}_2$ , having only odd powers. We remark that the weight of modular forms do not appear, as it was discussed before in 2.4.1. The observations modulo 8 that we have done in 2.4.2 yields that it will be useful to denote:

$$\begin{aligned} \mathcal{F}_1 &= \langle \Delta^k \mid k \equiv 1 \pmod{8} \rangle = \langle \Delta, \Delta^9, \Delta^{17}, \Delta^{25}, \dots \rangle \\ \mathcal{F}_3 &= \langle \Delta^k \mid k \equiv 3 \pmod{8} \rangle = \langle \Delta^3, \Delta^{11}, \Delta^{19}, \Delta^{27}, \dots \rangle \\ \mathcal{F}_5 &= \langle \Delta^k \mid k \equiv 5 \pmod{8} \rangle = \langle \Delta^5, \Delta^{13}, \Delta^{21}, \Delta^{29}, \dots \rangle \end{aligned}$$

$$\mathcal{F}_7 = \langle \Delta^k \mid k = 7 \bmod 8 \rangle = \langle \Delta^7, \Delta^{15}, \Delta^{23}, \Delta^{31}, \dots \rangle$$

Of course, we have:

$$\mathcal{F} = \mathcal{F}_1 \oplus \mathcal{F}_3 \oplus \mathcal{F}_5 \oplus \mathcal{F}_7$$

We will also introduce (as in [Nicolas and Serre, 2012b, 2.]):

$$\mathcal{F}(n) = \langle \Delta^k \mid k \text{ odd and } k \leq 2n-1 \rangle = \langle \Delta, \Delta^3, \Delta^5, \dots, \Delta^n \rangle$$

This matches specifically  $\overline{M_{12n}} = \mathcal{F}(n)$ .

#### 2.4.4 Duality between $\Delta$ and $q$

As we defined  $\mathcal{F}$  above, a modular form modulo 2 is an expression of powers  $\Delta^k$ . But we had from before that  $\Delta = \sum_{m=0}^{\infty} q^{(2m+1)^2} \bmod 2$ . Therefore, we can translate a modular form given as a finite polynomial of  $\Delta$  into an infinite polynomial of  $q$ . Thus, there are two ways to write a modular form modulo 2.

This duality between the two definitions is what makes the study of modular forms modulo 2 so interesting: we go back and forth between an infinite series and a finite polynomial. One is easy to express, the other easy to compute. This will lead to new reasoning. In particular, there is a new technique of computation ("exact computations") that uses equivalence between the two ways of writing a modular form.

### 2.5 Hecke Operators Modulo Two

#### 2.5.1 Reduction Modulo Two

**Definition** Now that we have reduced modular forms modulo 2, we would like to study the Hecke operators on these reduced modular forms. We define Hecke operators modulo 2 as follows:

With  $f$  a modular form modulo 2 with  $q$  definition

$$f(q) = \sum_{n \in \mathbb{N}} c(n)q^n$$

we define

$$\overline{T_p} f(q) = \sum_{n \in \mathbb{N}} \gamma(n)q^n$$

where

$$\gamma(n) = \begin{cases} c(np) & \text{if } p \nmid n \\ c(np) + c(n/p) & \text{if } p \mid n \end{cases} \quad \& \text{ } p \text{ an odd prime}$$

**Well-definiteness** We want to check that all the definitions make sense. When we look at  $T_p f$ , there is a number of ways to reduce it modulo 2:  $\overline{T_p f}$ ,  $\overline{T_p} \overline{f}$ ,  $\overline{\overline{T_p} f}$ ,  $\overline{\overline{T_p}} \overline{f}$ .

Let's compare coefficients:

$\overline{T_p} \overline{f}$ :

$$\gamma(n) = \sum_{a \mid (n,p), a \geq 1} a^{2k-1} c\left(\frac{np}{a^2}\right) = \begin{cases} \overline{c}(np) & \text{if } p \nmid n \\ \overline{c}(np) + \overline{c}(n/p) & \text{if } p \mid n \end{cases}$$

Divisors of  $(n, p)$  are  $\{1\}$  or  $\{1, p\}$  since  $p$  is prime, so the sum split in two cases, with one or two terms. We see now that looking at Hecke operators modulo 2 only for primes simplifies the sum to a computable formula.



As both 1 and  $p$  are odd, the term  $a^{2k-1}$  reduces to 1 modulo 2. We understand why Hecke operators modulo 2 isn't defined for even numbers: many terms in the summation would become zero. It would not make sense to call it a Hecke operator any more.

It also makes sense why we look at modular forms modulo 2 and not say three or five: the coefficient  $a^{2k-1}$  collapse nicely modulo 2, which won't be the case modulo an other number then 2.

$\overline{T_p|f}$ : This is (very) similar to the case before.

$\overline{T_p|f}$ :

$$\gamma(n) = \begin{cases} \overline{c}(np) & \text{if } p \nmid n \\ \overline{c}(np) + \overline{c}(n/p) & \text{if } p \mid n \end{cases}$$

$\overline{\overline{T_n|f}}$ : Again, this is (very) similar to the case before.

All reductions give in fact the same result, so it makes sense to reduce modular forms modulo 2, and still study the Hecke operators (but now only for odd primes). As this all makes sense, we will now write only consider modular forms modulo 2, and we will drop the over lines for simplicity. The fact that  $T_p$  and  $\overline{T_p}$  have exactly the same action on the  $q$ -expansions of modular forms is only true when  $p$  is an odd prime. This is why we will concentrate on this case.

### 2.5.2 Basic Properties

When reduced modulo 2, Hecke operators  $\overline{T_p}$  for primes  $p$  have more properties then the general  $T_p$ . The extra properties make the study modulo two interesting.

**Inherited properties** From the fact that  $\overline{T_p|f(q)} = \overline{T_p|f(q)}$ , we get that the Hecke operators modulo 2 keep the properties they had before being reduced.

**Modularity Remains** From definition 1.7, a Hecke operators transform a modular form to an other. This is because from definition,  $T_n f$  is a sum of modular forms (which remain modular). Therefore, Hecke operators modulo 2 will as well transform a modular form to an other. This was not clear from the definition modulo 2 that we had (which was in terms of  $q$  series).

**Commutativity** As in general [Serre, 1973, p.101]:

$$T_n T_m = T_{mn} \quad \text{if } \gcd(m, n) = 1$$

We get that:

$$\overline{T_p T_q} = \overline{T_q T_p} \quad \forall p, q \in \mathbb{P}$$

Therefore, the Hecke operators modulo 2 commute. This, as well, was not clear from definition. It will be very convenient for future calculations.

**Linearity** From definition 1.7, we have that the Hecke operators are immediately linear. That is:

$$T_p|(f + g) = T_p|f + T_p|g$$

(this follows directly from definition).

This property will also remain modulo 2.

**Behaviour of  $\mathcal{F}_i$**  Suppose  $f \in \mathcal{F}_i$ <sup>2</sup>, using 2.4.2, we have:

$$f = \sum_{m \equiv i \pmod{8}} \mu_m \Delta^m = \sum_{n \equiv i \pmod{8}} c(n) q^n$$

From the definition of Hecke operator modulo 2 (2.5.1), we have:

$$\overline{T_p}|f = \sum_{n \in \mathbb{N}} \gamma(n) q^n \quad \text{with } \gamma(n) = \begin{cases} c(np) & \text{if } p \nmid n \\ c(np) + c(n/p) & \text{if } p \mid n \end{cases}$$

$c(np)$ : We have  $np \not\equiv i \pmod{8} \implies c(np) = 0$ .

$c(n/p)$ : As  $p$  is an odd prime, it is an odd number, so from 2.4.2,  $p^2 \equiv 1 \pmod{8}$ , so  $p^{-2} \equiv 1 \pmod{8}$  as well (with  $p^{-2}$  seen mod 8).

Therefore,  $np \not\equiv i \pmod{8} \implies n/p \equiv np/p^2 \equiv np \not\equiv i \pmod{8}$ .

$\gamma(n)$ : We conclude that  $n \equiv np^2 \not\equiv pi \pmod{8} \implies \gamma(n) = 0$

Using 2.4.2 again, we deduce that  $\overline{T_p}|f \in \mathcal{F}_j$  with  $j \equiv pi \pmod{8}$ .

Overall, we have the following:

$$f \in \mathcal{F}_i \implies \overline{T_p}|f \in \mathcal{F}_j \text{ with } j \equiv pi \pmod{8}$$

**Non-Nullity of Hecke Opereator** We will prove a property that directly implies the non nullity of Hecke Operators.

This property follows the idea developed in [Ono, 2004, p.33].

**Property 2.1.** *If  $f \in \mathcal{F}$ , and  $\overline{T_p}|f = 0$  for all odd primes  $p$ , then either  $f = 0$  or  $f = \Delta$ . That is, only  $\Delta$  and 0 give zero after applying any Hecke operator.*

*Proof.* Let's denote by  $a(n)$  the coefficients of the  $q$ -expansion of  $f$  in the usual way ( $f(z) = \sum_{n=0}^{\infty} a(n) q^n$ , with  $q = e^{2\pi iz}$ ). With  $p$  an odd prime, we similarly define  $\overline{T_p}|f(z) = \sum_{n=0}^{\infty} \gamma(n) q^n$  with  $\gamma(n) = c(np) + c(n/p)$ .

1. if  $r$  simple odd:

$p \nmid n$  gives  $0 = \gamma(n) = a(np)$ , so  $a(r) = 0$

2. If  $r$  odd of power 3 or more:

Putting  $n = mp^2$ , we get:  $0 = \gamma(mp^2) = a(mp^3) + a(mp) = a(mp^3)$ .

Thus,  $a(r) = 0$

Thus,  $a(r) \neq 0$  implies  $r$  is an odd square. Note that  $0 = \gamma(np) = a(np^2) + a(n)$ , so  $a(1) = 1$  will implies  $a(r) = 1$  for all odd squares  $r$ . In this case,  $f = \Delta$ .

Similarly,  $a(1) = 0$  makes  $a(n) = 0$  for all  $n$ . Therefore,  $f$  may only be  $\Delta$  or 0.  $\square$

An immediate consequence (by taking the contra-positive) of this property is that if  $f \neq 0, \Delta$ , then there exists a  $p$  such that  $\overline{T_p}|f \neq 0$ . Thus, for any  $k > 1$ , we get that there is a prime  $p$  such that  $\overline{T_p}|\Delta^k \neq 0$ . This means that  $\overline{T_p}$  is never the null operator (so reduction modulo two doesn't become trivial).

---

<sup>2</sup>By abuse of notation, denote by  $f$  a modular forms modulo (instead of  $\overline{f}$ ).

### 2.5.3 Nil-potency

The properties of Hecke operators is that, given a modular form  $f$ , if we apply a Hecke operators enough times, the form will become zero (i.e. they are nilpotent). The strategy to show this is to prove that for any  $k$  (odd), and any prime  $p$ , we have:

$$\overline{T}_p|\Delta^k = \sum_{j < k} \mu_j \Delta^j$$

The proof of this property will be divided in two main steps:

**Order of  $\Delta$  doesn't increase** We first want to show that:

$$\overline{T}_p|\Delta^k = \sum_{j \leq k} \mu_j \Delta^j$$

From definition 1.7, a Hecke operators takes a modular form of weight  $2k$  to an other modular form of weight  $2k$ . Take a modular form modulo 2  $\overline{f}$  with degree  $k$  (in terms of  $\Delta$ ). Note that there is a modular form  $f$  that corresponds to  $\overline{f}$  when reduced modulo two. Now we want to know the maximum degree (again in terms of  $\Delta$ ) of  $\overline{T}_p|\overline{f}$ . Let  $n$  be the smallest integer such that  $\overline{f} \in \overline{M}_{12n}$ .

We know  $\overline{T}_p|\overline{f} = \overline{T_p|f}$  and  $\overline{f} \in \mathcal{F}(n) = \overline{M}_{12n}$  so  $f \in M_{12n}$ . This implies that  $T(p)f \in M_{12n}$  so  $\overline{T(p)f} \in \overline{M}_{12n}$ , thus  $\overline{T}_p|\overline{f} \in \mathcal{F}(n)$ .

Therefore, the maximum degree (in terms of  $\Delta$ ) of  $\overline{T}_p|\overline{f}$  is  $k$  as well. Thus, the degree of  $\overline{f}$  doesn't increase after applying a Hecke operator.

**Order of  $\Delta$  decrease** Since  $T_p$  and  $\overline{T}_p$  have exactly the same action on  $q$ -expansions of modular forms, we can interchange them as we want. By abuse of notation (again), we denote the reduction of  $T_p$  modulo 2 (usually denoted  $\overline{T}_p$ ) by  $T_p$  as well.

Now that we have proved that

$$T_p|\Delta^k = \sum_{j \leq k} \mu_j \Delta^j$$

we need to show that  $\mu_k = 0$ , so that the maximum order of  $\Delta$  in fact effectively decrease.

Let's look at  $\mathcal{F}(k)$  as a vector space over  $\mathbb{F}_2$  with basis  $\{\Delta, \Delta^3, \dots, \Delta^k\}$ . We may represent a modular form modulo 2 by a  $k$ -vector over  $\mathbb{F}_2$  (note that even powers of  $\Delta$  will always be zero, but we keep track of them to lighten notation). Then, as  $T_p$  are linear (see 2.5.2), we can represent each operator  $T_p$  with a matrix. Let  $A_p$  be the  $(k \times k)$ -matrix (over  $\mathbb{F}_2$ ) representing the action of  $T_p$  on  $\mathcal{F}(k)$ . Since the order of  $\Delta$  doesn't increase when applying a Hecke operator, the matrix  $A_p$  should be upper-triangular, i.e.:

$$A_p = \begin{pmatrix} a_{1,1} & a_{1,2} & a_{1,3} & \cdots & a_{1,k} \\ 0 & a_{2,2} & a_{2,3} & \cdots & a_{2,k} \\ 0 & 0 & a_{3,3} & \cdots & a_{3,k} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & a_{k,k} \end{pmatrix}$$

We need to show that the coefficients  $a_{i,i}$  are zero.

We will do this by induction. Suppose we know  $T_p$  decrease the degree of  $\Delta^j$  for all  $j \leq k-1$ . Translating this information to the matrix, it means that  $a_{j,j} = 0$  for all  $j \leq k-1$ . Then, we only need to show that  $a_{k,k} = 0$ .

Now that we have all this information on the diagonal, it makes sense to study the trace:  $\text{Tr}(A_p) = a_{k,k}$ . A nice interpretation of the Trace should give us an equation for  $a_{k,k}$ .

We can interpret the trace as the sum of eigenvalues of the matrix  $A_p$ , i.e. eigenvalues of the Hecke operator  $T_p$ . Some knowledge about eigenvalues of Hecke operators has been proved already (see Hatada [1979]), we have: For  $p$  an odd prime, if  $\lambda_p$  is an eigenvalue of  $T_p$ , we have the congruence:  $\lambda_p \equiv 1 + p \pmod{8}$ . Since  $p$  is an odd (prime) number, we get:  $\lambda_p \equiv 0 \pmod{2}$ . As this is true for all eigenvalues of  $T_p$ , we have that the sum of eigenvalues (which corresponds to the trace of the matrix) is zero over  $\mathbb{F}_2$ . Thus:  $a_{k,k} = \text{Tr}(A_p) \equiv 0 \pmod{2}$

Now, this is a proof by induction, but the first case really is  $k = 0$ , in which case, all modular forms are just 0, so all Hecke operators are obviously zero so nilpotent in this case.

Therefore, we proved the nilpotence modulo 2 of Hecke operators  $T_p$  for all  $p$  odd primes.

#### 2.5.4 Expression as a Sum of Powers of $\Delta$

As the degree of a modular form doesn't increase after applying a Hecke operator, we can apply this the modular form  $\Delta^k$  to get:

$$T_p|\Delta^k = \sum_{\substack{j \leq k \\ j \text{ odd}}} \mu_j \Delta^j$$

As we know, moreover, that the degree of a modular form will in fact decrease, we deduce that in fact:

$$T_p|\Delta^k = \sum_{\substack{j \leq k-2 \\ j \text{ odd}}} \mu_j \Delta^j \quad (*)$$

The observation on  $\mathcal{F}_i$  (in 2.5.2) leads us to the formula:

$$T_p|\Delta^k = \sum_{\substack{j \leq k-2 \\ j \equiv p^k \pmod{8}}} \mu_j \Delta^j \quad (**)$$

(since  $\Delta^k \in \mathcal{F}_i$  with  $k \equiv i \pmod{8}$ )

#### 2.5.5 Examples (for Small Powers of $\Delta$ )

We will describe the behaviour of Hecke operators when applied to  $\Delta^k$  with  $k$  odd,  $k \leq 7$ .

$\Delta$  Clearly, from (\*), we have  $T_p|\Delta = 0$ , since the sum is empty (for any  $p$  odd prime).

$\Delta^3$  From (\*), we have  $T_p|\Delta^3 = \Delta$  or 0.

Moreover, (\*\*) gives  $T_p|\Delta^3 = 0$  if  $1 \not\equiv 3p \pmod{8}$  i.e. if  $p \not\equiv 3 \pmod{8}$ .

Now, if  $p \equiv 3 \pmod{8}$ , we may only look at the coefficient  $q^1$  of  $T_p|\Delta^3$  (if it is 1,  $T_p|\Delta^3 = \Delta$  and if it is 0,  $T_p|\Delta^3 = 0$ , as there is no other possibilities).

From definition (in 2.5.1), we have that the coefficient of  $q^1$  is  $\gamma(1) = c(p)$  (since  $p \nmid 1$ ) with  $c$  the  $q$  coefficients of  $\Delta^3$ .

From (2.3.1), the none zero coefficients of  $\Delta$  are odd squares.

Now,  $c(p)$  is the  $p^{\text{th}}$  coefficient of  $\Delta^3$ . We have:

$$(\Delta(q))^3 = \left( \sum_{m=0}^{\infty} q^{(2m+1)^2} \right)^3 = \sum_{n=0}^{\infty} \#\{m_1, m_2, m_3 \text{ odds} \mid m_1^2 + m_2^2 + m_3^2 = n\} q^n$$

So  $c(p) = \#\{m_1, m_2, m_3 \text{ odds} \mid m_1^2 + m_2^2 + m_3^2 = p\} \pmod{2}$  corresponds (mod 2) to the number of ways to write  $p$  as sum of three odd squares.

Need in fact  $m_1 = m_2 \neq m_3$ , but then?? [I am stuck]

$\Delta^5$  From (\*), we have  $T_p|\Delta^5 = \Delta^3$  or  $\Delta$  or 0.  
Moreover, (\*\*) gives:

$$\begin{array}{lll} p \equiv 7 \pmod{8} : & T_p|\Delta^5 = \Delta^3 \text{ or } 0 & \text{if } 3 \equiv 5p \pmod{8} \quad \text{i.e. } p \equiv 7 \pmod{8} \\ p \equiv 5 \pmod{8} : & T_p|\Delta^5 = \Delta \text{ or } 0 & \text{if } 1 \equiv 5p \pmod{8} \quad \text{i.e. } p \equiv 5 \pmod{8} \\ p \equiv 1 \text{ or } 3 \pmod{8} : & T_p|\Delta^5 = 0 & \text{else} \end{array}$$

Now, if  $p \equiv 7 \pmod{8}$ , we may only look at the coefficient  $q^3$  of  $T_p|\Delta^5$  (if it is 1,  $T_p|\Delta^5 = \Delta^3$  and if it is 0,  $T_p|\Delta^5 = 0$ , as there is no other possibilities).

From definition (in 2.5.1), we have that the coefficient of  $q^3$  is  $\gamma(3) = c(3p)$  (since  $p \nmid 3$ ) with  $c$  the  $q$  coefficients of  $\Delta^5$ .

From (2.3.1), the none zero coefficients of  $\Delta$  are odd squares. Now,  $c(3p)$  is the  $p^{\text{th}}$  coefficient of  $\Delta^5$ . We have:

$$(\Delta(q))^5 = \left( \sum_{m=0}^{\infty} q^{(2m+1)^2} \right)^5 = \sum_{n=0}^{\infty} \#\{m_1, m_2, m_3, m_4, m_5 \text{ odds} \mid m_1^2 + m_2^2 + m_3^2 + m_4^2 + m_5^2 = n\} q^n$$

So  $c(3p) = \#\{m_1, m_2, m_3, m_4, m_5 \text{ odds} \mid m_1^2 + m_2^2 + m_3^2 + m_4^2 + m_5^2 = 3p\} \pmod{2}$  corresponds (mod 2) to the number of ways to write  $3p$  as sum of five odd squares.

When looked mod 8,  $m_1^2 + m_2^2 + m_3^2 + m_4^2 + m_5^2 \equiv 5 \pmod{8}$ . We can check,  $p \equiv 7 \pmod{8}$  so  $3p \equiv 5 \pmod{8}$ .

Need in fact  $m_1 = m_2 \neq m_3$ , but then?? [I am stuck]

$\Delta^7$

### 2.5.6 Table of Hecke Operators

Here is a table of Hecke operators for primes up to 50, and powers of  $\Delta$  up to 20:

	$\Delta^1$	$\Delta^3$	$\Delta^5$	$\Delta^7$	$\Delta^9$	$\Delta^{11}$	$\Delta^{13}$	$\Delta^{15}$	$\Delta^{17}$	$\Delta^{19}$
$T_3$	0	$\Delta$	0	$\Delta^5$	$\Delta^3$	$\Delta^9$	$\Delta^7$	$\Delta^5 + \Delta^{13}$	0	$\Delta^9 + \Delta^{17}$
$T_5$	0	0	$\Delta$	$\Delta^3$	0	0	$\Delta^9$	$\Delta^3 + \Delta^{11}$	$\Delta^5$	$\Delta^7$
$T_7$	0	0	0	$\Delta$	0	0	$\Delta^3$	$\Delta^9$	0	$\Delta^5$
$T_{11}$	0	$\Delta$	0	$\Delta^5$	$\Delta^3$	$\Delta + \Delta^9$	$\Delta^7$	$\Delta^{13}$	0	$\Delta^9 + \Delta^{17}$
$T_{13}$	0	0	$\Delta$	$\Delta^3$	0	0	$\Delta + \Delta^9$	$\Delta^{11}$	$\Delta^5$	$\Delta^7$
$T_{17}$	0	0	0	0	$\Delta$	$\Delta^3$	$\Delta^5$	$\Delta^7$	$\Delta$	0
$T_{19}$	0	$\Delta$	0	$\Delta^5$	$\Delta^3$	$\Delta + \Delta^9$	$\Delta^7$	$\Delta^{13}$	0	$\Delta + \Delta^9 + \Delta^{17}$
$T_{23}$	0	0	0	$\Delta$	0	0	$\Delta^3$	$\Delta + \Delta^9$	0	$\Delta^5$
$T_{29}$	0	0	$\Delta$	$\Delta^3$	0	0	$\Delta^9$	$\Delta^3 + \Delta^{11}$	$\Delta^5$	$\Delta^7$
$T_{31}$	0	0	0	0	0	0	0	$\Delta$	0	0
$T_{37}$	0	0	$\Delta$	$\Delta^3$	0	0	$\Delta + \Delta^9$	$\Delta^{11}$	$\Delta^5$	$\Delta^7$
$T_{41}$	0	0	0	0	0	0	0	0	$\Delta$	$\Delta^3$
$T_{43}$	0	$\Delta$	0	$\Delta^5$	$\Delta^3$	$\Delta^9$	$\Delta^7$	$\Delta^5 + \Delta^{13}$	0	$\Delta^9 + \Delta^{17}$
$T_{47}$	0	0	0	0	0	0	0	$\Delta$	0	0

It seems quite random, which makes sense since the Hecke operators depend on prime, and primes appear at random. However, it is interesting to try to find patterns and rules for this table.

In line one ( $\Delta^3$ ), we get  $1/4^{\text{th}}$  of the primes giving  $\Delta$ , this is a consequence of Dirichlet Density Theorem, that will be discussed later in this paper. [Is this remark pertinent?]

### 2.5.7 Nil-potency Order

As we know that the Hecke operators are nilpotent, we may want to study the order of nil potentness.

**Definition** For a modular form modulo 2  $f \in \mathcal{F}$ , we define the *nil potentness order* to be the smallest integer  $g(f)$  such that we have

$$T_{p_1} T_{p_2} \cdots T_{p_{g(f)}} |f = 0$$

for any set of primes numbers  $p_1, p_2, \dots, p_{g(f)} \in \mathbb{P}$ . The primes  $p_i$  involved do not need to be distinct. Note as well that from commutativity of the Hecke operators, the order of the primes  $p_i$  doesn't matter.

By convention, we write  $g(0) = -\infty$ . With a slight abuse of notation, we will write  $g(k)$  for  $g(\Delta^k)$ .

#### Properties

**Well-definiteness** All Hecke operators lower by at least two the maximum degree of  $\Delta$  in the  $\Delta$ -expansion of a modular form modulo 2 2.5.3. We deduce that  $g(f) \leq g(T_p |f) + 1$ . Applied to  $\Delta^k$ , we get:  $g(k) \leq g(k-2) + 1$ . Therefore, by induction, we have  $g(k) \leq \lfloor \frac{k+1}{2} \rfloor$ . This implies by the same occasion, the well definiteness of the order of nil potentness for all modular form modulo two.

**Minimum Order** If the degree of  $f$  is strictly greater than 1 (i.e.  $f \neq 0, \Delta$ ), then  $g(f) \geq 2$ .

We deduce this from the fact that Hecke operators are not null operators in general (2.5.2): Remember that one consequence of non nullity is that if  $f \neq 0, \Delta$ , then there exists an odd prime  $p$  such that  $T_p |f \neq 0$ . This directly implies that  $g(f) > 1$ .

**Conjecture** Let  $f_1, f_2 \in \mathcal{F}$  be modular form modulo 2, such that  $f_1 = \Delta^k + \sum_{j < k} \mu_j \Delta^j$  and  $f_2 = \Delta^k + \sum_{j < k} \nu_j \Delta^j$  (i.e. both having maximum power  $\Delta^k$ ).

Then  $g(f_1) = g(f_2)$ .

**Proof attempt** By induction?

Case  $k = 1$ : This is trivial.

Case  $k = 3$ : This is rather straightforward as well:  $g(\Delta^3) = 1$  and  $g(\Delta^3 + \Delta) = 1$ .

Now, suppose this is true for all  $k^* < k$ . Want to show it is true for  $k$ .

Need

$$T_p | \Delta^k = \Delta^l + O(\Delta^{l-2}) \implies \exists q \in \mathbb{P} \text{ such that } T_q | \Delta^{k+2} = \Delta^m + O(\Delta^{l-2}) \text{ with } m \geq l$$

—— or ——

$$\text{MaxOrder}(T_p | \Delta^k) \geq \text{MaxOrder}(T_p | \Delta^k + \Delta^l) \quad \forall l < k$$

Is any of the two reasonable to prove?

Note that if it is not provable, I should do a numerical analysis, and mention it as a [rather strong, depending on the analysis] conjecture. Note that it might be wrong, and maybe that numerical analysis will find it out. In such a case, it is nice to mention.

#### Examples

**By hand** We can compute a few nil potentness by hand:

- $g(0) = -\infty$
- $g(\Delta) = 1$ :  
 $T_p(\Delta) = 0$  as order of  $\Delta$  decrease, see 2.5.3
- $g(\Delta^3) = 2$ :  
 $T_p|\Delta^3 = \Delta$  or  $0$   
thus:  $g(\Delta^3) = 1 + \max(g(\Delta), g(0)) = 2$
- $g(\Delta^3 + \Delta) = 2$   
similarly
- $g(\Delta^5) = 2$ :  
 $T_p|\Delta^5 = \Delta$  or  $0$   
thus:  $g(\Delta^5) = 1 + \max(g(\Delta), g(0)) = 2$
- $g(\Delta^5 + \Delta^3 + \Delta) = g(\Delta^5 + \Delta^3) = g(\Delta^5 + \Delta) = 2$   
similarly
- $g(\Delta^7) = 3$ :  
 $T_p(\Delta^7) = \Delta^5$  or  $\Delta^3$  or  $\Delta$  or  $0$   
thus:  $g(\Delta^7) = 1 + \max(g(\Delta^5), g(\Delta^3), g(\Delta), g(0)) = 3$

**Computer calculated** We will look at  $\Delta^{95}$ ...

### 3 Class Field Theory

#### 3.1 Context

Let  $R$  be a commutative ring,  $M$  and  $P$  ideals in  $R$ . We can then prove the followings:

**Theorem 5.**     •  $M$  is maximal  $\iff R/M$  is a field

•  $P$  is prime  $\iff R/P$  is an integral domain

**Property 3.1.** *Maximal ideals are prime.*

*Proof.*

$$\begin{aligned} M \text{ maximal ideal} &\iff R/M \text{ field} \\ &\implies R/M \text{ Integral Domain} \iff M \text{ prime ideal} \end{aligned}$$

□

If  $L/K$  is a Galois extension, then we will denote it's Galois group by  $\text{Gal}(L/K)$ .

Let  $K$  be a number field, and  $\mathcal{O}_K$  be the corresponding ring of integers. Let  $\mathfrak{p}$  be a non-zero prime ideal in  $\mathcal{O}_K$ . Let  $L/K$  be a finite extension and again,  $\mathcal{O}_L$  be the ring of integers in  $L$ .

Then we know that  $\mathcal{O}_L$  is the integral closure of  $\mathcal{O}_K$  in  $L$

We have  $\mathfrak{p}\mathcal{O}_L$  an ideal in  $\mathcal{O}_L$ . It is not a prime ideal in general, but as  $L/K$  is finite, there exists a factorization as the following:

$$\mathfrak{p}\mathcal{O}_L = \prod_{i=1}^r \mathfrak{P}_i^{e_i}$$

Where the integers  $e_i$  are called the ramification indexes. We also have  $\mathfrak{P}_i \cap \mathcal{O}_K = \mathfrak{p}$ , and we say that the ideals  $\mathfrak{P}_i$  in  $L$  extend the ideal  $\mathfrak{p}$  in  $K$ .

Then, there are three possibilities for an ideal: it may split, ramify or be inert.

**Definition 3.1** (Ideal Ramifies). *We say that an ideal  $\mathfrak{p}$  ramifies in  $L/K$  if a ramification index  $e_i$  is greater than one, i.e. if  $e_i > 1$  for some  $1 \leq i \leq r$ .*

**Definition 3.2** (Ideal Splits). *We say that  $\mathfrak{p}$  splits in  $L/K$  if none of the ramification indexes  $e_i$  is greater than one, and  $r$  is at least two; i.e. if  $e_i = 1 \quad \forall 1 \leq i \leq r$  and  $r \geq 2$ .*

**Definition 3.3** (Ideal Inert). *We say that  $\mathfrak{p}$  is inert in  $L/K$  if there is only one ramification index  $e_1$  and it is equal to one; i.e. if  $e_1 = 1$  and  $r = 1$ .*

We know that the extension  $L/K$  is ramified in the primes that divide the discriminant. Therefore, the extension is unramified in all but finitely many prime ideals.

#### 3.2 Residue Fields Extensions

The ideal  $\mathfrak{p}$  defines the residue field  $F = \mathcal{O}_K/\mathfrak{p}$ . The ideals  $\mathfrak{P}_i$  define the residue fields  $F_i = \mathcal{O}_L/\mathfrak{P}_i$ . The field  $F$  then naturally embeds to  $F_i$  (so each  $\mathfrak{P}_i$  defines a field extension). The inertia degree of  $\mathfrak{P}_i$  is the degree  $f_i = [F_i : F] = [\mathcal{O}_L/\mathfrak{P}_i : \mathcal{O}_K/\mathfrak{p}]$  of this extension.

We then observe that  $[L : K] = \sum_{i=1}^r e_i f_i$

We can then specify when an ideal splits or ramifies completely.



**Definition 3.4** (Ideal Splits Completely). *We say that  $\mathfrak{p}$  splits completely in  $L/K$  if all ramification indexes  $e_i$  and inertia degrees  $f_i$  are one. i.e. if  $e_i = f_i = 1 \quad \forall 1 \leq i \leq r$ .*

*In this case,  $r = [L : K]$ .*

**Definition 3.5** (Ideal Ramifies Completely). *We say that  $\mathfrak{p}$  ramifies completely in  $L/K$  if the inertia degrees  $f_1$  is one, and  $r$  is one. i.e. if  $r = 1$  and  $f_1 = 1$ .*

*In this case,  $e_1 = [L : K]$ .*

### 3.3 Norms of Ideals

We define the norm of an ideal  $I$  in  $\mathcal{O}_K$  as  $N(I) = |\mathcal{O}_K/I|$ .

If  $\mathfrak{p} \subset \mathcal{O}_K$  is a prime ideal, then we can put  $(p) = \mathfrak{p} \cap \mathbb{Z}$ . It follows that  $p\mathcal{O}_K \subset \mathfrak{p}$ .  $\mathcal{O}_K$  is a free  $\mathbb{Z}$ -module of rank  $[K : \mathbb{Q}] = q$ , i.e.  $\exists \alpha_1, \dots, \alpha_q$  s.t.  $\mathcal{O}_K = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_q$ . Thus,  $|\mathcal{O}_K/\mathfrak{p}| \leq |\mathcal{O}_K/(p)| \leq p^q$ .

We have  $\text{Norm}(\mathfrak{p}) = |\mathcal{O}_K/\mathfrak{p}| = p^m$  and  $\text{Norm}_{L/\mathbb{Q}}(\mathfrak{P}_i) = \text{Norm}_{K/\mathbb{Q}}(\mathfrak{p})^{f_i}$ . This implies  $\text{Norm}(\mathfrak{P}_i) = |\mathcal{O}_L/\mathfrak{P}_i| = p^{mf_i}$ .

We also have:  $\mathcal{O}_K/\mathfrak{p} \cong \mathbb{F}_{\text{Norm}(\mathfrak{p})}$  and  $\mathcal{O}_L/\mathfrak{P}_i \cong \mathbb{F}_{\text{Norm}(\mathfrak{P}_i)}$

### 3.4 Galois Extensions Simplifications

When the extension  $L/K$  is Galois, the ramification indexes  $e_i$  are all the same ( $e_i = e$ ), as well as the inertia degrees  $f_i = f$ . We then have

$$\mathfrak{p}\mathcal{O}_L = \prod_{i=1}^r \mathfrak{P}_i^e \text{ and } [L : K] = ref.$$

The Galois group  $\text{Gal}(L/K)$  is often denoted  $G$ .

We define the decomposition group  $G_{\mathfrak{P}}$  of the ideal  $\mathfrak{P}$  to be  $\{\sigma \in G | \sigma(\mathfrak{P}) = \mathfrak{P}\}$ . It turns out that  $G_{\mathfrak{P}} \cong \text{Gal}(\mathcal{O}_L/\mathfrak{P}/\mathcal{O}_K/\mathfrak{p}) \cong \text{Gal}(\mathbb{F}_{p^{mf}}/\mathbb{F}_{p^f})$ . Moreover, it is a cyclic group, so  $G_{\mathfrak{P}} = \langle \tilde{\sigma} \rangle$ .

### 3.5 Unramified Prime Simplifications

When the ideal  $\mathfrak{p}$  is unramified,  $e = 1$ , so we get:

$$\mathfrak{p}\mathcal{O}_L = \prod_{i=1}^r \mathfrak{P}_i \text{ and } [L : K] = rf$$

### 3.6 The Frobenius Element

#### 3.6.1 Definition

[is it ok as a subsection title? (perhaps too generic?) alternatives: well definiteness, introduction]

We can construct the *Frobenius element* (sometimes also called the Artin symbol, or the Frobenius map) that depend on the extension  $L/K$  and ideal  $\mathfrak{P}$  in  $\mathcal{O}_L$ . It is denoted  $\text{Frob}_{L/K}(\mathfrak{P})$ , and is the element  $\sigma \in G$  such that:

$$\sigma\mathfrak{P} = \mathfrak{P} \quad \text{and} \quad \sigma(\alpha) \equiv \alpha^{\text{Norm}_{K/\mathbb{Q}}(\mathfrak{p})} \pmod{\mathfrak{P}} \quad \forall \alpha \in \mathcal{O}_L.$$

The second condition is the interesting one; while the first is only useful to make the Frobenius element unique. The second condition defines a unique element only up to conjugacy class. Most of the time, we will consider abelian extensions, so the conjugacy classes will only have one element, and the first condition will be dropped.

We define the Frobenius element for  $\mathfrak{p}$  (denoted  $\text{Frob}_{L/K}(\mathfrak{p})$ ) in a meaning full manner, to be the set  $\{\text{Frob}_{L/K}(\mathfrak{P}) | \mathfrak{P} \text{ extending } \mathfrak{p}\} \subset G$ .

[is this construction / definition ok? or maybe I should rephrase it? (it look weird to me)]

**Property 3.2.** If  $\tau \in G$ , then  $\text{Frob}_{L/K}(\tau\mathfrak{P}) = \tau \text{Frob}_{L/K}(\mathfrak{P}) \tau^{-1}$ .

*Proof.* For all  $x \in \mathcal{O}_L$ , we have:

$$\text{Frob}_{L/K}(\mathfrak{P})x = x^{\text{Norm}_{K/\mathbb{Q}}(\mathfrak{p})} \pmod{\mathfrak{P}}$$

But all such  $x$  may be written as  $\tau^{-1}(x)$ , so we have:

$$\text{Frob}_{L/K}(\mathfrak{P})\tau^{-1}(x) = (\tau^{-1}x)^{\text{Norm}_{K/\mathbb{Q}}(\mathfrak{p})} \pmod{\mathfrak{P}}$$

Which gives:

$$\tau \text{Frob}_{L/K}(\mathfrak{P}) \tau^{-1}(x) = x^{\text{Norm}_{K/\mathbb{Q}}(\mathfrak{p})} \pmod{\mathfrak{P}}$$

□

**Property 3.3.** If  $\mathfrak{P}_1$  and  $\mathfrak{P}_2$  extend  $\mathfrak{p}$ , then  $\text{Frob}_{L/K}(\mathfrak{P}_1)$  and  $\text{Frob}_{L/K}(\mathfrak{P}_2)$  are conjugates.

*Proof.* We have the following scheme:

$$\begin{array}{ccccc} L & \supseteq & \mathfrak{P}_1 & & \mathfrak{P}_2 \\ | & & \searrow & & \swarrow \\ K & \supseteq & \mathfrak{p} & & \end{array}$$

There is an element  $\tau \in G$  such that  $\tau(\mathfrak{P}_1) = \mathfrak{P}_2$ . Then using last property, we deduce that  $\text{Frob}_{L/K}(\mathfrak{P}_1)$  and  $\text{Frob}_{L/K}(\mathfrak{P}_2)$  are conjugates. □

Never the less, is important to notice at this point that if  $G$  is abelian, then all conjugacy classes are made up of only one element. Therefore, the Frobenius element is well defined in this case. Moreover, it will only depend on the prime  $\mathfrak{p}$  that is extended. [should this comment be before or after the property?]

### 3.6.2 Examples

$\mathbb{Q}[\sqrt{7}]/\mathbb{Q}$  (**quadratic field extension**) We have minimum polynomial  $m(x) = x^2 - 7$ , the discriminant is  $\Delta = 4 \cdot 7 = 28$ .

We write

$$G = \text{Gal}(\mathbb{Q}[\sqrt{7}] : \mathbb{Q}) = \langle \sigma \mid \sigma^2 = 1_G \rangle \cong C_2.$$

As  $C_2$  is abelian, we will have no problem defining Frobenius elements.

**The prime ideal (3)** As  $m(x) = (x+1)(x-1) \pmod{3}$ , we have  $(3) = (3, \sqrt{7}+1)(3, \sqrt{7}-1)$ . As well,  $\text{Norm}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3)) = 3$  and  $\text{Norm}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3, \sqrt{7}+1)) = \text{Norm}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3, \sqrt{7}-1)) = 3$ , but  $\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((3)) = 3$ . So we have:

$$\begin{aligned} \text{Frob}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3, \sqrt{7}+1)) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((3))} \pmod{(3, \sqrt{7}+1)} \\ \sqrt{7} &\rightarrow (\sqrt{7})^3 \equiv \sqrt{7} \pmod{(3, \sqrt{7}+1)} \end{aligned}$$

Thus,  $\text{Frob}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3, \sqrt{7}+1)) = 1_G \in G$ . Similarly,  $\text{Frob}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((3, \sqrt{7}-1)) = 1_G \in G$ .

**The prime ideal (5)** As  $m(x)$  has no root mod 2. So  $m(x)$  is irreducible mod 5 and (5) is inert in  $\mathbb{Q}[\sqrt{7}]$ . As well,  $\text{Norm}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((5)) = 5^2 = 25$  but  $\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((5)) = 5$ . So we have:

$$\begin{aligned}\text{Frob}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((5)) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((5))} \bmod (5) \\ \sqrt{7} &\rightarrow (\sqrt{7})^5 \equiv -\sqrt{7} \bmod (5)\end{aligned}$$

Thus,  $\text{Frob}_{\mathbb{Q}[\sqrt{7}]/\mathbb{Q}}((5)) = \sigma \in G$ .

**$\mathbb{Q}[\zeta_n]/\mathbb{Q}$  ( $n^{\text{th}}$  Cyclotomic Field Extensions)** We have minimum polynomial:

$$\Phi(x) = \prod_{\substack{1 \leq k \leq n \\ \gcd(k,n)=1}} \left( x - e^{2i\pi \frac{k}{n}} \right)$$

(so degree of the extension is  $\varphi(n)$ , where  $\varphi$  is Euler totient function).

Discriminant of the extension is:

$$\Delta = (-1)^{\varphi(n)/2} \frac{n^{\varphi(n)}}{\prod_{p|n} p^{\varphi(n)/(p-1)}}$$

see [Washington, 1997, Proposition 2.7].

The Galois group  $G$  consist of  $\sigma_k$  such that  $\sigma_k(\zeta_n^i) = \zeta_n^{ik}$ , with  $\gcd(k, n) = 1$ .) Note as well that  $G$  is abelian, so it is simple to calculate the Frobenius element. It is straightforward that  $G$  is naturally isomorphic to the multiplicative group  $(\mathbb{Z}/n\mathbb{Z})^\times$ . Note that  $\sigma \in G$  is determined by  $\sigma(\zeta_n)$ . Note as well that this group is abelian.

With  $p \in \mathbb{P}$ , a prime that is unramified in  $\mathbb{Q}[\zeta_n]/\mathbb{Q}$ , let  $P$  be an ideal lying above  $(p)$ . We want to look at  $\text{Frob}_{\mathbb{Q}[\zeta_n]/\mathbb{Q}}(P)$ :

We have:

$$\begin{aligned}\text{Frob}_{\mathbb{Q}[\zeta_n]/\mathbb{Q}}(P) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((p))} \bmod P \\ \zeta_n &\rightarrow \zeta_n^p \bmod P\end{aligned}$$

**Case  $\mathbb{Q}[\zeta_{10}]/\mathbb{Q}$  ( $10^{\text{th}}$  cyclotomic field extension)** We denote by  $\zeta_{10} = e^{\pi i/5}$  the  $10^{\text{th}}$  root of unity. We have minimum polynomial  $m(x) = x^4 - x^3 + x^2 - x + 1$  (so degree of the extension is 4), the discriminant is  $\Delta = 5^3$ .

We write  $G = \text{Gal}(\mathbb{Q}[\zeta_{10}] : \mathbb{Q}) = \langle \sigma : \zeta_{10} \rightarrow \zeta_{10}^3 \mid \sigma^4 = \text{Id} \rangle \cong C_4$ .

**The prime ideal (3)** As  $m(x)$  has no root mod 3, so (3) is inert. We have:

$$\begin{aligned}\text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((3)) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((3))} \bmod (3) \\ \zeta_{10} &\rightarrow (\zeta_{10})^3 \bmod (3)\end{aligned}$$

Thus,  $\text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((3)) = \sigma \in G$ .

**The prime ideal (7)** As  $m(x)$  has no root mod 7, so (7) is inert. We have:

$$\begin{aligned}\text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((7)) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((7))} \bmod (7) \\ \zeta_{10} &\rightarrow (\zeta_{10})^7 \bmod (7)\end{aligned}$$

Thus,  $\text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((7)) = \sigma^3 \in G$ .

**The prime ideal (11)** As  $m(x) = (x-2)(x+3)(x+4)(x+4) \bmod 11$ , so (11) splits. We have:

$$\begin{aligned} \text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((11)) : \alpha &\rightarrow \alpha^{\text{Norm}_{\mathbb{Q}/\mathbb{Q}}((11))} \bmod (11) \\ \zeta_{10} &\rightarrow (\zeta_{10})^{11} = \zeta_{10} \bmod (11) \end{aligned}$$

Thus,  $\text{Frob}_{\mathbb{Q}[\zeta_{10}]/\mathbb{Q}}((11)) = \sigma^4 = Id \in G$ .

### 3.6.3 Behaviour in Chained Extensions

We will consider the following scheme:

$$\begin{array}{ccccc} \mathcal{O}_M \subset M & \supseteq & \mathfrak{P} \\ | & & | \\ \mathcal{O}_L \subset L & \supseteq & \mathfrak{p} \\ | & & | \\ \mathcal{O}_K \subset K & \supseteq & p \end{array}$$

In such a situation, we can define (for  $M/K$  Galois)  $\text{Frob}_{M/K}(\mathfrak{P})$ ,  $\text{Frob}_{M/K}(p)$ ,  $\text{Frob}_{M/L}(\mathfrak{P})$ ,  $\text{Frob}_{M/L}(\mathfrak{p})$ . If, in addition,  $L/K$  is normal:  $\text{Frob}_{L/K}(\mathfrak{p})$ , and  $\text{Frob}_{L/K}(p)$ . [Janusz, 1996, p.99]

We will look at properties of these Frobenius elements (relation between each others).

#### Property 3.4.

$$\text{Frob}_{M/K}(\mathfrak{P})^{f(\mathfrak{P}/\mathfrak{p})} = \text{Frob}_{M/L}(\mathfrak{P})$$

[what is this  $f(\mathfrak{P}/\mathfrak{p})$ ? is it the fields of  $\mathfrak{P}$  over  $\mathfrak{p}$ ?]

to write... [Janusz, 1996, p.99]

□

#### Property 3.5.

$$\text{Frob}_{L/K}(\mathfrak{p}) = \text{Frob}_{M/K}(\mathfrak{P})|_L$$

*Proof.* Let  $\sigma = \text{Frob}_{M/K}(\mathfrak{P}) \in \text{Gal}(M/K)$  so  $\sigma : M \rightarrow M$  s.t.  $\sigma|_K = Id$  and  $\sigma$  is an autotomorphism. Similarly, let  $\tau = \text{Frob}_{L/K}(\mathfrak{p}) \in \text{Gal}(L/K)$  so  $\tau : L \rightarrow L$  s.t.  $\tau|_K = Id$  and  $\tau$  is an autotomorphism.

As  $M$  extends  $L$ ,  $\sigma$  being an automorphism of  $M$  makes it an automorphism of  $L$  as well. The restriction condition stays the same. □

#### Property 3.6.

$$\text{Gal}(L/K) \cong \text{Gal}(M/K) / \text{Gal}(M/L)$$

*Proof.* Let  $\sigma \in \text{Gal}(M/K)$ , i.e.  $\sigma : M \rightarrow M$  s.t.  $\sigma|_K = Id$  and  $\sigma$  is an autotomorphism.

Let  $\phi : \text{Gal}(M/K) \rightarrow \text{Gal}(L/K)$  be such that:  $\phi(\sigma) = \sigma|_L$ . This is well defined as an automorphism of  $M$  restricts to an automorphism of  $L$  when  $M$  extends  $L$ .

It is trivial to check that  $\phi$  is a homomorphism.

The kernel of  $\phi$  is clearly  $\text{Gal}(M/L)$ .

The image of  $\phi$  is  $\text{Gal}(L/K)$  as every element of  $\text{Gal}(L/K)$  may be extended to  $\text{Gal}(M/K)$ .

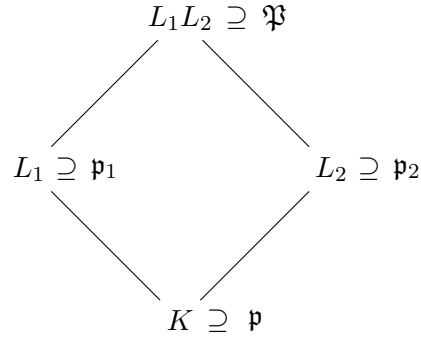
Therefore, the property follows via the 1<sup>st</sup> isomorphism theorem. □

#### Property 3.7. We have:

$$\mathfrak{p} \text{ splits completely in } L \iff \text{Frob}_{L/K}(\mathfrak{P}) = 1$$

[Janusz, 1996, p.100]

We will consider the following scheme:



**Property 3.8.** *We have:*

$$\text{Frob}_{L_1 L_2 / K}(\mathfrak{P}) = \text{Frob}_{L_1 / K}(\mathfrak{p}_1) \times \text{Frob}_{L_2 / K}(\mathfrak{p}_2)$$

[Janusz, 1996, p.100]

**Property 3.9.** *We have:*

$$\mathfrak{p} \text{ splits completely in } L_1 L_2 \iff \mathfrak{p} \text{ splits completely in } L_1 \text{ and } L_2$$

*Proof.* Combine the last two proposition. [Janusz, 1996, p.100]

□

## 3.7 The Chebotarev's Density Theorem

### 3.7.1 Motivations

If we look at the distribution of primes numbers modulo a number (15 in the next example), we get a table as follows:

Table mod 15:

mod15	primes (up to 500)
0	
1	31, 61, 151, 181, 211, 241, 271, 331, 421,
2	2, 17, 47, 107, 137, 167, 197, 227, 257, 317, 347, 467,
3	3,
4	19, 79, 109, 139, 199, 229, 349, 379, 409, 439, 499,
5	5,
6	
7	7, 37, 67, 97, 127, 157, 277, 307, 337, 367, 397, 457, 487,
8	23, 53, 83, 113, 173, 233, 263, 293, 353, 383, 443,
9	
10	
11	11, 41, 71, 101, 131, 191, 251, 281, 311, 401, 431, 461, 491,
12	
13	13, 43, 73, 103, 163, 193, 223, 283, 313, 373, 433, 463,
14	29, 59, 89, 149, 179, 239, 269, 359, 389, 419, 449, 479,

It looks like there are classes of primes. We would like to characterize this repartition: that is, decide if classes are finite or infinite, and quantify the repartitions.

### 3.7.2 Notions of Density

As discussed previously, we are interested in subsets of  $\mathbb{P}$  (the set of primes numbers). Euler proved that there are infinitely many primes. Therefore, there are two types of subsets of  $\mathbb{P}$ : the ones that are infinite, and the finites ones. For finite sets, we can characterise the size by just counting elements. In fact, we will mainly be interested in sets that have infinitely many primes, and again, we would like a notion of size.

A suitable way would be to compare the subset with the set of all primes, and, say look at the proportions of primes included in the subset.

We call this the density, there are two rigorous ways to define it:

**Definition 3.6** (Natural density). *We say that  $S \subseteq \mathbb{P}$  has natural density  $\delta$  when:*

$$\lim_{x \rightarrow +\infty} \frac{\#\{p \in \mathbb{P} | p \in S\}}{\#\{p \in \mathbb{P} | p \in \mathbb{P}\}} = \delta$$

**Definition 3.7** (Analytic density or Dirichlet density). *We say that  $S \subseteq \mathbb{P}$  has analytical (or Dirichlet) density  $\delta$  when:*

$$\lim_{s \rightarrow 1^+} \left( \sum_{p \in S} \frac{1}{p^s} \right) \left( \sum_{p \in \mathbb{P}} \frac{1}{p} \right)^{-1} = \delta$$

Note that the natural density may not exist. However, when both exist, the two densities are the same.

### 3.7.3 Statement

One of the most important results that use Frobenian maps is probably the Chebotarev density theorem.

**Theorem 6** (Chebotarev Density Theorem). *With  $L/K$  an extension of Galois group  $G = \text{Gal}(L/K)$ . Let  $C$  be a conjugacy class in  $G$ .*

*Then, the proportion of unramified primes ideals  $\mathfrak{p}$  in  $K$  that have Frobenius element  $\text{Frob}_{L/K}(\mathfrak{p}) = C$  is  $|C|/|G|$ .*

We see that Frobenius elements are in the heart of this theorem. It was proved by Nikolai Chebotarev in his thesis (Tschebotareff [1926]).

### 3.7.4 Example

[extension of order 3?]

### 3.7.5 Special Case

We want here to apply Chebotarev theorem in the case of a quadratic field extension. We are looking at the field extension  $L/K = \mathbb{Q}[\sqrt{d}]/\mathbb{Q}$  for  $d \in \mathbb{Z}$  a square-free integer. Denote by  $G = \text{Gal}(\mathbb{Q}[\sqrt{d}]/\mathbb{Q}) \cong C_2$  the Galois group of this extension. This group is abelian (so all conjugacy classes are made of a single element), and for any conjugacy class  $C$ ,  $|C|/|G| = 1/2$ .

Now, for a prime  $p$  unramified, we want to calculate the Frobenius element. If  $p$  is unramified, either  $p\mathcal{O}_{\mathbb{Q}[\sqrt{d}]} = R_1 R_2$  or  $p\mathcal{O}_{\mathbb{Q}[\sqrt{d}]} = R$ .

---

<sup>3</sup>When depending on a prime in the "lower" field, the Frobenius element is a conjugacy class to be well defined.

In the first case, we have  $\left(\frac{d}{p}\right) = 1$  (i.e.  $\sqrt{d} \in \mathbb{F}_p$ , so  $d$  is a square modulo  $p$ ). In this case,  $\sqrt{d}^p \equiv \sqrt{d} \pmod{p}$  so  $\text{Frob}_{\mathbb{Q}[\sqrt{d}]}(p) = \{Id : \sqrt{d} \rightarrow \sqrt{d}\} \in G$ .

In the second case,  $\left(\frac{d}{p}\right) = -1$  (i.e.  $\sqrt{d} \notin \mathbb{F}_p$ , so  $d$  is not a square modulo  $p$ ). In this case,  $\sqrt{d}^p \not\equiv \sqrt{d} \pmod{p}$  as there is no other choice,  $\text{Frob}_{\mathbb{Q}[\sqrt{d}]}(p) = \{\sigma : \sqrt{d} \rightarrow -\sqrt{d}\} \in G$ .

Then by Chebotarev's density theorem, we have that the density of primes  $p$  such that  $\left(\frac{d}{p}\right) = \pm 1$  is  $1/2$  in both cases.

Therefore, we have the following summary:

Primes $p \in \mathbb{P}$ such that:	Density:
$\left(\frac{d}{p}\right) = +1$	$1/2$
$\left(\frac{d}{p}\right) = 0$	$0$
$\left(\frac{d}{p}\right) = -1$	$1/2$

Thus, for a square free  $d$ ,  $\left(\frac{d}{p}\right)$  is as often  $+1$  as  $-1$  (for a prime  $p$ ), and  $\left(\frac{d}{p}\right) = 0$  happens only finitely many times.

### 3.8 The Dirichlet's Density Theorem

#### 3.8.1 Statement

The most common application of Chebotarev density theorem is probably the Dirichlet's density theorem.

**Theorem 7** (Dirichlet's Density Theorem). *Let  $n \in \mathbb{N}^*$ ,  $a \in \mathbb{N}$  such that  $\gcd(a, n) = 1$ . If  $S = \{p \in \mathbb{P} \mid p \equiv a \pmod{n}\}$ , then  $S$  has density  $1/\varphi(n)$ .*

#### 3.8.2 Link with Chebotarev

This is a direct application of Chebotarev's density theorem for the field extension  $\mathbb{Q}[\zeta] : \mathbb{Q}$  where  $\zeta$  is the  $n^{\text{th}}$  root of unity (this is the cyclotomic field).

The Galois group is abelian (it is precisely  $G = \mathbb{Z}_n^\times$  and has order  $\varphi(n)$ ). The abelian property implies that all conjugacy classes are made of a single element. Thus, for any conjugacy class  $C$ , the fraction  $|C|/|G|$  is just  $1/\varphi(n)$ . Primes ideals in  $\mathbb{Q}$  are just primes numbers. Therefore, Chebotarev gives Dirichlet's density theorem in the particular case of cyclotomic extensions.

#### 3.8.3 Example

Here, look at the example of Dirichlet theorem in the case  $n = 15$  from the motivation subsection above (see 3.7.1).

We apply the last theorem in the case of  $n = 15$ :  $\varphi(15) = 8$ . We define  $S_k = \{p \in \mathbb{P} \mid p \equiv k \pmod{15}\}$ . By Dirichlet density theorem, the density of  $S_k$  is  $1/8$  if  $k$  and  $15$  are co-prime (i.e. if  $k = 1, 2, 4, 7, 8, 11, 13, 14$ ), otherwise (if  $k = 0, 3, 5, 6, 9, 10, 12$ ) it is  $0$ . This is what we could conjecture from the observations.

## 4 Numerics

### 4.1 High Performance Computations

It is important to make the program as fast as possible. Indeed, the faster the program goes, the more data it will generate (within the same amount of time). This data will be used for numerical analysis and we will also use it for interpretation. Therefore, with more data, we have more knowledge, and we can make smarter guesses.

There are two main ways to make a program faster: use a better algorithm, or use a faster implementation. A better algorithm means, for example, test factors only up to square root (in the case of primality a test). A better implementation simply means optimisation inside the computer (i.e. on operations that are made, types that are used...). We will try to optimise both.

#### 4.1.1 Algorithm Optimisation

We can optimize an algorithm by optimizing (decreasing) the number of operations, or by using mathematical scheme (usually cancellations).

**Optimize instructions** Optimizing instructions usually comes through optimizing loops (stopping loops as soon as possible, avoiding extra loops...). For example, the following two algorithms create the same list of coefficients for the  $q$ -series of  $\Delta$ .

**Algorithm 1:**

**Require:**  $L \geq 1$

$f \leftarrow \text{zeros}(L)$

▷ Empty list of length  $L$

$n=0$

**while**  $n < L$  **do**

**if**  $(\sqrt{n} - 1) \% 2 = 0$  **then**

$f[n] = 1$

**end if**

**end while**

**Algorithm 2:**

**Require:**  $L \geq 1$

$f \leftarrow \text{zeros}(L)$

▷ Empty list of length  $L$

$id = 1$

$i = 1$

**while**  $id < L$  **do**

$f[id] = 1$

$i+ = 2$

$id = i^2$

**end while**

However, the second algorithm is significantly more efficient: the loop is faster as it only goes through odd squares instead of all numbers, and it has no condition to check. The algorithms may be *harder* to understand, but it in fact is *better* (in terms of performance).

**Mathematical ruse** As we are working modulo 2, there are obviously many cancellations, which will make the calculations *faster*. It is an opportunity we shouldn't miss to make the algorithms *stronger*.



### 4.1.2 Implementation Approach

As explained above, investigations on which tool will be the more suitable for the computations is an important part. Of course, the best would be to find a programming language that can already deal with modular forms modulo two. Unfortunately, this (yet) doesn't exist. There are packages that have modular forms implemented, but none with modular forms modulo two specifically. The goal of looking at modulo two is to conclude more than what we know in general. So using what has already been done in general to make computations modulo two won't give any thing interesting.

We realize that there is no other way than just creating a package for modular forms modulo two on our own. In fact, this is what we will do later, but before, we want to determine the tools to build this package. Modular forms modulo 2 come from maths, so it makes sense to use a high level programming language. For scientific computing nowadays, there are two main open source languages: Python and Julia. Each having various packages to work with.

We will test a selection of major ones.

### 4.1.3 Choice of Implementation

Now it is time to wonder how to represent modular forms modulo 2. We have seen above that a modular form modulo 2 in fact have two representations: one as an infinite  $q$ -series, and one as a finite  $\Delta$ -polynomial. As we want (later on) to compute Hecke operators of these forms, we will need, at some point to use the  $q$ -series representation. In fact, this will be one of the crucial points, since it is an infinite series. The way we represent infinite objects in computers, which have only a finite amount of components (memory addresses, say), is to only store informations up to a cutting point. This is equivalent (somewhat) to the asymptotic notation in mathematics. In the case of  $q$ -series of modular forms, we will store only the few first hundred/thousand/million coefficients.

This means that we will represent a modular form via its  $q$ -series, witch will be stored as a list. We investigate the best ways (timewise) to do basic operations to decide what technology to use. The operations tested are creating the  $q$ -series of  $\Delta$ , and squaring it (both storing coefficients up to some power `LENGTH`, the length of the list used).

There are various techniques to store lists in a computed, the main ones are continuous list, linked list, and sparse list. Continuous and linked lists can be aggregated as dense lists.

**Dense Technique** Dense storage means that we store each values of the list (next to each other, or with a link to the next). No element of the list is skipped. There are various ways to implement this technique:

**Pure Python** Using the Python language, this is the most elementary way to go. It represents all the  $q$ -coefficients with the default linked list python object.

```
def delta(LENGTH):
    f = [0 for i in range(LENGTH)]
    indice = 1
    i = 1
    while indice < LENGTH:
        f[indice] = 1
        i += 2
        indice = i**2
    return f
```

```
def square(f):
    f_sq = [0 for i in range(len(f))]
    i = 0
    while 2*i < len(f):
        if f[i]:
            f_sq[2*i] = 1
        i += 1
    return f_sq
```

**NumPy Python** NumPy is the most well known scientific computing library for Python. It interfaces with C objects to provide very fast features (such as lists).

```
import numpy as np

def delta(LENGTH):
    f = np.zeros(LENGTH, dtype=np.int8)
    indice = 1
    i = 1
    while indice < LENGTH:
        f[indice] = 1
        i += 2
        indice = i**2
    return f

def square(f):
    f_sq = np.zeros(len(f), dtype=np.int8)
    i = 0
    while 2*i < len(f):
        if f[i]:
            f_sq[2*i] = 1
        i += 1
    return f_sq
```

**Dense Julia** Julia is well-known as both high level and very fast language. Julia naturally supports lists, that we can use to represent modular forms.

```
function delta(LENGTH)
    f = zeros{Int8, LENGTH}
    indice = 2
    i = 1
    while indice < LENGTH
        f[indice] = 1
        i += 2
        indice = i^2 + 1
    end
    return f
end
```

```

function square(f)
    f_sq = zeros{Int8, length(f)}
    i = 1
    while 2 * i - 1 < length(f)
        if f[i] == 1
            f_sq[2 * i - 1] = 1
        end
        i += 1
    end
    return f_sq
end

```

**Sparse Technique** As all coefficients of the  $q$ -series are just 0 or 1, and that most of the time, they are 0, we can represent a modular forms by storing only the coefficients for which it is non-zero. This method is (storing only non-zero values) is known as sparse representation. We can implement this technique in both Python and Julia:

**Sparse Python** We can adapt the previous code to use Python's linked lists as index of a sparse list. Note that in general, we would need a second list to store values, but there are only 0s and 1s, we can take as convention that all stored indices have value 1 and all non-store have value 0.

```

def delta(LENGTH):
    f = []
    indice = 1
    i = 1
    while indice < LENGTH:
        f.append(indice)
        i += 2
        indice = i**2
    return (f, LENGTH)

def square(form):
    f_sq = []
    f = form[0]
    for n in f:
        if 2*n-1 <= form[1]:
            f_sq.append(2*n-1)
    return (f_sq, form[1])

```

**Sparse Julia** Julia has a very convenient built-in sparse module. This is particularly interesting, since the built-in type already have nice methods.

```

using SparseArrays: SparseVector, spzeros

```

```

function delta(LENGTH)
    f = spzeros{Int8, LENGTH}
    indice = 2

```

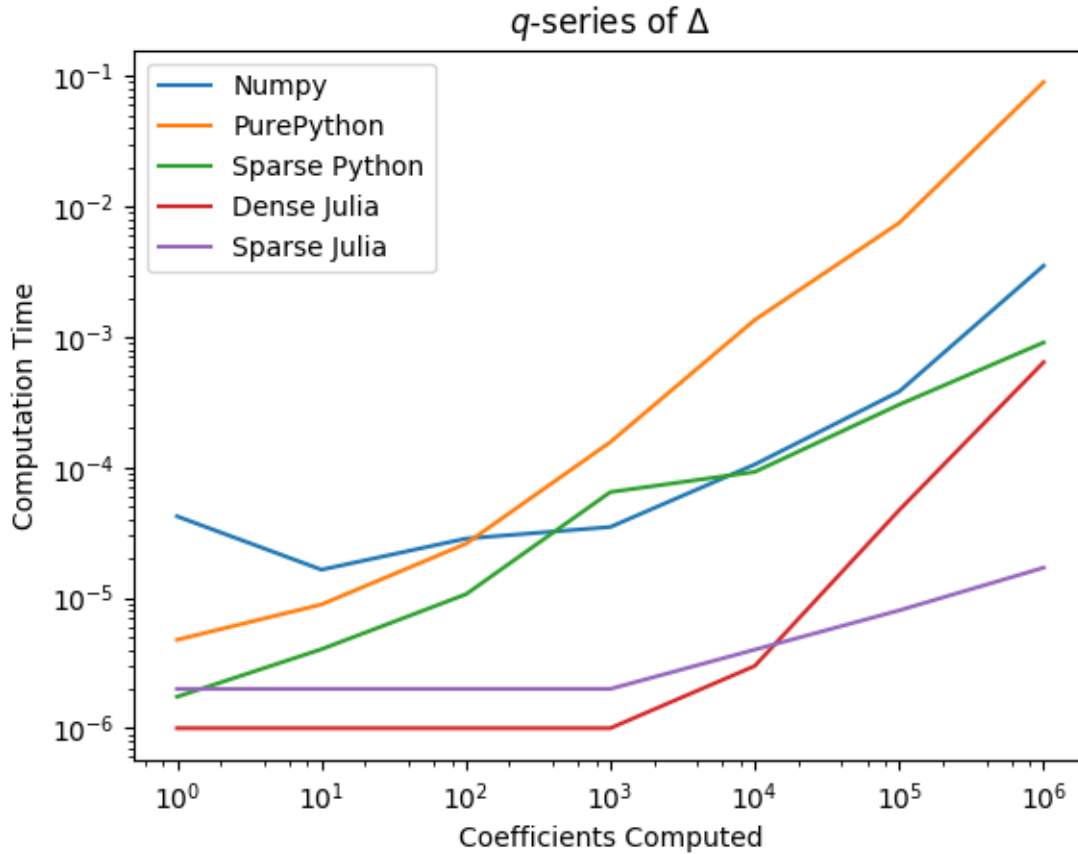
```

i = 1
while indice <= f.n
    f[indice] = Int8(1)
    i += 2
    indice = i^2 + 1
end
return f
end

function square(f)
    f_sq = spzeros(Int8, f.n)
    for n in f.nzind
        if 2n - 1 <= f_sq.n
            f_sq[2n - 1] = 1
        end
    end
    return f_sq
end

```

**Speed Comparison** We can now compare the speed of each implementation to compute  $q$ -series. If we do that for various number of coefficients, we may obtain a graph of the following type (it is slightly dependent on the machine that execute the code, but the shape remains).



For small computations, the implementation doesn't make a big difference. However, for large computations, it seems that the sparse methods do better. It makes sense, since sparse representations

are typically used for objects with more than 95% of zeros, which is the case for modular forms modulo 2.

For a more precise analysis, we now compare the speed of each implementation to compute  $q$ -series of  $\Delta$  and  $\Delta^2$ <sup>4</sup>. The following table is obtained for  $10^6$  coefficients computed (i.e. up to  $q^{10^6}$ ). Note that  $\mathcal{O}(q^{10^6})$  will be standard for the rest of this paper.

	$\Delta$	$\Delta^2$
Pure Python	0.08263147	0.26249526
NumPy Python	0.00138761	0.16163688
Dense Julia	0.000648	0.001698
Sparse Python	0.00095099	0.00134479
Sparse Julia	0.000021	0.000034

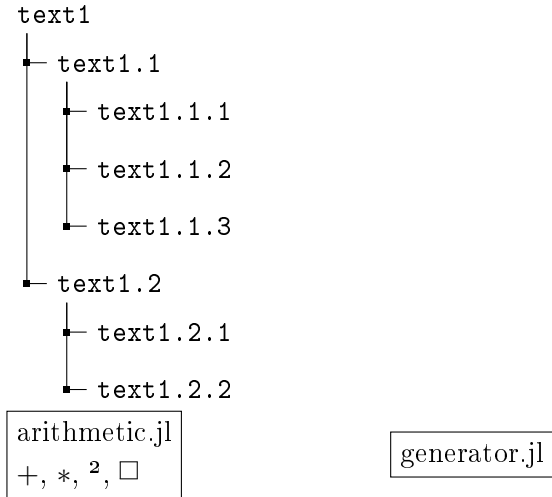
From this table, it is clear that the fastest implementation is the one using sparse lists (so called "sparse vectors") in Julia. Therefore, we will use this technique. It is nice to remark that the Pure Python implementation was 7720 times slower than the Sparse Julia one. We see here the importance of choosing the right tool to implement an algorithm.

This ratio would even be greater considering the bad algorithm presented before 4.1.1.

## 4.2 Creating the library

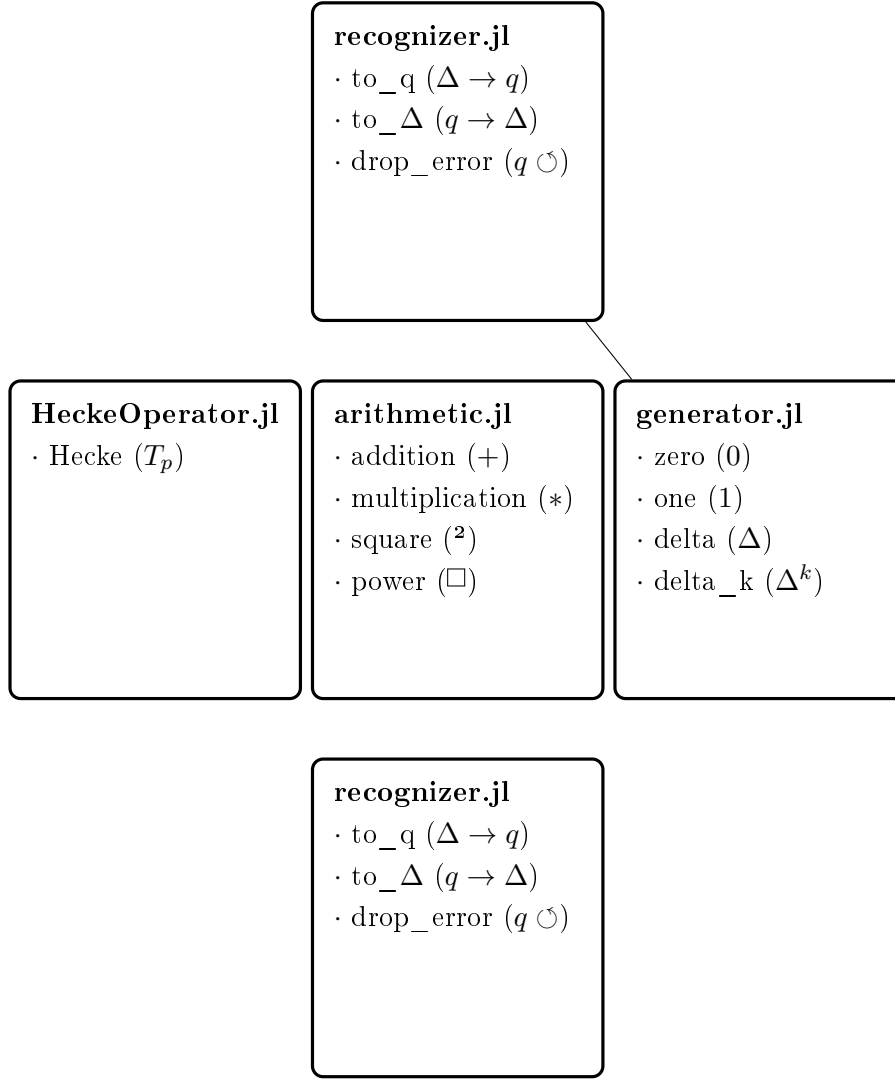
It is clear now that the code should be done with Julia and its Sparse objects. Now, as all the library should be created from the beginning, it is a good idea to pack all of it in a Julia module. Doing so, no code will be repeated for each small task.

**Code Architecture** The code will be divided in a many files, for convenience.




---

<sup>4</sup> $\Delta^2$  itself isn't part of our space  $\mathcal{F}$ , but it will be useful as we will compute  $\Delta^{2k+1} = \Delta^{2k-1} \cdot \Delta^2$ . So it makes sense to be concerned about it.



**Published**

**Online Library**

### 4.3 Finding coefficients of Hecke operators

We want to find the coefficients  $a_{ij}$  such that

$$\sum_{i,j} a_{ij} T_3^i T_5^j = T_p$$

(with  $a_{ij} \in \mathbb{F}_2$ ).

Let  $k \geq 1$  an integer. Then there exists an integer  $N(k) > 0$  such that, for all pairs of non-negative integers  $(i, j)$  with  $i + j \geq N(k)$ , we have  $T_3^i T_5^j | \Delta^k = 0$ .

This allows us to write:

$$\sum_{i+j < N(k)} a_{ij} T_3^i T_5^j | \Delta^k = T_p | \Delta^k \quad (*)$$

Now, suppose that we want to calculate the table of the  $a_{ij}(p)$  for  $p \in \mathbb{P}$ :

1. Take an odd power for  $\Delta$  (say  $k$ , we usually start with the smallest: 1 and then increase gradually)
2. Plug  $\Delta^k$  in the equation above, ie:

3. Calculate  $T_3^i T_5^j | \Delta^k \forall i + j < N(k)$
4. Calculate  $T_p | \Delta^k \forall i + j < N(k)$
5. Equate both sides of (\*), if not zero (which unfortunately happens often), use the equation to deduce  $a_{ij}(p)$

[How much of the algorithm is there? too much? too little? I could develop much more on how everything is calculated: how I go back and forward between  $q$  and  $\Delta$  representations of modular forms to both be efficient in calculations and catch up the error in numerical approximation, what techniques are used for speed, argue the implementation choices, describe how the code is split, etc... I could write at least pages on all of that, but is it the point of a math paper?]

## A   Hecke Operators

### A.1   Primes Hecke Operators

$$T_p$$

### A.2   Powers of Hecke Operators

$$T_3^iT_5^j$$



- B Speed Comparison
- C ModularFormsModuloTwo.jl
- D Other Programs

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