



Foto: Thomas Josek

# Software Engineering

## System Modeling

Software & Systems Engineering | Prof. Dr. Andreas Vogelsang | 23.10.2023



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# Learning Goals for Today

- Understand what modeling is and what it is good for
- Understand what the Unified Modeling Language (UML) is
- Understand what activity diagrams are and what they are used for
- Create activity diagrams to model flows of actions
- Understand what state machine diagrams are and what they are used for
- Create state machine diagrams to model states and their transitions



# System Modeling: Why?



# Motivation for Modeling

## UML User Guide

“A successful software organization is one that consistently deploys **quality software** that meets the needs of its users. An organization that can develop such software in a **timely and predictable** fashion, with an **efficient and effective use of resources**, both human and material, is one that has a sustainable business. [...]

Modeling is a central part of all the activities that lead up to the deployment of good software. We build models to **communicate** the desired structure and behavior of our system. We build models to **visualize and control** the system’s architecture. We build models to better **understand** the system we are building, often exposing opportunities for **simplification and reuse**. And we build models to **manage risk**.”

## UML User Guide

“We build models of complex systems because we cannot comprehend such a system in its entirety”

# Recap: SE vs. Programming



# What is System Modeling?

## System Modeling

“**System modeling** is the process of developing abstract models of a system, with each model presenting a different view or perspective of that system. [...] Models are used during the requirements engineering process to help derive the **detailed requirements** for a system, during the design process to **describe the system to engineers** implementing the system, and after implementation to **document the system's** structure and operation.”

[Sommerville]



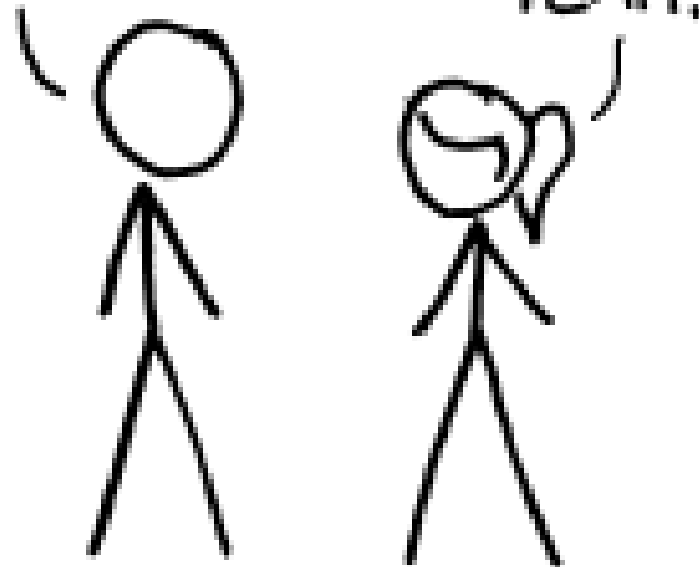
# The Unified Modeling Language (UML)

# HOW STANDARDS PROLIFERATE:

(SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)

SITUATION:  
THERE ARE  
14 COMPETING  
STANDARDS.

14?! RIDICULOUS!  
WE NEED TO DEVELOP  
ONE UNIVERSAL STANDARD  
THAT COVERS EVERYONE'S  
USE CASES.



SOON:

SITUATION:  
THERE ARE  
15 COMPETING  
STANDARDS.



# The Unified Modeling Language

## UML

“The Unified Modeling Language (UML) is a general-purpose visual modeling language that is used to specify, visualize, construct, and document the artifacts of a software system.”

[UML Reference Manual]

## UML User Guide

“Modeling yields an understanding of a system. No one model is ever sufficient. Rather, you often need multiple models that are connected to one another [...].”

# Different Kinds of UML Diagrams

## Structure Diagrams (Strukturdiagramme)

“**Structure diagrams** show the static structure of the objects in a system. That is, they depict those elements in a specification that are irrespective of time. The elements in a structure diagram represent the meaningful concepts of an application, and may include abstract, real-world and implementation concepts.”

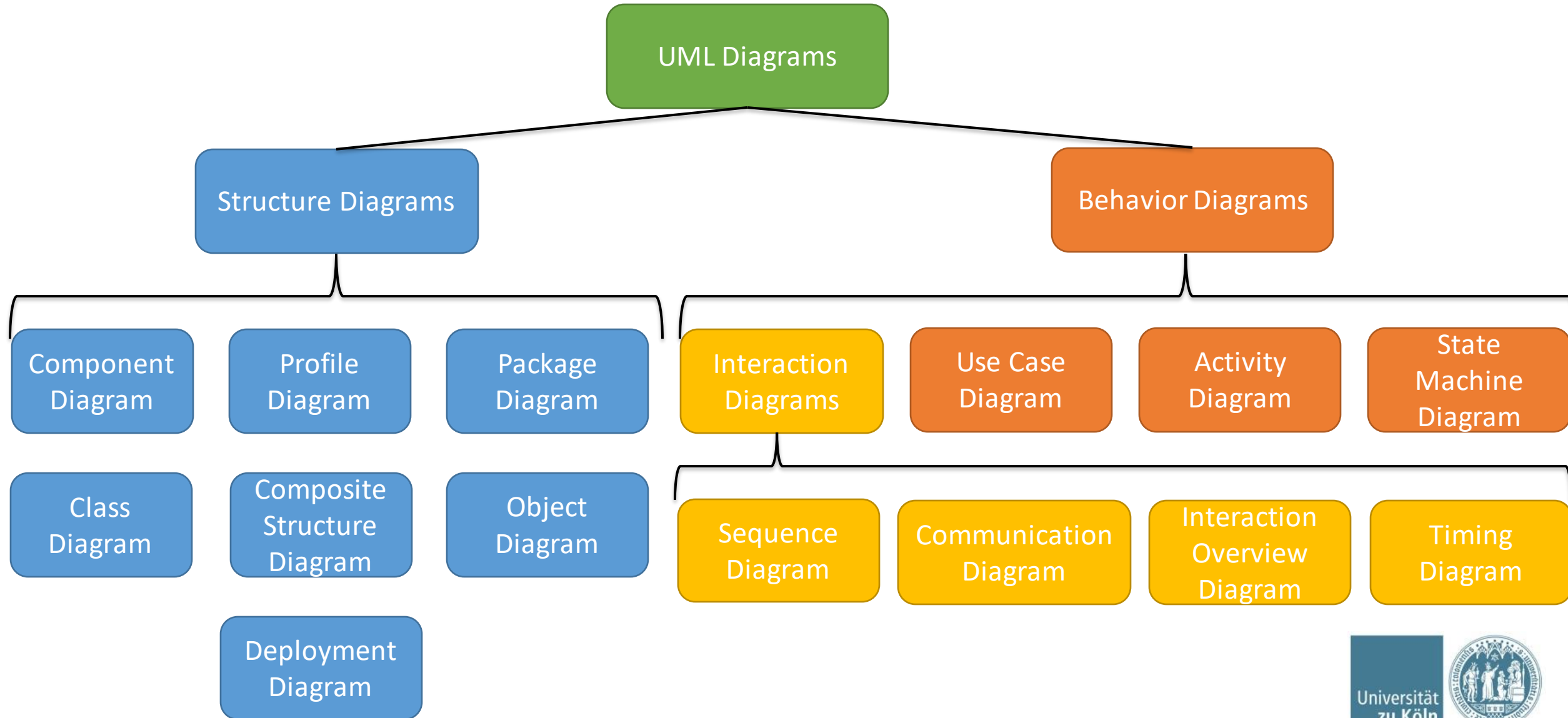
[UML 2.5.1]

## Behavior Diagrams (Verhaltensdiagramme)

“**Behavior diagrams** show the dynamic behavior of the objects in a system, including their methods, collaborations, activities, and state histories. The dynamic behavior of a system can be described as a series of changes to the system over time.”

[UML 2.5.1]

# 14 Types of UML Diagrams





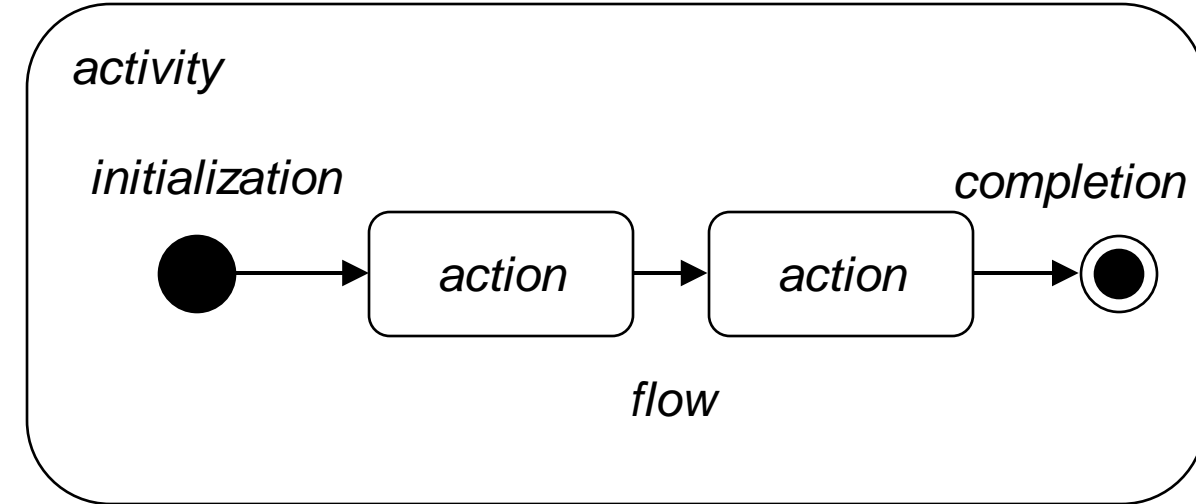
# Activity Diagrams

# Activity Diagrams

## Activity Diagram (Aktivitätsdiagramm)

An **activity diagram** is a diagram visualizing **activities** and their order of execution. An activity contains **actions** (rounded box) that are connected by means of **flows** (solid arrows). The execution begins at the **initialization** (filled circle) and ends with the **completion** node (bull's eye).

(Aktivität, Fluss, Startzustand, Endzustand)



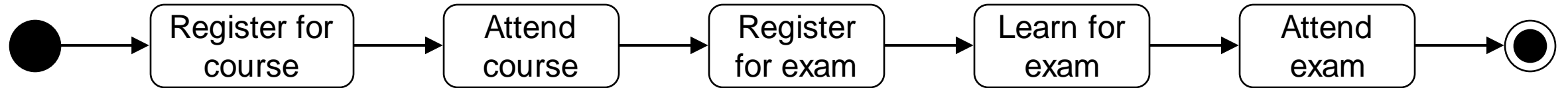
## Rules for Activity Diagrams

- exactly one initialization/completion node
- at least one action
- every action has exactly one incoming and one outgoing flow
- every action is reachable from initialization
- completion is reachable from every action



# Example of Sequential Activities

Attend a course



# Branching and Merging in Activity Diagrams

## Branching and Merging [UML User Guide]

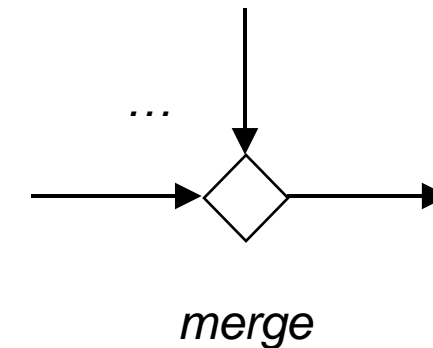
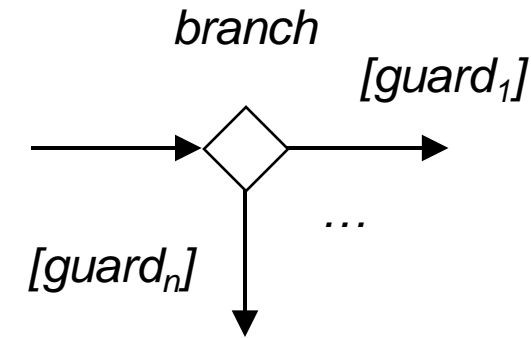
**Motivation:** model control flow that depends on certain conditions (i.e., actions that may happen)

**Branching:** A **branch** has exactly one incoming and two or more outgoing flows. Each outgoing flow has a Boolean expression called **guard**, which is evaluated on entering the branch. (*Verzweigung*)

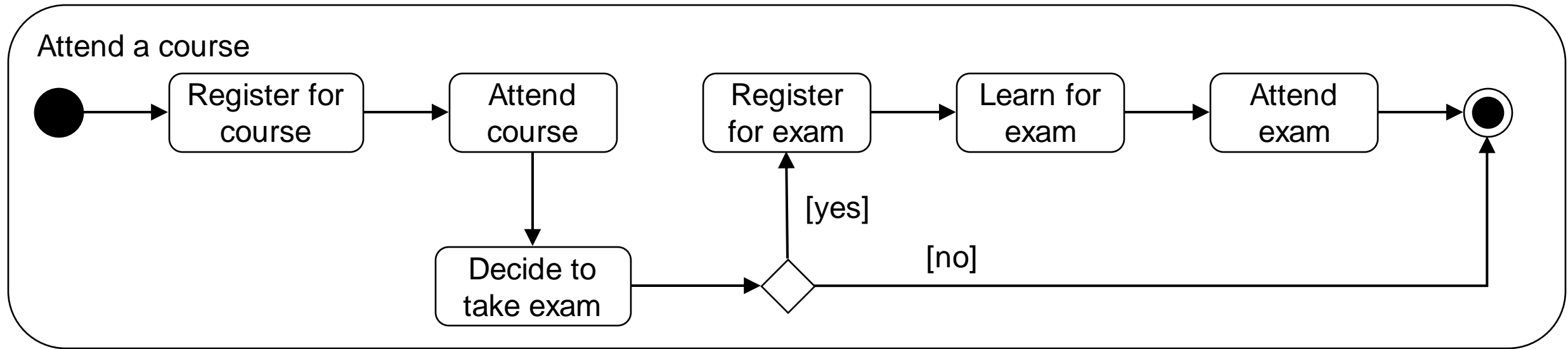
**Merging:** A **merge** has two or more incoming and exactly one outgoing flow. (*Zusammenführung*)

## Further Rules for Activity Diagrams

- guards on outgoing flows should not overlap (flow of control is unambiguous)
- guards should cover all possibilities (flow of control does not freeze)
- keyword **else** possible for one guard (*sonst*)



# Example of Conditional Activities



# Forking and Joining in Activity Diagrams

## Forking and Joining [UML User Guide]

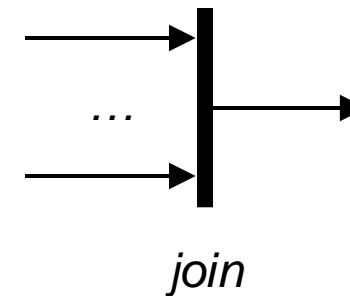
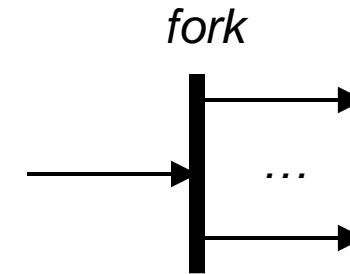
**Motivation:** model concurrent control flows (i.e., activities that run in parallel)

**Forking:** A **fork** (thick horizontal or vertical line) has exactly one incoming and two or more outgoing flows. ([Gabelung](#))

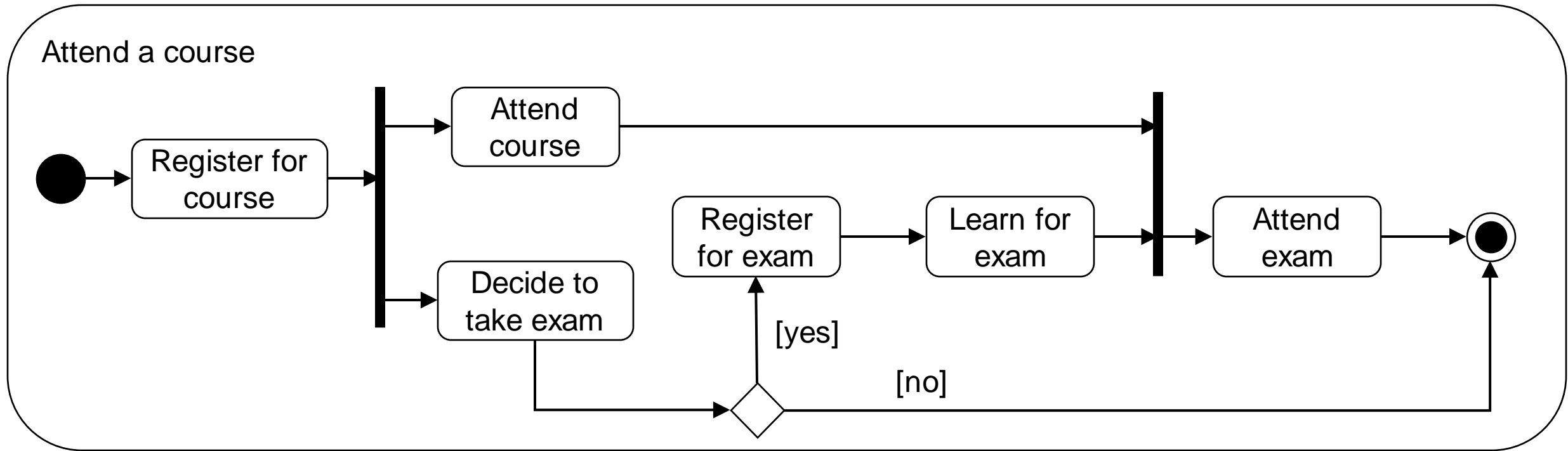
**Joining:** A **join** (thick horizontal or vertical line) has two or more incoming and exactly one outgoing flow. ([Vereinigung](#))

## Further Rules for Activity Diagrams

- branched paths must be merged eventually ([letztendlich](#))
- forked paths must be joined eventually
- only outgoing edges of branch nodes have guards



# Example of Concurrent Activities





# Swimlanes in Activity Diagrams

## Swimlanes [UML User Guide]

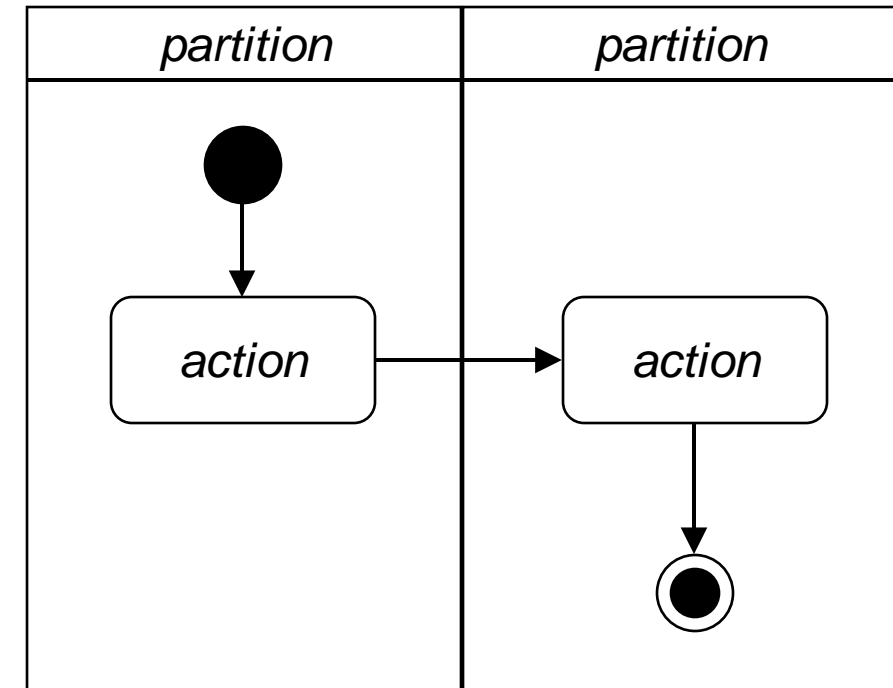
**Motivation:** group activities according to responsibilities

**Swimlane:** An activity diagram may have no or at least two swimlanes. A **swimlane** (rectangle) represents a high-level responsibility activity within an activity diagram. ([Verantwortlichkeitsbereiche](#))

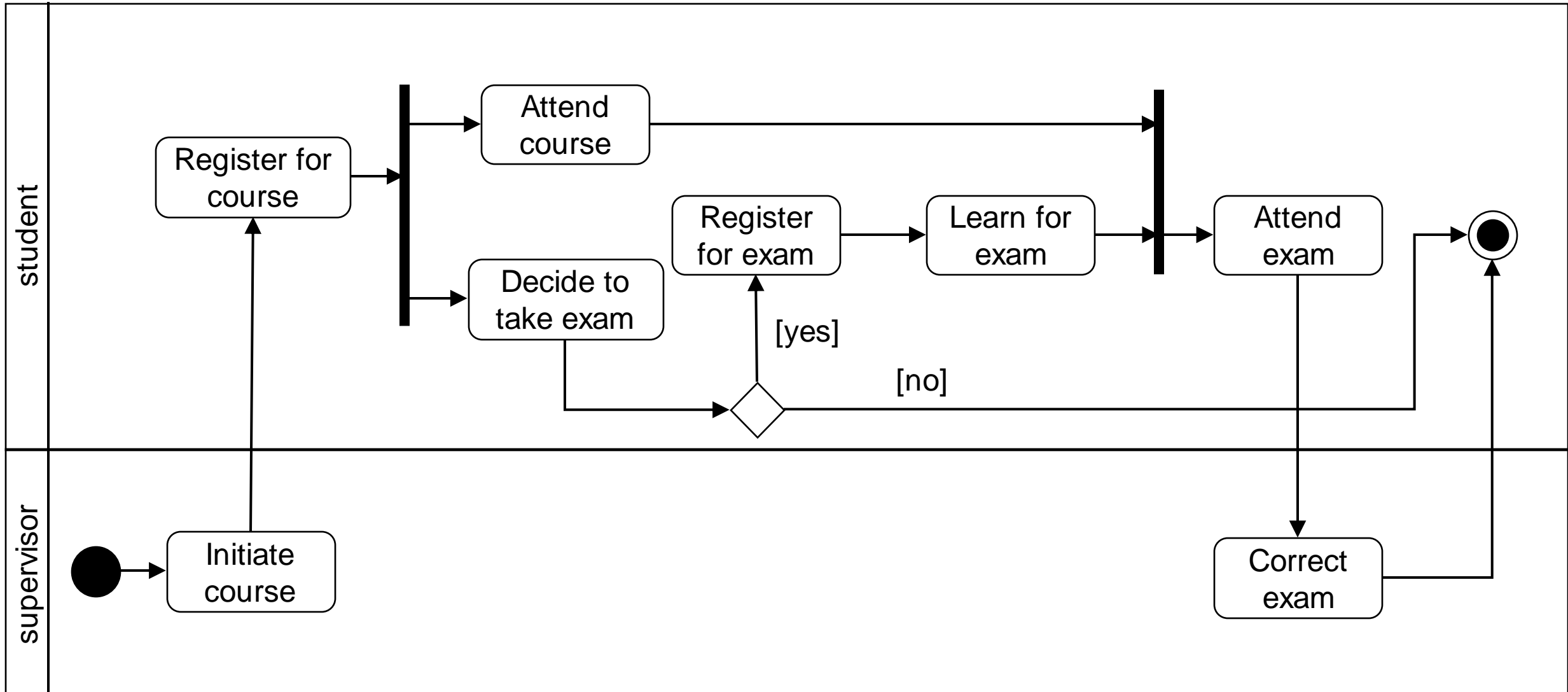
## Further Rules for Activity Diagrams

- each swimlane has a name unique within its diagram
- every activity belongs to exactly one swimlane
- only flows may cross swimlanes

*swimlanes*



# Example of Activities with Swimlanes



# Activity Diagram Semantics

## AD Semantics

**Motivation:** which behaviors are defined by an activity diagram?

**Token-based Semantics:** The possible flows in an activity diagram are defined by the possible token-flows. Elements of an activity diagram process tokens in different ways.

## Token propagation rules

**Init node:** Produces exactly one token

**Action:** If the action receives a token, it also produces one token

**Completion node:** Consumes all tokens it gets (“sink”)

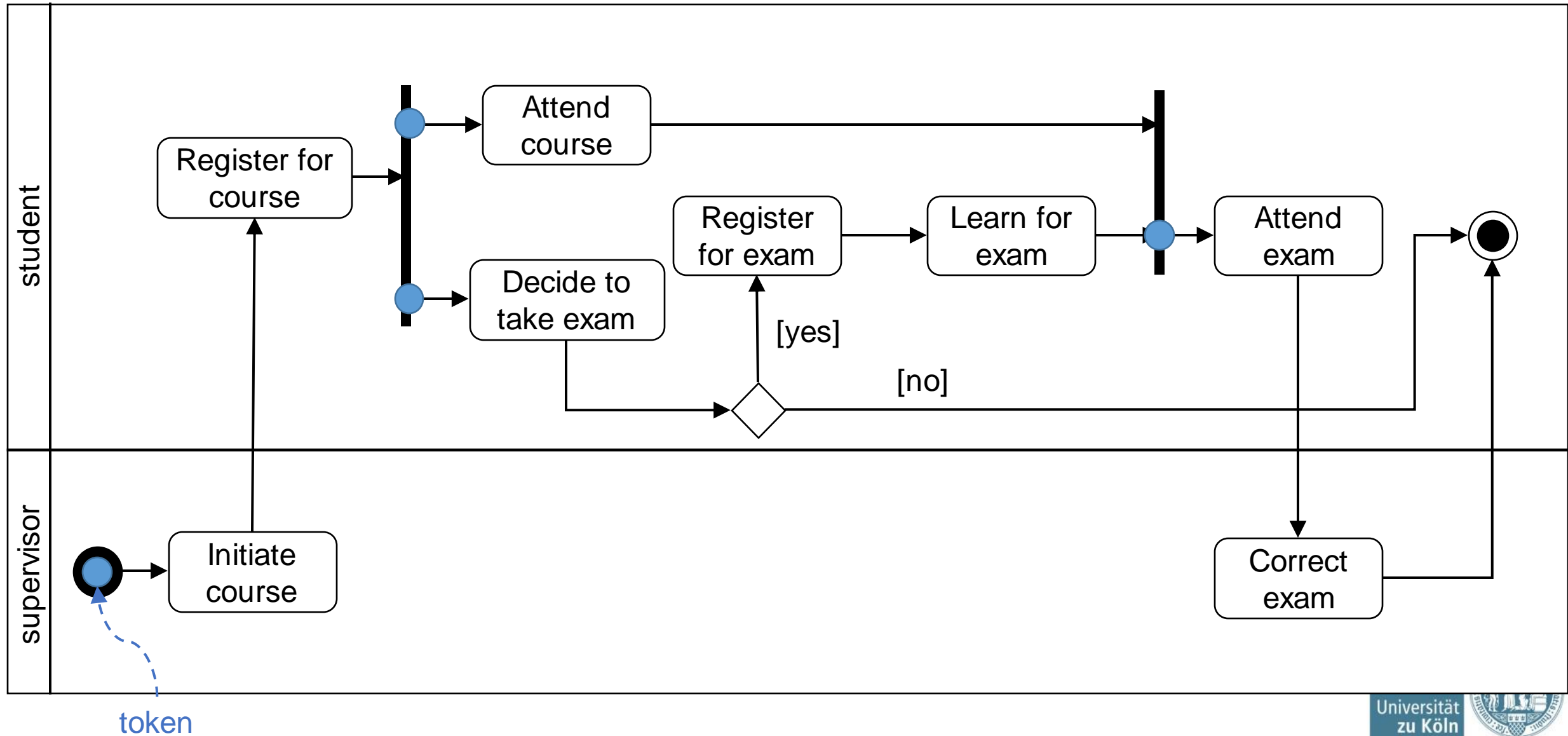
**Branch node:** If the branch node receives a token, it produces exactly one token on the flow where the guard evaluates to true.

**Merge node:** If the merge node receives a token on any of its incoming flows, it produces one token on the outgoing flow.

**Fork node:** If the fork node receives a token, it produces one token on all its outgoing flows.

**Join node:** If the join node has received a token on all its incoming flows, it produces one token on the outgoing flow.

# Example of Token-based Semantics

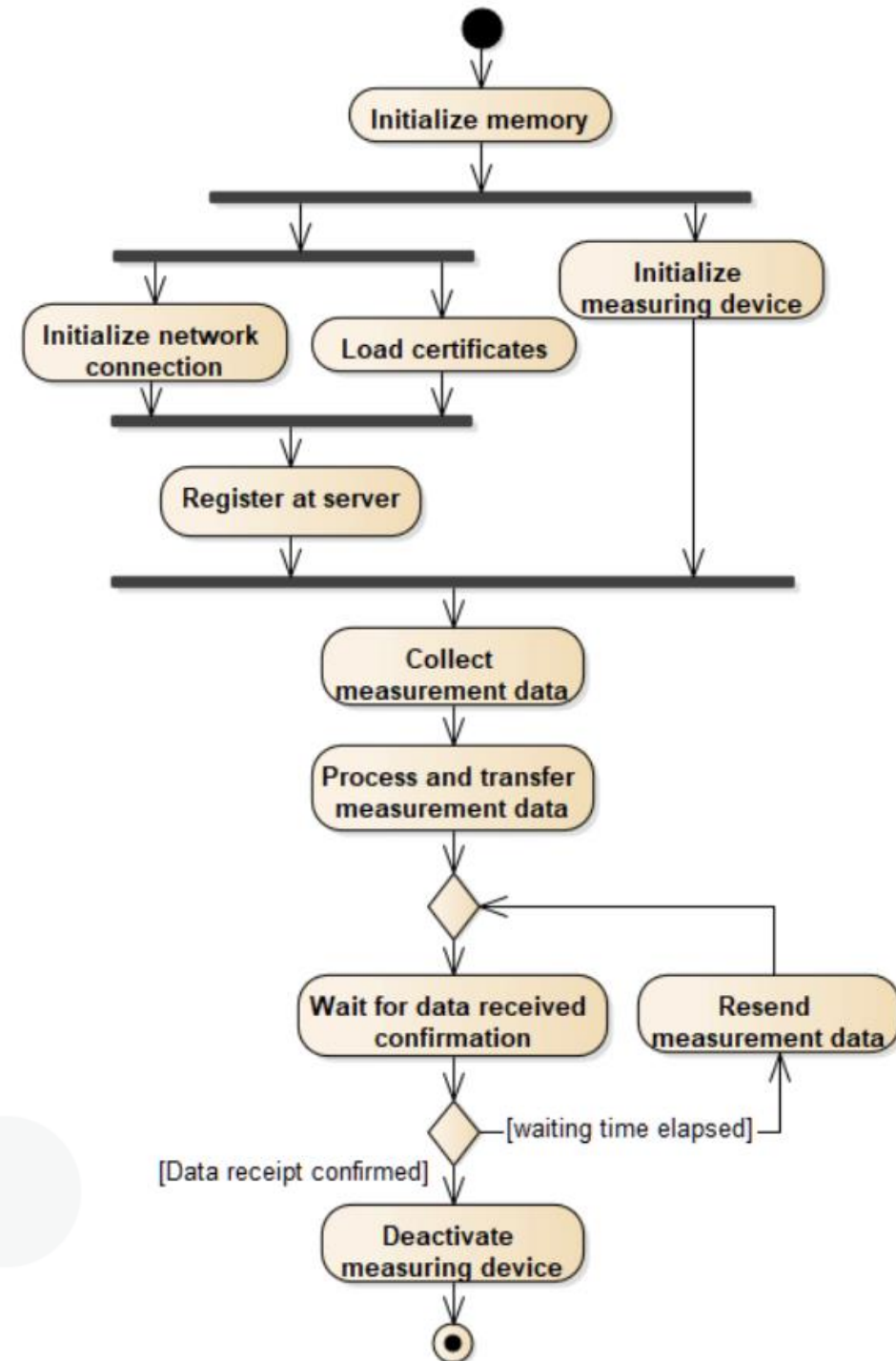


# Quick check

**Which of the following statements match the diagram?**

- *Initialize measuring device* must happen prior to *Register at server*.
- *Register at server* happens as soon as *Load certificates* is ready.
- *Initialize network connection* and *Load certificates* must finish at the same time.
- *Deactivate measuring device* is executed as soon as *Data receipt confirmed* is true.

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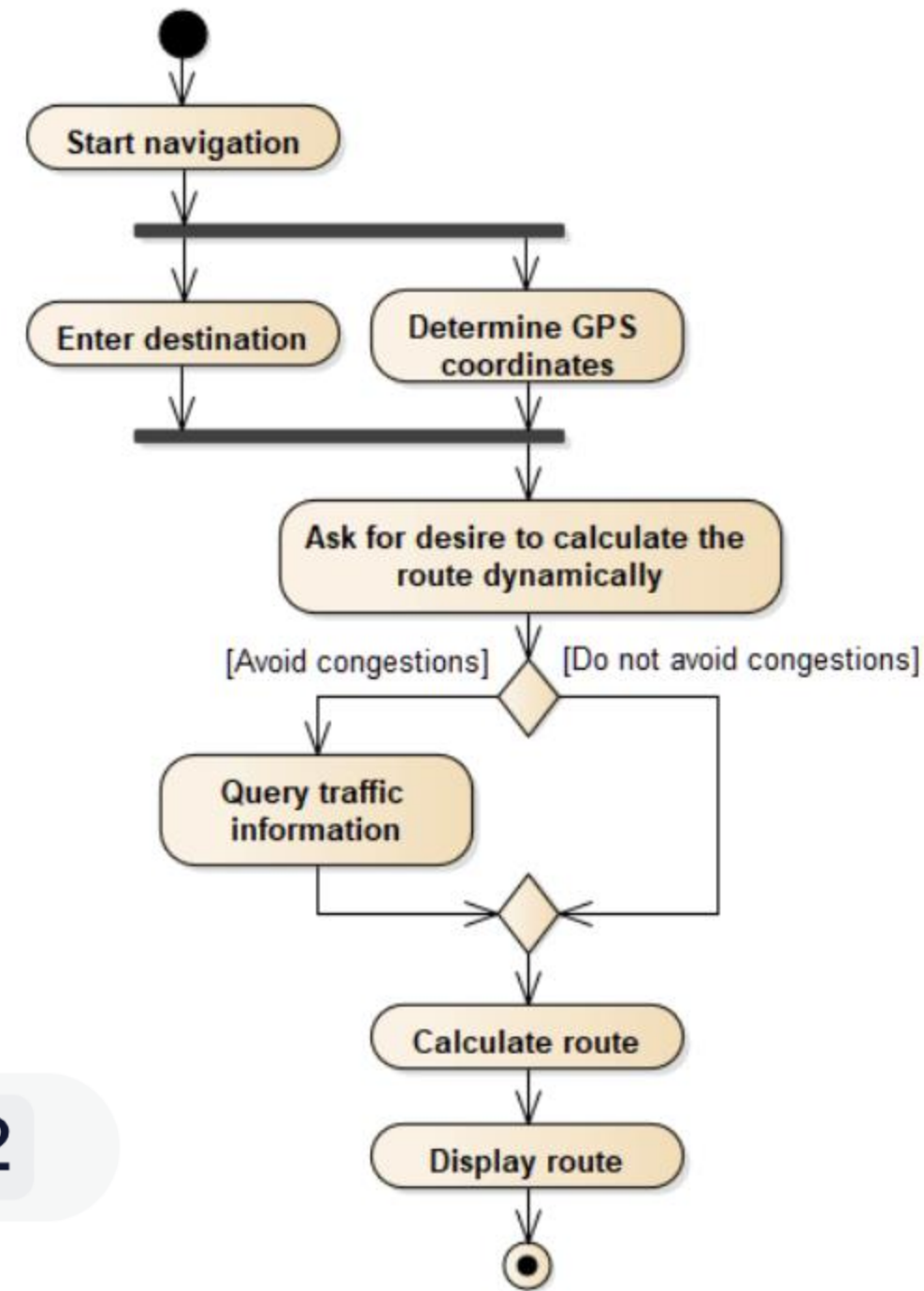




# Quick check

## Which of the following statements match the diagram?

- A route can be calculated without querying traffic information.
- A route can be calculated after querying traffic information.
- The system can ask for the desire to calculate the route dynamically without having to determine the GPS coordinates first.
- The order of *Enter destination* and *Determine GPS coordinates* is arbitrary.



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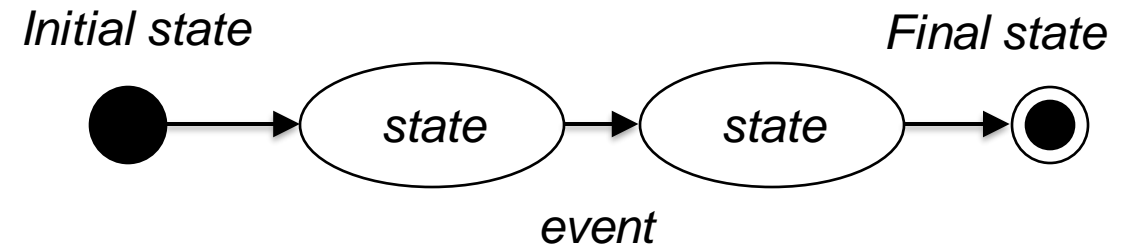


# State Machine Diagrams

# State Machine Diagrams

## State Machine Diagram (Zustandsdiagramm)

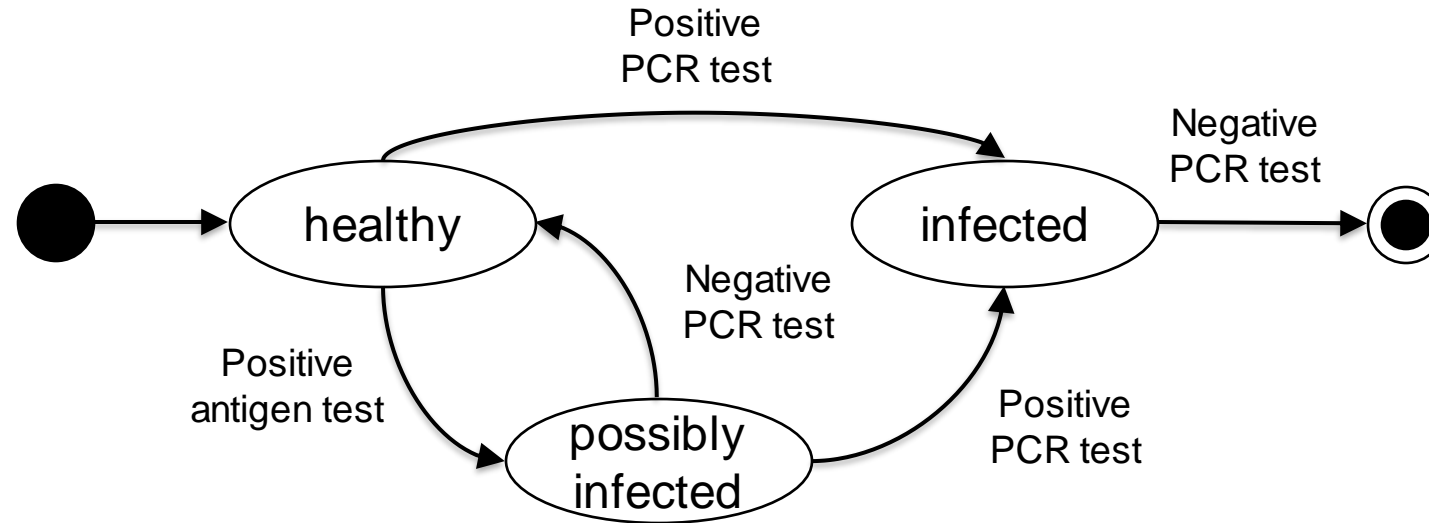
A **state machine diagram** specifies the sequences of states the (a part of) the system goes through during its lifetime in response to events, together with its responses to those events. Every **state** (oval) is characterized by a condition or situation. An **event** is an occurrence of a stimulus that can trigger a state transition. A **transition** (solid arrow) is a relationship between two states. (Zustand, Ereignis, Zustandsübergang)



## Rules for State Machine Diagrams

there is a single **initial state** (filled circle) and a single **final state** (bull's eye) (Start- und Zielzustand) — see exception below

# Example of a State Machine Diagram



# Hierarchical State Machine Diagrams

## Single and Composite State [UML User Guide]

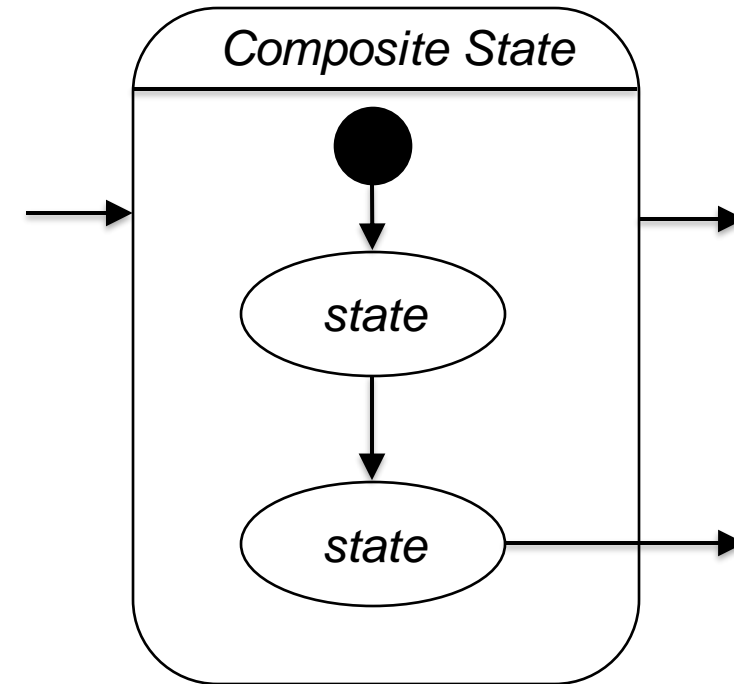
**Motivation:** avoid duplicated transitions, improve overview in complex state machine diagrams

**Simple State:** A simple state is a state that has no substructure. (*einfacher Zustand*)

**Composite State:** A state that has substates (i.e., nested states) is called a composite state. (*komplexer Zustand*)

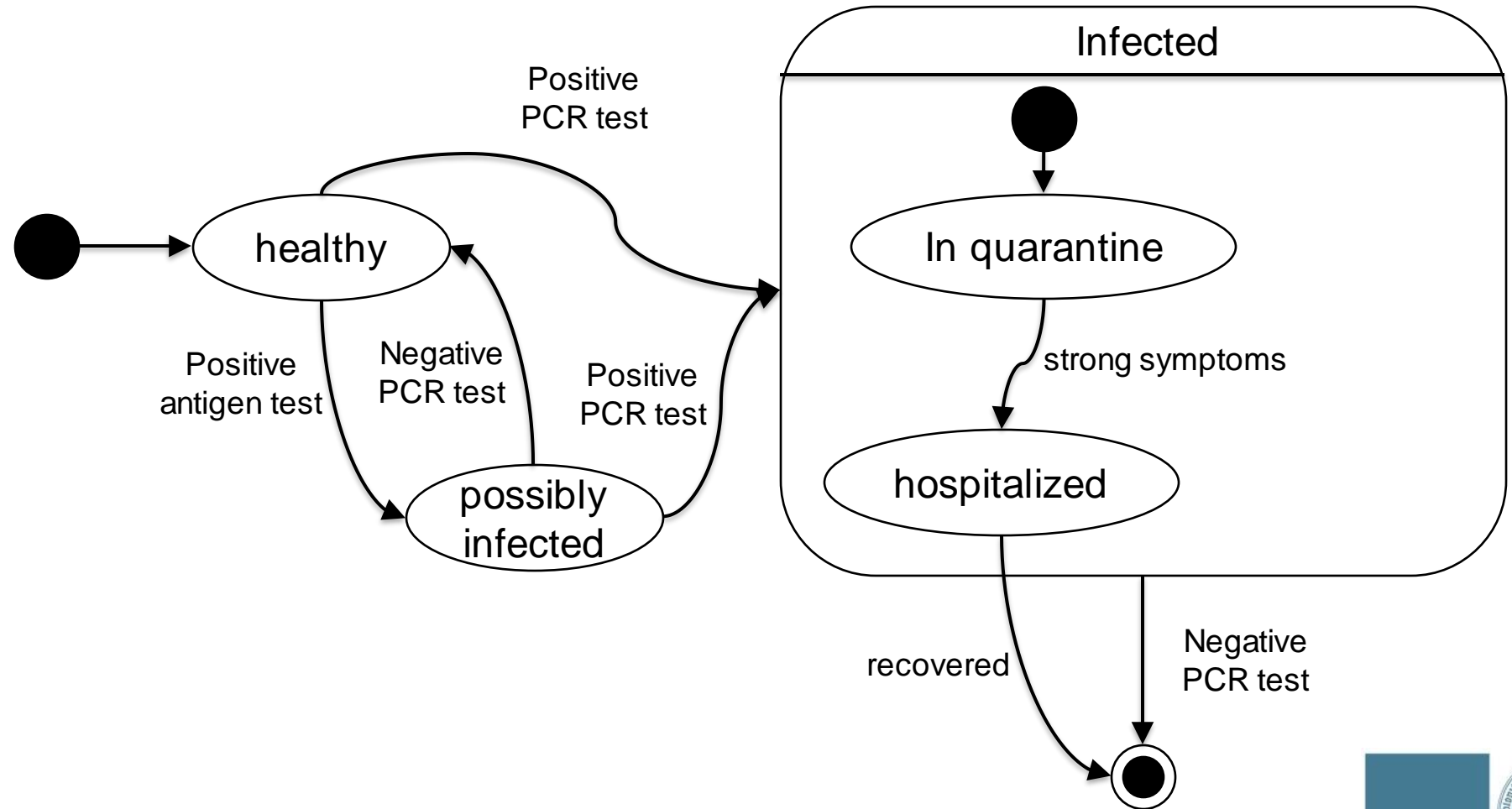
## Rules for State Machine Diagrams

- every composite state has its own single initial state (*Startzustand*)
- substates may be nested to any level





# Example of a State Machine Diagram



# State Machine Diagram Semantics

## SM Semantics

**Motivation:** which behaviors are defined by a state machine diagram?

**Finite State Machine Semantics:** The possible behavior induced by a state machine diagram is the set of event sequences that are accepted by a corresponding finite state machine

## Accepting an event sequence

**State:** The outgoing state transitions of the current state define the possible transitions that can be taken

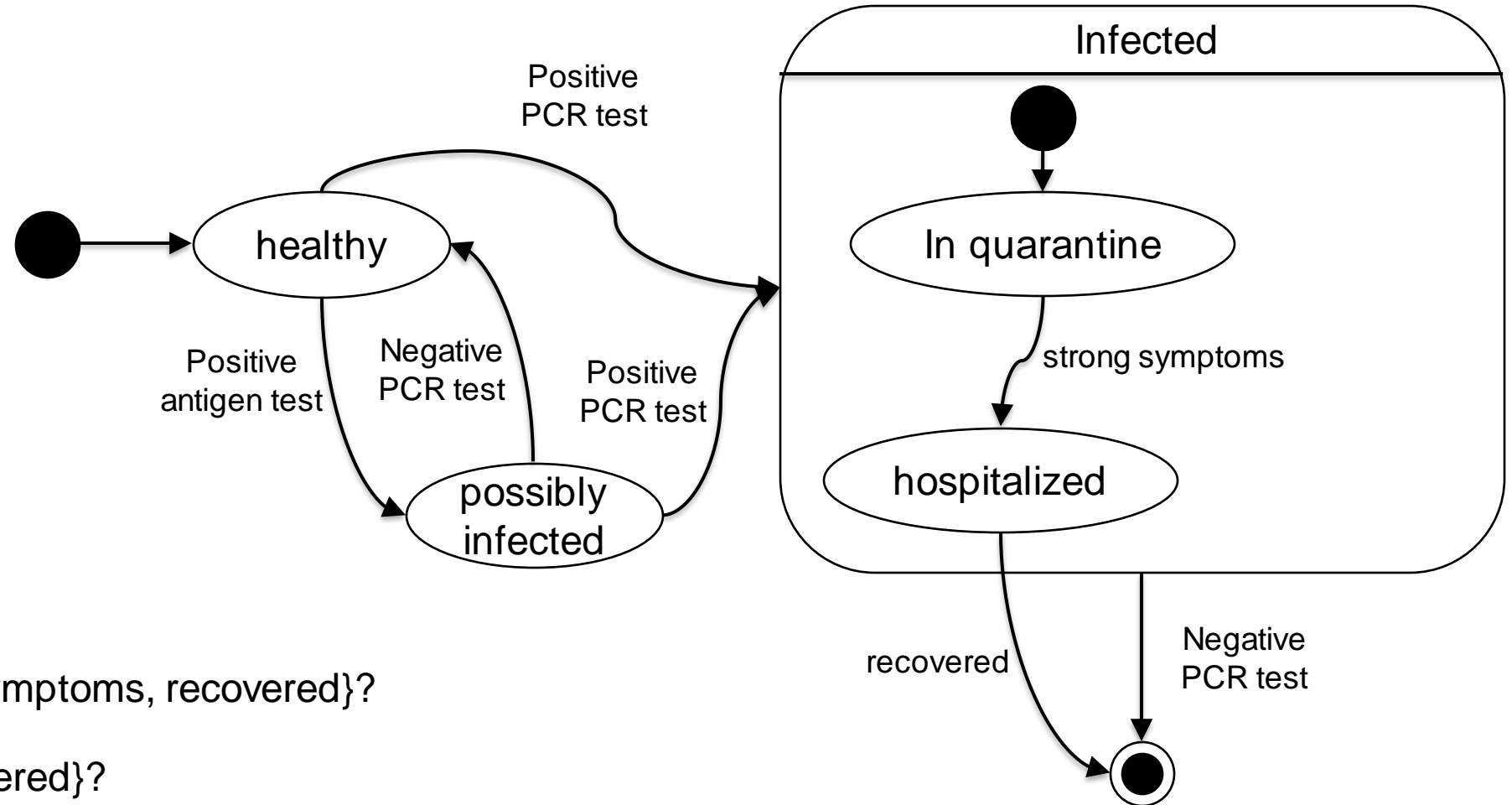
**State transitions:** Take the first event of the sequence and check if it matches any of the possible transitions. If a transition matches, take the transition, make the next state the current state, and remove the element from the sequence.

**Final state:** If the final state has been reached and the sequence is empty, the event sequence is accepted.

**Non-acceptance:** Event sequences are not accepted if

- (1) the sequence is empty, but the final state has not been reached
- (2) The final state has been reached but the event sequence is not yet empty
- (3) No transition matches the current event in the sequence

# Example of a FSM Semantics



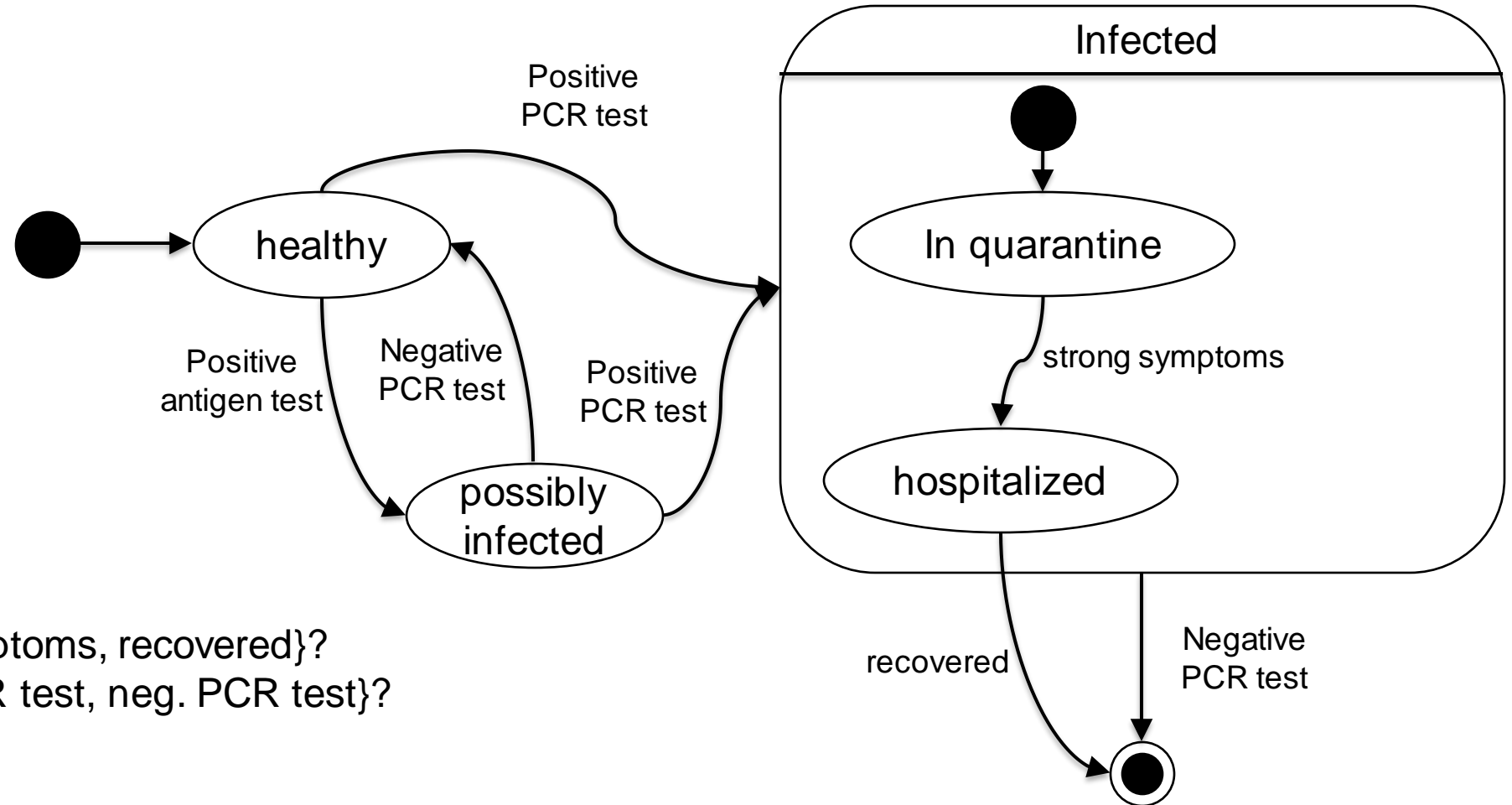
{pos. PCR test, strong symptoms, recovered}?

{strong symptoms, recovered}?

{recovered}?

{}

# Example of a FSM Semantics



{pos. PCR test, strong symptoms, recovered}?  
 {pos. antigen test, pos. PCR test, neg. PCR test}?

...

(pos. antigen test, neg. PCR test)\* (pos. PCR test | (pos. antigen test, pos. PCR test))  
 ((strong symptoms, recovered | (strong symptoms, neg. PCR test) | neg. PCR test)

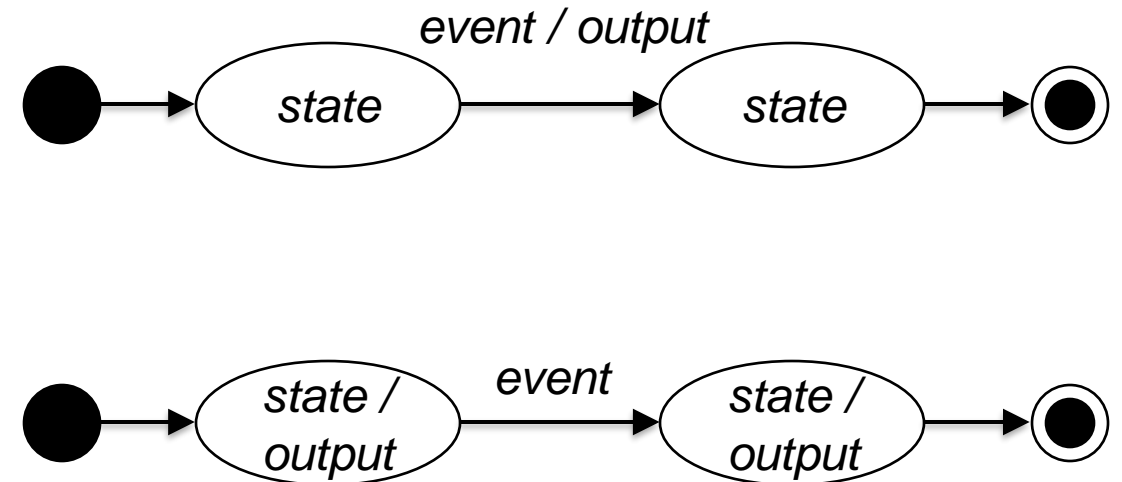
# State Machine Diagrams with Output

## Input/Output State Machines

**Motivation:** allow to attach output behavior to state machine diagrams

**Output in transitions (Mealy Machines):** While processing an input, the transition produces an output.

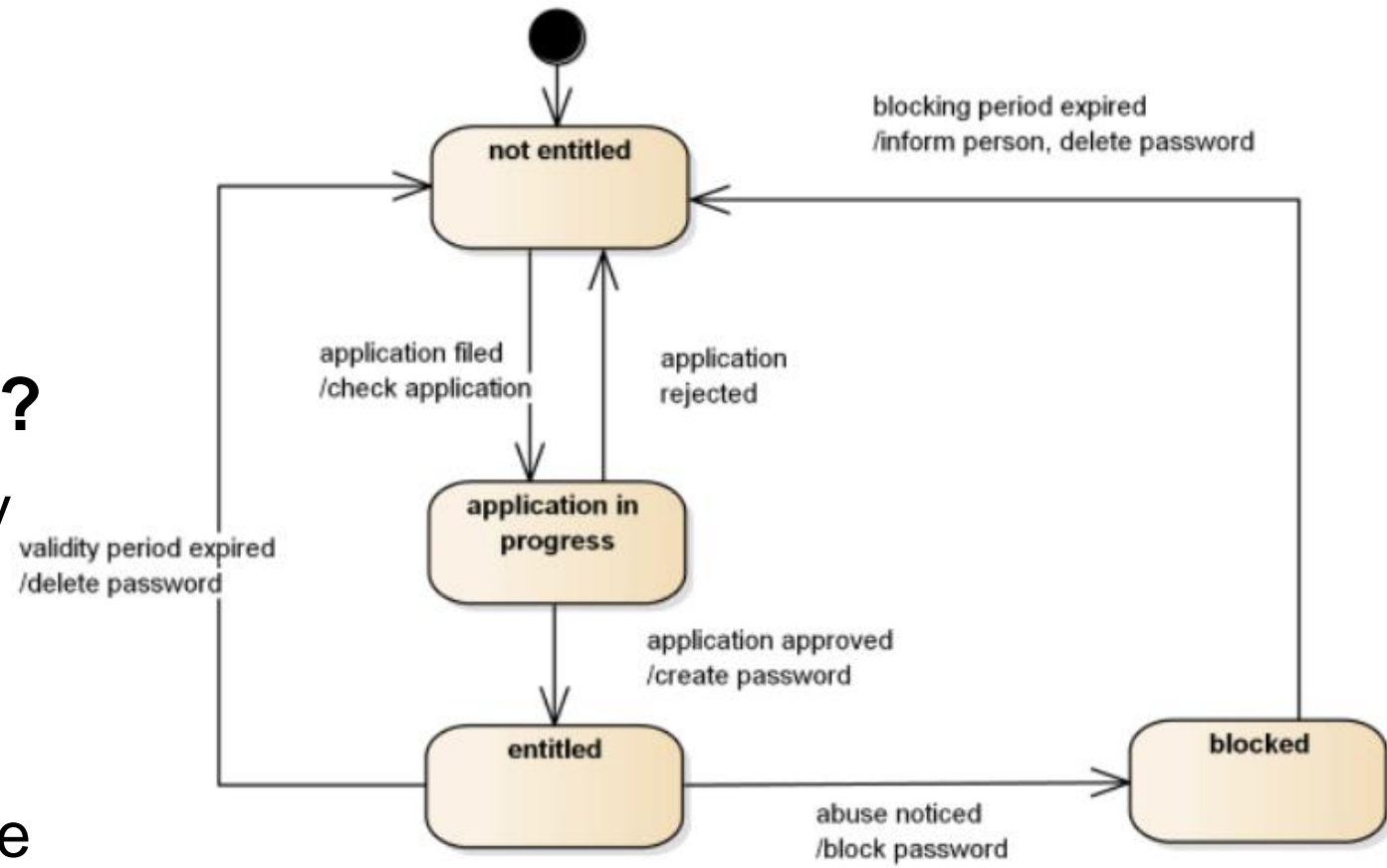
**Output in states (Moore Machines):** While entering/leaving a state, an output is produced.



# Quick check

## Which of the following requirements are modeled correctly in the state diagram?

- Blocked users can be unblocked by resetting the user's password.
- If abuse has been noticed, the password has to be blocked.
- If the validity period has expired, the password has to be deleted.
- If an application is approved, no new application can be created.



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# Summary

## Activity and State Machine Diagrams

We can visualize the dynamics of execution in two ways: by emphasizing the flow of control from activity to activity (**activity diagrams**) or by emphasizing the potential states and transitions among those states (**state machine diagrams**).