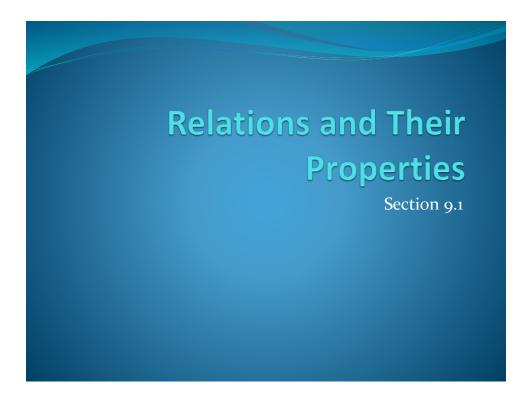


# **Chapter Summary**

- Relations and Their Properties
- *n*-ary Relations and Their Applications (*not currently included in overheads*)
- Representing Relations
- Closures of Relations (not currently included in overheads)
- Equivalence Relations
- Partial Orderings



# **Section Summary**

- Relations and Functions
- Properties of Relations
  - Reflexive Relations
  - Symmetric and Antisymmetric Relations
  - Transitive Relations
- Combining Relations

#### **Announcements**

- HW7 is due now
- HW8 has been posted

#### **Relational Databases**

- Relational databases standard organizing structure for large databases
  - Simple design
  - Powerful functionality
  - Allows for efficient algorithms
- Not all databases are relational
  - Ancient database systems
  - XML –tree based data structure
  - Modern database must: easy conversion to relational

# Example 1

A relational database with schema:

1	Name
2	Favorite Soap
3	Favorite Color
4	Occupation

1	Kate Winslet	Leonardo DiCaprio	
2	Dove	Dial	oto
3	Purple	Green	etc.
4	Movie star	Movie star	

# Example 2

The table for **mod** 2 addition:

+	0	1
0	0	1
1	1	0

# Example 3

Example of a pigeon to crumb pairing where pigeons may share a crumb:

,	/ Crumb 1
Pigeon 1	Crumb 2
Pigeon 2	Crumb 3
Pigeon 3	Crumb 4
	Crumb 5

# Example 4

The concept of "siblinghood".

# Relations: Generalizing Functions

Some of the examples were function-like (e.g. **mod** 2 addition, or crumbs to pigeons) but violations of definition of function were allowed (not well-defined, or multiple values defined).

All of the 4 examples had a common thread: They relate elements or properties with each other.

### Relations: Represented as Subsets of Cartesian Products

In more rigorous terms, all 4 examples could be represented as subsets of certain Cartesian products.

Q: How is this done for examples 1, 2, 3 and 4?

### Relations: Represented as Subsets of Cartesian Products

The 4 examples:

- 1) Database ⊆
- 2) **mod** 2 addition ⊆
- 3) Pigeon-Crumb feeding ⊆
- 4) Siblinghood ⊆

### Relations: Represented as Subsets of Cartesian Products

```
A:
```

- 1) Database ⊆
   {Names}×{Soaps}×{Colors}×{Jobs}
- 2) **mod** 2 addition  $\subseteq$  {0,1}×{0,1}×{0,1}
- 3) Pigeon-Crumb feeding ⊆ {pigeons}×{crumbs}
- 4) Siblinghood ⊆ {people} × {people}
- Q: What is the actual subset for **mod** 2 addition?

# Relations as Subsets of Cartesian Products

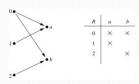
A: The subset for **mod** 2 addition:  $\{ (0,0,0), (0,1,1), (1,0,1), (1,1,0) \}$ 

# **Binary Relations**

**Definition:** A *binary relation* R from a set A to a set B is a subset  $R \subseteq A \times B$ .

#### Example:

- Let  $A = \{0,1,2\}$  and  $B = \{a,b\}$
- {(0, *a*), (0, *b*), (1,*a*), (2, *b*)} is a relation from *A* to *B*.
- We can represent relations from a set *A* to a set *B* graphically or using a table:



Relations are more general than functions. A function is a relation where exactly one element of *B* is related to each element of *A*.

### Binary Relation on a Set

**Definition:** A binary relation R on a set A is a subset of  $A \times A$  or a relation from A to A.

#### **Example:**

- Suppose that  $A = \{a,b,c\}$ . Then  $R = \{(a,a),(a,b),(a,c)\}$  is a relation on A.
- Let A = {1, 2, 3, 4}. The ordered pairs in the relation R = {(a,b) | a divides b} are
  (1,1), (1, 2), (1,3), (1, 4), (2, 2), (2, 4), (3, 3), and (4, 4).

# Binary Relation on a Set (cont.)

**Question**: How many relations are there on a set *A*?

**Solution**: Because a relation on A is the same thing as a subset of  $A \times A$ , we count the subsets of  $A \times A$ . Since  $A \times A$  has  $n^2$  elements when A has n elements, and a set with m elements has  $2^m$  subsets, there are  $2^{|A|^2}$  subsets of  $A \times A$ . Therefore, there are  $2^{|A|^2}$  relations on a set A.

## Binary Relations on a Set (cont.)

**Example**: Consider these relations on the set of integers:

$$\begin{array}{ll} R_1 = \{(a,b) \mid a \leq b\}, & R_4 = \{(a,b) \mid a = b\}, \\ R_2 = \{(a,b) \mid a > b\}, & R_5 = \{(a,b) \mid a = b + 1\}, \\ R_3 = \{(a,b) \mid a = b \text{ or } a = -b\}, & R_6 = \{(a,b) \mid a + b \leq 3\}. \end{array}$$

Note that these relations are on an infinite set and each of these relations is an infinite set.

Which of these relations contain each of the pairs

$$(1,1)$$
,  $(1,2)$ ,  $(2,1)$ ,  $(1,-1)$ , and  $(2,2)$ ?

**Solution**: Checking the conditions that define each relation, we see that the pair (1,1) is in  $R_1$ ,  $R_3$ ,  $R_4$ , and  $R_6$ : (1,2) is in  $R_1$  and  $R_6$ : (2,1) is in  $R_2$ ,  $R_5$ , and  $R_6$ : (1,-1) is in  $R_2$ ,  $R_3$ , and  $R_6$ : (2,2) is in  $R_1$ ,  $R_3$ , and  $R_4$ .

#### **Reflexive Relations**

**Definition:** R is *reflexive* iff  $(a,a) \in R$  for every element  $a \in A$ . Written symbolically, R is reflexive if and only if

$$\forall x[x \in U \longrightarrow (x,x) \in R]$$

**Example:** The following relations on the integers are reflexive:

If  $A = \emptyset$  then the empty relation is

reflexive vacuously. That is the empty relation on an empty set is reflexive!

$$R_1 = \{(a,b) \mid a \le b\},\$$

 $R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},\$ 

 $R_4 = \{(a,b) \mid a = b\}.$ 

The following relations are not reflexive:

$$R_2 = \{(a,b) \mid a > b\}$$
 (note that  $3 \ge 3$ ),

$$R_5 = \{(a,b) \mid a = b+1\}$$
 (note that  $3 \neq 3+1$ ),

$$R_6 = \{(a,b) \mid a+b \le 3\}$$
 (note that  $4 + 4 \le 3$ ).

### Symmetric Relations

**Definition:** R is symmetric iff  $(b,a) \in R$  whenever  $(a,b) \in R$  for all  $a,b \in A$ . Written symbolically, R is symmetric if and only if

$$\forall x \forall y [(x,y) \in R \longrightarrow (y,x) \in R]$$

**Example**: The following relations on the integers are symmetric:

```
R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},\ R_4 = \{(a,b) \mid a = b\},\ R_6 = \{(a,b) \mid a + b \le 3\}. The following are not symmetric: R_1 = \{(a,b) \mid a \le b\} (note that 3 \le 4, but 4 \le 3), R_2 = \{(a,b) \mid a > b\} (note that 4 > 3, but 3 \ne 4), R_5 = \{(a,b) \mid a = b+1\} (note that 4 = 3+1, but 3 \ne 4+1).
```

### **Antisymmetric Relations**

**Definition**:A relation R on a set A such that for all  $a,b \in A$  if  $(a,b) \in R$  and  $(b,a) \in R$ , then a = b is called *antisymmetric*. Written symbolically, R is antisymmetric if and only if  $\forall x \forall y \ [(x,y) \in R \land (y,x) \in R \rightarrow x = y]$ 

• **Example**: The following relations on the integers are antisymmetric:

 $R_1 = \{(a,b) \mid a \le b\}, \leftarrow$ 

```
R_2 = \{(a,b) \mid a > b\}, \qquad a \leq b \text{, then } a = b.
R_4 = \{(a,b) \mid a = b\}, \qquad a \leq b \text{, then } a = b.
R_5 = \{(a,b) \mid a = b + 1\}.
The following relations are not antisymmetric:
R_3 = \{(a,b) \mid a = b \text{ or } a = -b\}
\text{(note that both } (1,-1) \text{ and } (-1,1) \text{ belong to } R_3),
R_6 = \{(a,b) \mid a + b \leq 3\} \text{ (note that both } (1,2) \text{ and } (2,1) \text{ belong to } R_6).
```

For any integer, if a  $a \le b$  and

#### **Transitive Relations**

**Definition:** A relation R on a set A is called transitive if whenever  $(a,b) \in R$  and  $(b,c) \in R$ , then  $(a,c) \in R$ , for all  $a,b,c \in A$ . Written symbolically, R is transitive if and only if

 $\forall x \forall y \ \forall z [(x,y) \in R \land (y,z) \in R \longrightarrow (x,z) \in R]$ 

• **Example**: The following relations on the integers are transitive:

```
R_1 = \{(a,b) \mid a \le b\}, For every integer, a \le b and b \le c, then b \le c.

R_2 = \{(a,b) \mid a > b\}, For every integer, a \le b and b \le c, then b \le c.
```

 $R_4 = \{(a,b) \mid a = b\}.$ 

The following are not transitive:

 $R_5 = \{(a,b) \mid a = b+1\}$  (note that both (4,3) and (3,2) belong to  $R_5$ , but not (4,2)),

 $R_6 = \{(a,b) \mid a+b \le 3\}$  (note that both (2,1) and (1,2) belong to  $R_6$ , but not (2,2)).

# **Combining Relations**

- Given two relations  $R_1$  and  $R_2$ , we can combine them using basic set operations to form new relations such as  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 R_2$ , and  $R_2 R_1$ .
- **Example**: Let  $A = \{1,2,3\}$  and  $B = \{1,2,3,4\}$ . The relations  $R_1 = \{(1,1),(2,2),(3,3)\}$  and  $R_2 = \{(1,1),(1,2),(1,3),(1,4)\}$  can be combined using basic set operations to form new relations:

$$R_1 \cup R_2 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (3,3)\}$$

$$R_1 \cap R_2 = \{(1,1)\}$$
  $R_1 - R_2 = \{(2,2),(3,3)\}$ 

$$R_2 - R_1 = \{(1,2), (1,3), (1,4)\}$$

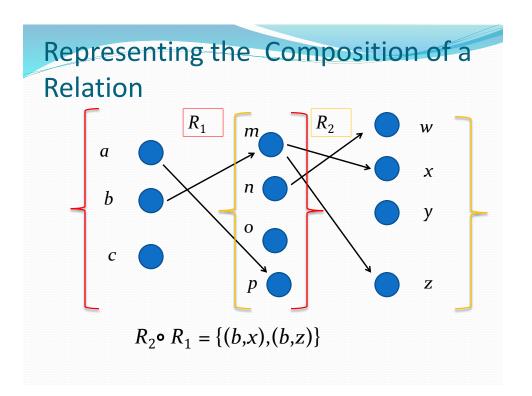
## Composition

**Definition:** Suppose

- $R_1$  is a relation from a set A to a set B.
- $R_2$  is a relation from B to a set C.

Then the *composition* (or *composite*) of  $R_2$  with  $R_1$ , is a relation from A to C where

• if (x,y) is a member of  $R_1$  and (y,z) is a member of  $R_2$ , then (x,z) is a member of  $R_2$ •  $R_1$ .



#### Powers of a Relation

**Definition:** Let R be a binary relation on A. Then the powers  $R^n$  of the relation R can be defined inductively by:

- Basis Step:  $R^1 = R$
- Inductive Step:  $R^{n+1} = R^n \circ R$

(see the slides for Section 9.3 for further insights)

The powers of a transitive relation are subsets of the relation. This is established by the following theorem:

**Theorem 1:** The relation R on a set A is transitive iff  $R^n \subseteq R$  for n = 1,2,3...

(see the text for a proof via mathematical induction)

# Representing Relations

Section 9.3

### **Section Summary**

- Representing Relations using Matrices
- Representing Relations using Digraphs

# Representing Relations Using Matrices

- A relation between finite sets can be represented using a zero-one matrix.
- Suppose *R* is a relation from  $A = \{a_1, a_2, ..., a_m\}$  to  $B = \{b_1, b_2, ..., b_n\}$ .
  - The elements of the two sets can be listed in any particular arbitrary order. When A = B, we use the same ordering.
- The relation R is represented by the matrix  $M_R = [m_{ii}]$ , where

$$m_{ij} = \begin{cases} 1 \text{ if } (a_i, b_j) \in R, \\ 0 \text{ if } (a_i, b_j) \notin R. \end{cases}$$

• The matrix representing R has a 1 as its (i,j) entry when  $a_i$  is related to  $b_j$  and a 0 if  $a_i$  is not related to  $b_j$ .

# Examples of Representing Relations Using Matrices

**Example 1**: Suppose that  $A = \{1,2,3\}$  and  $B = \{1,2\}$ . Let R be the relation from A to B containing (a,b) if  $a \in A$ ,  $b \in B$ , and a > b. What is the matrix representing R (assuming the ordering of elements is the same as the increasing numerical order)?

**Solution:** Because  $R = \{(2,1), (3,1), (3,2)\}$ , the matrix is

$$M_R = \left[ \begin{array}{cc} 0 & 0 \\ 1 & 0 \\ 1 & 1 \end{array} \right].$$

# Examples of Representing Relations Using Matrices (cont.)

**Example 2**: Let  $A = \{a_1, a_2, a_3\}$  and  $B = \{b_1, b_2, b_3, b_4, b_5\}$ . Which ordered pairs are in the relation R represented by the matrix

$$M_R = \left[ \begin{array}{ccccc} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \end{array} \right]?$$

**Solution:** Because R consists of those ordered pairs  $(a_i,b_j)$  with  $m_{ij}=1$ , it follows that:

$$R = \{(a_1, b_2), (a_2, b_1), (a_2, b_3), (a_2, b_4), (a_3, b_1), \{(a_3, b_3), (a_3, b_5)\}.$$

### **Matrices of Relations on Sets**

- If R is a reflexive relation, all the elements on the main diagonal of  $M_R$  are equal to 1.
- R is a symmetric relation, if and only if  $m_{ij} = 1$  whenever  $m_{ji} = 1$ . R is an antisymmetric relation, if and only if  $m_{ii} = 0$  or  $m_{ii} = 0$  when  $i \neq j$ .



# Example of a Relation on a Set

**Example 3**: Suppose that the relation *R* on a set is represented by the matrix

$$M_R = \left[ egin{array}{ccc} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{array} 
ight].$$

Is R reflexive, symmetric, and/or antisymmetric? **Solution**: Because all the diagonal elements are equal to 1, R is reflexive. Because  $M_R$  is symmetric, R is symmetric and not antisymmetric because both  $m_{1,2}$  and  $m_{2,1}$  are 1.