

Dynamic Semantics

CSIT 313

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Need for Notation to Describe Dynamic Semantics

- Unlike BNF for syntax, there is no universally accepted notation for dynamic semantics
 - English (or other natural language) is often imprecise
 - Impossible to prove that programs or compilers are correct
- Need for programmers
- Need for compiler writers
- Need for language designers

Operational Semantics

- Based on effects of running program on a machine
- Often based on a simplified machine model
- Example: assignment statement
 - $\langle A \rangle \rightarrow \text{id} = \langle E \rangle$
 - $\langle E \rangle \rightarrow \langle E \rangle + \langle E \rangle \mid \langle E \rangle * \langle E \rangle \mid (\langle E \rangle) \mid \text{id} \mid \text{int-literal}$

Generating Three-Address Code

- Attributes $\langle A \rangle.code$, $\langle E \rangle.code$ for code generated to make assignment or evaluate expression, respectively
- Attribute $\langle E \rangle.place$ for identifier to hold value of expression
- Function `newTemp()` that generates new temporary variables (`#T1`, `#T2`, etc.) to hold intermediate results
- Function `newLabel()` that generates new labels (`L1`, `L2`, etc.)

Intermediate Code:

Three-Address Code

- Each statement performs a single operation such as addition, multiplication, or goto (conditional or unconditional)
 - $id = id + id$
 - $id = id * id$
 - $id = id$
 - $id = \text{int-value}$
 - $\text{If}(id \text{ relop } id) \text{ goto label}$
 - goto label

Generating Three-Address Code (2)

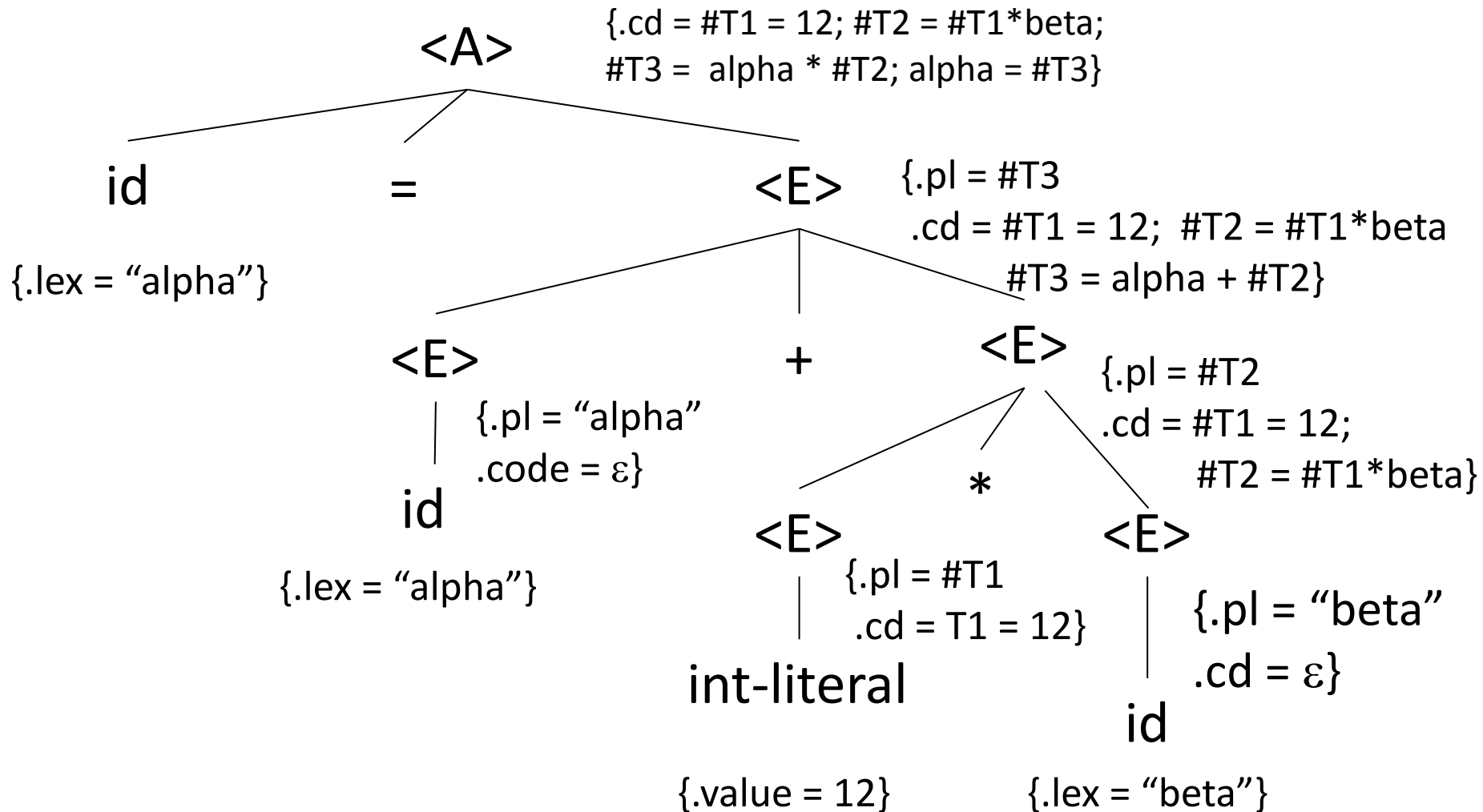
- $\langle A \rangle \rightarrow \text{id} = \langle E \rangle$
 $\{\langle A \rangle.\text{code} = \langle E \rangle.\text{code} \mid \mid \text{id.lexeme} = E.\text{place}\}$
- $\langle E \rangle \rightarrow \langle E \rangle[2] + \langle E \rangle[3]$
 $\{\langle E \rangle.\text{place} = \text{newTemp}()$
 $\langle E \rangle.\text{code} = \langle E \rangle[2].\text{code} \mid \mid \langle E \rangle[3].\text{code} \mid \mid$
 $\langle E \rangle.\text{place} = \langle E \rangle[2].\text{place} + \langle E \rangle[3].\text{place}\}$
- $\langle E \rangle \rightarrow \langle E \rangle[2] * \langle E \rangle[3]$
 $\{ // \text{ similar to above} \}$

Generating Three-Address Code (3)

- $\langle E \rangle \rightarrow (\langle E \rangle[2])$
 $\{ \langle E \rangle.\text{place} = \langle E \rangle[2].\text{place}$
 $\langle E \rangle.\text{code} = \langle E \rangle[2].\text{code} \}$
- $\langle E \rangle \rightarrow \text{id}$
 $\{ \langle E \rangle.\text{place} = \text{id.lexeme} \quad \langle E \rangle.\text{code} = \varepsilon \}$
- $\langle E \rangle \rightarrow \text{int-literal}$
 $\{ \langle E \rangle.\text{place} = \text{newTemp()}$
 $\langle E \rangle.\text{code} = \langle E \rangle.\text{place} = \text{int-literal.value}$

Intermediate Code Generation Example

- alpha = alpha + 12 * beta



Logical Pretest Loops

- $\langle S \rangle \rightarrow \langle A \rangle \{ \langle S \rangle.\text{code} = \langle A \rangle.\text{code} \} |$
 $\langle L \rangle \{ \langle S \rangle.\text{code} = \langle L \rangle.\text{code} \}$
- $\langle L \rangle \rightarrow \text{while (id[1] relop id[2]) } \langle S \rangle$
 { $\langle L \rangle.\text{begin} = \text{newLabel}()$
 $\langle L \rangle.\text{end} = \text{newLabel}()$
 $\langle L \rangle.\text{code} = \langle L \rangle.\text{begin}:$
 if ($\langle \text{id}[1] \rangle.\text{lexeme}$ negation(relop) $\langle \text{id}[2] \rangle.\text{lexeme}$)
 goto L.end ||
 $\langle S \rangle.\text{code} ||$ goto $\langle L \rangle.\text{begin} || \langle L \rangle.\text{end}: \}$
 - Here, negation(relop) yields the negation of the relational operator (e.g., negation(\geq) is $<$)
- **Classroom exercise:** while($a < b$) $a = a + 1$

Evaluation of Operational Semantics

- Depends on lower-level programming languages
- Can lead to circularities in which concepts are indirectly defined in terms of themselves
- Can be useful for writing compiler front ends
- Corresponds reasonably well with the way programmers think of programs (at least in imperative languages)

Denotational Semantics

- Most rigorous and widely known formal method for describing dynamic semantics
- Solidly based on a mathematical theory: recursive function theory
- Function maps syntactic programming-language constructs to mathematical objects (sets or functions)
 - Domain: **syntactic domain**
 - Range: **semantic domain**

Simple Example: Decimal Numbers

- $\langle \text{dec-num} \rangle \rightarrow '0' \mid '1' \mid '2' \mid \dots \mid '9' \mid$
 $\quad \quad \quad \langle \text{dec-num} \rangle '1' \mid \langle \text{dec-num} \rangle '2' \mid$
 $\quad \quad \quad \dots \langle \text{dec-num} \rangle '9'$
- $M_{\text{dec}}('0') = 0, M_{\text{dec}}('1') = 1, \dots M_{\text{dec}}('9') = 9$
- $M_{\text{dec}}(\langle \text{dec-num} \rangle '0') = 10 * M_{\text{dec}}(\langle \text{dec-num} \rangle)$
- $M_{\text{dec}}(\langle \text{dec-num} \rangle '1') = 10 * M_{\text{dec}}(\langle \text{dec-num} \rangle) + 1$
- \dots
- $M_{\text{dec}}(\langle \text{dec-num} \rangle '9') = 10 * M_{\text{dec}}(\langle \text{dec-num} \rangle) + 9$
- **Classroom exercise:** Evaluate $M_{\text{dec}}("283")$

Program States

- A **program state** is a mapping of the program's variables to values
 - Can be represented as a set of ordered pairs of variable names and the current values of the variables
 - $s = \{ \langle i_1, v_1 \rangle, \langle i_2, v_2 \rangle, \dots, \langle i_n, v_n \rangle \}$
 - Special value **undef** for a variable whose value is currently undefined
 - Define a function $\text{VARMAP}(i_j, s) = v_j$
- Functions for program constructs map states to states - reflecting the state change brought about by the construct
 - Some constructs such as expressions are mapped to values

Expressions (1)

We'll use the following simplified grammar

$$\begin{aligned}\langle \text{expr} \rangle &\rightarrow \langle \text{dec-num} \rangle \mid \langle \text{var} \rangle \mid \langle \text{binary-expr} \rangle \\ \langle \text{binary-expr} \rangle &\rightarrow \langle \text{left-expr} \rangle \langle \text{op} \rangle \langle \text{right-expr} \rangle \\ \langle \text{left-expr} \rangle &\rightarrow \langle \text{dec-num} \rangle \mid \langle \text{var} \rangle \\ \langle \text{right-expr} \rangle &\rightarrow \langle \text{dec-num} \rangle \mid \langle \text{var} \rangle \\ \langle \text{op} \rangle &\rightarrow + \mid *\end{aligned}$$

We can now define a function $M_e(\langle \text{expr} \rangle, s)$ for the value of the expression in the given state

Expressions (2)

$M_e(\langle \text{expr} \rangle, s) \triangleq$
case $\langle \text{expr} \rangle$ of
 $\langle \text{dec-num} \rangle \Rightarrow M_{\text{dec}}(\langle \text{dec-num} \rangle)$
 $\langle \text{var} \rangle \Rightarrow$ **if** $\text{VARMAP}(\langle \text{var} \rangle, s) == \text{undef}$
 then error **else** $\text{VARMAP}(\langle \text{var} \rangle, s)$
 $\langle \text{binary-expr} \rangle \Rightarrow$
 if ($M_e(\langle \text{left-expr} \rangle, s) == \text{undef}$ OR
 $M_e(\langle \text{right-expr} \rangle, s) == \text{undef}$)
 then error
 else if ($\langle \text{op} \rangle == '+'$)
 then $M_e(\langle \text{left-expr} \rangle, s) + M_e(\langle \text{right-expr} \rangle, s)$
 else $M_e(\langle \text{left-expr} \rangle, s) * M_e(\langle \text{right-expr} \rangle, s)$

Classroom Exercise: For the expression **alpha + 42**, compute $M_e(\langle \text{expr} \rangle, s)$ if $s = \{\langle \text{alpha}, 53 \rangle, \dots\}$

Assignment Statements

$M_s(x = \langle \text{expr} \rangle, s) \Delta =$
 if ($M_e(\langle \text{expr} \rangle, s) == \text{error}$)
 then error
 else $s' = \{ \langle i_1, v_1' \rangle, \langle i_2, v_2' \rangle, \dots, \langle i_n, v_n' \rangle$
 where for all j with $1 \leq j \leq n$
 if ($i_j == x$)
 then $v_j' = M_e(\langle \text{expr} \rangle, s)$
 else $v_j' = \text{VARMAP}(i_j, s)$

Statement Lists

$\langle \text{stmt-list} \rangle \rightarrow \langle \text{stmt} \rangle \mid \langle \text{stmt-list} \rangle; \langle \text{stmt} \rangle$

$M_{sl}(\langle \text{stmt-list} \rangle, s) \triangleq \text{case } \langle \text{stmt-list} \rangle \text{ of}$

$\langle \text{stmt} \rangle \Rightarrow M_s(\langle \text{stmt} \rangle, s)$

$\langle \text{stmt-list} \rangle; \langle \text{stmt} \rangle \Rightarrow$

$M_s(\langle \text{stmt} \rangle, M_{sl}(\langle \text{stmt-list} \rangle, s))$

Classroom Exercise: Given an initial state
 $s = \{\langle \text{sum}, 10 \rangle, \langle \text{prod}, 24 \rangle, \langle \text{term}, 4 \rangle\}$ compute
 $M_{sl}(\text{"term = term+1; sum = sum + term;"}
 $\text{prod = prod*term"$, s)$

Logical Pretest Loops

- $M_{loop}(\mathbf{while}(<bool\text{-}expr>) \{<stmt\text{-}list>\}, s)$
 $\Delta = \mathbf{if} \ M_{bool}(<bool\text{-}expr>) == \mathbf{undef}$
 $\mathbf{then \ error}$
 $\mathbf{else \ if} \ (M_{bool}(<bool\text{-}expr>) == \mathbf{false})$
 $\mathbf{then \ } s$
 \mathbf{else}
 $M_{loop}(\mathbf{while}(<bool\text{-}expr>) \{stmt\text{-}list\},$
 $M_{sl}(<stmt\text{-}list>, s))$
- **Classroom exercise:** Given the initial state
 $s = \{<term>, 0>, <sum, 0>\}$, compute
 $M_{loop}(\mathbf{while}(\mathbf{term} < 3)$
 $\{\mathbf{term} = \mathbf{term} + 1; \mathbf{sum} = \mathbf{sum} + \mathbf{term}\}, s)$

Evaluation of Denotational Semantics

- Provides a framework for thinking about programming in a very rigorous way
- Can be used as a tool for evaluating language design
 - If the denotational semantics for a construct are difficult and complex, it may be difficult for language users
- An excellent way to describe a language's semantics concisely
- However, the complexity of denotational semantics makes them of little use to language users

Axiomatic Semantics

- Based on mathematical logic
- Instead of directly defining meaning of program, we focus on what can be proven about the result of program
- Relations among variables and constants that are true for every execution of program
 - Can be used for specifying semantics
 - Probably most useful for **program verification**, i.e., proving that a program is correct

Assertions

- An **assertion** is a **logical predicate** involving the program variables that needs to be true at some point during program execution.
 - Example: $\{y > 5\} \ x = 2*y - 1 \ \{x > 9\}$
 - An assertion *before* a program statement describes constraints on the values of variables before that statement, and is called a **precondition** of the statement
 - An assertion after a statement describes new constraints on values of variables after the statement has executed and is called a **postcondition** of the statement

Weakest Preconditions

- The **weakest precondition** is the least restrictive precondition that is needed to guarantee the truth of the associated postcondition
- Example: From the preceding slide, $y > 5$ is the weakest precondition that guarantees the postcondition $x > 9$. We could use stronger preconditions (like $y > 6$ or $y > 10$, or $y > 100$).
 - However, if $y \leq 5$, then $2*y - 1 \leq 9$, meaning that $x \leq 9$ after the assignment, so no weaker precondition will work

Assignment Statements

- Given an assignment statement $x = E$ and a postcondition Q , the weakest precondition is $P = Q_{x \rightarrow E}$, the assertion Q with x replaced by E
- **Rules of inference** allows us to infer the truth of one assertion given the truth of other assertions. They are written

$$\frac{S1, S2, S3, \dots, Sn}{S}$$

which means that when the **antecedents** $S1, S2, S3, \dots, Sn$ are all true, we can infer the truth of the **consequent** S .

Assignment Statements and Rules of Inference

- The assertion $\{Q_{x \rightarrow E}\} x = E \{Q\}$ is an **axiom**, a logical statement that is assumed to be true
 - It can be written as an inference rule without antecedents:
$$\frac{}{\{Q_{x \rightarrow E}\} x = E \{Q\}}$$

- **Rule of consequence:**

$$\frac{\{P\}S\{Q\}, P' \Rightarrow P, Q \Rightarrow Q'}{\{P'\}S\{Q'\}}$$

- The rule of consequence allows us to strengthen preconditions or to weaken postconditions

Examples

- **Classroom Exercise:** Determine the weakest preconditions for the following assignment statements and postconditions
 - $x = 4 * y - 6 \{x > 34\}$
 - $x = 3 * x + 1 \{x \leq 11\}$
- **Classroom Exercise:** Show that the following are true
 - $\{y > 8\} x = 2 * y + 7 \{x > 18\}$
 - $\{x > 4 \text{ and } y > 3\} x = 2 * x + y \{x > 8\}$

Statement Sequences

- Inference rule for a two-statement sequence
$$\frac{\{P1\}S1\{P2\}, \{P2\}S2\{P3\}}{\{P1\}S1;S2\{P3\}}$$
- Example: Compute the weakest precondition for the following sequence and postcondition:
 $y = 2 * x - 7; x = x + y \{x > 20\}$

Conditional Selection

- The inference rule is:

$$\frac{\{B \text{ and } P\}S1\{Q\}, \{\text{not } B \text{ and } P\}S2\{Q\}}{\{P\}\textit{if } B \textit{ then } S1 \textit{ else } S2\{Q\}}$$

- **Classroom Exercise:** Find a precondition (preferably the weakest possible) for
 - **if** ($a > b$) **then** $d = a - b$ **else** $d = b - a$ $\{d > 10\}$
 - **if** ($a > b$) **then** $d = a - b$ **else** $d = b - a$ $\{d > 0\}$

Logical Pretest Loops

- The semantics here require a **loop invariant**: an assertion that is true at the beginning (and end) or every iteration of the loop

- The inference rule is

$$\frac{\{I \text{ and } B\} S \{I\}}{\{I\} \textbf{while } (B) S \{I \text{ and not } B\}}$$

- Finding a loop invariant is not always easy

Loop Invariant Example

Consider the following code

```
i = 0;
```

```
sum = 0;
```

```
while (i < n) {
```

```
    i = i + 1;
```

```
    sum = sum + i*i;
```

```
}
```

```
// Postcondition:  $\text{sum} = n*(n+1)*(2*n + 1)/6$ 
```