## Quantum Physics 1

# Class 19 Three-dimensional Schrodinger Equation

## The Schrodinger Equation

Here's what we have been working with:

$$\widehat{H}\psi = \widehat{E}\psi$$

$$-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}\Psi + V(x,t)\Psi = i\hbar\frac{\partial\Psi}{\partial t}$$

Here's what the 3D equation is:

$$\widehat{H}\psi = \widehat{E}\psi$$

$$-\frac{\hbar^2}{2m}\overrightarrow{\nabla}^2\Psi + V(\overrightarrow{r},t)\Psi = i\hbar\frac{\partial\Psi}{\partial t}$$

## Expressing basic quantities in 3D Cartesian Coordinates

- Volume  $d\tau = dx \ dy \ dz$
- Probability of finding a particle in  $d\tau$  at time t

$$P(x, y, z, t) = |\Psi(x, y, z, t)|^2 dx dy dz$$

Normalization condition

$$\int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy \int_{-\infty}^{\infty} dz \, |\Psi(x, y, z, t)|^2 = 1$$

• Stationary state wave function

$$\Psi(x,y,z,t) = \psi(x,y,z)e^{-\frac{iEt}{\hbar}}$$

## A quick review of coordinate systems

Cartesian coordinates:  $\hat{i}$ ,  $\hat{j}$ ,  $\hat{k}$  form an orthogonal (rectangular) system with each axis at right angles to the other two.

$$d\vec{l} = dx\hat{\imath} + dy\hat{\jmath} + dz\hat{k}$$

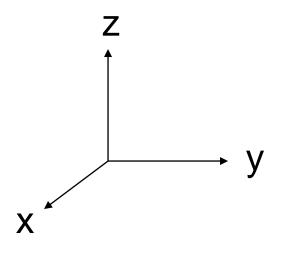
Ø Line element:

$$dV = dxdydz$$

Ø Volume element:

$$\vec{\nabla} = \hat{\imath} \frac{\partial}{\partial x} + \hat{\jmath} \frac{\partial}{\partial y} + \hat{\vec{k}} \frac{\partial}{\partial z}$$

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$



## Cylindrical

## Converting to Cartesian coordinates:

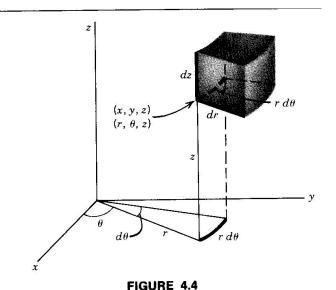
$$x = \rho \cos \theta$$
,  $y = \rho \sin \theta$ ,  $z = z$   
 $\rho = (x^2 + y^2)^{1/2}$ ,  $\tan \theta = y/x$ 

- ightharpoonup Line element:  $d\vec{l} = d\rho \hat{u}_{\rho} + \rho d\theta \hat{u}_{\theta} + dz \hat{u}_{z}$
- ightharpoonup Length ds:  $ds^2 = dr^2 + r^2 d\theta^2 + dz^2$
- ightharpoonup Volume element:  $dV = \rho d\rho d\theta dz$

$$\vec{\nabla} = \hat{u}_{\rho} \frac{\partial}{\partial \rho} + \hat{u}_{\theta} \frac{1}{\rho} \frac{\partial}{\partial \theta} + \hat{u}_{z} \frac{\partial}{\partial z}$$

$$\nabla^{2} = \frac{1}{\rho} \frac{\partial}{\partial \rho} \rho \frac{\partial}{\partial \rho} + \frac{1}{\rho^{2}} \frac{\partial^{2}}{\partial \theta^{2}} + \frac{\partial^{2}}{\partial z^{2}}$$

 $\hat{\rho}, \hat{\theta}, \hat{z}$ 



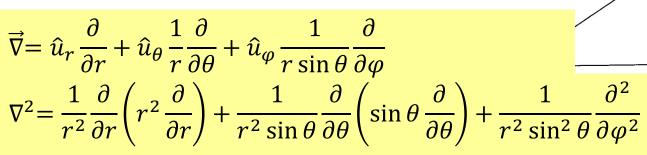
## **Spherical**

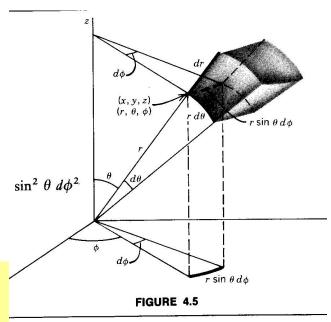
 $x = r \sin \theta \cos \varphi$ ;  $\angle y = r \sin \theta \sin \varphi$ ;  $\angle z = r \cos \theta$ 

$$r = \sqrt{x^2 + y^2 + z^2}$$
;  $\angle \tan \varphi = y/x$ ;  $\angle \tan \theta = \frac{\sqrt{x^2 + y^2}}{z}$ 

$$d\vec{l} = dr\hat{r} + rd\theta\hat{\theta} + r\sin\theta \,d\varphi\hat{\varphi}$$

$$dV = r^2 \sin\theta \, dr d\theta d\varphi$$





## A particle in a rectangular 2-D box

$$V(x, y, z) = \begin{cases} 0 & 0 < x < L_x \\ 0 & 0 < y < L_y \end{cases}$$
 and infinite otherwise

- We will try a solution using separation of variables.
- We will find that two dimensions leads to two quantum numbers to identify the energy.
- Generally, each dimension or degree of freedom in a problem leads to another distinct quantum number.

## Solution by separation of variables

Due to the symmetry of the problem, we choose to solve it in Cartesian coordinates.

$$\Psi(x, y, z, t) = \psi(x, y, z)T(t)$$

With time independent potential V(x,y,z) we get the TISE again:

$$-\frac{\hbar^2}{2m}\nabla^2\psi + V\psi = E\psi$$

$$-\frac{\hbar^2}{2m}\left(\frac{\partial^2\psi}{\partial x^2} + \frac{\partial^2\psi}{\partial y^2}\right) + V\psi = E\psi$$

$$-\frac{\hbar^2}{2m}\left(\frac{\partial^2\psi}{\partial x^2} + \frac{\partial^2\psi}{\partial y^2}\right) = E\psi$$

Now we assume that a product solution exists:

$$\psi(x,y) = X(x)Y(y)$$

$$-\frac{\hbar^2}{2m} \left( Y \frac{\partial^2 X}{\partial x^2} + X \frac{\partial^2 Y}{\partial y^2} \right) \psi = EXY$$

Dividing by XY:

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{Y}\frac{\partial^2 Y}{\partial y^2}\right) - \frac{2m}{\hbar^2}E = 0$$

Pulling all terms in y to one side:

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2}\right) - \frac{2m}{\hbar^2}E = -\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2}$$

which can only be true if each side is separately equal to the same constant:

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2}\right) - \frac{2m}{\hbar^2}E = -\frac{2m}{\hbar^2}E_y$$
$$-\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} = -\frac{2m}{\hbar^2}E_y$$

$$+\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} + \frac{2m}{\hbar^2} (E_y) = 0$$

For the described rectangular box the solutions are:

$$Y = A \sin k_y y + B \cos k_y y$$

The y=0 condition gives: B=0

The  $y=L_v$  condition gives

$$Z(L_{y}) = A \sin k_{y} L_{y} = 0$$

which has non-trivial solutions if

$$k_y = \frac{n_y \pi}{L_y} \text{ with } E_y = \frac{\hbar^2 k_y^2}{2m}$$
  
so: 
$$E_y = \frac{\hbar^2 n_y^2 \pi^2}{2mL_y^2}$$

so: 
$$E_y = \frac{\hbar^2 n_y^2 \pi^2}{2mL_y^2}$$

$$-\left(\frac{1}{X}\frac{\partial^{2}X}{\partial x^{2}}+\right)-\frac{2m}{\hbar^{2}}E+\frac{2m}{\hbar^{2}}E_{y} \text{ and setting } E_{x}=E-E_{y}$$

$$\equiv \left(\frac{1}{X}\frac{\partial^{2}X}{\partial x^{2}}\right)+\frac{2m}{\hbar^{2}}E_{x}=0$$

$$\frac{\partial^2 X}{\partial x^2} + \frac{2m}{\hbar^2} E_{\chi} X = 0$$

which has solutions:

$$X = A \sin k_x x + B \cos k_x x$$

The 
$$x=0$$
 condition gives:  $B=0$ 

The  $x=L_x$  condition gives

$$X(L_{\gamma}) = A \sin k_{\gamma} L_{\gamma} = 0$$

which has non-trivial solutions if

$$k_{\chi} = \frac{n_{\chi}\pi}{L_{\chi}}$$
 with  $E_{\chi} = \frac{\hbar^2 k_{\chi}^2}{2m}$ 

$$E = E_x + E_y = \frac{\hbar^2}{2m} (k_x^2 + k_y^2) = \frac{\hbar^2 \pi^2}{2m} \left( \frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} \right)$$

The Falstad applet

## The Rectangular 3D Box – Quantum well

### The 3-D Rectangular Box

$$V(x, y, z) = \begin{cases} 0 & 0 < x < L_x \\ 0 & 0 < y < L_y \\ 0 & 0 < z < L_z \end{cases}$$
 and infinite otherwise

Since we are seeking energy eigenfunctions, we assume that the solutions are of the form:  $\Psi(\vec{r})$ 

Now we assume that a product solution exists:

$$\psi(x,y,z) = X(x)Y(y)Z(z)$$

$$-\frac{\hbar^2}{2m} \left( ZY \frac{\partial^2 X}{\partial x^2} + XZ \frac{\partial^2 Y}{\partial y^2} + XY \frac{\partial^2 Z}{\partial z^2} \right) \psi + VXYZ = EXYZ$$

Dividing by XYZ and setting V=0:

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} + \frac{1}{Z}\frac{\partial^2 Z}{\partial z^2}\right) + \frac{2m}{\hbar^2}E = 0$$

Pulling all terms in z to one side:

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{Y}\frac{\partial^2 Y}{\partial y^2}\right) + \frac{2m}{\hbar^2}E = -\frac{1}{Z}\frac{\partial^2 Z}{\partial z^2}$$

which can only be true if each side is separately equal to the same constant:

$$\begin{split} &\left(\frac{1}{X}\frac{\partial^{2}X}{\partial x^{2}} + \frac{1}{Y}\frac{\partial^{2}Y}{\partial y^{2}}\right) + \frac{2m}{\hbar^{2}}E = \frac{2m}{\hbar^{2}}E_{z} \\ &- \frac{1}{Z}\frac{\partial^{2}Z}{\partial z^{2}} = \frac{2m}{\hbar^{2}}E_{z} \end{split}$$

$$+\frac{1}{Z}\frac{\partial^2 Z}{\partial z^2} + \frac{2m}{\hbar^2}(E_Z - V_Z) = 0 \text{ where } k_Z \equiv \sqrt{\frac{2m}{\hbar^2}(E_Z)}$$

For the described rectangular box the solutions are:

$$Z = A \sin k_z z + B \cos k_z z$$

The z=0 condition gives: B=0

The  $z=L_z$  condition gives

$$Z(L_z) = A \sin k_z L_z = 0$$

which has non-trival solutions if

$$k_z = \frac{n_z \pi}{L_z}$$
 so  $E_z = \frac{\hbar^2 k_z^2}{2m} = \frac{\hbar^2 n_z^2 \pi^2}{2mL_z^2}$ 

Or we could assume solutions of the exponential form:

$$Z = Ce^{ik_Z z} + De^{-ik_Z z}$$

The z=0 boundary condition gives:

$$C = -D$$
 and thus

$$Z = C(e^{ik_z z} - e^{-ik_z z}) = C' \sin(k_z L_z) \dots$$

Returning to the x and y equation, let's perform the same separation: We arbitrarily leave the  $E_z$  term on the x side of the equation and set the two sides equal to another constant,  $E_y$ 

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2}\right) + \frac{2m}{\hbar^2}E - \frac{2m}{\hbar^2}E_z = -\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} = \frac{2m}{\hbar^2}E_y$$

Solving the y-side:  $\frac{\partial^2 Y}{\partial y^2} + \frac{2m}{\hbar^2} E_y Y = 0$  which has solutions  $Y = A \sin k_y y + B \cos k_y y$ 

The y=0 condition gives: B=0 The y=L<sub>y</sub> condition gives  $Z(L_z) = A \sin k_y L_y = 0$ which has non-trival solutions if:

$$k_y = \frac{n_y \pi}{L_y}$$
 with  $E_y = \frac{\hbar^2 k_y^2}{2m} = \frac{\hbar^2 n_y^2 \pi^2}{2mL_y^2}$ 

$$\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2}\right) + \frac{2m}{\hbar^2}E - \frac{2m}{\hbar^2}E_z - \frac{2m}{\hbar^2}E_y \equiv \left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \right) - \frac{2m}{\hbar^2}E_x = 0$$

$$\frac{\partial^2 X}{\partial x^2} + \frac{2m}{\hbar^2} (E_x) X = 0$$

which has solutions:

$$X = A \sin k_{x} x + B \cos k_{x} x$$

The x=0 condition gives: B=0

The  $x=L_x$  condition gives

$$X(L_{x}) = A \sin k_{x} L_{x} = 0$$

which has non-trivial solutions if

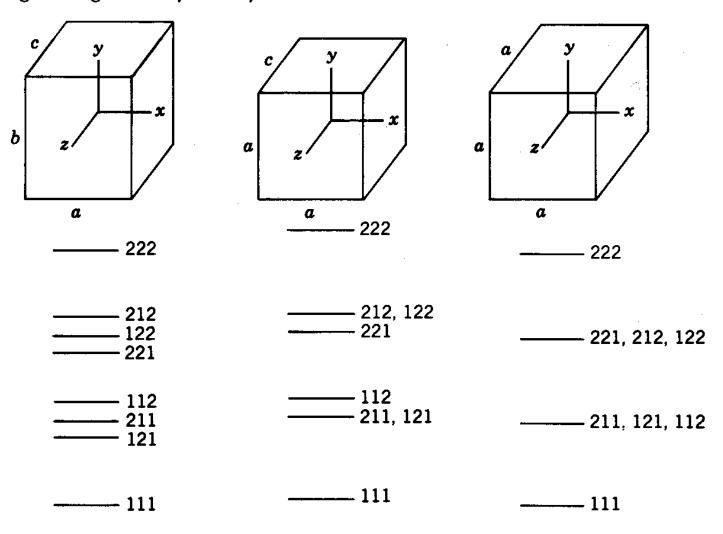
$$k_{x} = \frac{n_{x}\pi}{L_{x}} \text{ with } E_{x} = \frac{\hbar^{2}k_{x}^{2}}{2m}$$

$$E = E_{x} + E_{y} + E_{z} = \frac{\hbar^{2}}{2m} (k_{x}^{2} + k_{y}^{2} + k_{z}^{2})$$

$$= \frac{\hbar^{2}\pi^{2}}{2m} (\frac{n_{x}^{2}}{L_{x}^{2}} + \frac{n_{y}^{2}}{L_{y}^{2}} + \frac{n_{z}^{2}}{L_{z}^{2}})$$

#### Figure 5-27

Rectangular boxes for the confinement of a particle. The energy levels are indicated below by the quantum numbers  $n_1 n_2 n_3$ . The states pass through different stages of degeneracy as the box assumes higher degrees of symmetry.



## **Spherical symmetry**

### Solutions for Central Potentials

Let's address the case where the potential only depends on distance from the center.

The 3D time-independent Schrodinger Eq:  $\widehat{H}\Psi = -\frac{\hbar^2}{2m}\nabla^2\Psi + V\Psi = E\Psi$ In spherical coordinates:

$$\frac{\hbar^2}{2m} \left( \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \Psi}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \Psi}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 \Psi}{\partial \phi^2} \right) + (E - V)\Psi = 0$$

Assuming that the solution can be expressed as a product:  $\Psi(r,\theta,\phi)=R(r)\Theta(\theta)\Phi(\phi)$ 

$$-\frac{\hbar^2}{2m}\left(\frac{\Theta\Phi}{r^2}\frac{d}{dr}\left(r^2\frac{dR}{dr}\right) + \frac{R\Phi}{r^2\sin\theta}\frac{d}{d\theta}\left(\sin\theta\frac{d\Theta}{d\theta}\right) + \frac{R\Theta}{r^2\sin^2\theta}\frac{d^2\Phi}{\partial d}\right) + (V - E)R\Theta\Phi = 0$$

Assuming: V=V(r), dividing through by  $R\Theta\Phi$ , and multiplying through by  $\sin^2\theta \, r^2 2m/\hbar^2$ , we can separate the azimuthal term:

$$\sin^2\theta \left(\frac{1}{R}\frac{d}{dr}\left(r^2\frac{dR}{dr}\right) + \left(\frac{2m}{\hbar^2}\right)(E - V)r^2\right) + \frac{\sin\theta}{\Theta}\frac{d}{d\theta}\left(\sin\theta\frac{d\Theta}{d\theta}\right) = -\frac{1}{\Phi}\frac{d^2\Phi}{d\phi^2} = m_l^2$$

Which has solutions:  $\Phi(\phi) = e^{\pm i m_l \phi}$ .

In order for  $\Phi$  to be single-valued for any  $\Phi$ ,  $m_1$  must be an integer.

We are now left with the other two variables, r and  $\theta$ . Separating the angular terms from the radial terms:

$$\frac{1}{R}\frac{\partial}{\partial r}\left(r^2\frac{\partial R}{\partial r}\right) - r^2\frac{2m(E - V(r))}{\hbar^2} = +\frac{m_l^2}{\sin^2\theta} - \frac{1}{\Theta}\frac{1}{\sin\theta}\frac{\partial}{\partial\theta}\left[\sin\theta\frac{\partial\Theta}{\partial\theta}\right] = l(l+1)$$

The angular part of Laplace's equation is called the Legendre Equation.

$$+\frac{m_l^2}{\sin^2\theta} - \frac{1}{\Theta} \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left[ \sin\theta \frac{\partial\Theta}{\partial\theta} \right] = l(l+1)$$

Making the substitution  $x=\cos\theta$ 

$$\frac{d}{d\theta} = \frac{dx}{d\theta} \frac{d}{dx} = -\sin\theta \frac{d}{dx} = -\sqrt{1 - x^2} \frac{d}{dx}, \text{ so}$$

In standard form, this is known as the Legendre Equation:

$$\frac{d}{dx} \left[ (1 - x^2) \frac{d\Theta_{lm}}{dx} \right] + (l(l+1) - \frac{m_l^2}{1 - x^2})\Theta_{lm} = 0$$

And the solutions are the Associated Legendre Polynomials

We will start by solving the special case when m=0.

$$\frac{d}{dx}\left[(1-x^2)\frac{dP_l}{dx}\right] + (l(l+1))P_l = 0$$

To find the solution we use the power series method,

assume a solution of the form, 
$$y(x) = \sum_{n=0}^{\infty} a_n x^n$$

and substitution into gives

$$\sum_{n=2}^{\infty} a_n n(n-1)x^{n-2} - \sum_{n=2}^{\infty} a_n n(n-1)x^n - 2\sum_{n=1}^{\infty} a_n nx^n + l(l+1)\sum_{n=2}^{\infty} a_n x^n = 0$$

from which we get the recursion relation,

$$a_{n+2} = \frac{(n-l)(n+l+1)}{(n+1)(n+2)}a_n$$

- Note that the recursion relation only connects terms which differ by two powers in x. This means that the series beaks into two independent series – one even and one odd.
- For the even series there is one arbitrary constant a<sub>0</sub>
   from which all others are deduced.
- The odd series starts with the arbitrary constant a<sub>1</sub>.
- If either series is actually allowed to go to infinity, the wavefunction will sum to infinity unless / is only allowed to have a positive integer value. This causes the recursion relation to terminate at the /th term.

We can deduce the solution for n=0 and use with the recursion relation.

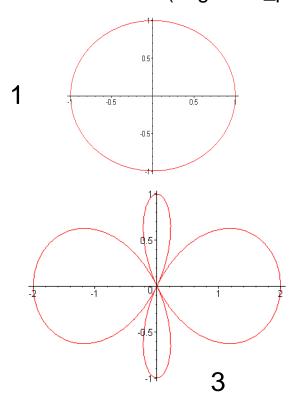
$$P_n(x) = \sum_{j=0}^{N} (-1)^j \frac{(2n-2j)! \, x^{n-2j}}{2^n l! \, (n-2j)! \, (n-1)!}$$

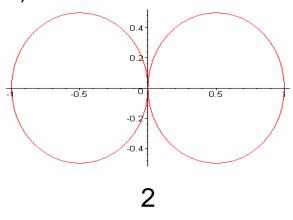
where N=n/2 for n even and N=(n-1)/2 for n odd.

A second set of possible solutions yields unphysical results.

The first few Legendre polynomials  $(P_n)$  are:

 Example plots of the first few Legendre functions (Legendre\_plots.mws)





## Properties of Legendre Polynomials

$$P_{l}(x) = \sum_{j=0}^{N} (-1)^{j} \frac{(2l-2j)! \, x^{l-2j}}{2^{l} l! \, (l-2j)! \, (l-1)!}$$
where  $N = \frac{l}{2}$  for  $l$  even and  $N = \frac{l-1}{2}$  for  $n$  odd.

The first few Legendre polynomials  $(P_l)$  are:

$$P_0(x) = 1, P_1(x) = x, P_2(x) = (3x^2 - 1)/2$$
  
or  $P_0 = 1, P_1(\theta) = \cos \theta, P_2(\theta) = (3\cos^2 \theta - 1)/2$ 

Legendre Polynomials form a complete, orthogonal set of functions on the region -1 < x < 1.

Normalization and orthogonality of Legendre Polynomials:

$$\int_{-1} [P_n(x)P_m(x)]dx = \delta_{nm} \frac{2}{2n+1}$$

Orthogonality and normalization of Legendre Polynomials

$$\int_{-1}^{1} [P_n(x)P_m(x)]dx = \delta_{nm} \frac{2}{2n+1}$$

Orthogonality means that we can express the angular part of any wavefunction using a sum of Legendre polynomials.

We will not solve the  $m \neq 0$  case now, but we will state the relation between the Legendre

functions (m=0) and the full solutions (the associated Legendre functions).

$$\Theta_{lm}(x) = (1 - x^2)^{|m|/2} \frac{d^{|m|} P_l}{dx^{|m|}}$$

from which we can find

$$\Theta_{00} = 1,$$
 $\Theta_{10} = x, \quad \Theta_{1}^{\pm 1} = (1 - x^{2})^{1/2},$ 
 $\Theta_{2}^{0} = 1 - 3x^{2}, \quad \Theta_{2}^{\pm 1} = (1 - x^{2})^{1/2}x$