SASWATA PAUL

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RESEARCH INTERESTS

Logic, formal methods, semantics, autonomous systems, and their applications in the verification of aerospace systems, assurance of AI/ML, assurance case generation, model-based design, and system certification.

EXPERIENCE

Research Engineer

May 2022 - Present Niskayuna, NY

General Electric Global Research

- · Principal Investigator for the FAA Certification of AI/ML program.
- · Led the development of Overarching Properties-based assurance arguments for AI/ML systems under the FAA Certification of AI/ML program.
- · Led the development of design review tools for DO-178C and ARP-4754 from the RACK curated database under the DARPA ARCOS Program.
- · Led the development of the RITE IDE for the RACK curated database under the DARPA ARCOS Program.
- · Designed an automated approach for the generation of assurance cases from the RACK curated database under the DARPA ARCOS Program.
- · Conducted research on using semantic technologies, formal tools, and softare systems for the certification and assurance of safety-critical systems and authored several research papers, technical reports, and patents.
- · Contributed to proposals funded by DARPA, AFRL, and ARL.
- · Actively involved in the following projects: DARPA ARCOS, FAA Certification of AI/ML, ACE MBSE (funded by GE Aerospace), NASA V&V, DARPA PEARLS,

Graduate Research Assistant

May 2017 - May 2022

Troy, NY

Rensselaer Polytechnic Institute

- · Developed the first machine-checked proof of eventual progress for the Synod consensus protocol.
- · Developed a failure-aware actor model for formal reasoning about distributed communication in airborne networks.
- · Developed a formal library in Athena tailored towards reasoning about distributed coordination protocols.
- · Developed a formally verified protocol for ensuring situational awareness in autonomous aircraft.
- · Designed a data-driven approach for the runtime verification of distributed systems using formal proofs.
- · Designed a provably-correct decentralized and autonomous air traffic management technique for Urban Air Mobility.
- · Developed a formally verified strategic conflict-aware flight planning algorithm.
- · Developed a data-driven approach for generating high-fidelity emergency trajectories for fixed-wing aircraft.

Research Intern

Jun. 2020 - Aug. 2020, May 2021 - Jul. 2021

General Electric Global Research

- \cdot Worked on DARPA and NASA-funded programs with the High-Assurance Systems team.
- · Developed a formal data model for constructing Operational Risk Assessment artifacts (NASA V&V).
- · Developed an approach for auto-generating assurance case fragments from the VERDICT toolchain (DARPA CASE).
- · Proposed an approach to generate certification reports from the curated RACK database (DARPA ARCOS).
- · Developed an SMT-based approach for strategic detection and elimination of aircraft conflicts for Urban Air Mobility.

Graduate Teaching Assistant

Aug. 2016 - May 2017, Aug. 2020 - Dec. 2020

Rensselaer Polytechnic Institute

Niskayuna, NY

Conducted practical labs, held office hours, proctored exams, and graded assignments and exams for courses such as Computer Science I, Principles of Software, and Programming Languages.

Summer Intern May 2014 - Jul. 2014 Kolkata, India · Developed an Android application for generating the shortest/cheapest bus route between two given destinations.

EDUCATION

Ph.D. in Computer Science Rensselaer Polytechnic Institute, Troy, NY, USA M.S. in Computer Science Rensselaer Polytechnic Institute, Troy, NY, USA B.Tech. in Computer Science & Engineering May 2022 May 2022 May 2022 May 2015

HONORS AND DISTINCTIONS

- · Best Paper Award, Certification and DO-178C session, at the 42nd AIAA/IEEE DASC, Barcelona, Spain, Oct. 2023.
- · Robert McNaughton Prize for outstanding achievements, Rensselaer Polytechnic Institute, May, 2022.
- · Best Paper Award, Software Development track, at the 40th AIAA/IEEE DASC, San Antonio, TX, Oct. 2021.
- · Received the GE Impact Award as a summer intern at GE Research, Niskayuna, NY, Aug. 2020 & Jul. 2021.
- · Finalist for Best Student Paper Award at the 38th AIAA/IEEE DASC, San Diego, CA, Sep. 2019.
- · Topper of the CS, Rensslear Polytechnic Institute, May, 2022. department at NIT Agartala in the 8th semester of B.Tech., May 2015.
- · Secured 1st position in inter-college coding competition at NIT Agartala, 2013.
- · Secured 2nd position at Holy Cross School Agartala in Indian School Certificate examination, 2011.
- · Secured 4th position at Holy Cross School Agartala in Indian Certificate of Secondary Education examination, 2009.

PUBLICATIONS

Journal and Conference Publications:

National Institute of Technology, Agartala, Tripura, India

- 1. B. Meng, J. Debnath, S. Varanasi, E. Manoloios, M. Durling, <u>S. Paul</u>, D. Prince, S. Alsabaggah, R. Haadsma, C. McMillan, C. Zhang, and T. Oates. "Towards a Correct-by-Construction Design of Integrated Modular Avionics", In *Proc. of the 23rd Conf. on Formal Meth. in Comp. Aided Design*, Oct. 2023.
- 2. <u>S. Paul</u>, D. Prince, N. Iyer, M. Durling, N. Visnevski, B. Meng,S. Varanasi, K. Siu, C. McMillan, and M. Meiners. "Towards the Certification of Neural Networks using Overarching Properties: An Avionics Case Study", In *Proc. of the 42nd AIAA/IEEE Digit. Avionics Syst. Conf.*, Oct. 2023.
- 3. <u>S. Paul</u>, C. Alexander, M. Durling, K. Siu, D. Prince, B. Meng, S. Varanasi, and D. Stuart. "Automated DO-178C Compliance Summary Through Evidence Curation", In *Proc. of the 42nd AIAA/IEEE Digit. Avionics Syst. Conf.*, Oct. 2023.
- 4. <u>S. Paul</u>, E. Cruz, A. Dutta, A. Bhaumik, E. Blasch, G. A. Agha, S. Patterson, F. Kopsaftopoulos, C. Varela. "Formal Verification of Safety-Critical Aerospace Systems", *IEEE Aerospace and Electronic Systems Magazine*, Apr. 2023.
- P. Zhou, S. Paul, A. Dutta, C. Varela, F. Kopsaftopoulos. "On Formal Verification of Data-Driven Flight Awareness: Leveraging the Cramér-Rao Lower Bound of Stochastic Functional Time Series Models", In Proc. of InfoSymbiotics/DDDAS2022, Oct. 2022.
- 6. <u>S. Paul</u>, G. A. Agha, S. Patterson, and C. A. Varela. "Eventual Consensus in Synod: Verification using a Failure-Aware Actor Model", *Innovations in Systems & Software Engineering: A NASA Journal*, Jul. 2022.
- 7. <u>S. Paul</u>, S. Patterson, and C. A. Varela. "Formal Guarantees of Timely Progress for Distributed Knowledge Propagation", *Proc. of the 3rd Workshop on Formal Methods for Auton. Syst.*, Oct. 2021.
- 8. V. T. Valapil, H. Herenzia-Zapana, M.Durling, K. Armstrong, <u>S. Paul</u>, S. Borgyos, A. Moitra, and W. Premerlani. "Towards Formalization of a Data Model for Operational Risk Assessment", *Proc. of the 40th AIAA/IEEE Digit. Avionics Syst. Conf.*, Oct. 2021.
- 9. B. Meng, <u>S. Paul</u>, A. Moitra, K. Siu, and M. Durling. "Automating the Assembly of Security Assurance Case Fragments", *Proc. of the 40th Int. Conf. on Comp. Safety, Reliability, and Security*, Sep. 2021.
- S. Paul, G. A. Agha, S. Patterson, and C. A. Varela. "Verification of Eventual Consensus in Synod Using a Failure-Aware Actor Model", Proc. of the 13th NASA Formal Methods Symp., May 2021.
- 11. B. Meng, D. Larraz, K. Siu, A. Moitra, J. Interrante, W. Smith, <u>S. Paul</u>, D. Prince, H. Herencia-Zapana, M. F. Arif, M. Yahyazadeh, V. T. Valapil, M. Durling, C. Tinelli, and O. Chowdhury. "VERDICT: A Language and Framework for Engineering Cyber-Resilient and Safe System", *Systems*, Mar. 2021.
- 12. <u>S. Paul</u>, S. Patterson, F. Kopsaftopoulos, and C. A. Varela. "Towards Formal Correctness Envelopes for Dynamic Data-Driven Aerospace Systems", *Handbook Dyn. Data-Driven App. Syst.*, Accepted Nov. 2022. (*To appear*)

- 13. <u>S. Paul</u>, S. Patterson, and C. A. Varela. "Collaborative Situational Awareness for Conflict-Aware Flight Planning", In *Proc. of the 39th AIAA/IEEE Digit. Avionics Syst. Conf.*, Oct. 2020.
- 14. B. Meng, A. Moitra, A. W. Crapo, <u>S. Paul</u>, K. Siu, M. Durling, D. Prince, H. Herencia-Zapana. "Towards Developing Formalized Assurance Cases", In *Proc. of the 39th AIAA/IEEE Digit. Avionics Syst. Conf.*, Oct. 2020.
- 15. <u>S. Paul</u>, F. Kopsaftopoulos, S. Patterson, and C. A. Varela. "Dynamic Data-Driven Formal Progress Envelopes for Distributed Algorithms", In *Proc. of InfoSymbiotics/DDDAS2020*, Oct. 2020.
- 16. E. Cruz-Camacho, <u>S. Paul</u>, F. Kopsaftopoulos, and C. A. Varela. "Towards Provably Correct Probabilistic Flight Systems", In *Proc. of InfoSymbiotics/DDDAS2020*, Oct. 2020.
- 17. <u>S. Paul</u>, S. Patterson, and C. A. Varela. "Conflict-Aware Flight Planning for Avoiding Near Mid-Air Collisions", In *Proc. of the 38th AIAA/IEEE Digit. Avionics Syst. Conf.*, San Diego, CA, USA, Sep. 2019.
- 18. <u>S. Paul</u>, F. Hole, A. Zytek, and C. A. Varela. "Wind-Aware Trajectory planning for Fixed-Wing Aircraft in Loss of Thrust Emergencies", In *Proc. of the 37th AIAA/IEEE Digit. Avionics Syst. Conf.*, London, England, Sep. 2018.

Other Publications:

- 1. <u>S. Paul</u>, D. Prince, N. Iyer, M. Durling, N. Visnevski, B. Meng. "Assurance of Machine Learning-Based Aerospace Systems: Towards an Overarching Properties-Driven Approach", Tech. Report, *United States Department of Transportation*, Sep. 2023.
- 2. <u>S. Paul</u>, "Formal Verification of Decentralized Coordination in Autonomous Multi-Agent Aerospace Systems", PhD Dissertation, *Rensselaer Polytechnic Institute*, Troy, NY, May 2022.
- 3. <u>S. Paul</u>, "Emergency Trajectory Planning for Fixed-Wing Aircraft", Master's Thesis, *Rensselaer Polytechnic Institute*, Troy, NY, Dec. 2018.
- 4. <u>S. Paul</u>, F. Hole, A. Zytek, and C. A. Varela. "Flight Trajectory Planning for Fixed-Wing Aircraft in Loss of Thrust Emergencies", Tech. Report, *Rensselaer Polytechnic Institute*, Troy, NY, Dec. 2017.

PROFESSIONAL ACTIVITIES

- \cdot Mentored undergraduate and graduate researchers working at the Worldwide Computing Laboratory, Rensselaer Polytechnic Institute.
- · Reviewer for UCC 2017, IEEE BigData 2017, AAMAS 2019, IEEE Cluster 2019, FMCAD 2023, and ISSRE 2023.
- · Conducted workshops for high school students for "STEM Day" at the Worldwide Computing Laboratory, Rensselaer Polytechnic Institute, in 2018 and 2019.