

In this chapter we will introduce and justify the notion of a *polarization* on a symplectic manifold, as well as give some examples and showing how it solves some of the issues with pre-quantization by adjusting the prequantum Hilbert space into a polarized prequantum Hilbert space and adjusting the prequantized operators into polarized prequantum operators; note that there is one final modification to be made after the polarization step, however, to remedy a final issue with the integrability of states.

### Definition and Basic Results

We will hit the ground running with the definitions and proceed to justify it shortly after:

**Definition 1.** Let  $(M^{2n}, \omega)$  be a symplectic manifold. A (complex) distribution  $P$  on  $M$ , i.e. a sub-bundle

$$P \hookrightarrow T^{\mathbb{C}}M \equiv TM \otimes_{\mathbb{R}} \mathbb{C}$$

of the (complexified) tangent bundle, is said to be a *polarization* of  $M$  if all of the following are hold:

- $P$  is Lagrangian, i.e. it is an isotropic distribution of dimension  $n$ ; recall that a distribution is said to be isotropic if the symplectic form  $\omega_x$  on each tangent space  $T_x M$  vanishes on the subspace  $P_x \hookrightarrow T_x M$  defined by the distribution, and that the maximal dimension of an isotropic distribution is half the dimension of the manifold.
- $P$  is involutive, i.e. for  $X, Y$  vector fields in  $P$ , the commutator  $[X, Y]$  is a vector field in  $P$ . In the literature, this is usually written in shorthand as  $[P, P] \subset P$ .
- For all points  $m \in M$ , we have that  $\dim(P_m \cap \overline{P}_m \cap TM)$  is constant, where the overline refers to complex conjugation.

Having defined the meaning of a polarization, we can now define a way of polarizing the prequantum Hilbert space and polarizing the operators obtained through prequantization.

**Definition 2.** Given a symplectic manifold  $(M^{2n}, \Omega)$  with prequantum line bundle  $(L \rightarrow M, \nabla)$  and prequantum Hilbert space<sup>1</sup>

$$\mathcal{H}^{preq} := \{\psi \in \Gamma(L) \mid \langle \psi, \psi \rangle < \infty\},$$

we define the polarized Hilbert space  $\mathcal{H}^P$  for  $P$  a choice of polarization on  $M$  as the Hilbert space of sections in  $\mathcal{H}^{preq}$  that respect the polarization, i.e.

$$\nabla_X \psi = 0 \quad \forall X \in \mathcal{X}(P),$$

<sup>1</sup> (Or more accurately, the metric completion of this space.)

where  $X$  is a vector field generated by  $P$ , i.e. the space of sections that remain fixed by parallel transport along any  $X$  in the polarization.

Further, we say that an observable  $f \in C^\infty(M)$  is polarizable, or “respects the polarization”, under the polarization  $P$  iff  $X_f$  the associated Hamiltonian vector field satisfies<sup>2</sup>

$$[X_f, P] = P.$$

When  $f$  is polarizable, its corresponding polarized operator  $O_f : \mathcal{H}^P \rightarrow \mathcal{H}^P$  is the same<sup>3</sup> as the prequantum operator  $O_f^{\text{preq}}$ .

We remark that the condition placed upon the smooth functions on  $M$  is necessary as not all observables respect the polarization. For instance, given a polarization  $P$  on  $M$  such that  $\bar{P} = P$ , we can find coordinates  $x_1, \dots, x_n, y_1, \dots, y_n$  such that

$$P = \text{span}\left(\left\{\frac{\partial}{\partial x_{i=1}^n}\right\}\right);$$

then one can verify that the observables  $y_1(p), \dots, y_n(p) \in C^\infty(M)$  fail to respect the polarization.

#### *Justification and Intuition.*

We now justify the reasons for defining polarizations and the polarized Hilbert space and operators as such.

First off, we analyze the intuition behind the three conditions needed to consider a distribution  $P \hookrightarrow T\mathbb{C}M$  to be a polarization:

- The requirement that  $P$  be *Lagrangian* comes from the need to find a “representation” or “choice” of configuration space inside of the phase space given by the symplectic manifold. Since the quantization procedure acts on symplectic manifolds, the question of a choice of configuration space is implicitly ignored; Lagrangian distributions, which are necessarily rank  $n$  when  $\dim(M) = 2n$ , select a configuration space. The choice, however, is usually not unique<sup>4</sup>.
- The *involutivity* of  $P$  comes from the Frobenius theorem, which states that involutivity is equivalent to integrability (see <sup>5</sup>, pp. 494-505). Recall that an integrable distribution is sort of like an index (or *foliation*) of immersed manifolds:

**Definition 3** (Integral Manifolds). Let  $D \subset TM$  be a distribution. We call an immersed submanifold

$$N \hookrightarrow M$$

an integral manifold of  $D$  if

$$\forall p \in N \quad T_p N = D_p.$$

<sup>2</sup> Here, this is shorthand for the fact that  $X_f$  keeps  $P$  fixed.

<sup>3</sup> In other words, the primary purpose of a choice of polarization is to restrict the class of possible classical observables that may be meaningfully quantized — it does not change the definition of the quantization of a classical observable.

<sup>4</sup> (Note that  $\binom{2n}{n} > 1$  for  $n \geq 1$ .)

<sup>5</sup>

**Definition 4** (Integrable Distribution). *A distribution  $D \subset TM$  is an integrable distribution if every point  $m \in M$  lies in some integral manifold  $N$  of  $D$ .*

Intuitively, an integrable distribution gives us a way of characterizing a collection of “parallel” immersed manifolds. As an illustrative example, consider  $M = \mathbf{R}^4$ . We have a distribution spanned by the vector fields  $\partial/\partial x^1$  and  $\partial/\partial x^2$ ; the 2-dimensional affine subspaces of  $\mathbf{R}^4$  parallel to the plane spanned by these vectors are the integral manifolds of this distribution.

- Define the distribution  $D = P \cap \bar{P} \cap TM$ ; we require that  $\dim(D)$  be constant in our definition, though it is an excluded condition in other treatments. Perhaps the least obvious condition, the constant rank of  $D$  guarantees that  $D$  is a valid tangent distribution.

This is important, for example, when it comes to real polarizations. Suppose  $P$  is a real polarization, so that  $P = \bar{P}$ . Then As long as  $P \cap \bar{P} \cap TM$  has constant dimension, it also forms a LaGrangian distribution on  $M$ . Conversely, the complexification  $D^{\mathbb{C}}$  of any LaGrangian distribution  $D$  on  $M$  gives us a real polarization.

Finally, we remark that polarizations may be thought of as “representations” (in a physical sense) of a given physical system; just as there aren’t always unique choices of polarizations for a given symplectic manifold, there are also often many ways of representing a physical system. In the next section, we illustrate this with a few examples.

### *Examples: Real and Kähler Polarizations.*

In this section we provide a few examples to see what typical polarizations might look like, with specific emphasis on two very special classes of polarizations: *real* polarizations and *Kähler* polarizations. First, some definitions:

**Definition 5** (Real and Kähler and Polarizations). *A polarization  $P$  on a symplectic manifold  $(M, \omega)$  is said to be:*

- real if  $P = \bar{P}$ ;
- pseudo-Kähler if  $P \cap \bar{P} = 0$ .

Suppose we define a Hermitian form

$$h^P(u, v) = i\omega(u, \bar{v})$$

on  $P$ . If  $P$  is pseudo-Kähler, then  $\ker(h^P)$  is non-degenerate; if  $h^P$  is positive-definite on  $P$  then we say that  $P$  is a Kähler polarization.

Recall that a Kähler manifold  $(M, \omega, J)$  is a manifold  $M$  with a symplectic form  $\omega$  compatible with the complex structure  $J$ ; the following

theorem states that Kähler polarizations and Kähler manifolds give rise to each other.

**Theorem 1.** *Let  $(M, \omega, J)$  be a Kähler manifold, and let  $T^{\mathbb{C}}M$  be its complexified tangent bundle. Then the two complex distributions*

$$T_{(1,0)} = \{v \in T^{\mathbb{C}}M \mid J(v) = iv\}, \quad T_{(0,1)} = \{v \in T^{\mathbb{C}}M \mid J(v) = -iv\}$$

*are Kähler polarizations.*

*Conversely, if a Kähler polarization exists on a symplectic manifold  $(M, \omega)$ , then there exists a complex structure  $J$  compatible with  $\omega$  such that  $(M, \omega, J)$  has the structure of a Kähler manifold.*

We now present two different polarizations of the same manifold, and show how the choice of a real polarization versus a Kähler polarization can change the representation of the system. In our case, we have a cotangent bundle of a smooth manifold as our phase space; choosing certain real polarizations gives us the Schrödinger and momentum representations, whereas a particular Kähler polarization gives us the holomorphic, or Bargmann-Fock, representation. The following examples are taken from Shaw, and the symplectic manifold  $M$  is set as  $T^*Q$  some cotangent bundle in both cases.

*Schrödinger and Momentum Representations.*

- *Manifold* — Choose a cotangent bundle  $M = T^*Q$ , where  $Q$  is some smooth manifold.
- *Basis* — Choose the *canonical basis*  $\{q_i, p_j\}$ .
- *Standard Symplectic Form* — With the canonical basis, the standard symplectic form is written as

$$\omega = \sum (dq_i \wedge dp_i).$$

- *Polarization* — Choose the polarization spanned by the momenta  $\{\frac{\partial}{\partial p_j}\}_j$ . Then a section  $\phi : M \rightarrow \mathbb{C}$  is polarized if

$$\frac{\partial \phi}{\partial p_j} = 0 \quad \forall j,$$

or equivalently, is constant in the  $p_j$  dimensions. This is the *Schrödinger* representation, matching up with physical intuition from basic quantum mechanics.

(If we had chosen the  $\frac{\partial}{\partial q_i}$  to span our polarization, we would have had the *momentum* representation.)

*Bargmann-Fock Representation.*

- *Manifold* — Choose again the cotangent bundle  $M = T^*Q$ , where  $Q$  is some smooth manifold.
- *Basis* — This time, choose the basis  $z_j, \bar{z}_j$ , where

$$z_j = p_j + iq_j.$$

- *Standard Symplectic Form* — With the above basis, the standard symplectic form (as in the previous example) becomes

$$\omega = \frac{1}{2} d\bar{z}_j \wedge dz_j,$$

where summation notation is in effect.

- *Complex Structure* — We also have a complex structure this time, viewing  $M$  as a Kähler manifold, given by

$$Jz_j = iz_j, \quad J\bar{z}_j = -i\bar{z}_j.$$

- *Polarization* — The Kähler polarization corresponding to  $J$  is the polarization  $P$  spanned by  $\{\frac{\partial}{\partial \bar{z}_j}\}_j$ . This means that polarized sections  $\psi$  are those such that

$$\frac{\partial \psi}{\partial \bar{z}_j} = 0, \quad \forall j.$$

This is the *holomorphic*, or *Bargmann-Fock* representation of  $(M, \omega, J)$ .

*Remarks on Polarizations and the Polarized Hilbert Space.*

We now make some remarks on interesting features of polarizations on a symplectic manifold.

*Canonical Polarizations*

A natural question to ask is whether there are situations where a choice of polarizations is either canonical in some sense or otherwise unique; a further question might be whether there are categories where we have a class of functors indexed by choices of polarization.

**To be completed.**

*BKS Kernels*

Another natural question is whether there are algebraic ways of comparing two different representations corresponding to two different polarizations on the same manifold.

**To be completed.**

*History and References*

For an introduction to the theory of polarizations in the context of geometric quantization, see