

# Loop Convergence & Total Correctness

## CS 536: Science of Programming, Fall 2022

### A. Why

- Runtime errors make our programs not work, so we want to avoid them.
- Diverging programs aren't useful, so it's useful to know how to show that loops terminate.

### B. Objectives

At the end of this activity you should be able to

- Generate possible loop bounds for a given loop.
- State the extra obligations required to prove that a partially correct program is totally correct.

### C. Questions

1. Consider the triple  $\{inv\ p\} \{bd\ e\} \text{ while } k < n \text{ do } \dots k := k+1 \text{ od } \{p \wedge k \geq n\}$ . Assume  $p \rightarrow n \geq k$ . To show that this loop terminates, we need a bound function  $t$  such that
  - (1)  $p \rightarrow n - k \geq 0$  (which holds by assumption) and
  - (2)  $\{p \wedge k < n \wedge t = t_0\} k := k+1 \{t < t_0\}$ . (Assume loop code before  $k := k+1$  doesn't affect  $k$ .)
  - a. Can we use  $t \equiv n - k$  as a bound expression?
  - b. Can we use  $t \equiv n - k + 1$  as a bound expression?
  - c. Can we use  $t \equiv 2n - k$  as a bound expression?
2. Use the same program as in Question 3 but assume  $p \rightarrow n \geq k - 3$ , not  $n \geq k$ .
  - a. Why does  $n - k$  now fail as a bound expression?
  - b. Give an example of a bound expression that does work.
3. Consider the loop below. (Assume  $n$  is a constant and the omitted code does not change  $k$ .)
  - a. Why does using just  $k$  as the bound function fail?
  - b. Find an expression that involves  $k$  and prove that it's a loop bound. (You'll need to augment  $p$ .)

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{ $n \geq -1$ }
 $k := n$ ;
{ $inv\ p \wedge \underline{\hspace{1cm}}$ } { $bd\ \underline{\hspace{1cm}}$ }
while  $k \geq -1$ 
do ...  $k := k - 1$  ... od

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4. What is the minimum expression (i.e., closest to zero) that can be used as a loop bound for  $\{inv\ n \leq x+y\} \{bd\ \dots\} \text{while } x+y > n \text{ do } \dots y := y-1 \text{ od } ?$   
(Assume  $x$  and  $n$  are constant.)
5. Consider the loop  $\{n > 0\} k := n; \{inv\ ???\} \text{while } k > 1 \text{ do } \dots k := k/2 \text{ od } \{...\}$
- Argue that  $\text{ceiling}(\log_2 k)$  is a loop bound. (Augment the invariant as necessary.)
  - Argue that  $k$  is a loop bound.
  - Argue that  $\text{ceiling}(\log_2 n)$  is **not** a loop bound. (Trick question.)
6. Let's look at the general problem of convergence of  $\{inv\ p\} \text{while } B \text{ do } S \text{ od } \{q\}$ . For each property below, briefly discuss whether it is (1) required, (2) allowable but not required, or (3) incompatible with the requirements.
- $p \rightarrow t \geq 0$
  - $t < 0 \rightarrow \neg p$
  - $\{p \wedge B \wedge t = t_0\} S \{t = t_0 - 1\}$
  - $p \wedge t \geq 0 \rightarrow B$
  - $\neg B \rightarrow t = 0$
  - $\{p \wedge B \wedge t = t_0\} S \{t < t_0\}$
7. Prove the claim that if  $s$  and  $t$  are loop bounds for  $W$  then  $s+t$  is also a bound function.

**Solution to Practice 18 (Loop Termination)**

1. (Termination of  $\{inv\ p\} \{bd\ n-k\} \text{ while } k < n \text{ do } \dots k := k+1 \text{ od}$ )
  - a. Yes:  $\{p \wedge k < n \wedge n-k = t_0\} \dots \{n-(k+1) < t_0\} k := k+1 \{n-k < t_0\}$  requires  $n-(k+1) < n-k$ , which is true.
  - b. Yes: Decrementing  $k$  certainly decreases  $n-k+1$ , and  $n-k+1 > n-k \geq 0$ , which is the other requirement.
  - c. Yes, but only if  $n \geq 0$ : We know  $n-k \geq 0$ , so  $2n-k \geq n$ , which is  $\geq 0$  if  $n \geq 0$ . (If  $n < 0$  then  $2n-k$  might be negative.)
2. If  $n \geq k-3$ , then we only know  $n-k \geq -3$ . (Note  $n-k+3$  works as a bound, however.)
3. (Decreasing loop variable)
  - a. We can't just  $k$  as the bound expression because we don't know  $k \geq 0$ . In fact, the loop terminates with  $k = -2$ .
  - b. Since  $k$  is initialized to  $n$ , we can add  $-2 \leq k \leq n$  to the invariant and use  $k+2$  as the bound expression.
  - c. We need to know that the invariant implies  $k+2 \geq 0$  and that the loop body decreases  $k+2$ .
4. The smallest loop bound is  $x+y-n$ . We know it's  $\geq 0$  because  $n \leq x+y$ , and we know it decreases by 1 each iteration, so at loop termination,  $x+y-n = 0$ , which implies that nothing less than  $x+y-n$  can work as a bound.
5. ( $\Theta(\log n)$  loop)
  - a. Add  $0 \leq k \leq n \wedge n > 0$  to the invariant. Since  $k > 1$ , we know  $\text{ceiling}(\log_2 k) > 0$ , and halving  $k$  decreases  $\text{ceiling}(\log_2 k)$  by one and  $\text{ceiling}(\log_2 k) - 1 \geq 0$ . Thus  $\text{ceiling}(\log_2 k)$  works as a loop bound.
  - b. Since  $k > 1$ , halving  $k$  decreases it but leaves it  $\geq 0$ .
  - c.  $\text{ceiling}(\log_2 n)$  doesn't decrease because  $n$  is a constant. (Constants make terrible bounds :-)
6. (Loop convergence) Required are (a)  $p \rightarrow t \geq 0$ , (b)  $t < 0 \rightarrow \neg p$  [i.e., the contrapositive of (a)], and (f)  $\{p \wedge B \wedge t = t_0\} S \{t < t_0\}$ . Property (c)  $\{p \wedge B \wedge t = t_0\} S \{t = t_0-1\}$  is allowable but not required: It implies (f) but is stronger than we need. Property (e)  $\neg B \rightarrow t = 0$  is allowable but not required. Property (d)  $p \wedge t \geq 0 \rightarrow B$  is incompatible with the requirements (it would cause an infinite loop).

7. Sum of two loop bounds. Say  $s = s_0$  and  $t = t_0$  at the beginning of the loop body and that  $s_0 - \Delta s$  and  $t_0 - \Delta t$  are the values of  $s$  and  $t$  at the end of the loop body. If  $s$  and  $t$  are loop bounds, then  $s > \Delta s > 0$  and  $t > \Delta t > 0$ . For  $s+t$  to be a loop bound, we need  $0 \leq (s_0 - \Delta s) + (t_0 - \Delta t) < s_0 + t_0$ . Expanding,  $(s_0 - \Delta s) + (t_0 - \Delta t) = s_0 + t_0 - \Delta s - \Delta t < s_0 + t_0$  because  $\Delta s$  and  $\Delta t$  are positive, and  $(s_0 - \Delta s) + (t_0 - \Delta t) \geq 0$  because  $\Delta s < s_0$  and  $\Delta t < t_0$ . So  $s+t$  is a bound function.

An interesting question you might think about: is  $s*t$  a bound function?