# Syntactic Substitution

## CS 536: Science of Programming, Fall 2022

### A. Why

• Syntactic substitution is used in the assignment rules to calculate the weakest precondition (and as we'll see, the strongest postcondition).

## B. Objectives

At the end of this activity you should

• Be able to calculate a syntactic substitution on an expression or predicate.

## C. Questions

- 1. Calculate (x+i\*b+c=0)[i+1/i][b+c/c].
- 2. Let p be  $\exists x. x < y \land x^2 \ge y+k$ 
  - a. What is p[5/x]?
  - b. What is p[5/y]?
  - c. What is p[5/z]?
  - d. What is p[y\*2/y]?
  - e. What is p[y\*k/y]?
  - f. What is  $p[(x + y) \div 2/y]$ ?
- 3. Give an example where (v \* w)[e/v][e'/w] and (v \* w)[e'/w][e/v] are
  - a. Syntactically equal (≡)
  - b. Syntactically unequal  $(\neq)$ .
- 4. In the predicate  $(\exists x . x < y \land x^2 \ge y + k)$ , x is bound, but in  $(x < y \land x^2 \ge y + k)$ , x is free is this a contradiction?
- 5. For substitution into a quantified predicate (Qx.p)[e/v], we could just say "always rename x to something fresh." Why do you think we didn't do that?
- 6. Let  $p = (\forall x.\exists y.R(x, y, z)) \land (\exists z.R(x, y, z))$  where R is a boolean function over three arguments.
  - a. What is p[17/w]?
  - b. What is p[17/x]?
  - c. What is p[y\*2/y]?
  - d. What is p[y\*2/z]?
  - e. What is p[a\*z/y][a+b/z]?

#### Solution to Practice 12 (Syntactic Substitution)

- 1. (x+i\*b+c=0)[i+1/i][b+c/c] = (x+(i+1)\*b+c=0)[b+c/c]= x+(i+1)\*b+(b+c)=0
- 2. Let  $p = \exists x . x < y \land x^2 \ge y + k$ 
  - 2a. p[5/x] = p unchanged

**2b.** 
$$p[5/y] = (\exists x . x < y \land x^2 \ge y + k)[5/y] = \exists x . x < 5 \land x^2 \ge 5 + k$$

2c. p[5/z] = p unchanged because z doesn't occur in p

2d. 
$$p[y^*2/y] = (\exists x . x < y \land x^2 \ge y + k)[y^*2/y] = \exists x . x < y^*2 \land x^2 \ge y^*2 + k$$

2e. 
$$p[y*k/y] = (\exists x . x < y \land x^2 \ge y+k)[y*k/y] = \exists x . x < y*k \land x^2 \ge y*k+k$$

**2f.** 
$$p[(x + y) \div 2/y] = (\exists x . x < y \land x^2 \ge y + k)[(x + y) \div 2/y]$$

$$\equiv \exists v.(x < y \land x^2 \ge y+k)[v/x][(x+y) \div 2/y]$$
 (note renaming of x to v)

$$\equiv \exists V.(v < y \land v^2 \ge y + k)[(x + y) \div 2/y]$$

$$= \exists V.V < (X + y) \div 2 \land V^2 \ge (X + y) \div 2 + k$$

- 3. (Cases where (v \* w)[e/v][e'/w] and (v \* w)[e'/w][e/v] are = and  $\neq$ .)
  - 3a. One case is when v doesn't occur in e' and w doesn't occur in e.

Example: 
$$(v * w)[v*2/v][a*w/w] = (v*2 * w)[a*w/w]$$

$$= v^*2^*(a^*w) = (v^*(a^*w))[v^*2/v]$$

$$= (v * w) [a*w/w][v*2/v]$$

3b. One case is when w appears in e and v appears in e', at least, for certain e and e'.

Example: 
$$(v * w)[w-3/v][a*v/w] = ((w-3) * w)[a*v/w] = (w-3) * (a*v)$$

but 
$$(v * w)[a*v/w][w-3/v] = (v *(a*v))[w-3/v] = (w-3) *(a*(w-3))$$

- 4. No, this is exactly what a quantifier does: It captures the x's that are free in its body and makes them bound with respect to any context that includes the quantified predicate.
- 5. Because it's confusing/annoying to have to come up with fresh variables if we don't really need them.
- 6. Substitutions with  $p = (\forall x.\exists y.R(x,y,z)) \land \exists z.R(x,y,z)$ :

6a. 
$$p[17/w] = p$$
 (because w doesn't occur in  $p$ )

**6b.** 
$$p[17/x] = (\forall x. \exists y. R(x, y, z)) \land \exists z. R(17, y, z))$$

**6c.** 
$$p[y^*2/y] = (\forall x. \exists y. R(x, y, z)) \land \exists z. R(x, y^*2, z))$$

6d. 
$$p[y*2/z] = (\forall x.\exists v.R(x,y,z)[v/y][y*2/z]) \land \exists z.R(x,y,z))$$
 (using v as a fresh variable)

$$\equiv (\forall x.\exists v.R(x,v,y^*2)) \land \exists z.R(x,y,z))$$

**6e.** p[a\*z/y][a+b/z]

$$\equiv (\forall X.\exists y.R(X,y,Z)) \land \exists v.R(X,y,Z)[v/Z][a*Z/y])[a+b/Z]$$

(using *v* as a fresh variable)

$$\equiv ((\forall x.\exists y.R(x,y,z)) \land \exists v.R(x,y,v) [a*z/y])[a+b/z]$$

(only the first *y* is quantified)

$$= ((\forall X.\exists y.R(X, y, Z)) \land \exists v.R(X, \alpha^*Z, v)) [\alpha+b/Z]$$

$$= ((\forall x.\exists y.R(x,y,a+b)) \land \exists v.R(x,a^*(a+b),v))$$

(parens around a+b are required)

(No renaming necessary because we have no quantification of a or b.)