# Deriving $\kappa$ for FH

# **Binary**

Our FH expression is

$$g(\phi_1, \phi_2) = \frac{\phi_1}{N_1} \ln(\phi_1) + \frac{\phi_2}{N_2} \ln(\phi_2) + \chi \phi_1 \phi_2 \tag{1}$$

We have our Free energy functional which looks like:

$$\mathcal{G} = \int_{V} g(\phi_1, \phi_2) + \frac{\kappa}{2} (\nabla \phi_1)^2 dV$$
 (2)

We can split g and  $\kappa$  into Entropic and Enthalpic contributions i.e.,  $g=g_{ideal}+g_{residual}$  and  $\kappa=\kappa_{entropic}+\kappa_{enthalpic}$ .

To derive  $\kappa_{entropic}$  first, we introduce a perturbation of the form

$$\bar{\phi}_i = \phi_i - \epsilon_i, \quad \epsilon_i = \frac{R_{G,i}^2}{6} \nabla^2 \phi_i.$$
 (3)

We need some basic algebraic identities to make progress on the expansion.

## Helpful aside 1: Expansion of $\ln{(x-\epsilon)}$

Consider  $\ln{(x-\epsilon)}$ , we can rewrite it as

$$\ln(x - \epsilon) = \ln\left(x(1 - \frac{\epsilon}{x})\right)$$

$$= \ln(x) + \ln\left(1 - \frac{\epsilon}{x}\right)$$
(4)

We can use a Taylor series expansion for the second term by introducing a transformation  $u=rac{\epsilon}{x}$ , which neatly gives us the expansion

$$\ln(1-u) = -u - \frac{u^2}{2} - \frac{u^3}{3} + \dots$$
 (5)

We are only interested in the first order terms. So we get

$$\ln(x - \epsilon) = \ln(x) - \frac{\epsilon}{x} + \dots$$
 (6)

Back to things!

Starting with

$$\int_{V} \frac{\phi_{1} - \epsilon_{1}}{N_{1}} \ln\left(\phi_{1} - \epsilon_{1}\right) + \frac{\phi_{2} - \epsilon_{2}}{N_{2}} \ln\left(\phi_{2} - \epsilon_{2}\right) dV \tag{7}$$

And inserting our expansion result

$$\int_{V} \frac{1}{N_{1}} \left( (\phi_{1} - \epsilon_{1}) \left( \ln \phi_{1} - \frac{\epsilon_{1}}{\phi_{1}} \right) \right) + \frac{1}{N_{2}} \left( (\phi_{2} - \epsilon_{2}) \left( \ln \phi_{2} - \frac{\epsilon_{2}}{\phi_{2}} \right) \right) dV \tag{8}$$

Expanding out more and retaining terms of  $\mathcal{O}(\epsilon)$ ,

$$\int_{V} \frac{1}{N_{1}} (\phi_{1} \ln \phi_{1} - \epsilon_{1} \ln \phi_{1} - \epsilon_{1}) + \frac{1}{N_{2}} (\phi_{2} \ln \phi_{2} - \epsilon_{2} \ln \phi_{2} - \epsilon_{2}) dV$$
(9)

Splitting up

$$\mathcal{O}(1): \qquad (10)$$

$$\int_{V} \frac{\phi_{1}}{N_{1}} \ln \phi_{1} + \frac{\phi_{2}}{N_{2}} \ln \phi_{2} \ dV$$

$$\mathcal{O}(\epsilon): \qquad \qquad \mathcal{O}(\epsilon):$$

$$\int_{V} -\frac{1}{N_{1}} \epsilon_{1} \ln \phi_{1} - \frac{\epsilon_{1}}{N_{1}} - \frac{1}{N_{2}} \epsilon_{2} \ln \phi_{2} - \frac{\epsilon_{2}}{N_{2}} \ dV$$

We thus want to unpack the  $\mathcal{O}(\epsilon)$  terms because the  $\mathcal{O}(1)$  terms directly correspond to  $\int_V g(\phi_1,\phi_2)$ .

Recall that  $\epsilon_i=rac{R_{G,i}^2}{6}
abla^2\phi_i$  , we also note that  $\phi_1=1-\phi_2$  . Therefore

$$\epsilon_2 = \frac{R_{G,2}^2}{6} \nabla^2 \phi_2 = -\frac{R_{G,2}^2}{6} \nabla^2 \phi_1 \tag{11}$$

Factoring out  $\nabla^2 \phi_1$ ,

$$\int_{V} \frac{1}{6} \left( \frac{R_{G,2}^{2}}{N_{2}} \ln \left( 1 - \phi_{1} \right) - \frac{R_{G,1}^{2}}{N_{1}} \ln \phi_{1} + \frac{R_{G,2}^{2}}{N_{2}} - \frac{R_{G,1}^{2}}{N_{1}} \right) \nabla^{2} \phi_{1}$$
(12)

#### Helpful Aside 2: Integration by Parts and Divergence Theorem

Recall integration by parts for higher dimensions:

$$\int_{V} u \nabla \cdot (\mathbf{V}) \ dV = \int_{S} u \mathbf{V} \cdot \mathbf{n} \ dS - \int_{V} \nabla u \cdot \mathbf{V} \ dV$$
(13)

By specifying suitable BCs, the surface integral disappears. We also want to recall the following Vector Calc identity:

$$\nabla^2 \phi = \nabla \cdot (\nabla \phi) \tag{14}$$

Back to our problem.

$$\int_{V} \ln(1 - \phi_{1}) \nabla^{2} \phi_{1} = -\int_{V} \nabla(\ln(1 - \phi_{1})) \cdot \nabla \phi_{1} \, dV$$

$$= -\int_{V} \frac{-1}{1 - \phi_{1}} \nabla \phi_{1} \cdot \nabla \phi_{1} \, dV$$

$$= \int_{V} \frac{1}{1 - \phi_{1}} (\nabla \phi_{1})^{2}$$
(15)

$$\int_{V} \ln \phi_{1} \nabla^{2} \phi_{1} = -\int_{V} \frac{1}{\phi_{1}} \nabla (\phi_{1})^{2}$$
(16)

$$\int_{V} \nabla^{2} \phi_{1} = \int_{V} \nabla 1 \cdot \nabla \phi_{1} = 0 \tag{17}$$

So our unitary terms disappear.

We thus have:

$$\int_{V} \frac{1}{6} \left( \frac{R_{G,2}^{2}}{N_{2}(1-\phi_{1})} + \frac{R_{G,1}^{2}}{N_{1}\phi_{1}} \right) (\nabla \phi_{1})^{2}$$
(18)

We thus recover

$$\kappa_{entropic} = \frac{1}{3} \left( \frac{R_{G,1}^2}{N_1 \phi_1} + \frac{R_{G,2}^2}{N_2 (1 - \phi_1)} \right) \tag{19}$$

Doing the same process for  $\kappa_{enthalpic}$ ,

$$\int_{V} \chi \phi_1 \phi_2 \ dV \tag{20}$$

Inserting our perturbation

$$\int_{V} \chi(\phi_{1} - \epsilon_{1})(\phi_{2} - \epsilon_{2}) dV$$

$$= \int_{V} \chi\phi_{1}\phi_{2} - \chi(\epsilon_{2}\phi_{1} + \epsilon_{1}\phi_{2}) dV$$
(21)

Focusing  $\mathcal{O}(\epsilon)$ ,

$$-\frac{\chi}{6} \int_{V} -\phi_{1} R_{G,2}^{2} \nabla^{2} \phi_{1} + R_{G,1}^{2} (1 - \phi_{1}) \nabla^{2} \phi_{1} \, dV$$

$$= \frac{\chi}{6} \int_{V} R_{G,2}^{2} \phi_{1} \nabla^{2} \phi_{1} + R_{G,1}^{2} \phi_{1} \nabla^{2} \phi_{1} - R_{G,1}^{2} \nabla^{2} \phi_{1} \, dV$$

$$= \frac{\chi}{6} \int_{V} \left( R_{G,1}^{2} + R_{G,2}^{2} \right) (\nabla \phi)^{2}$$
(22)

By inspection,

$$\kappa_{enthalpic} = \frac{\chi}{3} \left( R_{G,1}^2 + R_{G,2}^2 \right) \tag{23}$$

# **Ternary**

For the ternary system, the Free energy functional looks like

$$\mathcal{G}(\phi_{1}, \phi_{2}, \phi_{3}) =$$

$$\int_{V} g(\phi_{1}, \phi_{2}, \phi_{3}) + \frac{\kappa_{1}}{2} (\nabla^{2} \phi_{1}) + \frac{\kappa_{2}}{2} (\nabla^{2} \phi_{2}) + \kappa_{12} (\nabla \phi_{1}) (\nabla \phi_{2}) dV$$
(24)

We start with

$$\int_{V} \frac{\phi_{1}}{N_{1}} \ln \phi_{1} + \frac{\phi_{2}}{N_{2}} \ln \phi_{2} + \frac{\phi_{3}}{N_{3}} \ln \phi_{3} \ dV$$
 (25)

Inserting the perturbations

$$\int_{V} \left( \frac{(\phi_{1} - \epsilon_{1})}{N_{1}} \ln (\phi_{1} - \epsilon_{1}) + \frac{(\phi_{2} - \epsilon_{2})}{N_{2}} \ln (\phi_{2} - \epsilon_{2}) + \frac{(\phi_{3} - \epsilon_{3})}{N_{3}} \ln (\phi_{3} - \epsilon_{3}) \right) dV$$
(26)

Expanding and similarly retaining terms of  $\mathcal{O}(\epsilon)$ ,

$$\int_{V} -\frac{1}{N_{1}} \epsilon_{1} \ln \phi_{1} - \frac{\epsilon_{1}}{N_{1}} - \frac{1}{N_{2}} \epsilon_{2} \ln \phi_{2} - \frac{\epsilon_{2}}{N_{2}} - \frac{1}{N_{3}} \epsilon_{3} \ln \phi_{3} - \frac{\epsilon_{3}}{N_{3}} dV$$
(27)

Inserting our expressions for  $\epsilon$ ,

$$\int_{V} \left( \frac{-1}{N_{1}} \frac{R_{G,1}^{2}}{6} \nabla^{2} \phi_{1} \ln \phi_{1} - \frac{R_{G,1}^{2}}{6N_{1}} \nabla^{2} \phi_{1} - \frac{1}{N_{2}} \frac{R_{G,2}^{2}}{6} \nabla^{2} \phi_{2} \ln \phi_{2} - \frac{R_{G,2}^{2}}{6N_{2}} \nabla^{2} \phi_{2} - \frac{1}{6N_{2}} \frac{R_{G,3}^{2}}{6N_{2}} \nabla^{2} \phi_{3} \ln \phi_{3} - \frac{R_{G,3}^{2}}{6N_{3}} \nabla^{2} \phi_{3} \right) dV$$
(28)

Doing this term by term

$$\int_{V} \frac{-1}{N_{1}} \frac{R_{G,1}^{2}}{6} \nabla^{2} \phi_{1} \ln \phi_{1} - \frac{R_{G,1}^{2}}{6N_{1}} \nabla^{2} \phi_{1} dV$$

$$= \int_{V} \frac{R_{G,1}^{2}}{6} \frac{1}{N_{1} \phi_{1}} (\nabla \phi_{1})^{2} dV$$
(29)

$$\int_{V} -\frac{1}{N_{2}} \frac{R_{G,2}^{2}}{6} \nabla^{2} \phi_{2} \ln \phi_{2} - \frac{R_{G,2}^{2}}{6N_{2}} \nabla^{2} \phi_{2} dV$$

$$= \int_{V} \frac{R_{G,2}^{2}}{6} \frac{1}{N_{2} \phi_{2}} (\nabla \phi_{2})^{2}$$
(30)

$$\int_{V} -\frac{1}{N_{3}} \frac{R_{G,3}^{2}}{6} \nabla^{2} \phi_{3} \ln \phi_{3} - \frac{R_{G,3}^{2}}{6N_{3}} \nabla^{2} \phi_{3} dV$$
Dropping the second term because it disappears
$$= -\frac{R_{G,3}^{2}}{6N_{3}} \int_{V} \nabla^{2} (1 - \phi_{1} - \phi_{2}) \ln (1 - \phi_{1} - \phi_{2}) dV$$

$$= \frac{R_{G,3}^{2}}{6N_{3}} \int_{V} \frac{1}{1 - \phi_{1} - \phi_{2}} \left( (\nabla \phi_{1})^{2} + (\nabla \phi_{2})^{2} + 2\nabla \phi_{1} \cdot \nabla \phi_{2} \right)$$
(31)

Adding things up:

$$\int_{V} \frac{1}{6} \left( \frac{R_{G,1}^{2}}{N_{1}\phi_{1}} + \frac{R_{G,3}^{2}}{N_{3}(1 - \phi_{1} - \phi_{2})} \right) (\nabla \phi_{1})^{2} + \frac{1}{6} \left( \frac{R_{G,2}^{2}}{N_{2}\phi_{2}} + \frac{R_{G,3}^{2}}{N_{3}(1 - \phi_{1} - \phi_{2})} \right) (\nabla \phi_{2})^{2} + \frac{1}{3} \frac{R_{G,3}^{2}}{N_{3}} \frac{1}{1 - \phi_{1} - \phi_{2}} (\nabla \phi_{1} \cdot \nabla \phi_{2}) dV$$
(32)

We thus get

$$\kappa_{1,entropic} = \frac{1}{3} \left( \frac{R_{G,1}^2}{N_1 \phi_1} + \frac{R_{G,3}^2}{N_3 (1 - \phi_1 - \phi_2)} \right)$$
(33)

$$\kappa_{2,entropic} = \frac{1}{3} \left( \frac{R_{G,2}^2}{N_2 \phi_2} + \frac{R_{G,3}^2}{N_3 (1 - \phi_1 - \phi_2)} \right)$$
(34)

$$\kappa_{12,entropic} = \frac{1}{3} \frac{R_{G,3}^2}{N_3} \frac{1}{1 - \phi_1 - \phi_2} \tag{35}$$

For the Enthalpic component,

$$\int_{V} \chi_{12} \phi_{1} \phi_{2} + \chi_{13} \phi_{1} \phi_{3} + \chi_{23} \phi_{2} \phi_{3}$$
(36)

Introducing our perturbation

$$\int_{V} \chi_{12}(\phi_{1} - \epsilon_{1})(\phi_{2} - \epsilon_{2}) + \chi_{13}(\phi_{1} - \epsilon_{1})(\phi_{3} - \epsilon_{3}) + \chi_{23}(\phi_{2} - \epsilon_{2})(\phi_{3} - \epsilon_{3}) dV$$
(37)

Expanding out and retain terms of  $\mathcal{O}(\epsilon)$  and below,

$$\int_{V} \chi_{12} \left( \phi_{1} \phi_{2} - \epsilon_{2} \phi_{1} - \epsilon_{1} \phi_{2} \right) + \chi_{13} \left( \phi_{1} \phi_{3} - \epsilon_{3} \phi_{1} - \epsilon_{1} \phi_{3} \right) 
+ \chi_{23} \left( \phi_{2} \phi_{3} - \epsilon_{3} \phi_{2} - \epsilon_{2} \phi_{3} \right)$$
(38)

 $\mathcal{O}(\epsilon)$ ,

$$\int_{V} -\chi_{12} \frac{R_{G,2}^{2}}{6} \phi_{1} \nabla^{2} \phi_{2} - \chi_{12} \frac{R_{G,1}^{2}}{6} \phi_{2} \nabla^{2} \phi_{1}$$

$$-\chi_{13} \frac{R_{G,3}^{2}}{6} \phi_{1} \nabla^{2} \phi_{3} - \chi_{13} \frac{R_{G,1}^{2}}{6} \phi_{3} \nabla^{2} \phi_{1}$$

$$-\chi_{23} \frac{R_{G,3}^{2}}{6} \phi_{2} \nabla^{2} \phi_{3} - \chi_{23} \frac{R_{G,2}^{2}}{6} \phi_{3} \nabla^{2} \phi_{2} dV$$
(39)

Expanding out  $\phi_3$  terms

$$\int_{V} -\chi_{12} \frac{R_{G,2}^{2}}{6} \phi_{1} \nabla^{2} \phi_{2} - \chi_{12} \frac{R_{G,1}^{2}}{6} \phi_{2} \nabla^{2} \phi_{1}$$

$$-\chi_{13} \frac{R_{G,3}^{2}}{6} \phi_{1} \left( -\nabla^{2} \phi_{1} - \nabla^{2} \phi_{2} \right) - \chi_{13} \frac{R_{G,1}^{2}}{6} (1 - \phi_{1} - \phi_{2}) \nabla^{2} \phi_{1}$$

$$-\chi_{23} \frac{R_{G,3}^{2}}{6} \phi_{2} (-\nabla^{2} \phi_{1} - \nabla^{2} \phi_{2}) - \chi_{23} \frac{R_{G,2}^{2}}{6} (1 - \phi_{1} - \phi_{2}) \nabla^{2} \phi_{2} dV$$
(40)

Dropping constant coefficient terms because they disappear

$$\int_{V} -\chi_{12} \frac{R_{G,2}^{2}}{6} \phi_{1} \nabla^{2} \phi_{2} - \chi_{12} \frac{R_{G,1}^{2}}{6} \phi_{2} \nabla^{2} \phi_{1} 
+ \chi_{13} \frac{R_{G,3}^{2}}{6} \phi_{1} \nabla^{2} \phi_{1} + \chi_{13} \frac{R_{G,3}^{2}}{6} \phi_{1} \nabla^{2} \phi_{2} 
\chi_{13} \frac{R_{G,1}^{2}}{6} \phi_{1} \nabla^{2} \phi_{1} + \chi_{13} \frac{R_{G,1}^{2}}{6} \phi_{2} \nabla^{2} \phi_{1} 
+ \chi_{23} \frac{R_{G,3}^{2}}{6} \phi_{2} \nabla^{2} \phi_{1} + \chi_{23} \frac{R_{G,3}^{2}}{6} \phi_{2} \nabla^{2} \phi_{2} 
+ \chi_{23} \frac{R_{G,2}^{2}}{6} \phi_{1} \nabla^{2} \phi_{2} + \chi_{23} \frac{R_{G,2}^{2}}{6} \phi_{2} \nabla^{2} \phi_{2} dV$$
(41)

Grouping terms

$$\int_{V} \left( \chi_{13} \frac{R_{G,3}^{2}}{6} + \chi_{13} \frac{R_{G,1}^{2}}{6} \right) \phi_{1} \nabla^{2} \phi_{1} 
+ \left( \chi_{23} \frac{R_{G,3}^{2}}{6} + \chi_{23} \frac{R_{G,2}^{2}}{6} \right) \phi_{2} \nabla^{2} \phi_{2} 
+ \left( -\chi_{12} \frac{R_{G,2}^{2}}{6} + \chi_{13} \frac{R_{G,3}^{2}}{6} + \chi_{23} \frac{R_{G,2}^{2}}{6} \right) \phi_{1} \nabla^{2} \phi_{2} 
+ \left( -\chi_{12} \frac{R_{G,1}^{2}}{6} + \chi_{13} \frac{R_{G,1}^{2}}{6} \chi_{23} \frac{R_{G,3}^{2}}{6} \right) \phi_{2} \nabla^{2} \phi_{1} dV$$
(42)

$$\kappa_{1,enthalpic} = \frac{\chi_{13}}{3} (R_{G,1}^2 + R_{G,3}^2)$$
(43)

$$\kappa_{2,enthalpic} = \frac{\chi_{23}}{3} (R_{G,2}^2 + R_{G,3}^2)$$
(44)

$$\kappa_{12,enthalpic} = \frac{1}{6} \Big( (R_{G,1}^2 + R_{G,3}^2) \chi_{13} + (R_{G,2}^2 + R_{G,3}^2) \chi_{23} - (R_{G,1}^2 + R_{G,2}^2) \chi_{12} \Big)$$
(45)

### **Some Comments**

- ullet Using the perturbation approach outlined here, we are able to generate the  $\kappa_{enthalpic}$  terms correctly
  - See <a href="https://doi.org/10.1002/(SICI)1099-0488(20000515)38:10%3C1301::AID-POLB50%3E3.0.CO;2-M">https://doi.org/10.1002/(SICI)1099-0488(20000515)38:10%3C1301::AID-POLB50%3E3.0.CO;2-M</a>, <a href="https://doi.org/10.1002/polb.1990.09028121">https://doi.org/10.1002/polb.1990.09028121</a>
- However, the entropic terms are a bit off from the same works:
  - For the binary mixture, in one paper, the expression matches correctly: <a href="https://doi.org/1">https://doi.org/1</a>
     0.1002/polb.1989.090271306
  - However for the polymer-solvent and polymer-polymer-solvent systems, they do not match up.
- The method used by Ariyapadi (https://doi.org/10.1002/polb.1990.090281216) only introduces N-1  $\epsilon$  terms, which means that information (i.e.,  $R_{G,N}$  )about the Nth species is not captured which leaves me suspicious.