

## **Equity trading and demat account opening form and CKYC & KRA KYC Form**

### **How to fill this form:**

- 1) Please sign clearly using a blue/black pen in all black boxes with "sign here" written
- 2) Incase of any issue with information provided on form kindly contact support @groww.in
- 3) After signing send the form to

**Groww office,  
No.11, 80 Feet Rd, New Friends Colony,  
S T Bed Layout, next to Kotak Mahindra Bank  
Koramangala, Bengaluru, Karnataka 560035**

## EQUITY TRADING AND DEMAT ACCOUNT FORM

S. No	Name of the Document	Brief Significance of the Document	Page No
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1	Account Opening Form	The document captures the additional information about the Constituent relevant to the trading account and an instruction /checklist.	3-5
2	Tariff sheet	Document detailing the charges of brokerage & other charges levied on the client for trading on the stock exchange(s).	6
3	Demat Account Opening Form	KYC Form for Demat Account Opening	12-13
4	Rights and Obligations	Document stating the Rights & Obligations of a stockbroker/trading member & client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology-based trading).	Annex A2
5	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities	Annex B
6	Guidance note	Document detailing dos and don'ts for trading on the exchange, for the education of the investors	Annex C
7	Policies and Procedures	Document describing significant policies & procedures of Stock Broker.	Annex D
<b>VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER</b>			
8	Voluntary Authorization Letter (Trading)	Client consent letter for receiving the contract notes, statement of account and other documents by email. Letter of authorization for maintaining a running account with the broker	8-10
9	Voluntary Authorization Letter (Demat)	Voluntary Authorization Letter. Authorization of debit trading account for demat account charges. Option form for issue of DIS booklet (Voluntary). Request for bill/transaction/holding statement through email.	14
10	Nomination Form	Nomination form for the Demat account	Annex 1A

<b>Name of the Trading Member</b>	Nextbillion Technology Private Limited (hereinafter referred to as "Nextbillion")
<b>Name of the Clearing Member</b>	Nextbillion Technology Private Limited
<b>Cash Segment</b>	BSE & NSE
<b>Derivatives</b>	BSE & NSE
<b>SEBI Registration Number:</b> Stock Broking: Nextbillion Technology Private Limited is a Member of NSE & BSE – INZ000208032 Depository Participant: NextBillion Technology Private Limited is a DP of CDSL – IN-DP-417-2019	
<b>Clearing Member Details:</b> Nextbillion Technology Private Limited: Member of NSCCL ID:M70015 Nextbillion Technology Private Limited: Member of ICCL ID:6699	
<b>Nextbillion's Registered Office:</b> 1A, 206, Caldra, Divyasree Elan, Sarjapur Road Bangalore – 560035   Ph.: 9108800604   CIN: U65100KA2016PTC092879   Website : <a href="http://www.groww.in">www.groww.in</a>	
<b>Correspondence office:</b> No 11, 80 feet Road, ST Bed, Koramangala 4th Block, Bangalore - 560034	
<b>Compliance Officer:</b> Ishan Bansal, Phone No. & Email ID: 9108800604, <a href="mailto:compliance@groww.in">compliance@groww.in</a> <b>CEO:</b> Lalit Keshre, Phone No. & Email ID: 9108800604, <a href="mailto:ceo@groww.in">ceo@groww.in</a> For any grievance please contact at the above address or email <a href="mailto:grievances@groww.in">grievances@groww.in</a> & Phone no. 91088-00604	

In case not satisfied with the response, please contact the concerned exchange at: NSE: [ignse@nse.co.in](mailto:ignse@nse.co.in) or contact at 022-26598100 - | BSE: [is@bseindia.com](mailto:is@bseindia.com) or contact at 022-22728097 | [CDSL:complaint@cdslindia.com](mailto:CDSL:complaint@cdslindia.com) or contact at 1800-200-5533

KYC Form Attached at the Bottom

## Trading account related details

### A. Bank account details

**Account Type:** ☒ Savings

☐ Current

☐ Others

In case of NRI Account: ☐ NRE ☐ NRO

Bank Name	CANARA BANK		
Branch Address	BGS HEALTH AND KNOWLED BR		
Account Number	2890101003118		
IFSC CODE	CNRB0002890	MICR CODE	560015146

### B. Other details

Gross Annual Income Details (please specify): Income Range per annum:

☒ <1 lakh

☐ 1-5 lakh

☐ 5-10 lakh

☐ 10-25 lakh

☐ 25 lakh-1 crore

☐ > 1crore

Or net-worth as on\_date (net worth should not be older than 1year) : \_\_\_\_\_

Occupation:

☐ Private Sector Service

☐ Public Sector Service

☐ Government Service

☐ Housewife

☒ Student

☐ Self Employed

☐ Business

☐ Professional

☐ Retired

☐ Farmer

☐ Service

☐ Agriculturist

☐ Others

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical ☐ Electronic ☒

Please tick, if applicable: Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐

**Citizenship** ☒ Indian ☐ Other \_\_\_\_\_ (ISO 3166 Country Code \_\_\_\_\_)

**Residential Status** ☒ Resident Individual ☐ Non Resident Indian ☐ Person of Indian Origin ☐ Foreign National

**Tick if applicable** ☐ Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence \_\_\_\_\_ Place of birth \_\_\_\_\_ Tax

Identification Number \_\_\_\_\_ or Equivalent ISO3166 Country Code of Birth \_\_\_\_\_

### C. Past actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole-time directors/authorized persons in charge of dealing in securities during the last 3 year: Nil

### D. Depository account(s) detail

Depository Name: ☐ NSDL

☒ CDSL

Depository Participant Name	Nextbillion Technology Private Limited		
Beneficiary Name	NAGARAJ PAVAN KUMAR		
Depository ID	12088700	Beneficiary ID	1208870007405704

**E. Dealings through other stock brokers**

Whether dealing with any other stock broker/sub-broker (in a case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker	Nil	Sub Broker, if any	Nil
Client Code	Nil	Exchange(s)	Nil
Website	Nil		
Details of disputes/dues pending from/to such stockbroker	Nil		

**F. Introducer details (optional)**

Name of the Introducer	Nil	Phone Number	Nil
Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client	Exchange(s)		Nil
Address of the Introducer	Nil		

**G. Trading preferences/experience: No Experience**

Exchange Segment	Cash	F&O
BSE	Sign here	Sign here
NSE	Sign here	Sign here

**H. Additional details**

- 4) Whether you wish to receive (a) Physical Contract Note ☐ (b) Electronic Contract Note (ECN) ☒

If ECN specify your Email ID: pavankumar1597@gmail.com

- 5) Whether you wish to avail the facility of Internet trading/wireless technology/mobile trading Yes ☒ No ☐

**I. Nomination Details** (Please tick the appropriate options)

☐ I / We wish to nominate (Fill Annexure 1A)

☒ I / We do not wish to nominate

**DECLARATION for details provided above:**

I/We have received and read the Rights and Obligations document, Internet & wireless technology base trading facility provided by stock broker to the client, policy and procedures and terms & conditions and agree to abide by and be bound by the same and by the by-laws as are in force from time to time. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any. I/We hereby declare that the particulars given by me/us above are true and correct to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and Stock Broker any change(s) in the details / Particulars mentioned by me/us in this form. I/We further agree that any information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

Date: 10/09/2020

Name of the Applicant: NAGARAJ PAVAN KUMAR

Place: BANGALORE, KARNATAKA

Sign here

## Tariff Sheet

To

**Nextbillion Technology Private Limited**

1A, 206, Caldra, Divyasree Elan, Sarjapur Road, Bangalore - 560035

I/We agree to pay the following charges for its trading services effective from 10/09/2020

Client Name: NAGARAJ PAVAN KUMAR\_Client PAN: DKHPP4372N

Equity Delivery	Zero Brokerage
Equity Intraday	Rs. 20/- per executed order or 0.01% of order value whichever is lower
Futures	Rs. 20/- per executed order or 0.01% of order value whichever is lower
Options	Rs. 20/- per executed order

Disclaimer: For delivery trades, a minimum of Rs. 0.01 will be charged per contract note. Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note + courier charges. Payment gateway charges at Rs. 9+GST

### Statutory Charges:

Securities Transaction Tax|Exchange Transaction Charges|Clearing Charges|GST|SEBI Charges|Stamp Duty

### Demat Charges:

Account Maintenance Charge	Rs. 75 + GST per quarter (only for non-zero accounts)
DP Transaction Charges (both Market and Off-Market):	
Buy(Credit)	Zero
Sell(Debit)	Rs. 8 + Rs. 5.50 (CDSL Charges) per scrip

**Other Charges:** Demat/Remat: Rs. 150 per certification + courier charges | Failed Demat Transactions: Rs. 50 per ISIN | Pledge/Unpledge: Rs. 20 + Rs. 12 per request (CDSL Charges) | Pledge Invocation: Rs. 20 | Periodic/Adhoc Statement Request: Email: Free, Physical: Rs. 10 per page + courier charges | KYC Modification Request: Rs. 50 | KRA Upload/Download: Rs. 50 | Delivery Instruction Slip: First (10 leaves): Free, Addl (10 leaves): Rs. 100 + courier charges | Physical CMR(Client Master Report): Rs. 20 + courier charges | Courier Charges: Max of Rs. 100 or actual|Auto square off charge Rs50

Note: For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Nextbillion reserves the rights to freeze depository account for debit transactions in case of non payment of charges after 2 days from the bill date and charge interest at 18% p.a. on the outstanding bill amount. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. In addition to the above, GST or all other statutory/regulatory levies are recovered at the applicable rates from time to time.

Date: 10/09/2020

Name of the Applicant: NAGARAJ PAVAN KUMAR

Sign here

For office use only

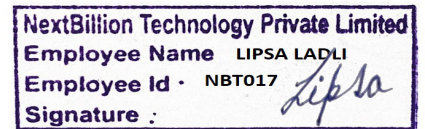
UCC Code allotted to the Client: 1675677851

	Documents verified with originals	Client interviewed	In-Person Verification is done
Name of the Employee	KYC Fetched from CVL		
Employee Code			
Designation of employee			
Date			
Signature			

We undertake that we have made the client aware of Policy and Procedures, tariff & all the non-mandatory documents. We have also made the client aware of Rights and Obligations, RDD and Guidance Note. We have given/sent him a copy of all the KYC documents. We undertake that any change in the Policy and Procedures, tariff & all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the Rights and Obligations and RDD would be made available on our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date: 10/09/2020



## Voluntary Authorization Letter (trading)

To,

Nextbillion Technology Private Limited  
1A, 206, Caldra, Divyasree Elan, Sarjapur Road, Bangalore - 560035

Dear Sir,

Sub: Voluntary Authorization Letter

I/We am dealing through you as a client in Capital Market and/or Future & Options segment of NSE/BSE, and in order to facilitate ease of operations, I authorize Nextbillion as under for:

**1. Avail Mutual Funds Schemes permitted to dealt with on the BSE StAR Mutual Fund Platform of BSE.**

- a) I / we therefore request you to register me/us your client for participating in the mutual fund transaction facilities. i/we shall ensure compliance with the requirements as may be specified from time to time by SEBI and AMFI. For the said purpose of availing the facilities, i/we state that the KYC details as submitted by me/us for the stock broking may be considered for the purpose and I/we further confirm that the details contained in the above remain unchanged as on date.
- b) I/we shall read and understand the contents of the Scheme Related Document, Key Information Memorandum and addendums before transacting in Mutual Fund Schemes.

**2. ECN Declaration:** ECN I/we hereby consent to receive from Nextbillion Technologies Pvt Ltd the digital contract notes, bills, ledgers, statement of accounts of funds, securities, demat transaction statement/holding statement, notices, circulars, amendments and such other correspondences including the standard documents which form part of the account opening kit. I /we further agree that Nextbillion Technologies Pvt Ltd shall fulfill their legal obligations if the above documents are sent electronically. Accordingly, I/we request you to note my email id is pavankumar1597@gmail.com and the same will be the registered email id as per your records. I/we shall ensure secrecy of the login id and password of the above mentioned email id. Nextbillion Technologies Pvt Ltd shall not be responsible for any breach of secrecy. Emails sent to the above mentioned email account, which have not bounced back, shall be deemed to be duly delivered to me/us. I/we agree that Nextbillion Technologies Pvt Ltd will not cognizance of any "out-of-office/out of station" auto replies and I/we shall be deemed to have received such electronic mails. I/we are aware that Nextbillion Technologies Pvt Ltd shall provide physical contract notes in respect of trades placed by me however I have opted for receipt of contract notes in electronic form for my/our convenience and as per my/our request only. I/we shall immediately inform Nextbillion Technologies Pvt Ltd about change in my/our email address, if any.

**3. Delivery of order/trade confirmation/cancellation:** I/We hereby authorize you not to provide me order confirmation/ modification/ cancellation slips and trade confirmation slips to avoid unnecessary paperwork. I/We shall get the required details from contract notes and confirmation issued by you.

**4. Telephonic Conversation:** I/We request you to consider my telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me all the confirmation on telephone/SMS. I am getting required details from contract notes issued by you.

**5. Fines & Penalties:** All fines/penalties and charges levied upon you due to my acts/deeds or transactions may be recovered by you from my account.

**6. No Market Manipulation:** I/We undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. Incase, I/We am found to be indulging in such activities, broker has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

**7. Not Debarred by Any Regulator:** I/We confirm and declare that there is no bar on me imposed by any Exchange or any



regulatory and/or statutory authority to deal in securities directly or indirectly. I/We agree to inform broker, in writing, of any regulatory action taken by any Exchange or regulatory/ statutory authority on me in future. In case, I/We fail to inform the same and broker on its own comes to know of such action, broker has the right to suspend/close my trading account and refuse to deal with me. Also, broker can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

**8. Running Account Authorization** I/We are dealing through you as a client in Capital Market and/or Future & Options segment. I/We authorize you:

- a) /We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/ Clearing corporation unless I/We instruct you otherwise.
- b) I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) Clearing Corporation unless I/We instruct you to transfer the same to my/our account
- c) I/We request you to settle my fund and securities account
  - ☐ Once in a calendar month
  - ☒ Once in every calendar quarter except the funds given towards collaterals/margin in the form of Bank Guarantee and /or Fixed Deposit
- d) In case I/We have an outstanding obligation on the settlement date, you may retain the towards such obligations and may also retain the funds expected to be required to meet margin obligation for the next 5 trading days, calculated in the manner specified by the exchanges.
- e) I/We confirm you that I/We will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
- f) All accounts with net credit balances of Rs. 10000/- or less shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849 & 20091204-7.
- g) I/we understand that this authorization shall remain valid until revoked by me/us. I/we undertake to clear all my dues arising during the validity of this authorization before serving revocation else NBT shall recover all my/our dues in my/our ledger till date

**9. E-delivery of Mandatory documents:** In terms of SEBI Circular No. CIR/MIRSD/64/2016 dated July 12, 2016, I hereby request you to kindly provide the following documents:

- ☒ Electronically ☐ Physically
- a) Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology-based trading).
- b) Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories
- c) Uniform Risk Disclosure Documents (for all segments/ exchanges)
- d) Guidance Note detailing the Do's and Don'ts for trading on stock exchanges. e. Policies and Procedures as per SEBI Circular No. MIRSD/SE/CIR-19/2009 dated 03rd December, 2009.

**10.Charges & Balance Maintenance:** I have a trading as well as depository relationship with you. Please debit the charges relevant with depository services or any other service from my trading account as and when due. I also agree to maintain the adequate balance in my trading account for the said reason.

**11.PMLA DECLARATION:** I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by officials of Nextbillion Technology Private Limited, I further declare that I shall adhere to all the provisions of PMLA Act, 2002. I further undertake and confirm that;

- a) I do not have any links with any known unlawful persons/institutions.
- b) I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

**12.Authority for intimation:** I shall authorise you to send SMS and email to registered email/ mobile no. in respect of my trading and demat account.

**13.Indemnification:** I hereby indemnify and hold Nextbillion, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Nextbillion directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on

the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

14.I/WE understand that no interest will be payable to me/us on the funds or securities so retained by you

15.**Facsimile Authorisation:** During the operation of my trading I may be need to instruction on Fax/Scan, so I authorise you to honor the instructions and orders sent through Fax/Scan copy sent by me.

- a) I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.
- b) I have been explained that I may opt not to give any of the above authorisation and that the above authorisations are voluntary on my part and that I can revoke these authorisations at any point of time during the operation of my trading account with you by giving a notice in writing and shall continue and remain valid until revoked by me anytime in writing.

Date: 10/09/2020

Name of the Applicant: NAGARAJ PAVAN KUMAR

Place: BANGALORE, KARNATAKA

Sign here

#### Mobile & Email Declaration

I NAGARAJ PAVAN KUMAR having PAN DKHPP4372N do hereby declare that my mobile no. is +91 9071333393 and email id is pavankumar1597@gmail.com Further, I authorize **NextBillion Technology** Private Limited that the same may be used for giving me any information/ alert/SMS.I further declare the above mentioned statement is true and correct.

Sign here

## Acknowledgement

To,

**NextBillion Technology Private Limited: Member**

**1-A, 206, CALDRA, DIVYASREE ELAN, SARJAPUR ROAD, BANGALORE - 560035**

### **Sub: Acknowledgement**

I state confirm and declare that I have received the below documents in ☒ Electronic/ ☐ Physical Mode and have read, understood, acknowledged and agreed to the contents of all the clauses of the below-mentioned documents.

S. No	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure Document (RDD) for Capital and Derivatives
4	Guidance Note - Do's and Don'ts for trading on the Exchange(s) for investors
5	NextBillion Technology Private Limited Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of NBTPL
8	Running account authorization, Mobile declaration & Client defaulter declaration

I also confirm that I have received the relevant clarifications if any, wherever required from the officials of NextBillion Technology Private Limited

Date: 10/09/2020

Name of the Applicant: NAGARAJ PAVAN KUMAR

Place: BANGALORE, KARNATAKA

Sign here

For Individuals

### Additional KYC Form for Opening a Demat Account

**Nextbillion Technology Private Limited**

**Depository Participant - Central Depository Services Limited**

DP ID: 12088700 SEBI Registration No: IN-DP-CDSL-417-2019

1A, 206, Caldra, Divyasree Elan, Sarjapur Road, Bangalore - 560035 Email: [support@groww.in](mailto:support@groww.in)

**DP ID: 12088700 BO ID:- 1208870007405704**

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per the following details:-

#### Holder Details

<b>Sole / First Holder's Name</b>	Nagaraj Pavan Kumar	PAN: DKHPP4372N	UID:
<b>Second Holder's Name</b>			
<b>Third Holder's Name</b>			

#### Type of Account (Please tick whichever is applicable)

Status	Sub-Status	
<input checked="" type="checkbox"/> Individual	<input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)_____	
		<input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)_____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Receipts Others <input type="checkbox"/> (specify)_____	

#### Details of Guardian (in case the account holder is minor):

Guardian's Name : \_\_\_\_\_ PAN : \_\_\_\_\_

Relationship with the applicant : \_\_\_\_\_

#### Standing Instructions

I/We instruct the DP to receive each and every Depository credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Account Statement Requirement As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input checked="" type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
I / We would like to share the email ID with the RTA	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input checked="" type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)	

I / We wish to receive dividend/interest directly into my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time ]	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
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I / We would wish to avail the following facility:

Basic Service Demat Account Facility (BSDA):   ☐ YES   ☐ NO   ☒ Opted out

Nominee details:

☐ I / We wish to nominate (Fill Annexure 1A)   ☒ I / We do not wish to nominate

<b>SMS Alert Facility</b>	MOBILE NO. +91 9071333393		
Transactions Using Secured Texting Facility ( <b>TRUST</b> ). Refer to Terms and Conditions	I wish to avail the TRUST facility using the mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		
	Stock ExchangeName/ID	Clearing Member Name	Clearing Member ID (Optional)
Easi	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

Date: 10/09/2020

Name of the Applicant: NAGARAJ PAVAN KUMAR

Place: BANGALORE, KARNATAKA

Sign here

## Voluntary Authorization Letter (Demat)

To,

NEXTBILLION TECHNOLOGY PRIVATE LIMITED

1-A,206, CALDRA, DIVYA SHREE ELAN, SARJAPUR ROAD, BANGALORE - 560035

Dear Sir,

DP ID: 12088700 BO ID: 1208870007405704

- 1) **Authorization to debit trading account for the demat account charges:** We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purposes. I/We, authorize you to debit the charges payable towards the operations of the above account. I/We are aware of the charges for operating the said account. Any such sum debited from my trading account shall be binding on me/us.

- 2) **Option form for issue of DIS Booklet (Voluntary):**

Option 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

OR Option 2:

I/We do not require the Delivery Instruction Slip (DIS) for the time being, However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

I/We hereby select ☐ Option 1 ☒ Option 2

- 3) **Request for bill/transaction/holding statement through email.**

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account with

DP ID: 12088700 and BO ID:- 1208870007405704 at the following email address:pavankumar1597@gmail.com

I/We fully agree and are aware of the following Terms and Conditions mentioned below.

- (a) That I/We will not receive the bill, transaction and holding statements in paper form.
- (b) That I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- (c) That I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- (d) That I/We in case of bill, transaction and holding statements are sent by email, I/We will immediately inform the NEXTBILLION TECHNOLOGY PRIVATE LIMITED. About change in an email address, if any.

In case, the NextBillion Technology Private Limited is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), NextBillion Technology Private Limited will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye-laws & Business Rules of CDSL.

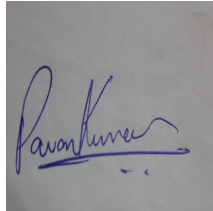
I/We hereby request you to send the statements: ☐ Daily ☐ Weekly ☐ Fortnightly ☒ Monthly

Name of the Applicant: NAGARAJ PAVAN KUMAR

Date: 10/09/2020

Sign here

**Specimen signature**



Sign here





# CKYC & KRA KYC Form



## Know Your Client (KYC) Application Form (For individuals only)

- Please fill the form in English and in BLOCK letters
- Fields marked with '\*' are mandatory

Application type\*

☒ New

☐ Update

KYC number\*

KYC type\*

☒ Normal (PAN is mandatory)

☐ PAN exempt investors (Refer instructions K)

### 1. Identity details (Please refer instruction A at the end)

PAN

DKHPP4372N

Please enclose a duly attested copy of your PAN card

Prefix

First Name

Middle Name

Last Name

Name\* (same as ID proof)

Mr

Nagaraj Pavan Kumar

PAVAN

KUMAR

Maiden Name (If any)

Father's/Spouse's Name\*

Mr

NAGARAJU

Mother's Name\*

Mrs

YASHODA

Date of birth (DD MM YYYY)\*

01

05

1997

Gender\*

☒ M - Male

☐ F - Female

☐ T - Transgender

Marital status\*

☐ Married

☒ Unmarried

☐ Other

Citizenship \*

☒ IN - Indian

☐ Others - Country:

Country code:

Residential status\*

☐ Resident individual

☐ Non-resident Indian

☐ Foreign National

☐ Person of Indian origin

Occupation type\*

☐ Private sector

☐ Public sector

☐ Govt. sector

☐ Professional

☐ X - Not categorised

☐ Self employed

☐ Retired

☐ Housewife

☒ Student

PHOTO

### 2. Proof of Identity (PoI)\* (For PAN exempt investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A - Passport number

Passport expiry date

☐ B - Voter ID card

☐ D - Driving license

Driving license expiry date

☐ E - Aadhaar card

☐ F - NREGA job card

☐ Z - Others (A) [any document notified by the central government]

Identification number

Others (B) [Refer instruction C (3)]

Identification number

### 3. Proof of Address (PoA)\*

#### ☒ 3.1 Current/Permanent/Overseas address details (Please see instruction D at the end)

##### Address

Line 1*	# 633, Bhimeshwara Nagar Madanaiyakanahalli, Bengaluru, Madavara				
Line 2					
Line 3					
District		Zip/post code*	562123	State/UT code	KA as per Indian Motor Vehicle Act, 1988
State/UT*	KARNATAKA	Country	India	Country code	IN as per ISO 3166
Address type*	<input checked="" type="checkbox"/> Residential/Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered office	<input type="checkbox"/> Unspecified
(Certified copy of <u>any one</u> of the following Proof of Address [PoA] needs to be submitted)					
<input type="checkbox"/> Passport number				Passport expiry date	__ __ __
<input type="checkbox"/> Voter ID card					
<input type="checkbox"/> Driving license				Driving license expiry date	__ __ __
<input checked="" type="checkbox"/> Aadhaar card	XXXXXXXXXXXX				
<input type="checkbox"/> NREGA job card					
<input type="checkbox"/> Others (A) [any document notified by the central government]				Identification number	__ __ __
Others (B) [Refer instruction D (3)]				Identification number	__ __ __

#### ☒ 3.2 Correspondence/Local address details\* (Please see instruction E at the end)

☒ Same as Current/Permanent/Overseas address details (in case of multiple correspondence/local addresses, please fill 'Annexure A1', submit relevant documentary proof)

Line 1*	SAME AS ABOVE				
Line 2					
Line 3					
District		Zip/post code*		State/UT code	as per Indian Motor Vehicle Act, 1988
State/UT*		Country		Country code	as per ISO 3166

### 4. Contact details (All communication will be sent on provided mobile number/email ID) (Please refer instruction F at the end)

Email ID	pavankumar1597@gmail.com				
Mobile number	+91 9071333393	Tel. (Office)		Tel. (Residence)	

## 5. FATCA/CRS information (Tick if applicable)

☐ Residence for tax purposes in Jurisdiction(s) outside India (Please refer instruction B at the end)

Additional details required\* (Mandatory only if above option 5 is ticked)

Country of jurisdiction of residence \_\_\_\_\_ Country code of jurisdiction of residence \_\_\_\_\_ as per ISO 3166

Tax identification number or equivalent (if issued by jurisdiction)\* \_\_\_\_\_

Place/City of birth\* \_\_\_\_\_ Country of birth\* \_\_\_\_\_ Country code \_\_\_\_\_ as per ISO 3166

Address

Line 1\* \_\_\_\_\_

Line 2 \_\_\_\_\_

Line 3 \_\_\_\_\_ City/Town/Village\* \_\_\_\_\_

State/UT\* \_\_\_\_\_ Zip/post code\* \_\_\_\_\_ State/UT code \_\_\_\_\_ as per Indian Motor Vehicle Act, 1988

Country \_\_\_\_\_ Country code \_\_\_\_\_ as per ISO 3166

## 6. Details of Related Person (Optional) (Please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Addition of related person ☐ Deletion of related person KYC number of related person (if available\*) \_\_\_\_\_

 Related person type\* ☐ Guardian of minor ☐ Assignee ☐ Authorized representative

Prefix First Name Middle Name Last Name

Name\* \_\_\_\_\_

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of related person\* (Please refer instruction H at the end)
(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A - Passport number \_\_\_\_\_ Passport expiry date \_\_\_\_\_

☐ B - Voter ID card \_\_\_\_\_

☐ D - Driving license \_\_\_\_\_ Driving license expiry date \_\_\_\_\_

☐ E - Aadhaar card \_\_\_\_\_

☐ F - NREGA job card \_\_\_\_\_

☐ Z - Others [any document notified by the central government] \_\_\_\_\_ Identification number \_\_\_\_\_

## 7. Remarks (if any)

\_\_\_\_\_

\_\_\_\_\_

## 8. Applicant declaration

- Parabens

Signature or thumb impression of applicant

9. Attestation/For office use only

☒

KYC in-person verification (IPV) carried out by (Refer instruction J)

[Employee signature]

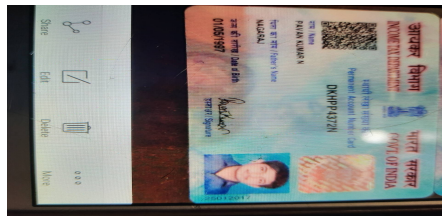
## Institution details

**NEXTBILLION TECHNOLOGY PRIVATE LIMITED**

**IN3893**

## BANGALORE

[Institution stamp]



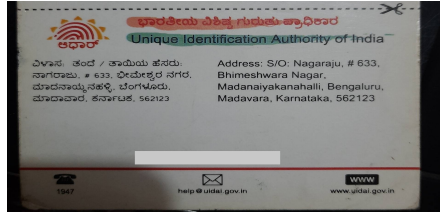
Parabhu

Sign here



*Pavan Kumar*

Sign here



Parakur

Sign here