KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

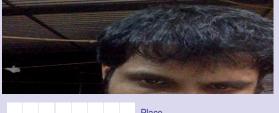
HDFC Bank Ltd., Depository Services, Tex Center Pre. Co-op Soc Ltd., P Wing, 3rd Floor, Unit No. 301 to 303, Chandivali Farm Road, Off Saki
Vihar Road, Chandivali, Andheri (E), Mumbai 400 072 | Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai-13

÷	HDFC securities

BAR CODE NUMBER

Part - (A) ID	ENTITY DE	TAILS				Please	fill this f	orm in ENGLIS	H and in BLOCK LETTERS
Prefix	Mr.	Ms.	Others		Existing Cus	tomer	Yes	No	
Applicant name									
Father / Spouse's									
name Gender	Male	Female	Marital status	Single Married					
Date of birth									
PAN No. Mandatory for Demat/ISA)				dence / Documents provid ase of PAN exemption	ed				
Aadhaar No.			III C	ase of PAN exemption		_			
(Mandatory) Status	Resident	Individual	Non Resident	Foreign	National				
Nationality	Indian		Other, please sp						
Specify the proof of	PAN								10
identity submitted	Any othe	r (Please specify	y)			Valid	till		
		AND CONTACT							
Below mentioned addr	ess is my	Present Res	sidence Address	Office Address	Mandatory : P	lease atta	ch Self A	ttested Proof o	f below address
Residence / Correspondence -									
Address									
ndmark (Mandatory)									
City / Town / Village								PIN (Mandatory)
State							Yrs at curr	rent city	Residence
Country	India	Other							
Specify the proof submitted for Residence		ndence address							Valid till
	Country coo		/ Area code	Number			Ex	tn.	
Tel. (Off.)		-	-			-			
Tel. (Res.)		-	-				Countr	y code	Number
Fax		-	-			Mobile		-	
E-mail ID (Mandatory)									
	Please	tick if permane	nt address is the sar	ne as above address					
Permanent									Mandato filled if d
Address									from a
ndmark (Mandatory)									Mandat Non Re
City / Town / Village							PIN (Mano	datory)	Applicant overseas
State									- Overseas
Country	India	Other							
Tel. (Res.)	-					Mobile no.			
Part - (C)	ECLARATION	ON							
hereby declare that the	e details furr	nished above are	e true and correct to the	e best of my knowledg	e and belief and	d I underta	ke to infor	m you of any ch	anges therein, immediately.
case any of the abov	e informatio	n is found to be	false or untrue or misl	eading or misrepresent	ing, I am aware	that I may	be held li	able for it.	Signature of the appli
(Originals verif	ied) and Sel	If -Attested Docu	ument copies received						orginatare of the appr

		· · · · · · · · · · · · · · · · · · ·
۲	(Originals verified) and Self –Attested Document copies received In-Person-Verification (IPV) details:	Seal / Stamp of
BANK USE ONLY	Name of the Person doing IPV	HDFC Bank
K US	Signature of the Person doing IPV	
	Designation & Emp. code	
FOR	Dialicii code	D M M Y Y Y Y
	Name	of the organisation: HDFC Bank Ltd.
	Name and Signature of Authorised Signatory	



Place

123

- \cdot If customer provides the KRA registered letter the documents for KYC shall not be taken from the customer.
- \cdot The KYC form shall be filled for all the holder's of the account

1



PARTEI Application for Opening Demat Account - (For Individuals)

HDFC Bank Ltd., Depository Services, Tex Center Pre. Co-op Soc Ltd., P Wing, 3rd Floor, Unit No. 301 to 303, Chandivali Farm Road, Off Saki Vihar Road, Chandivali, Andheri (E), Mumbai 400 072 | Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai-13

BAR CODE NUMBER

FOREIGN ACCOUNT TAX COMPLIANCE ACT DETAILS (CATOAL
I FUBEIGN ALLUIINI TAX LUWPI IANLE ALT DETAILS (

Please confirm if Indicia other than India (In case of NRI, please fill the details) 2nd Holder	
(In case of NRI, please fill the details) 2nd Holder	
Please tick in case Not Applicable 3rd Holder	
Guardian	
Are you tax resident of any other Are you a Green Card Holder Are you a (Please mention Country Names below)	
1st Holder Yes of US Yes of No Yes No Country 1: Country 2:	
2nd Holder Yes of US Yes of No Yes No Country 1: Country 2:	
3rd Holder Yes of US Yes of No Yes No Country 1: Country 2:	
Guardian Yes of US Yes of No Yes No Country 1: Country 2:	

- lam the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates
 Thereby confirm that I have read and understood the below-mentioned instructions. I also confirm that the information provided above is true and accurate.

Financial Details (Please Specify)												
FIRST HOLDER'S DETAILS	SECOND HOLDER'S DETAILS	THIRD HOLDER'S DETAILS										
*Gross annual income(₹)	*Gross annual income(₹)	*Gross annual income(₹)										
Below Rs. 1 lac Rs. 1 to 5 lac Rs. 5 to 10 lac	Below Rs. 1 lac Rs. 1 to 5 lac Rs. 5 to 10 lac	Below Rs. 1 lac Rs. 1 to 5 lac Rs. 5 to 10 lac										
Rs. 10 to 25 lac More than Rs. 25 lac	Rs. 10 to 25 lac More than Rs. 25 lac	Rs. 10 to 25 lac More than Rs. 25 lac										
(Income range per annum) OR Net worth (₹)	(Income range per annum) OR Net worth (₹)	(Income range per annum) OR Net worth (₹)										
as on date Net worth should not be older than one year	as on date Net worth should not be older than one year	as on date Net worth should not be older than one year										
Occupation details (please tick any one below and give brief details)	Occupation details (please tick any one below and give brief details)	Occupation details (please tick any one below and give brief details)										
Public Sector Private Sector Government Service Business	Public Sector Private Sector Government Service Business	Public Sector Private Sector Government Service Business										
Professional Agriculturist Retired Housewife	Professional Agriculturist Retired Housewife	Professional Agriculturist Retired Housewife										
Student Others (please specify)	Student Others (please specify)	Student Others (please specify)										
Brief Details	Brief Details	Brief Details										
Please tick, if applicable Politically Exposed Person (PEP)	Please tick, if applicable Politically Exposed Person (PEP)	Please tick, if applicable Politically Exposed Person (PEP)										
Related to a Politically Exposed Person (PEP)	Related to a Politically Exposed Person (PEP)	Related to a Politically Exposed Person (PEP)										

	Acknowled	gment / Consent For Additional Rights & Obligations - Individ	ual Customer
1. Rights a	and obligations of beneficial owne	ceived, read, understood and agree to the contents of : er and Depository participant as prescribed by SEBI and Depositories; ncial Details 4. FATCA Declaration	(Signatures of all the holders are required)
1st Holder's Name: Date:		Place:	ŏ
2nd Holder's Name: Date:		Place:	ŏ
3rd Holder's Name: Date:		Place:	ŏ

Details under FATCA/Foreign Tax Laws: Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are

Please note that you may receive more than one request for information if you have multiple relationships with different members of the HDFC Group. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

Supplied any previously requested information.

Debit Authorisation: I/We hereby authorize the Bank to debit all types of Bank charges / commission / fees ("Service Charges") payable by me / us to the said Accounts. I/We undertake that sufficient balances shall be maintained by me/us in the said Accounts to facilitate the debiting of Service Charges. The failure on part of me / us to maintain sufficient balance in the said Accounts that I not in any way impair the right of the Bank to debit the Service Charges. I/We hereby further authorise the bank to charge any interest on debit balance in the said Accounts due to the debiting of Service Charges, and/or recover the charges from any other account maintained by me/us with HDFC Bank Ltd. The Bank shall not be obliged to provide overdraft facility on the said Account but for towards the debiting of Service Charges payable by me/us. I/We specifically agree and confirm that any matter or issue arising hereunder shall be government by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India. I/We hereby confirm the bank shall have a lien and right of set off on all monies belonging to me / us standing to my/

consider which the bank and authorize the bank without reference to me, fust of appropriate the same towards satisfaction of the service charges or any other charges due and payable by me / us.

Email Statement: • I/We agree to discontinue the Physical Statements if electronic mode is opted. • I/We understand that the email statements are for my/our convenience. HDFC Bank shall not be liable or responsible for any breach of secrecy because the statements are being sent to the email ID. • I/We shall verify the authenticity of the emails I/We receive. I/We shall not hold the Bank responsible for any statement received from frauds/imposters. I/We shall not hold the Bank is appropriate the statements are being sent to the email address of me/ us receiving statements from the Bank. • I/We are authorised by the other holders to receive the Statements to the email address. • I/We shall not hold the I/We shall not not be responsible for any statement received from frauds/imposters. I/We shall not hold inform the Bank is informated in the information of the service of me/ us received the statements of me/ us received the statement due to incorrect email address and technical reasons. • I/We confirm to have read and understood the Terms & Conditions (a copy of which I am in possession of) pertaining to my account. • I/We understand and agree that the email statements will only be sent to the First holder in the account. • I/We any are aware that the expense of the intervention of t //we will not receive the transaction statements in paper form. • I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. • I/we am/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

PARIEII Application for Opening Demat Account - (For Individuals) HDFC BANK HDFC Bank Ltd., Depository Services, Tex Center Pre. Co-op Soc Ltd., P Wing, 3rd Floor, Unit No. 301 to 303, Chandivali Farm Road, Off Saki Vihar Road, Chandivali, Andheri (E), Mumbai 400 072 | Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai-13 **BAR CODE NUMBER** We understand your world Please tick mandatorily CDSL (DP ID - 13012400) NSDL (DP ID - IN301549, IN300126) LG Code LC Code Date Internal Ref. No. No. of Holders Any other information Source channel DP ID Client ID To be filled by DP Part - B (1) DEMAT ACCOUNT OPENING DETAILS I/We request you to open a depository account in my/our name as per the following details (Please tick one) **Ordinary Resident** NRI - Repatriable NRI - Non - Repatriable Foreign National Margin Account Promoter **Qualified Foreign Investor** Others (pls specify) Part - B (2) DETAILS OF ACCOUNT HOLDER(s) (Please fill all the details in CAPITAL LETTERS only) Sole / First Holder Second Holder Third Holder For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below: Name **PAN Number** Account to be operated through Power of Attorney (POA) (Default is, No) SMS alert is mandatory if you are giving POA No Part - B (3) UCIC ID AND PAN NUMBER OF APPLICANT **UCIC:** Applicant PAN: Applicant IN CASE OF NRIs / FOREIGN NATIONALS Part - B (4) **RBI** approval Non face to face customer reference number **RBI** Approval Date Part - B (5) GUARDIAN DETAILS (Where sole holder is a minor) **Guardian Name PAN Number** For account of a minor, two KYC application forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian) Relationship of guardian with minor Part - B (6) BANK DETAILS (Mandatory for the first Demat account holder for receiving credit of Dividend / Interest) Please give NRE Bank details only in case Demat account is to be opened as NRE & NRO. Bank A/C type Savings A/C Current A/C Other (pls specify) Account No. RTGS / NEFT / IFSC Code MICR Code Please attach photo copy of Blank / Cancelled cheque to verify the 9 digit MICR code (for Non HDFC Bank A/C's only) **Bank Name** Branch code if HDFC Bank A/C **Branch Address** City / Town / Village State Country PIN (mandatory) Part - B (7) DEBIT AUTHORISATION I/We authorise Bank to debit recover charges pertaining to opening & maintenance of Demat Account, transaction charges, or any other charges related to Demat account from the said HDFC Bank Savings / Current account Branch with Part - B (8) SERVICE REQUEST **Standing Instruction** Yes, To receive credit automatically into my/our a/c (Default is, Yes) No NOTE: SI for a Non PIS NRE Demat A/c will be by default marked as "No." (SI) SMS alert facility Mandatory if you are giving Power of Attorney (POA). Ensure that mobile number is provided in the KYC application form. Yes First holder No Second holder Yes No Third holder No Yes Delivery Instruction To be filled by persons seeking to open a Depository account and has opted for online trading facility or who have given Power of Attorney to operate the Depository account to a stock broker/Participant / Portfolio Manager and do not intend to open a Basic Services Demat Account. Slip (DIS) booklet Yes, I / we wish to receive DIS Booklet at the time of account opening No, however, the DIS booklet should be issued to me/ us immediately on my/ our request at any later date facility Mode of receiving Physical Form Electronic Form [Read Note 4] Statement of Account Through email [Ensure that email ID is provided in Part I of AOF i.e. KYC Application Form] (Tick any one)

789 3

NetBanking for Demat a/c

Bk. Sys

Br. Code

Prod. Code

DP on Net facility

Rate ID

Group ID

Client ID

All T&C of NetBanking will be applicable.

1st holder

2nd holder

3rd holder

ISK CATEGORY

FOR BANK USE

High

High

High

Medium

Medium

Medium

Low

Low

Low



Nomination Form for Demat Account

To be filled in by individual applying singly or jointly (Not applicable for HUF/Minor Account)

BAR CODE NUMBER

I/We wish to mal			•	•		•		'						n to make a nomin	,							,		
I/We wish to make a non owner account shall vest					the fo	lowing p	person	in whom	all rig	hts and	d / or a	amou	ınt p	ayable in respect of s	ecurities h	eld in	the Dep	ository	by me	e / us in	the s	aid benef	iciary	
Nomination Deta		OHE OF HI) / Gai	doddiii																				
Nominee name (Mr./Mrs.)																								
Relationship with Applica	ant (If a	ny)																						
Nominee Address																								
									\top															
Landmark									+															+
City / Town / Village									+						F	PIN C	Code					Mandato	rv	
State									+						Yrs at		_			Re	esiden			
Country	Indi	2	Othe	r					+												0.001			
Guardian Details					mine	e is mi	nor)																	
Date of Birth (In case				Ť			Ĺ																	
Name of the Guardian				nor no	minee	•																		
Guardian Address	`																							
City/Town/Village									+		C+	ate												+
PIN Code (mandatory)					Count	m.			+		Sli	ale												
, , , , , , , , , , , , , , , , , , ,	attla NI		Н		Couri	.i y			_															
Relationship of Guardia	n with in	ominee	Ш						\perp															
Contact details of N		e / Gua ry code			/ Area	code				Nun	nhar				Ext	n								
Tel. (Off.)	Oddilli	y code		0107	Alca	couc	_			INUIT	IDCI				LXII	11.								
Tel. (Res.)																								
Fax			-				_							Mobi	۵									
e - mail ID														MODI										
Nominee Identification	on Deta	ils (ple	ase tic	ck anv	one f	rom be	low a	and prov	/ide (details	oft	he s	am	e)										
Photograph and				py of A											in-in-in-									
PAN																			Г	ate of	Rirth			
Aadhar No.																		iii		vale of	Dirar			
Savings Bank A/0	C No.																							
Demat account d	letails D	P ID						Client	ID													- X		
	D (·1- (-1-	(1							1-1-1		1				Ney.								
Guardian Identificati Photograph and				ck any py of A				and pro	/Ide (detaii	S OT I	tne s	sam	ie)										
PAN	ga.a.			F) 5171	.,																			
Aadhar No.			++																	ate of	Birth			
Savings Bank A/0	2 No		++						+															
		D 1D						01:1	ın															
Demat account d	etails D	טו או						Client	טו															
Name & Addre	oce of th	o 1et M	litnoso	(Mr. / N	Me).								No	me & Address of th	o 2nd W	tness	(Mr./	Me).						
ss for	oo ur ur	C 151 VV	101055	(IVII. / I	vi3)								IVd	ine a Address of Ill	C Znu Wi	11055	(IVII. /	IVIS)						
Witness for Nomination																								
S Z D D M	M Y	Y	/ Y			Signat	ure o	f Witnes	s 1					D D M M	Y Y Y	Y			Signa	ature o	of Wit	ness 2		
Declaration: The rules and regu	lations of t	he Depos	itory and	d Deposito	ory Parti	cipants p	ertainin	g to an acc	ount w	hich are	in forc	ce nov	v hav	ve been read by me/us an	d I/we have	unders	tood the	same an	d I/we	agree to	abide l	y and to b	e bound	by the

rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately, case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ weare aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant.

Notes:1. All communication shall be sent at the address of the Sole/First holder only. 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested Notes: 1. All communication shall be sent at the address of the Sole/First holder only, 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrates. 3. Instructions related to nomination, are as below: I The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form. II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner. III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time. IV. Nomination in respect of the beneficiary owner accounts on the beneficiary owner account is enforced by a subject to the exclusion of nomination in respect of the securities shall stand terminated upon transfer of the securities. V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir. VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form. VII. On cancellation of the nomination shall stand termination shall stand term





Declaration by Demat Account Holder/s

Date	D D M M Y Y	Application No.	DP ID	Client ID
UCIC ID			LG Code	LC Code

Dear Sir/Madam,

The appended Tariff will be applicable for the customer opting for the Regular / Basic Services Demat Account

Annexure - Regular / Basic Services Demat Account (BSDA)

			🔲 I wish to open a Reg	jular Demat Account	☐ I wish to open Basic Serv	rices Demat Account (BSDA)	
Sr.	Fee Head	Tuno	Regular Den	nat account	Basic Services Den	nat account (BSDA)	
No.	гее пеаа	Туре	Fees	Min	Fees	Min	
1	Account opening charges				Nil		
	Debit Transactions Equity	SPEED-e / Easiest				Rs. 40/-	
2	/ Mutual Funds (Market / Off Market)	HDFC Securities	0.04% of the txn	Rs. 25/-	0.06% of the txn.	Rs. 45/-	
	on markety	Manual		Rs. 40/-		Rs. 60/-	
	Debit Transactions Debt /	SPEED-e / Easiest	0.04% of	Rs. 20/-	0.06% of	Rs. 40/-	
3	Mutual Funds (Market / Off Market)	HDFC Securities	the value of the txn.	Rs. 25/-	the value of the txn.	Rs. 45/-	
	On Market)	Manual	(Max Rs 5000/-)	Rs. 40/-	(Max Rs 5000/-)	Rs. 60/-	
4	Credit Transactions				Nil		
5	Pledge Services(Creation / Invocation / Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the tx	Rs. 40/-	0.04% of the value of the txn	Rs. 60/-	
5	,	If Pledge is marked to other than HDFC Bank	0.04% of the value of the txn	Rs. 40/-	0.06% of the value of the txn	Rs. 60/-	
6	Reissuance of Delivery Inst	ruction Booklet (DIB)	Rs.75/- p	er booklet	Rs.75/- pe	er booklet	
7	Dematerialisation	Certificate + Dematerialisation Request	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	
8	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof) a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof) a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	
	Courier/Postal Charges only	Inland Address	Rs.35/- p	er request	Rs.35/- per request		
9	(Adhoc Statement)	Foreign Address	Rs.500/-	per request	Rs.500/- per request		
					*** Holding Value between 0	to 50,000 - Nil AMC	
10	Annual Maintenance Charges	AMC	Rs. 750 բ).a.	*** Holding Value between 50	0,001 to 2 Lacs - Rs.100 p.a.	
					*** Holding Value more than	2 Lacs - Rs.750 p.a.	

Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days
- Incase you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center>> Demat Tab >> GST Annexure)
- Customers who have only a Depository relationship will be required to pay an advance fee of Rs. 7,500/-, for each Demat account, which will be adjusted against the service charges. The customer also needs to replenish the balances immediately if and when it falls below Rs. 5,000/-

- **** The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL / CDSL The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- Incase the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- Incase if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL/CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demat tab by second week of every month with option to view/download.

SEPARATE MOBILE NUMBER & EMAIL ID DECLARATION

I hereby declare that the aforesaid • mobile number or • E-mail ID belongs to Me or My family (spouse, dependent children and dependent parents).

	Name of the Customer	Mobile Number	Email Id of the Customer
1st Account holder			
2nd Account holder			
3rd Account holder			



Extended KYC Annexure - Individuals (including sole-proprietors) (Applicable for Resident and Non-Resident Customers)

Date:			essional tax advisor for furthe		dency, if required		_
Please fill the infor	mation below as requested		First Account Holder		Second Account Holder		
Name of the Account Holder							
Customer ID							
City of Birth							
Country of Birth							
Address for Tax pur	pose		☐ same as mailing address ☐ same as permanent address		☐ same as mailing address ☐ same as permanent address		
Address Type for the	e above		☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office		☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office		
	al of more than one country, please atries separated by a comma))					
Father's Name							
Spouse's Name							
Identification Type - Documents submitted as proof of identity of the individual			☐ Passport ☐ PAN ☐ Election / Voter's ID card		☐ Passport ☐ PAN ☐ Election / Voter's ID card		
			☐ Driving License ☐ Aadhaar card / letter		☐ Driving License ☐ Aadhaar card / letter		etter
		□ NREGA Card □ Govt ID Car d		☐ NREGA Card ☐ Govt ID Car d			
		Others(pls specify)		Others(pl	s specify)		
Identification Number - for the identification type mentioned above							
Are you a tax res	sident of any country other than Indi	a?					
• Second	count holder : Yes	No No resident for tax	purposes and the associate	d Tax Reference Numbers b	elow:		
Account holder details	Name of Customer	Country/(id	es) of Tax residency #	Tax Identification Nun	nber (TIN)%	Identification Type (TIN please specif	
First							
Second							
o also include USA	, where the individual is a citize	en/ green card	I holder of USA				
n case Tax Identific rtification: I/We have e, correct, and comple vided/available in the	ation Number is not available, understood the information require ete. I/We also confirm that I/We have bank/securities records will be used be and reporting under CBDT Rules a	kindly provide nents of this Forr re read and und for CBDT report	e functional equivalent s n as per the CBDT notified Ru lerstood the Terms and Con	ditions below and hereby a	ccept the same.	I/We understand that my per	rsonal detail
	Signature of first hold	er		Signat	ure of second h	nolder*	



Extended KYC Annexure - Individuals (including sole-proprietors)

(Applicable for Resident and Non-Resident Customers)

CBDT Terms and Conditions

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)		Documentation required for Cure of FATCA/ CRS indicia		
		If customer does not agree to be Specified U.S. person/reportable person status		
1	U.S. place of birth	 Self-certification (in attached format) that the account holder is neither a citizen of United States of Amer nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth 		
2	Residence/mailing address in a country other than India	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below)		
3	Telephone number in a country other than India (and no telephone number in India provided)	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below)		
4	Standing instructions to transfer funds to an account maintained in a country other than India	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and Documentary evidence (refer list below)		

List of acceptable *documentary evidence* needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
- * Government or agency thereof or a municipality

For HDFC Bank/Securities use only :			
Sourcing Branch Name : Branch Code :			
Signature verified and form approved by : BDA / BM employee Code	:	_ Signature & Date :	



Central KYC Registry - KYC Additional Details Form Individual



Date: D D M M Y Y	Y Y Place:	AOF no.:			
LG Code	LC Code				
Please fill the information below as requested	First Account Holder	Second Account Holder			
Application Type	New Update	New Update			
*Account Type	Normal Simplified Small (For low risk customer)	Normal Simplified Small (For low risk customer)			
KYC Number (Mandatory for KYC update request)					
*Name (Same as ID proof) (write in block letter)	First Name Middle Name Last Name	First Name Middle Name Last Name			
Maiden Name (if any*)	First Name Middle Name Last Name	First Name Middle Name Last Name			
Mother Name*	First Name Middle Name Last Name	First Name Middle Name Last Name			
Gender*	M-Male F-Female T-Transgender	M-Male F-Female T-Transgender			
Marital Status*	Married Unmarried Others	Married Unmarried Others			
Citizenship*	IN_Indian Others	IN_Indian Others			
Residential status *	Resident Individual Non-Resident Indian Foreign National Person of Indian Origin	Resident Individual Non-Resident Indian Foreign National Person of Indian Origin			
L* * Mandatory Fields					
Applicant Declaration - Additional Information for CERSAI: # I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. # I hereby consent to receiving information from Central KYC Registry throu gh SMS/Email.					
Applicant Preference for receiving I	Documents as part of Account Opening Kit documents in Physical Form Electronic Form				
 Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading); (applicable for HDFC Securities Limited - Trading Account) Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories; (applicable for Demat account) Uniform Risk Disclosure Documents (for all segments/ exchanges); and (applicable for HDFC Securities Limited - Trading Account) Guidance Note detailing Do's and Don't for trading on stock exchanges. (applicable for HDFC Securities Limited - Trading Account) 					
Signature/Thumb Impression of First Applicant Signature/Thumb Impression of Second Applicant					
Institution Details					
For HDFC Bank/Securities use only:					
DP ID : Client ID : Institution Stamp					
Sourcing Branch Name :	Branch Code :				
Signature verified and form approved by : BDA / BM employee Code : Signature & Date :					

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

- 1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India(SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of Demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

- 11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- 12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions

Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the Demat account of the Beneficial Owner, for

any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996,
 - Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 - Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Tariff Sheet - Regular / Basic Services Demat Account (BSDA)

Sr.	r. Fee Head Time		Regular Demat account		Basic Services Demat account (BSDA)		
No.	Fee Head	Туре	Fees	Min	Fees	Min	
1	Account opening charges		Nil				
2	Debit Transactions Equity / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn.	Rs. 20/-	0.06% of the value of the txn.	Rs. 40/-	
		HDFC Securities		Rs. 25/-		Rs. 45/-	
		Manual		Rs. 40/-		Rs. 60/-	
	Debit Transactions Debt /	SPEED-e / Easiest	0.04% of	Rs. 20/-	0.06% of the value of the txn. (Max Rs 5000/-)	Rs. 40/-	
3	Mutual Funds (Market / Off Market)	HDFC Securities	the value of the txn.	Rs. 25/-		Rs. 45/-	
	Oli Market)	Manual	(Max Rs 5000/-)	Rs. 40/-		Rs. 60/-	
4	Credit Transactions				Nil		
5	Pledge Services(Creation /Invocation/Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the txn.	Rs. 40/-	0.04% of the txn	Rs. 60/-	
		If Pledge is marked to other than HDFC Bank	0.04% of the txn	Rs. 40/-	0.06% of the value of the txn	Rs. 60/-	
6	Reissuance of Delivery Instruction Booklet (DIB)		Rs.75/- per booklet		Rs.75/- per booklet		
7	Dematerialisation	Certificate + Dematerialisation Request	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	
8	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof) a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof) a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	
9	Courier/Postal Charges only	Inland Address	Rs.35/- per request		Rs.35/- per request		
9	(Adhoc Statement)	Foreign Address	Rs.500/- per request		Rs.500/- per request		
	Annual Maintenance Charges		Rs. 750 p.a.		*** Holding Value between 0 to 50,000 - Nil AMC		
10		AMC			*** Holding Value between 50,001 to 2 Lacs - Rs.100 p.a.		
					*** Holding Value more than 2 Lacs - Rs.750 p.a.		

Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges/service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Incase you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center>> Demat Tab >> GST Annexure)
- Customers who have only a Depository relationship will be required to pay an advance fee of Rs. 7,500/-, for each Demat account, which will be adjusted against the service charges. The customer also needs to replenish the balances immediately if and when it falls below Rs. 5,000/-
- *** The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL/CDSL The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- Incase the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- Incase if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL/CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non- recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered fore-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demattab by second week of every month with option to view/download.

I/we agree to abide by and to be bound by all the Terms and Conditions pertaining to Debit authorisation, E-mail statement and Fee & schedule.

HDFC BANK HDFC BANK HDFC Bank Ltd., Depository Services, Tex Center Pre. Co-op Soc Ltd., P Wing, 3rd Floor, Unit No. 301 to 303, Chandivali Farm Road, Off Saki Vihar Road, Chandivali,

We understand your world Andheri (E), Mumbai 400 072. Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai-13	
ACKNOWLEDGMENT NSDL (DP ID - IN 300126 / IN 301151 / IN 301549 / IN 300476 / IN 300601 / IN 301436), CDSL (DP ID - 13012400)	
Received the application from Mr/Ms as the sole/first holder alongwith	Dartisis and Channel 9 Cinnadana
and as the second and third holders respectively for opening of a depository account. Please quote the	Participant Stamp & Signature