

Part - (A) IDENTITY DETAILS

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

Prefix ☐ Mr. ☐ Ms. ☐ Others ☐ ☐ ☐ ☐ ☐

Existing Customer ☐ Yes ☐ No

Applicant name

Father / Spouse's name

Gender ☐ Male ☐ Female Marital status ☐ Single ☐ Married

Date of birth

PAN No.

(Mandatory for Demat/ISA)

Aadhaar No.

(Mandatory)

Status ☐ Resident Individual ☐ Non Resident ☐ Foreign National

Nationality

☐ Indian

☐ Other, please specify

Specify the proof of identity submitted

☐ PAN

☐ Any other (Please specify)

Valid till



Part - (B) ADDRESS AND CONTACT DETAILS

Below mentioned address is my ☐ Present Residence Address ☐ Office Address

Mandatory : Please attach Self Attested Proof of below address

Residence / Correspondence Address

Landmark (Mandatory)

City / Town / Village

PIN (Mandatory)

State

Yrs at current city

Residence

Country

☐ India

☐ Other

Valid till

Specify the proof of address submitted for Residence / Correspondence address

Contact details

Country code

STD / Area code

Number

Extn.

Tel. (Off.)

Tel. (Res.)

Fax

Mobile

E-mail ID (Mandatory)

☐ Please tick if permanent address is the same as above address

Permanent Address

Mandatory to be filled if different from above

Landmark (Mandatory)

City / Town / Village

PIN (Mandatory)

State

Country

☐ India

☐ Other

Tel. (Res.)

Mobile no.

Mandatory for Non Resident Applicant to specify overseas address

Part - (C) DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the applicant

FOR BANK USE ONLY

(Originals verified) and Self -Attested Document copies received

In-Person-Verification (IPV) details:

Name of the Person doing IPV

Signature of the Person doing IPV

Designation & Emp. code

Branch code

D D M M Y Y Y Y

Name of the organisation: HDFC Bank Ltd.

Name and Signature of Authorised Signatory

Seal / Stamp of
HDFC Bank



Place

Note:

- If customer provides the KRA registered letter the documents for KYC shall not be taken from the customer.
- The KYC form shall be filled for all the holder's of the account

TAX Reference number

[illegible]

	Are you tax resident of any other country other than India			Are you a Green Card Holder		In case of multiple Citizenship / Nationality (Please mention Country Names below)	
1st Holder	<input type="checkbox"/> Yes of US	<input type="checkbox"/> Yes of _____	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Country 1: _____	Country 2: _____
2nd Holder	<input type="checkbox"/> Yes of US	<input type="checkbox"/> Yes of _____	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Country 1: _____	Country 2: _____
3rd Holder	<input type="checkbox"/> Yes of US	<input type="checkbox"/> Yes of _____	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Country 1: _____	Country 2: _____
Guardian	<input type="checkbox"/> Yes of US	<input type="checkbox"/> Yes of _____	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Country 1: _____	Country 2: _____

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates
- I hereby confirm that I have read and understood the below-mentioned instructions. I also confirm that the information provided above is true and accurate.

Financial Details (Please Specify)		
FIRST HOLDER'S DETAILS *Gross annual income(₹) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Below Rs. 1 lac <input type="checkbox"/> Rs. 1 to 5 lac <input type="checkbox"/> Rs. 5 to 10 lac </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Rs. 10 to 25 lac <input type="checkbox"/> More than Rs. 25 lac </div> (Income range per annum) OR Net worth (₹) as on date Net worth should not be older than one year	SECOND HOLDER'S DETAILS *Gross annual income(₹) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Below Rs. 1 lac <input type="checkbox"/> Rs. 1 to 5 lac <input type="checkbox"/> Rs. 5 to 10 lac </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Rs. 10 to 25 lac <input type="checkbox"/> More than Rs. 25 lac </div> (Income range per annum) OR Net worth (₹) as on date Net worth should not be older than one year	THIRD HOLDER'S DETAILS *Gross annual income(₹) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Below Rs. 1 lac <input type="checkbox"/> Rs. 1 to 5 lac <input type="checkbox"/> Rs. 5 to 10 lac </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Rs. 10 to 25 lac <input type="checkbox"/> More than Rs. 25 lac </div> (Income range per annum) OR Net worth (₹) as on date Net worth should not be older than one year
Occupation details (please tick any one below and give brief details) _____ <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Public Sector <input type="checkbox"/> Private Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Student <input type="checkbox"/> Others (please specify) _____ </div>	Occupation details (please tick any one below and give brief details) _____ <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Public Sector <input type="checkbox"/> Private Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Student <input type="checkbox"/> Others (please specify) _____ </div>	Occupation details (please tick any one below and give brief details) _____ <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Public Sector <input type="checkbox"/> Private Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife </div> <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Student <input type="checkbox"/> Others (please specify) _____ </div>
Brief Details _____ Please tick, if applicable <input type="checkbox"/> Politically Exposed Person (PEP) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Related to a Politically Exposed Person (PEP) </div>	Brief Details _____ Please tick, if applicable <input type="checkbox"/> Politically Exposed Person (PEP) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Related to a Politically Exposed Person (PEP) </div>	Brief Details _____ Please tick, if applicable <input type="checkbox"/> Politically Exposed Person (PEP) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Related to a Politically Exposed Person (PEP) </div>

- I acknowledge and declare that I have received, read, understood and agree to the contents of :
 1. Rights and obligations of beneficial owner and Depository participant as prescribed by SEBI and Depositories;
 2. Demat Standard tariff sheet
 3. Financial Details
 4. FATCA Declaration

								(Signatures of all the holders are required)										
1st Holder's Name:								Place:									CX	
	Date:																	
2nd Holder's Name:								Place:									CX	
	Date:																	
3rd Holder's Name:								Place:									CX	
	Date:																	

Email Statement: • I/We agree to discontinue the Physical Statements if electronic mode is opted. • I/We understand that the email statements are for my/our convenience. HDFC Bank shall not be liable or responsible for any breach of secrecy because the statements are being sent to the email ID. • I/We shall verify the authenticity of the emails I/We receive. I/We shall not hold the Bank responsible for any statement received from frauds/impostors. I/We shall not hold the Bank liable if any problem arises with my/our computer network because of me/ us receiving statements from the Bank. • I/We are authorised by the other holders to receive the Statements to the email address. • I/We shall inform the Bank in writing if there is any change in the email address. • The Bank shall not be responsible if I/we do not receive statement due to incorrect email address and technical reasons. • I/We confirm to have read and understood the Terms & Conditions (a copy of which I am in possession of) pertaining to my account. • I/We understand and agree that the email statements will only be sent to the First holder in the account. • I/we am/are aware that I/we will not receive the transaction statements in paper form. • I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. • I/we am/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

BANK USE

[illegible]

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI - Repatriable	<input type="checkbox"/> NRI - Non - Repatriable	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Promoter	<input type="checkbox"/> Margin Account
<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Others (pls specify)				

[illegible]

PAN Number

[illegible][illegible][illegible][illegible]

(Mandatory for the first Demat account holder for receiving credit of Dividend / Interest) Please give NRE Bank details only in case Demat account is to be opened as NRE & NRO.

Bank A/C type ☐ Savings A/C ☐ Current A/C ☐ Other (pls specify) _____

[illegible]

MICR Code		Please attach photo copy of Blank / Cancelled cheque to verify the 9 digit MICR code (for Non HDFC Bank A/C's only)
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[illegible][illegible]

City / Town / Village	State
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[illegible]

I/We authorise Bank to debit recover charges pertaining to opening & maintenance of Demat Account, transaction charges, or any other charges related to Demat account from the said
HDFC Bank Savings / Current account with Branch

Standing Instruction (SI) ☒ Yes, To receive credit automatically into my/our a/c (Default is, Yes) ☐ No **NOTE: SI for a Non PIS NRE Demat A/c will be by default marked as "No."**

SMS alert facility Mandatory if you are giving Power of Attorney (POA). Ensure that mobile number is provided in the KYC application form.

First holder	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Second holder	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Third holder	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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Delivery Instruction To be filled by persons seeking to open a Depository account and has opted for online trading facility or who have given Power of Attorney to operate the Depository account to a stock broker/Participant / Portfolio Manager and do not intend to open a Basic Services Demat Account.

Yes, I / we wish to receive DIS Booklet at the time of account opening ☐ No, however, the DIS booklet should be issued to me/ us immediately on my/ our request at any later date ☐

Mode of receiving ☒ Physical Form ☐ Electronic Form [Read Note 4]

Statement of Account

(Tick any one)

☐ Through email [Ensure that email ID is provided in Part I of AOF i.e. KYC Application Form]

DP on Net facility ☐ **NetBanking for Demat a/c** ☐ **All T&C of NetBanking will be applicable.**

Rate ID				Bk. Sys.				SK CATEGORY	1st holder		High		Medium		Low
Group ID				Br. Code					2nd holder		High		Medium		Low
Client ID				Prod. Code					3rd holder		High		Medium		Low

☐ I/We wish to make a nomination (As per details given below) ☐ I/We do not wish to make a nomination. (Strike off the nomination details below)

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository by me / us in the said beneficiary owner account shall vest in the event of my / our death.

Nomination Details

Nominee name (Mr./Mrs.)									
Relationship with Applicant (If any)									
Nominee Address									
Landmark									
City / Town / Village					PIN Code		Mandatory		
State					Yrs at current city		Residence		
Country		India		Other					

Guardian Details (should be filled only if nominee is minor)

Date of Birth (In case of minor nominee)									
Name of the Guardian (Mr./Ms.) in case minor nominee									
Guardian Address									
City/Town/Village					State				
PIN Code (mandatory)		Country							
Relationship of Guardian with Nominee									

Contact details of Nominee / Guardian

Country code	STD / Area code	Number	Extn.
Tel. (Off.)	-	-	-
Tel. (Res.)	-	-	-
Fax	-	-	Mobile
e - mail ID			

Nominee Identification Details (please tick any one from below and provide details of the same)

<input type="checkbox"/> Photograph and Signature	<input type="checkbox"/> Copy of Any POI document	
<input type="checkbox"/> PAN		
<input type="checkbox"/> Aadhar No.		
<input type="checkbox"/> Savings Bank A/C No.		
<input type="checkbox"/> Demat account details DP ID	Client ID	

Guardian Identification Details (please tick any one from below and provide details of the same)

<input type="checkbox"/> Photograph and Signature	<input type="checkbox"/> Copy of Any POI document	
<input type="checkbox"/> PAN		
<input type="checkbox"/> Aadhar No.		
<input type="checkbox"/> Savings Bank A/C No.		
<input type="checkbox"/> Demat account details DP ID	Client ID	

Witness for Nomination	Name & Address of the 1st Witness (Mr. / Ms):		Name & Address of the 2nd Witness (Mr. / Ms):	
	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div> <div>Signature of Witness 1</div>		<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div> <div>Signature of Witness 2</div>	

Declaration: The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant."

Notes : 1. All communication shall be sent at the address of the Sole/First holder only. 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. 3. Instructions related to nomination, are as below: I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form. II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner. III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time. IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities. V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir. VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form. VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee. 4. Instructions related to mode of receiving Statement of Account in electronic form, are as below: I. All the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/ email account should be taken by the client. Such statement may be accessed by other entities in case the confidentiality/secretcy of the login name and password is compromised II. Participant or Client can terminate such arrangement by giving 10 days prior notice. III. In case opted for statement through email, the Client shall immediately inform the Participant about change in email address, if any

Declaration by Demat Account Holder/s

Date Application No. DP ID Client ID UCIC ID LG Code LC Code

Dear Sir/Madam,

The appended Tariff will be applicable for the customer opting for the Regular / Basic Services Demat Account

Annexure - Regular / Basic Services Demat Account (BSDA)

			<input type="checkbox"/> I wish to open a Regular Demat Account	<input type="checkbox"/> I wish to open Basic Services Demat Account (BSDA)		
Sr. No.	Fee Head	Type	Regular Demat account		Basic Services Demat account (BSDA)	
			Fees	Min	Fees	Min
1	Account opening charges		Nil			
2	Debit Transactions Equity / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn	Rs. 20/-	0.06% of the value of the txn.	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
3	Debit Transactions Debt / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn. (Max Rs 5000/-)	Rs. 20/-	0.06% of the value of the txn. (Max Rs 5000/-)	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
4	Credit Transactions		Nil			
5	Pledge Services(Creation / Invocation / Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the tx	Rs. 40/-	0.04% of the value of the txn	Rs. 60/-
		If Pledge is marked to other than HDFC Bank	0.04% of the value of the txn	Rs. 40/-	0.06% of the value of the txn	Rs. 60/-
6	Reissuance of Delivery Instruction Booklet (DIB)		Rs.75/- per booklet		Rs.75/- per booklet	
7	Dematerialisation	Certificate + Dematerialisation Request	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-
8	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)
9	Courier/Postal Charges only (Adhoc Statement)	Inland Address	Rs.35/- per request		Rs.35/- per request	
		Foreign Address	Rs.500/- per request		Rs.500/- per request	
10	Annual Maintenance Charges	AMC	Rs. 750 p.a.	*** Holding Value between 0 to 50,000 - Nil AMC		
				*** Holding Value between 50,001 to 2 Lacs - Rs.100 p.a.		
				*** Holding Value more than 2 Lacs - Rs.750 p.a.		

Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Incase you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center >> Demat Tab >> GST Annexure)
- Customers who have only a Depository relationship will be required to pay an advance fee of Rs. 7,500/- , for each Demat account, which will be adjusted against the service charges. The customer also needs to replenish the balances immediately if and when it falls below Rs. 5,000/-

- *** The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL / CDSL. The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- In case the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- In case if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL / CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or in case if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demat tab by second week of every month with option to view /download.

SEPARATE MOBILE NUMBER & EMAIL ID DECLARATION

I hereby declare that the aforesaid • mobile number or • E-mail ID belongs to Me or My family (spouse, dependent children and dependent parents).

	Name of the Customer	Mobile Number	Email Id of the Customer
1st Account holder			
2nd Account holder			
3rd Account holder			

Extended KYC Annexure - Individuals (including sole-proprietors)

(Applicable for Resident and Non-Resident Customers)

(Please consult your professional tax advisor for further guidance on your tax residency, if required)

Date: _____

Place: _____

AOF Number : _____

Please fill the information below as requested	First Account Holder	Second Account Holder
Name of the Account Holder		
Customer ID		
City of Birth		
Country of Birth		
Address for Tax purpose	<input type="checkbox"/> same as mailing address <input type="checkbox"/> same as permanent address	<input type="checkbox"/> same as mailing address <input type="checkbox"/> same as permanent address
Address Type for the above	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Nationality (if national of more than one country, please mention all the countries separated by a comma)		
Father's Name		
Spouse's Name		
Identification Type - Documents submitted as proof of identity of the individual	<input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election / Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card / letter <input type="checkbox"/> NREGA Card <input type="checkbox"/> Govt ID Card <input type="checkbox"/> Others(pls specify) _____	<input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election / Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card / letter <input type="checkbox"/> NREGA Card <input type="checkbox"/> Govt ID Card <input type="checkbox"/> Others(pls specify) _____
Identification Number - for the identification type mentioned above		

Are you a tax resident of any country other than India?

• First account holder : Yes ☐ No ☐

• Second account holder : Yes ☐ No ☐

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below:

Account holder details	Name of Customer	Country/(ies) of Tax residency #	Tax Identification Number (TIN)%	Identification Type (TIN or Other%, please specify)
First				
Second				

To also include USA, where the individual is a citizen/ green card holder of USA

% In case Tax Identification Number is not available, kindly provide functional equivalent⁵

Certification: I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank/securities records will be used for CBDT reporting. Further, I/We hereby authorize HDFC Bank Limited to furnish the above information to HDFC Securities Limited for the purpose of compliance and reporting under CBDT Rules as applicable.

Signature of first holder

Signature of second holder*

* Second holder not applicable in case of trading A/C

CBDT Terms and Conditions

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)		Documentation required for Cure of FATCA/ CRS indicia
		<i>If customer does not agree to be Specified U.S. person/ reportable person status</i>
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4	Standing instructions to transfer funds to an account maintained in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality

For HDFC Bank/Securities use only :

Sourcing Branch Name : _____ Branch Code : ☐ ☐ ☐ ☐

Signature verified and form approved by : BDA / BM employee Code : _____ Signature & Date : _____

Date:

Place: _____

AOF no.: _____

 LG Code

 LC Code

Please fill the information below as requested	First Account Holder	Second Account Holder
Application Type	<input type="checkbox"/> New <input type="checkbox"/> Update	<input type="checkbox"/> New <input type="checkbox"/> Update
*Account Type	<input checked="" type="checkbox"/> Normal <input type="checkbox"/> Simplified (For low risk customer) <input type="checkbox"/> Small	<input checked="" type="checkbox"/> Normal <input type="checkbox"/> Simplified (For low risk customer) <input type="checkbox"/> Small
KYC Number (Mandatory for KYC update request)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
*Name (Same as ID proof) (write in block letter)	First Name _____ Middle Name _____ Last Name _____	First Name _____ Middle Name _____ Last Name _____
Maiden Name (if any*)	First Name _____ Middle Name _____ Last Name _____	First Name _____ Middle Name _____ Last Name _____
Mother Name*	First Name _____ Middle Name _____ Last Name _____	First Name _____ Middle Name _____ Last Name _____
Gender*	<input type="checkbox"/> M-Male <input type="checkbox"/> F-Female <input type="checkbox"/> T-Transgender	<input type="checkbox"/> M-Male <input type="checkbox"/> F-Female <input type="checkbox"/> T-Transgender
Marital Status*	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others
Citizenship*	<input type="checkbox"/> IN_Indian <input type="checkbox"/> Others_____	<input type="checkbox"/> IN_Indian <input type="checkbox"/> Others_____
Residential status *	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non-Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non-Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin

* Mandatory Fields

Applicant Declaration - Additional Information for CERSAI :

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email.

Applicant Preference for receiving Documents as part of Account Opening Kit

 # I / We wish to receive the following documents in ☐ Physical Form ☐ Electronic Form

1. Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading); (applicable for HDFC Securities Limited - Trading Account)
2. Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories; (applicable for Demat account)
3. Uniform Risk Disclosure Documents (for all segments/ exchanges); and (applicable for HDFC Securities Limited - Trading Account)
4. Guidance Note detailing Do's and Don't for trading on stock exchanges. (applicable for HDFC Securities Limited - Trading Account)

Signature/Thumb Impression of First Applicant

Signature/Thumb Impression of Second Applicant

Institution Details

For HDFC Bank/Securities use only :

 DP ID :

 Client ID :

Sourcing Branch Name : _____

 Branch Code :

Signature verified and form approved by : BDA / BM employee Code : _____ Signature & Date : _____

Institution Stamp

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of Demat accounts"

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the Demat account of the Beneficial Owner, for

any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Tariff Sheet - Regular / Basic Services Demat Account (BSDA)

Sr. No.	Fee Head	Type	Regular Demat account		Basic Services Demat account (BSDA)	
			Fees	Min	Fees	Min
1	Account opening charges		Nil			
2	Debit Transactions Equity / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn.	Rs. 20/-	0.06% of the value of the txn.	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
3	Debit Transactions Debt / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn. (Max Rs 5000/-)	Rs. 20/-	0.06% of the value of the txn. (Max Rs 5000/-)	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
4	Credit Transactions		Nil			
5	Pledge Services(Creation / Invocation / Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the txn.	Rs. 40/-	0.04% of the value of the txn	Rs. 60/-
		If Pledge is marked to other than HDFC Bank	0.04% of the value of the txn	Rs. 40/-	0.06% of the value of the txn	Rs. 60/-
6	Reissuance of Delivery Instruction Booklet (DIB)		Rs.75/- per booklet		Rs.75/- per booklet	
7	Dematerialisation	Certificate + Dematerialisation Request	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-
8	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max)
9	Courier/Postal Charges only (Adhoc Statement)	Inland Address	Rs.35/- per request		Rs.35/- per request	
		Foreign Address	Rs.500/- per request		Rs.500/- per request	
10	Annual Maintenance Charges	AMC	Rs. 750 p.a.		*** Holding Value between 0 to 50,000 - Nil AMC	
					*** Holding Value between 50,001 to 2 Lacs - Rs.100 p.a.	
					*** Holding Value more than 2 Lacs - Rs.750 p.a.	

Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- In case you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center >> Demat Tab >> GST Annexure)
- Customers who have only a Depository relationship will be required to pay an advance fee of Rs. 7,500/-, for each Demat account, which will be adjusted against the service charges. The customer also needs to replenish the balances immediately if and when it falls below Rs. 5,000/-
- *** The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL / CDSL. The AMC will be calculated at the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of exceeding such limit.
- In case the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- In case if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL / CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or in case if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demat tab by second week of every month with option to view/download.

I/we agree to abide by and to be bound by all the Terms and Conditions pertaining to Debit authorisation, E-mail statement and Fee & schedule.



HDFC Bank Ltd., Depository Services, Tex Center Pre. Co-op Soc Ltd., P Wing, 3rd Floor, Unit No. 301 to 303, Chandivali Farm Road, Off Saki Vihar Road, Chandivali, Andheri (E), Mumbai 400 072. **Registered Office:** HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai-13

ACKNOWLEDGMENT

NSDL (DP ID - IN 300126 / IN 301151 / IN 301549 / IN 300476 / IN 300601 / IN 301436), CDSL (DP ID - 13012400)

Received the application from Mr/Ms _____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the

DP ID & Client ID allotted to you in all your future correspondence.

Participant Stamp & Signature