

Data Structures and Algorithms

Prof. D.W. Harder
Prof. Ladan Tahvildari

June 13th 2019

ECE 250

all practice code/algorithms
can be found on OS
"v/Documents/Revision material ECE/
ECE250/ECE250.cpp" and
subfolders to "ECE 250!"

Objectives:

Study:

- (i) Effective and Efficient data structures and algorithms (lang. independent settings).
- (ii) Improve ^{my} ~~data~~ conception of ^{the levels of} abstraction
- (iii) Better program design experience
- (iv) Emphasize on mathematical aspects of program efficiency.

Topic (approx 35 lectures by Dr. Tahvildari)

est. tot: 35 hours
EST. TIME

started @
10:10 PM

1) ~~Asymptotic and Algorithm Analysis~~ ✓

~~5 hours~~ doc: 24/June 19

2) ~~Elementary Data Structures~~ ✓

~~2 hours~~ 24/June/2019

3) ~~Hashing~~ ✓

~~3 hours~~ 24/June/2019

IMP % (4) Search trees ~~Balanced BSTs, B-Trees~~

(24) 24/June/2019
7 hours

5) Heaps, Priority Queues

✓ 3:57 25/June
2 hours

IMP (6) Sorting Algorithms. (memorize the algorithms/practice)
(memorize their optimisation levels)

3 hours.

8) Algorithmic Paradigms (Que?)

3 hours

IMP % (5) Graphs

7 hours

a) NP-completeness

3 hours.

June 13,
2019

ECE 250:

Asymptotic Notation and Algorithm analysis:

* Topic start time: 10:10 pm on June 13, 2019.
est time ^{to} completion for topic: 5 hours


def: Analysis:
• the theoretical study of computer-program performance and resource usage.

Studying algorithms and performance allows us to make informed decisions about:

- i • feasibility vs unviable solutions in terms of program designs
- ii • Teaches the usefulness of scalability and how to write code towards good scalability.
- iii • Algorithmic math helps us communicate about program behaviour in a standard manner.

INSERTION SORT :

$O(n^2)$

pseudocode : 

eg: Input : 8 2 4 9 3 6
Output : 2 3 4 6 8 9

line 1: def insertion-sort (Array, n) : \rightarrow probably 1
 line 2: for j iterates from 1 to n : \rightarrow (n-1) \rightarrow technically n-1 & not n.
 line 3: key = Array [j]
 line 4: i = j - 1
 line 5: [while i >= 0 and Array [i] > key :
 line 6: Array [i+1] = Array [i]
 line 7: i = i - 1
 line 8: Array [i+1] = key
 line 9: end

edited notes to make these array read start at 0 & not 1.

X ----- X

 0 1 2 3 4 5 (n)

Array : [8 | 2 | 4 | 9 | 3 | 6] \rightarrow

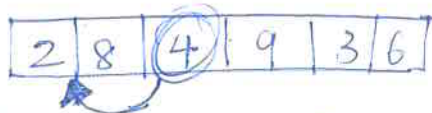
j = 1 \Rightarrow key = Array [j] \Rightarrow key = 2
i = j - 1 = 0

line 7: while i >= 0 \checkmark
and Array [i] > key \checkmark
 (8 > 2) \checkmark

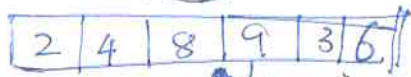
line 8: Array [i+1] = Array [i]
 line 9: i = i - 1 // ends with 0 \checkmark
 line 10: Array [i+1] = key (since key = 2)


the above described lines show the process of 1 pass of (j) from (1) to (n-1) in the initial state of Array ~~and~~ and when $j = 1$

end of Pass 1:



end of pass 2:

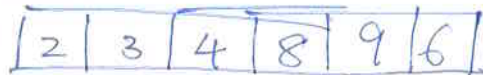


ie. 9 stays into its own place as it is ≥ 9

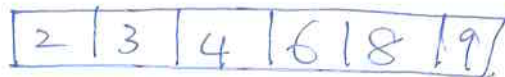
pass 3:



pass 4:



pass 5:



→ Array is now sorted!!

Running time:

→ depends on the input array (due sucks????)

→ expect shorter size array to sort quicker based on the input array size

→ we need an upper bound on running time to ensure good/better performance.

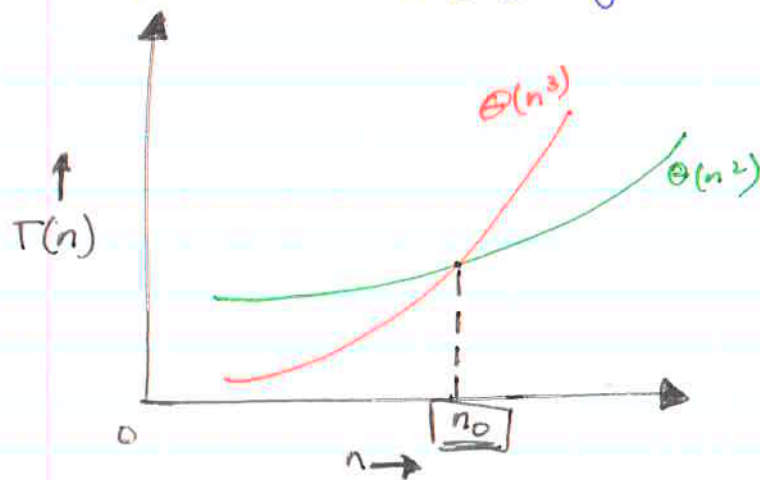
worst-case: $T(n)$ → maximum time of algorithm on an input of size n .

average-case: • can only be assumed sometimes. Need evidence from statistical evidence of inputs.

best-case: never use this / never expect this.
It is holistic.

Asymptotic Analysis :

When n gets large enough, $\Theta(n^2)$ algorithm will always beat an $\Theta(n^3)$ algorithm.



if $n > n_0$ then $T(n)$ for an $\Theta(n^2)$ algorithm $<$ $T(n)$ for an $\Theta(n^3)$ alg.

~~Review~~ Floor / Ceiling / Arithmetic Series & Geometric Series

Time at end of insertion sort = 11:10pm on June 13, 2019.
1 hour into topic

MERGE SORT :

$\Theta(n \log(n))$

i.e. better than
insertion sort
at values for n

- ~~too~~ functions at play for simplicity's sake

def of merge-sort
fn 1

def merge-sort (Array, n) :

$\Theta(1)$ \rightarrow
~~~~~

if  $n == 1$  :  
return;

else :

recursively sort Array  $[1 \dots (n/2)]$  and  
Array  $[(n/2 + 1) \dots n]$

merge (the two sorted lists  
from above)

call to fn 2

merge  
two sorted  
arrays

~~~~~  
x ~~~~~ x

$\Theta(n)$

$T(n)$ for the merge fn $\Rightarrow \Theta(n)$ when
 $n = \text{total num. of elements}$
i.e. $n = \text{sum of num. of elements of both sorted arrays}$.

$\Rightarrow T(n) \Rightarrow$ linear for merging two sorted arrays.

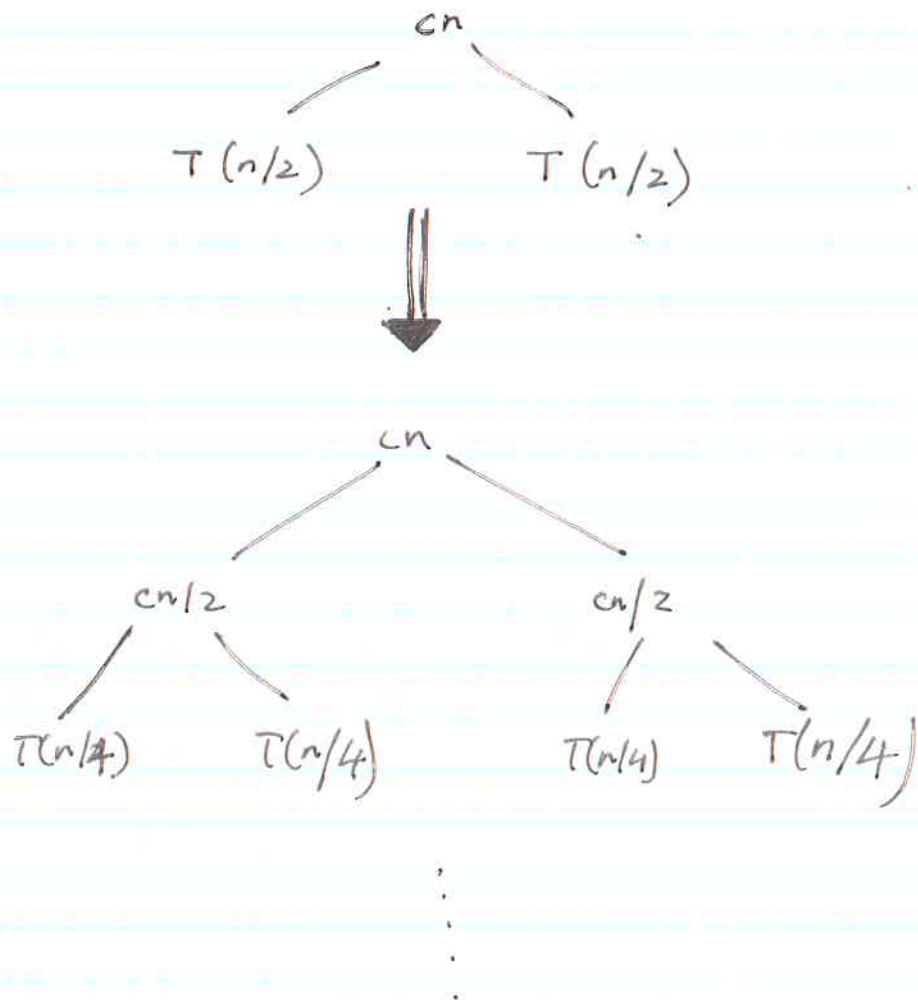
~~~~~  
x ~~~~~ x

Jun 15

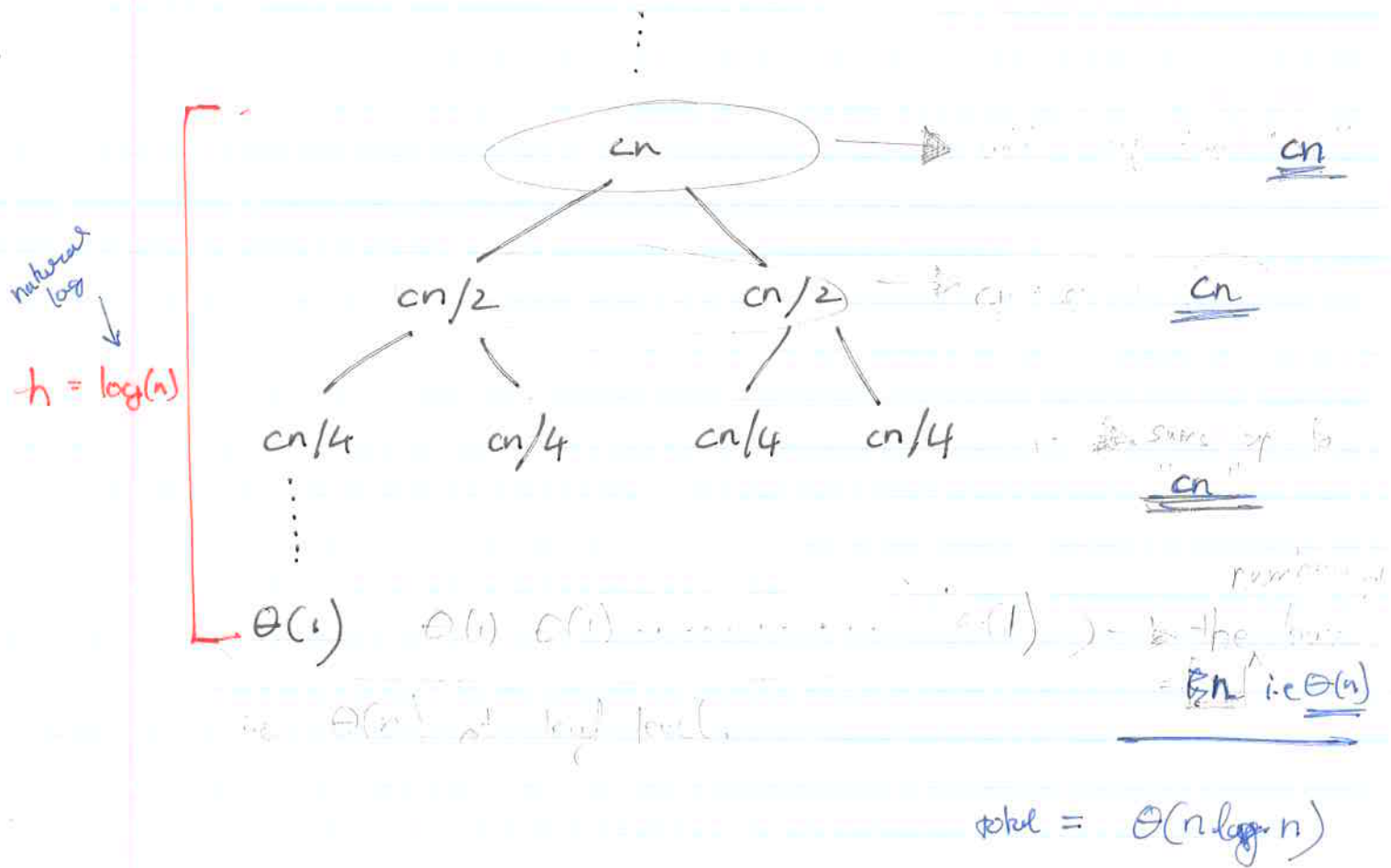
$\Rightarrow$  total expected time taken for merge-sort

$$\Rightarrow T(n) = \begin{cases} \Theta(1) & \text{if } n = 1; \\ 2T(n/2) + \Theta(n) & \text{if } n > 1; \end{cases}$$

Solve  $T(n) = 2T(n/2) + cn$  where  $c > 0$  and is constant:







If we look at the run times of merge sort against insertion sort, we can see that merge sort's  $\Theta(n \log n)$  runtime grows more slowly than Insertion sort's  $\Theta(n^2)$

- As a result, asymptotically, merge sort beats insertion sort in the worst case

$x$   $x$

→ i.e. function behaviour in the limit.

## most important asymptotic notations

- $O$  - big O notation  $\rightarrow$  upper bound  
 $\rightarrow \approx \leq$
  - $\Omega$  - big omega notation  $\rightarrow$  lower bound  
 $\rightarrow \approx \geq$
  - $\Theta$  - theta notation  $\rightarrow$  tight bound
- 

## Recurrences

def: A recurrence is an equation / inequality that describes a function in terms of its value on smaller inputs.

3 methods to solve recurrences:

- Recursion Tree
- Repeated Substitution
- Master method

... lecture 4 cont'd.

Solving ~~recurrences~~ <sup>recurrences</sup>:

3 main methods: ~~3~~ ~~recursion~~

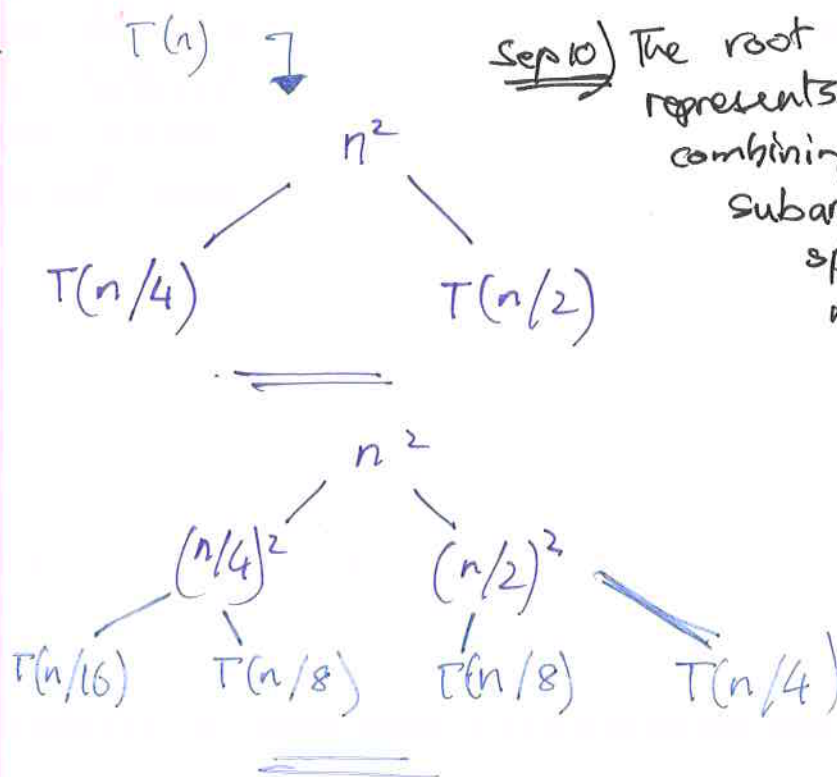
- Recursion-Tree method
- Repeated Substitution
- Master Method.

only focusing on two methods for this course.

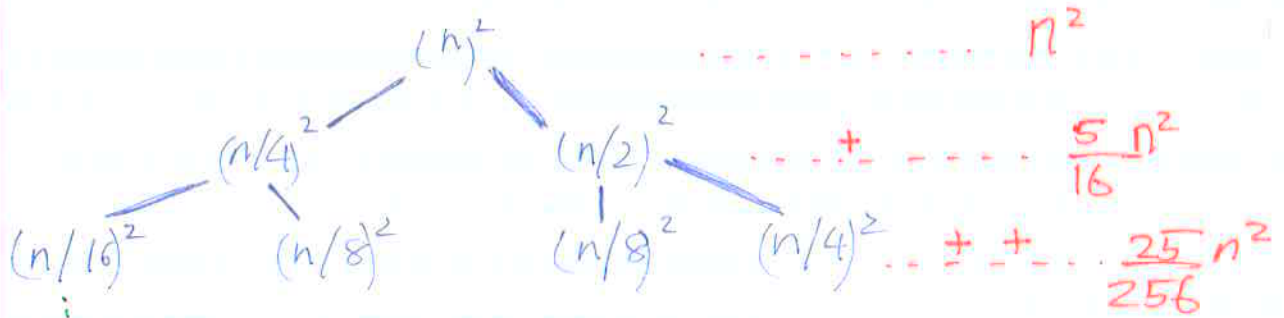
## Recursion Tree Method

eg Solve  $T(n) = T(n/4) + T(n/2) + n^2$

ans:



Step 10) The root of a tree always represents the cost of combining the two subarrays you originally split the input array into.



$\Theta(1)$

total this

up:

$$n^2 \left( 1 + \frac{5}{16} + \left( \frac{5}{16} \right)^2 + \dots + n \left( \frac{5}{16} \right)^3 + \dots \right)$$

this is a geometric series

$$= \Theta(n^2)$$

→ conclusion

tight bound on  $n^2$  as rest are constant for a given array size  $\sum$  may be disregarded

memory refresh:

~~$$\begin{aligned}
 &1 + x + x^2 + \dots \\
 &a = 1 \\
 &n = x \\
 &n \geq \frac{a_{i+1}}{a_i} \\
 &\text{if } a_0 = 1 \\
 &\text{then} \\
 &\sum_{i=0}^n a_i = \frac{1 - a^{n+1}}{1 - a} \\
 &\text{if}
 \end{aligned}$$~~

No that makes NO sense  
rewrite this correctly.



# DW Harder's Notes:

$O(n)$  loops vs  $\Theta(n)$  loops:

## Algorithm 1:

```
int find_max (int *array, int n) {  
    int max = array[0]  
  
    for (int i = 1; i < n; ++i) {  
        if (array[i] > max) {  
            max = array[i];  
        }  
    }  
    return max;  
}
```

tries to find  
the max value  
in the array.  
i.e. Every element  
in the array has  
to be checked, i.e.  
all  $n$  elements.

i.e. runtime  
=  $\Theta(n)$   
tight-bound!!

## Algorithm 2:

```
bool linear_search (int val, int *array, int n) {  
  
    for (int i = 0; i < n; ++i) {  
        if (array[i] == value) {  
            return true;  
        }  
    }  
    return false;  
}
```

tries to just find an  
element in the array, i.e. can be found  
at beginning or ending of given array  
or anywhere in-between.

i.e. runtime  $\Rightarrow O(n)$   
upper-bound!!

## Asymptotic analysis practice questions

2.3) a)  $\circ n^2 + 2n + 5$   
 $\circ n^2$

i) if  $n = 1000$

then  $\text{relative error} = \frac{\text{absolute error}}{\text{actual value}}$

$$\begin{aligned}\Rightarrow \text{rel. error} &= \frac{n^2 + 2n + 5 - n^2}{n^2 + 2n + 5} \\ &= \frac{2005}{1000000 + 2005} \\ &= \frac{2005}{1002005}\end{aligned}$$

2.3) b)  $n + \ln(n)$

$n + 5$

$\bullet \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty \Rightarrow \text{~~that~~} f(n) = O(g(n))$

$\bullet 0 < \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty \Rightarrow \text{~~that~~} f(n) = \Theta(g(n))$

$\bullet \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \Rightarrow f(n) = o(g(n))$

$\bullet \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \Rightarrow \text{~~that~~} f(n) = \omega(g(n))$

$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0 \Rightarrow f(n) = \Omega(g(n))$

$$2.3) b) \textcircled{1} \quad n+5 = O(n+\ln(n))$$

-?

$$\textcircled{2} \quad e^n = \omega(n^2+2)$$

$$\textcircled{3} \quad 2n^2 + 3n + 1 \quad 3n^{\log(3)} + n$$

$$\hookrightarrow \lim_{n \rightarrow \infty} \frac{2n^2 + 3n + 1}{3n^{\log(3)} + n} \quad \neq \frac{n^2}{n^2}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2 + 3/n + 1/n^2}{3n^{\log(3)-2} + 1/n} \Rightarrow \frac{2}{0} = \infty$$

$$\Rightarrow \boxed{(2n^2 + 3n + 1) = \omega(3n^{\log(3)} + n)}$$

$$\textcircled{4} \quad (2n+4) \lesssim (5n \cdot \ln(n) + 3n + 2)$$

$$\hookrightarrow \lim_{n \rightarrow \infty} \frac{2n+4}{5n \ln(n) + 3n + 2} = \lim_{n \rightarrow \infty} \frac{2 + 4/n}{5 \ln(n) + 3 + 2/n}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2}{5 \ln(n) + 3} = 0 \text{ as } n \rightarrow \infty$$

$$\Rightarrow \boxed{(2n+4) = o(5n \ln(n) + 3n + 2)}$$

$$\textcircled{5} \quad n \ln(n) \lesssim n \ln(n^5)$$

$$\lim_{n \rightarrow \infty} \frac{n \ln(n)}{n \cdot 5 \ln(n)} = \frac{1}{5} \Rightarrow \boxed{n \ln(n) = \frac{1}{5} n \ln(n^5)}$$

Jun 18

Diff's b/w the three

$\lg \neq \log \neq \ln$

$\ln(n)$



base  $e$

$\lg(n)$



base ~~10~~ 2

$\Rightarrow \log_2(n)$

$\log(n)$



base 10

$\log(n)$   
 $\Rightarrow \log_{10}(n)$

—————

$n$        $n$

—————

Repeated Substitution :

- substitute a few times till a pattern emerges
- write a formula in terms of  $n$  and the number of substitutions (i)

(as shown in assignment 1.3)



23/5/20

notes  
cont'd

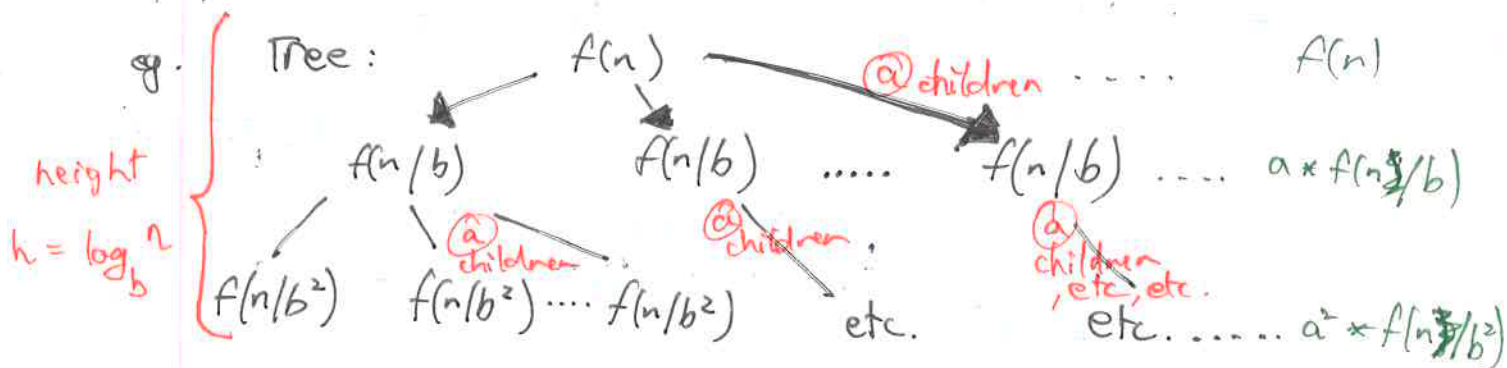
## Master method for solving recurrences:

For recurrences of the form:

$$T(n) = aT(n/b) + f(n)$$

where  $f$  is asymptotically positive and  $a$  &  $b$  are constant such that  $a \geq 1$  &  $b > 1$

If each ~~recursion~~ <sup>recursion</sup> in a function has the same number of children calls



$\Rightarrow$  number of leaves =  $a^h$

$= a^{\log_b n} = n^{\log_b a}$

no. of leaves =  $n^{\log_b a}$

total:  $n^{\log_b a} T(1)$

i.e. tot. no. of leaves  
\* run time at base case for leaves.

3 cases of usage for the master method:

- Running time dominated by cost of running at the leaves
- Running time evenly distributed throughout the tree
- Running time dominated by the cost of running at the root.

→ Using the master method, we simply characterise the dominant term to solve the recurrence.

→ In each of the above 3 cases, compare  $f(n)$  <sup>with</sup>  ~~$O(n \log n)$~~   
 $O(n^{\log_b a})$

a → no of children for each recursion

b → the term ~~by~~ which  $n$  is divided by on each recursive call.

eg. in tutorial 1 Q2)  $T(n)$

$$\begin{array}{c} T(n) \\ \swarrow \quad \downarrow \quad \searrow \\ T\left(\frac{2n}{3}\right) \quad T\left(\frac{2n}{3}\right) \quad T\left(\frac{2n}{3}\right) \end{array}$$

⇒  $b = 3/2$  since  $n/b = n/(3/2) = \underline{\underline{\frac{2n}{3}}}$

## Strat

- 1) Determine  $a, b \neq f(n)$  from a given recurrence
- 2) Determine  $n^{\log_b a}$
- 3) Compare  $f(n)$  and  $n^{\log_b a}$  asymptotically
- 4) Determine the case of the mentioned 3 master theorem cases and apply as expected.

                      
x                      x

## Lecture 6:

Describing the 3 cases for using the master method:

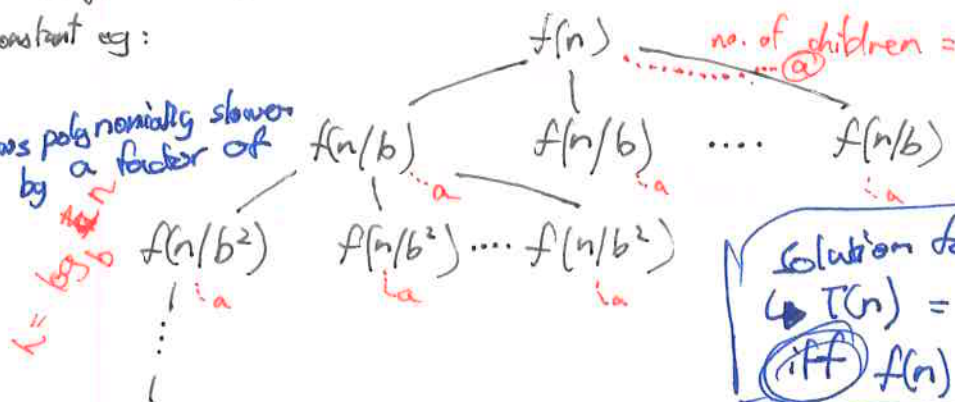
- Case 1: The weight increases geometrically from the root to the leaves. The leaves hold a constant fraction of the total weight. eg: weight of leaf =  $\Theta(3)$  etc.

mp

we have:  $f(n) = O(n^{\log_b a - \epsilon})$

some constant eg:  
 $\epsilon > 0$ .

e  $f(n)$  grows polynomially slower  
than  $n^{\log_b a}$  by a factor of  
 $\epsilon$



Solution for case 1 problems?

$$T(n) = \Theta(n^{\log_b a})$$

iff  $f(n) = O(n^{\log_b a - \epsilon})$ :  $\epsilon$  being a constant  $> 0$ .

each of the  $(a^{\log_b n})$  leaves carries  $T(1)$  of the run time weight.

tot. no. of leaves =  $a^h$   
=  $a^{\log_b n}$

i.e.  $\log_b a$  (irrelevant to example)

i.e. Case 1

- Case 2: The weight is approximately the same on each of the  $\log_b n$  levels.

Solution to case 2 ~~for~~ problems:

$$T(n) = \Theta(n^{\log_b a} \lg n)$$

eg: to describe the situation more clearly to identify case 2's:

$$\cancel{T(n)} = \cancel{T(n)}$$

$$T(n) = a \cdot T(n/b) + f(n)$$

$$\text{if } f(n) = (\text{for example}) \underline{n^2}$$

$$\text{and } f(n) = O(n^2)$$

i.e. they ~~are~~ grow polynomially at the same rate.

$\Rightarrow$  case 2 since  $f(n) = O(n^2)$   
 (assuming  $n^{\log_b a}$  calculates as  $\underline{n^2}$ ).

$\Rightarrow$  soln:

$$T(n) = \Theta(n^{\log_b a} \lg n)$$



- Case 3 : When the weight decreases geometrically from the root to the leaves.  
 If The root holds a constant fraction of the total weight.

Solution :  $T(n) = \Theta(f(n))$

When:

$f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$   
 then,  $f(n)$  grows polynomially faster than  $n^{\log_b a}$   
 by a factor of  $n^\epsilon$ ;

AND if  $f(n)$  satisfied the regularity condition  
 i.e. regularity condition

$$a f(n/b) \leq c f(n) \quad \text{for some constant } c < 1.$$

note: we're checking to ensure  
 the weight of all children from root node  $\rightarrow$  doesn't  
 decrease in weight compared to some  
 negative/zero constant times  $f(n)$ .

i.e.

ensuring  $f(n)$  grows polynomially faster than  $n^{\log_b a}$ .

then  $T(n) = \Theta(f(n))$  as ~~stated~~ mentioned above

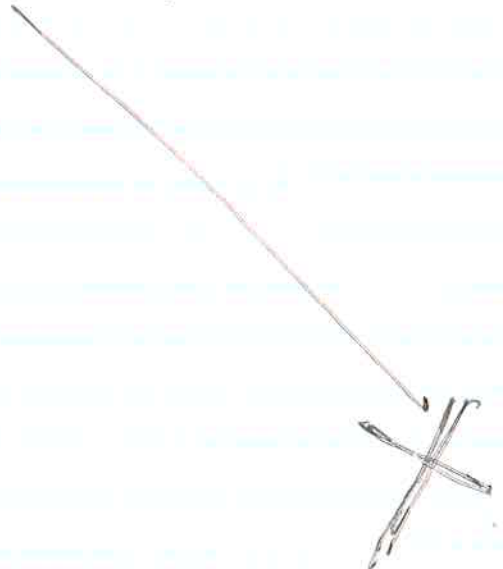


END OF

ALGORITHM

ASYMPTOTIC

ANALYSIS



23/June/2019

## Elementary Data Structures:

~~2~~

def • Contiguous Storage: <sup>abstract</sup> ~~an~~ data type designed such that ~~that~~ memory locations right next to a memory address in question will be of the same data type.

→ (Paraphrased a bit too much there lol)

def • Node-based Storage: uses <sup>"at least"</sup> two data points to keep track of information being stored.  
(i) a reference to the object itself.  
(ii) a reference to the next item.



more info Dr. LT's notes  
Lecture 7 Pg 9

Practice

- ~~Practice~~ to implementing dictionaries using arrays, linked lists, doubly linked lists while ensuring the time complexity constraints are met.



Look through the course's definition of:

- Dictionaries
- Stacks
- Queues
- Hash maps.

MP  
Deadline:  
26/June/2019

23/June/2019

Lecture 8:

Direct Access Table: a rudimentary version of a hashmap and is manually implemented.

If the set of keys  $K \subseteq \{0, 1, 2, 3, \dots, m-1\}$  such that the keys are distinct.

We may then set up an array with "m" elements with all the keys from K. ~~except the ones with it~~

i.e. 
$$T[k] = \begin{cases} x & \text{if } k \in K \text{ and } \text{Key}[x] = k \\ \text{NIL} & \text{otherwise.} \end{cases}$$

$\Rightarrow$  Operations thus would take  $\boxed{\Theta(1)}$  time  $\odot$

But a large range of keys to add to the direct access table causes an issue as the keys may be large.

            
x          x

24/June

Hash Functions: maps out the universe of all keys to records that are mapped to said keys (whatever it's a hash lol, okay)

