

For:

B-tree T of height h containing $n \geq 1$ keys and
a minimum degree $t \geq 2$

$$\text{then } h \leq \log_t \left(\frac{n+1}{2} \right)$$

In which case $n \geq 1 + (t-1) \sum_{i=1}^h 2t^{i-1}$

$$\boxed{n \geq 2t^h - 1}$$

B-tree operations (continued)

- Deletion...

Process:

- search recursively starting from root. Traverse down tree to the leaf level
- before progressing to the (next level) / (lower level) in the tree, ensure the node contains $\geq \underline{t}$ keys

3 stages / scenarios during deletion:

- Case 1: key k found in node
- Case 2: key k found in internal node.
- Case 3: key k suspected to be found in a lower level node.

• Case 1:

conditions:

- if the key k is in node x , and x is a leaf, delete k from x .

• Case 2: if the key k is in node x , $\{ x \}$ is not a leaf, delete k from x
conditions:

Process: a) \rightarrow if the child y that precedes k in node x has at least t keys then find the predecessor ~~of~~ k' of k in the sub-tree rooted at y .

Recursively delete k' and replace k with k' in x .

b) "Symmetrically for successor node z " — WHAT??

c) If both y & z have on $(t-1)$ keys, then merge k with the contents of z into y , so that x loses both k and the pointers to z , and y now has $(2t-1)$ keys. Free z and recursively delete k from y .

d) Descending down the tree, if k isn't found in the current node x , then find the sub-tree $ci[x]$ that has to contain k .

e) If $ci[x]$ has only $(t-1)$ keys, ensure we descend to a node of size at least (t) keys.

d) f) def: Distribution: if $ci[x]$ has only $t-1$ keys but a sibling has at least t keys, give $ci[x]$ an extra key by moving a key from x to $ci[x]$, moving a key from $ci[x]$'s immediate left & right siblings up into x and moving the appropriate child from the sibling into $ci[x]$. This process is called distribution.

f) ii) Merging:

If $c_i[x]$ and both of $c_i[x]$'s immediate siblings have $t-1$ keys, merge c_i with one sibling, i.e. move a key from x down into the new merged node to be the new median key for the merged node. This process is called merging.

$x \xrightarrow{\quad\quad\quad} x$

Run-time $O(t \times h) = O(t \times \log_t n)$

$x \xrightarrow{\quad\quad\quad} x$

July 9
2019

HEAPS

July 26th def: A binary heap data structure A

→ array

→ ~~tree~~ "nearly a complete binary tree."

- all levels except the lowest level are completely filled

→ The key in root is greater or equal ~~to~~ to all its children and the left and right subtrees are again binary heaps.

Binary heaps have 2 attributes: (eg bin. heap data structure A)

→ $\text{length}[A]$

→ $\text{heap-size}[A]$

Def

Max Heap:

def: a heap that has the following property:

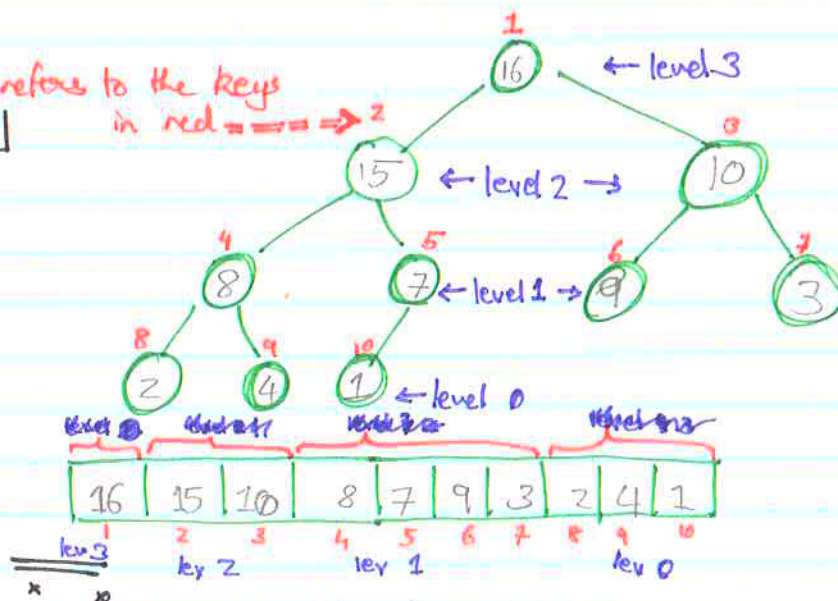
$$\underline{A[\text{parent}(i)] \geq A[i]}$$

where A is bin heap data structure, and (i) is the "key" that exists in A and $\text{parent}(i)$ is a function as defined below.

• $\text{Parent}(i)$
return $\lfloor i/2 \rfloor$

• $\text{Left}(i)$
return $2i$

• $\text{Right}(i)$
return $2i+1$



%%

Heapify

- i. i is the index to array A (similar to above)
- ii. Binary trees rooted at $\text{left}(i)$ and $\text{right}(i)$ are heaps
- iii. But $A[i]$ might be smaller than its children violating the heap property

Heapify makes array A a heap once more by moving $A[i]$ down the heap until the heap property is satisfied once more.

Pseudocode for heapify:

- n = total number of elements

Heapify $(A, i) \{$

step 1 \rightarrow ensure $(??)$ Left & Right subtrees are heaps
step 2 \rightarrow make subtree rooted at "i" a heap.

- $l = \text{Left}(i)$
- $r = \text{Right}(i)$
- $$\left(\begin{array}{l} l = 2i \\ r = 2i + 1 \end{array} \right)$$
- $$\text{if } (l \leq n) \text{ and } (A[l] > A[i]) \{$$
$$\quad \text{largest} = l$$
$$\} \text{ else } \{$$
$$\quad \text{largest} = i$$
$$\}$$
- $$\text{if } (r \leq n) \text{ and } (A[r] > A[\text{largest}]) \{$$
$$\quad \text{largest} = r$$
$$\}$$
- $$\text{if } (\text{largest} \neq i) \{$$
$$\quad \text{swap } A[i] \text{ and } A[\text{largest}]$$
$$\quad \text{Heapify}(A, \text{largest}) \quad // \text{call heapify recursively}$$
$$\}$$
$$\}$$

Heapify run time:

- On a subtree of size (n) , rooted at node (i) is:
- determine relationship b/w elements $\Theta(1)$
 - + time to run Heapify on a subtree rooted at one of the children of i where $(2n/3)$ is the worst case size of the subtree.

$$T(n) \leq T(2n/3) + \Theta(1) \Rightarrow T(n) = \Theta(\log n)$$



Building a heap:

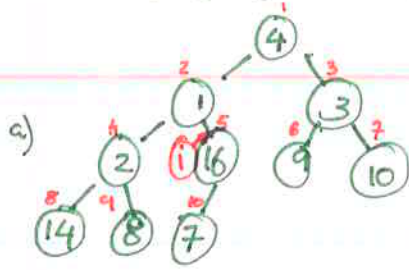
- 1) convert array $A[1 \dots n]$ ($n = \text{length}[A]$) into a heap.
- 2) Note: All elements in the subarray $A[(n/2) + 1 \dots n]$ are already 1-element heaps to begin with, (ie they are leaves)



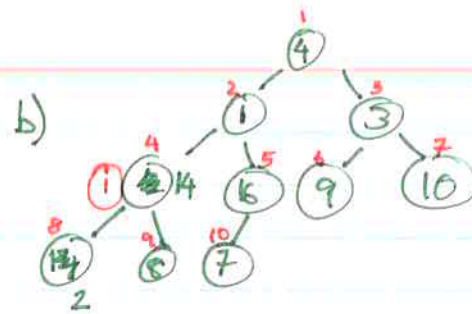
Build-heap(A) {

- for (int $i = \text{floor}(n/2)$; $i \geq 1$; $i--$) {
 Heapify(A, i)
}

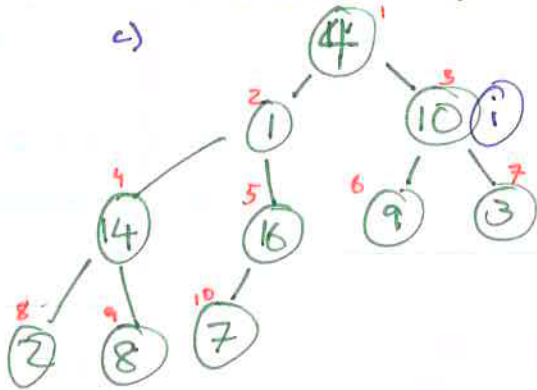
Heapify(A, i==5)



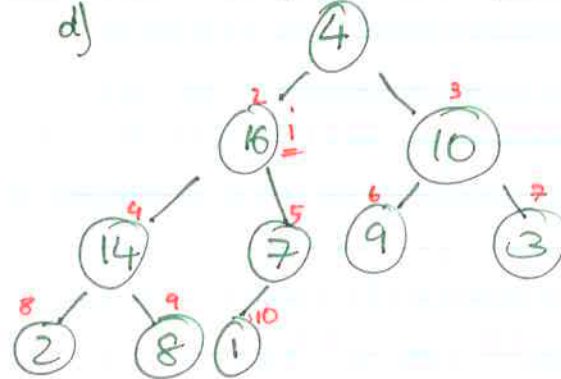
Heapify(A, i==4)



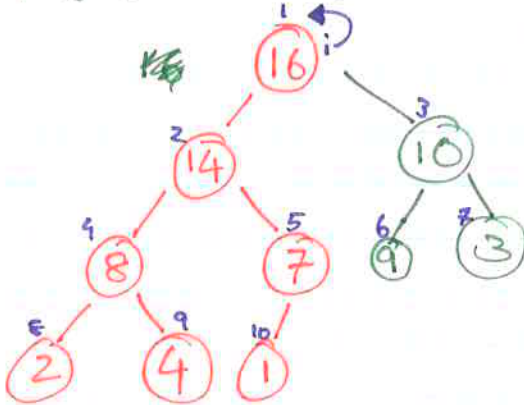
Heapify(A, i==3)



Heapify(A, i==2)



e) Heapify(A, i==1)



- End of heapify fn
- End of Build-heap(A)

RUNTIME :

n calls on Heapify

$\Rightarrow T(n) = O(n \log n)$

Imp :

- 1) height of node = longest path from node to leaf
- 2) height of tree = height of root.
- 3) time to heapify = $O(\text{height}(k) \text{ of } \underline{\text{subtree}} \text{ rooted at } i)$
 \hookrightarrow assume $n = 2^k - 1$

(where $k = \log n$ for a complete binary tree's height)

$$T(n) = O\left(\frac{n+1}{2} + \frac{n+1}{4} \cdot 2 + \frac{n+1}{8} \cdot 3 + \dots + 1 \cdot k\right)$$
$$= O\left((n+1) \sum_{i=1}^{\log n} \frac{i}{2^i}\right)$$

$$\left(\text{but } \sum_{i=1}^{\log n} \frac{i}{2^i} = \frac{1/2}{(1 - 1/2)^2} = 2\right)$$

$$\Rightarrow T(n) = O(n)$$

(run time to build a heap)

