

# Assessing Models for Estimation Ensemble Width in Binaural Music Recordings: Robustness to Reverberation and Noise

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**Abstract**—Binaural technology has been known for decades. However, advancements in software and consumer electronics have facilitated its widespread adoption, primarily in the post-millennium era. As binaural sound becomes more popular, the demand for spatial analysis tools is expected to grow. This paper evaluates three methods for assessing ensemble width in binaural music recordings: (1) an auditory model with decision trees, (2) a neural network model, and (3) a spatial spectrogram approach. Under ideal, anechoic conditions, the auditory model performed best with a mean absolute error (MAE) of  $6.59^\circ$  ( $\pm 0.11^\circ$ ), followed by the neural network ( $8.57^\circ \pm 0.19^\circ$ ) and the spatial spectrogram ( $13.54^\circ \pm 0.92^\circ$ ). Extending previous work, this study analyzes the methods' robustness to reverberation and noise. Noise resilience tests indicate moderate resistance, with the auditory model yielding an MAE of  $12.34^\circ$  at a 10 dB signal-to-noise ratio. However, reverberation tests show a significant drop in accuracy even at an RT60 reverberation time of 0.1 seconds. This study may contribute to the improvement of models for estimating musical ensemble width in binaural recordings, which could influence the development of binaural sound analysis tools, with potential applications in audio production.

**Keywords**—binaural audio, ensemble width, audio perception, localization, reverberation, machine learning

## I. INTRODUCTION

**B**INAURAL audio has been a cornerstone of immersive headphone listening for decades [1]. Recently, with its integration into virtual and augmented reality, its popularity has surged significantly [2]. By exploiting the human auditory system's perception of sound in natural environments, binaural audio plays a crucial role in creating immersive audio-visual experiences for entertainment applications. Owing to its ability to allow listeners to naturally localize audio sources in direct-to-ear playback, binaural audio has also found successful applications in fields such as avionics [3] and hearing aid devices [4]. The utility of binaural hearing for these applications is illustrated by the 'cocktail party effect,' which highlights the human auditory system's ability to focus on foreground sounds while suppressing background noise [5].

The increasing availability of binaural audio applications highlights the need for advanced spatial analysis methods.

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These methods could facilitate automated, objective assessments of binaural recordings by analyzing spatial characteristics, such as the position and size of sound sources. Such analysis could support the development of tools to classify recordings based on these features and help assess the fidelity of binaural audio systems through spatial characteristics.

The aim of this study is to compare methods for estimating one of the most prominent spatial features: ensemble width. This feature is based on the observation that humans tend to localize groups of sound sources (ensembles) rather than individual sources [6], [7]. The approach draws from Rumsey's scene-based paradigm [7], which describes ensemble width as the 'overall width of a defined group of sources.' In immersive audio, this feature is particularly important, as wider ensembles enhance the perception of immersion by broadening the spatial distribution of sound sources, creating a more enveloping experience [8]. Notably, one of the methods presented also estimates ensemble location (see Section VI); however, this ensemble parameter will be omitted from the study as ensemble width is the only parameter that can be compared across all three methods.

This paper provides a comparative summary of three ensemble width estimation methods, one of which was introduced by Arthi and Sreenivas [9] and later refined by Antoniuk et al. [10]–[12], while the others were solely developed by Antoniuk et al. The primary contribution of this study is an evaluation of these methods' robustness in more ecological conditions, focusing on their resilience to noise and reverberation, thereby offering insights into their applicability in real-world scenarios.

## II. RELATED STUDIES

Estimating ensemble width represents a unique approach within binaural audio literature, which more commonly focuses on identifying the locations of individual sound sources [13]–[17]. While analyzing individual sound sources might seem more useful due to more precise information, such methods have limitations that prevent their use in practical applications. These include a limited or predetermined number of sound sources and predetermined type of audio signal—typically speech [13], [14], [16]–[18]. The ensemble approach can be seen as a workaround for these limitations, as it provides useful spatial information without such constraints.



Traditional audio localization methods often rely on arrays with more than two microphones to improve precision through additional channel information [19]–[21]. While adding microphones can enhance precision through additional channel information, they do not utilize binaural hearing principles, rendering them ineffective for binaural recording assessment. By contrast, as Yang et al. demonstrate, systems using only two microphones can achieve superior localization accuracy by integrating binaural cues [18].

The majority of studies on sound source localization in binaural recordings concentrate on the localization of individual sources in isolation, typically referred to as Direction of Arrival (DoA). Although this granular approach provides detailed information, the existing methods require *a priori* knowledge of the number of sources, usually limiting analysis to between one and six sources. These constraints present significant challenges in real-life scenarios, where such advance knowledge is unavailable. Moreover, these methods have been developed primarily for homogeneous signals, especially speech, making them impractical for real-world binaural recordings where signals are often heterogeneous.

In a series of recent studies, Arthi and Sreenivas [9], Antoniuk et al. [10]–[12], introduced an alternative approach, treating sound sources as ensembles that can be characterized by their location and width, as illustrated in Figure 1. This method overcomes the limitations of traditional DoA approaches by focusing on ensemble characteristics rather than precise individual source locations. The approach eliminates the need for *a priori* knowledge of the number of sources and has been validated across diverse musical content, including both instrumental and vocal recordings [10]–[12].

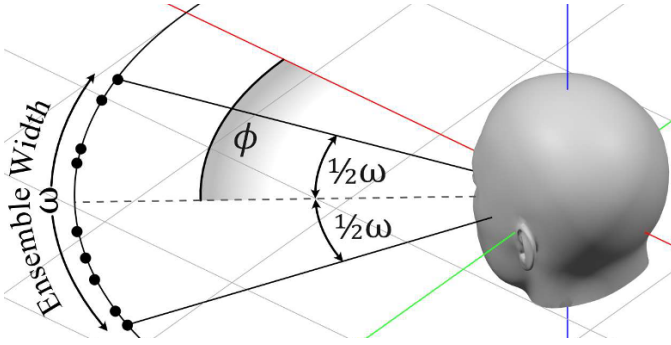


Fig. 1. An ensemble example comprising nine point-like sound sources shown as dots. The ensemble's width is denoted by  $\omega$ , while  $\phi$  indicates the counterclockwise position of the ensemble's center.

This approach aligns with the second level of Rumsey's spatial audio scene-based framework [7], which defines three distinct levels: (1) single source, (2) scene, and (3) environment. Scene-level analysis, as described by Rumsey, better matches the human auditory system's natural source-grouping mechanisms. The approach mirrors real-world musical performance configurations where instruments and vocals occupy adjacent spatial positions. Notably, the methods incorporated in this study specifically measure physical ensemble width rather than apparent ensemble width—two related but distinct parameters whose relationship warrants further investigation.

### III. METHODOLOGY

This study compares three recent methods for ensemble width estimation:

- 1) a method based on an auditory model and decision trees (Section V),
- 2) a method using deep neural network (Section VI),
- 3) a method leveraging spatial spectrograms (Section VII).

Initially, these methods were evaluated using anechoic recordings without noise. To test their performance in more ecological conditions, the methods were also tested using signals with predefined signal-to-noise ratios and simulated rooms with different reverberation characteristics (see Section VIII).

The objective of the methods incorporated in this study is to estimate the ensemble width ( $\omega$ ) as illustrated in Figure 1. An ensemble is defined as a group of audio point sources positioned equidistantly around the listener on a circular virtual acoustic scene. The location of source  $i$  is denoted by  $\theta_i$ . The ensemble width ( $\omega$ ) represents the angular distance between the two extreme point sources ( $\max_i(\theta_i) - \min_i(\theta_i)$ ), while the ensemble location, represented by  $\phi$ , indicates the midpoint angle between these extreme sources ( $(\max_i(\theta_i) + \min_i(\theta_i))/2$ ). In this study, source locations are restricted to the frontal hemisphere, specifically  $\theta \in [-45^\circ, 45^\circ]$  and  $\omega \in [0^\circ, 90^\circ]$ . It should be noted that although humans have some limited abilities to localize sound sources in the vertical plane, all sources in this study are positioned on the horizontal plane at ear level. These constraints reflect most real-world recording scenarios.

### IV. DATASET PREPARATION

The experimental evaluation was conducted using a corpus of 23,040 synthesized binaural music recordings. The source material comprised 192 publicly-available multi-track recordings spanning diverse musical genres including rock, jazz, pop, and classical music. The number of tracks ranged from 5 to 62, with a median of 9.

To ensure robust evaluation across diverse Head-Related Transfer Function (HRTF) characteristics, the synthesis process incorporated 30 HRTF databases (see Table I in Appendix for a detailed list). These databases were evenly divided between measurements from human subjects (15 databases) and artificial heads (15 databases), including industry-standard devices like the Neumann KU 100 and KEMAR DB-4004. Distances between the head and loudspeaker during HRTF measurements ranged from 0.9 to 1.95 meters, with a median of 1.2 meters.

For each combination of multi-track recording and HRTF database, four unique binaural versions were synthesized by randomly varying two ensemble parameters: location ( $\phi$ ) and width ( $\omega$ ). Within these spatial constraints, individual tracks in each recording were randomly assigned to specific source positions ( $\theta_i$ ). Prior to synthesis, all tracks were loudness-normalized to -23 LKFS in accordance with ITU-R BS.1770-5 recommendations [22], ensuring consistent relative levels across the corpus.

Multiple HRTFs and multi-track recordings were selected to create diverse binaural recordings, enhancing the model's

generalisability. This diversity is crucial for HRTFs since the specific HRTF used in real-world binaural synthesis is often unknown, making a single-HRTF model impractical. Additionally, the large dataset provides essential training material for all machine learning models used in this study, with particular importance for the deep neural networks, as their performance benefits significantly from extensive data.

The binaural recordings were obtained using a binauralization procedure, implemented by convolving multi-track signals with head-related impulse responses from a specified HRTF database. The resulting binaural output signal,  $y_c[n]$ , for each stereo channel  $c$  (left or right) at sample  $n$  is given by the following equation:

$$y_c[n] = \sum_{i=1}^N \sum_{k=0}^{K-1} x_i[k] \times h_{c,\theta_i}[n-k], \quad (1)$$

where  $x_i$  denotes the signal of an individual sound source  $i$  from the input music recording, and  $h_{c,\theta_i}$  represents the head-related impulse response for channel  $c$  at location  $\theta_i$  of source track  $i$ . Additionally,  $N$  denotes the number of track sources in the input multi-track recording, and  $K$  represents the number of samples in the recording.

The synthesized recordings were truncated to 7 seconds following binauralization, with sine-squared fade-in and fade-out effects of 0.01 seconds applied. Subsequently, the signals were RMS-normalized, scaled by a factor of 0.9, DC-offset corrected, and stored as uncompressed files with a sample rate of 48 kHz and 32-bit resolution.

The binaural recordings were randomly split into training and test sets with a 2:1 ratio. To prevent information ‘leakage’, this split was made in such a way that no multi-track recordings used for training were used for testing. To reduce the complexity of the experiment, the HRTFs were shared between both sets, which could be seen as a limitation of this study. However, it is known that the human auditory system operates with HRTFs that undergo only minimal changes throughout life, mainly during infancy [23]. Therefore, this limitation could be considered consistent with how the human auditory system behaves in real life.

The binauralization and split procedures implemented in this study are consistent with those originally described in the reference models [10]–[12], with minor modifications. The primary modification pertains to the spatial-spectrogram-based model, which utilized a single HRTF database and employed a reduced parameter set. This modification had minimal impact on the results, as the method employs a deterministic approach rather than machine learning techniques, requiring the training set only for the optimization of two parameters.

## V. AUDITORY-MODEL-BASED METHOD

As shown in Figure 2, the auditory-model-based method for ensemble width estimation consists of two main components: a binaural auditory model that extracts features from the input signals, followed by a gradient-boostered decision tree regressor that predicts the ensemble width [11]. The auditory model processes the binaural signals through a gammatone filterbank and extracts standard binaural cues, including interaural time

differences (ITD), interaural level differences (ILD), and interaural cross-correlation (IACC).

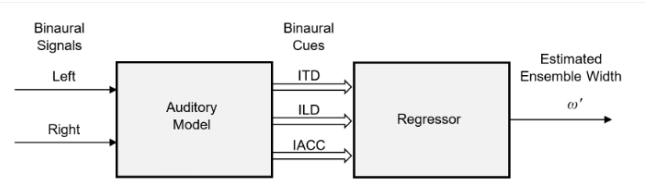


Fig. 2. A flowchart of the auditory-model-based method

The auditory model is based on the work of Søndergaard and Majdak [24], enhanced by May et al. [16], and refined by Decorsière and May [25] within Two!Ears Project [26]. The model consists of a gammatone filterbank with 64 frequency channels spanning from 100 Hz to 16 kHz. For each frequency channel, the inner hair-cell envelopes are extracted through half-wave rectification followed by low-pass filtering using a second-order Butterworth filter with a 1 kHz cutoff frequency. This simulates the loss of phase-locking in the auditory nerve at higher frequencies. Rate maps, representing auditory nerve firing rates, are then calculated by smoothing the inner hair-cell signal with a leaky integrator (time constant of 8 ms) and averaging within 20 ms Hann-windowed frames with 10 ms step size. Finally, the rate maps were used to estimate the binaural cues.

The features extracted in the previous component are aggregated across frames by computing their mean values and standard deviations, resulting in a total of 384 feature vectors (64 frequency channels  $\times$  3 types of cues  $\times$  2 statistics). These aggregated features are then used as input to the regressor, whose objective is to estimate the ensemble width of the binaural audio signal. The regressor employs gradient-boostered decision trees implemented with LightGBM, known for its computational efficiency and accuracy [27]. The model’s hyperparameters, including the number of leaves, tree depth, and learning rate, were optimized using grid search procedure. The final training was conducted using validation set and early-stopping technique based on mean absolute error. For further details, see [11].

## VI. NEURAL-NETWORK-BASED METHOD

While the auditory-model-based method attempts to mimic human hearing mechanisms, the neural network approach takes advantage of CNN’s ability to automatically learn relevant features from spectral representations of audio signals. In contrast to the auditory-model-based approach, the neural network method uses a basic feature extraction technique based on magnitude spectrograms [12].

A Hamming window of 40 ms with an overlap of 20 ms is applied, resulting in 349 time frames extracted for each binaural input signal. For each time frame, the Fast Fourier Transform (FFT) is applied. The magnitudes of its output are aggregated into 64 linearly spaced frequency bands ranging from 100 Hz to 16 kHz, effectively creating a spectrogram



with dimensions  $349 \times 64$ . This process is performed separately for the left and right channels, producing a pair of spectrograms that are then used in the neural network to simultaneously estimate two ensemble parameters: width and location. However, only ensemble width is considered in this study.

In the next step, the spectrograms are fed into a two-dimensional Convolutional Neural Network (CNN) model to estimate the ensemble width, effectively treating the spectrograms as visual data. The network's topology is heavily based on the AlexNet model introduced by Krizhevsky et al [28]. The input layer is followed by five convolutional units, each consisting of ReLU-activated 2D convolution layers with a  $2 \times 2$  filter size, followed by max pooling layers of size  $2 \times 3$  or  $2 \times 2$ . The number of convolutional filters in each layer is 32, 64, 128, and 256, respectively. Following these layers, a global average pooling layer is applied to reduce overfitting [29]. This is followed by four classification units comprising ReLU-activated fully connected layers, reducing the activation map's dimensions from 256 to 6. Finally, two parallel fully connected layers with linear activation are used to predict the ensemble parameters, with each layer outputting a different parameter: ensemble width and location.

In total, this topology resulted in a model with 216,562 learning parameters. The model was trained using a Monte Carlo cross-validation procedure with 10 repetitions and an early-stopping validation subset. For further details, see [12].

## VII. SPATIAL-SPECTROGRAM-BASED METHOD

The spatial-spectrogram-based method, originally introduced by Arthi and Sreenivas [9], employs a phase-only spatial correlation (POSC) function to estimate ensemble width, treating the binaural signals primarily in the frequency domain. The method consists of three main steps: calculation of generalized cross-correlation functions, generation of spatial spectrograms, and ensemble width estimation.

First, two generalized cross-correlation functions with phase transform (GCC-PHAT) are calculated:

$$\rho(k) \xleftrightarrow{\mathcal{F}} \frac{X_r(\omega) \times X_l^*(\omega)}{|X_r(\omega) \times X_l^*(\omega)|} \quad (2)$$

$$\rho_\theta(k) \xleftrightarrow{\mathcal{F}} \frac{H_r^\theta(\omega) \times H_l^{\theta*}(\omega)}{|H_r^\theta(\omega) \times H_l^{\theta*}(\omega)|} \quad (3)$$

where  $\rho(k)$  denotes the GCC-PHAT function for the  $k$ -th sample of the binaural signal;  $\rho_\theta(k)$  represents the GCC-PHAT function for the  $k$ -th sample of the HRIR;  $X_l(\omega)$  and  $X_r(\omega)$  are Fourier transforms of the left and right channels, respectively;  $H_l^\theta$  and  $H_r^\theta$  are Fourier transforms of the left and right channels, respectively, for the HRIR at azimuth  $\theta$ ; and  $*$  denotes complex conjugate.

The phase-only spatial correlation (POSC) function  $C_\rho(\theta)$  is then calculated as:

$$C_\rho(\theta) \triangleq \sum \rho(k) \times \rho_\theta(k) \quad (4)$$

To account for the observation that sources closer to  $0^\circ$  have a greater impact on  $C_\rho(\theta)$  than more distant sources, a correction is applied:

$$\widetilde{C_\rho(\theta)} = C_\rho(\theta) \times (1 + \theta u) \quad (5)$$

where  $u$  is a correction weight determined through optimization.

The ensemble width is estimated using a three-step algorithm:

- 1) Find  $\max \widetilde{C_\rho(\theta)}$  considering all frames in the binaural excerpt.
- 2) Find the minimal ( $\theta_a$ ) and maximal ( $\theta_b$ ) roots of:

$$\widetilde{C_\rho(\theta)} = t_h \times \max \widetilde{C_\rho(\theta)} \quad (6)$$

where  $t_h \in [0, 1]$  is a threshold coefficient.

- 3) Calculate ensemble width as  $\omega = \theta_b - \theta_a$  averaged over all frames.

The method requires optimization of only two parameters: the correction weight  $u$  and threshold coefficient  $t_h$ . These parameters are determined using a grid search procedure with  $u \in [0, 2]$  and  $t_h \in [0, 1]$ . Unlike the previous two methods, this approach is deterministic and does not require extensive training data, making it computationally efficient but potentially less accurate. For further details, see [10].

## VIII. ENVIRONMENTAL SIMULATION

To enhance ecological validity, the original recording synthesis procedure was modified to enable evaluation under two additional scenarios: recordings with additive noise and recordings in reverberant conditions. In the first scenario, 9 test sets were prepared with different Signal-to-Noise Ratios (SNR) ranging from -10 to 60 dB, specifically at -10, -3, 0, 10, 20, 30, 40, 50, and 60 dB. This was achieved by adding decorrelated white noise signals to the binaural recordings originally used in the testing procedure.

In the reverberation scenario, six different rooms were simulated with reverberation times ranging from 0.1 to 3 s, measured using the RT60 metric. The simulations were performed with MCRoomSim—a multichannel ‘shoebox’ room acoustic simulator based on image source and diffuse ray algorithms implemented as a MATLAB package [30]. This simulator enabled the creation of reverberation simulations used to generate Binaural Room Impulse Responses (BRIRs) based on provided HRTFs, with the number of virtual speakers matching the spatial density of measurement points in the HRTF database. The virtual listener, modeled as a head with two receivers representing ears, was positioned in the center of the room. The receivers were configured to filter the input signal directionally using head-related impulse responses from the given HRTF database. The distance between each virtual impulse source and the head center matched the measurement radius of the given HRTF database, ranging from 0.9 to 1.95 m. The room reverberation characteristics were controlled by configuring the following parameters: room width and depth (2–5 m), height (2.5–5 m), wall absorption coefficients (0.05–0.95), and wall scattering coefficients (0.01–0.8).

## IX. RESULTS

Under baseline anechoic, noise-free conditions, the method based on an auditory model (1) achieved the highest accuracy, with a Mean Absolute Error (MAE) of  $6.59^\circ (\pm 0.11^\circ)$ . This was followed by the neural network-based method (2) at  $8.57^\circ (\pm 0.19^\circ)$  and the spatial-spectrogram-based method (3) at  $13.54^\circ (\pm 0.92^\circ)$ . All differences between the methods were statistically significant ( $p < 0.01$ ).

Auditory-model-based (1) and neural-network-based methods (2) exhibited varying degrees of noise resilience (Figure 3). The neural-network-based method (2) maintained reasonable performance down to  $\text{SNR} = -3\text{ dB}$ , while the method based on an auditory model (1) required  $\text{SNR} > 10\text{ dB}$  for comparable results. The spatial-spectrogram-based method (3) was the most sensitive to noise, requiring  $\text{SNR} \geq 60\text{ dB}$  to operate reliably. These differences were statistically significant, with  $p < 0.01$ .

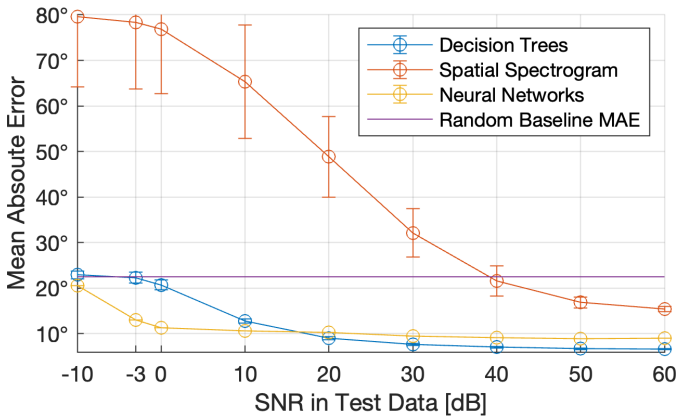


Fig. 3. Robustness to noise of the tested methods illustrating the mean absolute error (MAE) at varying signal-to-noise ratios (SNR)

Under reverberant conditions, all of the tested methods revealed significant limitations in performance (Figure 4). In particular, the auditory-model-based method demonstrated notable difficulties even at minimal reverberation times ( $\text{RT60} = 0.1\text{ s}$ ). While all the methods outperformed the random baseline MAE at  $\text{RT60} = 0.1\text{ s}$  ( $p < 0.01$ ), all methods demonstrated notable limitations. The primary cause seems to stem from their exclusive training on anechoic signals, leaving them ill-suited for the added temporal and spectral complexity of room reflections.

## X. CONCLUSION

This study summarizes and compare three approaches to ensemble width estimation in binaural recordings of music. The auditory-system-based method demonstrated superior performance in the baseline test, with an MAE of  $6.59^\circ \pm 0.11^\circ$ . This suggests that combining auditory modeling expertise with a traditional feature-based machine learning algorithm can be more effective than relying solely on deep learning techniques in this context.

Auditory-model-based (1) and neural-network-based (2) methods demonstrated a moderate robustness to noise, down

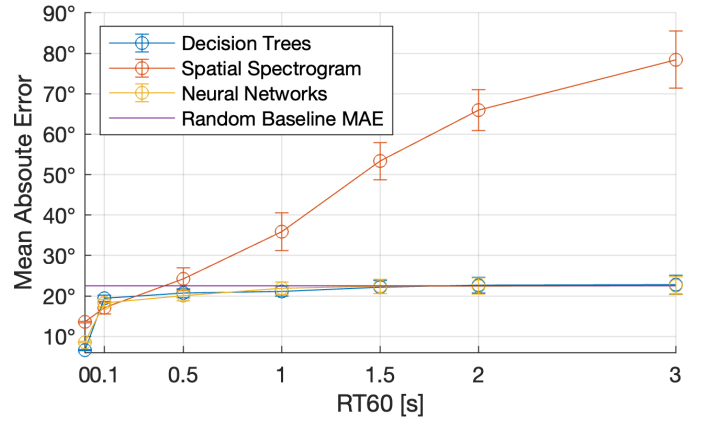


Fig. 4. Robustness to reverberation across tested models, shown as Mean Absolute Error (MAE) for simulated rooms with varying RT60 values.

to  $\text{SNR} = 10\text{ dB}$  and  $\text{SNR} = -3\text{ dB}$ , respectively. However the performance of all the tested techniques exposed significant limitations under reverberant conditions, showing that these methods are not yet ready for real-world applications. This limitation is likely caused by the fact that these models were trained exclusively on anechoic signals, suggesting a direction for future research: developing models that incorporate realistic room acoustics into the training process.

Addressing these limitations could lead to more robust solutions suitable for practical applications in audio production and binaural audio quality assessment. Additionally, the varying performance characteristics observed across different acoustic conditions suggest potential benefits in hybrid approaches combining strengths of multiple models.

## APPENDIX

TABLE I: List of HRTF sets used to synthesize binaural audio excerpts

No.	Type	Head	Radius [m]	Source	Acronym
1.	Human	Human subject	1.2	RWTH Aachen University	AACHEN
2.	Artificial	GRAS 45BB-4 KEMAR	1		
3.	Human	Subject 2	1.2	Austrian Academy of Sciences	ARI
4.	Human	Subject 4	1.2		
5.	Human	Subject 10	1.2		
6.	Artificial	ARI Printed Head	1.2		
7.	Human	Subject 012	1	CIPIC Interface Laboratory, University of California	CIPIC
8.	Human	Subject 015	1		
9.	Human	Subject 020	1		
10.	Artificial	Neumann KU 100	0.9	NASA (2007)	CLUBFRITZ
11.	Artificial	Neumann KU 100	1.5	Helsinki University of Technology (2009)	
12.	Artificial	FABIAN	1.47	Technical University Berlin, Huawei Technologies, Munich Research Centre, Sennheiser Electronic	HUTUBS
13.	Human	Subject pp2	1.47		
14.	Human	Subject pp3	1.47		
15.	Human	Subject 1003	1.95	IRCAM, AKG	LISTEN
16.	Human	Subject 1002	1.95		
17.	Artificial	KEMAR DB-4004 (DB-061)	1.4	MIT	MIT
18.	Artificial	KEMAR DB-4004 (DB-065)	1.4		
19.	Human	Subject 001	1.5	Tohoku University	RIEC
20.	Human	Subject 002	1.5		
21.	Artificial	Koken SAMRAI	1.5		
22.	Artificial	Neumann KU 100	1.2	University of York	SADIE II
23.	Human	Subject H3	1.2		
24.	Human	Subject H4	1.2		
25.	Artificial	KEMAR	1	South China University of Technology	SSCUT
26.	Artificial	Neumann KU 100	1	TH Köln	STH Köln
27.	Artificial	FABIAN	1.7	TU Berlin	TU Berlin
28.	Artificial	GRAS 45BA KEMAR	1		
29.	Artificial	GRAS 45BB-4 KEMAR - subject A attachment	1	Aalborg University; University of Iceland	VIKING
30.	Artificial	GRAS 45BB-4 KEMAR - subject B attachments	1		

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