All the functions are that are called in the code snippet of each solution are defined in the appendix. Alternately, the entire code suite can be found here - https://github.com/payalmohapatra/Deep-Learning-EE-435/tree/main/HW 1

13.4 Nonlinear Autoencoder using neural networks Repeat the Autoencoder experiment described in Example 13.6 beginning with the implementation outlined in Section 13.2.6. You need not reproduce the projection map shown in the bottom-right panel of Figure 13.11.

Solution:

The autoencoder implementation details are,

- The encoder has 3 layers with tanh activation function. The input here is 2-dimensional and the encoder output is 1-dimension.
- The decoder uses the encoded lower dimensional output to decode back to the original input dimension.
 Below if the snapshot of the model.

```
AE(
  (encoder): Sequential(
    (0): Linear(in_features=2, out_features=10, bias=True)
    (1): Tanh()
    (2): Linear(in_features=10, out_features=10, bias=True)
    (3): Tanh()
    (4): Linear(in_features=10, out_features=1, bias=True)
)
  (decoder): Sequential(
    (0): Linear(in_features=1, out_features=10, bias=True)
    (1): Tanh()
    (2): Linear(in_features=10, out_features=10, bias=True)
    (3): Tanh()
    (4): Linear(in_features=10, out_features=2, bias=True)
    )
)
```

• Figure 1 shows the cost function for the autoencoder.

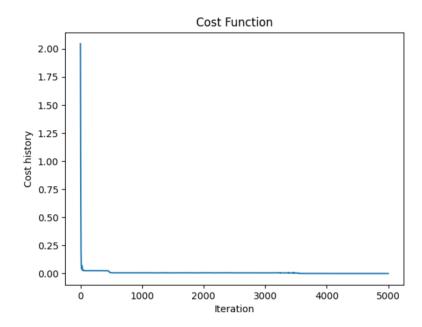


Figure 1 Cost History vs Iterations for Q.13.4.

Figure 2 shows the plot of the original input data and the decoded values from the autoencoder.

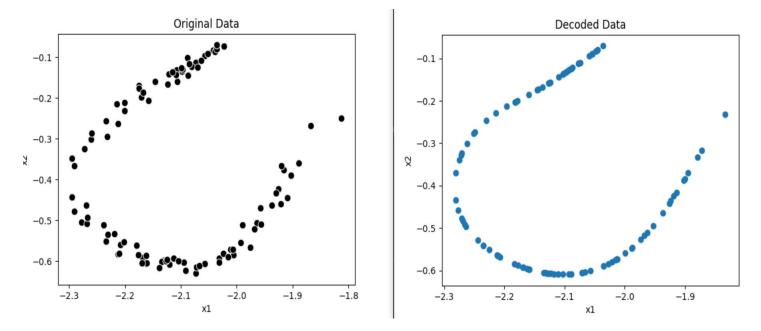


Figure 2 The left side plot shows the original data. On the left is the plot of the decoded input by the autoencoder.

```
torch.nn.Linear(2, 10),
            torch.nn.Linear(10, 10),
            torch.nn.Linear(10, 1)
        self.decoder = torch.nn.Sequential(
           torch.nn.Linear(1, 10),
            torch.nn.Linear(10, 10),
            torch.nn.Tanh(),
            torch.nn.Linear(10, 2)
    def forward(self, x):
        encoded = self.encoder(x)
        decoded = self.decoder(encoded)
model = AE()
print(model)
loss_function = torch.nn.MSELoss().float()
loss_function = loss_function.float()
optimizer = torch.optim.Adam(model.parameters(),
for epoch in range(epochs):
    reconstructed_hist = []
    for (features) in loader:
        reconstructed = model(features.float())
        loss = loss_function(reconstructed.float(), features.float())
        writer.add_scalar("Loss/train", loss, epoch)
        optimizer.zero_grad()
        loss.backward()
        optimizer.step()
        # Storing the losses in a list for plotting
losses.append(loss.detach().numpy())
        reconstructed_np = reconstructed.detach().numpy()
        reconstructed_hist.append(reconstructed_np)
    outputs.append((epochs, reconstructed))
print(np.shape(reconstructed_hist))
reconstructed_arr = np.reshape(reconstructed_arr, (100,2))
print(np.shape(reconstructed_arr))
plt.figure(3)
plt.scatter(reconstructed_arr[:,0], reconstructed_arr[:,1])
plt.xlabel('x1')
plt.ylabel('x2')
plt.figure(2)
plt.plot(losses)
plt.title('Cost Function')
plt.xlabel('Iteration')
plt.ylabel('Cost history')
reconstrcuted_test_hist = []
with torch.no_grad():
```

```
n_correct = 0
for (features) in loader:
    reconstructed_test = model(features.float())
    reconstructed_test_hist.append(reconstructed_test)

print(len(reconstructed_test_hist))
writer.close()
```

13.8 Batch normalization Repeat the experiment described in Example 13.13, and produce plots like those shown in Figure 13.20. Your plots may not look precisely like those shown in this figure (but they should look similar).

Solution:

The model's cost function has not yet converged to the global minima. But we can observe the trend that with batch normalization the optimization is significantly faster and accuracy is also higher as seen in Figure 3 for the same number of iterations.

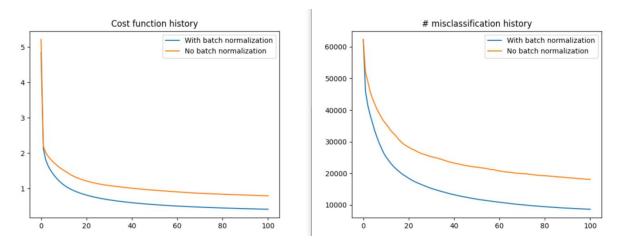


Figure 3 Left side plot shows the cost history for standard and batch normalized models and the plot on the right shows the respective cost history.

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```
weight = scale* np. random. randn(U_k+ 1, U_k_plus_1)
        weights. append(weight)
        theta_init = [weights[:-1], weights[-1]]
   return theta_init
N = np.shape(x)[0]
C = 10 ## if C is > 0 it is +1 class and if it is < 0 then -1 class
layer_sizes = [N, U_1, U_1, U_1, U_1, C]
theta_init = network_initializer(layer_sizes, scale)
def multisoftmax(a, y):
    index = np.array([range(np.size(y))]).T
   exp_a = np.reshape(np.log(np.sum(np.exp(a), axis=1)), (np.size(y),1)) - a[index,y.T]
   cost = np.sum(exp_a)
def feature_transforms(a, w):
       a = W[0] + np. dot(a.T , W[1:])
        all_mean = np.reshape(np.mean(a, axis=1), (np.shape(a)[0],1))
        all_std = np.reshape(np.std(a,axis=1), (np.shape(a)[0],1))
        a = a - all_mean
        a = a/(all_std+10**-15)
def feature_transforms_wo(a, w):
    y = np.reshape(y,(1, np.size(y)))
    # print('Again the size of x', np.shape(x))
# print('Again the size of y', np.size(y))
   y_p = y[:,batch_inds]
    f = feature_transforms(x_p, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
    cost = multisoftmax(a, y_p)
   return cost
def model_wo(theta,x,y,batch_inds):
    y = np.reshape(y,(1, np.size(y)))
```

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```
x_p = x[:,batch_inds]
    f = feature_transforms_wo(x_p, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
   cost = multisoftmax(a, y_p)
def prediction(theta):
    f = feature_transforms(x, theta[0])
   a = theta[1][0] + np. dot(f.T, theta [1][1:])
def prediction_wo(theta):
    f = feature_transforms_wo(x, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
max_its = 100
alpha_choice = 0.1
batch_size = 600
weight_history,cost_history = gradient_descent_batch(model,theta_init,x,y,alpha_choice,max_its,batch_size)
weight_history_wo,cost_history_wo = gradient_descent_batch(model_wo,theta_init,x,y,alpha_choice,max_its,batch_size)
print(cost_history_wo)
misclassification = np.zeros((np.size(cost_history),1))
misclassification_wo = np.zeros((np.size(cost_history_wo),1))
for i in range(np.size(cost_history)):
   pred = prediction(weight_history[i])
   pred_wo = prediction_wo(weight_history_wo[i])
    pred = np.reshape(np.argmax(pred, axis=1), (1,np.size(y)))
   pred_wo = np.reshape(np.argmax(pred_wo, axis=1), (1,np.size(y)))
   pred = (pred == y.T)
    pred_wo = (pred_wo == y.T)
    misclassification[i] = np.size(y) - np.sum(pred)
    misclassification_wo[i] = np.size(y) - np.sum(pred_wo)
plt.figure(1)
plt.plot(cost_history)
plt.plot(cost history wo)
plt.legend(["With batch normalization", "No batch normalization"])
plt.title('Cost function history')
plt.figure(2)
plt.plot(misclassification)
plt.plot(misclassification_wo)
```

```
plt.legend(["With batch normalization", "No batch normalization"])
plt.title('# misclassification history')
```

13.9 Early stopping cross-validation Repeat the experiment described in Example 13.14. You need not reproduce all the panels shown in Figure 13.21. However, you should plot the fit provided by the weights associated with the minimum validation error on top of the entire dataset.

Solution:

Early stopping is done when the validation error is the minimum. This helps is avoiding the scenario of overfitting the model to the input data. For this example the model is run in steps of 1000 iterations for 7 steps. Validation error is plotted as shown in Figure 4.

The Validation error is calculated as a mean-squared error for this example.

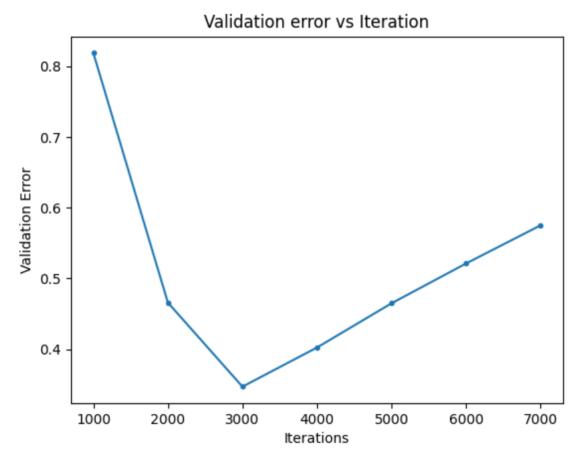


Figure 4 Validation error vs number of iterations

As we can see, the validation error is minimum for 3000 iterations.

Figure 5, Figure 6 and Figure 7 show the plot of the original validation, training and total dataset vs ther predicted counterpart.

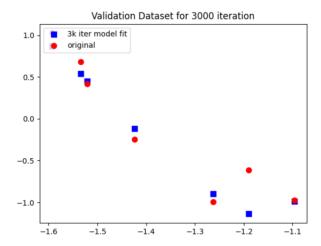


Figure 5 Plot of validation dataset vs. the predicted values after model is trained for 3000 iterations.

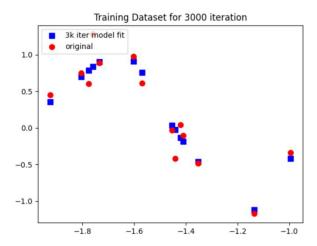


Figure 6 Plot of training dataset vs. the predicted values after model is trained for 3000 iterations.

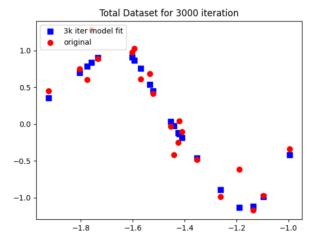


Figure 7 Plot of etire dataset vs. the predicted values after model is trained for 3000 iterations.

```
np.random.seed(27)
# load in dataset
datapath = 'Data/'
csvname = datapath + 'noisy_sin_sample.txt'
data = np.loadtxt(csvname, delimiter = ',')
x = data[:-1,:]
y = data[-1:,:]
x_{orig} = x
y_orig = y
print(np.shape(x))
print(np.shape(y))
for i in range(np.size(x,0)):
    row_mean = np.mean(x[i,:])
    row\_std = np.std(x[i,:])
    x[i,:] = x[i,:]-row_mean/row_std
x_train, x_valid, y_train, y_valid = train_test_split(x.T, y.T, test_size=0.33)
y = y_train.T
x_valid = x_valid.T
y_valid = y_valid.T
def feature_transforms(a, w):
    for W in w:
        a = W[0] + np. dot(a.T, W[1:])
        a = np.tanh(a). T
    return a
def model(theta,x):
    f = feature_transforms(x, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
    return a.T
def network_initializer(layer_sizes, scale):
    weights = []
    for k in range(len(layer_sizes) -1):
        U_k = layer_sizes[k]
        U_k_plus_1 = layer_sizes[k +1]
        weight = scale* np. random. randn(U_k+ 1, U_k_plus_1)
        weights. append(weight)
    theta_init = [weights[:-1], weights[-1]]
    return theta_init
def rmse_func(w) :
    cost = 0
    y_pred = model(w,x)
```

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```
for p in range(y.size):
       y_p = y[:,p]
       y_model = y_pred[:,p]
       cost += (y_p - y_model)**2
    return cost/ float(np.size(y))
# Initialise as per Example 13.4 from text
layer_sizes = [np.shape(x)[0],10,10,10,1]
w_init = network_initializer(layer_sizes,1.0)
max_its = 1000
alpha choice = 0.05
weight_history_1k,cost_history_1k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max_its = 2000
alpha choice = 0.05
weight_history_2k,cost_history_2k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max its = 3000
alpha_choice = 0.05
weight_history_3k,cost_history_3k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max its = 4000
alpha_choice = 0.05
weight_history_4k,cost_history_4k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max its = 5000
alpha_choice = 0.05
weight_history_5k,cost_history_5k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max its = 6000
alpha_choice = 0.05
weight_history_6k,cost_history_6k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
max_its = 7000
alpha_choice = 0.05
weight_history_7k,cost_history_7k = gradient_descent_nn(rmse_func,alpha_choice,max_its,w_init)
#plt.xlabel('Iteration')
#plt.ylabel('Cost')
y_p_1k = model(weight_history_1k[-1],x_valid)
y_p_2k = model(weight_history_2k[-1],x_valid)
y_p_3k = model(weight_history_3k[-1],x_valid)
y_p_4k = model(weight_history_4k[-1],x_valid)
y_p_5k = model(weight_history_5k[-1],x_valid)
y_p_6k = model(weight_history_6k[-1],x_valid)
y_p_7k = model(weight_history_7k[-1],x_valid)
print('Accuracy calc')
print(np.shape(y_p_1k))
print(np.shape(y_valid))
breakpoint()
def error_calc(y_pred_tmp) :
   error = (y_pred_tmp - y_valid)**2
    err_acc = np.sum(error)
    return err_acc
err_1k = error_calc(y_p_1k)
err_2k = error_calc(y_p_2k)
err_3k = error_calc(y_p_3k)
err_4k = error_calc(y_p_4k)
err 5k = error calc(y p 5k)
```

```
err_6k = error_calc(y_p_6k)
err_7k = error_calc(y_p_7k)
err_all_iter = [err_1k, err_2k, err_3k, err_4k, err_5k, err_6k, err_7k]
iter_plt = [1000, 2000, 3000, 4000, 5000, 6000, 7000]
plt.figure(4)
plt.plot(iter_plt, err_all_iter,marker=".",)
plt.title('Validation error vs Iteration')
plt.xlabel('Iterations')
plt.ylabel('Validation Error')
print(err_all_iter)
plt.figure(1)
plt.scatter(x_valid[0,:],y_p_3k[0,:], s=50, c='b', marker="s", label='3k iter model fit')
plt.scatter(x_valid[0,:],y_valid[0,:], s=50, c='r', marker="o", label='original')
plt.legend(loc='upper left')
plt.title ('Validation Dataset for 3000 iteration')
plt.figure(2)
y_p = model(weight_history_3k[-1],x)
print(np.shape(y_p))
plt.scatter(x[0,:],y_p[0,:],\ s=50,\ c='b',\ marker="s",\ label='3k\ iter\ model\ fit')
plt.legend(loc='upper left')
plt.title ('Training Dataset for 3000 iteration')
plt.figure(3)
y_p = model(weight_history_3k[-1],x_orig)
print(np.shape(y_p))
plt.scatter(x_orig[0,:],y_p[0,:], s=50, c='b', marker="s", label='3k iter model fit')
plt.scatter(x_orig[0,:],y_orig[0,:], s=50, c='r', marker="o", label='original')
plt.legend(loc='upper left')
plt.title ('Total Dataset for 3000 iteration')
plt.show()
```

13.10 Handwritten digit recognition using neural networks Repeat the experiment described in Example 13.15, and produce cost/accuracy history plots like the ones shown in Figure 13.22. You may not reproduce exactly what is reported basedon your particular implementation. However, you should be able to achieve similar results as reported in Example 13.15.

Solution:

For 100 iterations, this implementation achieves training accuracy of 98.48% and a test accuracy of 94% as shown in Figure 8.

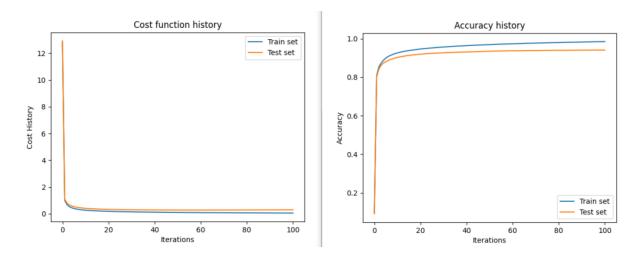


Figure 8 Left plot shows the cost history of the training and test datasets and the right plot shows the respective accuracy.

```
x, y = fetch_openml('mnist_784', version=1, return_X_y=True)
# convert string labels to integer
y = np.array([int(v) for v in y])[:,np.newaxis]
x = DataFrame(x).to_numpy()
y = y.astype(int)
for i in range(np.shape(x)[0]):
    x[i,:] = (x[i,:] - np.mean(x[i,:]))/(np.std(x[i,:]))
x = DataFrame(x)
x = x.dropna()
x = DataFrame(x).to_numpy()
x\_train, \ x\_test, \ y\_train, \ y\_test = model\_selection.train\_test\_split(x.T, \ y, \ test\_size=0.3, \ random\_state=42)
x_train = x_train.T
x_test = x_test.T
print('Size of train input is:', np.shape(x_test))
print('Size of train output is:', np.shape(y_test))
print(type(x))
print(type(y))
np.random.seed(0)
def network_initializer(layer_sizes, scale):
    # container for all tunable weights
    weights = []
    for k in range(len(layer_sizes) -1):
        U_k = layer_sizes[k]
        U_k_plus_1 = layer_sizes[k +1]
        # make weight matrix
        weight = scale* np. random. randn(U_k+ 1, U_k_plus_1)
        weights. append(weight)
        theta_init = [weights[:-1], weights[-1]]
    return theta_init
N = np.shape(x)[0]
U_1 = 100
U_L = 100
layer_sizes = [N, U_1, U_1, C]
theta_init = network_initializer(layer_sizes, scale)
def multisoftmax(a, y):
    index = np.array([range(np.size(y))]).T
    exp_a = np.reshape(np.log(np.sum(np.exp(a), axis=1)), (np.size(y),1)) - a[index,y.T]
    cost = np.sum(exp_a)
    return cost/float(np.size(y))
def feature_transforms(a, w):
        a = W[0] + np. dot(a.T, W[1:])
        a = np.maximum(a,0).T
```

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```
all_mean = np.reshape(np.mean(a, axis=1), (np.shape(a)[0],1))
        all_std = np.reshape(np.std(a,axis=1), (np.shape(a)[0],1))
        a = a - all_mean
        a = a/(all_std+10**-15)
    return a
def model(theta,x,y,batch_inds):
    y = np.reshape(y,(1, np.size(y)))
    x_p = x[:,batch_inds]
    y_p = y[:,batch_inds]
    f = feature_transforms(x_p, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
    cost = multisoftmax(a, y_p)
    return cost
def prediction(x, theta):
    f = feature_transforms(x, theta[0])
    a = theta[1][0] + np. dot(f.T, theta [1][1:])
    return a
max_its = 300
alpha_choice = 1
batch size = 700
weight\_history, cost\_history = gradient\_descent\_batch(model, theta\_init, x\_train, y\_train, alpha\_choice, max\_its, batch\_size)
accuracy_train = np.zeros((np.size(cost_history),1))
for i in range(np.size(cost_history)):
    pred = prediction(x_train, weight_history[i])
    pred = np.reshape(np.argmax(pred, axis=1), (1,np.size(y_train)))
    pred = (pred == y_train.T)
    accuracy_train[i] = np.sum(pred)/np.size(y_train)
cost_test = np.zeros((np.size(cost_history),1))
accuracy_test = np.zeros((np.size(cost_history),1))
for i in range(np.size(cost_history)):
    pred = prediction(x_test, weight_history[i])
    batch_inds = np.arange(np.size(y_test))
    cost = model( weight_history[i],x_test,y_test,batch_inds)
    pred = np.reshape(np.argmax(pred, axis=1), (1,np.size(y_test)))
    pred = (pred == y_test.T)
    accuracy_test[i] = np.sum(pred)/np.size(y_test)
    cost_test[i] = cost
plt.figure(1)
plt.plot(cost_history)
plt.plot(cost_test)
plt.legend(["Train set", "Test set"])
plt.title('Cost function history')
plt.figure(2)
```

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```
plt.plot(accuracy_train)
plt.plot(accuracy_test)
plt.legend(["Train set", "Test set"])
plt.title('# misclassification history')
plt.show()
```