

ASSIGNMENT: HW5

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① During the re-building operation of sub-tree rooted at  $x$ , we will create a new sub-tree  $\text{new}$  with root as ' $x$ ' and all ~~other~~<sup>child</sup> nodes ~~elements~~ of  $x$ . While creating <sup>the</sup> new tree, we will create a balanced tree and of course, that is what we want to achieve during re-building. Now, there can be two cases, the subtree with root  $x$  can have odd number of child nodes or even number of child nodes.

Consider the case that of having ' $x$ ' having odd number of child nodes. In this case, either the left-subtree of  $x$  or right-subtree of  $x$  would be having the extra-one element ~~and size can be at a much more depth of atmost +~~

$$\Rightarrow | \text{size}(\text{left}(x)) - \text{size}(\text{right}(x)) | = 1$$

$$! \quad I(x) = \max\{0, |\text{size}(\text{left}(x)) - \text{size}(\text{right}(x))| - 1\}$$

$$= \max\{0, 1 - 1\}$$

$$= \max\{0, 0\} = 0$$

If we consider even number of child nodes, then a perfect balanced tree will be created ~~and so the height~~ and both the sub-trees will have the same number of elements and so,

$$|\text{size}(\text{left}(x)) - \text{size}(\text{right}(x))| = 0$$

$$\Rightarrow I(x) = \max\{0, |\text{size}(\text{left}(x)) - \text{size}(\text{right}(x))| - 1\}$$

$$= \max\{0, 0 - 1\} = \max\{0, -1\} = \underline{\underline{0}}$$

Hence, after re-building, the imbalance of subtree rooted at  $x$  will be zero.

② If  $B = 1$ , then ~~it means~~  $\text{height}(x) \leq \log(\text{size}(x))$ , th

This means that all the leaf nodes are to be on the same level (height). If any insert operation violates this rule, then we will be re-building the tree.

If  $B > 1$  and very large, say billion, then the height of one sub-tree can be very large than the height of the other sub-tree and still no re-building will occur. So, in worst case, there can only be a left sub-tree (i.e. only elements to the left of each and every node till leaf) and still it might satisfy the mentioned condition. So, in this case the worst time taken to access the leaf would be more of ~~a~~ order  $O(n)$  than  $O(\log n)$ .

(3)

When we consider the Imbalance function as mentioned below

$$I(x) = | \text{size}(\text{left}(x)) - \text{size}(\text{right}(x)) |$$

If we look at delete operation, without the re-building the tree, the Imbalance never changes as there is no physical deletion and so the delete-without-rebuild operation is still  $O(\log n)$

(Ans) Consider the delete-with-rebuild operation. Let  $I(T)$  be the sum of imbalances of the tree before deletion. Now after a re-building, the tree can have odd number of children for the root and in that case the one of the sub-trees will have <sup>one extra</sup> more elements than the other. This increase can occur after  $\log(\frac{\text{size}(T)}{2})$  elements. (since now we have only  $(\frac{T}{2})$  elements)

$$\Delta I \leq -2 \text{size}(T)/2 - I(T) + \log\left(\frac{\text{size}(T)}{2}\right)$$

$$\Rightarrow \Delta I \leq \Delta I = -2 \text{size}(T)/2 - I(T) + \cancel{\log n} - \log 2$$

And we know that the actual cost includes accessing the element ~~of~~  $O(\log n)$  and  $\Theta(n)$ -rebuilding the tree.

So, the amortized cost will increase by  $\log n$  and so it is still  $O(\log n)$

Now, consider the insert-without-rebuilding operation; i.e. In this operation the imbalance at each level just increases by atmost 1 and so the width increase in potential will be same as mentioned in the material

$$\Delta \leq \beta \log n$$

So, the amortized cost is still  $\underline{\underline{O(\log n)}}$

Now, consider the insert-with-rebuild operation. This is also the Here also, it is similar to the rebuilding ~~scenario~~ scenario in delete function, except that the increase in potential <sup>(of original)</sup> will only happen for the sub-tree rooted at ' $x$ '. Meaning, consider only the sub-tree rooted at  $x$ . Because of the new imbalance function, the change in value will be only in this subtree and so the maximum it can increase is  $\text{height}(x)$  (one at each node of subtree rooted at  $x$ )

$$\therefore \text{height}(x) \leq \beta \log (\text{size}(x))$$

So, the final amortized cost increases by a constant factor of  $\log n$  assuming that the  $x$  is some as the root of the tree; i.e. the entire tree becomes unbalanced. So the amortized cost is still  $\underline{\underline{O(\log n)}}$

(4) We need to concatenate two lazy weight balanced trees  $T_1$  and  $T_2$ .  
 Doe as we know for sure that  $T_1$ , all elements of  $T_1$ , are less than all the elements of  $T_2$ . The first step, as mentioned, is to delete the largest-value node from  $T_1$ , which we will refer to as 'patch-node'. Note that, by deleting the patch node, we might decrease the height of tree  $T_1$ , (or) we might not decrease.

Now, we need to consider a certain point  $T_3$  in the modified  $T_1$ . Instead of going down the right edge of modified  $T_1$ , we will consider  $T_3$  as the root of  $T_1$  itself. Since this does not have any root, we take the patch node and add the tree  $T_1$  as its left subtree and  $T_2$  as its right sub-tree.

Note that the value in patch node is the largest value in  $T_1$  subtree and so all the elements of  $T_1$  will be onto the left if we construct a tree with patch node as root.

Also, we know for sure that all elements in  $T_2$  are greater than all elements in  $T_1$  and so they all lie <sup>in</sup> the

(2)

right of the sub-tree, if the tree is having batch-node or the root.

So, the lexicographic condition holds good for the <sup>new</sup> tree (combined or joined tree). Since, by adding the ~~del~~ batch node at root level the height of the new tree will be

$$\text{height}(T_3) = \max\{\text{height}(T_1), \text{height}(T_2)\} + 1$$

and

~~Note~~  
 $\text{size}(T_3) = \text{size}(T_1) + \text{size}(T_2)$

Note that we are not adding 1 to the size, because the batch-node is already present in the tree  $T_1$ , before the join.

~~lets assume that, this new tree will ca~~

If we look at the right sub-tree, which is the modified  $(T_1)$ , it satisfies the balancing condition and similarly the left sub-tree,  $T_2$ , also satisfies the balancing condition. So, if at all the new tree has to re-built, it has to be because the root is out of balance.

~~lets assume that it is true~~

So, if the tree goes out of balance, it will be at the root and we need to re-build the entire tree.

Consider the first scenario, where re-building is not required. So, the actual cost is finding the longest node in tree ( $T_1$ )

$$\Rightarrow \text{Actual cost} = O(\log \text{size}(T_1))$$

Now, we need to compute the change in potential. If the number of marked nodes in  $T_1$  and  $T_2$  are not going to change and so they cancel out.  
The change in imbalance is calculated as follows.

Consider, the new subtree ( $T_1$ ) of the concatenated tree. The difference between this and the old tree  $T_1$  would be that the batch node is missing. So, the potential at each and every node is increased by 1 for the ~~new tree~~ elements in sub-tree  $T_1$  in the new concatenated tree. In addition, we need to compute the imbalance at the root ~~and~~. But there is no change in imbalance for right sub-tree  $T_2$ .

~~∴  $\Delta P = \text{size}(T_3) + \log \text{increase}$~~

$$\Delta P \leq cI(T_3) + c\log(\text{size}(T_1)) ; \text{ where } T_3 \text{ is the root node of new tree}$$

∴ The amortized cost is  $O(\log(\text{size}(T_1)) + \log(\text{size}(T_1)) + cI(T_3))$

$$\text{This and so } O(\log(\text{size}(T_1)))$$

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Consider the scenario, where re-building is required. So, now after re-building the imbalance at each and every node in the new tree will be ~~'0'~~.

Hence

$$\Delta \phi = \Theta(\sum_i)$$

$$\Delta \phi = -c \cdot \sum_{k=1}^{\text{size}(T_1)} I(k) - c \cdot \sum_{j=1}^{\text{size}(T_2)} I(j)$$

And we know that the imbalance function is proportional to the size of that node

$$\therefore \Delta \phi = -\Theta(\text{size}(T_1)) - \Theta(\text{size}(T_2))$$

$$\Rightarrow \Delta \phi = -\Theta(\text{size}(T_1) + \text{size}(T_2))$$

Now, the actual cost includes finding the largest element in  $T_1$  and then re-building the entire tree ( $\text{size}(T_3) = \text{size}(T_1) + \text{size}(T_2)$ )

$$\therefore \text{Actual cost} = \Theta(\log \text{size}(T_1)) + \Theta(\text{size}(T_1) + \text{size}(T_2))$$

$$\therefore \text{Amortized cost} = \text{Actual cost} + \Delta \phi$$

$$= \underline{\underline{\Theta(\log \text{size}(T_1))}}$$

$\therefore$  The amortized cost of this join is

$$\underline{\underline{\Theta(\log (\text{size}(T_1)))}}$$

(5) Let us consider the scenario, where we don't need re-building when we do the insertion. Whenever we do the insertion, we compare the length we have gone into the tree with overall height of tree and update if we travelled more than the height of tree. We simply increment the size of tree by 1. Now, we will validate balancing condition.

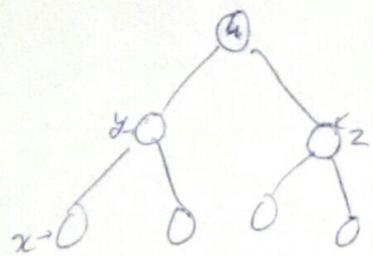
Since, we are considering a scenario where re-building is not required, the balancing condition is satisfied and so, this is similar to the regular operation.

~~Even in this case we need to compute the size and height of each and every node from x to the root, to check~~

In case, the root goes out of balance, we need to find out the lowest unbalanced node. We know for sure that, if  $u'$  is the node having unbalanced tree-subtree, the cost of re-building that tree will be  $O(\text{size}(u))$ .

the time to

Now we need to compute the size and height ( $u$ ) so that we can say that the subtree at root  $u$  is unbalanced.



Let's say that

Consider the above figure, we now need to compute the size and height at 'u'. Before reaching 'u', we know for sure, rather we would have already computed the size(y) and height(y). So, we now need the size(z) and height(z) so that we can compute the size(u) and height(u).

Computing the size(z) requires accessing all the elements in the sub-tree 'z'. That means, in-order to determine the height(u) and size(u), we need to visit each and every element in 'u' and so the it take  $\Theta(\text{size}(u))$  to compute size(u) and height(u).

~~Since accessing and~~

Since computing and re-building can be done in  $O(\text{size}(u))$ , the entire re-building operation can be done in  $O(\text{size}(u))$ .

$\therefore$  The amortized cost of this insert

In worst case scenario, 'u' will become the root of tree

$$\therefore \text{Amortized cost} = \underline{\underline{O(\log \text{size}(T)) + O(\text{size}(T))}}$$

~~Amortized Cost~~

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Consider  $k=1$ . That means we will cut the node  $x$  from its parent as soon as ' $x$ ' loses its first child. So, this in turn, will cut the parent of ' $x$ ' from grand-parent ( $x$ ) since, the parent of ' $x$ ' has lost a single child ( $x$ ). So, this will cause a ripple effect and it will cascade till the root. Since, we don't do any operation ~~and~~ have any cascading cut operation at root level, it will stop there.

~~Note we know that there can be a maximum of log n elements between~~

So, the number of trees going to be created is ~~some~~ proportional to the number of elements between '\*' and the root of sub-tree and so it is  $O(\log n)$ , where  $n$  is number of elements in the heap.

Now to consolidate all the trees at root level, we need an array  $A[0 \dots m]$  and we know that the above operation has created ~~to~~ a number of trees which is proportional to  $O(\log n)$ . Note that, when the above operation happens, the trees that are added at root can all be of different degree ~~on~~ and so no consolidation can happen. So, the maximum array size required is

$$D(n) = O(\log n)$$

Infact, the  $D(n) = O(\log n)$  is applicable for all  $k$ . For  $k=1$ , we can create maximum number of trees ~~containing~~ being inserted into the root-level (it will surely i.e. cascading-cut will happen till root level).

∴ The time bounds of all other heap operations remain same

Make-heap	$O(1)$
Insert	$O(1)$
min	$O(1)$
Extract min	$O(\log n)$
UNION	$O(1)$
Decrease key	$O(1)$
Delete	$O(\log n)$