## Why property-based testing matters

Pedro Vasconcelos pbvascon@fc.up.pt

DCC/FCUP & LIACC





6th December 2024

#### Overview

- ► A long-standing challenge for software engineering is ensuring software correctness
- Formal verification is (still) expensive and rarely used
- Tests are the most commonly used practical technique
- Unit tests are the industry-standard for verification "in the small"

#### This talk

- Property-based testing: an automatic testing alternative to unit tests
- ► A "lightweight" formal method
- Available for many programming languages
- Many successful applications in open-source and some industrial projects
- But still not commonly taught and under-utilized in practice

Slides and demo code:

https://github.com/pbv/why-pbt-matters

# "Lightweight" formal method?

According to Benjamin Pierce, author of Types and Programming Languages

#### Formal method

"A mathematically rigorous technique for validating the actual behaviour of a program against a description of desired behavious."

#### Lightweight formal method

"One that can be applied successfully by someone who doesn't fully understand it." ©

# "Lightweight" formal method?

According to Benjamin Pierce, author of Types and Programming Languages

supports automation

#### Formal method

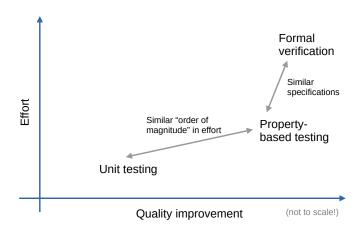
"A mathematically rigorous technique for validating the actual behaviour of a program against a description of desired behavious."

requires automation

#### Lightweight formal method

"One that can be applied successfully by someone who doesn't fully understand it." ©

#### PBT vs unit tests vs formal verification



#### Unit tests

- ► Code fragments for testing functions, classes, libraries, etc.
- Express the expected outputs for specific combinations of inputs
- Example: testing an integer square root function in Python

```
def test_isqrt():
    assert isqrt(0) == 0
    assert isqrt(2) == 1
    assert isqrt(4) == 2
    assert isqrt(5) == 2
    assert isqrt(9) == 3
```

#### Problems with unit tests

#### Cognitive bias:

how can we include an edge case in the tests that we didn't consider in the code?

#### Poor scaling:

- ▶ a few unit tests per feature
- ▶ for n features, O(n) unit tests
- but testing *interactions* between features requires  $O(n^2), O(n^3), \ldots$  unit tests

#### Problems with unit tests

#### Cognitive bias:

how can we include an edge case in the tests that we didn't consider in the code?

#### Poor scaling:

- ▶ a few unit tests per feature
- ▶ for n features, O(n) unit tests
- but testing *interactions* between features requires  $O(n^2)$ ,  $O(n^3)$ ,... unit tests

#### Solution:

"Don't write tests — generate them!"

John Hughes, co-author of the *QuickCheck* PBT library



#### Property-based testing

- Write properties instead of specific tests
  - should be universal, i.e. hold for all values
  - should define the expected behaviour for all cases
- Specify generators for the inputs
- The testings framework runs the property with a large number of inputs
  - testing fails if a counter-example is found
  - otherwise, testing succeeds

## Property-based testing (cont.)

- QuickCheck (2000): first PBT library (for Haskell)
- Other implementations:

```
PropEr for Erlang
ScalaCheck for Scala
Hypothesis for Python
FsCheck for F#
JUnit-QuickCheck for Java
RapidCheck for C++
```

Many others: https://en.wikipedia.org/wiki/QuickCheck

What can we say about the integer square root function?

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be *largest non-negative integer* such that  $r^2 \le n$ .

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be largest non-negative integer such that  $r^2 \le n$ .

```
from hypothesis import given
import hypothesis.strategies as st
@given(st.integers(min_value=0))
def test_isqrt(n):
    r = isqrt(n)
    assert r>=0 and r**2<=n and (r+1)**2>n
```

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be largest non-negative integer such that  $r^2 \leq n$ .

```
from hypothesis import given
import hypothesis.strategies as st
@given(st.integers(min_value=0))
def test_isqrt(n):  # for all n
    r = isqrt(n)
    assert r>=0 and r**2<=n and (r+1)**2>n
```

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be largest non-negative integer such that  $r^2 \leq n$ .

```
from hypothesis import given
import hypothesis.strategies as st
@given(st.integers(min_value=0)) # non-negative integer
def test_isqrt(n): # for all n
    r = isqrt(n)
    assert r>=0 and r**2<=n and (r+1)**2>n
```

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be largest non-negative integer such that  $r^2 \leq n$ .

```
from hypothesis import given
import hypothesis.strategies as st
@given(st.integers(min_value=0)) # non-negative integer
def test_isqrt(n): # for all n
    r = isqrt(n)
    assert r>=0 and r**2<=n and (r+1)**2>n # assertion
```

What can we say about the integer square root function?

Let n be an arbitrary non-negative number; let r = isqrt(n); then

$$r \ge 0 \wedge r^2 \le n \wedge (r+1)^2 > n$$

i.e. r should be largest non-negative integer such that  $r^2 \leq n$ .

In Python:

```
from hypothesis import given
import hypothesis.strategies as st
@given(st.integers(min_value=0)) # non-negative integer
def test_isqrt(n): # for all n
    r = isqrt(n)
    assert r>=0 and r**2<=n and (r+1)**2>n # assertion
```

(Cue demo.)



## Properties in Hypothesis

- Properties are functions. . .
- ... that should fail if the expected condition is not met
- Arguments are universally quantified
- For each property:
  - test with a large number of random values (100 by default)
  - generated using strategies (defined by @given)
- Module hypothesis.strategies provides:
  - predefined strategies for basic types
  - methods for modifying and combining strategies

#### Strategies

```
floats() generate floating-point numbers
integers() generate integers
booleans() generate logical values
   text() generate Unicode strings
   lists(s) lists of elements given by strategy s
   ... many others
```

#### We can also:

- customize strategies using parameters (e.g. min\_value)
- modify strategies by mapping and filtering
- combine stategies using combinators

#### Generating data

```
>>> integers().example()
848041
>>> lists(integers(min_value=0, max_value=100)).example()
[2, 29, 54, 66, 1, 27, 77, 81, 51, 18, 18]
>>> lists(integers().map(lambda x:x*2)).example()
[6668, -38, 1081651134, -6590]
>>> lists(integers()).map(sorted).example()
[-6913, -59, 37, 77, 90, 25088]
>>> lists(one_of(integers(), booleans())).example()
[True, True, -1318, True, True, -46, -46, True, -46]
```

## Another example

Let's test the interaction between *list reverse* and *append*. Consider x, y two arbitrary lists:

$$reverse(x + y) = ???$$

## Another example

Let's test the interaction between *list reverse* and *append*. Consider x, y two arbitrary lists:

$$reverse(x + y) = reverse(y) + reverse(x)$$

Example:

$$reverse([1,2] + [3,4]) = reverse([3,4]) + reverse([1,2])$$
  
=  $[4,3] + [2,1]$   
=  $[4,3,2,1]$ 

## Testing with lists of integers

```
intlist = st.lists(st.integers())
@given(intlist, intlist)
def test_reverse_append(x, y):
    assert reverse(x + y) == reverse(x) + reverse(y)
```

## Testing with lists of integers

```
intlist = st.lists(st.integers())
@given(intlist, intlist)
def test_reverse_append(x, y):
   assert reverse(x + y) == reverse(x) + reverse(y)
pytest basic.py -k test_reverse_append
_____ test_reverse_append _____
x = [0], y = [1]
What happened...?
```

## Checking expectations

We've written the property incorrectly!

```
assert reverse(x + y) == reverse(x) + reverse(y)
  instead of
assert reverse(x + y) == reverse(y) + reverse(x)
```

Hypothesis found a counter-example for the wrong property:

$$reverse([0] + [1]) \neq reverse([0]) + reverse([1])$$

- This is the smallest counter-example that falsifies the property
- Hypothesis always find this counter-example regardless of random generation!

## Shrinking

- Hypothesis attempts to simplify counter-examples before presenting; e.g.:
  - removing elements from the lists
  - shrinking elements inside the lists
- ▶ This is useful to remove "noise" from randomly generated data
- For the previous example we obtain the minimal counter-example
- In general, shrinking only finds a local minimum

#### A real-world example

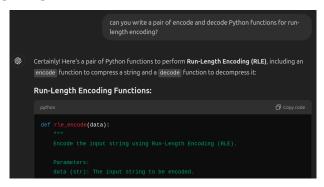
- ► Erlang code for SMS text packing at Ericsson
- ▶ 7-bit characters transmitted using 8-bit *bytes*
- ► GSM standard: pack 8 caracteres into 7 bytes
- ► Two functions (translated to Python):
  - pack(seq: bytes) -> bytes
    unpack(seq: bytes) -> bytes
- Roundtrip property: unpack is the inverse of pack

$$unpack(pack(seq)) = seq$$
, for all  $seq$ 

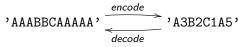
## A real-world example (cont.)

- ➤ John Hughes's company (QuviQ) found a subtle bug affecting strings of length multiple of 8 ending in a \NUL character
- ▶ The code had been unit tested and was in production

## Validating AI generated code



- Let us use Hypothesis to validate Al generated code
- Problem: write a pair of encode/decode functions for run-length encoding



#### Testing ChatGPT solution

"Roundtrip" property i.e. decode is the inverse of encode

```
@given(st.text())
def test_decode_encode_1(s):
    assert rle_decode(rle_encode(s)) == s
```

#### Testing ChatGPT solution

"Roundtrip" property i.e. decode is the inverse of encode

The solution doesn't work for strings with digits!

## Characterizing failure

We can check if the generated code works when the string contains no digits:

```
no_digits = st.characters(exclude_categories='N')
@given(st.text(alphabet=no_digits))
def test_decode_encode_2(s):
    assert rle_decode(rle_encode(s)) == s
```

- ► The property now passes the default 100 tests
- We could now try to fix the solution for digits
- But first: perform some statistics on the testing data

#### Characterizing test data

```
def longest_count(s: str) -> int:
    "Compute the maximum length of repeated chars."
    ...

@given(st.text(alphabet=no_digits))
def test_decode_encode_3(s):
    event(f'longest = {longest_count(s)}')
    assert rle_decode(rle_encode(s)) == s
```

# Characterizing test data (cont.)

======== Hypothesis Statistics =========

```
*75.70\%, longest = 1
```

- \* 22.69%, longest = 2
- \* 1.00%, longest = 0
- \* 0.60%, longest = 3
- ▶ 75% of the test cases had no repeats
- ▶ 22% had maximum of 2 repeats
- No test case had more than 3 repeats
- Why? Because text() chooses each character independently

#### Improving test data generation

We will use combinators to write a strategy that:

- 1. generates a long sequence of a *single repeated* character;
- 2. *or* generates text as before.

## Improving test data generation (cont.)

#### This gives much better test data distribution:

```
* 35.69%, longest = 1

* 12.90%, longest = 2

* 10.48%, longest = 3

* 5.44%, longest = 0

* 4.23%, longest = 20

* 4.23%, longest = 6

* 3.63%, longest = 4

* 3.23%, longest = 7

* 3.02%, longest = 8

* 2.82%, longest = 12
```

```
* 2.82%, longest = 14

* 2.82%, longest = 17

* 1.81%, longest = 9

* 1.61%, longest = 10

* 1.61%, longest = 13

* 1.41%, longest = 15

* 1.21%, longest = 19

* 0.60%, longest = 5

* 0.40%, longest = 18
```

#### Conclusion

- ▶ PBT philosophy: write *properties* and *generate* tests
- Lightweight: implemented as libraries
- ► Flexible: domain-specific languages (DSLs) for writing data generators and properties
- Scales to realistic software
- Couples executable specifications with code
- Useful for comunicating expectations among developers
- Useful for finding subtle bugs in complex systems

## Challenges

- Writting effective properties
  - training software engineers to think about pre- and post-conditions, invariants, etc.
  - universities can play a significant role here
  - helping industry adopt a higher-skill technology
- PBT works best with software that is well structured
- Design systems around properties and not the other way around

#### References

- K. Claessen and J. Hughes. QuickCheck: A lightweight tool for random testing of Haskell programs, ACM ICFP 2000
- D. R. MacIver et al. Hypothesis: A new approach to property-based testing, The Journal of Open Source Software, 2019. See also https://hypothesis.readthedocs.io/
- T. Arts, J. Hughes and J. Johansson *Testing Telecoms Software with Quviq QuickCheck*, ACM Workshop on Erlang, 2006
- T. Arts, J. Hughes, U. Norell and H. Svensson, *Testing AUTOSAR software with QuickCheck*, IEEE ICSTW 2015
- H. Goldstein, et al. Property-Based Testing in Practice, IEEE/ACM ICSE 2024

# Extra slides

## Writing properties

```
equivalence f(x) = f_{spec}(x) e.g. f(x) is an optimized
              implementation and f_{spec}(x) is a reference
              implementation.
idempotency f(f(x)) = f(x)
     inverse g(f(x)) = x
associativity f(x, f(y, z) = f(x, y), z)
commutativity f(x, y) = f(y, x)
right identity f(x, zero) = x
 left identity f(zero, x) = x
```

Hypothesis can write these kind of properties for you — see hypothesis.ghostwriter.

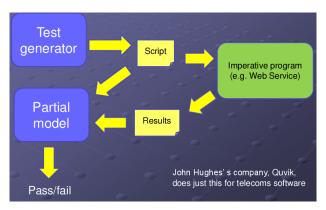
## Stateful programs

We can also use PBT to test programs that:

- 1. modify state;
- 2. read and write files;
- 3. use network services, databases, etc.

## Testing stateful programs

- Generate sequences of commands
- Specify behaviour using a functional model (state machine)
- ► Compare the execution against the model



## Industrial use example

- ► Ericsson Media proxy (Java and C++)
- Establish telephony connection throught a firewall
- ► Tested with Erlang QuickCheck (Quviq.com)
- Adding and removing participants in a call
- ▶ Random counterexample with 160 commands
- Shrunk automatically to 7 commands

