

# Functional Analysis– Spring 2024

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4. Let  $p$  be defined on a vector space  $X$  and satisfy (1) and (2). Show that for any given  $x_0 \in X$  there is a linear functional  $\tilde{f}$  on  $X$  such that  $\tilde{f}(x_0) = p(x_0)$  and  $|\tilde{f}(x)| \leq p(x)$  for all  $x \in X$ .

Let  $f \in X'$  and  $f(x_0) = p(x_0)$ . Clearly,  $f$  is defined on the subspace spanned by  $x_0$ , that is,  $f$  is linear and  $f(\alpha x_0) = \alpha f(x_0)$ . The Hahn-Banach Theorem says that there exists an extension of  $f$ , namely,  $\tilde{f} \in X'$  such that  $|\tilde{f}(x)| \leq p(x)$  for all  $x \in X$ .

7. Give another proof of Theorem 4.3-3 in the case of a Hilbert space.

**Theorem 4.3-3a: (Bounded linear functionals, Hilbert).** Let  $X$  be a Hilbert space and let  $x_0 \neq 0$  be any element in  $X$ . Then there exists a bounded linear functional  $\tilde{f}$  on  $X$  such that

$$\|\tilde{f}\| = 1, \quad \tilde{f}(x_0) = \|x_0\|$$

Proof: Let  $x_0 \in X$ , then  $Z$  is the subspace spanned by  $x_0$ . Any Cauchy sequence in  $Z$  will converge because that same sequence is in  $X$  which is complete. Hence,  $Z$  is also complete. We know that, for any  $f_g \in Z'$  there exists a  $g \in Z$  such that  $f_g(x) = \langle x, g \rangle$  and  $\|f_g\| = 1$ . By Hahn-Banach, there exists an extension  $\tilde{f} \in X'$  such that  $\|\tilde{f}\| = 1$  and  $|\tilde{f}(x_0)| = \|\tilde{f}\| \|x_0\| = \|x_0\|$ .

8. Let  $X$  be a normed space and  $X'$  its dual space. If  $X \neq \{0\}$ , show that  $X'$  cannot be  $\{0\}$ .

Let  $f(x) = \|x\|$ , this is linear by definition. Therefore,  $f \in X'$ . We can see that when  $x \neq 0$  that  $f(x) \neq 0$ . Therefore  $f$  is not the zero function and  $X' \neq \{0\}$ .

9. Show that for a separable normed space  $X$ , theorem 4.3-2 can be proved directly, without the use of Zorn's Lemma (which was used indirectly, namely, in the proof of Theorem 4.2-1).

We still need a function  $p$  defined over all of  $X$ . We can still use the  $p$  defined in the proof, that is

$$p(x) = \|f\|_Z \|x\|$$

and we know that it satisfies condition (1) and (2) as well by

$$\begin{aligned} p(x+y) &= \|f\|_Z \|x+y\| \leq \|f\|_Z (\|x\| + \|y\|) = p(x) + p(y) \\ p(\alpha x) &= \|f\|_Z \|\alpha x\| = |\alpha| \|f\|_Z \|x\| = |\alpha| p(x) \end{aligned}$$

What we need now is an  $\tilde{f}$  which is a maximal function such that  $\tilde{f} \leq p(x)$  for all  $x \in X$ . Let  $f_1$  be a linear extension of  $f$  over  $\mathcal{D}(f_1)$ . That is  $f_1(x) = f(x)$  for all  $x \in Z$ . We know that  $f_1$  exists because at the very least  $f_1 = f$  and  $\mathcal{D}(f_1) = Z$ . When  $x_1 \in X \setminus Z$  we now have  $Z \subset \mathcal{D}(f_1)$ . We can repeat this for  $f_2$  giving us  $x_2, \mathcal{D}(f_2)$ , and so on. For any number  $n$  we have a set  $(f_i)$  such that  $f(x) \leq f_i(x) \leq p(x)$  for all  $x \in \bigcup_{i=1}^n \mathcal{D}(f_i)$ . Since,  $X$  is dense we know that there exists an  $f_{n+1}$  and  $x_{n+1}$ , thus  $\bigcup_{i=1}^\infty \mathcal{D}(f_i) = X$ . Let  $f_i \rightarrow \tilde{f}$ . Thus  $\tilde{f}(x) = f(x)$  when  $x \in Z$  and  $\tilde{f}(x) \leq p(x)$  when  $x \in X$ . Further, each  $f_i$  is an extension of  $f$  we know that  $\|f\|_Z = \|f_i\|_{\mathcal{D}(f_i)}$  thus  $\|f\|_Z = \|\tilde{f}\|_X$ .  $\square$

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10. (**Space**  $c_0$ ) Let  $y = (\eta_j), \eta_j \in \mathbb{C}$ , be such that  $\sum \xi_j \eta_j$  converges for every  $x = (\xi_j) \in c_0$ , where  $c_0 \in l^\infty$  is the subspace of all complex sequences converge to zero. Show that  $\sum |\eta_j| < \infty$ . (Use 4.7-3)

Let  $T : c_0 \rightarrow \mathbb{C}$  such that  $T_n(x) = \sum_{i=1}^n \xi_i \eta_i$ .  $(T_n(x))$  is bounded, thus,  $(T_n)$  is bounded. By the Unified Boundedness Theorem there exists  $c > 0$  such that  $\|T_n\| < c$  for all  $n \in \mathbb{N}$ . For each  $n$  there is a sequence  $x_n = (\mu_1, \mu_2, \dots, \mu_n, \dots)$  where  $\mu_i$  are on the unit sphere, that is  $\|\mu_i\| = 1$  and  $\mu_i \eta_i = \eta_i$ . Since  $\|T_n\| \leq c$  for all  $n \in \mathbb{N}$ , we have  $T_n(x_n) = \sum_{i=1}^n \|\mu_i \eta_i\| = \sum_{i=1}^n \|\eta_i\| < c$ . Since this is true for all  $n$ ,  $y$  is convergent.

11. Let  $X$  be a Banach space,  $Y$  a normed space and  $T_n \in B(X, Y)$  such that  $(T_n x)$  is Cauchy in  $Y$  for every  $x \in X$ . Show that  $(\|T_n\|)$  is bounded.

For any  $j \in \mathbb{N}$  we know that  $T_j$  is Cauchy in  $Y$ . Therefore, given any convergent sequence  $(x_k) \in X$  we know that  $(T_j x_k)$  converges, there must be some number  $y_j \in Y$  such that  $\|T_j x_k\| = \|T_j x_k\| \|x_k\| \leq \|T_j\| \|x_k\|$  for all  $x_k$ . That is, there exists  $c_j$  such that  $\|T_j\| \leq c_j$ . By Uniform Boundedness Theorem, there exists a  $c$  such that  $\|T_n\| \leq c$  for all  $n \in \mathbb{N}$ , thus  $(\|T_n\|)$  is bounded.

13. If  $(x_n)$  in a Banach space  $X$  is such that  $(f(x_n))$  is bounded for all  $f \in X'$ , show that  $(\|x_n\|)$  is bounded.

Let  $g : X \rightarrow X''$  be such that  $g(x)f = f(x)$  and  $f \in X'$ . Then  $|g(x)f| \leq |f(x)| \leq \|f\| \|x\|$  and hence bounded. Further,  $(g(x_n)f)$  is bounded because  $(f(x_n))$  is bounded for all  $f \in X'$ .  $X$  is complete therefore,  $(|g(x_n)f|)$  is bounded implies that  $(\|x_n\|)$  is bounded.

14. if  $X$  and  $Y$  are Banach spaces and  $T_n \in B(X, Y), n = 1, 2, \dots$ , show that equivalent statements are:

- (a)  $(\|T_n\|)$  is bounded.
- (b)  $(\|T_n x\|)$  is bounded for all  $x \in X$ .
- (c)  $(|g(T_n x)|)$  is bounded for all  $x \in X$  and all  $g \in Y'$ .

Let's show that  $B(X, Y)$  is complete. Let  $T_n \in B(X, Y)$  be a Cauchy sequence. For each  $x \in X$ , we have

$$\|T_n x - T_m x\| \leq \|T_n - T_m\| \|x\|,$$

which shows that  $(T_n x)$  is Cauchy in  $Y$ . Since  $Y$  is complete, there is a  $y \in Y$  such that  $T_n x \rightarrow y$ . We can see that  $T : X \rightarrow Y$  where  $T_n x = y$  forms a linear map. For any  $\epsilon > 0$ , let  $N_\epsilon$  be such that  $\|T_n - T_m\| < \epsilon/2$  for all  $n, m \geq N_\epsilon$ . Whenever,  $n \geq N_\epsilon$ , for each  $x \in X$ , there is an  $m_x \geq N_\epsilon$  such that  $\|T_{m_x} x - T x\| \leq \epsilon/2$ . If  $\|x\| = 1$  we have

$$\|T_n x - T x\| \leq \|T_n x - T_{m_x} x\| + \|T_{m_x} x - T x\| \leq \epsilon$$

It follows that as  $n \geq N_\epsilon$ , then

$$\|T x\| \leq \|T_n x\| + \|T x - T_n x\| \leq \|T_n\| + \epsilon$$

for all  $x$  with  $\|x\| = 1$ , so  $T$  is bounded. It follows that  $\lim_{n \rightarrow \infty} \|T_n - T\| = 0$ . Therefore,  $T_n \rightarrow T$  and  $B(X, Y)$  is complete.

- (a)  $T_n \rightarrow T$  thus  $\|T_n\| \rightarrow \|T\|$  thus  $(\|T_n\|)$  is bounded.

- (b)  $\|T_n x\| \leq \|T_n\| \|x\|$  for all  $x \in X$  and  $\|T_n\|$  is bounded thus  $(\|T_n x\|)$  is bounded.

- (c)  $Y$  is a Banach space  $Y'$  is also a Banach space. Thus, given any  $g \in Y'$  and any convergent sequence  $x_n \in X$  then  $(|g(x_n)|)$  converges. Let  $x_n = T_n x_0$  for some fixed  $x_0 \in X$  and we can see that  $(|g(T_n x_0)|)$  converges. Since  $x_0$  and  $g$  are arbitrary we see that  $(|g(T_n x)|)$  converges for all  $x \in X$  and  $g \in Y'$ .