

# Functional Analysis– Spring 2024

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p. 290 #6, 7

6. Let  $X$  and  $Y$  be Banach spaces and  $T : X \rightarrow Y$  an injective bounded linear operator. Show that  $T^{-1} : \mathcal{R}(T) \rightarrow X$  is bounded if and only if  $\mathcal{R}(T)$  is closed in  $Y$ .

- $(\Rightarrow)$   $T^{-1} : \mathcal{R}(T) \rightarrow X$  is bounded. Given any Cauchy sequence  $(x_n) \in X$  we know that  $\|x_n - x_m\| \rightarrow 0$  as  $n, m \rightarrow \infty$ . Further, we know that  $\|Tx_n - Tx_m\| \leq \|T\| \|x_n - x_m\|$  which implies that  $\|Tx_n - Tx_m\| \rightarrow 0$  as  $n, m \rightarrow \infty$ . Let  $x$  be such that  $Tx_n \rightarrow x$  as  $n \rightarrow \infty$ . Clearly,  $x \in X$  because  $X$  is complete and  $Tx \in \mathcal{R}(T)$  and  $\|Tx_n - Tx\| \leq \|T\| \|x_n - x\| \rightarrow 0$  as  $n \rightarrow \infty$ . Thus,  $\mathcal{R}(T)$  is closed.
- $(\Leftarrow)$   $\mathcal{R}(T)$  is closed. Given any sequence  $(y_n) \in \mathcal{R}(T)$  we know that it converges. Let  $y$  be such that  $y_n \rightarrow y$  as  $n \rightarrow \infty$ . Since  $T$  is injective then  $T^{-1} : \mathcal{R}(T) \rightarrow X$  is a function. Let  $x_i = T^{-1}(y_i)$  for all  $i \in \mathbb{N}$  and  $x = T^{-1}y$ .  $\|y_n - y\| \rightarrow 0$  thus  $\|T^{-1}(y_n - y)\| = \|T^{-1}(y_n) - T^{-1}(y)\| = \|x_n - x\| \leq \|T^{-1}\| \|y_n - y\| \rightarrow 0$  as  $n \rightarrow \infty$ .

$$\begin{aligned} \|T^{-1}(y_n - y)\| &= \|T^{-1}(y_n) - T^{-1}(y)\| \\ &= \|x_n - x\| \\ &\leq \|T^{-1}\| \|y_n - y\| \\ &\leq \|T^{-1}\| \|T\| \|x_n - x\| \rightarrow 0 \text{ as } n \rightarrow \infty \end{aligned}$$

$T$  is bounded.

7. Let  $T : X \rightarrow Y$  be a bounded linear operator, where  $X$  and  $Y$  are Banach spaces. If  $T$  is bijective, show that there are positive real numbers  $a$  and  $b$  such that  $a \|x\| \leq \|Tx\| \leq b \|x\|$  for all  $x \in X$ .

$T$  bounded means that there exists  $b$  such that  $\|Tx\| \leq b \|x\|$  for all  $x \in X$ .  $T^{-1}$  bounded means that there exists positive number  $c$  such that  $\|T^{-1}y\| \leq c \|y\|$  for all  $y \in Y$ . Let  $x$  be such that  $Tx = y$ . Then  $\|T^{-1}y\| = \|x\| \leq c \|Tx\|$ . Let  $a = 1/c$  then  $a \|x\| \leq \|Tx\| \leq b \|x\|$ .

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8. Let  $X$  and  $Y$  be normed spaces and let  $T : X \rightarrow Y$  be a closed linear operator.

(a) Show that the image  $A$  of a compact subset  $C \subset X$  is closed in  $Y$ .

Let  $(x_n) \in C$ . Since  $C$  is compact, let  $\alpha$  be the ordered set of integers such that  $(x_i)_{i \in \alpha}$  converges and let  $x_{\alpha_i} \rightarrow x$  as  $i \rightarrow \infty$ . Then  $T(x_{\alpha_i}) \in A$  for all  $i \in \mathbb{N}$ . Since  $T$  is a closed linear operator and  $C$  is compact (hence closed) the set  $\mathcal{G}(T) = \{(x, y) \mid x \in C, y \in A\}$  must also be closed. Therefore  $((x_{\alpha_i}, Tx_{\alpha_i})) \in \mathcal{G}(T)$  as  $i \rightarrow \infty$  so must  $(x, Tx) \in \mathcal{G}(T)$  which means that  $Tx \in A$ . Hence  $A$  is closed in  $Y$ .

(b) Show that the inverse image  $B$  of a compact subset  $K \subset Y$  is closed in  $X$ . (Cf. Def. 2.5-1)

Let  $(y_n) \in K$  and let  $\alpha \subset \mathbb{N}$  be an ordered set of indices such that  $(y_{\alpha_n})$  converges and let  $y = (y_{\alpha_n})$ . Then  $\|(y_{\alpha_n}) - y\| \rightarrow 0$  as  $n \rightarrow \infty$ . Thus,  $\|T^{-1}y_{\alpha_n} - T^{-1}y\| = \|T^{-1}(y_{\alpha_n} - y)\| \leq \|T^{-1}\| \|y_{\alpha_n} - y\| \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $T^{-1}$  is closed  $T^{-1}y \in B$  and  $B$  is closed.

9. If  $T : X \rightarrow Y$  is a closed linear operator, where  $X$  and  $Y$  are normed spaces and  $Y$  is compact, show that  $T$  is bounded.

Let  $(x_n)$  be a sequence in  $X$  then since  $Y$  is compact  $(Tx_n)$  converges and let  $y = Tx_n$  as  $n \rightarrow \infty$  and let  $x$  be such that  $Tx = y$ . Thus  $\|Tx_n - y\| = \|Tx_n - Tx\| \leq M \|x_n - x\| \rightarrow 0$ . Thus,  $T$  is bounded.

10. Let  $X$  and  $Y$  be normed spaces and  $X$  compact. If  $T : X \rightarrow Y$  is a bijective closed linear operator, show that  $T^{-1}$  is bounded.

Let  $A \subset X$  be closed and bounded.  $T$  is bijective implies that  $T^{-1}TA = A$  thus  $(T^{-1})^{-1}(C) = T(C) \subset Y$  which is compact, that implies that  $T^{-1}$  is continuous and hence, bounded.

p. 246 #2, 3, 4

2. Give a simpler proof of Lemma 4.6-7 for the case that  $X$  is a Hilbert space.

Let  $\tilde{f}(x) = \delta \langle x, x_0 \rangle / \|x_0\|$ . When  $x \in Y$ ,  $\tilde{f}(x) = 0$  and when  $x = x_0$ ,  $\tilde{f}(x_0) = \delta$ .

3. If a normed space  $X$  is reflexive, show that  $X'$  is reflexive.

$X$  reflexive implies that  $C_X : X \rightarrow X''$  defined as  $x \mapsto g_x(f) = f(x)$  is isomorphic. Let  $C_{X'} : X' \rightarrow X^{(3)}$  where  $X^{(3)}$  is the dual-dual of  $X'$ . Let  $h \in X^{(3)}$  and define  $\tilde{h} \in X'$  by  $\tilde{h}(f) = h(C_X(f))$  for all  $f \in X$ . Then, for all  $g \in X''$ , we have  $C_{X'}(\tilde{h})(g) = g(\tilde{h}) = \tilde{h}(C_X^{-1}(g)) = h(g)$ . That is,  $C_{X'}(\tilde{h}) = h$  which implies that  $C_{X'}$  is surjective and hence bijective, thus an isomorphism.

4. Show that a Banach space  $X$  is reflexive if and only if its dual space  $X'$  is reflexive. (*Hint.* It can be shown that a closed subspace of a reflexive Banach space is reflexive. Use this fact, without proving it.)

( $\Rightarrow$ ) see exercise 3

( $\Leftarrow$ )  $X'$  is reflexive. Then the canonical embedded mapping,  $C : X \rightarrow X''$ , maps all of  $X$  onto  $X''$ , that is  $C(X)$  is a subspace of  $X''$  isomorphic to  $X$ . Hence,  $C(X)$  is a Banach Space and closed. Thus, by the Hint,  $C(X)$  is reflexive and, being isomorphic, makes  $X$  reflexive.

p. 268 #4, 7

4. Show that weak convergence in footnote 6 implies weak\* convergence. Show that the converse holds if  $X$  is reflexive.

Let  $(f_n) = f$  be a weak\* convergent sequence of functions in  $X'$  and let  $X$  be reflexive. Therefore  $\|f_n(x) - f(x)\| \rightarrow 0$  for each  $x \in X$ . If we choose  $g_x \in X''$  be associated with  $x$ . Then we can say  $\|g_x(f_n(x) - f(x))\| = \|g_x(f_n)(x) - g_x(f)(x)\| \rightarrow \|g_x(0)(x)\|$  which is true for all  $x \in X$ . Thus  $\|g_x(f_n) - g_x(f)\| \rightarrow 0$  which is strongly convergent.

7. Let  $T_n \in B(X, Y)$ , where  $X$  is a Banach space. If  $(T_n)$  is strongly operator convergent, show that  $(\|T_n\|)$  is bounded.

Let  $x$  be any fixed member of  $X$ . Then,  $\|T_n x - T x\| \rightarrow 0$  as  $n \rightarrow \infty$ .

$$\begin{aligned} \|T_n x - T x\| &\leq \|T_n x\| - \|T x\| \\ &\leq \|T_n\| \|x\| - \|T\| \|x\| \\ &\leq (\|T_n\| - \|T\|) \|x\| \\ \therefore \|T_n\| - \|T\| &\rightarrow 0 \end{aligned}$$

hence bounded.