LLMs from Dummies

Topics

- 1.Language translation
 - 1. Simplest program: Literal translation
 - 2.Dealing with missing tokens
- 2.Literal translation using "ML"
 - 1.Encoding: One hot
 - 2.Using matrices
 - 3.A 'dictionary' using matrices
 - 4. Decoding: Cosine similarity
- 3.Attention
 - 1.Similar tokens: Softmax
 - 2.Matrix Query: Q
 - 3.Scaled dot-product attention
- 4.Attention Head
 - 1.Weight matrices
 - 2.Connecting matrices
- 5. Revisiting tokens & encoding
 - 1.Tokenizing: BPE
 - 2.Encoding: Embeddings
- 6.Transformer Block
 - 1.Multi-headed attention
 - 2.Non-linear (feed forward) layer
 - 3.Stack blocks
 - 4. Masked attention
- 7. Transformer
 - 1.Stacking deep networks
 - 2. Normalization layers
 - 3.Skip connections
 - 4.Dropout

- 8. Pre-training, Training, Fine-tunning, Adapting, Instruct
 - 8. Pre-training numbers (GPU hours, params, etc.)
 - 9. LORA / PERF: Basic concepts
 - 10. "Instruct" models
- 9. Prompts all the way down
 - 8. "Chat": Just isolated requests with "memory" of conversations
 - 9. "Context": Just add a sentence to the prompt
 - 10. "Prompt engineering": Similar to adding the right words in a Google search
- 10. Frameworks
 - 8. LangChain (API, Models, LLM, Prompts, Agents). Simple examples
 - 9. Vector databases
 - 10. Huggingface
- 11. Scaling inference & training
 - 8. Single GPU: Quantization, fp16, etc.
 - 9. Multiple GPUs: PP, ZeRO, TP, Sharding, etc.

Basic concepts: Methodology

We will start by creating a trivial program.

Then we'll transform it into a Neural Network model.

We'll generalize concepts one by one...

...until we reach an LLM

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Language translation

Let's create the simplest program to translate

"le chat est sous la table" => "the cat is under the table"

Simplest: We'll use literal (i.e. word by word) translation

We need a dictionary

dictionary[key] = value

```
dictionary = {
    'le': 'the'
    , 'chat': 'cat'
    , 'est': 'is'
    , 'sous': 'under'
    , 'la': 'the'
    , 'table': 'table'
}

dictionary['chat'] = 'cat'
```

1. Split the sentence into words ("tokens")

2. Translate each word

```
def tokenize(text):
    ''' Split sentences into tokens (words) '''
    return text.split()

def translate(sentence):
    ''' Translate a sentence '''
    out = ''
    for token in tokenize(sentence):
        out += dictionary[token] + ''
    return out
```

It works

What if a 'token' is not in the dictionary?

E.g.: we have a similar word

```
translate("tables")
    0.0s
 in <module>:1
   1 translate("tables")
 in translate:5
              Translate a sentence
         out =
          for word in tokenize(sentence):
              out += dictionary[word] +
   6
         return out
KeyError: 'tables'
```

What if a 'token' is not in the dictionary?

Let's improve this by relaxing key matching

- We have a "query" token
- We find the closest "key" in the dictionary

```
from Levenshtein import distance
def find_closest_key(query):
       Find closest key in dictionary '''
    closest_key, min_dist = None, float('inf')
    for key in dictionary.keys():
        dist = distance(query, key)
        if dist < min_dist:</pre>
            min_dist, closest_key = dist, key
    return closest_key
def translate(sentence):
       Translate a sentence '''
   out = ''
    for query in tokenize(sentence):
        key = find_closest_key(query)
        out += dictionary[key] + ' '
    return out
```

Now we can "approximately translate" words that are NOT in our dictionary

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Now let's use ML

So far we did not use any ML. Let's convert our program to a Neural network. We cannot use "string" tokens in neural networks, we use vectors instead. What is the simplest vector representation for our vocabularies?

Let's define "vocabulary":

```
# Vocabulary: All the words in the dictionary
vocabulary_in = sorted(list(set(dictionary.keys())))
print(f"Vocabulary input ({len(vocabulary_in)}): {vocabulary_in}")

vocabulary_out = sorted(list(set(dictionary.values())))
print(f"Vocabulary output ({len(vocabulary_out)}): {vocabulary_out}")

vocabulary input (6): ['chat', 'est', 'la', 'le', 'sous', 'table']
Vocabulary output (5): ['cat', 'is', 'table', 'the', 'under']
```

We can use "one hot" encoding

Each token is a vector filled with zeros, except in one position where we set it to one.

$$egin{aligned} E_{chat} = egin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \ E_{est} = egin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix} \ E_{la} = egin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix} \ E_{le} = egin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \ E_{sous} = egin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \ E_{table} = egin{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \end{aligned}$$

Note: Vector dimension = Vocabulary size

In our example our vocabulary is 6 words, so vector dimension is 6

If we have 10,000 words in our vocabulary, the vector dimension is 10,000

Code for one hot encoding

```
# Convert to one hot encoding
def convert_to_one_hot(vocabulary):
    vocabulary_size = len(vocabulary)
    one_hot = dict()
    LEN = len(vocabulary)
    for i, key in enumerate(vocabulary):
        one_hot_vector = np.zeros(LEN)
        one_hot_vector[i] = 1
        one_hot[key] = one_hot_vector
        print(f"{key}\t: {one_hot[key]}")
```

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        one_hot_vector[i] = 1
        one_hot[key] = one_hot_vector
        print(f"{key}\t: {one_hot[key]}")
```

```
one_hot_in = convert_to_one_hot(vocabulary_in)
 ✓ 0.0s
       : [1. 0. 0. 0. 0. 0.]
chat
       : [0. 1. 0. 0. 0. 0.]
est
la
       : [0. 0. 1. 0. 0. 0.]
le : [0. 0. 0. 1. 0. 0.]
sous : [0. 0. 0. 0. 1. 0.]
table : [0. 0. 0. 0. 0. 1.]
   one_hot_out = convert_to_one_hot(vocabulary_out)
 ✓ 0.0s
       : [1. 0. 0. 0. 0.]
cat
       : [0. 1. 0. 0. 0.]
is
       : [0. 0. 1. 0. 0.]
table
the : [0. 0. 0. 1. 0.]
       : [0. 0. 0. 0. 1.]
under
```

Now we need a "dictionary" structure.

Unfortunately, there is no "dictionary" structure in Neural Network...

...but we can create something like that using matrix multiplications.

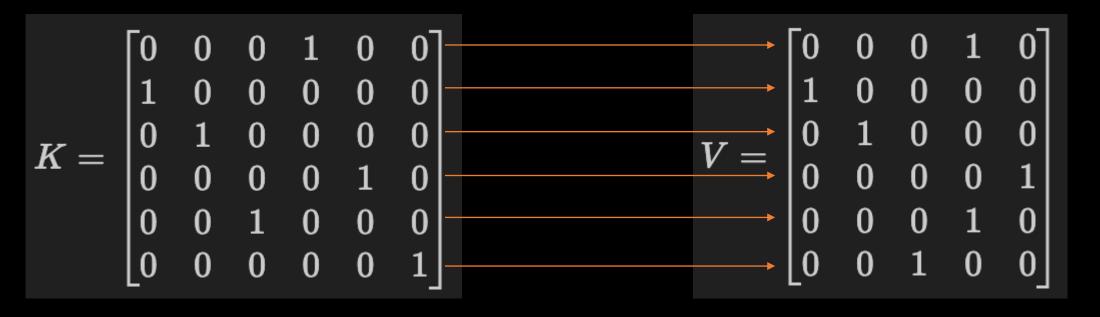
Let's create a "keys matrix" (K), and a "values matrix" (V)

These matrices are the keys and values of the original "dictionary", but they are just one hot encoded

K =	[0	0	0	1	0	0]
	1	0	0	0	0	0
	0	1	0	0	0	0
	0	0	0	0	1	0
	0	0	1	0	0	0
	0	0 0 1 0 0	0	0	0	1

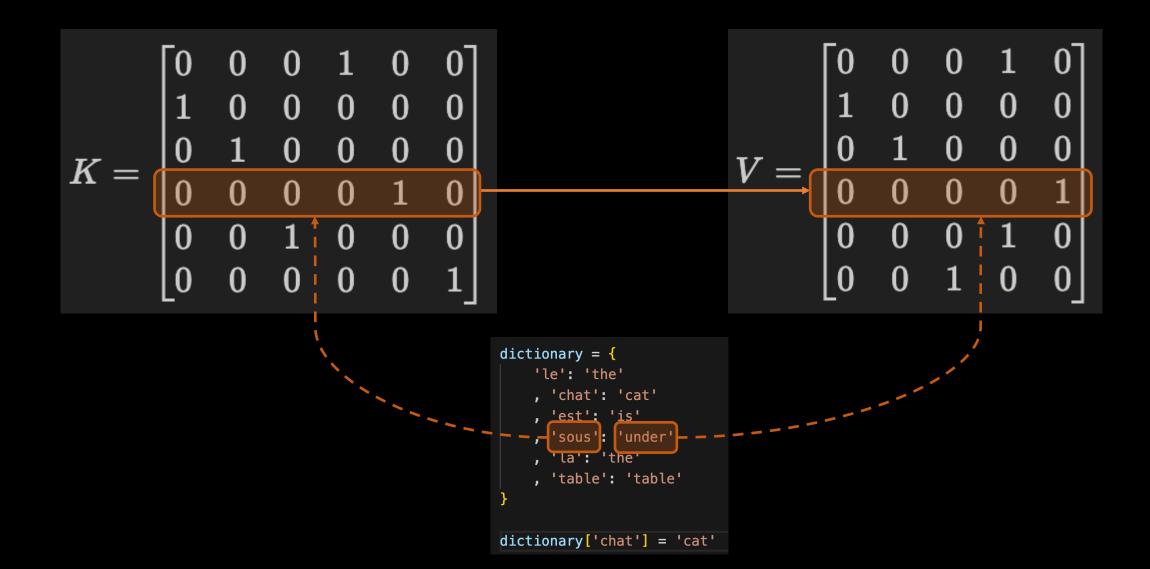
$oldsymbol{V}=$	0	0	0	1	[0	
	1	0	0	0	0	
	0	1	0	0	0	
	0	0	0	0	1	
	0	0	0	1	0 0 0 1 0	
	0	0	1	0	0	

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}

dictionary['chat'] = 'cat'
```



 $q.K^T.V$

```
q = egin{bmatrix} 0 & 0 & 0 & 1 & 0 \end{bmatrix} \; ; \qquad K = egin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 \ 1 & 0 & 0 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 & 1 \end{bmatrix} \; ; \qquad V = egin{bmatrix} 0 & 0 & 0 & 1 & 0 \ 1 & 0 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 0 & 1 & 0 \ 0 & 0 & 1 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 \ \end{bmatrix} \; ;
```

```
dictionary = {
    'le': 'the'
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    , 'la': 'the'
    , 'table': 'table'
}

dictionary['chat'] = 'cat'
```

$$q = egin{bmatrix} 0 & 0 & 0 & 1 & 0 \ 1 & 0 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 & 1 \ 0 & 0 & 1 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 \ 0 & 0 & 0 & 0 & 1 \ \end{bmatrix} \; ; \qquad V = egin{bmatrix} 0 & 0 & 0 & 1 & 0 \ 1 & 0 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 0 & 1 & 0 \ 0 & 0 & 1 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 \ \end{bmatrix}$$

1. Query is "sous" (one hot)

```
q.K^{T}.V = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}.\begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}
```

```
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```

- 1. Query is "sous" (one hot)
- 2. Select the key from K that matches the query

```
 \boxed{q.K^T}V = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} & . \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}
```

```
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```
q = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}; \qquad V = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}
```

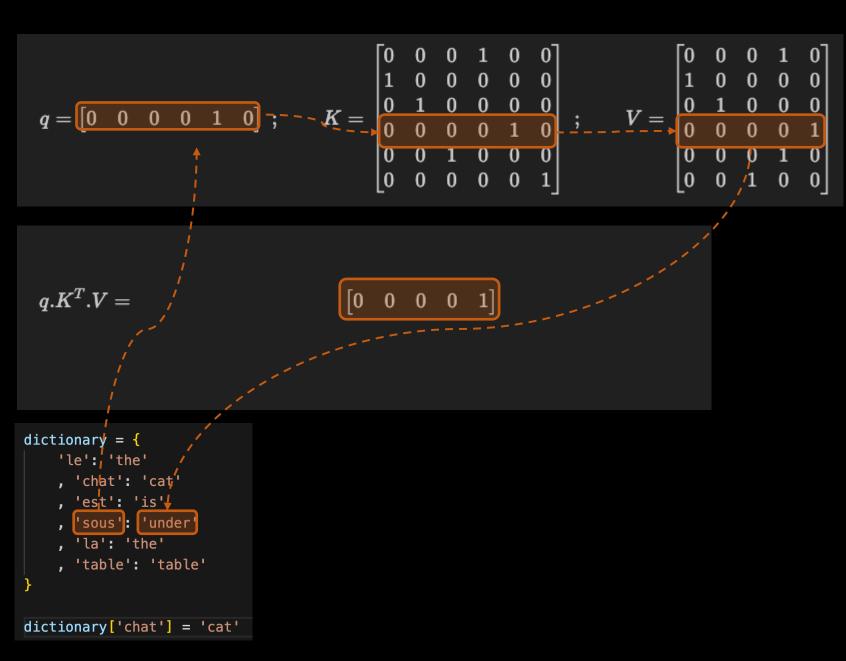
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```

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Same example using code

We need a way to "decode" back from one hot encoding to words Find the "closest" vector matching the output.

```
def one_hot_decode(one_hot, vector):
    """ Decode "one hot". Find the best matching 'token' """
    best_key, best_cosine_sim = None, 0
    for k, v in one_hot.items():
        cosine_sim = np.dot(vector, v)
        if cosine_sim > best_cosine_sim:
            best_cosine_sim = cosine_sim
            best_key = k
    return best_key
```

Note: We use an inner product, for vectors of norm 1 this is a "cosine similarity".

Now we have can write a "translate" function:

```
def translate(sentence):
    sentence_out = ''
    for token_in in tokenize(sentence):
        q = one_hot_in[token_in]
        out = q @ K.T @ V
        token_out = one_hot_decode(one_hot_out, out)
        sentence_out += token_out + ' '
    return sentence_out
```

 $q.K^T.V$

This works like a "dictionary"

 $q.K^T.V$

This works like a "dictionary"

With a couple more tweaks, this becomes an "Attention" mechanism, which is the core structure in LLMs

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... a few tweaks towards Attention

What if the "query" does not exactly match a key?
We could encode similar tokens using similar vectors
For example, we could encode the token "table" as

$$E_{table} = egin{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

...and a similar token "tables"

$$E_{tables} = egin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0.95 \end{bmatrix}$$

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This multiplication acts like a "weight" selecting the values from V

So, it should return a vector of non-negative numbers that add to 1

Problem: We want to convert a vector $q.K^T$ into "weights", i.e. non-negative numbers that add up to 1.

The weight should be "higher" (closest to 1) for the largest number in $m{q}.m{K}^T$

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Solution: softmax function

$$\sigma(\mathbf{z})_i = rac{e^{eta z_i}}{\sum_{j=1}^K e^{eta z_j}}$$

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Solution: softmax function

$$\sigma(\mathbf{z})_i = rac{e^{eta z_i}}{\sum_{j=1}^K e^{eta z_j}}$$

Example: softmax([0, 10])

$$\sigma(0,\,10):=\sigma_1(0,\,10)=\left(1/\left(1+e^{10}
ight),\,e^{10}/\left(1+e^{10}
ight)
ight)pprox (0.00005,\,0.99995)$$

Our new equation is:

$$softmax(q.K^T).V$$

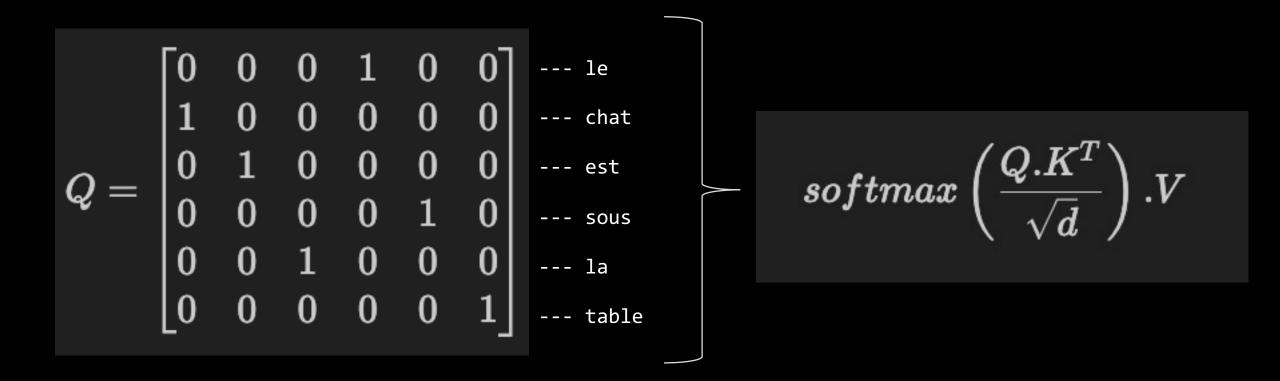
Since softmax() tends to saturate quickly when we use large dimensional vectors, people often adjust using:

$$softmax\left(rac{q.K^T}{\sqrt{d}}
ight).V$$

where 'd' is the dimension of the query vector, i.e. d = dim(q)

Improvement: Calculate all the queries in parallel

Previously we had a single query vector 'q' for each input token, but we can simply create a matrix 'Q' with all the input tokens



Now our code is simpler, we don't need for loops to create the output and has the additional advantage, it can get calculated in parallel in a GPU:

This is called "Attention" (or more specifically "Scaled dot-product attention")

$$Attention(Q,K,V) = softmax\left(rac{Q.K^T}{\sqrt{d}}
ight)V$$

$Attention(Q,K,V) = softmax\left(rac{Q.K^T}{\sqrt{d}} ight)V$

Attention is more than just a "dictionary", we'll get to that in a bit...

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NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE

Dzmitry Bahdanau

Jacobs University Bremen, Germany

KyungHyun Cho Yoshua Bengio* Université de Montréal

ABSTRACT

Neural machine translation is a recently proposed approach to machine translation. Unlike the traditional statistical machine translation, the neural machine translation aims at building a single neural network that can be jointly tuned to maximize the translation performance. The models proposed recently for neural machine translation often belong to a family of encoder–decoders and encode a source sentence into a fixed-length vector from which a decoder generates a translation. In this paper, we conjecture that the use of a fixed-length vector is a bottleneck in improving the performance of this basic encoder–decoder architecture, and propose to extend this by allowing a model to automatically (soft-)search for parts of a source sentence that are relevant to predicting a target word, without having to form these parts as a hard segment explicitly. With this new approach, we achieve a translation performance comparable to the existing state-of-the-art phrase-based system on the task of English-to-French translation. Furthermore, qualitative analysis reveals that the (soft-)alignments found by the model agree well with our intuition.

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- 1.Similar tokens: Softmax
- 2.Matrix Query: Q
- 3.Scaled dot-product attention

4.Attention Head

- 1.Weight matrices
- 2. Connecting matrices

5. Revisiting tokens & encoding

- 1.Tokenizing: BPE
- 2.Encoding: Embeddings

6.Transformer Block

- 1.Multi-headed attention
- 2.Non-linear (feed forward) layer
- 3.Stack blocks
- 4. Masked attention

7.Transformer

- 1.Stacking deep networks
- 2.Normalization layers
- 3.Skip connections
- 4.Dropout

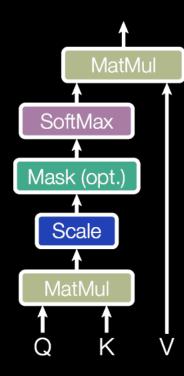
- 8. Pre-training, Training, Fine-tunning, Adapting, Instruct
 - 8. Pre-training numbers (GPU hours, params, etc.)
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 - 10. "Instruct" model
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 - 8. "Chat": Just isolated requests with "memory" of conversations
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 - 10. "Prompt engineering": Similar to adding the right words in a Google search

10. Frameworks

- 8. LangChain (API, Models, LLM, Prompts, Agents). Simple examples
- 9. Vector databases
- 10. Huggingface
- 11. Scaling inference & training
 - 8. Single GPU: Quantization, fp16, etc.
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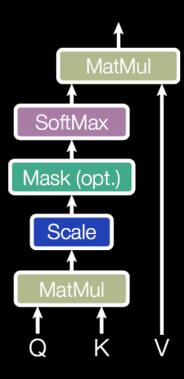
Attention Head

$$Attention(Q,K,V) = softmax\left(rac{Q.K^T}{\sqrt{d}}
ight)V$$



How can we make the Attention mechanism more flexible?

$$Attention(Q,K,V) = softmax\left(rac{Q.K^T}{\sqrt{d}}
ight)V$$

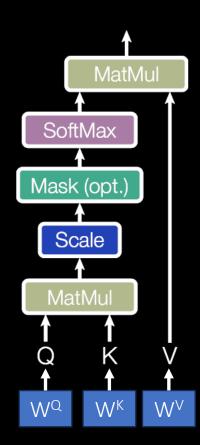


How can we make the Attention mechanism more flexible?

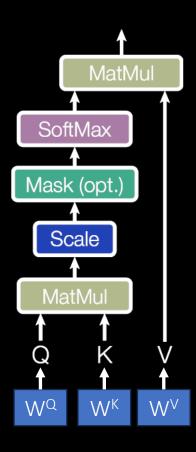
$$Attention(Q,K,V) = softmax\left(rac{Q.K^T}{\sqrt{d}}
ight)V$$

We can add a weight matrices:

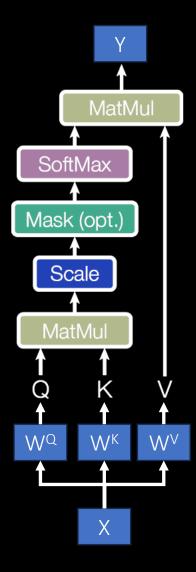
 $Attention(Q, K, V) => Attention(Q.W^Q, K.W^K, V.W^V)$



How do we connect the input?



How do we connect the input?



Coding an "Attention Head"

```
class Head(nn.Module):
  """ Self attention head """
  def __init__(self, params):
   super().__init__()
   self.key = nn.Linear(params.n_embd, params.head_size, bias=False)
   self.query = nn.Linear(params.n_embd, params.head_size, bias=False)
   self.value = nn.Linear(params.n_embd, params.head_size, bias=False)
  def forward(self, x):
   k = self_key(x)
   q = self.query(x)
   v = self.value(x)
   # Attention score
   w = q @ k.transpose(-2, -1) * k.shape[-1]**-0.5 # Query * Keys / normalization
   w = F.softmax(w, dim=-1) # Do a softmax across the last dimesion
   # Add weighted values
   out = w @ v
   return out
```

Topics

- 1.Language translation
 - 1. Simplest program: Literal translation
 - 2.Dealing with missing tokens
- 2.Literal translation using "ML"
 - 1.Encoding: One hot
 - 2.Using matrices
 - 3.A 'dictionary' using matrices
 - 4. Decoding: Cosine similarity
- 3.Attention
 - 1.Similar tokens: Softmax
 - 2.Matrix Query: Q
 - 3.Scaled dot-product attention
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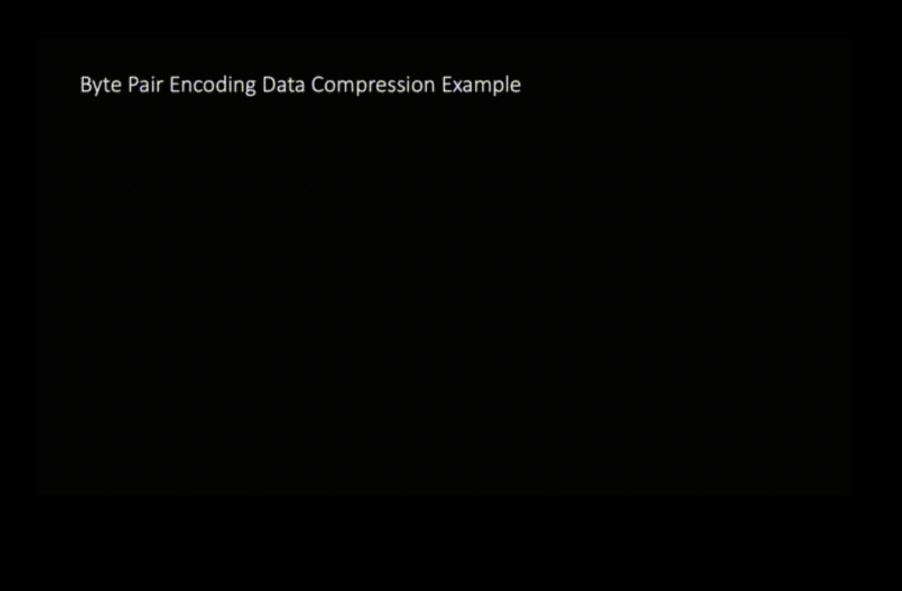
Revisiting token encoding

Tokenizing

Previously we "tokenized" by splitting a sentence into words

```
def tokenize(text):
    ''' Split sentences into tokens (words) '''
    return text.split()
```

- We can do better: There are many tokenization methods
- A popular one is "Byte Pair Encoding" (BPE)
- BPE was originally proposed as a simple compression algorithm
- It has the advantage that can detect patterns or composed words (e.g. "breakfast" = "break" + "fast")



aaabdaaabac

aaabdaaabac

aaabdaaabac

aaabdaaabac

aaabdaaabac Replace Z = aa

aaabdaaabac

aaabdaaabac Replace Z = aa

Zabd**Z**abac

aaabdaaabac

aaabdaaabac

ZYd**ZY**ac

aaabdaaabac

aaabdaaabac

XdXac

aaabdaaabac

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XdXac Final compressed string

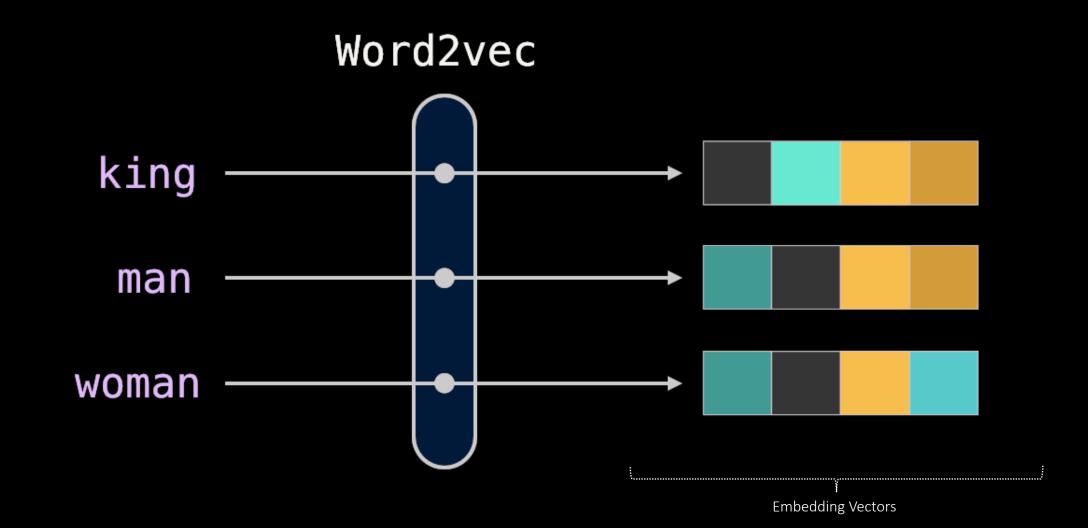
Replacement Table

Byte pair	Replacement
X	ZY
ab	γ
aa	Z

Embeddings: Improving Encoding

- Previously we used "one hot" encoding
- Embeddings are an improvement
- There are many embedding methods
- Examples of embedding are "Word2Vec", and "GloVE"

Embeddings: Concept



Thou shalt not make a machine in the likeness of a human mind

Sliding window across running text

Thou shalt not make a machine in the likeness of a human mind

Sliding window across running text

thou	shalt	not	make	а	machine	in	the	
------	-------	-----	------	---	---------	----	-----	--

Thou shalt not make a machine in the likeness of a human mind

Sliding window across running text

Dataset

thou	shalt	not	make	а	machine	in	the	Ī
tilou	Silait	1101	manc	l a	maomino		1110	

input 1	input 2	output
thou	shalt	not

Thou shalt not make a machine in the likeness of a human mind

Sliding window across running text

machine not make in the

thou shalt thou shalt make a machine in the not

Dataset

input 1	input 2	output
thou	shalt	not
shalt	not	make

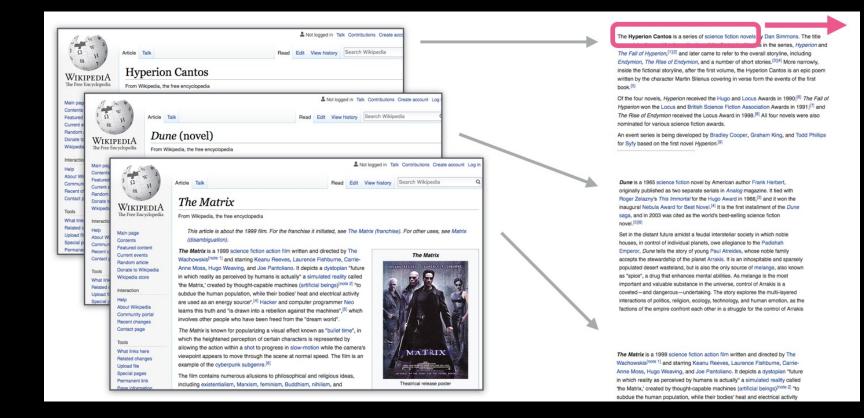
Thou shalt not make a machine in the likeness of a human mind

Sliding window across running text

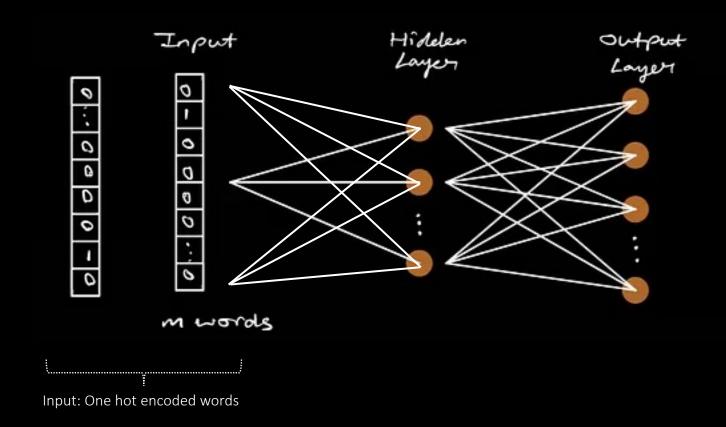
thou	shalt	not	make	а	machine	in	the	
thou	shalt	not	make	а	machine	in	the	
thou	shalt	not	make	а	machine	in	the	
thou	shalt	not	make	а	machine	in	the	
thou	shalt	not	make	а	machine	in	the	

Dataset

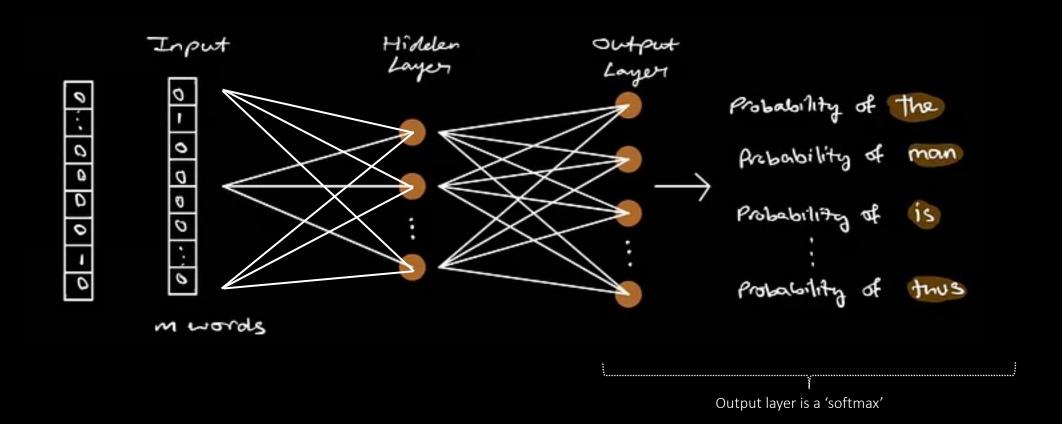
input 1	input 2	output	
thou	shalt	not	
shalt	not	make	
not	make	а	
make	а	machine	
а	machine	in	



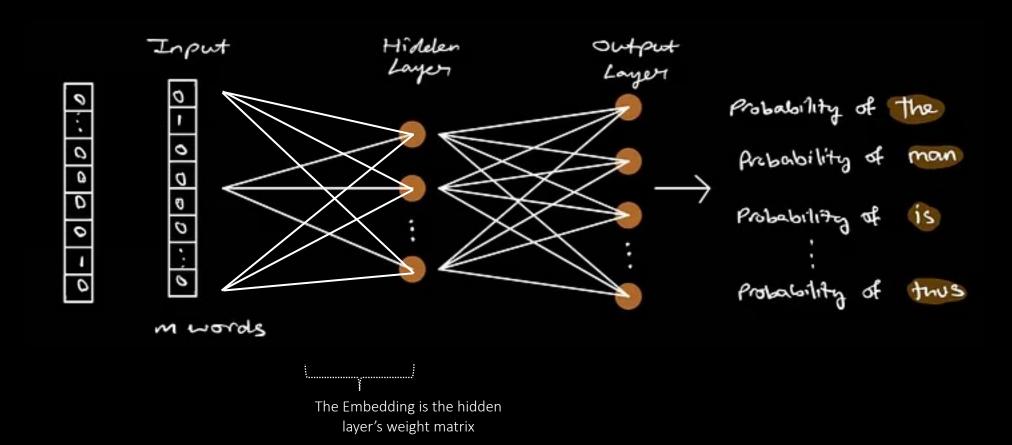
Embeddings: Model



Embeddings: Model



Embeddings: Model



Embeddings



The underlying concept that distinguishes man from woman, i.e. sex or gender, may be equivalently specified by various other word pairs, such as king and queen or brother and sister. To state this observation mathematically, we might expect that the vector differences man - woman, king - queen, and brother - sister might all be roughly equal. This property and other interesting patterns can be observed in the above set of visualizations.

End of Part 1