

Compliance Overview

Summary Metrics

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Cash	365,704	74,914	38,573	44,101	26,973	770,587	23,517	41,025	40,484	19,523	84,099	76,493	009,465	318,136	457,436	149,627	172,234
Treasury	33,679,743	679,743	679,743	0	33	679,743	0	0	0	0	0	33	679,743	679,743	679,743	0	0
Equity	18,480,743	567,522	094,189	028,522	240,550	040,152	110,193	530,673	861,115	083,707	365,725	354,483	227,955	123,300	152,502	568,114	185,919
Option DAN	-20,963,058	267,900	275,556	210,338	446,482	142,493	891,189	505,018	208,213	600,733	145,125	719,049	0	0	36	791,863	818,076
Option MV	-9,941,731	-27,500	-6,465	842,165	617,992	874,181	620,505	643,908	654,802	349,766	751,078	505,114	005,376	573,880	447,482	618,635	611,185
Total Assets	52,630,568	655,842	113,418	931,108	718,169	840,213	631,228	770,060	659,265	659,409	658,393	968,295	596,295	763,510	735,965	641,255	611,807
Total Net Assets	42,330,136	560,602	091,173	907,842	134,617	890,755	863,858	022,135	796,307	987,222	462,593	981,207	585,755	283,614	997,352	699,595	084,298

Prospectus 80% Policy

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Status																	
Result (DAN)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Result (MV)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Options In Scope																	
Option Contribution	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Footnotes:

* Note: Some funds' 80% policies include the options positions while others only include equity exposure.

* CCET = Cash, Cash Equivalents, and T-bills (less than 1-year maturity).

40 Act Diversification

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Overall Status																	
Registered Status																	
Status Today																	
Registration Match																	
Condition 1																	
Condition 2a																	
Condition 2b																	
Condition 2a OCC																	
Max Ownership %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

* To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:

* 1) cash and cash items (including receivables),

* 2) government securities,

* 3) securities of other investment companies, and

* 4) other securities

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* Compliance Conditions:

* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.

* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.

* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

IRS Diversification

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Overall Status																	
Condition 1																	
Condition 2a 50%																	
Condition 2a 5%																	
Condition 2a 10%																	
Condition 2b																	
Sum Large Securities %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Large Securities Count	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Footnotes:

* IRS Condition 1: 90% of income must come from qualifying sources.

* IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.

* IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.

* IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.

* IRS Condition 2b: No single issuer may constitute more than 25% of assets.

IRC Diversification

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Overall Status																	
Condition 55																	
Condition 70																	
Condition 80																	
Condition 90																	
Top 1 Exposure	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Top 4 Exposure	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

* This test only applies to variable insurance trusts. Under applicable regulations, the investments of a segregated asset account generally will be deemed adequately diversified only if: (i) no more than 55% of the value of the total assets of the account is represented by any one investment; (ii) no more than 70% of such value is represented by any two investments; (iii) no more than 80% of such value is represented by any three investments; and (iv) no more than 90% of such value is represented by any four investments.

15% Illiquid Assets

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Status																	
Illiquid Exposure %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Total Illiquid Value	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Footnotes:

* We search for tags in Bloomberg for any illiquid or restricted securities.

* If none, it reports 'None'.

Real Estate

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Real Estate Exposure	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

* We search for tags in Bloomberg for any fund holdings categorized as real estate.

* If none, it reports 'None'.

Commodities

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Commodities Exposure	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

* We search for tags in Bloomberg for any fund holdings categorized as commodities.

* If none, it reports 'None'.

Rule 12d1-1

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Status																	
Test 1																	
Test 2																	
Test 3																	

Footnotes:

* The Fund will not purchase or otherwise acquire: (i) more than 3% of the total outstanding voting stock of the acquired company; (ii) securities issued by the acquired company having an aggregate value in excess of 5% of the value of the total assets of the acquiring company; or (iii) securities issued by the acquired company and all other investment companies (other than treasury stock of the acquiring company) having an aggregate value in excess of 10% of the value of the total assets of the acquiring company.

Rule 12d2

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Status																	
Max Ownership	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

* The Fund may not own more than 10% of the total outstanding voting stock of an insurance company.

Rule 12d3

Metric	DOGG	FDND	FGSI	HE3B1	HE3B2	KNG	P21026	P2726	P30128	P31027	P3727	R21126	RDVI	SDVD	TDVI	TR2B1	TR2B2
Status																	
Rule 1																	
Rule 2																	

Footnotes:

* Notwithstanding section 12(d)(3) of the Act, an acquiring company may acquire any security issued by a person that, in its most recent fiscal year, derived more than 15 percent of its gross revenues from securities related activities, provided that: (1) Immediately after the acquisition of any equity security, the acquiring company owns not more than 5% of the outstanding securities of that class of the issuer's equity securities; (2) Immediately after the acquisition of any debt security, the acquiring company owns not more than 10% of the outstanding principal amount of the issuer's debt securities; and (3) Immediately after any such acquisition, the acquiring company has invested not more than 5% of the value of its total assets in the securities of the issuer.