

Summary Metrics

Metric	DOGG	KNG	P21026	P2726	TDVI
Cash	0	0	0	0	0
Treasury	0	0	0	0	0
Equity	0	0	0	0	0
Option DAN	0	0	0	0	0
Option MV	0	0	0	0	0
Total Assets	0	0	0	0	0
Total Net Assets	0	0	0	0	0

Date	Fund	Overall	Ind >25%	IndGrp >25%	Idx Ind >25%	Idx IndGrp >25%	Fund>25% if Idx?	Exceptions
2025-11-06	KNG	PASS	No	No	No	No	Yes	
2025-11-06	P21026	PASS	No	No	No	No	No	
2025-11-06	P2726	PASS	No	No	No	No	No	
2025-11-06	TDVI	PASS	Yes	Yes	No	No	No	Information Technology Sector

Footnotes:

\* Certain funds may not have more than 25% of total assets in any industry or group of industries. However, other funds may have more than 25% of total assets in an industry or group of industries if (1) the fund's Underlying ETF invests more than 25% of its assets in that industry or group of industries or (2) the fund is specifically permitted to concentrate in a specified industry or group of industries in its fundamental investment policy (such as information technology). Therefore, some funds have additional info listed about their underlying ETF (represented by an index) or have a note that they can concentrate in a certain industry or group of industries.

Prospectus 80% Policy

Metric	DOGG	KNG	P21026	P2726	TDVI
Status	PASS	PASS	PASS	PASS	PASS
Result (DAN)	100.00%	99.90%	99.77%	99.76%	99.82%
Result (MV)	100.00%	99.90%	99.77%	99.76%	99.82%
Options In Scope	Yes	Yes	Yes	Yes	No
Option Contribution	20,713,541	244,463,336	3,729,597	3,761,836	0

Footnotes:

\* Note: Some funds' 80% policies include the options positions while others only include equity exposure.

\* CCET = Cash, Cash Equivalents, and T-bills (less than 1-year maturity).

## 40 Act Diversification

Metric	DOGG	KNG	P21026	P2726	TDVI
Overall Status	PASS	FAIL	FAIL	FAIL	PASS
Registered Status	Non-Diversified	Diversified	Diversified	Diversified	Non-Diversified
Status Today	Non-Diversified	Non-Diversified	Non-Diversified	Non-Diversified	Non-Diversified
Registration Match	PASS	FAIL	FAIL	FAIL	PASS
Condition 1	FAIL	FAIL	FAIL	FAIL	FAIL
Condition 2a	FAIL	FAIL	FAIL	FAIL	FAIL
Condition 2b	PASS	PASS	PASS	PASS	FAIL
Condition 2a OCC	FAIL	PASS	FAIL	FAIL	PASS
Max Ownership %	0.00%	0.67%	0.00%	0.00%	22561600.00%

**Footnotes:**

- \* To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:
  - \* 1) cash and cash items (including receivables),
  - \* 2) government securities,
  - \* 3) securities of other investment companies, and
  - \* 4) other securities
- \*
- \* Compliance Conditions:
  - \* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.
  - \* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.
  - \* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

## IRS Diversification

Metric	DOGG	KNG	P21026	P2726	TDVI
Overall Status	FAIL	FAIL	FAIL	FAIL	FAIL
Condition 1	PASS	PASS	PASS	PASS	PASS
Condition 2a 50%	PASS	PASS	PASS	PASS	PASS
Condition 2a 5%	FAIL	FAIL	FAIL	FAIL	FAIL
Condition 2a 10%	PASS	PASS	PASS	PASS	FAIL
Condition 2b					
Sum Large Securities %	107.61%	99.80%	117.60%	112.42%	99.73%
Large Securities Count	30	69	107	107	93

**Footnotes:**

- \* IRS Condition 1: 90% of income must come from qualifying sources.
- \* IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.
- \* IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.
- \* IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.
- \* IRS Condition 2b: No single issuer may constitute more than 25% of assets.

IRC Diversification

Metric	DOGG	KNG	P21026	P2726	TDVI
Overall Status					
Condition 55					
Condition 70					
Condition 80					
Condition 90					
Top 1 Exposure	0.00%	0.00%	0.00%	0.00%	0.00%
Top 4 Exposure	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

\* This test only applies to variable insurance trusts. Under applicable regulations, the investments of a segregated asset account generally will be deemed adequately diversified only if: (i) no more than 55% of the value of the total assets of the account is represented by any one investment; (ii) no more than 70% of such value is represented by any two investments; (iii) no more than 80% of such value is represented by any three investments; and (iv) no more than 90% of such value is represented by any four investments.

15% Illiquid Assets

Metric	DOGG	KNG	P21026	P2726	TDVI
Status	FAIL	FAIL	FAIL	FAIL	FAIL
Illiquid Exposure %	0.00%	0.00%	0.00%	0.00%	0.00%
Total Illiquid Value	0	0	0	0	0

Footnotes:

\* We search for tags in Bloomberg for any illiquid or restricted securities.

\* If none, it reports 'None'.

Real Estate

Metric	DOGG	KNG	P21026	P2726	TDVI
Real Estate Exposure	0.00%	4.23%	1.45%	1.44%	0.00%

Footnotes:

\* We search for tags in Bloomberg for any fund holdings categorized as real estate.

\* If none, it reports 'None'.

Commodities

Metric	DOGG	KNG	P21026	P2726	TDVI
Commodities Exposure	0.00%	0.00%	0.00%	0.00%	0.00%

Footnotes:

\* We search for tags in Bloomberg for any fund holdings categorized as commodities.

\* If none, it reports 'None'.

Rule 12d1-1

Metric	DOGG	KNG	P21026	P2726	TDVI
Status	FAIL	FAIL	FAIL	FAIL	FAIL
Test 1					
Test 2					
Test 3					

Footnotes:

\* The Fund will not purchase or otherwise acquire: (i) more than 3% of the total outstanding voting stock of the acquired company; (ii) securities issued by the acquired company having an aggregate value in excess of 5% of the value of the total assets of the acquiring company; or (iii) securities issued by the acquired company and all other investment companies (other than treasury stock of the acquiring company) having an aggregate value in excess of 10% of the value of the total assets of the acquiring company.

Rule 12d2

Metric	DOGG	KNG	P21026	P2726	TDVI
Status	PASS	PASS	PASS	PASS	PASS
Max Ownership	0.00%	0.35%	0.00%	0.00%	0.00%

Footnotes:

\* The Fund may not own more than 10% of the total outstanding voting stock of an insurance company.

Rule 12d3

Metric	DOGG	KNG	P21026	P2726	TDVI
Status	PASS	PASS	PASS	PASS	PASS
Rule 1	PASS				PASS
Rule 2	PASS	PASS	PASS	PASS	PASS

Footnotes:

\* Notwithstanding section 12(d)(3) of the Act, an acquiring company may acquire any security issued by a person that, in its most recent fiscal year, derived more than 15 percent of its gross revenues from securities related activities, provided that: (1) Immediately after the acquisition of any equity security, the acquiring company owns not more than 5% of the outstanding securities of that class of the issuer's equity securities; (2) Immediately after the acquisition of any debt security, the acquiring company owns not more than 10% of the outstanding principal amount of the issuer's debt securities; and (3) Immediately after any such acquisition, the acquiring company has invested not more than 5% of the value of its total assets in the securities of the issuer.