



# Compliance Summary

Fund	Cash	Treasury	Equity	Std Option (DAN)	Std Option (MV)
R21126	0	0	0	0	0

## Detailed Compliance Report

Fund: R21126 | Date: 2025-07-30

Metric	Value
Cash	\$0
Treasury	\$0
Equity	\$0
Std Options (DAN)	\$0
Std Options (MV)	\$0

### Diversification 40Act Check

Metric	Value
Fund Registration	diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	55,353,193
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0
Issuer Limited Assets (Detail)	None
Cumulative Weight Excluded	26.88%
Cumulative Weight Remaining	73.12%
OCC Market Value	10,439,066

Footnotes:

\* To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:

- \* 1) cash and cash items (including receivables),
- \* 2) government securities,
- \* 3) securities of other investment companies, and
- \* 4) other securities
- \*

\* Compliance Conditions:

- \* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.
- \* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.
- \* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

### Diversification Irs Check

Metric	Value
Condition IRS 1	PASS
Condition IRS 2a_50%	PASS

2a\_50% Weight

	0.00%
Condition IRS 2a_5%	PASS
Condition IRS 2a_10%	PASS
Total Assets	55,353,193
Expenses	3,660
Qualifying Assets Value	52,212,882
Largest Holding \$	1,537,393
Largest Holding %	3.07%
Condition IRS 2b	FAIL
5% Gross Assets	2,767,660
Sum Large Securities %	0.00%
Large Securities Count	0
Large Securities	None

*Footnotes:*

*\* IRS Condition 1: 90% of income must come from qualifying sources.*

*\* IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.*

*\* IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.*

*\* IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.*

*\* IRS Condition 2b: No single issuer may constitute more than 25% of assets.*