

Compliance Summary

Fund	Cash	Treasury	Equity	Std Option (DAN)	Std Option (MV)	Flex Option (DAN)
DOGG	0	3,367,985	18,480,748	-20,963,058	-9,941,731	0

Detailed Compliance Report

Fund: DOGG | Date: 2025-10-24

Metric	Value
Cash	\$0
Treasury	\$3,367,985
Equity	\$18,480,748
Std Options (DAN)	\$-20,963,058
Std Options (MV)	\$-9,941,731

Prospectus 80Pct Policy

Metric	Value
Prospectus 80% Compliance (DAN)	PASS
Total Equity Market Value	18,480,748
Total Option Delta Notional Value (DAN)	-20,963,058
Total T-Bill Value	3,367,985
Total Cash Value	365,704
Denominator (DAN)	43,177,494
Numerator (DAN)	42,811,790
Formula Result (DAN)	99.15%
Options in Scope for 80%?	True
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Prospectus 80% Compliance (Market Value)	PASS
Total Option Market Value	-9,941,731
Denominator (MV)	12,272,706
Numerator (MV)	11,907,001
Formula Result (MV)	97.02%

Footnotes:

* Note: Some funds' 80% policies include the options positions while others only include equity exposure.

* CCET = Cash, Cash Equivalents, and T-bills (less than 1-year maturity).

Diversification 40Act Check

Metric	Value
Fund Registration	Non-diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	52,630,568
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0

Issuer Limited Assets (Detail)

	None
Cumulative Weight Excluded	28.54%
Cumulative Weight Remaining	71.46%
OCC Market Value	9,941,731

Footnotes:

* To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:

* 1) cash and cash items (including receivables),

* 2) government securities,

* 3) securities of other investment companies, and

* 4) other securities

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* Compliance Conditions:

* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.

* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.

* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

Diversification Irs Check

Metric	Value
Condition IRS 1	PASS
Condition IRS 2a_50%	PASS
2a_50% Weight	0.00%
Condition IRS 2a_5%	PASS
Condition IRS 2a_10%	PASS
Total Assets	52,630,568
Expenses	0
Qualifying Assets Value	55,442,244
Largest Holding \$	2,085,194
Largest Holding %	4.93%
Condition IRS 2b	FAIL
5% Gross Assets	2,631,528
Sum Large Securities %	0.00%
Large Securities Count	0
Large Securities	None

Footnotes:

* IRS Condition 1: 90% of income must come from qualifying sources.

* IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.

* IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.

* IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.

* IRS Condition 2b: No single issuer may constitute more than 25% of assets.