

Trading Compliance Analysis

Ex-Ante vs Ex-Post Comparison - 2025-11-07

Compliance Status Changes

Total Funds Analyzed: 1

Total Funds Traded: 1

Fund	Status Change	Viol. Before	Viol. After	Net Change
TDVI	UNCHANGED	1	1	0
Totals		1	1	0

Fund Summary Metrics

Metric	TDVI		
	Ex-Ante	Ex-Post	Delta
Cash Value	412,314.68	412,314.68	0.00
Equity Market Value	193,132,990.80	229,652,450.94	36,519,460.14
Option Market Value	-500.00	-244,920.00	-244,420.00
Option Delta Adjusted Notional	189,470.75	13,085,884.50	12,896,413.75
Treasury Market Value	0.00	0.00	0.00
Total Assets	194,544,312.53	194,544,312.53	0.00
Total Net Assets	194,507,832.80	194,507,832.80	0.00

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Detailed Comparison by Compliance Check

Compliance Check	TDVI		
	Before	After	Changed
diversification_40act_check	PASS	PASS	NO
diversification_IRS_check	FAIL	FAIL	NO
gics_compliance	PASS	PASS	NO
prospectus_80pct_policy	PASS	PASS	NO
summary_metrics	PASS	PASS	NO

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Trade Activity

Trade Activity Summary

Fund	Asset Class	Total Net Assets	Total Assets	Trade Value	% of TNA	% of Assets	Ex-Ante MV	Ex-Post MV	Market Value Delta	Net Trade Value	Net Buy Value	Net Sell Value
TDVI	Equity	194,507,832.80	194,544,312.53	36,679,700.00	18.86%	18.85%	192,972,750.94	229,652,450.94	36,679,700.00	36,679,700.00	36,679,700.00	0.00
	Options	194,507,832.80	194,544,312.53	244,920.00	0.13%	0.13%	0.00	-244,920.00	-244,920.00	-244,920.00	0.00	244,920.00

Trade Activity Details

Fund	Asset Class	Direction	Ticker	Quantity	Market Value
TDVI	Equity	Net Buy		100,000.00	36,679,700.00
	Equity	Buy	MSFT	30,000.00	14,877,600.00
	Equity	Buy	IBM	30,000.00	9,184,800.00
	Equity	Buy	AVGO	20,000.00	6,919,600.00
	Equity	Buy	TSM	20,000.00	5,697,700.00
	Options	Net Sell		39.00	244,920.00
	Options	Sell	SPXW US 11/14/25 C6710	39.00	244,920.00