

Compliance Summary

Fund	Cash	Treasury	Equity	Std Option (DAN)	Std Option (MV)
HE3B1	0	0	0	0	0
P21026	0	0	0	0	0

Detailed Compliance Report

Fund: HE3B1 | Date: 2025-07-02

Metric	Value
Cash	\$0
Treasury	\$0
Equity	\$0
Std Options (DAN)	\$0
Std Options (MV)	\$0

Diversification 40Act Check

Metric	Value
Fund Registration	diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	48,894,486
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0
Issuer Limited Assets (Detail)	None
Cumulative Weight Excluded	0.00%
Cumulative Weight Remaining	100.00%
OCC Market Value	0

Footnotes:

- * To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:
 - * 1) cash and cash items (including receivables),
 - * 2) government securities,
 - * 3) securities of other investment companies, and
 - * 4) other securities
- *
- * Compliance Conditions:
 - * Condition 40 Act 1: 75% of the fund must be invested in diversified assets.
 - * Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.
 - * Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

Diversification Irs Check

Metric	Value
Condition IRS 1	FAIL

	FAIL
2a_50% Weight	0.00%
Condition IRS 2a_5%	FAIL
Condition IRS 2a_10%	FAIL
Total Assets	0
Expenses	0
Qualifying Assets Value	0
Largest Holding \$	0
Largest Holding %	0.00%
Condition IRS 2b	FAIL
5% Gross Assets	0
Sum Large Securities %	0.00%
Large Securities Count	0
Large Securities	None

Footnotes:

- * IRS Condition 1: 90% of income must come from qualifying sources.
- * IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.
- * IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.
- * IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.
- * IRS Condition 2b: No single issuer may constitute more than 25% of assets.

Fund: P21026 | Date: 2025-07-02

Metric	Value
Cash	\$0
Treasury	\$0
Equity	\$0
Std Options (DAN)	\$0
Std Options (MV)	\$0

Diversification 40Act Check

Metric	Value
Fund Registration	diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	23,748,411
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0
Issuer Limited Assets (Detail)	None
Cumulative Weight Excluded	0.00%
Cumulative Weight Remaining	100.00%
OCC Market Value	0

Footnotes:

- * To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:

- * 1) cash and cash items (including receivables),
- * 2) government securities,
- * 3) securities of other investment companies, and
- * 4) other securities
- *
- * Compliance Conditions:
- * Condition 40 Act 1: 75% of the fund must be invested in diversified assets.
- * Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.
- * Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

Diversification Irs Check

Metric	Value
Condition IRS 1	FAIL
Condition IRS 2a_50%	FAIL
2a_50% Weight	0.00%
Condition IRS 2a_5%	FAIL
Condition IRS 2a_10%	FAIL
Total Assets	0
Expenses	0
Qualifying Assets Value	0
Largest Holding \$	0
Largest Holding %	0.00%
Condition IRS 2b	FAIL
5% Gross Assets	0
Sum Large Securities %	0.00%
Large Securities Count	0
Large Securities	None

Footnotes:

- * IRS Condition 1: 90% of income must come from qualifying sources.
 - * IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.
 - * IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.
 - * IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.
 - * IRS Condition 2b: No single issuer may constitute more than 25% of assets.
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