



## Compliance Summary

Fund	Cash	Treasury	Equity	Std Option (DAN)	Std Option (MV)
HE3B1	0	0	0	0	0
P21026	0	0	0	0	0

## Detailed Compliance Report

**Fund: HE3B1 | Date: 2025-07-02**

Metric	Value
Cash	\$0
Treasury	\$0
Equity	\$0
Std Options (DAN)	\$0
Std Options (MV)	\$0

### Diversification 40Act Check

Metric	Value
Fund Registration	diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	48,894,486
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0
Issuer Limited Assets (Detail)	None
Cumulative Weight Excluded	0.00%
Cumulative Weight Remaining	100.00%
OCC Market Value	0

#### Footnotes:

\* To be classified as a diversified company under the 1940 Act, at least 75% of the value of the fund's total assets must be invested in:

\* 1) cash and cash items (including receivables),

\* 2) government securities,

\* 3) securities of other investment companies, and

\* 4) other securities

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\* Compliance Conditions:

\* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.

\* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.

\* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

### Diversification Irs Check

Metric	Value
Condition IRS 1	FAIL

Condition IRS 2a\_50%

	FAIL	
2a_50% Weight		0.00%
Condition IRS 2a_5%	FAIL	
Condition IRS 2a_10%	FAIL	
Total Assets		0
Expenses		0
Qualifying Assets Value		0
Largest Holding \$		0
Largest Holding %		0.00%
Condition IRS 2b	FAIL	
5% Gross Assets		0
Sum Large Securities %		0.00%
Large Securities Count		0
Large Securities	None	

Footnotes:

- \* IRS Condition 1: 90% of income must come from qualifying sources.
  - \* IRS Condition 2a: At least 50% of assets must be allocated to qualifying securities.
  - \* IRS Condition 2a5: for 50% of portfolio, no issuer is more than 5% of fund assets.
  - \* IRS Condition 2a10: for 50% of portfolio, fund doesn't hold more than 10% of any issuer's outstanding float.
  - \* IRS Condition 2b: No single issuer may constitute more than 25% of assets.
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## Fund: P21026 | Date: 2025-07-02

Metric	Value
Cash	\$0
Treasury	\$0
Equity	\$0
Std Options (DAN)	\$0
Std Options (MV)	\$0

## Diversification 40Act Check

Metric	Value
Fund Registration	diversified
Condition 40 Act 1	PASS
Condition 40 Act 2a	PASS
Condition 40 Act 2b	PASS
Total Assets	23,748,411
Non-Qualifying Assets Weight	0.00%
Issuer Limited Assets (Sum)	0
Issuer Limited Assets (Detail)	None
Cumulative Weight Excluded	0.00%
Cumulative Weight Remaining	100.00%
OCC Market Value	0

Footnotes:

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\* 1) cash and cash items (including receivables),  
 \* 2) government securities,  
 \* 3) securities of other investment companies, and  
 \* 4) other securities  
 \*

\* Compliance Conditions:

\* Condition 40 Act 1: 75% of the fund must be invested in diversified assets.  
 \* Condition 40 Act 2a: No single issuer in the 75% can exceed 5% of total assets.  
 \* Condition 40 Act 2b: No single issuer in the 75% can exceed 10% of the issuer's voting securities.

## Diversification Irs Check

Metric	Value
Condition IRS 1	FAIL
Condition IRS 2a_50%	FAIL
2a_50% Weight	0.00%
Condition IRS 2a_5%	FAIL
Condition IRS 2a_10%	FAIL
Total Assets	0
Expenses	0
Qualifying Assets Value	0
Largest Holding \$	0
Largest Holding %	0.00%
Condition IRS 2b	FAIL
5% Gross Assets	0
Sum Large Securities %	0.00%
Large Securities Count	0
Large Securities	None

### Footnotes:

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