

# Contract Precondition Inference from Intermittent Assertions on Collections<sup>(\*)</sup>

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(\*) Tech. Rept. no. MSR-TR-2010-117, Sep. 2010, submitted.

# Motivation

## The problem of contract precondition inference

- Infer a **contract precondition** from the language and programmer **assertions**
- Generate **code** to check that precondition

## Usefulness

- **Anticipate errors** (e.g. change to trace execution mode before actual error does occur)
- Use contract for **separate static analysis** of modules

# Example

From

```
void AllNotNull(Ptr[] A) {  
    /* 1: */ int i = 0;  
    /* 2: */ while /* 3: */  
        (assert(A != null); i < A.length) {  
        /* 4: */ assert((A != null) && (A[i] != null));  
        /* 5: */ A[i].f = new Object();  
        /* 6: */ i++;  
        /* 7: */ }  
    /* 8: */ }
```

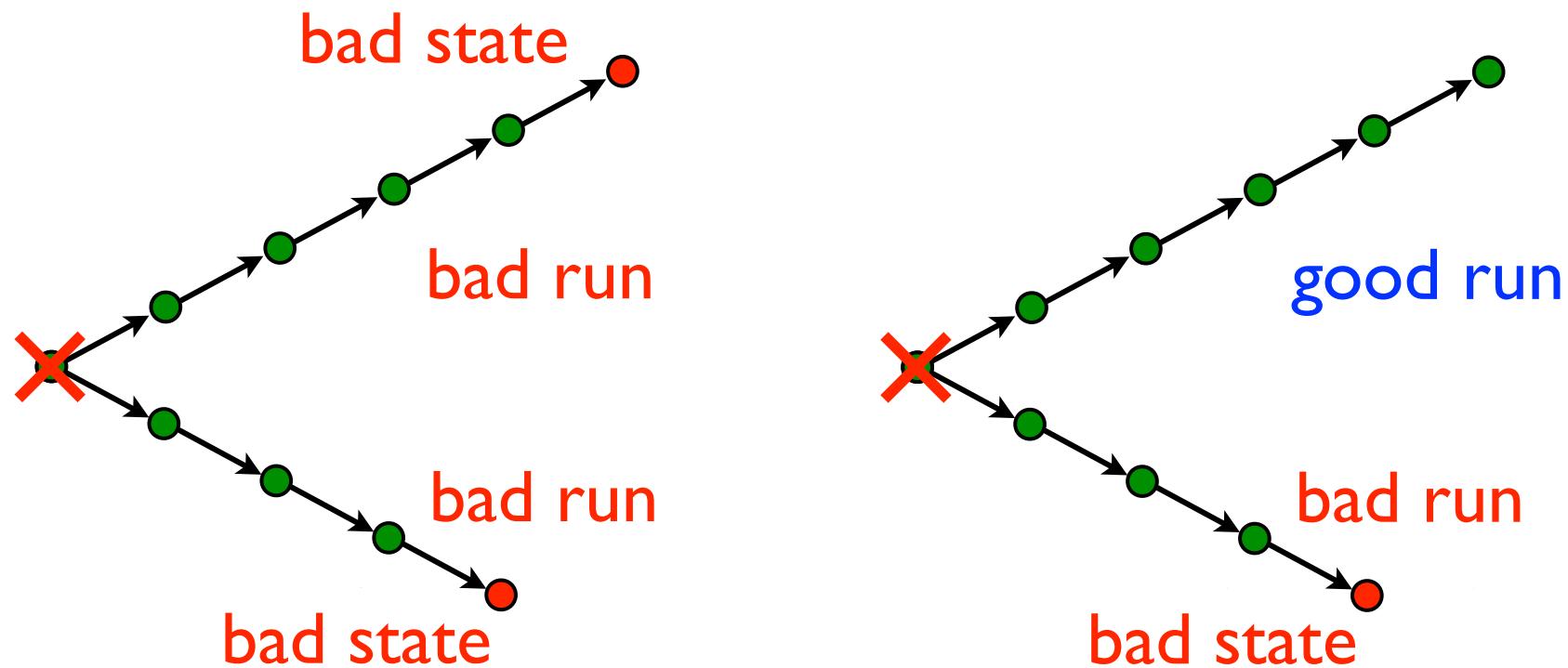
infer the precondition

$$A \neq \text{null} \wedge \forall i \in [0, A.\text{length}) : A[i] \neq \text{null}$$

# Problem specification

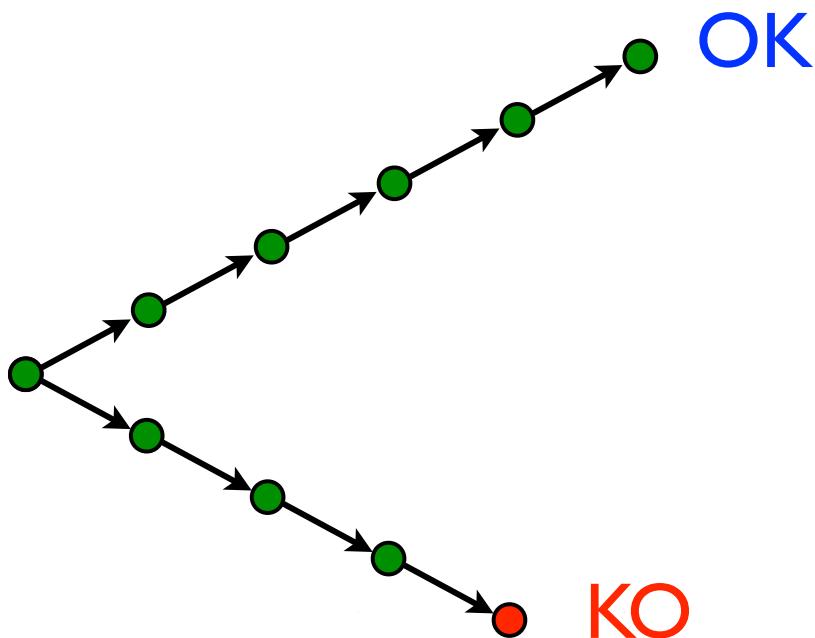
# First alternative: eliminating potential errors

- The precondition should eliminate any initial state from which a nondeterministic execution may lead to a bad state (violating an assertion)



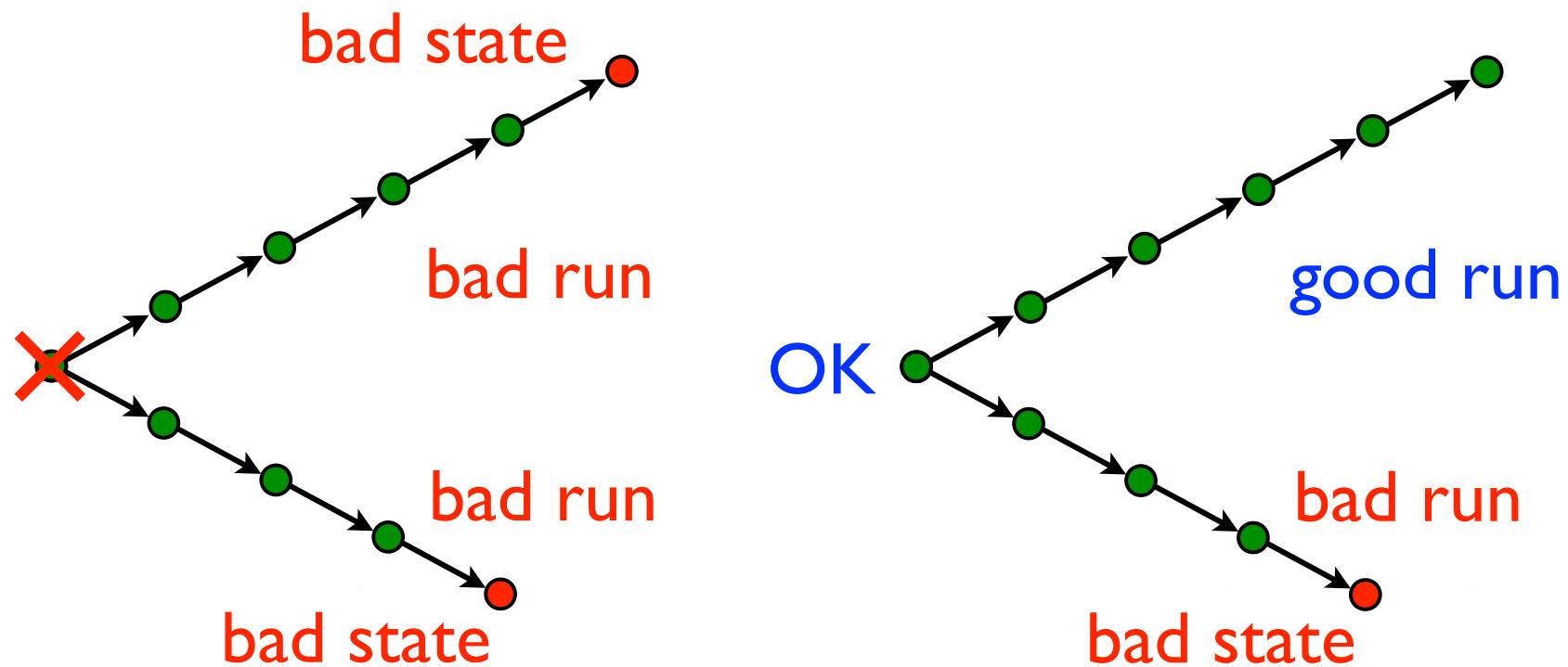
# Defects of potential error elimination

- A priori correctness point of view
  - We should not make any hypothesis on the programmer's intention



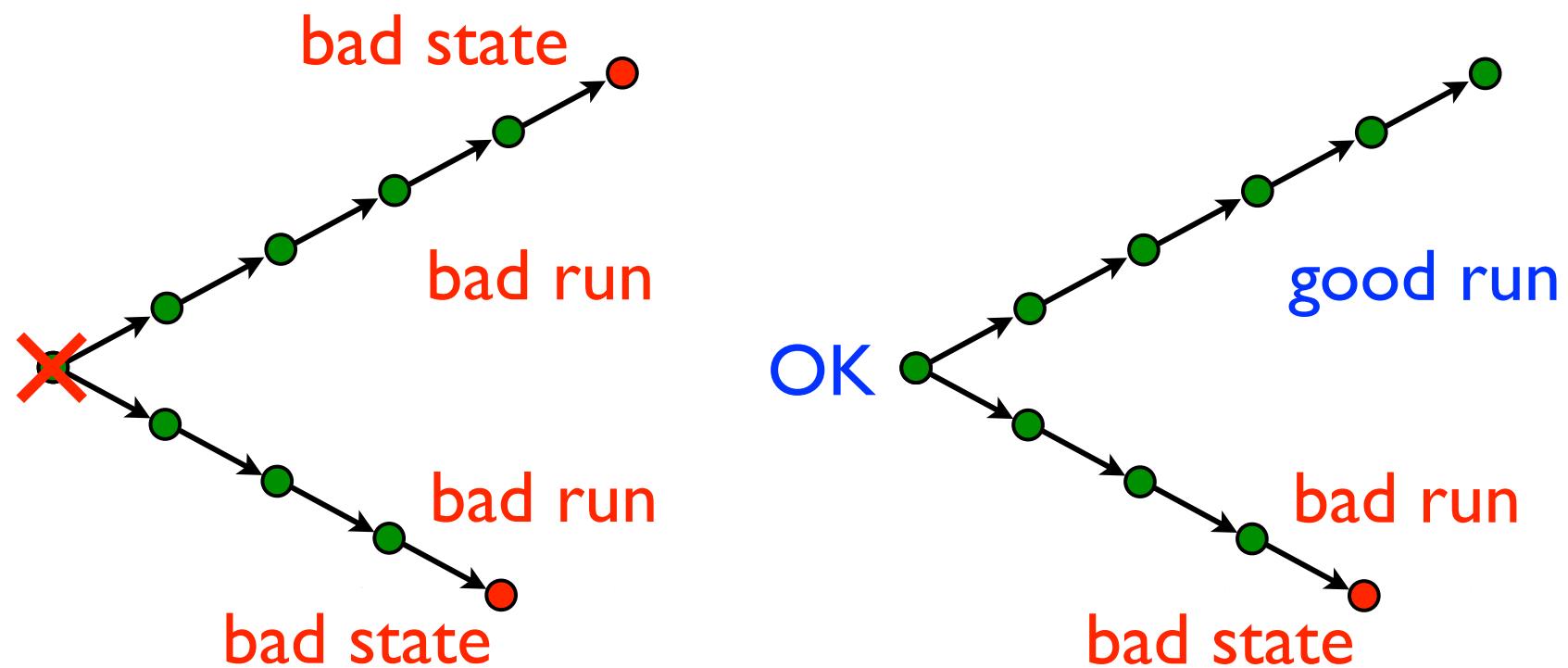
## Second alternative: eliminating definite errors

- The precondition should eliminate any initial state from which **all** nondeterministic executions must lead to a bad state (violating an assertion)



# Advantage of eliminating only definite errors

- We check states from which all executions can only go wrong as specified by the asserts



## On non-termination

- Up to now, no human or machine could prove (or disprove) the conjecture that the following program always terminates

```
void Collatz(int n) {  
    requires (n >= 1);  
    while (n != 1) {  
        if (odd (n)) {  
            n = 3*n+1  
        } else {  
            n = n / 2  
        }  
    }  
}
```

## On non-termination (cont'd)

- Consider

```
Collatz(p);  
assert(false);
```

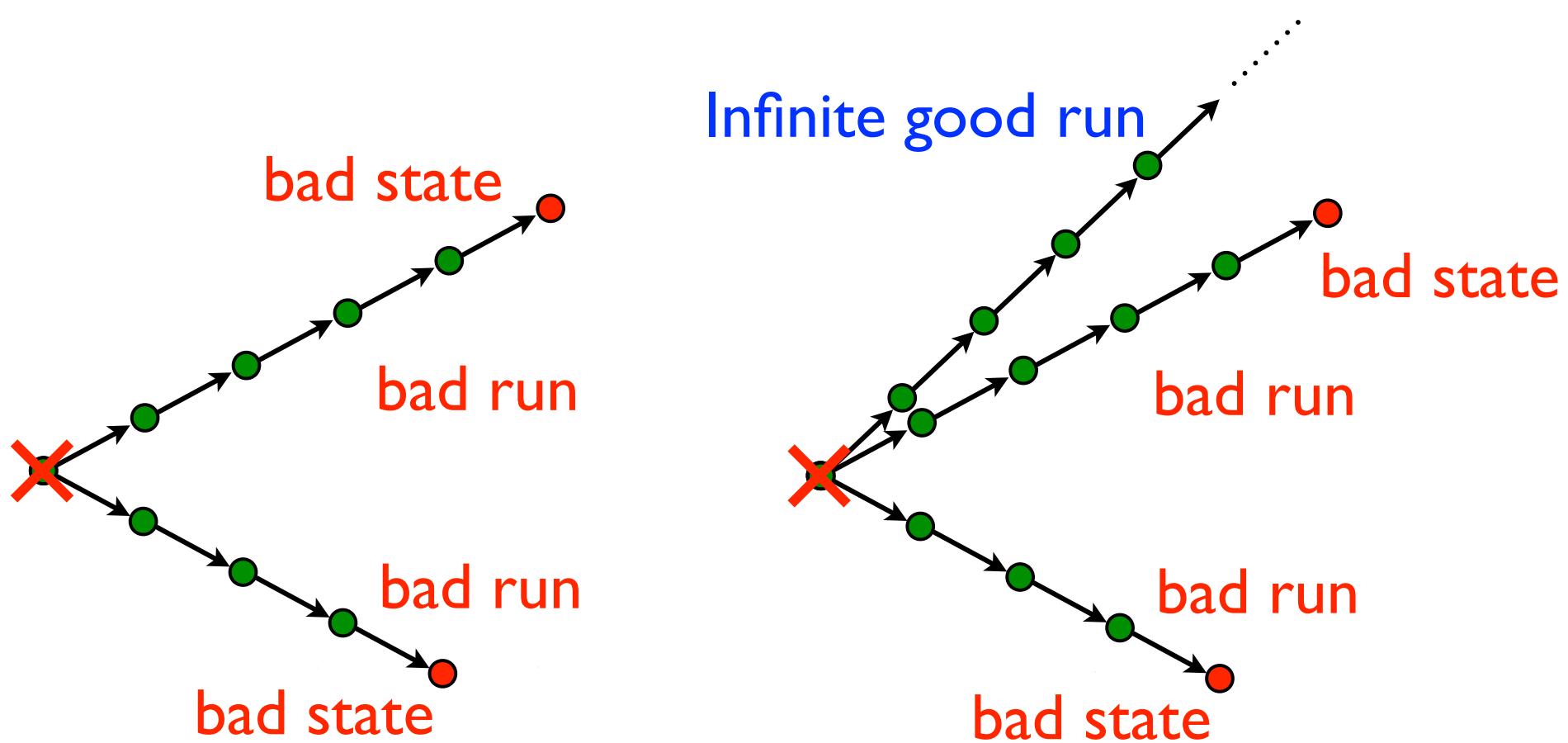
- The precondition is

- assert(false) if Collatz always terminates
- assert( $p \geq 1$ ) if Collatz may not terminate
- or even better

```
assert(NecessaryConditionForCollatzNotToTerminate(p))
```

## A compromise on non-termination

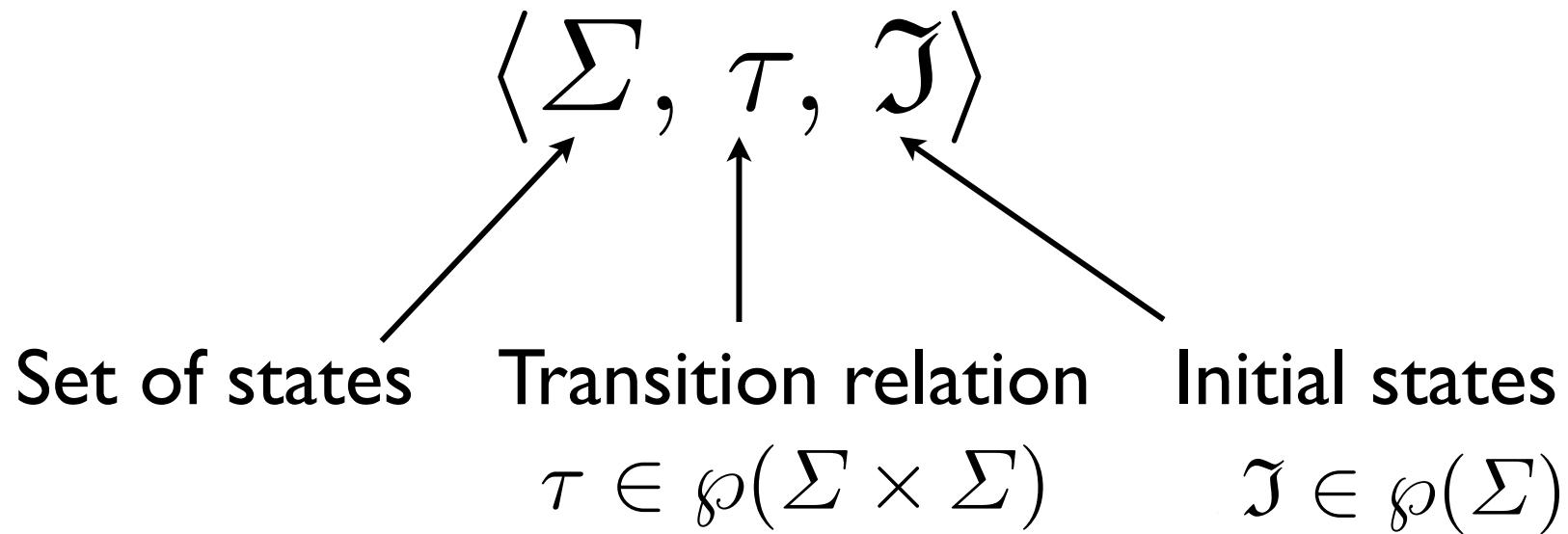
- We do not want to have to solve the program termination problem
- We ignore non-terminating executions, if any



# Problem formalization

# Program small-step operational semantics

- Transition system



- Blocking states

$$\mathcal{B} \triangleq \{s \in \Sigma \mid \forall s' : \neg\tau(s, s')\}$$

# Traces

- $\vec{\Sigma}^n$  traces of length  $n$   
 $\vec{s} = \vec{s}_0 \dots \vec{s}_{n-1}$  of length  $|\vec{s}| \triangleq n \geq 0$
- $\vec{\Sigma}^+ \triangleq \bigcup_{n \geq 1} \vec{\Sigma}^n$  non-empty finite traces
- $\vec{\Sigma}^* \triangleq \vec{\Sigma}^+ \cup \{\vec{\epsilon}\}$  finite traces

# Program partial trace semantics

- Partial runs of length  $n \geq 0$

$$\vec{\tau}^n \triangleq \{\vec{s} \in \vec{\Sigma}^n \mid \forall i \in [0, n-1) : \tau(\vec{s}_i, \vec{s}_{i+1})\}$$

- Non-empty finite partial runs

$$\vec{\tau}^+ \triangleq \bigcup_{n \geq 1} \vec{\tau}^n$$

# Program complete/maximal trace semantics

- Complete runs of length  $n \geq 0$

$$\vec{\tau}^n \triangleq \{\vec{s} \in \vec{\tau}^n \mid \vec{s}_{n-1} \in \mathfrak{B}\}$$

- Non-empty finite complete runs

$$\vec{\tau}^+ \triangleq \bigcup_{n \geq 1} \vec{\tau}^n$$

- Non-empty finite complete runs from initial states  $\mathfrak{I}$

$$\vec{\tau}_{\mathfrak{I}}^+ \triangleq \{\vec{s} \in \vec{\tau}^+ \mid \vec{s}_0 \in \mathfrak{I}\}$$

# Fixpoint program trace semantics

$$\vec{\tau}_{\mathfrak{I}}^+ = \text{lfp}_{\emptyset}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{I}}^1 \cup \vec{T} ; \vec{\tau}^2$$

$$\vec{\tau}^+ = \text{lfp}_{\emptyset}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T} = \text{gfp}_{\vec{\Sigma}^+}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}.$$

where

- *sequential composition* of traces is  $\vec{s}s ; s\vec{s}' \triangleq \vec{s}s\vec{s}'$
- $\vec{S} ; \vec{S}' \triangleq \{\vec{s}s\vec{s}' \mid \vec{s}s \in \vec{S} \cap \vec{\Sigma}^+ \wedge s\vec{s}' \in \vec{S}'\}$
- Given  $\mathfrak{S} \subseteq \Sigma$ , we let  $\vec{\mathfrak{S}}^n \triangleq \{\vec{s} \in \vec{\Sigma}^n \mid \vec{s}_0 \in \mathfrak{S}\}$ ,  $n \geq 1$

# Collecting asserts

- All language and programmer **assertions** are collected by a syntactic pre-analysis of the code
- $\text{assert}(b_j)$  is attached to a control point  $c_j \in \Gamma, j \in \Delta$
- $A = \{\langle c_j, b_j \rangle \mid j \in \Delta\}$
- $b_j$  : well defined and visible side effect free

# Evaluation of expressions

- Expressions  $e \in \mathbb{E}$  include Boolean expressions (over scalar variables or quantifications over collections)
- The value of  $e \in \mathbb{E}$  in state  $s \in \Sigma$  is  $\llbracket e \rrbracket_s$
- Values include
  - Booleans  $\mathcal{B} \triangleq \{ \text{true}, \text{false} \}$
  - Collections (arrays, sets, hash tables, etc.) ,
  - etc

# Control

- Map  $\pi \in \Sigma \rightarrow \Gamma$  of states of  $\Sigma$  into *control points* in  $\Gamma$   
(of finite cardinality)

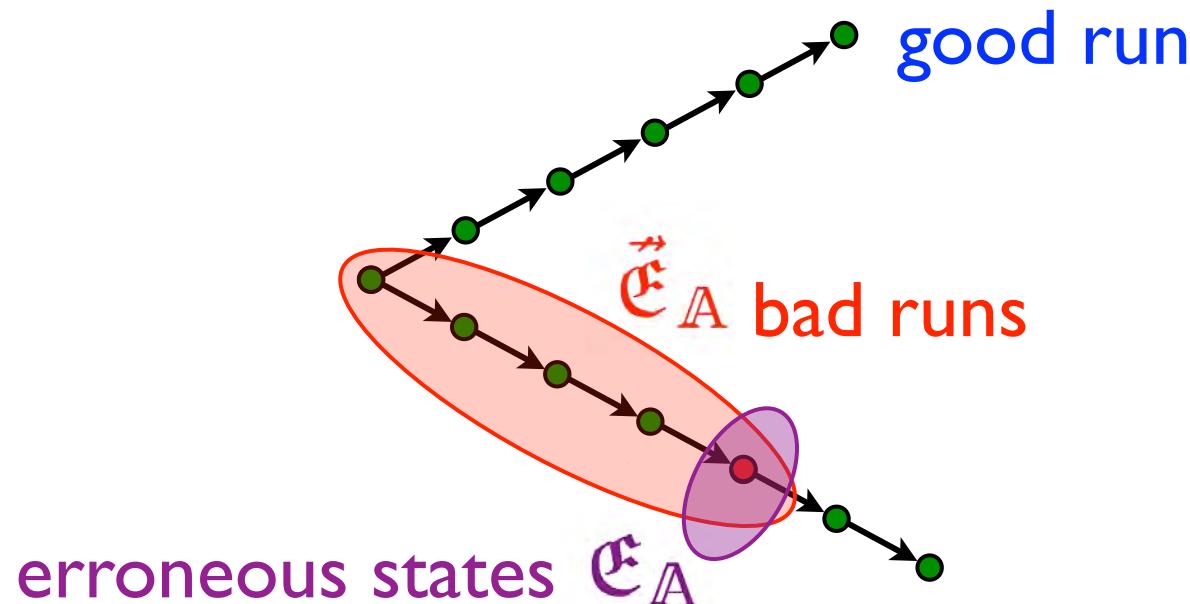
## Bad states and bad traces

- Erroneous/bad states

$$\mathfrak{E}_A \triangleq \{s \in \Sigma \mid \exists \langle c, b \rangle \in A : \pi s = c \wedge \neg [\![b]\!] s\}$$

- Erroneous/bad traces

$$\vec{\mathfrak{E}}_A \triangleq \{\vec{s} \in \vec{\Sigma}^+ \mid \exists i < |\vec{s}| : \vec{s}_i \in \mathfrak{E}_A\}$$



# Formal specification of the contract inference problem

# Contract precondition inference problem

**Definition 4** Given a transition system  $\langle \Sigma, \tau, \mathfrak{I} \rangle$  and a specification  $\mathbb{A}$ , the contract precondition inference problem consists in computing  $P_{\mathbb{A}} \in \wp(\Sigma)$  such that when replacing the initial states  $\mathfrak{I}$  by  $P_{\mathbb{A}} \cap \mathfrak{I}$ , we have

$$\vec{\tau}_{P_{\mathbb{A}} \cap \mathfrak{I}}^+ \subseteq \vec{\tau}_{\mathfrak{I}}^+ \quad (\text{no new run is introduced}) \quad (2)$$

$$\vec{\tau}_{\mathfrak{I} \setminus P_{\mathbb{A}}}^+ = \vec{\tau}_{\mathfrak{I}}^+ \setminus \vec{\tau}_{P_{\mathbb{A}}}^+ \subseteq \vec{\mathfrak{E}}_{\mathbb{A}} \quad (\text{all eliminated runs are bad runs}). \quad (3) \quad \square$$

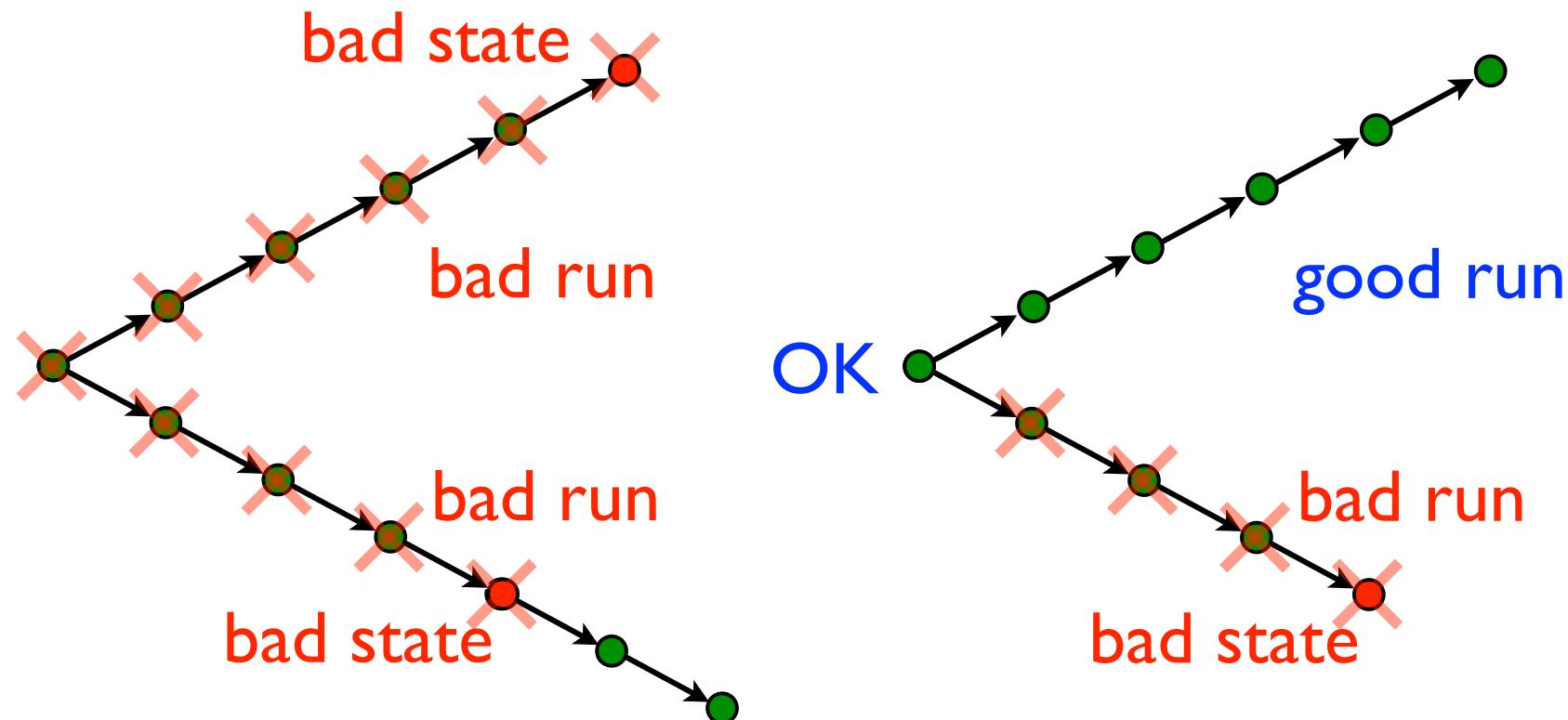
So no finite maximal good run is ever eliminated:

**Lemma 5** (3) implies  $\vec{\tau}_{\mathfrak{I}}^+ \cap \neg \vec{\mathfrak{E}}_{\mathbb{A}} \subseteq \vec{\tau}_{P_{\mathbb{A}}}^+$ .

Choosing  $P_{\mathbb{A}} = \mathfrak{I}$  so that  $\mathfrak{I} \setminus P_{\mathbb{A}} = \emptyset$  hence  $\vec{\tau}_{\mathfrak{I} \setminus P_{\mathbb{A}}}^+ = \emptyset$  is a trivial solution

# The strongest solution

**Theorem 6** *The strongest<sup>(5)</sup> solution to the precondition inference problem in Def. 4 is  $\mathfrak{P}_A \triangleq \{s \mid \exists s \vec{s} \in \vec{\tau}^+ \cap \neg \vec{\mathfrak{E}}_A\}$ .* (4)  $\square$



<sup>(5)</sup>  $P$  is said to be *stronger* than  $Q$  and  $Q$  *weaker* than  $P$  if and only if  $P \subseteq Q$ .

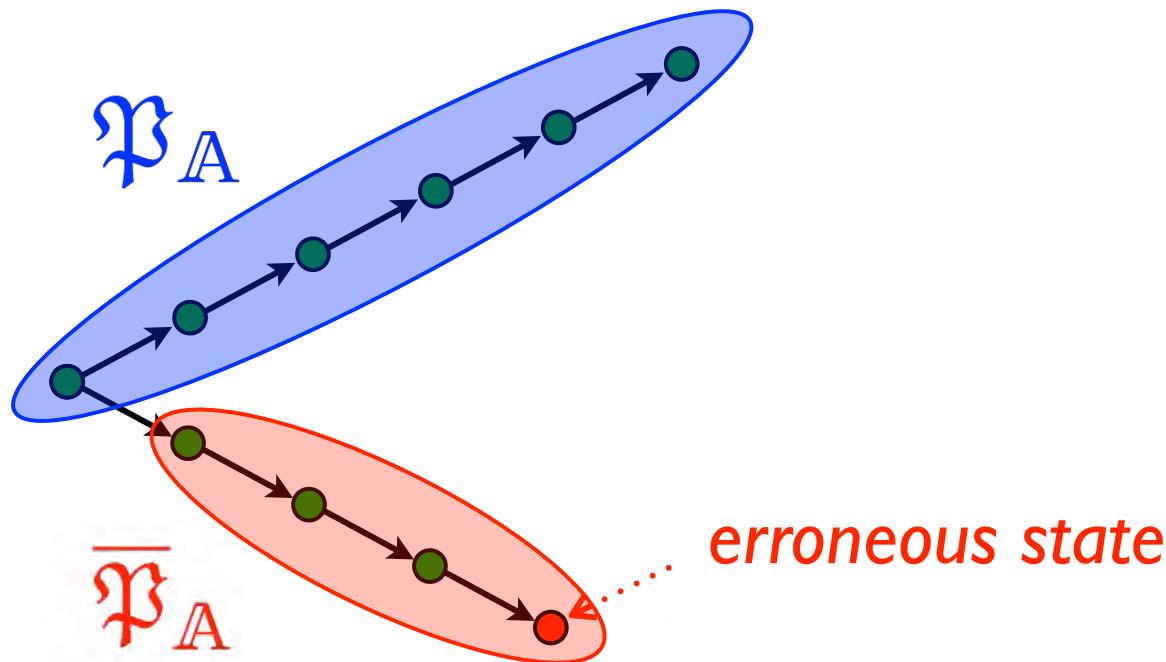
# Good and bad states

- Good states : start at least one good run

$$\mathfrak{P}_A \triangleq \{s \mid \exists s\vec{s} \in \vec{\tau}^+ \cap \neg \vec{\mathfrak{E}}_A\}.$$

- Bad states : start only bad runs

$$\overline{\mathfrak{P}}_A \triangleq \neg \mathfrak{P}_A = \{s \mid \forall s\vec{s} \in \vec{\tau}^+ : s\vec{s} \in \vec{\mathfrak{E}}_A\}$$



# Trace predicate transformers

- Trace predicate transformers<sup>(\*)</sup>

$$\text{wlp}[\vec{T}] \triangleq \lambda \vec{Q} \cdot \{s \mid \forall s\vec{s} \in \vec{T} : s\vec{s} \in \vec{Q}\}$$

$$\text{wlp}^{-1}[\vec{Q}] \triangleq \lambda P \cdot \{s\vec{s} \in \vec{\Sigma}^+ \mid (s \in P) \Rightarrow (s\vec{s} \in \vec{Q})\}$$

- Galois connection

$$\langle \wp(\vec{\Sigma}^+), \subseteq \rangle \xleftarrow[\lambda \vec{T} \cdot \text{wlp}[\vec{T}]\vec{Q}]{} \text{wlp}^{-1}[\vec{Q}] \xrightarrow{} \langle \wp(\Sigma), \supseteq \rangle$$

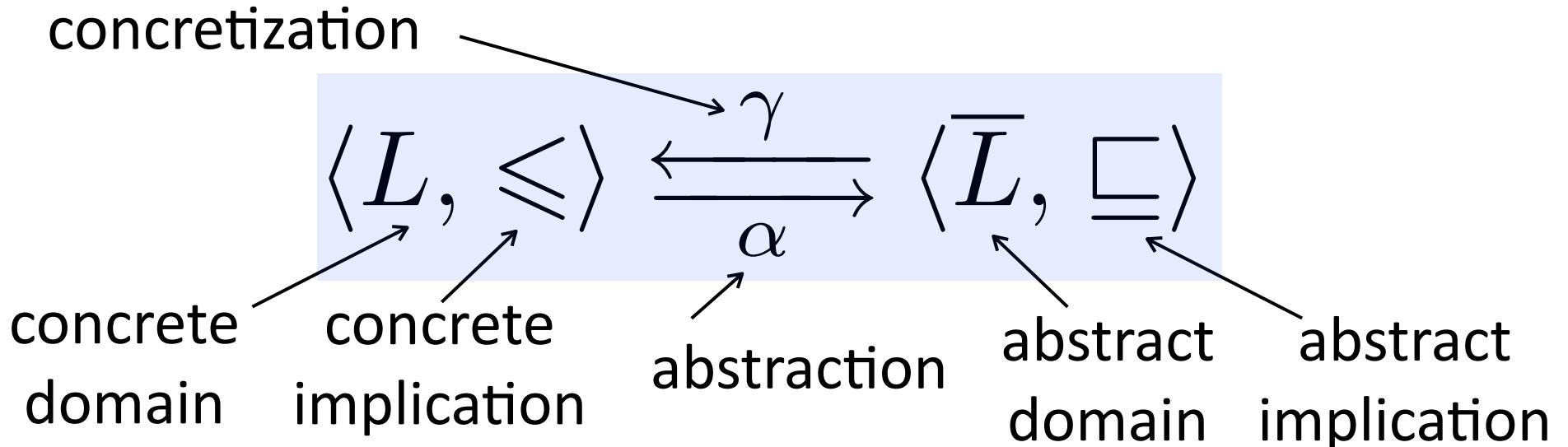
- Bad initial states (all runs from these states are bad)

$$\begin{aligned} \overline{\mathfrak{P}}_A &= \text{wlp}[\vec{\tau}^+](\vec{\mathfrak{E}}_A) \\ &= \{s \mid \forall s\vec{s} \in \vec{\tau}^+ : s\vec{s} \in \vec{\mathfrak{E}}_A\} \end{aligned}$$

(\*) Denoted as, but different from, and enjoying properties similar to Dijkstra's syntactic WLP predicate transformer

A very brief recap of  
abstract interpretation

# Galois connections



$$\forall x \in L, y \in \bar{L} : \alpha(x) \sqsubseteq y \Leftrightarrow x \leqslant \gamma(y)$$

$\Leftarrow$  best abstraction  
 $\Rightarrow$  soundness

## Duality

$$\langle \bar{L}, \supseteq \rangle \xrightleftharpoons[\gamma]{\alpha} \langle L, \geqslant \rangle$$

## Example: complement isomorphism

- $\langle L, \leqslant \rangle$  is a complete Boolean lattice with unique complement  $\neg$

$$\langle L, \leqslant \rangle \xrightleftharpoons[\neg]{\quad} \langle L, \geqslant \rangle \text{ (since } \neg x \leqslant y \Leftrightarrow x \geqslant \neg y\text{)}.$$

- self-dual

# Fixpoint abstraction

**Lemma 7** If  $\langle L, \leq, \perp \rangle$  is a complete lattice or a cpo,  $F \in L \rightarrow L$  is increasing,  $\langle \overline{L}, \sqsubseteq \rangle$  is a poset,  $\alpha \in L \rightarrow \overline{L}$  is continuous<sup>(6),(7)</sup>,  $\overline{F} \in \overline{L} \rightarrow \overline{L}$  commutes (resp. semi-commutes) with  $F$  that is  $\alpha \circ F = \overline{F} \circ \alpha$  (resp.  $\alpha \circ F \sqsubseteq \overline{F} \circ \alpha$ ) then  $\alpha(\text{lfp}_{\perp}^{\leq} F) = \text{lfp}_{\alpha(\perp)}^{\sqsubseteq} \overline{F}$  (resp.  $\alpha(\text{lfp}_{\perp}^{\leq} F) \sqsubseteq \text{lfp}_{\alpha(\perp)}^{\sqsubseteq} \overline{F}$ ).

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<sup>(6)</sup>  $\alpha$  is *continuous* if and only if it preserves existing lubs of increasing chains.

<sup>(7)</sup> The continuity hypothesis for  $\alpha$  can be restricted to the iterates of the least fixpoint of  $F$ .

## Fixpoint abstraction (cont'd)

**Lemma 7** If  $\langle L, \leq, \perp \rangle$  is a complete lattice or a cpo,  $F \in L \rightarrow L$  is increasing,  $\langle \overline{L}, \sqsubseteq \rangle$  is a poset,  $\alpha \in L \rightarrow \overline{L}$  is continuous<sup>(6),(7)</sup>,  $\overline{F} \in \overline{L} \rightarrow \overline{L}$  commutes (resp. semi-commutes) with  $F$  that is  $\alpha \circ F = \overline{F} \circ \alpha$  (resp.  $\alpha \circ F \sqsubseteq \overline{F} \circ \alpha$ ) then  $\alpha(\text{lfp}_{\perp}^{\leq} F) = \text{lfp}_{\alpha(\perp)}^{\sqsubseteq} \overline{F}$  (resp.  $\alpha(\text{lfp}_{\perp}^{\leq} F) \sqsubseteq \text{lfp}_{\alpha(\perp)}^{\sqsubseteq} \overline{F}$ ).

Applying Lem. 7 to  $\langle L, \leq \rangle \xrightleftharpoons[\perp]{\neg} \langle L, \geq \rangle$ , we get

**Corollary 8 (David Park)** If  $F \in L \rightarrow L$  is increasing on a complete Boolean lattice  $\langle L, \leq, \perp, \neg \rangle$  then  $\neg \text{lfp}_{\perp}^{\leq} F = \text{gfp}_{\perp}^{\leq} \neg \circ F \circ \neg$ .

---

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Applying Lem. 7 to  $\langle L, \leq \rangle \xrightleftharpoons[\perp]{\neg} \langle L, \geq \rangle$ , we get Cor. 8 and by duality Cor. 9 below.

**Corollary 8 (David Park)** If  $F \in L \rightarrow L$  is increasing on a complete Boolean lattice  $\langle L, \leq, \perp, \neg \rangle$  then  $\neg \text{lfp}_{\perp}^{\leq} F = \text{gfp}_{\perp}^{\leq} \neg \circ F \circ \neg$ .

**Corollary 9** If  $\langle \overline{L}, \sqsubseteq, \top \rangle$  is a complete lattice or a dcpo,  $\overline{F} \in \overline{L} \rightarrow \overline{L}$  is increasing,  $\gamma \in \overline{L} \rightarrow L$  is co-continuous<sup>(8)</sup>,  $F \in L \rightarrow L$  commutes with  $\overline{F}$  that is  $\gamma \circ \overline{F} = F \circ \gamma$  then  $\gamma(\text{gfp}_{\top}^{\sqsubseteq} \overline{F}) = \text{gfp}_{\gamma(\top)}^{\leq} F$ .

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<sup>(7)</sup> The continuity hypothesis for  $\alpha$  can be restricted to the iterates of the least fixpoint of  $F$ .

<sup>(8)</sup>  $\gamma$  is *co-continuous* if and only if it preserves existing glbs of decreasing chains.

Fixpoint strongest  
contract precondition  
(collecting semantics)

# Fixpoint strongest contract precondition

**Theorem 10**  $\overline{\mathfrak{P}}_{\mathbb{A}} = \text{gfp}_{\Sigma} \subseteq \lambda P \cdot \mathfrak{E}_{\mathbb{A}} \cup (\neg \mathfrak{B} \cap \widetilde{\text{pre}}[t]P)$  and  $\mathfrak{P}_{\mathbb{A}} = \text{lfp}_{\emptyset} \subseteq \lambda P \cdot \neg \mathfrak{E}_{\mathbb{A}} \cap (\mathfrak{B} \cup \text{pre}[t]P)$  where  $\text{pre}[t]Q \triangleq \{s \mid \exists s' \in Q : \langle s, s' \rangle \in t\}$  and  $\widetilde{\text{pre}}[t]Q \triangleq \neg \text{pre}[t](\neg Q) = \{s \mid \forall s' : \langle s, s' \rangle \in t \Rightarrow s' \in Q\}$ .  $\square$

# Fixpoint strongest contract precondition (proof)

**Theorem 10**  $\overline{\mathfrak{P}}_A = \text{gfp}_{\Sigma}^{\subseteq} \lambda P \cdot \mathfrak{E}_A \cup (\neg \mathfrak{B} \cap \widetilde{\text{pre}}[t]P)$  and  $\mathfrak{P}_A = \text{lfp}_{\emptyset}^{\subseteq} \lambda P \cdot \neg \mathfrak{E}_A \cap (\mathfrak{B} \cup \text{pre}[t]P)$  where  $\text{pre}[t]Q \triangleq \{s \mid \exists s' \in Q : \langle s, s' \rangle \in t\}$  and  $\widetilde{\text{pre}}[t]Q \triangleq \neg \text{pre}[t](\neg Q) = \{s \mid \forall s' : \langle s, s' \rangle \in t \Rightarrow s' \in Q\}$ .  $\square$

Proof sketch:

- $\vec{\tau}^+ = \text{lfp}_{\emptyset}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}$
- $\langle \wp(\vec{\Sigma}^+), \subseteq \rangle \xleftarrow[\lambda \vec{T} \cdot \text{wlp}[\vec{T}] \vec{Q}]^{\text{wlp}^{-1}[\vec{Q}]} \langle \wp(\Sigma), \supseteq \rangle$
- $\text{wlp}[\vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}](\vec{\mathfrak{E}}_A) = \mathfrak{E}_A \cup (\neg \mathfrak{B} \cap \widetilde{\text{pre}}[t](\text{wlp}[\vec{T}](\vec{\mathfrak{E}}_A)))$
- $\begin{aligned} \overline{\mathfrak{P}}_A &= \text{wlp}[\vec{\tau}^+](\vec{\mathfrak{E}}_A) = \text{wlp}[\text{lfp}_{\emptyset}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}](\vec{\mathfrak{E}}_A) \\ &= \text{lfp}_{\Sigma}^{\supseteq} \lambda P \cdot \mathfrak{E}_A \cup (\neg \mathfrak{B} \cap \widetilde{\text{pre}}[t]P) = \text{gfp}_{\Sigma}^{\subseteq} \lambda P \cdot \mathfrak{E}_A \cup (\neg \mathfrak{B} \cap \widetilde{\text{pre}}[t]P) \end{aligned}$
- $\mathfrak{P}_A = \neg \overline{\mathfrak{P}}_A = \text{lfp}_{\emptyset}^{\subseteq} \lambda P \cdot \neg \mathfrak{E}_A \cap (\mathfrak{B} \cup \text{pre}[t]P)$  (Park)



# Model-checking

- Computers are finite
- Compute  $\mathfrak{P}_A = \text{lfp}_{\emptyset}^{\subseteq} \lambda P \cdot \neg \mathfrak{E}_A \cap (\mathfrak{B} \cup \text{pre}[t]P)$  iteratively
- Might not scale up (pure conjecture, not implemented)

## Bounded model-checking

$$\alpha_k(\vec{T}) \triangleq \{\vec{s}_0 \dots \vec{s}_{\min(k, |\vec{s}|)-1} \mid \vec{s} \in \vec{T}\}$$

is unsound both for  $\mathfrak{P}_A$  and  $\overline{\mathfrak{P}}_A$

Contract precondition  
inference by abstract  
interpretation

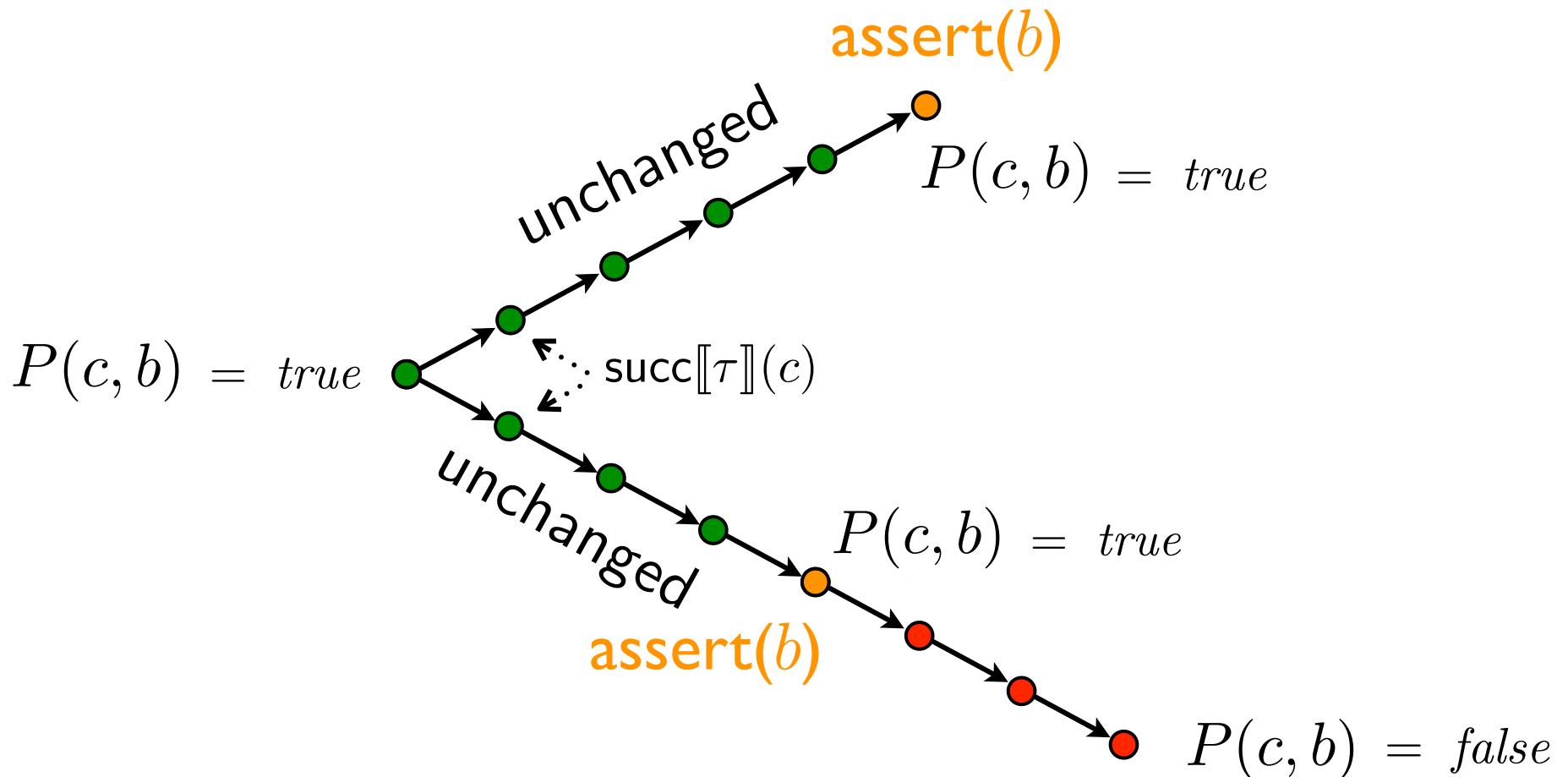
# (I) Backward expression propagation

# General idea

- Replace state-based reasonings by symbolic reasonings
- Idea: try to move the condition code in assertions at the beginning of the program/method/...
- This is possible under the sufficient conditions:
  1. the value of the visible side effect free Boolean expression on scalar or collection variables in the `assert` is exactly the same as the value of this expression when evaluated on entry;
  2. the value of the expression checked on program entry is checked in an `assert` on all paths that can be taken from the program entry.

# Dataflow analysis

- $P(c, b)$  holds at program point  $c$  when Boolean expression  $b$  will definitely be checked in an `assert( $b$ )` on all paths from  $c$  without being changed up to this check.



# Dataflow analysis (cont'd)

- $P = \text{gfp} \stackrel{\dot{\Rightarrow}}{\rightarrow} B[\![\tau]\!]$   $B \in (\Gamma \times \mathbb{A}_b \rightarrow \mathcal{B}) \rightarrow (\Gamma \times \mathbb{A}_b \rightarrow \mathcal{B})$
- $\begin{cases} P(c, b) = B[\![\tau]\!](P)(c, b) \\ c \in \Gamma, \quad b \in \mathbb{A}_b \end{cases} \quad \mathbb{A}_b \triangleq \{b \mid \exists c : \langle c, b \rangle \in \mathbb{A}\}$
- $B[\![\tau]\!](P)(c, b) = \text{true}$  when  $\langle c, b \rangle \in \mathbb{A}$  (**assert**( $b$ ) at  $c$ )
- $B[\![\tau]\!](P)(c, b) = \text{false}$  when  $\exists s \in \mathfrak{B} : \pi s = c \wedge \langle c, b \rangle \notin \mathbb{A}$  (exit at  $c$ )
- $B[\![\tau]\!](P)(c, b) = \bigwedge_{c' \in \text{succ}[\![\tau]\!](c)} \text{unchanged}[\![\tau]\!](c, c', b) \wedge P(c', b)$  (otherwise)
- the set  $\text{succ}[\![\tau]\!](c)$  of successors of the program point  $c \in \Gamma$  satisfies  

$$\text{succ}[\![\tau]\!](c) \supseteq \{c' \in \Gamma \mid \exists s, s' : \pi s = c \wedge \tau(s, s') \wedge \pi s' = c'\}$$
- $\text{unchanged}[\![\tau]\!](c, c', b)$  implies than a transition by  $\tau$  from program point  $c$  to program point  $c'$  can never change the value of Boolean expression  $b$   

$$\text{unchanged}[\![\tau]\!](c, c', b) \Rightarrow \forall s, s' : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow (\llbracket b \rrbracket s = \llbracket b \rrbracket s').$$

# Soundness of the dataflow analysis (cont'd)

- Define

$$\begin{aligned}\mathfrak{R}_{\mathbb{A}} &\triangleq \lambda b \cdot \{\langle s, s' \rangle \mid \langle \pi s', b \rangle \in \mathbb{A} \wedge \llbracket b \rrbracket s = \llbracket b \rrbracket s'\} \\ \vec{\mathfrak{R}}_{\mathbb{A}} &\triangleq \lambda b \cdot \{\vec{s} \in \vec{\Sigma}^+ \mid \exists i < |\vec{s}| : \langle \vec{s}_0, \vec{s}_i \rangle \in \mathfrak{R}_{\mathbb{A}}(b)\}\end{aligned}$$

and the abstraction

$$\begin{aligned}\vec{\alpha}_D(\vec{T})(c, b) &\triangleq \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_{\mathbb{A}}(b) \\ \vec{\gamma}_D(P) &\triangleq \{\vec{s} \mid \forall b \in \mathbb{A}_b : P(\pi \vec{s}_0, b) \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_{\mathbb{A}}(b)\}\end{aligned}$$

such that  $\langle \vec{\Sigma}^+, \subseteq \rangle \xleftarrow[\vec{\alpha}_D]{\vec{\gamma}_D} \langle \Gamma \times \mathbb{A}_b \rightarrow \mathcal{B}, \dot{\llbracket} \cdot \rrbracket \rangle$ .

- **Theorem 12**  $\vec{\alpha}_D(\vec{\tau}^+) \dot{\llbracket} \cdot \rrbracket = \text{lfp}^\leftarrow B[\tau] = \text{gfp}^\rightarrow B[\tau] \triangleq P$ . □

**Proof**  $\vec{\tau}^+ = \text{lfp}_\emptyset^\subseteq \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}$  and fixpoint abstraction (Lem. 8)

# Calculational design of the dataflow analysis

PROOF By (1-a), we have  $\vec{\tau}^+ = \text{lfp}_{\emptyset} \subseteq \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}$  so, by Lem. 8, it is sufficient to prove the semi-commutativity property

$$\begin{aligned}
 & \vec{\alpha}_D(\vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}) = \vec{\alpha}_D(\vec{\mathfrak{B}}^1) \wedge \vec{\alpha}_D(\vec{\tau}^2 ; \vec{T}) \stackrel{?}{=} B[\tau](\vec{\alpha}_D(\vec{T})). \\
 & - \vec{\alpha}_D(\vec{\mathfrak{B}}^1)(c, b) \\
 & = \forall \vec{s} \in \vec{\mathfrak{B}}^1 : \pi \vec{s}_0 = c \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_A(b) \quad \text{def. } \vec{\alpha}_D \\
 & = \forall s \in \mathfrak{B} : \pi s = c \Rightarrow \langle s, s \rangle \in \mathfrak{R}_A(b) \quad \text{def. } \vec{\mathfrak{B}}^1 \text{ and } \vec{\mathfrak{R}}_A(b) \\
 & = \forall s \in \mathfrak{B} : \pi s = c \Rightarrow \langle c, b \rangle \in A \quad \text{def. } \mathfrak{R}_A \\
 & = \text{true} \quad \text{when } \langle c, b \rangle \in A \\
 & = \text{false} \quad \text{when } \exists s \in \mathfrak{B} : \pi s = c \wedge \langle c, b \rangle \notin A \\
 & = B[\tau](\vec{\alpha}_D(\vec{T}))(c, b) \quad \text{def. } B[\tau] \\
 \\
 & - \vec{\alpha}_D(\vec{\tau}^2 ; \vec{T})(c, b) \\
 & = \forall \vec{s} \in \vec{\tau}^2 ; \vec{T} : \pi \vec{s}_0 = c \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_A(b) \quad \text{def. } \vec{\alpha}_D \\
 & = \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow ss' \vec{s} \in \vec{\mathfrak{R}}_A(b) \quad \text{def. } ; \text{ and } \vec{\tau}^2 \\
 & = \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow (\exists j < |ss' \vec{s}| : \langle s, (ss' \vec{s})_j \rangle \in \mathfrak{R}_A(b)) \quad \text{def. } \vec{\mathfrak{R}}_A \\
 & = \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow (\exists j < |ss' \vec{s}| : \langle \pi(ss' \vec{s})_j, b \rangle \in A \wedge [\![b]\!]_{(ss' \vec{s})_j}) \quad \text{def. } \mathfrak{R}_A \\
 & = \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow ((\langle \pi s, b \rangle \in A \vee (\exists j < |ss' \vec{s}| : \langle \pi(s' \vec{s})_j, b \rangle \in A \wedge [\![b]\!]_{(s' \vec{s})_j})) \quad \text{separating the case } j = 0 \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow (\exists j < |ss' \vec{s}| : \langle \pi(s' \vec{s})_j, b \rangle \in A \wedge [\![b]\!]_{(s' \vec{s})_j}) \quad \text{def. } \Rightarrow \\
 & = \langle c, b \rangle \in A \vee \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow (\forall s' \vec{s} \in \vec{T} : \exists j < |s' \vec{s}| : \langle \pi(s' \vec{s})_j, b \rangle \in A \wedge [\![b]\!]_{(s' \vec{s})_j}) \quad \text{def. } \Rightarrow \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall s' \vec{s}' \in \vec{T} : (\exists j < |s' \vec{s}'| : \langle \pi(s' \vec{s}')_j, b \rangle \in A \wedge [\![b]\!]_{(s' \vec{s}')_j})) \quad \text{transitivity of } = \text{ and } \vec{s}' = \vec{s} \\
 & = \langle c, b \rangle \in A \vee \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall s' \vec{s}' \in \vec{T} : \pi(s' \vec{s}')_0 = \pi s' \Rightarrow (\exists j < |s' \vec{s}'| : \langle \pi(s' \vec{s}')_j, b \rangle \in A \wedge [\![b]\!]_{(s' \vec{s}')_j})) \quad (\pi(s' \vec{s}')_0 = s')
 \end{aligned}$$

$$\begin{aligned}
 & = \langle c, b \rangle \in A \vee \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c) \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = \pi s' \Rightarrow (\exists j < |\vec{s}| : \langle \pi \vec{s}_j, b \rangle \in A \wedge [\![b]\!]_{\vec{s}_j} = [\![b]\!]_{s_j})) \quad \text{letting } \vec{s} = s' \vec{s}' \\
 & = \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow (\exists j < |\vec{s}| : \langle \pi \vec{s}_j, b \rangle \in A \wedge [\![b]\!]_{\vec{s}_j} = [\![b]\!]_{s_j})) \quad \text{letting } c' = \pi s' \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c \wedge \pi s' = c') \Rightarrow (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow (\exists j < |\vec{s}| : \langle \pi \vec{s}_j, b \rangle \in A \wedge [\![b]\!]_{\vec{s}_j} = [\![b]\!]_{s_j}))) \quad \text{since } A \Rightarrow (A \Rightarrow B \wedge C) \text{ implies } A \Rightarrow (B \wedge C) \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c \wedge \pi s' = c') \Rightarrow (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow (\exists j < |\vec{s}| : \langle \pi \vec{s}_j, b \rangle \in A \wedge [\![b]\!]_{\vec{s}_j} = [\![b]\!]_{s_j}))) \quad (\exists x : A) \Rightarrow B \text{ iff } \forall x : (A \Rightarrow B) \\
 & = \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c \wedge \pi s' = c') \Rightarrow (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow (\exists j < |\vec{s}| : \langle \vec{s}_0, \vec{s}_j \rangle \in \mathfrak{R}_A(b)))) \quad \text{def. } \mathfrak{R}_A \triangleq \lambda \vec{T} \cdot \{ \langle s, s' \rangle \mid \langle \pi s', b \rangle \in A \wedge [\![b]\!]_s = [\![b]\!]_{s'} \} \\
 & = \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \forall s, s' \in \vec{T} : (\tau(s, s') \wedge \pi s = c \wedge \pi s' = c') \Rightarrow (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_A(b))) \quad \text{def. } \vec{\mathfrak{R}}_A(b) \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c' \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_A(b))) \quad \text{def. succ}[\tau](c) \supseteq \{ c' \in \Gamma \mid \exists s, s' \in \vec{T} : \tau(s, s') \wedge \pi s = c \wedge \pi s' = c' \} \\
 & = \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : (\forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'} \wedge \vec{\alpha}_D(\vec{T})(c', b))) \quad \text{def. } \vec{\alpha}_D(\vec{T})(c, b) \triangleq \forall \vec{s} \in \vec{T} : \pi \vec{s}_0 = c \Rightarrow \vec{s} \in \vec{\mathfrak{R}}_A(b) \\
 & \Leftarrow \langle c, b \rangle \in A \vee \forall c' \in \text{succ}[\tau](c) : \text{unchanged}[\tau](c, c', b) \wedge \vec{\alpha}_D(\vec{T})(c', b) \quad \text{def. unchanged}[\tau](c, c', b) \Rightarrow \forall s, s' \in \vec{T} : (\pi s = c \wedge \tau(s, s') \wedge \pi s' = c') \Rightarrow ([\![b]\!]_s = [\![b]\!]_{s'}) \\
 & = B[\tau](\vec{\alpha}_D(\vec{T}))(c, b) \quad \text{def. } B[\tau]
 \end{aligned}$$

Just to show that  
is machine-  
checkable

# Backward expression propagation-based precondition generation

- **Precondition generation.** The syntactic precondition generated at entry control point  $i \in \mathfrak{I}_\pi \triangleq \{i \in \Gamma \mid \exists s \in \mathfrak{I} : \pi s = i\}$  is (assuming  $\&\& \emptyset \triangleq \text{true}$ )

$$P_i \triangleq \underset{b \in \mathbb{A}_b, P(i,b)}{\&\&} b$$

The set of states for which the syntactic precondition  $P_i$  is evaluated to *true* at program point  $i \in \Gamma$  is

$$P_i \triangleq \{s \in \Sigma \mid \pi s = i \wedge [\![P_i]\!]_s\}$$

and so for all program entry points (in case there is more than one)

$$P_{\mathfrak{I}} \triangleq \{s \in \Sigma \mid \exists i \in \mathfrak{I}_\pi : s \in P_i\}$$

- **Theorem 13**  $\mathfrak{P}_A \cap \mathfrak{I} \subseteq P_{\mathfrak{I}}$ . □

# Example

```
void AllNotNull(Ptr[] A) {  
    /* 1: */ int i = 0;  
    /* 2: */ while /* 3: */  
        (assert(A != null); i < A.length) {  
        /* 4: */ assert((A != null) && (A[i] != null));  
        /* 5: */ A[i].f = new Object();  
        /* 6: */ i++;  
        /* 7: */ }  
    /* 8: */ }
```

the assertion `A != null` is checked on all paths and `A` is not changed (only its elements are), so the data flow analysis is able to move the assertion as a precondition.  $\square$

- The dataflow analysis is a sound abstraction of the trace semantics but **too imprecise**

## (II) Forward symbolic execution

## Just the idea:

- Perform a symbolic execution [19]
- Move asserts symbolically to the program entry

**Example 15** For the program

```
/* 1: x=x0 & y=y0 */           if (x == 0 ) {  
/* 2: x0=0 & x=x0 & y=y0 */       x++;  
/* 3: x0=0 & x=x0+1 & y=y0 */    assert(x==y);  
}
```

the precondition at program point 1: is  $(!(x==0) \vee (x+1==y))$ . □

- Fixpoint approximation thanks to the formalization of symbolic execution as an abstract interpretation [8, Sect. 3.4.5] (a widening enforces convergence)

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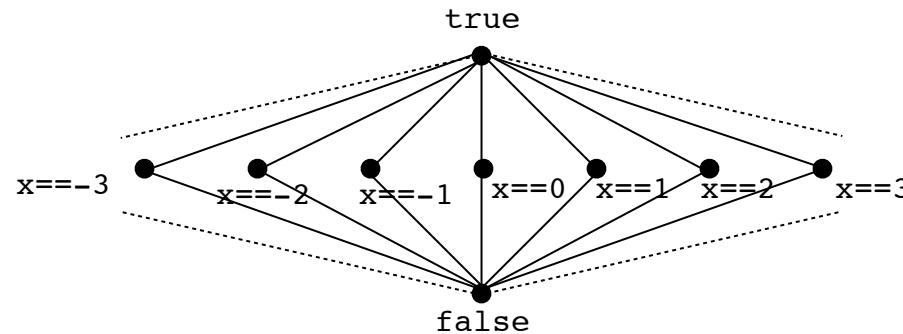
[8] Cousot, P.: Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes (in French). Thèse d'État ès sciences mathématiques, Université scientifique et médicale de Grenoble (1978)

[19] King, J.: Symbolic execution and program testing. CACM 19(7), 385–394 (1976)

# (III) Backward symbolic execution

# Abstract domain $\mathbb{B}/\equiv$

- $\mathbb{B}$  : visible side-effect and error free Boolean expressions on scalar variables
- $b \Rightarrow b'$  implies that  $\forall s \in \Sigma : \llbracket b \rrbracket_s \Rightarrow \llbracket b' \rrbracket_s$ . abstract implication
- $b \equiv b' \triangleq b \Rightarrow b' \wedge b' \Rightarrow b$ . abstract equivalence
- $b' \in [b]/\equiv$  encoding of equivalence class by a representant
- $\langle \mathbb{B}/\equiv, \Rightarrow \rangle$  abstract domain of Boolean expressions
- (Trivial) example:



# Abstract domain $\langle \overline{\mathbb{B}}^2, \Rightarrow \rangle$

- $\overline{\mathbb{B}}^2 \triangleq \{ b_p \rightsquigarrow b_a \mid b_p \in \mathbb{B} \wedge b_a \in \mathbb{B} \wedge b_p \not\Rightarrow b_a \}$

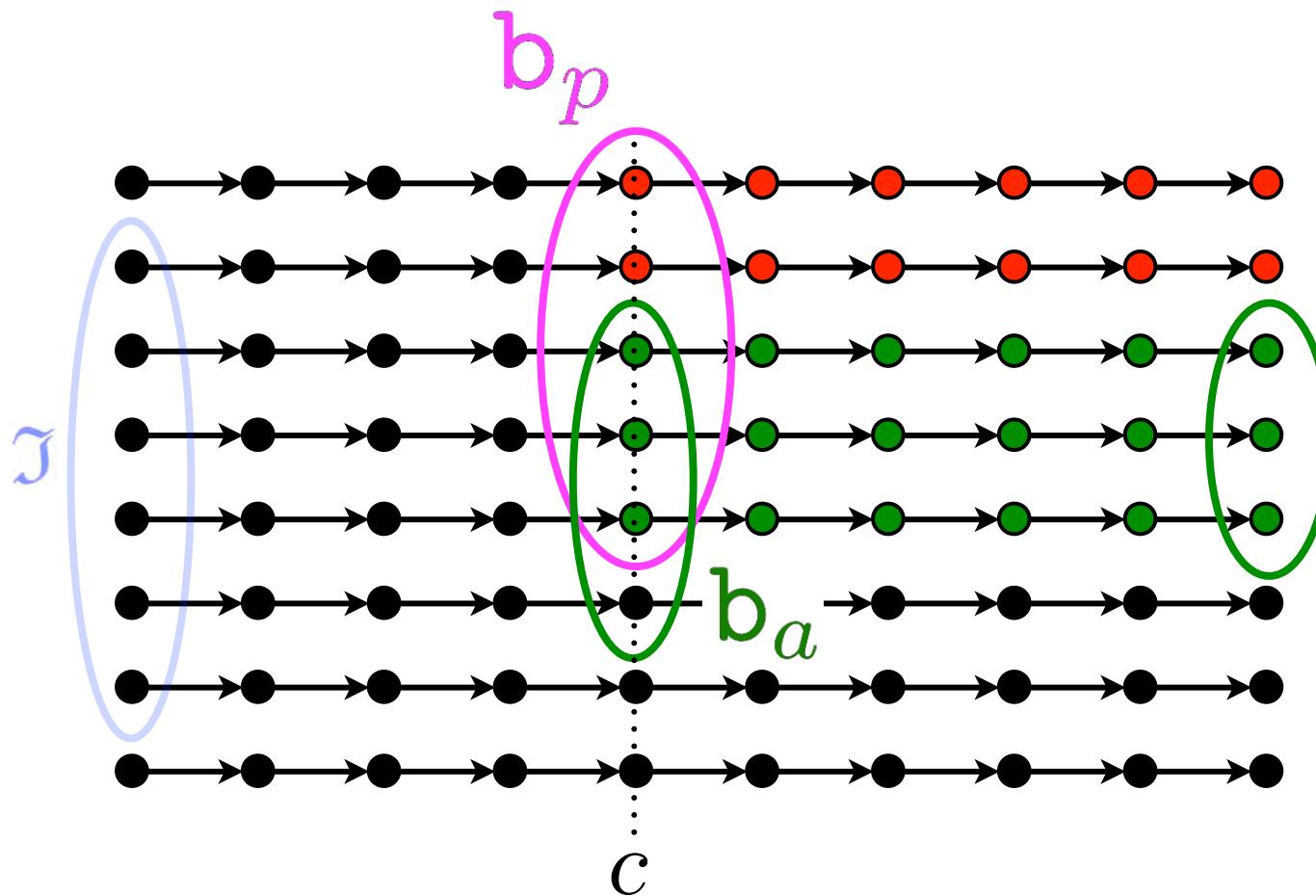
interpretation of  $b_p \rightsquigarrow b_a$  : when the path condition  $b_p$  holds, an execution path will be followed to some `assert(b)` and checking  $b_a$  at the beginning of the path is the same as checking this  $b$  later in the path when reaching the assertion.

- Example

```
odd(x) ~> y >= 0
if ( odd(x) ) {
    y++;
    assert(y > 0);
} else {
    assert(y < 0); }
```

- $b_p \rightsquigarrow b_a \Rightarrow b'_p \rightsquigarrow b'_a \triangleq b'_p \Rightarrow b_p \wedge b_a \Rightarrow b'_a$ . order

# Intuitive meaning of $b_p \rightsquigarrow b_a$



$\text{assert}(b) = \text{false}$   
 $\text{assert}(b) = \text{false}$   
 $\text{assert}(b) = \text{true}$   
 $\text{assert}(b') = \text{true}$   
 $\text{assert}(b') = \text{true}$

# Abstract domains $\langle \wp(\overline{\mathbb{B}}^2), \subseteq \rangle$ and $\Gamma \rightarrow \wp(\overline{\mathbb{B}}^2)$

- each  $b_p \rightsquigarrow b_a$  corresponding to a different path to an assertion
- a set of conditions,  $b_p \rightsquigarrow b_a$  attached to each program point
- **Example 16** The program on the left has abstract properties given on the right.

<pre>/* 1: */ if ( odd(x) ) {</pre>	$\rho(1) = \{\text{odd}(x) \rightsquigarrow y \geq 0, \neg\text{odd}(x) \rightsquigarrow y < 0\}$
<pre>/* 2: */     y++;</pre>	$\rho(2) = \{\text{true} \rightsquigarrow y \geq 0\}$
<pre>/* 3: */     assert(y &gt; 0);</pre>	$\rho(3) = \{\text{true} \rightsquigarrow y > 0\}$
<pre>        } else {</pre>	
<pre>/* 4: */     assert(y &lt; 0); }</pre>	$\rho(4) = \{\text{true} \rightsquigarrow y < 0\}$
<pre>/* 5: */</pre>	$\rho(5) = \emptyset$

- Infinitely many paths: widening

A simple widening to enforce convergence would limit the size of the elements of  $\wp(\overline{\mathbb{B}}^2)$ , which is sound since eliminating a pair  $b_p \rightsquigarrow b_a$  would just lead to ignore some assertion in the precondition, which is always correct.

# Concretization

- Concretization of  $b_p \rightsquigarrow b_a$  for a given program point  $c$

$$\gamma_c \in \overline{\mathbb{B}}^2 \rightarrow \wp(\{\vec{s} \in \vec{\Sigma}^+ \mid \pi\vec{s}_0 = c\})$$

$$\gamma_c(b_p \rightsquigarrow b_a) \triangleq \{\vec{s} \in \vec{\Sigma}^+ \mid \pi\vec{s}_0 = c \wedge [\![b_p]\!] \vec{s}_0 \Rightarrow (\exists j < |\vec{s}| : [\![b_a]\!] \vec{s}_0 = [\![A(\pi\vec{s}_j)]!] \vec{s}_j)\}.$$

$$A(c) \triangleq \bigwedge_{\langle c, b \rangle \in A} b$$

- Concretization of a set of  $b_p \rightsquigarrow b_a$  for a given program point  $c$

$$\bar{\gamma}_c \in \wp(\overline{\mathbb{B}}^2) \rightarrow \wp(\{\vec{s} \in \vec{\Sigma}^+ \mid \pi\vec{s}_0 = c\})$$

$$\bar{\gamma}_c(C) \triangleq \bigcap_{b_p \rightsquigarrow b_a \in C} \gamma_c(b_p \rightsquigarrow b_a)$$

- Concretization for all program points  $c$

$$\dot{\gamma} \in (\Gamma \rightarrow \wp(\overline{\mathbb{B}}^2)) \rightarrow \wp(\vec{\Sigma}^+) \quad \dot{\gamma} \text{ is decreasing}$$

$$\dot{\gamma}(\rho) \triangleq \bigcup_{c \in \Gamma} \{\vec{s} \in \bar{\gamma}_c(\rho(c)) \mid \pi\vec{s}_0 = c\}$$

# Command, successor and predecessor of a program point

$c : x := e ; c' : \dots$	$\text{cmd}(c, c') \triangleq x := e$	$\text{succ}(c) \triangleq \{c'\}$	$\text{pred}(c') \triangleq \{c\}$
$c : \text{assert}(b) ; c' : \dots$	$\text{cmd}(c, c') \triangleq b$	$\text{succ}(c) \triangleq \{c'\}$	$\text{pred}(c') \triangleq \{c\}$
$c : \text{if } b \text{ then } c'_t : \dots c''_t : \text{else } c'_f : \dots c''_f : \text{fi} ; c' \dots$	$\text{cmd}(c, c'_t) \triangleq b$ $\text{cmd}(c, c'_f) \triangleq \neg b$ $\text{cmd}(c''_t, c') \triangleq \text{skip}$ $\text{cmd}(c''_f, c') \triangleq \text{skip}$	$\text{succ}(c) \triangleq \{c'_t, c'_f\}$ $\text{succ}(c''_t) \triangleq \{c'\}$ $\text{succ}(c''_f) \triangleq \{c'\}$	$\text{pred}(c'_t) \triangleq \{c\}$ $\text{pred}(c'_f) \triangleq \{c\}$ $\text{pred}(c'') \triangleq \{c'_t, c'_f\}$
$c : \text{while } c' : b \text{ do } c'_b : \dots c''_b : \text{od} ; c'' \dots$	$\text{cmd}(c, c') \triangleq \text{skip}$ $\text{cmd}(c', c'_b) \triangleq b$ $\text{cmd}(c', c'') \triangleq \neg b$ $\text{cmd}(c''_b, c) \triangleq \text{skip}$	$\text{succ}(c) \triangleq \{c'\}$ $\text{succ}(c') \triangleq \{c'_b, c''\}$ $\text{succ}(c''_b) \triangleq \{c'\}$	$\text{pred}(c') \triangleq \{c, c''_b\}$ $\text{pred}(c'_b) \triangleq \{c'\}$ $\text{pred}(c'') \triangleq \{c'\}$

# Backward symbolic execution

- We compute iteratively the under-approximation  $\rho \dot{\subseteq} \text{lfp} \dot{\subseteq} B$
- **Backward path condition and checked expression propagation.** The system of backward equations  $\rho = B(\rho)$  is (recall that  $\bigcup \emptyset = \emptyset$ )

$$\left\{ \begin{array}{l} B(\rho)c = \bigcup_{c' \in \text{succ}(c), b \rightsquigarrow b' \in \rho(c')} B(\text{cmd}(c, c'), b \rightsquigarrow b') \cup \{\text{true} \rightsquigarrow b \mid \langle c, b \rangle \in A\} \\ c \in \Gamma \end{array} \right.$$

where (writing  $e[x := e']$  for the substitution of  $e'$  for  $x$  in  $e$ )

$$\begin{aligned} B(\text{skip}, b_p \rightsquigarrow b_a) &\triangleq \{b_p \rightsquigarrow b_a\} \\ B(x := e, b_p \rightsquigarrow b_a) &\triangleq \{b_p[x := e] \rightsquigarrow b_a[x := e]\} \quad \text{if } b_p[x := e] \in B \wedge b_a[x := e] \in B \\ &\quad \wedge b_p[x := e] \not\Rightarrow b_c[x := e] \\ &\triangleq \emptyset \quad \text{otherwise} \\ B(b, b_p \rightsquigarrow b_a) &\triangleq \{b \And b_p \rightsquigarrow b_a\} \quad \text{if } b \And b_p \in B \wedge b \And b_p \not\Rightarrow b_a \\ &\triangleq \emptyset \quad \text{otherwise} \end{aligned}$$

# Soundness of the backward symbolic execution

**Theorem 18** If  $\rho \dot{\subseteq} \text{lfp}^{\dot{\subseteq}} B$  then  $\vec{\tau}^+ \subseteq \dot{\gamma}(\rho)$ . □

Observe that  $B$  can be  $\dot{\Rightarrow}$ -overapproximated (e.g. to allow for simplifications of the Boolean expressions).

PROOF Apply Cor. 10 to  $\vec{\tau}^+ = \text{gfp}_{\vec{\Sigma}^+}^{\subseteq} \lambda \vec{T} \cdot \vec{\mathfrak{B}}^1 \cup \vec{\tau}^2 ; \vec{T}$  (1-b). □

# Example

**Example 22** The analysis of the following program

```
/* 1: */ while (x != 0) {  
/* 2: */     assert(x > 0);  
/* 3: */     x--;  
/* 4: */ }
```

leads to the following iterates at program point 1:

$$\rho^0(1) = \emptyset \quad \text{Initialization}$$

$$\rho^1(1) = \{x \neq 0 \rightsquigarrow x > 0\}$$

$$\begin{aligned} \rho^2(1) &= \rho^1(1) && \text{since } (x \neq 0 \wedge x > 0 \wedge x - 1 \neq 0) \rightsquigarrow (x - 1 > 0) \\ &&& \equiv x > 1 \rightsquigarrow x > 1 \end{aligned} \quad \square$$

# Backward symbolic execution-based precondition generation

Given an analysis  $\rho \subseteq \text{Ifp}^{\subseteq} B$ , the syntactic precondition generated at entry control point  $i \in \mathfrak{I}_\pi \triangleq \{i \in \Gamma \mid \exists s \in \mathfrak{I} : \pi s = i\}$  is

$$P_i \triangleq \&\&_{b_p \sim b_a \in \rho(i)} (!b_p) \sqcup (b_a) \quad (\text{again, assuming } \&\& \emptyset \triangleq \text{true})$$

## Example

```
! (x != 0) || (x > 0)
/* 1: */ while (x != 0) {
/* 2: */     assert(x > 0); — forward analysis
/* 3: */     x--;
/* 4: */ } /* 5: */ from precondition
```

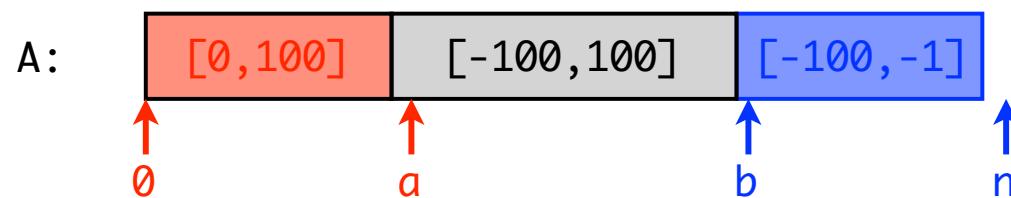
# (IV) Forward analysis for collections

# General idea

- The previous analyzes for scalar variables can be applied **elementwise** to collections  
    ⇒ **much too costly**
- Apply **segmentwise** to collections!
- Forward or backward symbolic execution might be costly, an efficient solution is needed  
    ⇒ **segmented forward dataflow analysis**

# Recall on segmentation (from last year talk<sup>(\*)</sup>)

- Example



A: <{0}, [0, 100], {a}? , [-100, 100], {b}? , [-100, -1] , {n}?>

- Formally, the abstract domain functor is

$$\overline{\mathcal{S}}(\overline{\mathcal{A}}) \triangleq \{ (\overline{\mathcal{B}} \times \overline{\mathcal{A}}) \times (\overline{\mathcal{B}} \times \overline{\mathcal{A}} \times \{\perp, ?\})^k \times (\overline{\mathcal{B}} \times \{\perp, ?\}) \mid k \geq 0 \} \cup \{\perp\}$$

$$\{e_1^1 \dots e_{m^1}^1\} A_1 \{e_1^2 \dots e_{m^2}^2\} [?^2] A_2 \dots A_{n-1} \{e_1^n \dots e_{m^n}^n\} [?^n]$$

expressions      lower      abstract      upper      possible  
on scalar variables      bound of      property of all      bound of      emptiness  
(all have equal      segment      elements in      segment      of segment  
values)      (included)      segment      (excluded)  
?: segment may be empty,  $\perp$  segment is not empty

(\*) Tech. Rept. no. MSR-TR-2009-194, Sep. 2009, submitted.

# Basic abstract domains for segments

- Modification analysis

$$\overline{\mathcal{M}} \triangleq \{e, d\} \quad e \sqsubseteq e \sqsubset d \sqsubseteq d.$$

$e$  : all elements in the segment **must be equal** to their initial value

$d$  : otherwise

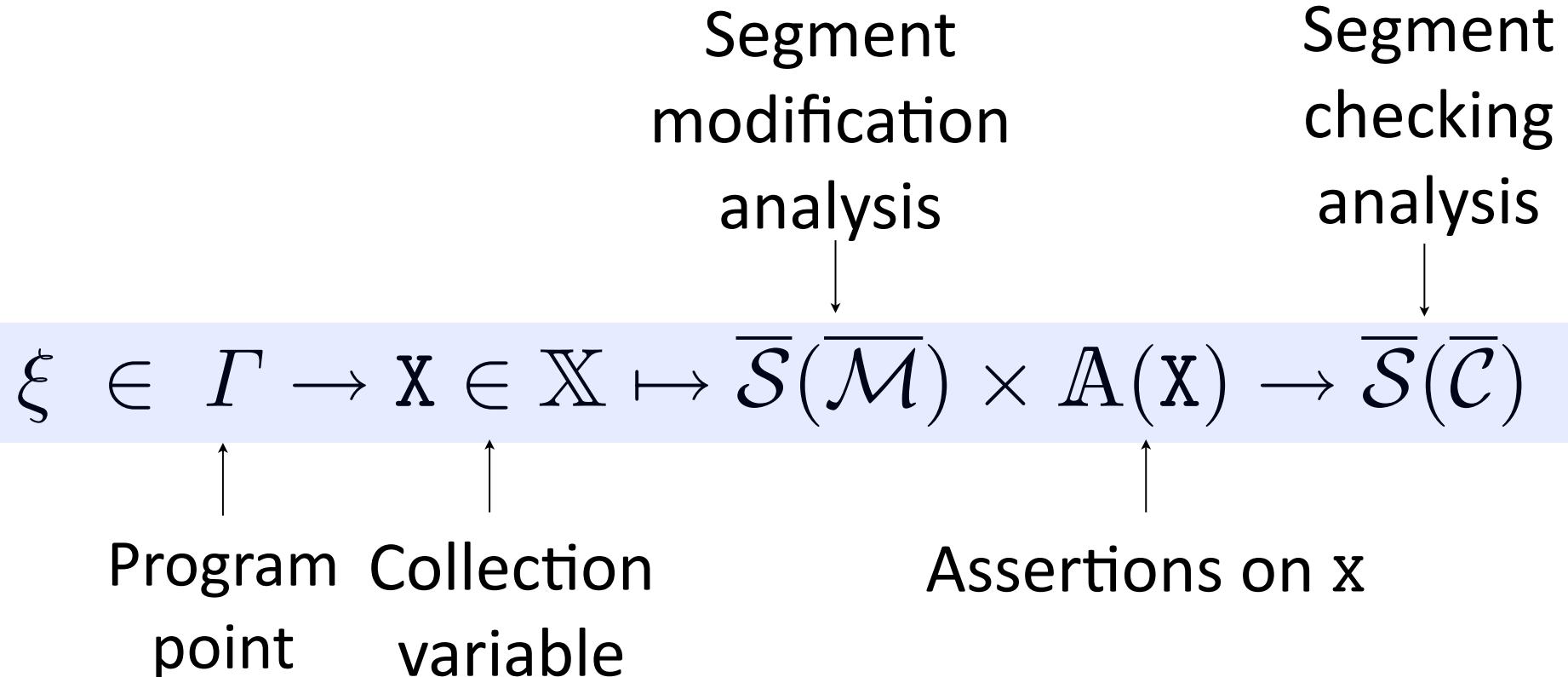
- Checking analysis

$$\overline{\mathcal{C}} \triangleq \{n, c\} \quad n \sqsubseteq n \sqsubset c \sqsubseteq c$$

$c$  : all elements  $A[i]$  in the segment **must have been checked** in  $\text{assert}(b(A[i]))$  while equal to their initial value (as determined by the above modification analysis)

$n$  : otherwise

# Abstract domain for collections



For each assertion in  $\langle c, b(X, i) \rangle \in A(X)$  (where  $c$  is a program point designating an `assert(b)` and  $b(X, i)$  is a side effect free Boolean expression checking a property of element  $X[i]$  of collection  $X^{(9)}$ )

(9) If more than one index is used, like in `assert(A[i]<A[i+1])` or `assert(A[i]<A[A.length-i])`, the modification analysis must check that the array A has not been modified for all these indexes.

## Example : (I) program

```
void AllNotNull(Ptr[] A) {  
/* 1: */ int i = 0;  
/* 2: */ while /* 3: */  
            (assert(A != null); i < A.length) {  
/* 4: */  
  
/* 4: */     assert((A != null) && (A[i] != null));  
/* 5: */     A[i].f = new Object();  
/* 6: */     i++;  
/* 7: */ }  
/* 8: */ }
```

## Example : (IIa) analysis

```
void AllNotNull(Ptr[] A) {  
/* 1: */ int i = 0;  
/* 2: */ while /* 3: */  
            (assert(A != null); i < A.length) {  
/* 4: */     {0}d{i}e{A.length} - {0}c{i}n{A.length}  
/* 4: */     assert((A != null) && (A[i] != null));  
/* 5: */     A[i].f = new Object();  
/* 6: */     i++;  
/* 7: */ }  
/* 8: */ } {0}d{i,A.length}? - {0}c{i,A.length}?
```

## Example : (llb) modification analysis

```
void AllNotNull(Ptr[] A) {  
/* 1: */ int i = 0;  
/* 2: */ while /* 3: */  
    (assert(A != null); i < A.length) {  
/* 4: */  
    assert((A != null) && (A[i] != null));  
/* 5: */ A[i].f = new Object();  
/* 6: */ i++;  
/* 7: */ }  
/* 8: */ } {0}d{i,A.length}? - {0}c{i,A.length}?
```

(A[i] != null) is  
checked while A[i]  
unmodified since code  
entry

## Example : (III) result

```
void AllNotNull(Ptr[] A) {  
/* 1: */ int i = 0;  
/* 2: */ while /* 3: */  
    (assert(A != null); i < A.length) {  
/* 4: */  
    {0}d{i}e{A.length} - {0}c{i}n{A.length}  
    /* 4: */ assert((A != null) && (A[i] != null));  
/* 5: */ A[i].f = new Object();  
/* 6: */ i++;  
/* 7: */ }  
/* 8: */ } {0}d{i,A.length}? - {0}c{i,A.length}?
```

(A[i] != null) is checked while A[i] unmodified since code entry

all A[i] have been checked in (A[i] != null) while unmodified since code entry

# Details of the analysis

- (a)  $\{0\} \in \{A.length\} ? - \{0\} \in \{A.length\} ?$   
no element yet modified ( $\in$ ) and none checked ( $\in$ ), array may be empty
- (b)  $2: \{0, i\} \in \{A.length\} ? - \{0, i\} \in \{A.length\} ?$   $i = 0$
- (c)  $3: \perp \sqcup (\{0, i\} \in \{A.length\} ? - \{0, i\} \in \{A.length\} ?)$   $\text{join}$   
 $= \{0, i\} \in \{A.length\} ? - \{0, i\} \in \{A.length\} ?$
- (d)  $4: \{0, i\} \in \{A.length\} - \{0, i\} \in \{A.length\}$   
last and only segment hence array not empty (since  $A.length > i = 0$ )
- (e)  $5: \{0, i\} \in \{A.length\} - \{0, i\} \in \{1, i+1\} \in \{A.length\} ?$   
 $A[i]$  checked while unmodified
- (f)  $6: \{0, i\} \in \{1, i+1\} \in \{A.length\} ? - \{0, i\} \in \{1, i+1\} \in \{A.length\} ?$   
 $A[i]$  has been modified
- (g)  $7: \{0, i-1\} \in \{1, i\} \in \{A.length\} ? - \{0, i-1\} \in \{1, i\} \in \{A.length\} ?$   
invertible assignment  $i_{\text{old}} = i_{\text{new}} - 1$
- (h)  $3: \{0, i\} \in \{A.length\} ? \sqcup \{0, i-1\} \in \{1, i\} \in \{A.length\} ? - \{0, i\} \in \{A.length\} ? \sqcup \{0, i-1\} \in \{1, i\} \in \{A.length\} ?$   $\text{join}$   
 $= \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i\} \in \{A.length\} ?$   $\text{segment unification}$   
 $= \{0\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{A.length\} ?$   
segmentwise join  $\in \sqcup \in = \in$ ,  $\in \sqcup \in = \in$ ,  $\in \sqcup \in = \in$ ,  $\in \sqcup \in = \in$
- (i)  $4: \{0\} \in \{i\} \in \{A.length\} - \{0\} \in \{i\} \in \{A.length\}$  last segment not empty
- (j)  $5: \{0\} \in \{i\} \in \{A.length\} - \{0\} \in \{i\} \in \{i+1\} \in \{A.length\} ?$   
 $A[i]$  checked while unmodified
- (k)  $6: \{0\} \in \{i\} \in \{i+1\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{i+1\} \in \{A.length\} ?$   
 $A[i]$  has been modified
- (l)  $7: \{0\} \in \{i-1\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i-1\} \in \{i\} \in \{A.length\} ?$   
invertible assignment  $i_{\text{old}} = i_{\text{new}} - 1$
- (m)  $3: \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i-1\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i-1\} \in \{i\} \in \{A.length\} ?$   $\text{join}$   
 $= \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{A.length\} ? \sqcup \{0\} \in \{i\} \in \{A.length\} ?$   $\text{segment unification}$   
 $= \{0\} \in \{i\} \in \{A.length\} ? - \{0\} \in \{i\} \in \{A.length\} ?$   
segmentwise join, convergence
- (n)  $8: \{0\} \in \{i, A.length\} ? - \{0\} \in \{i, A.length\} ?$   
 $i \leq A.length$  in segmentation and  $\geq$  in test negation so  $i = A.length$ .

Just to show  
that the  
analysis is  
very fast!

# Code generated for the precondition

- Result of the checking analysis (at any point dominating the code exit) for an `assert(b(X, i))` on collection  $X$  at a program point  $c$

$$B_1 C_1 B_2 [?^2] C_2 \dots C_{n-1} B_n [?^n] \in \overline{\mathcal{S}}(\overline{\mathcal{C}})$$

- Let  $\Delta \subseteq [1, n]$  be the set of indices  $k \in \Delta$  for which  $C_k = c$ .
- The precondition is

$$\&&_{x \in X} \quad \&&_{\langle c, b(x, i) \rangle \in A(x)} \quad \&&_{k \in \Delta} \quad \text{ForAll}(l_k, h_k, i \Rightarrow b(X, i)) \quad (4)$$

where  $\exists e_k \in B_k, e'_k \in B_{k+1}$  such that the value of  $e_k$  (resp.  $e'_k$ ) at program point  $f$  is always equal to that of  $l_k$  (resp.  $h_k$ ) on program entry and is less than the size of the collection on program entry.

**Theorem 23** *The precondition (4) based on a sound modification and checking static analysis  $\xi$  is sound.*

# Related work

# Related work

- Static contract checking

...

- Barnett, M., Fähndrich, M., Garbervetsky, D., Logozzo, F.: Annotations for (more) precise points-to analysis. In: IWACO '07. DSV Report series No. 07-010, Stockholm University and KTH (2007)
- Barnett, M., Fähndrich, M., Logozzo, F.: Embedded contract languages. In: SAC'10. pp. 2103–2110. ACM Press (2010)

- Abstract interpretation

...

- Fähndrich, M., Logozzo, F.: Clousot: Static contract checking with abstract interpretation. In: FoVeOOS: Conference on Formal Verification of Object-Oriented software. Springer-Verlag (2010)
- Cousot, P., Cousot, R., Logozzo, F.: A parametric segmentation functor for fully automatic and scalable array content analysis. Tech. rep., MSR-TR-2009-194, MSR Redmond (Sep 2009)

## Related work (cont'd)

- Of course, (set-based, weakest) precondition for correctness (and termination):
  - Dijkstra, E.: Guarded commands, nondeterminacy and formal derivation of programs. CACM 18(8), 453–457 (1975)
- Many analyzes to determine sufficient conditions for the code to satisfy the assertions (and terminate)
  - Cousot, P.: Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes (in French). Thèse d'État ès sciences mathématiques, Université scientifique et médicale de Grenoble (1978)
  - Cousot, P.: Semantic foundations of program analysis. In: Muchnick, S., Jones, N. (eds.) Program Flow Analysis: Theory and Applications, chap. 10, pp. 303–342. Prentice-Hall (1981)
  - Cousot, P., Cousot, R.: Static determination of dynamic properties of recursive procedures. In: Neuhold, E. (ed.) IFIP Conf. on Formal Description of Programming Concepts. pp. 237–277. North-Holland (1977)
  - Bourdoncle, F.: Abstract debugging of higher-order imperative languages. In: PLDI '93. pp. 46–55. ACM Press (1993)
- etc, etc.

# Conclusion

# Precondition inference from assertions

- Our point of view that only definite (and not potential) assertion violations should be checked in preconditions looks **original**
- The analyzes for scalar and collection variables have been chosen to be **simple**
  - for **scalability** of the analyzes
  - for **understandability** of the automatic program annotation
- Remains to be **implemented**

**Thanks to all for this  
very nice visit**