

Static Analysis of Embedded Control/Command Software by Abstract Interpretation

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Talk Outline

- Motivation (2 mn) 3
- Abstract interpretation, reminder (12 mn) 6
- Applications of abstract interpretation (2 mn) 21
- A practical application to the ASTRÉE static analyzer (18 mn) 24
- Examples of abstractions in ASTRÉE (12 mn) 41
- Static analysis of systems (6 mn) 57
- Conclusion (2 mn) 64

Motivation



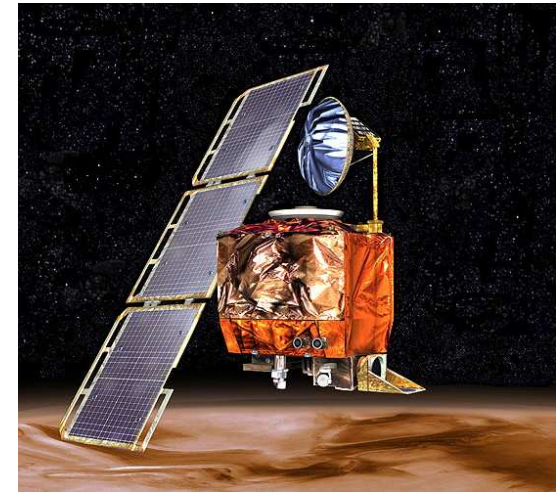
All Computer Scientists Have Experienced Bugs



Ariane 5.01 failure
(overflow)



Patriot failure
(float rounding)



Mars orbiter loss
(unit error)

It is preferable to verify that mission/safety-critical programs do not go wrong before running them.

Static Analysis by Abstract Interpretation

Static analysis: analyze the program at compile-time to verify a program runtime property

Undecidability \longrightarrow

Abstract interpretation: effectively compute an abstraction/
sound approximation of the program semantics,
– which is precise enough to imply the desired property, and
– coarse enough to be efficiently computable.

Abstract Interpretation, Reminder using a simple example

Reference

- [POPL '77] P. Cousot and R. Cousot. Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints. In *4th ACM POPL*.
- [Thesis '78] P. Cousot. Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes. Thèse ès sci. math. Grenoble, march 1978.
- [POPL '79] P. Cousot & R. Cousot. Systematic design of program analysis frameworks. In *6th ACM POPL*.

Syntax of programs

X

variables $X \in \mathbb{X}$

T

types $T \in \mathbb{T}$

E

arithmetic expressions $E \in \mathbb{E}$

B

boolean expressions $B \in \mathbb{B}$

$D ::= T X ;$

$\quad | \quad T X ; D'$

$C ::= X = E ;$

$\quad | \quad \text{while } B \ C'$

$\quad | \quad \text{if } B \ C' \text{ else } C''$

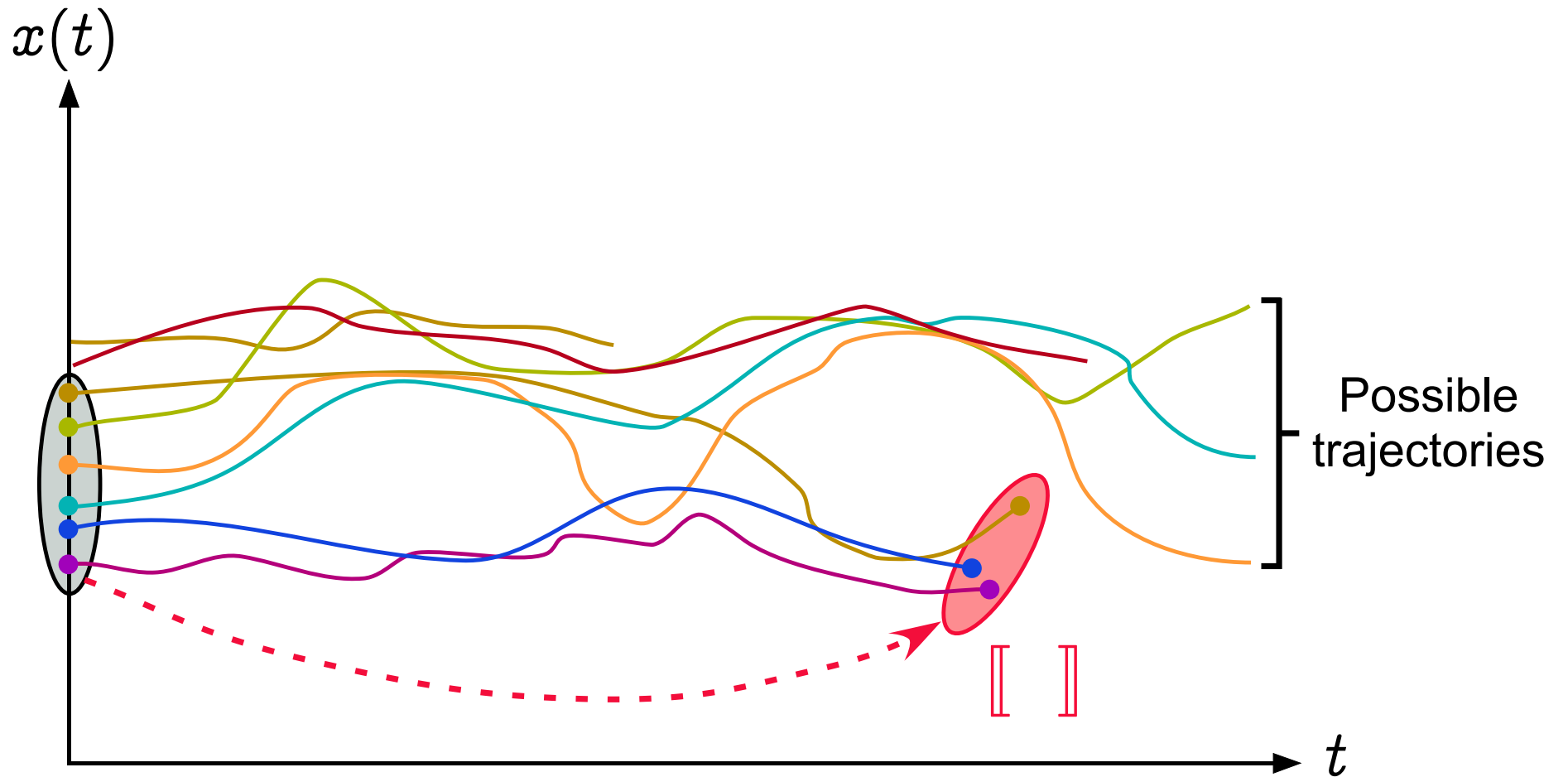
$\quad | \quad \{ C_1 \dots C_n \}, (n \geq 0)$

$P ::= D \ C$

commands $C \in \mathbb{C}$

program $P \in \mathbb{P}$

Postcondition semantics



States

Values of given type:

$\mathcal{V}[[T]]$: values of type $T \in \mathbb{T}$

$$\mathcal{V}[[\text{int}]] \stackrel{\text{def}}{=} \{z \in \mathbb{Z} \mid \text{min_int} \leq z \leq \text{max_int}\}$$

Program states $\Sigma[[P]]$ ¹:

$$\Sigma[[D \ C]] \stackrel{\text{def}}{=} \Sigma[[D]]$$

$$\Sigma[[T \ X;]] \stackrel{\text{def}}{=} \{X\} \mapsto \mathcal{V}[[T]]$$

$$\Sigma[[T \ X; \ D]] \stackrel{\text{def}}{=} (\{X\} \mapsto \mathcal{V}[[T]]) \cup \Sigma[[D]]$$

¹ States $\rho \in \Sigma[[P]]$ of a program P map program variables X to their values $\rho(X)$

Concrete Semantic Domain of Programs

Concrete semantic domain for reachability properties:

$$\mathcal{D}[[P]] \stackrel{\text{def}}{=} \wp(\Sigma[[P]]) \quad \text{sets of states}$$

i.e. program properties where \subseteq is implication, \emptyset is false, \cup is disjunction.

Concrete Reachability Semantics of Programs

$$S[X = E;]R \stackrel{\text{def}}{=} \{\rho[X \leftarrow \mathcal{E}[E]\rho] \mid \rho \in R \cap \text{dom}(E)\}$$

$$\rho[X \leftarrow v](X) \stackrel{\text{def}}{=} v, \quad \rho[X \leftarrow v](Y) \stackrel{\text{def}}{=} \rho(Y)$$

$$S[\text{if } B \text{ } C']R \stackrel{\text{def}}{=} S[C'](\mathcal{B}[B]R) \cup \mathcal{B}[\neg B]R$$

$$\mathcal{B}[B]R \stackrel{\text{def}}{=} \{\rho \in R \cap \text{dom}(B) \mid B \text{ holds in } \rho\}$$

$$S[\text{if } B \text{ } C' \text{ else } C'']R \stackrel{\text{def}}{=} S[C'](\mathcal{B}[B]R) \cup S[C''](\mathcal{B}[\neg B]R)$$

$$S[\text{while } B \text{ } C']R \stackrel{\text{def}}{=} \text{let } \mathcal{W} = \text{lfp}_{\emptyset}^{\subseteq} \lambda \mathcal{X}. R \cup S[C'](\mathcal{B}[B]\mathcal{X}) \\ \text{in } (\mathcal{B}[\neg B]\mathcal{W})$$

$$S[\{\}]R \stackrel{\text{def}}{=} R$$

$$S[\{C_1 \dots C_n\}]R \stackrel{\text{def}}{=} S[C_n] \circ \dots \circ S[C_1]R \quad n > 0$$

$$S[D \text{ } C]R \stackrel{\text{def}}{=} S[C](\Sigma[D]) \quad (\text{uninitialized variables})$$

Not computable (undecidability).

Abstract Semantic Domain of Programs

$$\langle \mathcal{D}^\# \llbracket P \rrbracket, \sqsubseteq, \perp, \sqcup \rangle$$

such that:

$$\langle \mathcal{D} \llbracket P \rrbracket, \subseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \mathcal{D}^\# \llbracket P \rrbracket, \sqsubseteq \rangle$$

i.e.

$$\forall X \in \mathcal{D} \llbracket P \rrbracket, Y \in \mathcal{D}^\# \llbracket P \rrbracket : \alpha(X) \sqsubseteq Y \iff X \subseteq \gamma(Y)$$

hence $\langle \mathcal{D}^\# \llbracket P \rrbracket, \sqsubseteq, \perp, \sqcup \rangle$ is a complete lattice such that $\perp = \alpha(\emptyset)$ and $\sqcup X = \alpha(\cup \gamma(X))$

Example 1 of Abstraction

Set of traces: set of finite or infinite maximal sequences of states for the operational transition semantics

$\xrightarrow{\alpha}$ **Strongest liberal postcondition:** final states s reachable from a given precondition P

$$\alpha(X) = \lambda P. \{s \mid \exists \sigma_0 \sigma_1 \dots \sigma_n \in X : \sigma_0 \in P \wedge s = \sigma_n\}$$

We have (Σ : set of states, $\dot{\subseteq}$ pointwise):

$$\langle \wp(\Sigma^\infty), \subseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \wp(\Sigma) \xrightarrow{\cup} \wp(\Sigma), \dot{\subseteq} \rangle$$

Example 2 of Abstraction

Set of traces: set of finite or infinite maximal sequences of states for the operational transition semantics

α_0
→ **Trace of sets of states:** sequence of set of states appearing at a given time along at least one of these traces

$$\alpha_0(X) = \lambda i. \{\sigma_i \mid \sigma \in X \wedge 0 \leq i < |\sigma|\}$$

α_1
→ **Set of reachable states:** set of states appearing at least once along one of these traces (global invariant)

$$\alpha_1(\Sigma) = \bigcup \{\Sigma_i \mid 0 \leq i < |\Sigma|\}$$

α_2
→ **Partitionned set of reachable states:** project along each control point (local invariant)

$$\alpha_2(\{\langle c_i, \rho_i \rangle \mid i \in \Delta\}) = \lambda c. \{\rho_i \mid i \in \Delta \wedge c = c_i\}$$

α_3
→ Partitionned cartesian set of reachable states: project along each program variable (relationships between variables are now lost)

$$\alpha_3(\lambda c. \{\rho_i \mid i \in \Delta_c\}) = \lambda c. \lambda x. \{\rho_i(x) \mid i \in \Delta_c\}$$

α_4
→ Partitionned cartesian interval of reachable states: take min and max of the values of the variables²

$$\alpha_4(\lambda c. \lambda x. \{v_i \mid i \in \Delta_{c,x}\}) = \lambda c. \lambda x. \langle \min\{v_i \mid i \in \Delta_{c,x}\}, \max\{v_i \mid i \in \Delta_{c,x}\} \rangle$$

$\alpha_0, \alpha_1, \alpha_2, \alpha_3$ and α_4 , whence $\alpha_4 \circ \alpha_3 \circ \alpha_2 \circ \alpha_1 \circ \alpha_0$ are lower-adjoints of Galois connections

² assuming these values to be totally ordered.

Example 3: Reduced Product of Abstract Domains

To combine abstractions

$$\langle \mathcal{D}, \sqsubseteq \rangle \xrightleftharpoons[\alpha_1]{\gamma_1} \langle \mathcal{D}_1^\#, \sqsubseteq_1 \rangle \text{ and } \langle \mathcal{D}, \sqsubseteq \rangle \xrightleftharpoons[\alpha_2]{\gamma_2} \langle \mathcal{D}_2^\#, \sqsubseteq_2 \rangle$$

the reduced product is

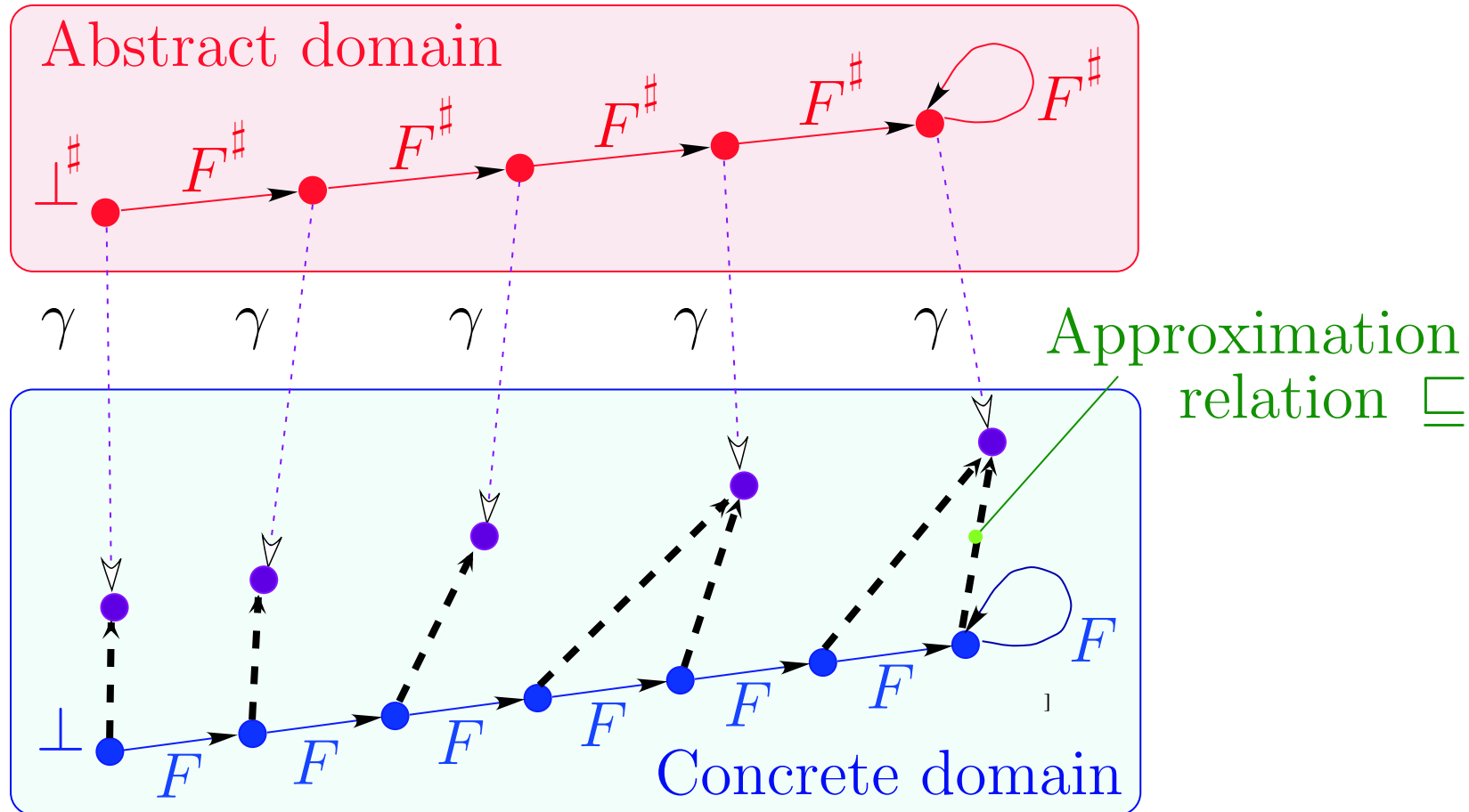
$$\alpha(X) \stackrel{\text{def}}{=} \sqcap \{ \langle x, y \rangle \mid X \sqsubseteq \gamma_1(x) \wedge X \sqsubseteq \gamma_2(y) \}$$

such that $\sqsubseteq \stackrel{\text{def}}{=} \sqsubseteq_1 \times \sqsubseteq_2$ and

$$\langle \mathcal{D}, \sqsubseteq \rangle \xrightleftharpoons[\alpha]{\gamma_1 \times \gamma_2} \langle \alpha(\mathcal{D}), \sqsubseteq \rangle$$

Example: $x \in [1, 9] \wedge x \bmod 2 = 0$ reduces to $x \in [2, 8] \wedge x \bmod 2 = 0$

Approximate Fixpoint Abstraction



$$F \circ \gamma \sqsubseteq \gamma \circ F^\# \Rightarrow \text{lfp } F \sqsubseteq \gamma(\text{lfp } F^\#)$$

Abstract Reachability Semantics of Programs

$$S^\sharp \llbracket X = E; \rrbracket R \stackrel{\text{def}}{=} \alpha(\{\rho[X \leftarrow \mathcal{E} \llbracket E \rrbracket \rho] \mid \rho \in \gamma(R) \cap \text{dom}(E)\})$$

$$S^\sharp \llbracket \text{if } B \text{ } C' \rrbracket R \stackrel{\text{def}}{=} S^\sharp \llbracket C' \rrbracket (\mathcal{B}^\sharp \llbracket B \rrbracket R) \sqcup \mathcal{B}^\sharp \llbracket \neg B \rrbracket R$$

$$\mathcal{B}^\sharp \llbracket B \rrbracket R \stackrel{\text{def}}{=} \alpha(\{\rho \in \gamma(R) \cap \text{dom}(B) \mid B \text{ holds in } \rho\})$$

$$S^\sharp \llbracket \text{if } B \text{ } C' \text{ else } C'' \rrbracket R \stackrel{\text{def}}{=} S^\sharp \llbracket C' \rrbracket (\mathcal{B}^\sharp \llbracket B \rrbracket R) \sqcup S^\sharp \llbracket C'' \rrbracket (\mathcal{B}^\sharp \llbracket \neg B \rrbracket R)$$

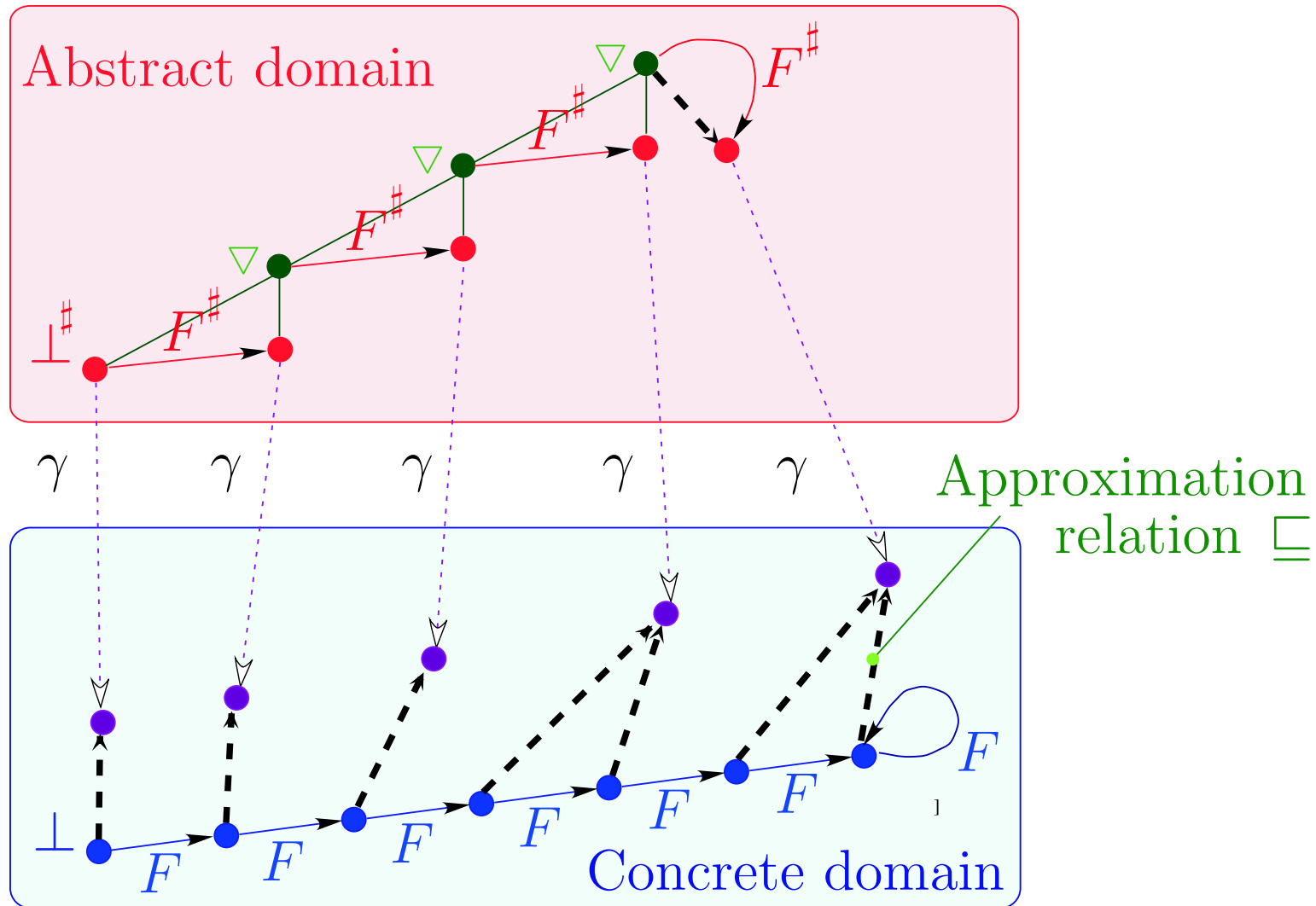
$$S^\sharp \llbracket \text{while } B \text{ } C' \rrbracket R \stackrel{\text{def}}{=} \text{let } \mathcal{W} = \text{ifp}_{\perp}^{\sqsubseteq} \lambda \mathcal{X}. R \sqcup S^\sharp \llbracket C' \rrbracket (\mathcal{B}^\sharp \llbracket B \rrbracket \mathcal{X}) \\ \text{in } (\mathcal{B}^\sharp \llbracket \neg B \rrbracket \mathcal{W})$$

$$S^\sharp \llbracket \{\} \rrbracket R \stackrel{\text{def}}{=} R$$

$$S^\sharp \llbracket \{C_1 \dots C_n\} \rrbracket R \stackrel{\text{def}}{=} S^\sharp \llbracket C_n \rrbracket \circ \dots \circ S^\sharp \llbracket C_1 \rrbracket R \quad n > 0$$

$$S^\sharp \llbracket D \text{ } C \rrbracket R \stackrel{\text{def}}{=} S^\sharp \llbracket C \rrbracket (\top) \quad (\text{uninitialized variables})$$

Convergence Acceleration with Widening



Abstract Semantics with Convergence Acceleration³

$$S^\sharp[X = E;]R \stackrel{\text{def}}{=} \alpha(\{\rho[X \leftarrow \mathcal{E}[E]\rho] \mid \rho \in \gamma(R) \cap \text{dom}(E)\})$$

$$S^\sharp[\text{if } B \text{ } C']R \stackrel{\text{def}}{=} S^\sharp[C'](\mathcal{B}^\sharp[B]R) \sqcup \mathcal{B}^\sharp[\neg B]R$$

$$\mathcal{B}^\sharp[B]R \stackrel{\text{def}}{=} \alpha(\{\rho \in \gamma(R) \cap \text{dom}(B) \mid B \text{ holds in } \rho\})$$

$$S^\sharp[\text{if } B \text{ } C' \text{ else } C'']R \stackrel{\text{def}}{=} S^\sharp[C'](\mathcal{B}^\sharp[B]R) \sqcup S^\sharp[C''](\mathcal{B}^\sharp[\neg B]R)$$

$$S^\sharp[\text{while } B \text{ } C']R \stackrel{\text{def}}{=} \text{let } \mathcal{F}^\sharp = \lambda \mathcal{X}. \text{let } \mathcal{Y} = R \sqcup S^\sharp[C'](\mathcal{B}^\sharp[B]\mathcal{X}) \\ \text{in if } \mathcal{Y} \sqsubseteq \mathcal{X} \text{ then } \mathcal{X} \text{ else } \mathcal{X} \nabla \mathcal{Y}$$

$$\text{and } \mathcal{W} = \text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F}^\sharp \quad \text{in } (\mathcal{B}^\sharp[\neg B]\mathcal{W})$$

$$S^\sharp[\{\}]R \stackrel{\text{def}}{=} R$$

$$S^\sharp[\{C_1 \dots C_n\}]R \stackrel{\text{def}}{=} S^\sharp[C_n] \circ \dots \circ S^\sharp[C_1]R \quad n > 0$$

$$S^\sharp[D \text{ } C]R \stackrel{\text{def}}{=} S^\sharp[C](\top) \quad (\text{uninitialized variables})$$

³ Note: \mathcal{F}^\sharp not monotonic!

Applications of Abstract Interpretation

A few applications of Abstract Interpretation

- **Static Program Analysis** [POPL '77], [POPL '78], [POPL '79]
including a.o. **Dataflow Analysis** [POPL '79], [POPL '00],
Set-based Analysis [FPCA '95], **Predicate Abstraction**
[Manna's festschrift '03], ...
- **Syntax Analysis** [TCS 290(1) 2002]
- **Hierarchies of Semantics (including Proofs)** [POPL '92],
[TCS 277(1–2) 2002]
- **Typing & Type Inference** [POPL '97]

A few applications of Abstract Interpretation (Cont'd)

- (Abstract) Model Checking [POPL '00]
- Program Transformation [POPL '02]
- Software Watermarking [POPL '04]
- Bisimulations [RT-ESOP '04]
- . . .

All these techniques involve sound approximations that can be formalized by abstract interpretation

A Practical Application of Abstract Interpretation to the ASTRÉE Static Analyzer

Reference

- [1] <http://www.astree.ens.fr/> P. Cousot, R. Cousot, J. Feret, L. Mauborgne, A. Miné, D. Monniaux, X. Rival

Programs analysed by ASTRÉE

- **Application Domain:** large safety critical embedded real-time synchronous software for non-linear control of very complex control/command systems.
- **C programs:**
 - with
 - basic numeric datatypes, structures and arrays
 - pointers (including on functions),
 - floating point computations
 - tests, loops and function calls
 - limited branching (forward goto, break, continue)

– without

- union (new memory model in progress⁴)
- dynamic memory allocation
- recursive function calls
- backward branching
- conflicting side effects
- C libraries, system calls (parallelism)

⁴ Thanks A. Miné

Concrete Operational Semantics

- International **norm of C** (ISO/IEC 9899:1999)
- *restricted by* **implementation-specific behaviors** depending upon the machine and compiler (e.g. encoding of integers, IEEE 754-1985 norm for floats and doubles)
- *restricted by* user-defined **programming guidelines** (such as no modular arithmetic for signed integers, even though this might be the hardware choice)
- *restricted by* program specific **user requirements** (e.g. volatile environment specified by a trusted *configuration file*, assert, execution stops on first runtime error⁵ ,)

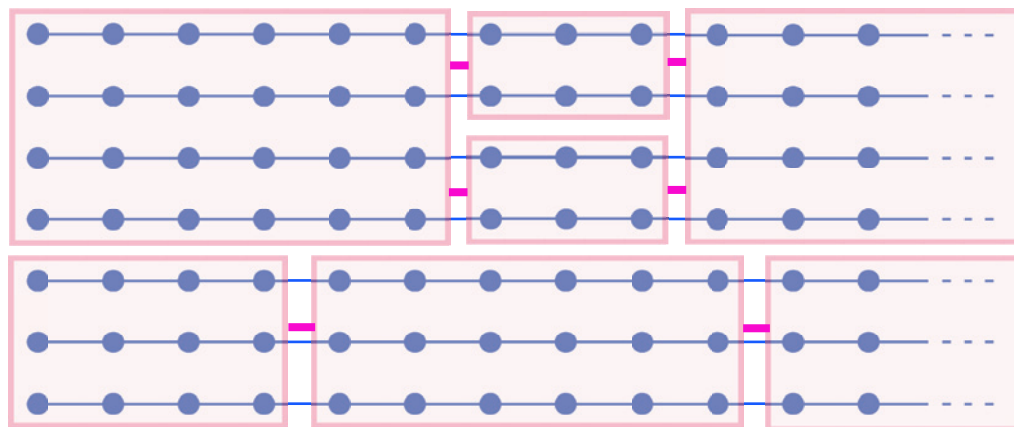
⁵ semantics of C unclear after an error, equivalent if no alarm

Implicit Specification: Absence of Runtime Errors

- No violation of the **norm of C** (e.g. array index out of bounds, division by zero)
- **No** implementation-specific **undefined behaviors** (e.g. maximum short integer is 32767, no float NaN)
- No violation of the **programming guidelines** (e.g. static variables cannot be assumed to be initialized to 0)
- No violation of the **programmer assertions** (must all be statically verified).

Abstraction

- Set of traces of relational state abstractions of subtraces for the concrete trace operational semantics



Requirements on the Abstract Semantics

- **Soundness**: absolutely essential for verification
- **Precision**: few or no false alarm ⁶ (full certification)
- **Efficiency**: rapid analyses and fixes during development

⁶ Potential runtime error signaled by the analyzer due to overapproximation but impossible in any actual program run compatible with the configuration file.

Example of Industrial applications

- Primary flight control software of the Airbus A340 family/A380 fly-by-wire system



- C program, automatically generated from a proprietary high-level specification (à la Simulink/SCADE)
- A340 family: 132,000 lines, 75,000 LOCs after preprocessing, 10,000 global variables, over 21,000 after expansion of small arrays
- A380: $\times 3/7$ (up to 1.000.000 LOCs)

The Class of Considered Periodic Synchronous Programs

```
declare volatile input, state and output variables;  
initialize state and output variables;  
loop forever  
    - read volatile input variables,  
    - compute output and state variables,  
    - write to output variables;  
    __ ASTREE_wait_for_clock ();  
end loop
```

Task scheduling is static:

- Requirements: the only interrupts are clock ticks;
- Execution time of loop body less than a clock tick
[EMSOFT '01].

Challenging aspects

- Size: > 100 kLOC, $> 10\,000$ variables
- Floating point computations
including interconnected networks of filters, non linear control with feedback, interpolations...
- Interdependencies among variables:
 - Stability of computations should be established
 - Complex relations should be inferred among numerical and boolean data
 - Very long data paths from input to outputs

Characteristics of the **ASTRÉE** Analyzer

Static: compile time analysis (\neq run time analysis **Rational Purify**, **Parasoft Insure++**)

Program Analyzer: analyzes programs not micromodels of programs (\neq **PROMELA** in **SPIN** or **Alloy** in the **Alloy Analyzer**)

Automatic: no end-user intervention needed (\neq **ESC Java**, **ESC Java 2**)

Sound: covers the whole state space (\neq **MAGIC**, **CBMC**) so never omit potential errors (\neq **UNO**, **CMC** from **coverity.com**) or sort most probable ones (\neq **Splint**)

Characteristics of the **ASTRÉE** Analyzer (Cont'd)

- Multiabstraction:** uses many numerical/symbolic abstract domains (\neq symbolic constraints in **Bane** or the canonical abstraction of **TVLA**)
- Infinitary:** all abstractions use infinite abstract domains with widening/narrowing (\neq model checking based analyzers such as **VeriSoft**, **Bandera**, **Java PathFinder**)
- Efficient:** always terminate (\neq counterexample-driven automatic abstraction refinement **BLAST**, **SLAM**)

Characteristics of the **ASTRÉE** Analyzer (Cont'd)

Specializable: can easily incorporate new abstractions (and reduction with already existing abstract domains) (\neq general-purpose analyzers **PolySpace Verifier**)

Domain-Aware: knows about control/command (e.g. digital filters) (as opposed to specialization to a mere programming style in **C Global Surveyor**)

Parametric: the precision/cost can be tailored to user needs by options and directives in the code

Characteristics of the **ASTRÉE** Analyzer (Cont'd)

Automatic Parametrization: the generation of parametric directives in the code can be programmed (to be specialized for a specific application domain)

Modular: an analyzer instance is built by selection of **O-CAML** modules from a collection, each module implementing an abstract domain

Precise: very few or no false alarm when adapted to an application domain \longrightarrow **it is a VERIFIER!**

Example of Analysis Session

The screenshot displays the Visualizer tool interface, showing a C program analysis session for 'filtre2.c'. The interface is divided into several panes:

- Top Bar:** Contains icons for Quit, Clocks, Trees, Octagons, Filters, Geom. dev., Symbolics, and Help. Below these are navigation buttons: Search string, Next, Previous, First, Last, and Goto line.
- Context Pane (Left):** Shows a tree view of the program's execution context. The current context is 'filtre2.c:126', which is expanded to show a loop structure: 'Call main @ filtre2.c:205', 'While @ filtre2.c:232', and nested calls to 'filtre2'.
- Source Pane (Right):** Displays the source code of 'filtre2.c'. The code includes a typedef for a boolean enum, a main function, and a filtre2 function. The filtre2 function contains a loop that updates a static array 'E' based on a complex formula involving 'S' and 'X'.
- Location and Variables Pane (Bottom Left):** Shows the current location as 'filtre2.c:126[call#main@20:loop@23]=4:call#filtre2@25'. It lists variables: 'P (1)', 'invariant', and a complex interval expression. Below this, it shows the 'Filtre d'ordre 2' structure with various coefficients and gain values.
- Info Pane (Bottom Right):** Contains a log of the analysis session, including the date and time (2004/ 3/16 20:41:58), the command line used to launch the analyzer, and the user 'cousot'.

Benchmarks (Airbus A340 Primary Flight Control Software)

- 132,000 lines, 75,000 LOCs after preprocessing
- Comparative results (commercial software):
 - 4,200 (false?) alarms,
 - 3.5 days;
- Our results:
 - 0 alarms,
 - 40mn on 2.8 GHz PC,
 - 300 Megabytes
 - A world première!

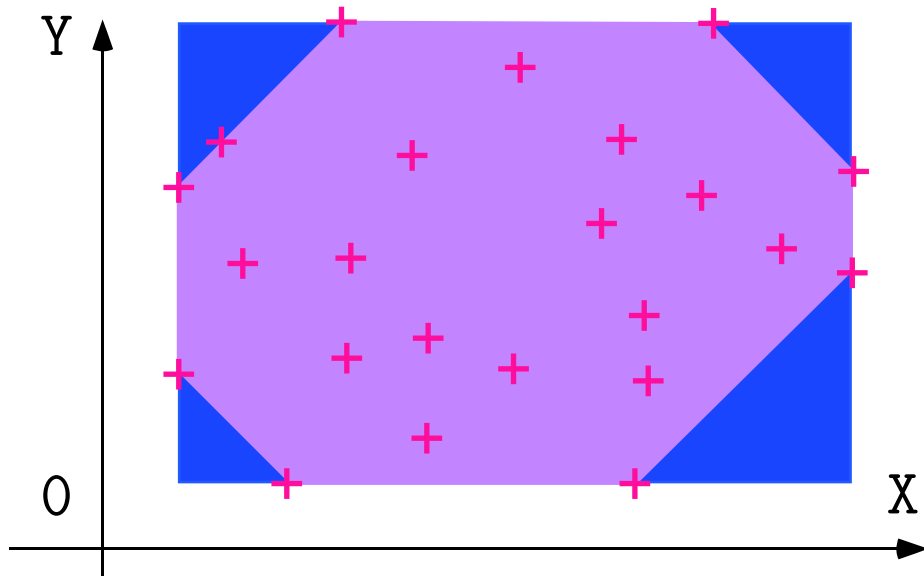
(Airbus A380 Primary Flight Control Software)

- 350,000 lines
- 0 alarms (Nov. 2004),
7h⁷ on 2.8 GHz PC,
1 Gigabyte
→ A world grand première!

⁷ We are still in a phase where we favour precision rather than computation costs, and this should go down. For example, the A340 analysis went up to 5 h, before being reduced by requiring less precision while still getting no false alarm.

Examples of Abstractions

General-Purpose Abstract Domains: Intervals and Octagons



Intervals:

$$\begin{cases} 1 \leq x \leq 9 \\ 1 \leq y \leq 20 \end{cases}$$

Octagons [10]:

$$\begin{cases} 1 \leq x \leq 9 \\ x + y \leq 77 \\ 1 \leq y \leq 20 \\ x - y \leq 04 \end{cases}$$

Difficulties: many global variables, arrays (smashed or not), IEEE 754 floating-point arithmetic (in program and analyzer) [POPL '77, 10, 11]

Floating-Point Computations

```
/* float-error.c */
int main () {
    float x, y, z, r;
    x = 1.000000019e+38;
    y = x + 1.0e21;
    z = x - 1.0e21;
    r = y - z;
    printf("%f\n", r);
}
% gcc float-error.c
% ./a.out
0.000000
```

```
/* double-error.c */
int main () {
    double x; float y, z, r;
    /* x = ldexp(1.,50)+ldexp(1.,26); */
    x = 1125899973951488.0;
    y = x + 1;
    z = x - 1;
    r = y - z;
    printf("%f\n", r);
}
% gcc double-error.c
% ./a.out
134217728.000000
```

$$(x + a) - (x - a) \neq 2a$$

Floating-Point Computations

```
/* float-error.c */
int main () {
    float x, y, z, r;
    x = 1.000000019e+38;
    y = x + 1.0e21;
    z = x - 1.0e21;
    r = y - z;
    printf("%f\n", r);
}
% gcc float-error.c
% ./a.out
0.000000
```

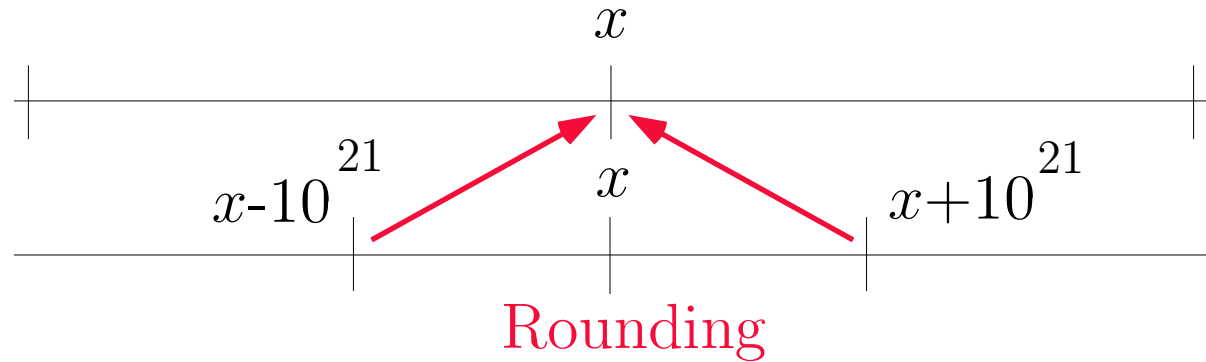
```
/* double-error.c */
int main () {
    double x; float y, z, r;
    /* x = ldexp(1.,50)+ldexp(1.,26); */
    x = 1125899973951487.0;
    y = x + 1;
    z = x - 1;
    r = y - z;
    printf("%f\n", r);
}
% gcc double-error.c
% ./a.out
0.000000
```

$$(x + a) - (x - a) \neq 2a$$

Explanation of the huge rounding error

(1) Floats

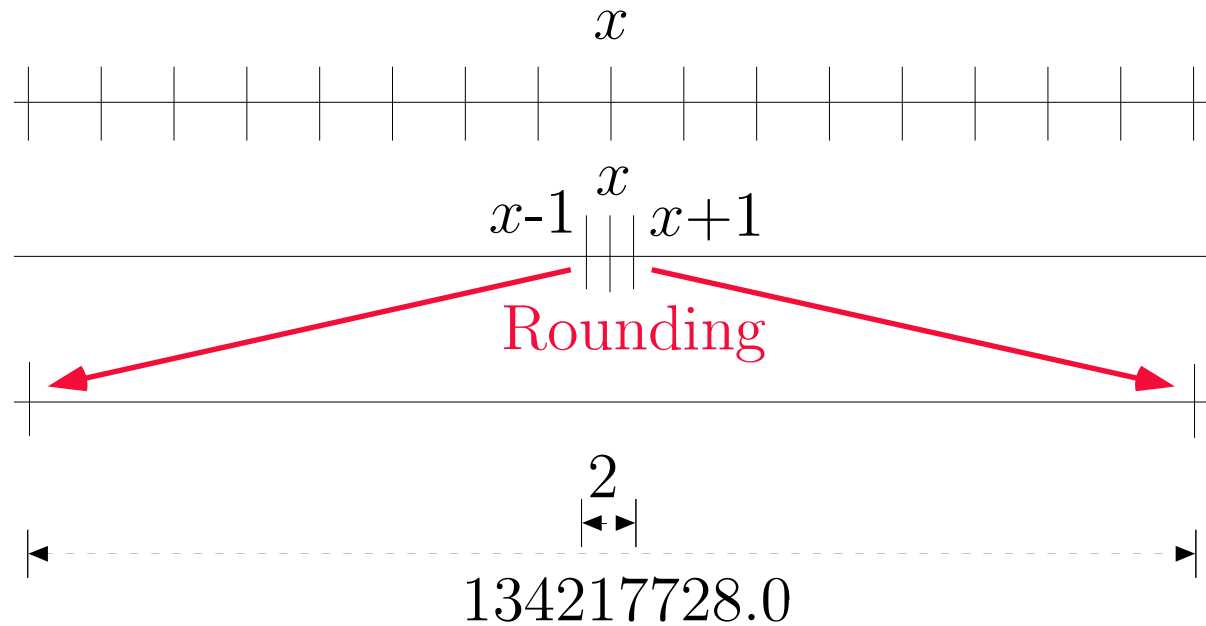
Reals



(2) Doubles

Reals

Floats



Floating-point linearization [11, 12]

- Approximate arbitrary expressions in the form

$$[a_0, b_0] + \sum_k ([a_k, b_k] \times V_k)$$

- Example:

$Z = X - (0.25 * X)$ is linearized as

$$Z = ([0.749 \dots, 0.750 \dots] \times x) + (2.35 \dots 10^{-38} \times [-1, 1])$$

- Allows **simplification** even in the interval domain

if $X \in [-1, 1]$, we get $|Z| \leq 0.750 \dots$ instead of $|Z| \leq 1.25 \dots$

- Allows using a **relational abstract domain** (octagons)

- Example of good compromise between cost and precision

Symbolic abstract domain [11, 12]

- Interval analysis: if $x \in [a, b]$ and $y \in [c, d]$ then $x - y \in [a - d, b - c]$ so if $x \in [0, 100]$ then $x - x \in [-100, 100]$!!!
- The symbolic abstract domain propagates the symbolic values of variables and performs simplifications;
- Must maintain the maximal possible rounding error for float computations (overestimated with intervals);

```
% cat -n x-x.c
```

```
1 void main () { int X, Y;  
2     __ASTREE_known_fact(((0 <= X) && (X <= 100)));  
3     Y = (X - X);  
4     __ASTREE_log_vars((Y));  
5 }
```

```
astree -exec-fn main -no-relational x-x.c
```

```
Call main@x-x.c:1:5-x-x.c:1:9:
```

```
<interval: Y in [-100, 100]>
```

```
astree -exec-fn main x-x.c
```

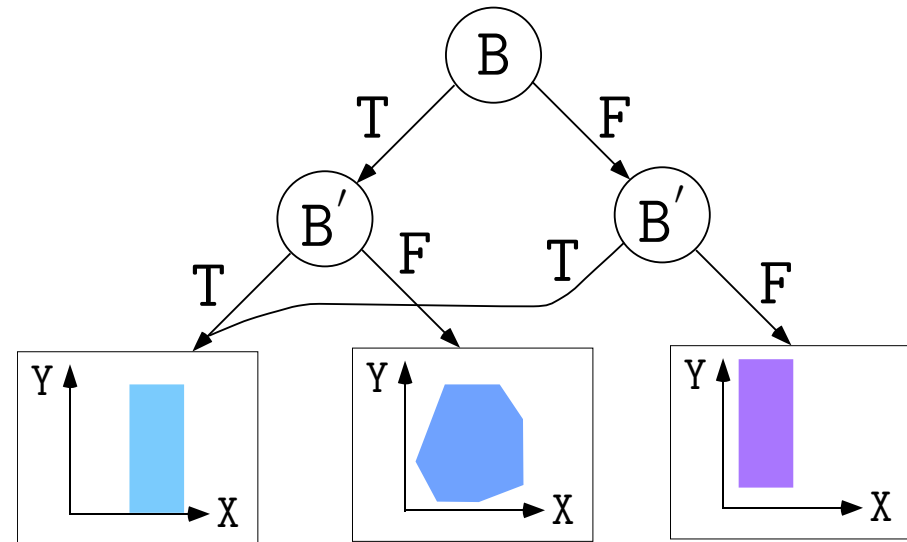
```
Call main@x-x.c:1:5-x-x.c:1:9:
```

```
<interval: Y in {0}> <symbolic: Y = (X -i X)>
```

Boolean Relations for Boolean Control

– Code Sample:

```
/* boolean.c */
typedef enum {F=0,T=1} BOOL;
BOOL B;
void main () {
    unsigned int X, Y;
    while (1) {
        ...
        B = (X == 0);
        ...
        if (!B) {
            Y = 1 / X;
        }
        ...
    }
}
```



The boolean relation abstract domain is parameterized by the height of the decision tree (an analyzer option) and the abstract domain at the leafs

Control Partitionning for Case Analysis

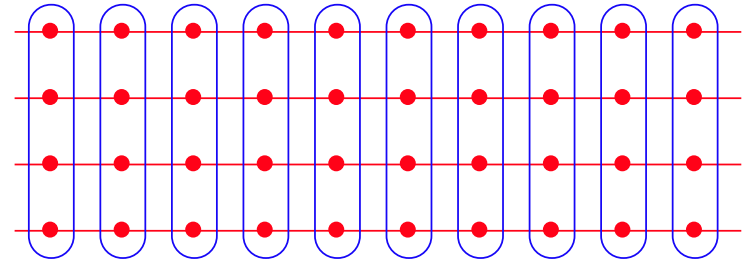
—Code Sample:

```
/* trace_partitionning.c */
void main() {
  float t[5] = {-10.0, -10.0, 0.0, 10.0, 10.0};
  float c[4] = {0.0, 2.0, 2.0, 0.0};
  float d[4] = {-20.0, -20.0, 0.0, 20.0};
  float x, r;
  int i = 0;

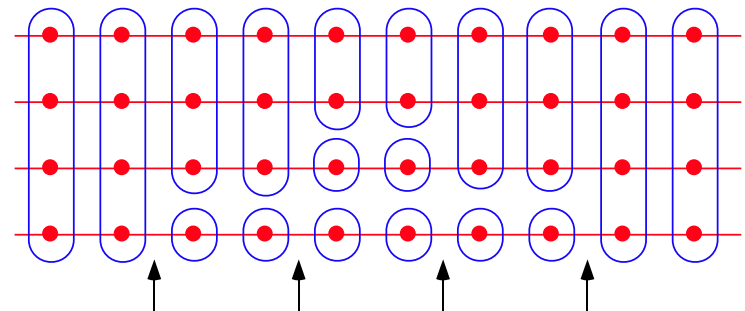
  ... found invariant  $-100 \leq x \leq 100$  ...

  while ((i < 3) && (x >= t[i+1])) {
    i = i + 1;
  }
  r = (x - t[i]) * c[i] + d[i];
}
```

Control point partitionning:

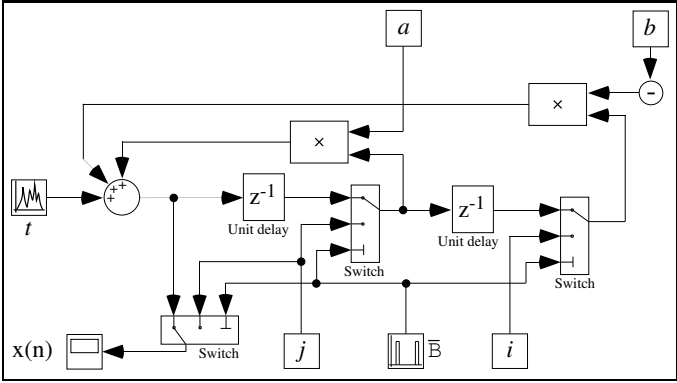


Trace partitionning:



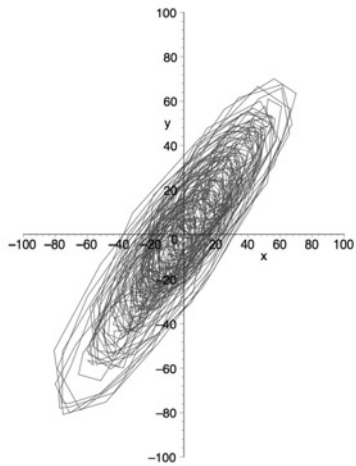
Delaying abstract unions in tests and loops is more precise for non-distributive abstract domains (and much less expensive than disjunctive completion).

2^d Order Digital Filter:

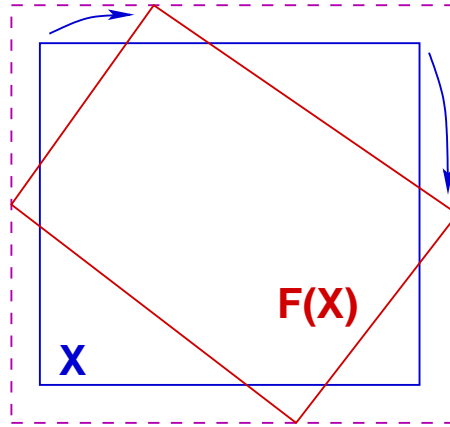


Ellipsoid Abstract Domain for Filters

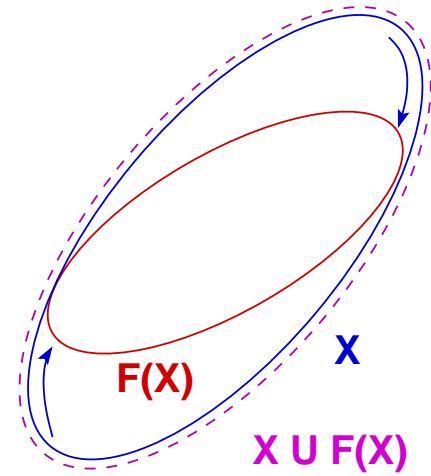
- Computes $X_n = \begin{cases} \alpha X_{n-1} + \beta X_{n-2} + Y_n \\ I_n \end{cases}$
- The concrete computation is **bounded**, which must be proved in the abstract.
- There is **no stable interval or octagon**.
- The simplest stable surface is an **ellipsoid**.



execution trace



$X \cup F(X)$
unstable interval



stable ellipsoid

Filter Example [7]

```
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
BOOLEAN INIT; float P, X;

void filter () {
    static float E[2], S[2];
    if (INIT) { S[0] = X; P = X; E[0] = X; }
    else { P = (((((0.5 * X) - (E[0] * 0.7)) + (E[1] * 0.4))
                + (S[0] * 1.5)) - (S[1] * 0.7)); }
    E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
    /* S[0], S[1] in [-1327.02698354, 1327.02698354] */
}

void main () { X = 0.2 * X + 5; INIT = TRUE;
    while (1) {
        X = 0.9 * X + 35; /* simulated filter input */
        filter (); INIT = FALSE; }
}
```

Arithmetic-geometric progressions⁸ [8]

– Abstract domain: $(\mathbb{R}^+)^5$

– Concretization:

$$\gamma \in (\mathbb{R}^+)^5 \longmapsto \wp(\mathbb{N} \mapsto \mathbb{R})$$

$$\gamma(M, a, b, a', b') = \{f \mid \forall k \in \mathbb{N} : |f(k)| \leq (\lambda x . ax + b \circ (\lambda x . a'x + b')^k)(M)\}$$

i.e. any function bounded by the arithmetic-geometric progression.

⁸ here in \mathbb{R}

Arithmetic-Geometric Progressions (Example 1)

```
% cat count.c
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
volatile BOOLEAN I; int R; BOOLEAN T;
void main() {
    R = 0;
    while (TRUE) {
        __ASTREE_log_vars((R));
        if (I) { R = R + 1; }
        else { R = 0; }
        T = (R >= 100);
        __ASTREE_wait_for_clock(());
    }
}
```

← potential overflow!

```
% cat count.config
__ASTREE_volatile_input((I [0,1]));
__ASTREE_max_clock((3600000));
% astree -exec-fn main -config-sem count.config count.c|grep '|R|'
|R| <= 0. + clock *1. <= 3600001.
```

Arithmetic-geometric progressions (Example 2)

```
% cat retro.c
typedef enum {FALSE=0, TRUE=1} BOOL;
BOOL FIRST;
volatile BOOL SWITCH;
volatile float E;
float P, X, A, B;

void dev( )
{ X=E;
  if (FIRST) { P = X; }
  else
    { P = (P - (((2.0 * P) - A) - B)
          * 4.491048e-03)); };
  B = A;
  if (SWITCH) {A = P;}
  else {A = X;}
}
```

```
void main()
{ FIRST = TRUE;
  while (TRUE) {
    dev( );
    FIRST = FALSE;
    __ASTREE_wait_for_clock();
  }}

% cat retro.config
__ASTREE_volatile_input((E [-15.0, 15.0]));
__ASTREE_volatile_input((SWITCH [0,1]));
__ASTREE_max_clock((3600000));

|P| <= (15.  + 5.87747175411e-39
/ 1.19209290217e-07) * (1
+ 1.19209290217e-07)^clock
- 5.87747175411e-39 /
1.19209290217e-07 <=
23.0393526881
```

(Automatic) Parameterization

- All abstract domains of ASTRÉE are **parameterized**, e.g.
 - variable packing for octagones and decision trees,
 - partition/merge program points,
 - loop unrollings,
 - thresholds in widenings, ...;
- End-users can either **parameterize by hand** (analyzer options, directives in the code), or
- choose the **automatic parameterization** (default options, directives for pattern-matched predefined program schemata).

The main loop invariant for the A340

A textual file over 4.5 Mb with

- 6,900 boolean interval assertions ($x \in [0; 1]$)
- 9,600 interval assertions ($x \in [a; b]$)
- 25,400 clock assertions ($x + \text{clk} \in [a; b] \wedge x - \text{clk} \in [a; b]$)
- 19,100 additive octagonal assertions ($a \leq x + y \leq b$)
- 19,200 subtractive octagonal assertions ($a \leq x - y \leq b$)
- 100 decision trees
- 60 ellipse invariants, etc ...

involving over 16,000 floating point constants (only 550 appearing in the program text) \times 75,000 LOCs.

Possible origins of imprecision and how to fix it

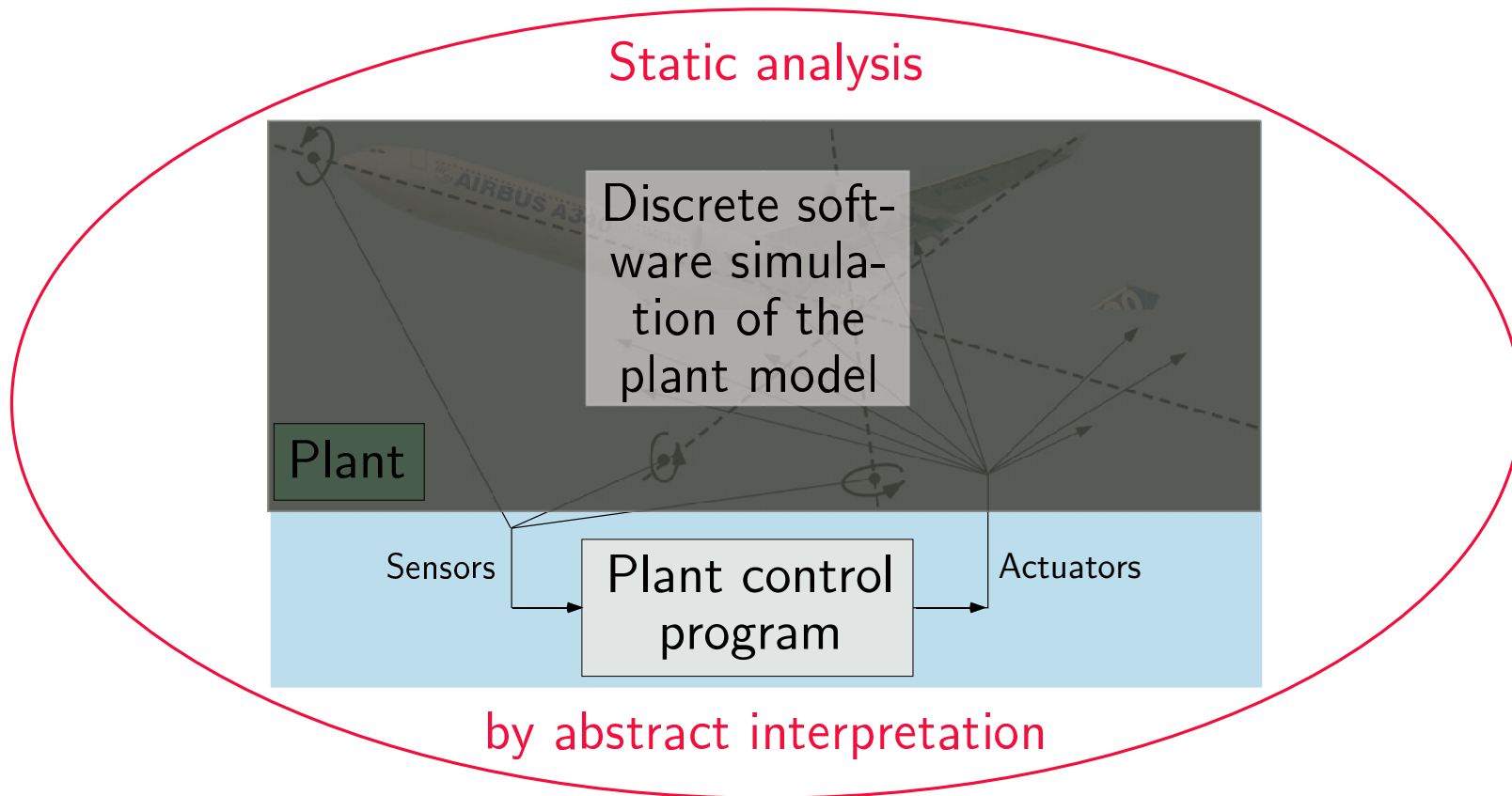
In case of false alarm, the imprecision can come from:

- **Abstract transformers** (not best possible) \longrightarrow improve algorithm;
- **Automatized parametrization** (e.g. variable packing) \longrightarrow improve pattern-matched program schemata;
- **Iteration strategy** for fixpoints \longrightarrow fix widening ⁹;
- **Inexpressivity** i.e. indispensable local inductive invariant are inexpressible in the abstract \longrightarrow add a **new abstract domain** to the reduced product (e.g. filters).

⁹ This can be very hard since at the limit only a precise infinite iteration might be able to compute the proper abstract invariant. In that case, it might be better to design a more refined abstract domain.

Static analysis of systems

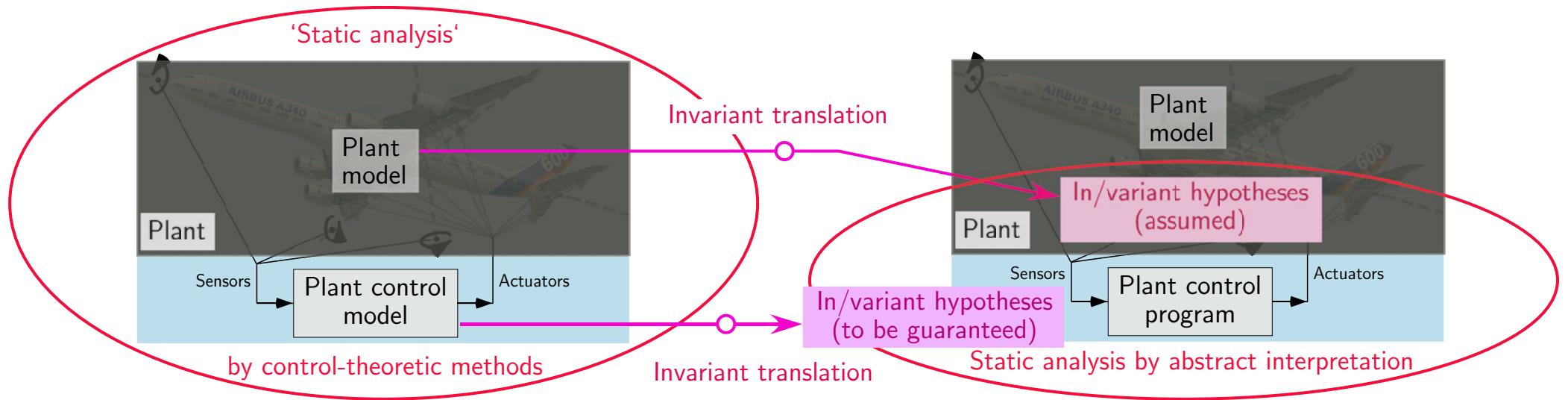
System analysis & verification, Avenue 1



Abstractions: program \rightarrow precise, system \rightarrow precise

- **Exhaustive** (contrary to current simulations)
- The **plant model discretization errors** are similar to those of simulation methods (but for the use of the *actual* control program instead of a model!)
- In general, **polyhedral abstractions** are unstable or of very high complexity
- New abstractions have to be studied (e.g. **ellipsoidal abstractions**)!

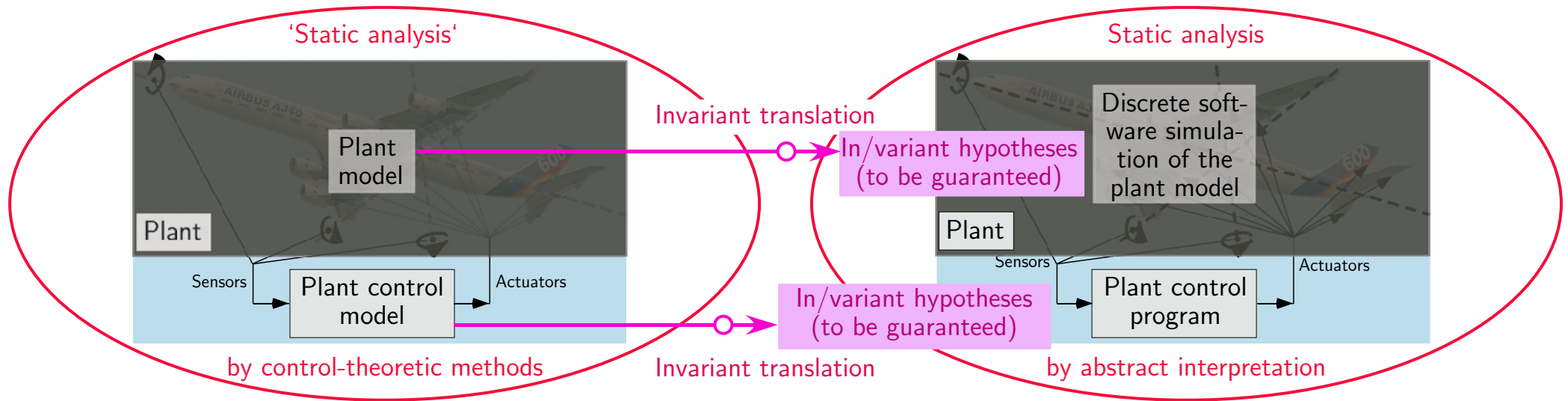
System analysis & verification, Avenue 2



Abstractions: program \rightarrow precise, system \rightarrow precise

- The **control-theoretic ‘static analysis’** is easier on the plant/controller model using continuous optimization methods
- The **in/variant hypotheses** on the controlled plant are assumed to be true in the analysis of the plant control program
- It is now sufficient to perform the **analysis analysis control program** under these in/variant hypotheses
- The results can then be checked on the **whole system (plant simulation + control program)**

System analysis & verification, Avenue 3



Abstractions: program \rightarrow precise, system \rightarrow precise

- The **translated in/variants** can be checked for the plant simulator/control program (easier than in/variant discovery)
- Should **scale up** (since these complex in/variants are relevant to a small part of the control program only¹⁰)

¹⁰ e.g. the plant model assumes perfect sensors/actuators/computers whereas the control program must be made dependable by using redundant failing sensors/actuators/computers

Conclusion



Conclusions

1. On soundness and completeness:
 - Software checking (e.g. [abstract] testing): unsound
 - Software static analysis (for a language): sound but unprecise
 - Software verification (for a well-defined family of programs): theoretically possible [SARA '00], practically feasible [PLDI '03]

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Conclusions (cont'd)

2. On specifications for static verification:

- **Implicit**: e.g. from a language semantics (e.g. RTE) → extremely easy for engineers
- **Explicit**:
 - By a **logic** → very hard for engineers
 - By a **model** → easy for engineers / hard for static analysis
 - By a **program** automatically generated from a model → easy for engineers / easy for static analysis

THE END, THANK YOU

More references at URL www.di.ens.fr/~cousot
www.astree.ens.fr.



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