

An Overview of Abstract Interpretation and Program Static Analysis

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1st Int. Advisory Board Workshop, EECS Dept., KAIST,
Taejon, Korea June 14, 2000, 16:20–17:20



Motivations

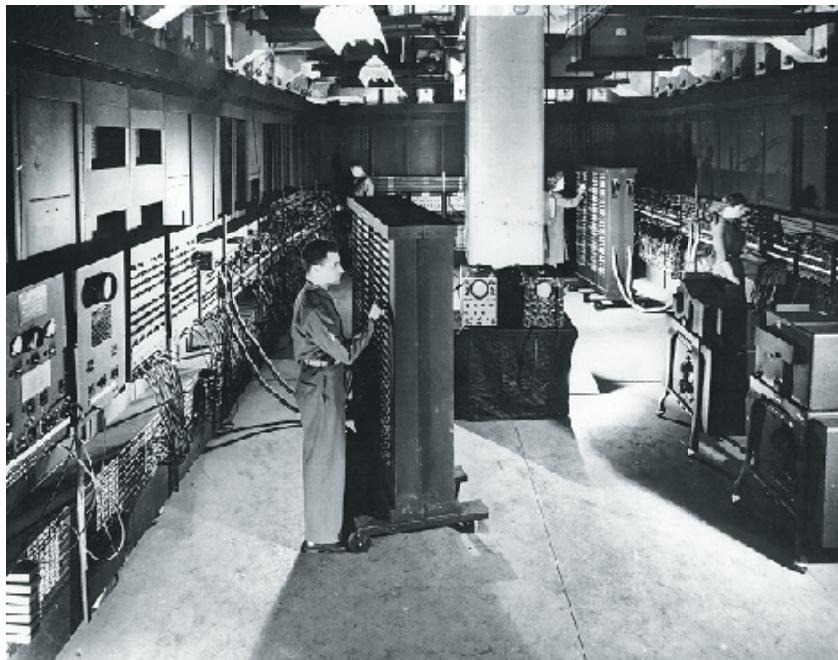
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The production of reliable software, its maintenance and safe evolution year after year (up to 20 to 30 years).

Computer hardware change of scale

The last 25 years, computer hardware has seen its performances multiplied by 10^4 to 10^6 ;



ENIAC (5000 flops)

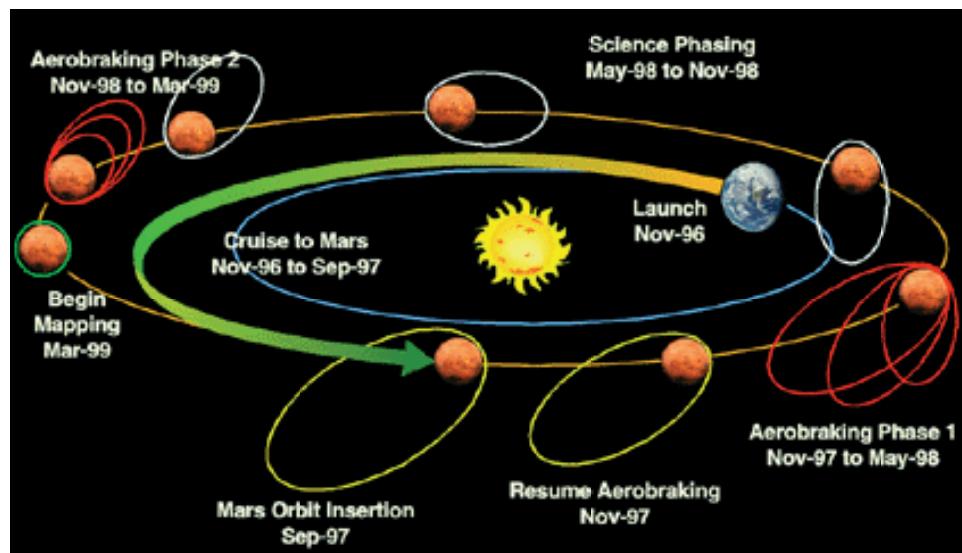


Intel/Sandia Teraflops System (10^{12} flops)

The information processing revolution

A scale of 10^6 is typical of a significant **revolution**:

- Energy: nuclear power station / Roman slave;
- Transportation: distance Earth — Mars / height of Korea

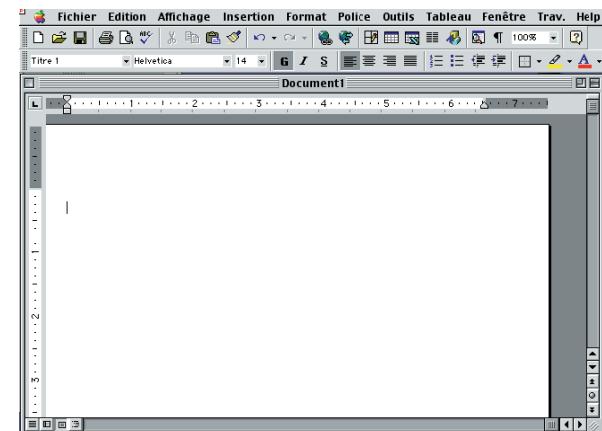


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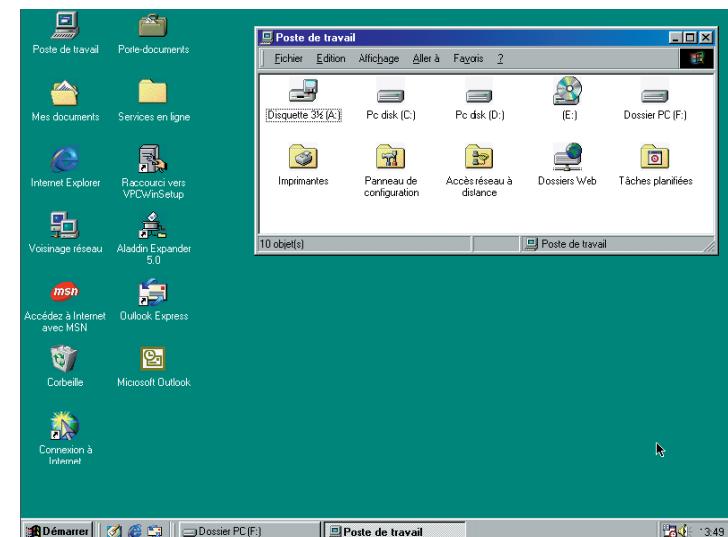
- The size of the programs executed by these computers has grown up in similar proportions;
- **Example 1** (modern text editor for the general public):
 - > 1 700 000 lines of C ²;
 - 20 000 procedures;
 - 400 files;
 - > 15 years of development.



² full-time reading of the code (35 hours/week) would take at least 3 months!

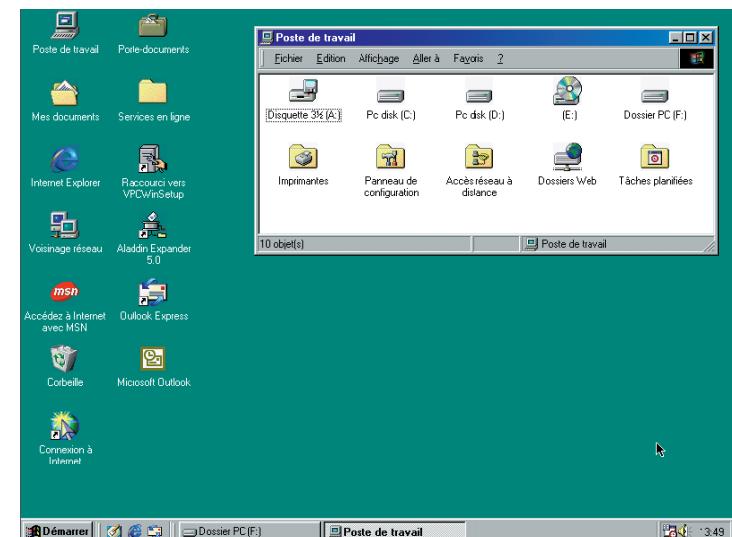
Computer software change of scale (cont'd)

- **Example 2** (professional computer system):
 - 30 000 000 lines of code;



Computer software change of scale (cont'd)

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 - 30 000 000 lines of code;
 - 30 000 (known) bugs!



Bugs



- Software bugs

- whether anticipated (Y2K bug)
- or unforeseen (failure of the 5.01 flight of Ariane V launcher)

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- are frequent;
- Bugs can be very difficult to discover in huge software;
- **Bugs can have catastrophic consequences either very costly or inadmissible (embedded software in transportation systems);**

The estimated cost of an overflow

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- Including indirect costs (delays, lost markets, etc):

\$ 2 000 000 000

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- The size of programmer teams in charge of software design and maintenance cannot evolve in such huge proportions;
- Classical manual software verification methods (code reviews, simulations, debugging) do not scale up.

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- Computer software bugs can become an important **societal problem** (collective fears and reactions? new legislation?);
- The combat against software bugs might even be the next **worldwide war**;



It is absolutely necessary to widen the full set of methods and tools used to fight against software bugs.

Idea

Use the computer to find programming errors.

(Extremely difficult) question

How can computers be programmed so as to analyze the work they are given to do before effectively doing it?

A simplistic example: a cooking recipe

The soft-boiled egg recipe:

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- Plunged it into salted boiling water;
- Pull it out of the water after 4 mn.

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What can we do about it?

Considered approaches for program verification

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What else?

Abstract Interpretation

Introductory Talk

- Four notions to be introduced:
 - Semantics ,
 - Undecidability ,
 - Abstract interpretation ,
 - Program static analysis;

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Informal Introductory Talk

- Four notions to be introduced:
 - Semantics ,
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 - Abstract interpretation ,
 - Program static analysis;
- Completely informal explanation avoiding any formalism;
- Illustrated by the work done in my research team and the theses that I directed since 10 years.

Semantics & Undecidability

Hence we must first explain semantics, for example:

Syntax:

$x, f \in X$: variables
 $e \in E$: expressions
 $e ::= x \mid \lambda x \cdot e \mid e_1(e_2) \mid$
 $\mu f \cdot \lambda x \cdot e \mid e_1 - e_2 \mid$
 $1 \mid (e_1 ? e_2 : e_3)$

Semantic domains:

$W \triangleq \{\omega\}$	error
$z \in Z$	integers
$u, f, \varphi \in U \cong W_\perp \oplus Z_\perp \oplus [U \mapsto U]_\perp$	values
$R \in \mathbb{R} \triangleq X \mapsto U$	environments
$\phi \in S \triangleq R \mapsto U$	semantic domain

Semantics:

$$\begin{aligned}
 S[x] &\stackrel{\Delta}{=} \Lambda R \cdot R(x) \\
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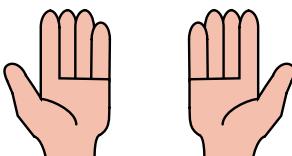
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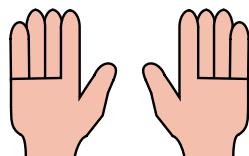
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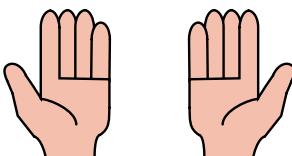
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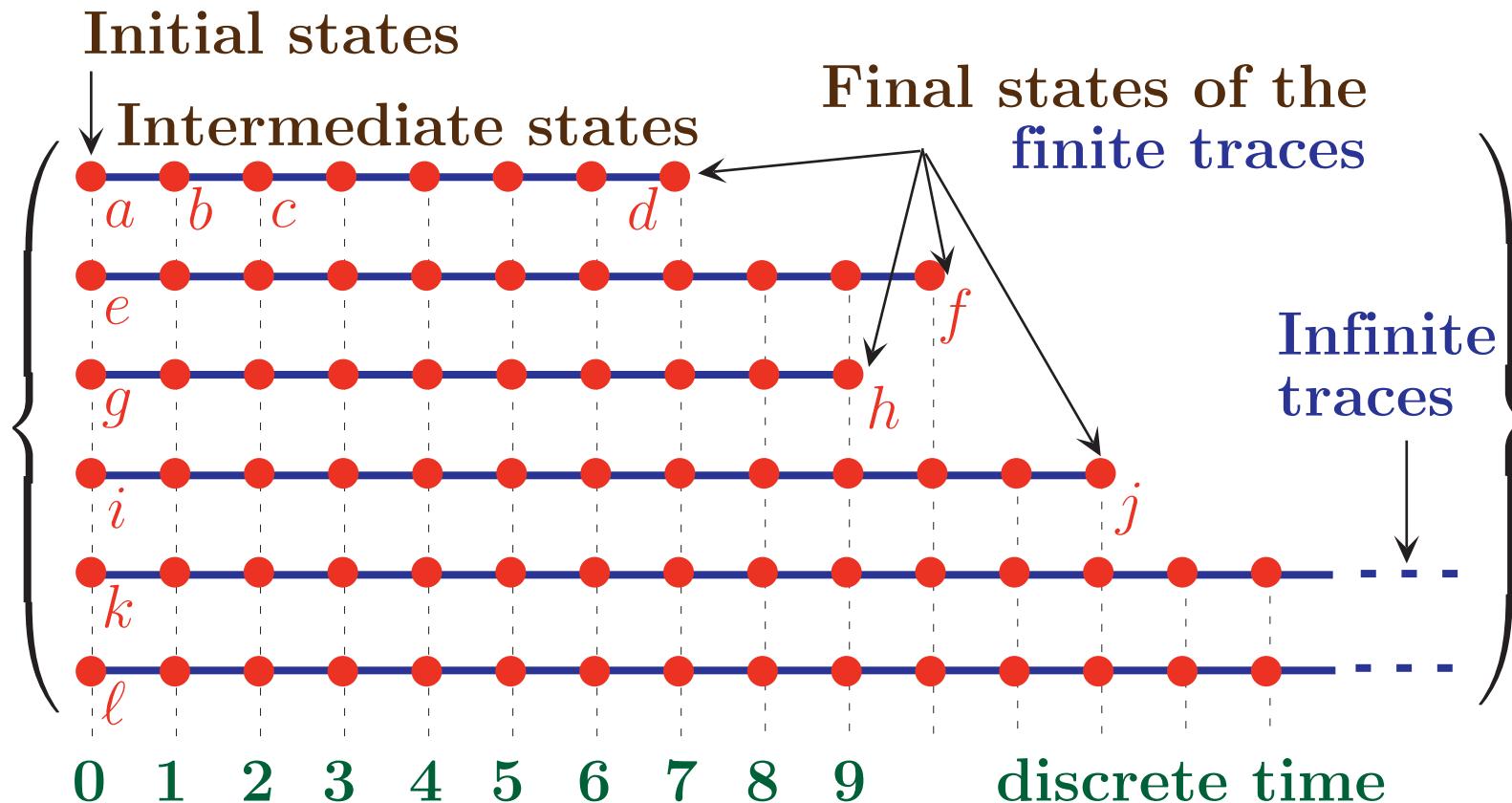
Semantics

- The semantics of a program provides a formal mathematical model of all possible behaviors of a computer system executing this program (interacting with any possible environment);

Semantics

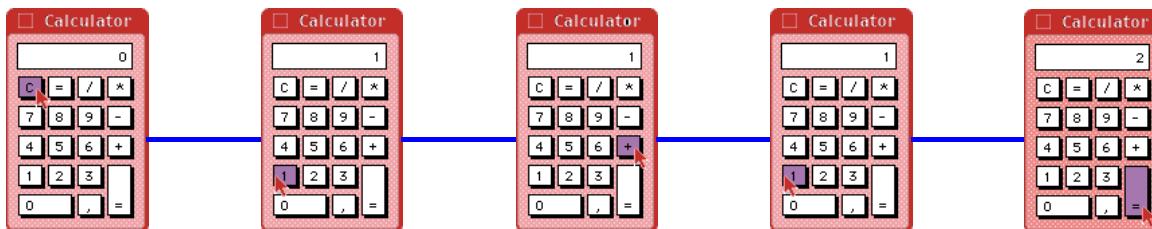
- The **semantics of a program** provides a **formal mathematical model of all possible behaviors** of a computer system executing this program (interacting with any possible environment);
- The **semantics of a language** defines the semantics of any program written in this language.

Example 1: trace semantics

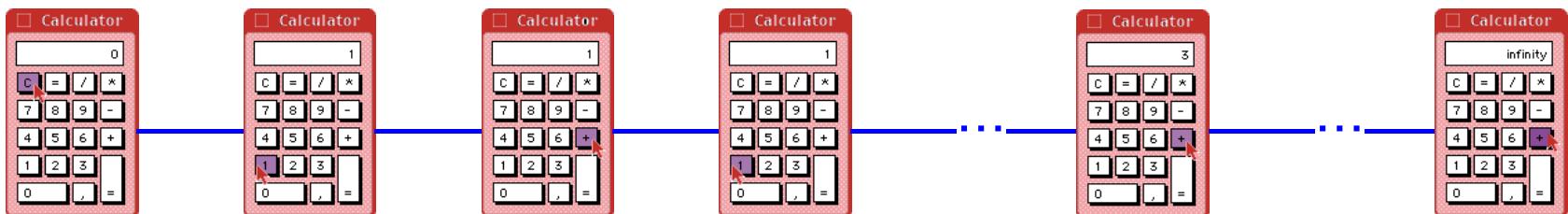


Examples of computation traces

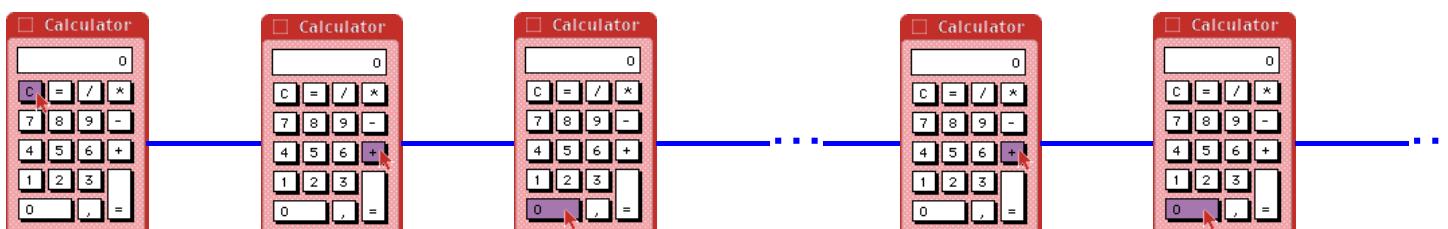
- Finite ($C1+1=$):



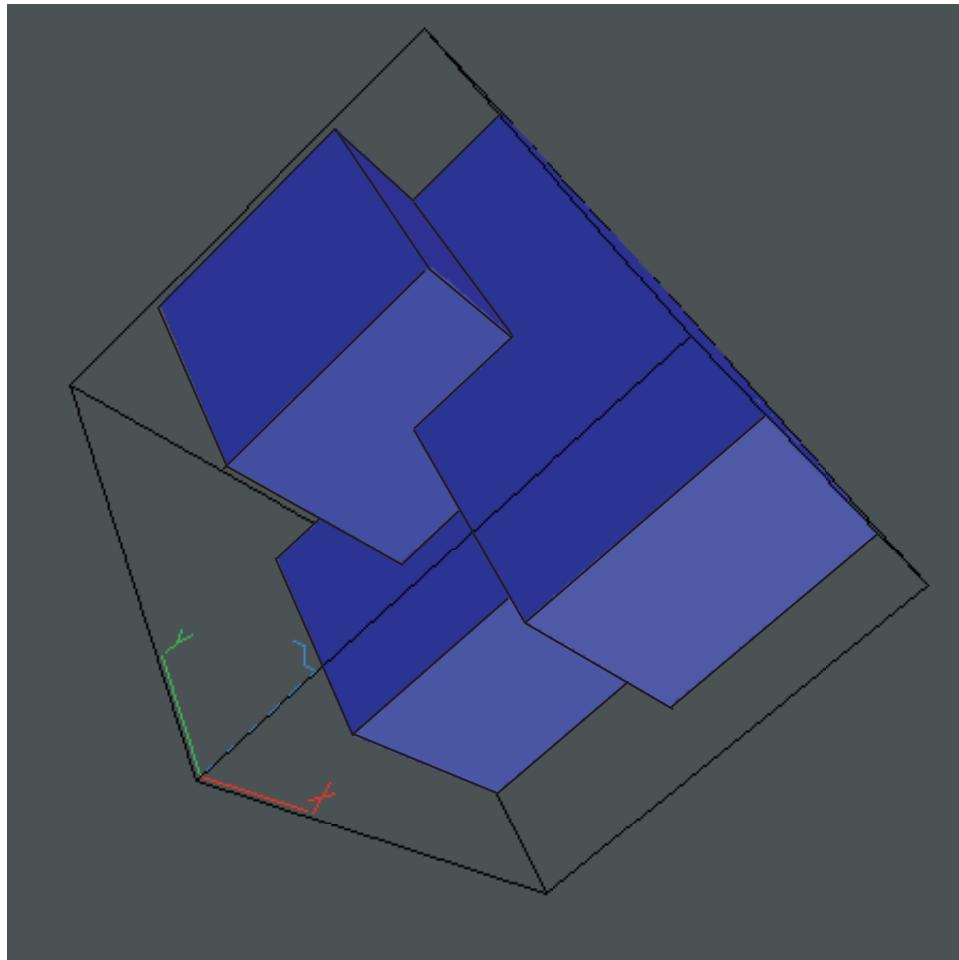
- Erroneous  ($C1+1+1+1\dots$):



- Infinite ($C+0+0+0\dots$):

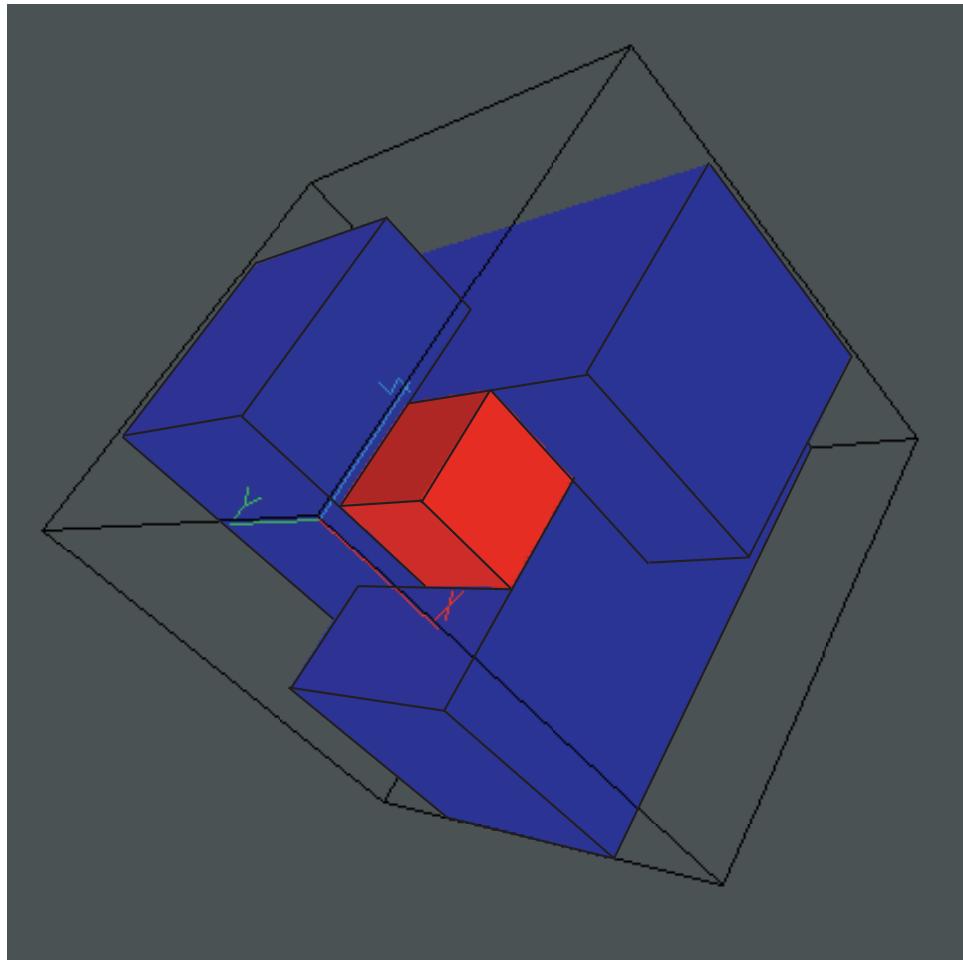


Example 2: geometric semantics


$$\begin{array}{l} \llbracket Pa.Pb.Va.Vb \\ \parallel Pb.Pc.Vb.Vc \\ \parallel Pc.Pa.Vc.Va \rrbracket \end{array}$$

É. Goubault thesis, 1995

Example 2: geometric semantics (deadlock)



$\llbracket \text{Pa}.\text{Pb}.\text{Va}.\text{Vb} \rrbracket$
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Undecidability

- All interesting questions relative to the semantics of non trivial programs are **undecidable**:
⇒ no computer can always exactly answer such questions in finite time;
- One can mathematically define the semantics of a program as the solution of a fixpoint equation:
⇒ but no computer can exactly solve these equations in finite time.

Semantics and fixpoints

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Semantic domains:

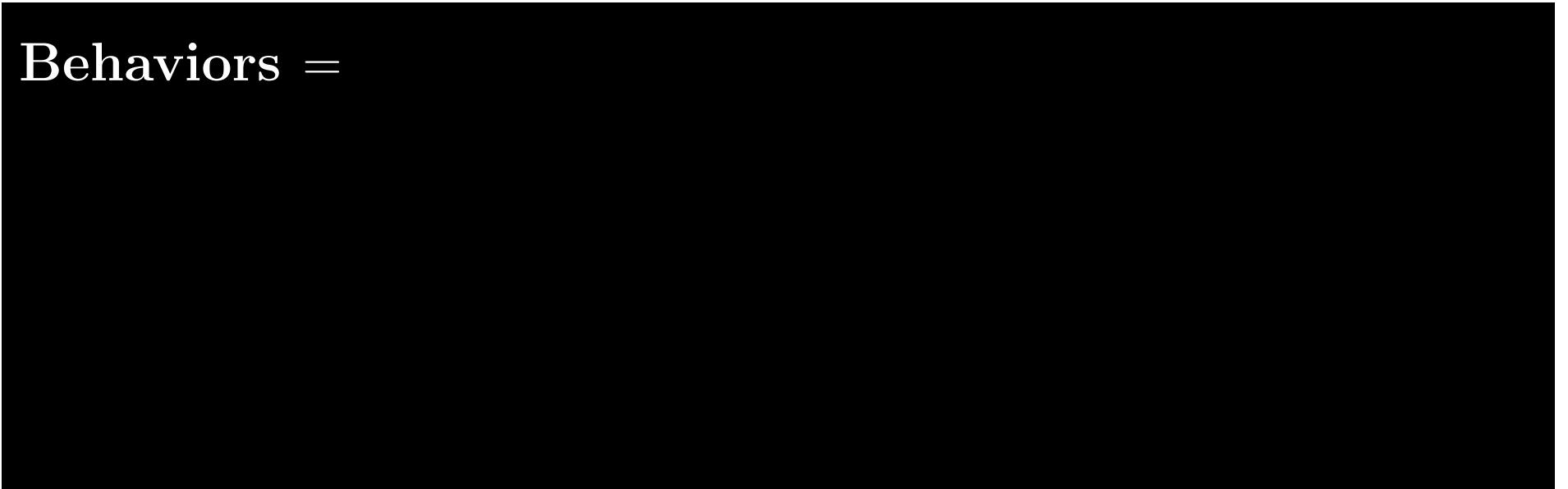
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Fixpoints: Intuition

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In general, the equation has multiple solutions.

Least Fixpoints: Intuition

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In general, the equation has multiple solutions. Choose the least one for the partial ordering:

« more finite traces & less infinite traces ».

Abstract Interpretation

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- Abstract interpretation is a theory of the approximation of the behavior of discrete systems , including the semantics of (programming or specification) languages;

- Abstract interpretation formalizes the intuitive idea that a semantics is more or less precise according to the considered observation level.

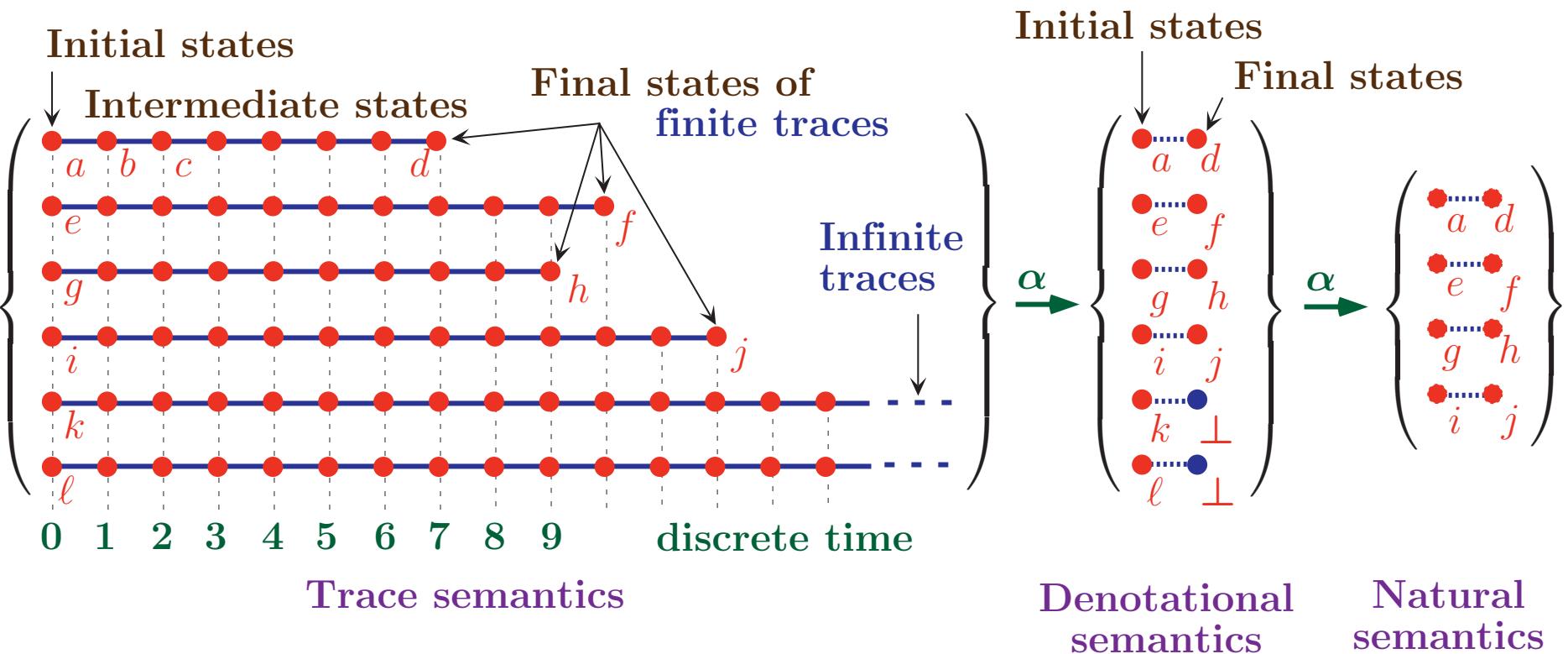
Familiar abstraction examples

concrete	abstract
citizen	
road network	
film	
car	
scientific article	
scientific article	
number	

Familiar abstraction examples

concrete	abstract
citizen	ID card
road network	road map
film	bill
car	trade mark
scientific article	abstract
scientific article	keywords
number	sign and/or parity

Examples of approximate semantics³



³ P. Cousot. *Constructive design of a hierarchy of semantics of a transition system by abstract interpretation*. To appear in TCS (2000).

Information loss

- Because of the information loss, not all questions can be definitely answered;

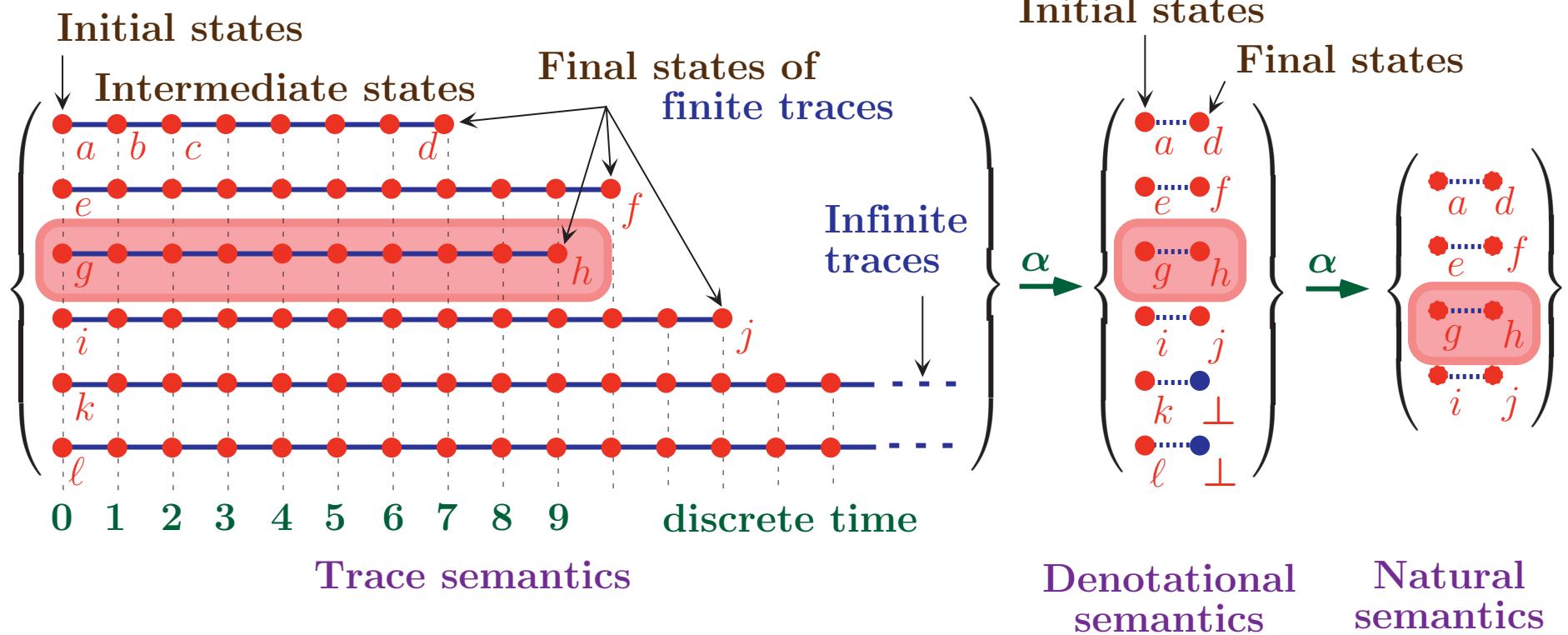
Information loss

- Because of the information loss, not all questions can be definitely answered;
- All answers given by the abstract semantics are always correct with respect to the concrete semantics.

Example of information loss

Question	Concrete ←	→ Abstract
Starting from state g can execution terminate in state h ?	trace semantics	denotational semantics
	—	—

Semantics



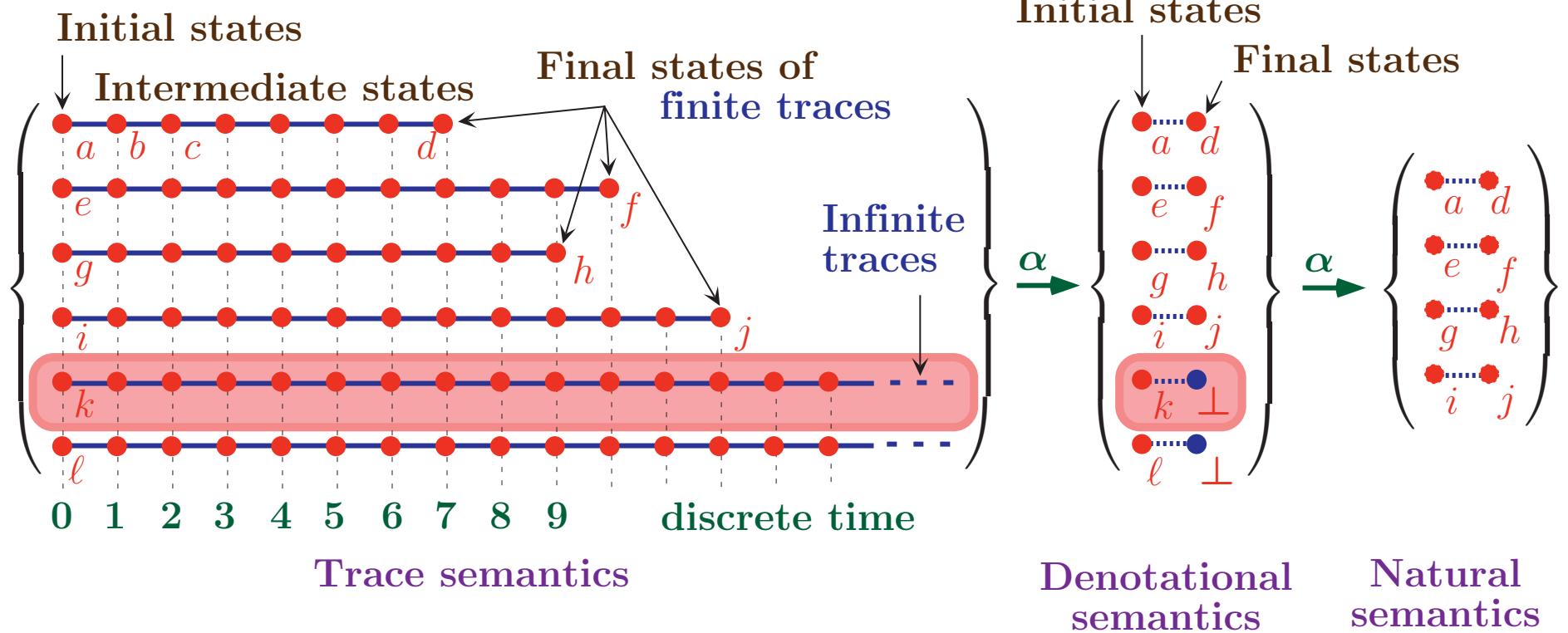
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Starting from state g can execution terminate in state h ?	yes	yes	yes

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Question	Concrete ←	→ Abstract	
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Semantics



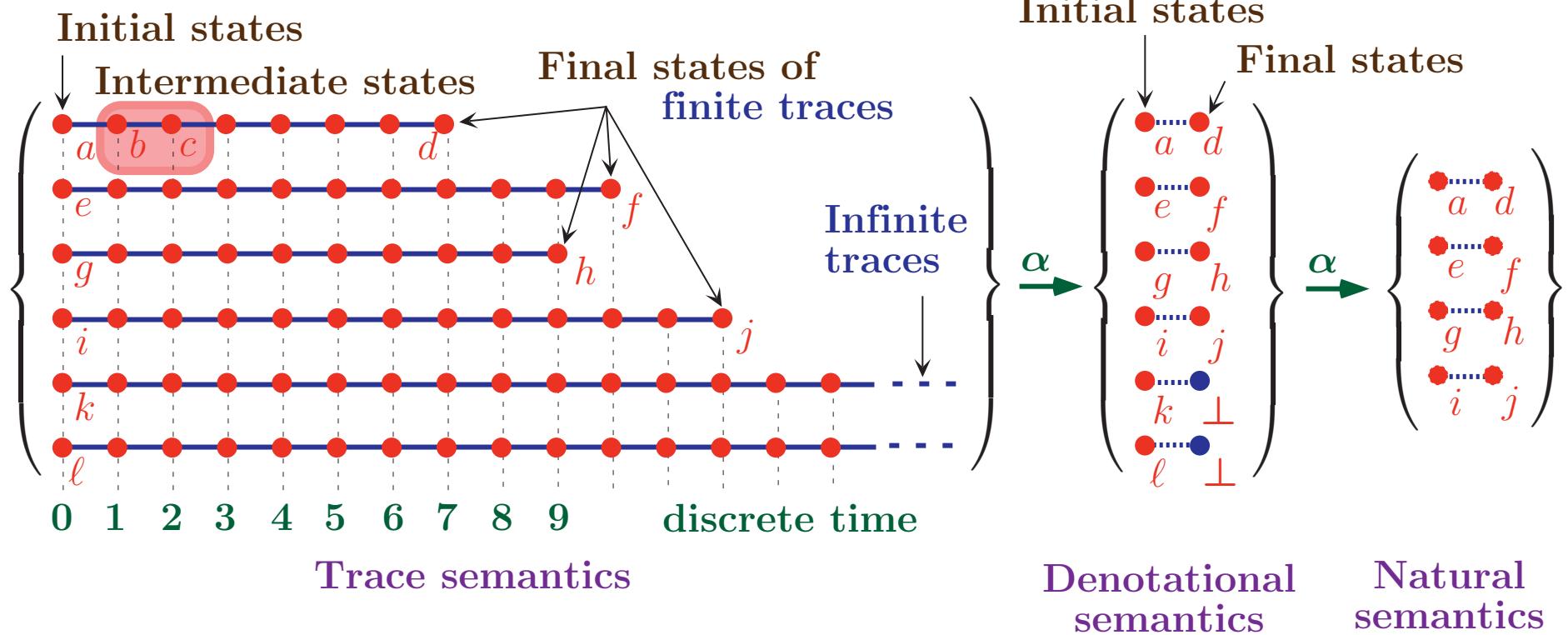
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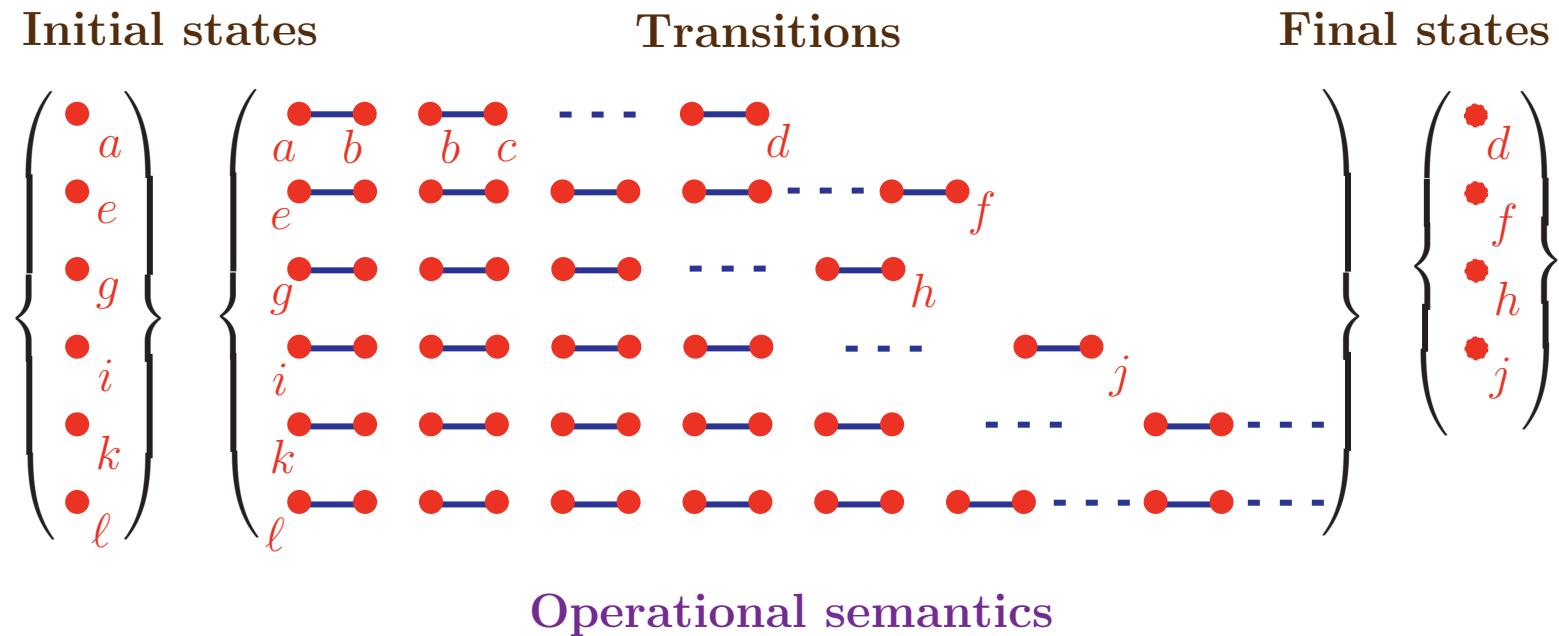
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The more concrete semantics can answer more questions. The more abstract semantics are more simple.			

Example of non comparable approximated semantics⁴

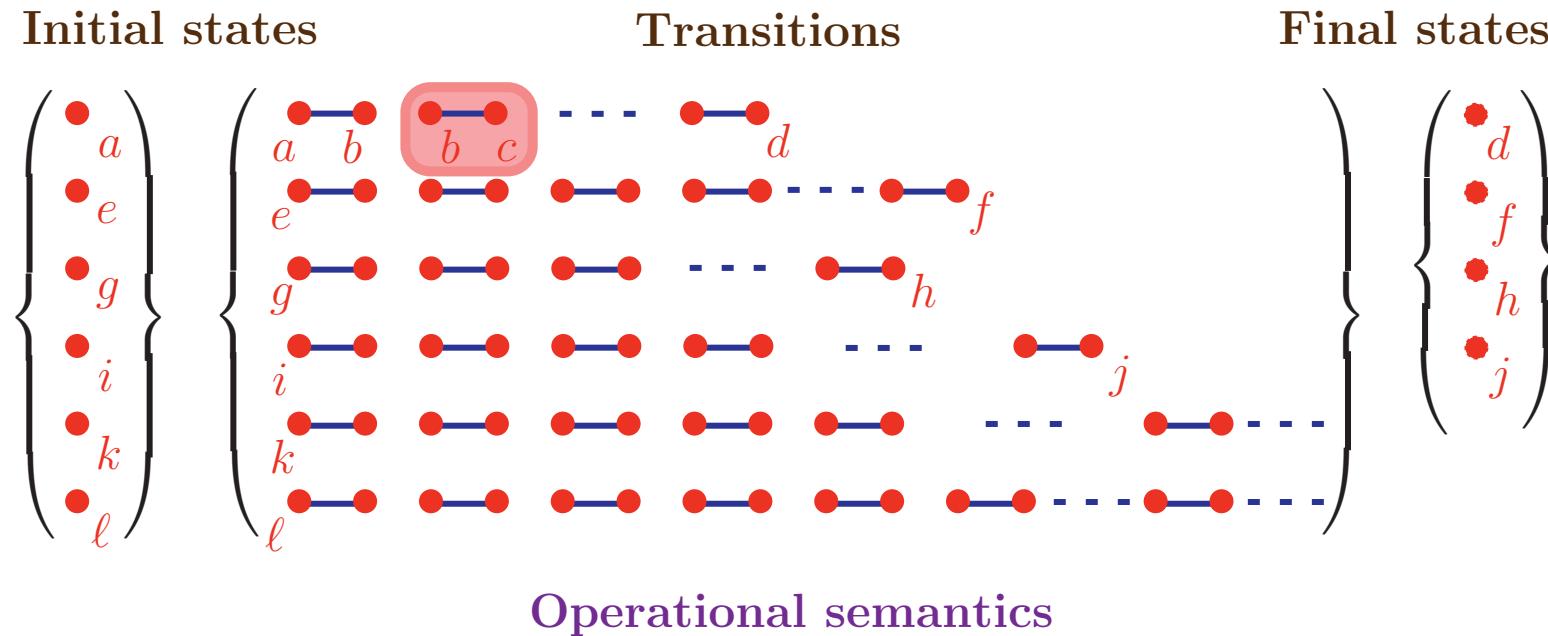


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What is the information loss?

Question	Concrete←	→Abstract		
	trace semantics	denotational semantics	natural semantics	operational semantics
Starting from state g can execution terminate in state h ?	yes	yes	yes	—
Does execution starting from state k always terminate?	no	no	???	—
Can state b be immedi- ately followed by state c ?	yes	???	???	—

Operational semantics



The information loss is incomparable

Question	Concrete	Abstract	Incomparable	
	trace semantics	denotational semantics	natural semantics	operational semantics
Starting from state g can execution terminate in state h ?	yes	yes	yes	???
Does execution starting from state k always terminate?	no	no	???	???
Can state b be immediately followed by state c ?	yes	???	???	yes

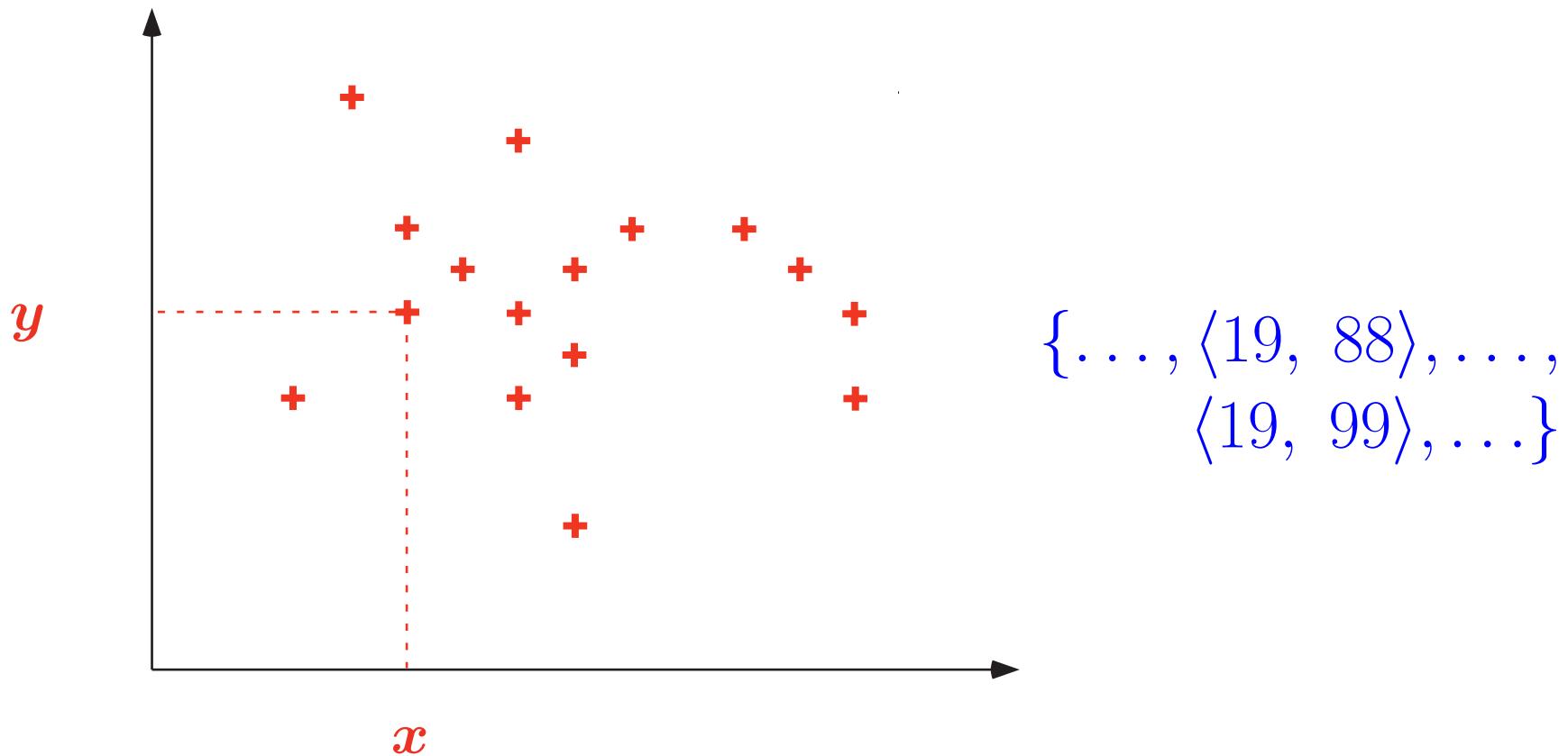
Computable approximations

- If the approximation is rough enough, the abstraction of a semantics can lead to a version which is less precise but is effectively computable by a computer;

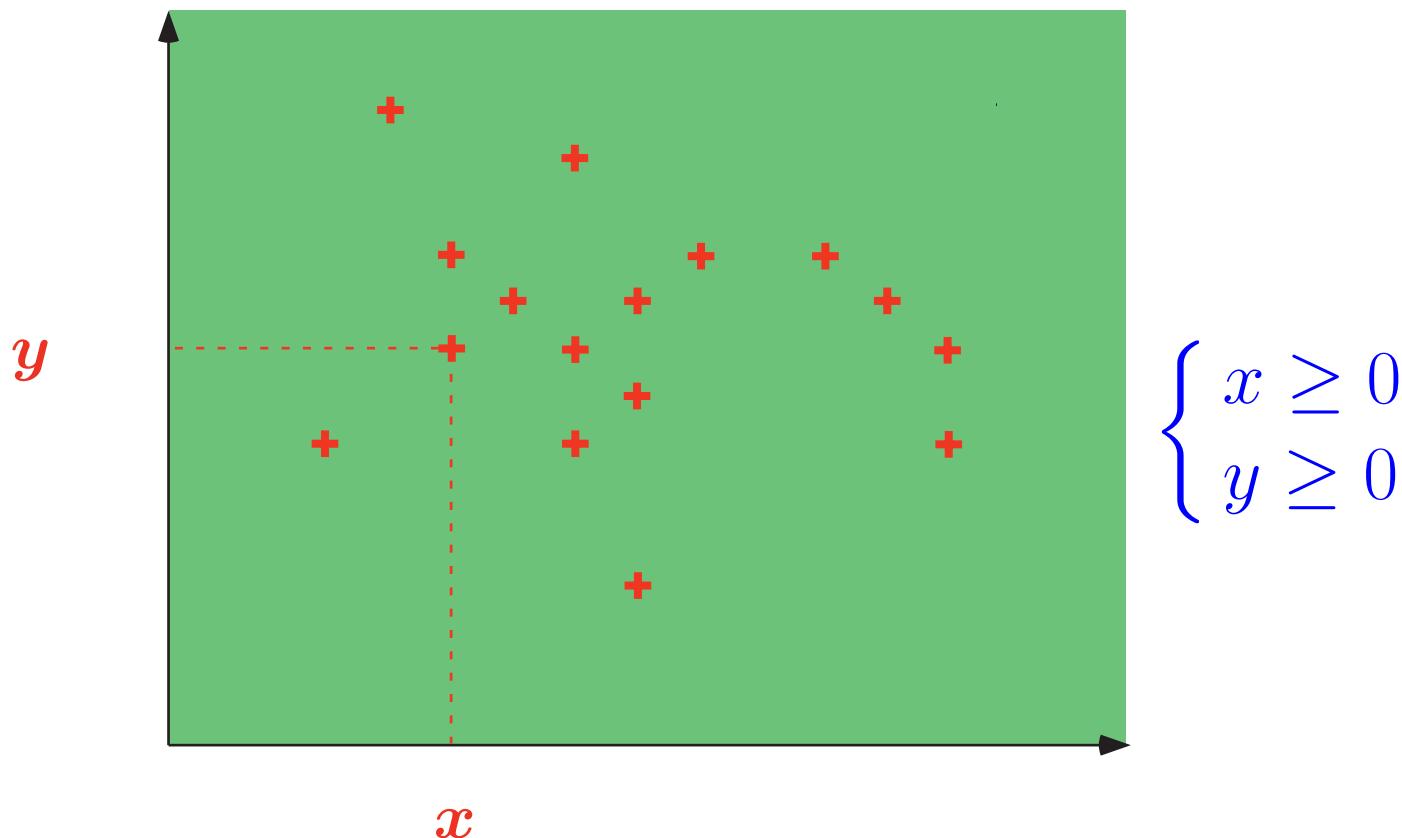
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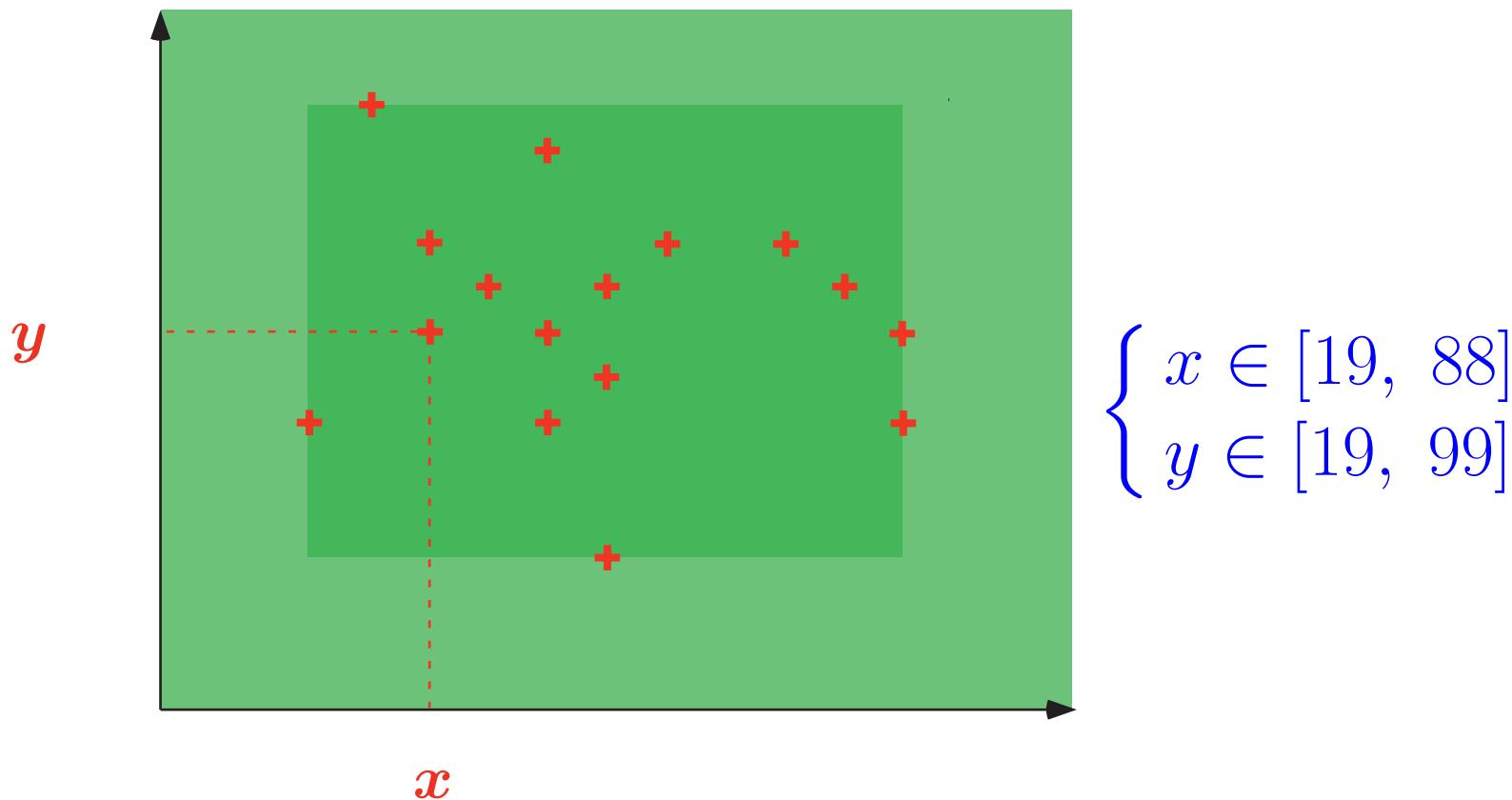
Example of computable approximations of an [in]finite set of points



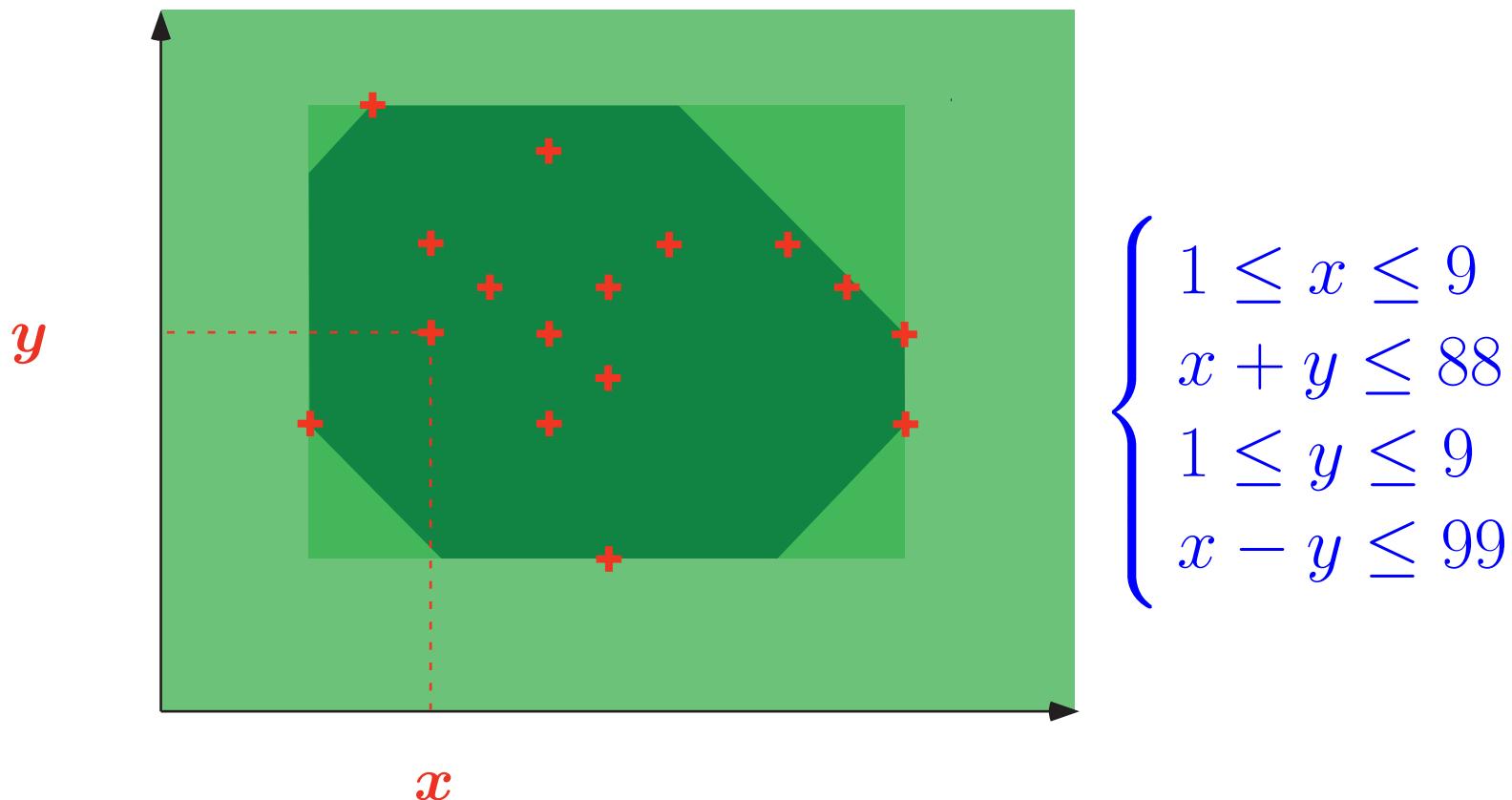
Example of computable approximations of an [in]finite set of points (signs)



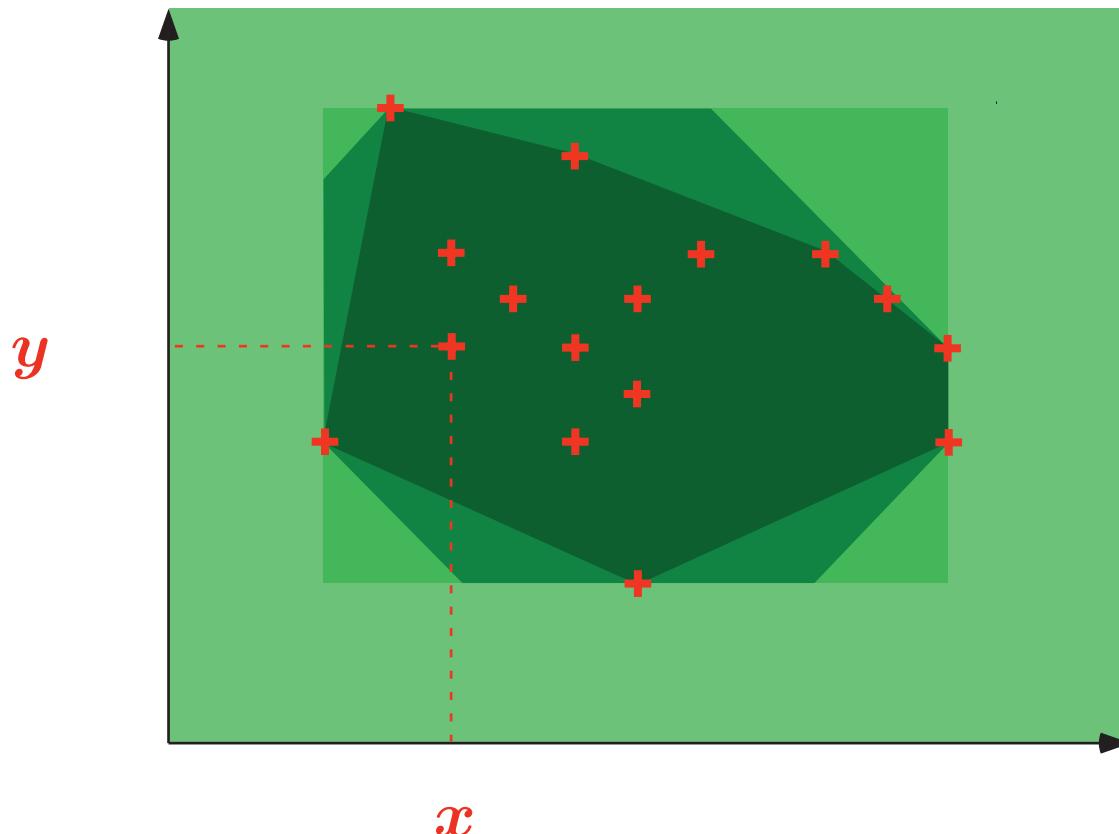
Example of computable approximations of an [in]finite set of points (intervals)



Example of computable approximations of an [in]finite set of points (octagons)



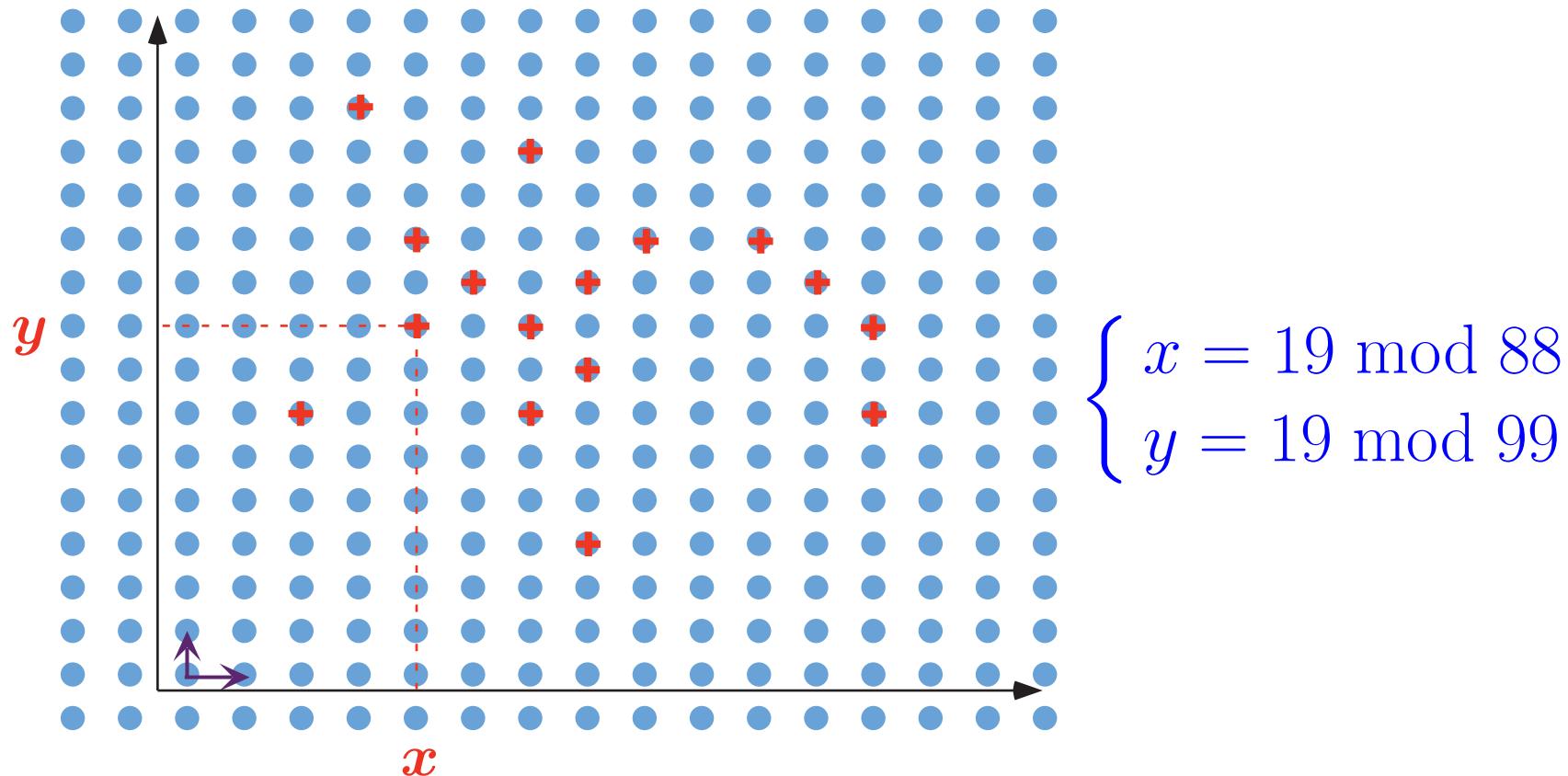
Example of computable approximations of an [in]finite set of points (polyhedra)



$$\begin{cases} 19x + 88y \leq 2000 \\ 19x + 99y \geq 0 \end{cases}$$

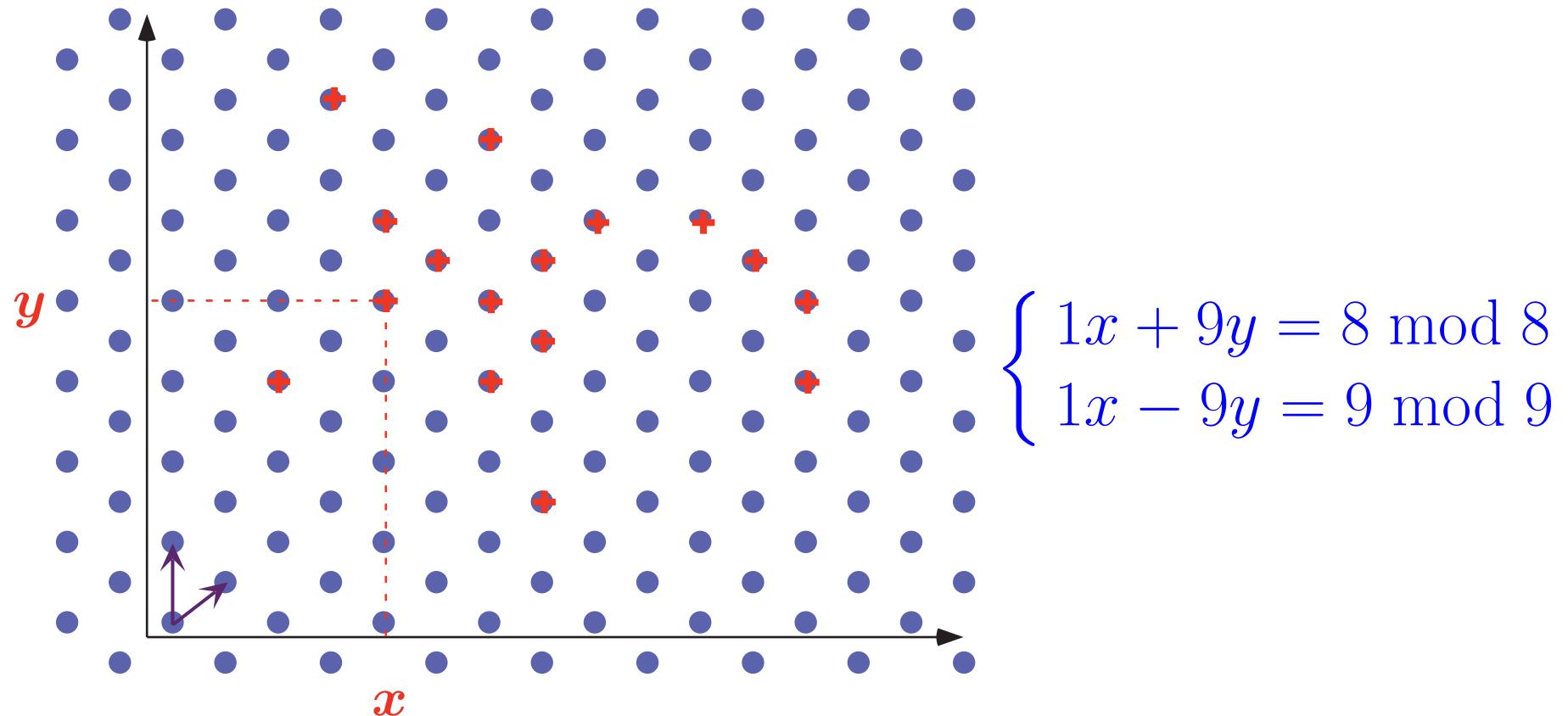
P. Cousot & N. Halbwachs, POPL'78

Example of computable approximations of an [in]finite set of points (simple congruences)



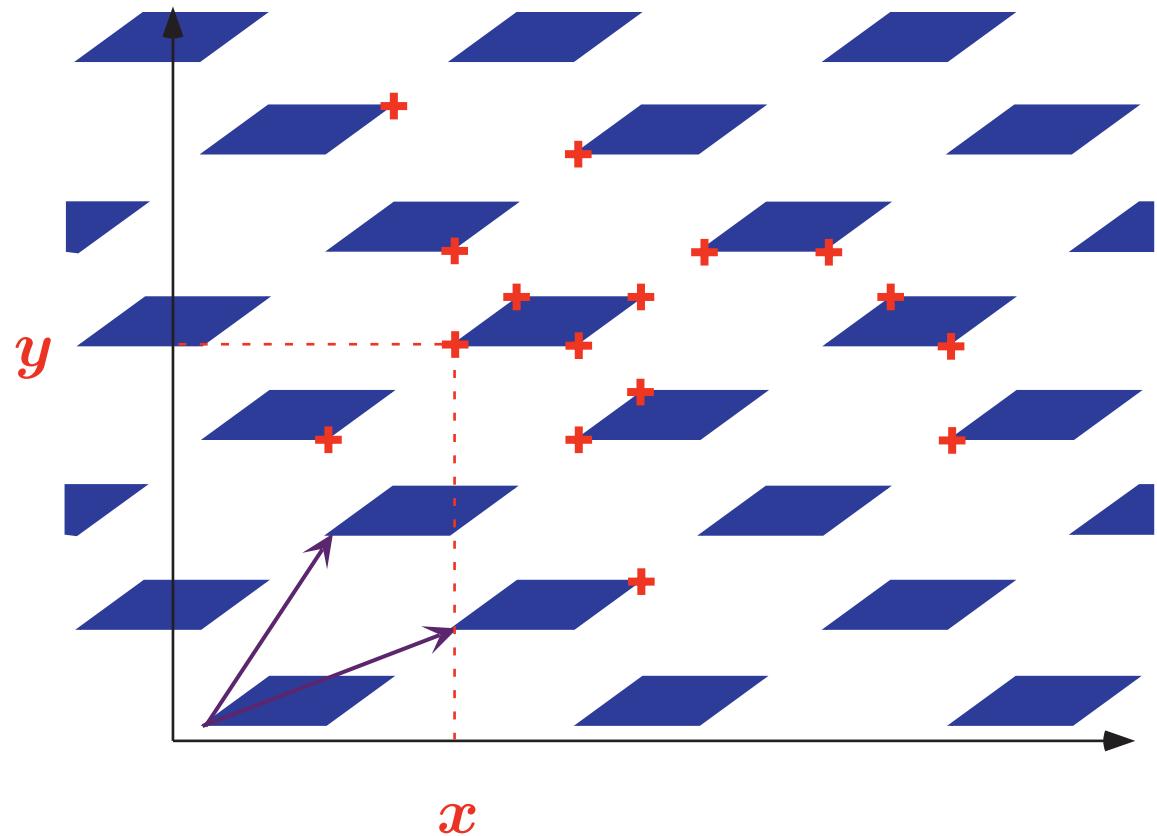
thesis P. Granger, 1991

Example of computable approximations of an [in]finite set of points (linear congruences)



thesis P. Granger, 1991

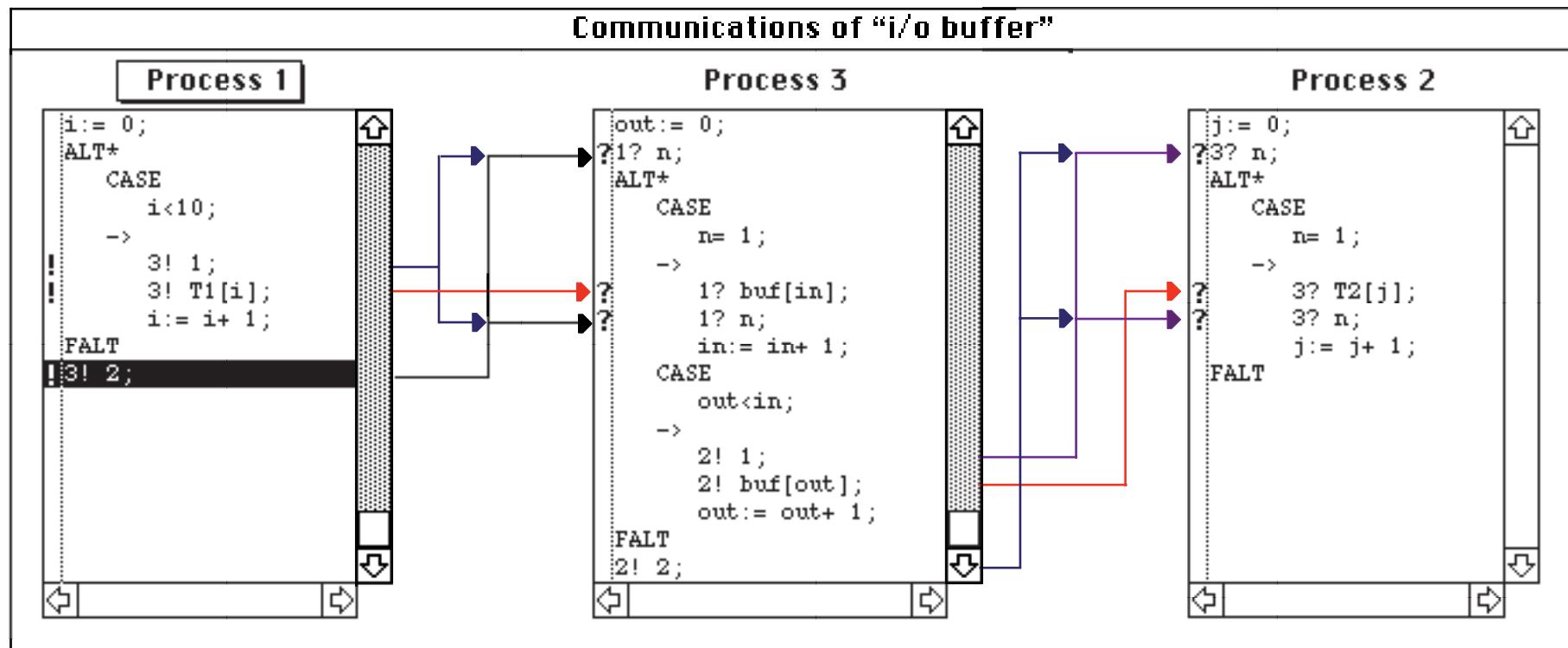
Example of computable approximations of an [in]finite set of points (trapezoidal linear congruences)



$$\begin{cases} 1x + 9y \in [0, 88] \bmod 10 \\ 1x - 9y \in [0, 99] \bmod 11 \end{cases}$$

thesis F. Masdupuy, 1993

Application of the congruence analysis: communications in OCCAM



thesis N. Mercouloff, 1990

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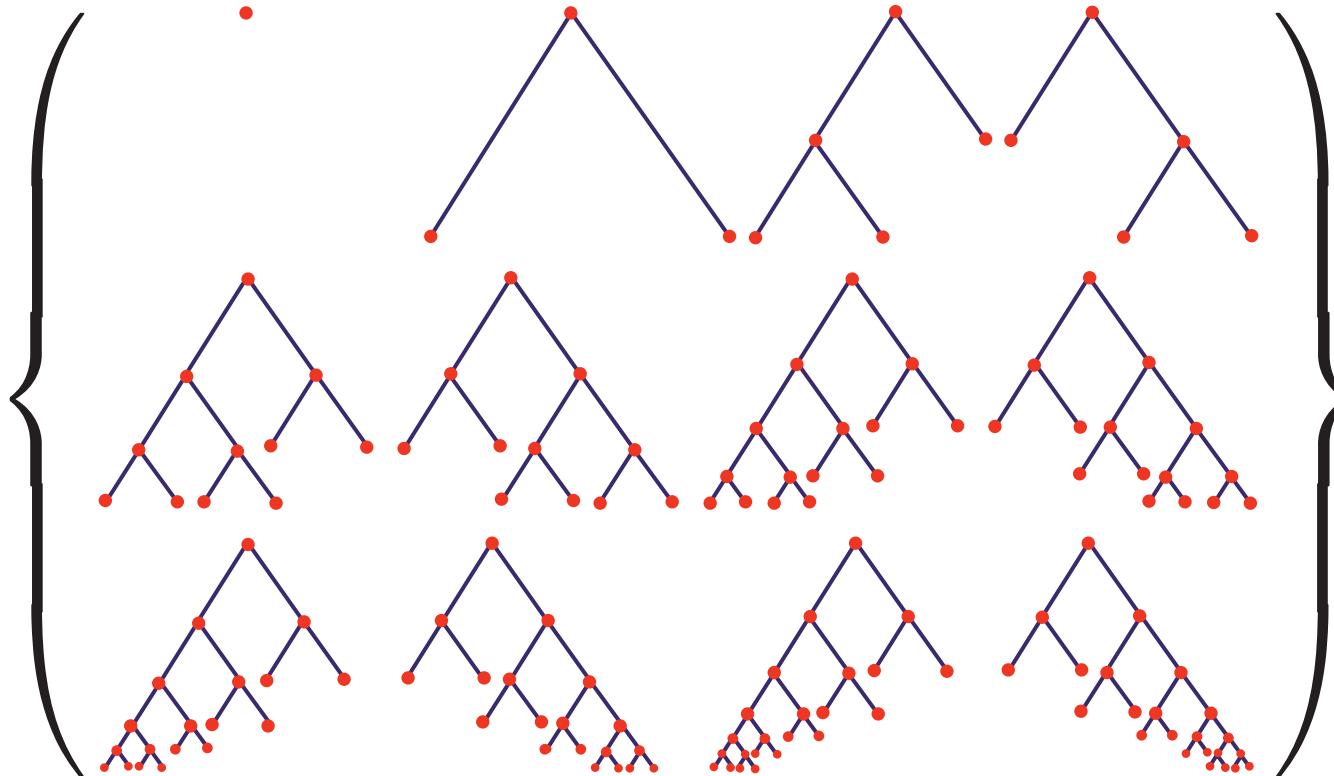
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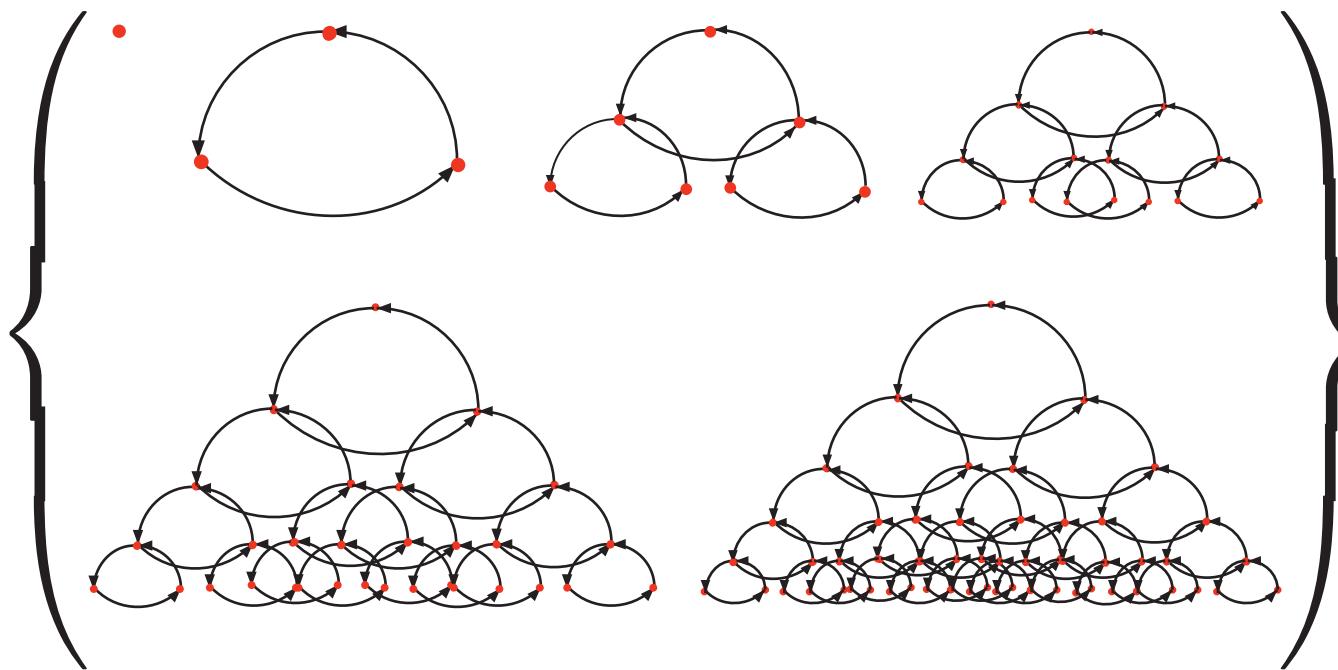
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 - **data structures** (search trees),
 - **communication structures** (distributed programs),
 - **information transfer structures** (mobile programs), etc.

Example 1: (infinite) sets of (infinite) decorated trees



Example 2: (infinite) set of (infinite) decorated graphs



Precise compact approximations

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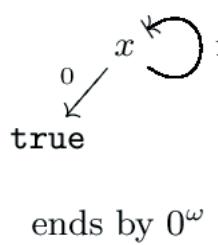
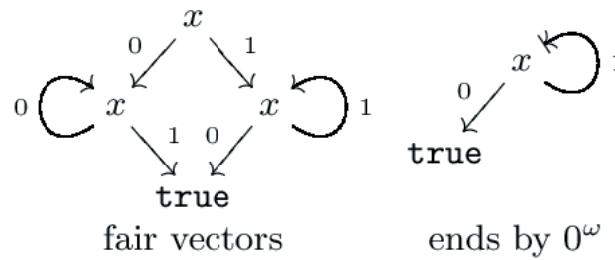
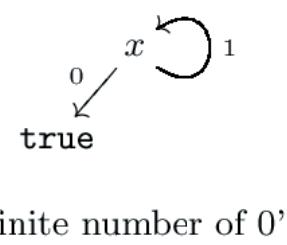
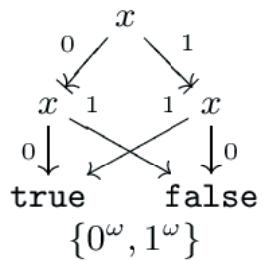
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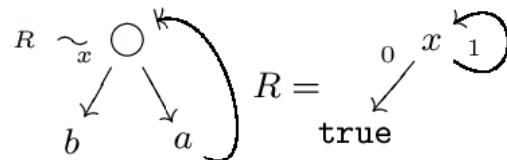
theses I. Stransky, 1988, A. Deutsch, 1992, A. Venet, 1998,
L. Mauborgne, 1999, F. Védrine, 2000

Example of compact approximations of infinite sets of infinite trees

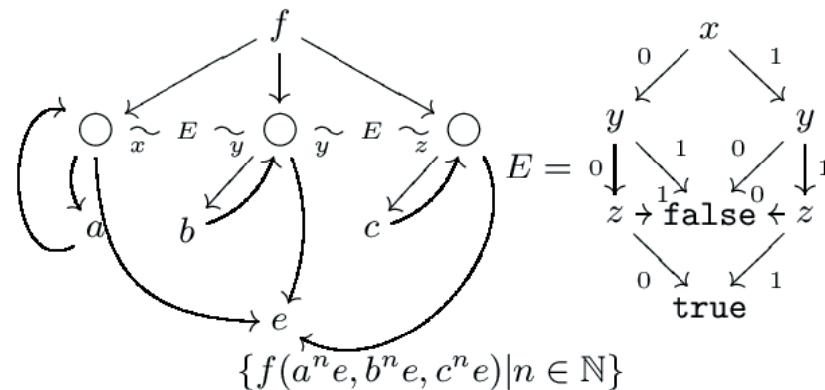
Binary Decision Graphs:



Tree schemata:



$$\{a^n b \mid n \in \mathbb{N}\}$$



Note that E is the equality relation.

these L. Mauborgne, 1999

Program Static Analysis

Difficulty of programming

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- Large scale computer programming is very difficult;
- Reasoning on large programs is very difficult;
- Errors are quite frequent.

Example 1: first year exam at the École polytechnique

What is the effect of the following PASCAL program:

```
program P (input, output);
procedure NewLine; begin writeln end;
procedure P (X : integer; procedure Q);
procedure R;
begin write(X); Q; end;
begin
  if X > 0 then begin R; P(X - 1, R); end;
end;
begin
  P(5, NewLine);
end.
```

Example 1: first year exam at the École polytechnique

What is the effect of the following PASCAL program:

```
program P (input, output);      5
  procedure NewLine; begin writeln end;    4   5
  procedure P (X : integer; procedure Q);  3   4   5
    procedure R;                      2   3   4   5
      begin write(X); Q; end;        1   2   3   4   5
    begin
      if X > 0 then begin R; P(X - 1, R); end;
    end;
  begin
    P(5, NewLine);
  end.
```

Less than 5% of the answers are correct!

Example 2: first year exam at the École polytechnique

Prove that the following program prints the value ≥ 91 :

```
program MacCarthy  (input,output);
var x, m : integer;
function MC(n : integer) : integer;
begin
  if n > 100 then MC := n - 10
  else MC := MC(MC(n + 11));
end;
begin
  read(x); m := MC(x); writeln(m);
end.
```

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end.
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Less than 50 % of the proofs given as answers are correct!

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- It follows that the computer is able to analyze the behavior of software before and without executing it;
- This is essential for computer-based safety-critical systems (for example: planes, trains, launchers, nuclear plants, etc.).

Example: interval analysis (1975) ⁵

Program to be analyzed:

```
x := 1;  
1:  
    while x < 10000 do  
2:  
    x := x + 1  
3:  
    od;  
4:
```

⁵ P. Cousot & R. Cousot, ISOP'76.

Example: interval analysis (1975) ⁵

Equations (abstract interpretation of the semantics):

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Example: interval analysis (1975) ⁵

Increasing chaotic iteration, initialization:

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Example: interval analysis (1975)⁵

Increasing chaotic iteration: convergence???????

```
x := 1;  
1:  
    while x < 10000 do  
        2:  
            x := x + 1  
        3:  
            od;  
    4:
```

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = (X_1 \cup X_3) \cap [-\infty, 9999] \\ X_3 = X_2 \oplus [1, 1] \\ X_4 = (X_1 \cup X_3) \cap [10000, +\infty] \end{array} \right.$$
$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = [1, 5] \\ X_3 = [2, 6] \\ X_4 = \emptyset \end{array} \right.$$

⁵ P. Cousot & R. Cousot, ISOP'1976, POPL'77.

Example: interval analysis (1975) ⁵

Convergence speed-up by extrapolation:

```

x := 1;
1:
while x < 10000 do
2:
    x := x + 1
3:
od;
4:

```

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = (X_1 \cup X_3) \cap [-\infty, 9999] \\ X_3 = X_2 \oplus [1, 1] \\ X_4 = (X_1 \cup X_3) \cap [10000, +\infty] \end{array} \right.$$

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = [1, +\infty] \quad \leftarrow \text{widening} \\ X_3 = [2, 6] \\ X_4 = \emptyset \end{array} \right.$$

⁵ P. Cousot & R. Cousot, ISOP'1976, POPL'77.

Example: interval analysis (1975) ⁵

Decreasing chaotic iteration:

$$\begin{array}{l} \text{x := 1;} \\ 1: \quad \text{while } x < 10000 \text{ do} \\ \quad 2: \quad \quad \text{x := x + 1} \\ \quad 3: \quad \quad \text{od;} \\ 4: \end{array} \quad \left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = (X_1 \cup X_3) \cap [-\infty, 9999] \\ X_3 = X_2 \oplus [1, 1] \\ X_4 = (X_1 \cup X_3) \cap [10000, +\infty] \\ \\ X_1 = [1, 1] \\ X_2 = [1, +\infty] \\ X_3 = [2, +\infty] \\ X_4 = \emptyset \end{array} \right.$$

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x := 1;
1:
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```
x := 1;  
1:  
    while x < 10000 do  
        x := x + 1  
    od;  
4:
```

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Example: interval analysis (1975) ⁵

Final solution:

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = (X_1 \cup X_3) \cap [-\infty, 9999] \\ X_3 = X_2 \oplus [1, 1] \\ X_4 = (X_1 \cup X_3) \cap [10000, +\infty] \end{array} \right.$$

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = [1, 9999] \\ X_3 = [2, +10000] \\ X_4 = [+10000, +10000] \end{array} \right.$$

x := 1;
1:
 while x < 10000 do
2:
 x := x + 1
3:
 od;
4:

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Example: interval analysis (1975) ⁵

Result of the interval analysis:

```
x := 1;  
1: {x = 1}  
    while x < 10000 do  
        2: {x ∈ [1, 9999]}  
            x := x + 1  
        3: {x ∈ [2, +10000]}  
            od;  
    4: {x = 10000}
```

$$\left\{ \begin{array}{l} X_1 = [1, 1] \\ X_2 = (X_1 \cup X_3) \cap [-\infty, 9999] \\ X_3 = X_2 \oplus [1, 1] \\ X_4 = (X_1 \cup X_3) \cap [10000, +\infty] \\ \\ X_1 = [1, 1] \\ X_2 = [1, 9999] \\ X_3 = [2, +10000] \\ X_4 = [+10000, +10000] \end{array} \right.$$

⁵ P. Cousot & R. Cousot, ISOP'1976, POPL'77.

Example: interval analysis (1975) ⁵

Exploitation of the result of the interval analysis:

```
x := 1;  
1: {x = 1}  
    while x < 10000 do  
2: {x ∈ [1, 9999]}  
        x := x + 1          ← no overflow  
3: {x ∈ [2, +10000]}  
    od;  
4: {x = 10000}
```

⁵ P. Cousot & R. Cousot, ISOP'1976, POPL'77.

For imperative languages like PASCAL ...

Pascal Abstract Debugger

File Options Analyze Edit Hide Show

File: /users/absint2/cousot/bin/Syntox/programs/MacCarthy0.p

```
program MacCarthy(input,output); (* MacCarthy's 91-function *)
  var x, m : integer;
  function MC(n : integer) : integer;
    begin
      if (n > 100) then
        MC := n-10
      else begin
        MC := MC(MC(n + 11))
      end;
    end;
  begin
    read(x);
    m := MC(x);
    writeln(m);
  end.
```

- 4 -

m	[91..hi-10]
x	top

<< First Condition >> Negation Iterations: - 3 +

thesis F. Bourdoncle,
1992

An impressive application (1996/97)

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- A. Deutsch uses abstract interpretation (including interval analysis) for the static analysis of the embedded ADA software of the Ariane 5 launcher ⁶;

⁶ Flight software (60,000 lines of Ada code) and Inertial Measurement Unit (30,000 lines of Ada code).

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- Automatic detection of the definiteness, potentiality, impossibility or inaccessibility of run-time errors ⁷;

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⁷ such as scalar and floating-point overflows, array index errors, divisions by zero and related arithmetic exceptions, uninitialized variables, data races on shared data structures, etc.

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- A. Deutsch uses abstract interpretation (including interval analysis) for the static analysis of the embedded ADA software of the Ariane 5 launcher ⁶;
- Automatic detection of the definiteness, potentiality, impossibility or inaccessibility of run-time errors ⁷;
- Success for the 502 & 503 flights and the ARD ⁸.

⁶ Flight software (60,000 lines of Ada code) and Inertial Measurement Unit (30,000 lines of Ada code).

⁷ such as scalar and floating-point overflows, array index errors, divisions by zero and related arithmetic exceptions, uninitialized variables, data races on shared data structures, etc.

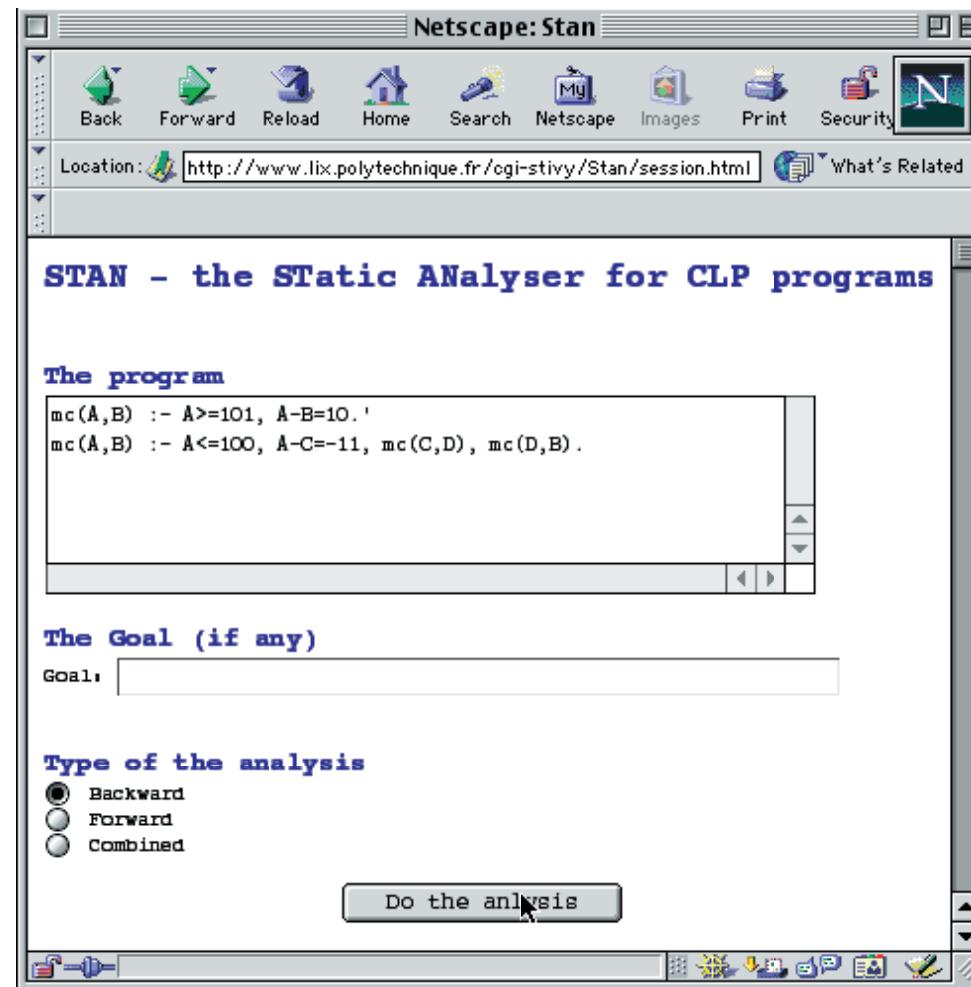
⁸ Atmospheric Reentry Demonstrator: module coming back to earth.

Some other recent applications of static analysis by abstract interpretation

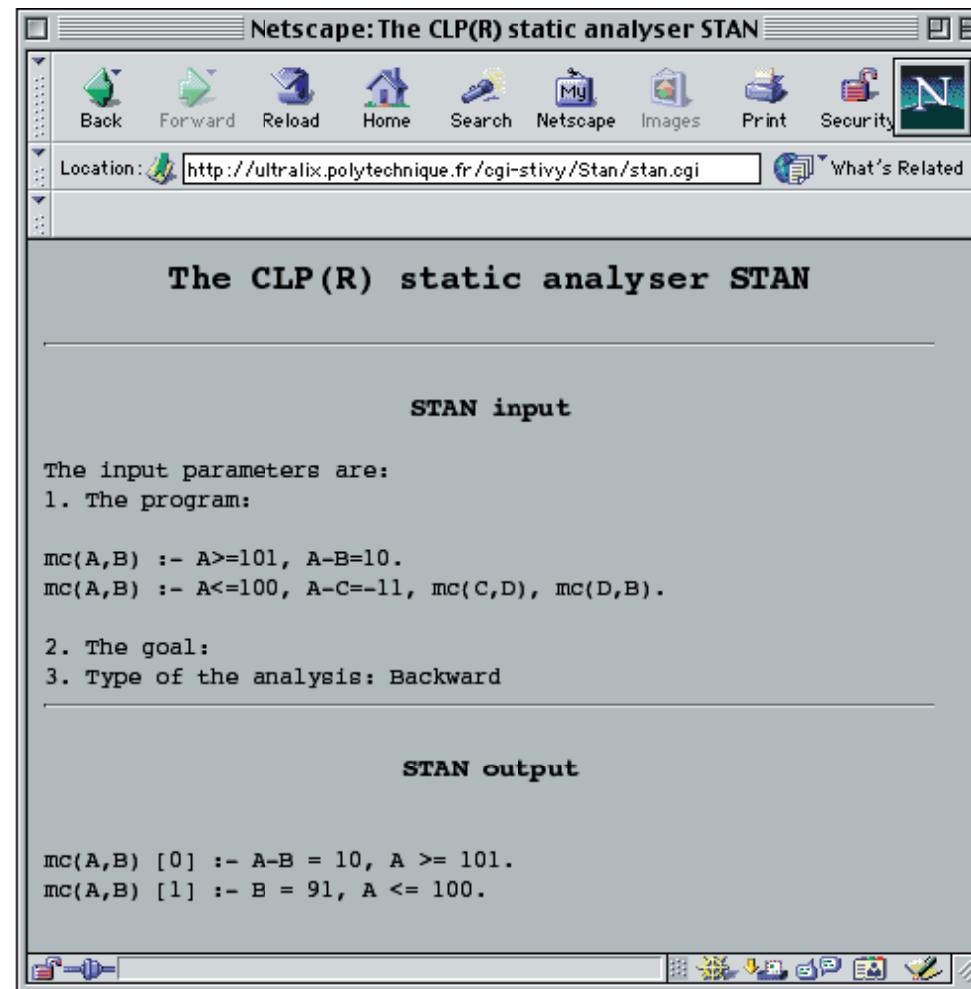
- program transformation & optimization;
- abstract model-checking of infinite systems;
- abstract testing;
- type inference (for undecidable systems);
- mobile code communication topology;
- automatic differentiation;
- ...

theses F. Bourdoncle, 1992, B. Monsuez, 1994, A. Venet, 1998,
F. Védrine, 2000, R. Cridlig, 2000

Example of application of static analysis to program transformation & optimization



Example of application of static analysis to program transformation & optimization



The screenshot shows a Netscape browser window with the title "Netscape: The CLP(R) static analyser STAN". The location bar displays the URL <http://ultralix.polytechnique.fr/cgi-stivy/Stan/stan.cgi>. The main content area is titled "The CLP(R) static analyser STAN". It contains two sections: "STAN input" and "STAN output".

STAN input

The input parameters are:

1. The program:

```
mc(A,B) :- A>=101, A-B=10.  
mc(A,B) :- A<=100, A-C=-11, mc(C,D), mc(D,B).
```
2. The goal:
3. Type of the analysis: Backward

STAN output

```
mc(A,B) [0] :- A-B = 10, A >= 101.  
mc(A,B) [1] :- B = 91, A <= 100.
```

Some other recent applications of abstract interpretation

- Fundamental applications:
 - design of hierarchies of semantics,
 - ...;
- Practical applications:
 - security (analysis of cryptographic protocols, mobile code),
 - semantic tattooing of software,
 - data mining,
 -

ongoing theses J. Feret, D. Monniaux

Lattice of semantics

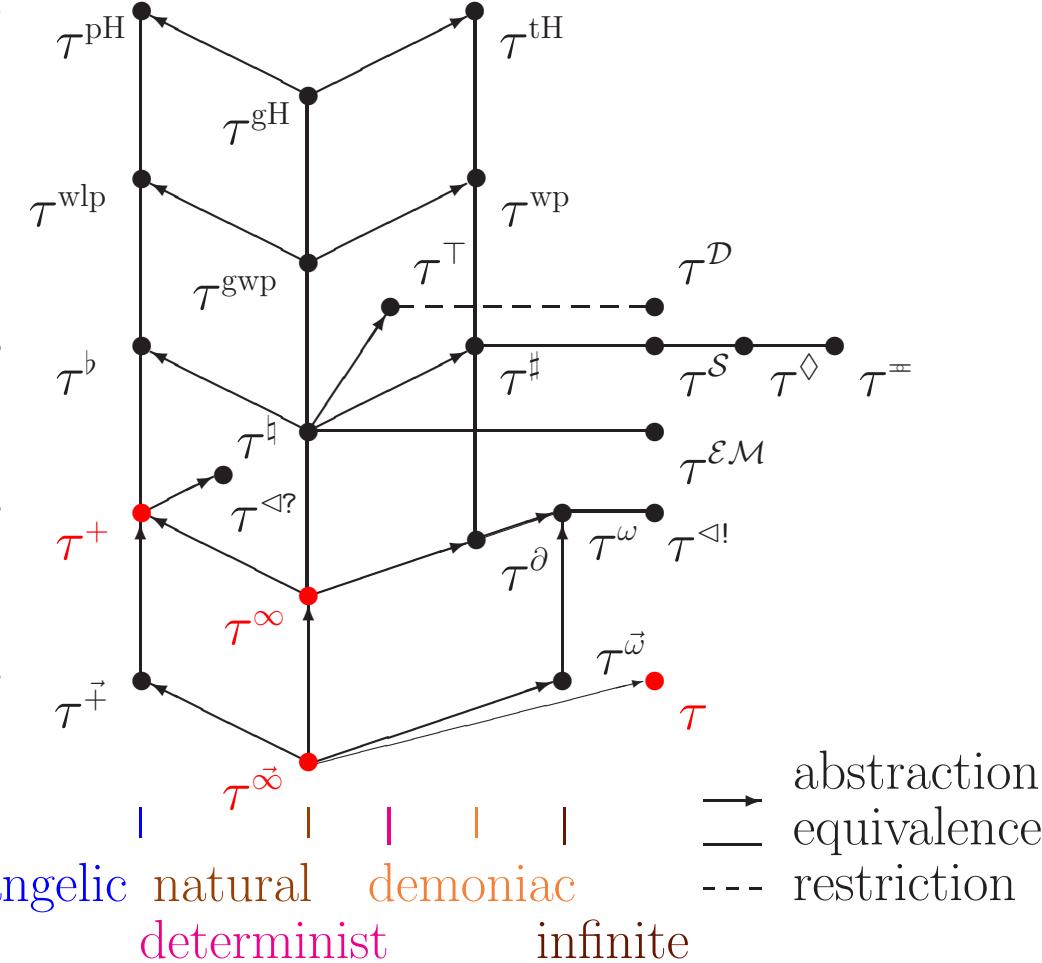
Hoare logics

Weakest precondition semantics

Denotational semantics

Relational semantics

Trace semantics



Forthcoming research

A lot of fundamental research remains to be one:

- modularity,
- higher order functions & modules,
- floating point numbers,
- probabilistic analyses,
- liveness properties with fairness,
- ...;

A few references

Starter:

P. Cousot. Abstract interpretation. *ACM Computing Surveys* 28 (2), 1996, 324–328.

On the web:

<http://www.di.ens.fr/~cousot/>

Industrialization of static analysis by abstract interpretation

- First research results: 1975;
- First industrializations:
 -  Connected Components Corporation (U.S.A.), L. Harrison, 1993;
 -  AbsInt Angewandte Informatik GmbH (Germany), R. Wilhelm, 1998;
 -  Polyspace Technologies (France), A. Deutsch & D. Pilaud, 1999.

Prospects

- The fundamental problems of computer science are difficult to explain to non specialists (only applications are well understood);

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- Research on fundamental ideas on software design is essential for modern societies;

Prospects

- The **fundamental problems** of computer science are difficult to explain to non specialists (only applications are well understood);
- In the future, the society will certainly be better aware of these computer software related problems (e.g. through **catastrophes**);
- Research on **fundamental ideas** on software design is essential for modern societies;
- The application of such **fundamental research** can hardly be scheduled in the short term (3 years);

Conclusion

Computer scientists need long term research funding.

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**THANK YOU FOR YOUR
ATTENTION**