

500-MS/s 5-bit ADC in 65-nm CMOS With Split Capacitor Array DAC

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Abstract—A 500-MS/s 5-bit ADC for UWB applications has been fabricated in a 65-nm CMOS technology using no analog-specific processing options. The time-interleaved successive approximation register (SAR) architecture has been chosen due to its simplicity versus flash and its amenability to scaled technologies versus pipelined, which relies on operational amplifiers. Six time-interleaved channels are used, sharing a single clock operating at the composite sampling rate. Each channel has a split capacitor array that reduces switching energy, increases speed, and has similar INL and decreased DNL, as compared to a conventional binary-weighted array. A variable delay line adjusts the instant of latch strobing to reduce preamplifier currents. The ADC achieves Nyquist performance, with an SNDR of 27.8 and 26.1 dB for 3.3 and 239 MHz inputs, respectively. The total active area is 0.9 mm², and the ADC consumes 6 mW from a 1.2-V supply.

Index Terms—ADC, analog-to-digital conversion, deep-submicron CMOS, successive approximation register, ultra-wideband radio.

I. INTRODUCTION

ULTRA-WIDEBAND (UWB) radio is an emerging technology for very-high-data-rate, short distance wireless communications. Both OFDM [1] and pulse-based [2] solutions are being developed to achieve data rates in excess of 480 Mb/s. UWB receivers require high-speed but low-resolution analog-to-digital converters (ADCs), in the range of 4–5 bits [3]–[5]. The ADC in this work is targeted for specifications (5 bit, 500 MS/s) compatible with a custom pulse-based UWB transceiver [6], [7], where 100 Mb/s communication is achieved using BPSK-modulated 500-MHz-wide Gaussian pulses transmitted in one of 14 bands between 3.1–10.6 GHz.

The flash topology, along with its interpolating and folding variants, has been the conventional choice for high-speed, low-resolution ADCs [8]–[12]. While flash can maintain the highest throughput, it requires an exponential growth in the number of comparisons with the resolution. The ensuing complexity motivates the use of other architectures.

Pipelined ADCs are used for high-speed, medium-resolution applications [13], [14]. They can provide one conversion per clock period throughput and only a linear scaling in complexity with resolution; however, they rely on operational amplifiers at the heart of the multiplying digital-to-analog converter (MDAC) in each pipelined stage. Because it must be closed loop stable,

this amplifier typically uses one or two high gain stages. Unfortunately, in deep-submicron CMOS, the achievable gain per stage is limited because short-channel effects lower $g_m r_o$ for a single transistor, and reduced voltage supplies restrict circuit techniques such as cascoding. Thus, there are significant challenges for continued scaling of pipelined ADCs.

Very recently, for the high-speed, low resolution converters necessary for UWB, the time-interleaved successive approximation register (SAR) architecture has re-emerged¹ as a low-power alternative to flash and pipelined ADCs [17]. At the required speeds, their major limitation is digital power; a SAR converter includes digital feedback in the critical path. A full custom logic controller with dynamic registers can reduce digital power significantly, but it still remains a dominant source of power consumption in a 0.18- μ m CMOS implementation [18]. Another approach uses dynamic registers with asynchronous operation to reduce clock power, and combined with a non-binary successive approximation algorithm, has led to a very energy efficient design in 0.13- μ m CMOS [19]. Fortunately, technology scaling improves the digital power and speed without many of the issues plaguing pipelined converters. The only active analog component in a SAR ADC, the comparator, still requires large gain and bandwidth, but because it does not have to be linear, this gain can be achieved through cascaded stages and positive feedback.

This paper presents a 500-MS/s 5-bit ADC fabricated in a 65-nm CMOS technology [20]. At the maximum sampling rate, the ADC consumes 6 mW from a 1.2-V supply. This low power consumption is achieved through proper architecture selection, a new capacitor array, and careful timing allocation between the digital and analog circuits. The ADC has six time-interleaved SAR channels synchronized to a common clock. The split capacitor array reduces switching energy, is robust to digital delay mismatches for overall improved settling time, and has a reduction in peak static differential nonlinearity (DNL). In the comparator, a variable delay line adjusts the instant of strobing for the regenerative latches, minimizing idle time during each bit-cycle without sacrificing bit error rate (BER) performance.

II. ADC ARCHITECTURE

A SAR ADC requires one period for sampling and b periods to resolve the b digital output bits. To make the internal SAR clock synchronous to the overall sampling clock, six time-interleaved channels are used, as shown in Fig. 1. Thus, only a single 500 MHz clock is required in the prototype, easing clock generation and distribution. The channels synchronize by passing

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¹Time-interleaved SAR was used as early as 1980 as a low area alternative to the flash ADC [15], and, more recently, for reduced comparator power in a medium resolution application [16].

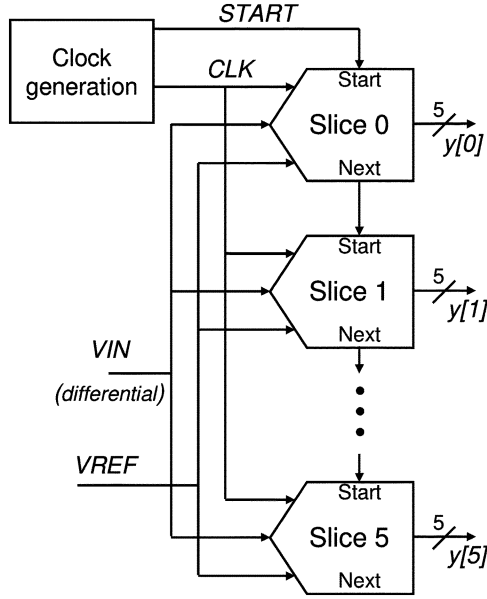


Fig. 1. Top-level block diagram of the 6-way time-interleaved ADC.

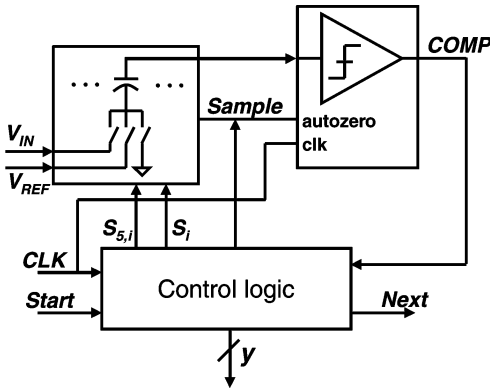


Fig. 2. Block diagram of the channel, which has a capacitive DAC, comparator, and digital logic.

a token to cue their start of sampling, and all critical sampling edges are aligned to the same shared clock [18]. Timing skew between channels is thus limited to routing variations to the channels and the delay mismatch through a single register in each channel; both of these error sources can be kept sufficiently small such that digital timing correction (a complex, power hungry process [21]) is not necessary.

The channel, shown in Fig. 2, consists of a capacitive digital-to-analog converter (DAC), a comparator, and control logic (itself called the SAR). The control logic switches the DAC using a binary search algorithm to minimize the error between the digital output and the analog input. The split capacitor array and comparator, the two analog blocks, are discussed in Section III, followed by some of the considerations used in designing circuits for 65-nm CMOS.

III. CIRCUIT DESIGN

A. Split Capacitor Array

The DAC serves two purposes in a SAR converter: it samples the input charge, and it generates an error voltage

between the input and current digital estimate. The conventional DAC choice is a binary-weighted capacitor array [22], as shown in Fig. 3, which is insensitive to stray capacitance. As shown in [23], however, the conventional capacitor array uses charge inefficiently during a conversion. To demonstrate this, a conversion of a 2-bit capacitor array is presented here. During the first bit decision after sampling, the MSB capacitor is connected to V_{REF} with the remaining capacitors connected to ground (left circuit in Fig. 4). The output of the capacitor array, V_X , is

$$V_X = -V_{IN} + \frac{1}{2}V_{REF} \quad (1)$$

where V_{IN} is the input voltage sampled on the capacitor array and V_{REF} is the reference voltage. During the second bit-cycle, the SAR does one of two transitions. If $V_X < 0$, an “up” transition is performed, where C_1 is switched from ground up to V_{REF} , drawing

$$E_{up} = \frac{C_0 V_{REF}^2}{4} \quad (2)$$

from the reference voltage supply. Inversely, if $V_X > 0$, a “down” transition is performed (Fig. 4); C_1 and C_2 switch places. If they switch at the same time, the energy required is

$$E_{down,conv} = \frac{5}{4}C_0 V_{REF}^2. \quad (3)$$

It takes 5 times more energy to lower V_X than to raise it; this occurs because all of the charge initially on C_2 is discharged to ground, and all the charge that ends up on C_1 must be delivered from the reference voltage supply.

Ref. [23] analyzes three alternatives to the conventional capacitor array and switching procedure. Of these alternatives, this work implements the split capacitor array because it has both the lowest switching energy and does not require an extra clock phase that would limit high speed operation. A b -bit split capacitor array is shown in Fig. 5; the MSB capacitor of the conventional array has been split into an identical copy (MSB subarray) of the rest of the array (main subarray). These arrays are placed in parallel (common top plate), not to be confused with the series connected capacitor arrays used in the sub-DAC approach.² The total capacitance of the split capacitor array is $2^b C_0$, identical to the conventional case, and the area requirements are unchanged.

The split capacitor switching algorithm is presented in Fig. 6. Here, the two-bit example from above is repeated for the split capacitor array to demonstrate the switching method and energy savings. During the first bit-cycle (left side of Fig. 7), the MSB subarray, $C_{2,1}$ and $C_{2,0}$, is connected to V_{REF} , and the main subarray is connected to ground. Since $C_2 = C_{2,1} + C_{2,0}$, (1) also represents the output of the split array. In the case of an “up” transition, the array transitions in the same method as above, with C_1 switching to V_{REF} , consuming the same energy calculated in (2). In the “down” transition (Fig. 7), half of the MSB subarray, $C_{2,1}$ is lowered to ground, leaving both C_1 and $C_{2,0}$ unchanged. By only switching one capacitor the energy

²Historically, the combination of capacitive main- and sub-DACs had been called a “split array” [15], but this has not become common usage, and we have co-opted the term for the new structure.

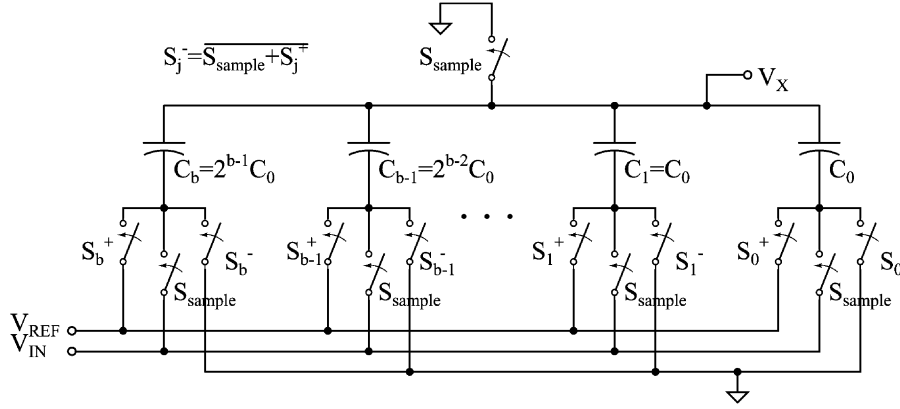
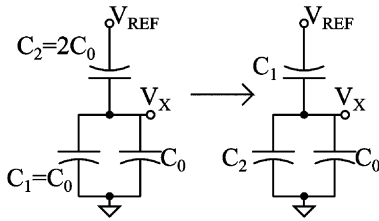
Fig. 3. Conventional b -bit binary weighted capacitor array.

Fig. 4. "Down" transition of the conventional capacitor array.

consumed is

$$E_{\text{down,split}} = \frac{C_0 V_{\text{REF}}^2}{4} \quad (4)$$

identical to the "up" transition.

The overall energy savings of the split capacitor array is input voltage (or output digital code) dependent. Where the relative frequency of "down" transitions is greater, the savings for the split capacitor array is enhanced, as seen in Fig. 8. Assuming a full swing sinusoidal input distribution, the split capacitor array is expected to have 37% lower switching energy than the conventional array.

For this high-speed implementation, an additional advantage of considerable significance is related to the array's settling time. During a "down" transition, two capacitors are required to switch for the conventional capacitor array; any mismatch, whether random or deterministic, in the digital logic driving these switches can cause the capacitor array to initially transition in the wrong direction, potentially exacerbating an overdrive condition for the preamplifiers. Only one capacitor in the split capacitor array transitions during any bit-cycle, providing inherent immunity to the skew of the switch signals. Simulation results comparing the settling times of the two arrays is shown in Fig. 9. For the simulation, the total width of the switches is identical for the split and conventional arrays. The split capacitor array settles up to 10% faster, which is used to reduce the bias currents in the preamplifiers by a similar amount.

1) *Linearity Performance:* To compare the theoretical static linearity of the binary-weighted and split DACs, each of the capacitors is modeled as the sum of the nominal capacitance

value and some error term:

$$\begin{aligned} C_n &= 2^{n-1}C_0 + \delta_n \\ C_{b,n} &= 2^{n-1}C_0 + \delta_{b,n}. \end{aligned} \quad (5)$$

Initially, consider only the case where all the errors are in the unit capacitors, whose values are independent identically-distributed (i.i.d.) Gaussian random variables; later in this section, other non-idealities will be considered. Then the error terms δ_n and $\delta_{b,n}$ have zero mean, are independent, and have variance

$$E[\delta_n^2] = E[\delta_{b,n}^2] = 2^{n-1}\sigma_0^2 \quad (6)$$

where σ_0 is the standard deviation of the unit capacitor.

The linearity of a SAR ADC is limited by the accuracy of the DAC outputs, which are calculated here for the case of no initial charge on the array ($V_{\text{IN}} = 0$). For a given DAC digital input $y = \sum_{n=1}^b S_n 2^{n-1}$, with S_n equals 0 or 1 represents the ADC decision for bit n , the analog output for the conventional binary-weighted array is

$$V_{X,\text{conv}}(y) = \frac{\sum_{n=1}^b (2^{n-1}C_0 + \delta_n) S_n}{2^b C_0 + \Delta C} V_{\text{REF}}. \quad (7)$$

The second term in the denominator $\Delta C = \sum_{n=0}^b \delta_n$ will be neglected for this discussion. This will make the analysis simpler but will prevent a complete closed form solution for the integral nonlinearity (INL). Subtracting the nominal value yields the error term

$$V_{\text{err}}(y) \approx \frac{\sum_{n=1}^b \delta_n S_n}{2^b C_0} V_{\text{REF}} \quad (8)$$

with variance

$$\begin{aligned} E[V_{\text{err}}^2(y)] &= \frac{\sum_{n=1}^b 2^{n-1}\sigma_0^2 S_n}{2^{2b} C_0^2} V_{\text{REF}}^2 \\ &= \frac{y}{2^{2b}} \frac{\sigma_0^2}{C_0^2} V_{\text{REF}}^2. \end{aligned} \quad (9)$$

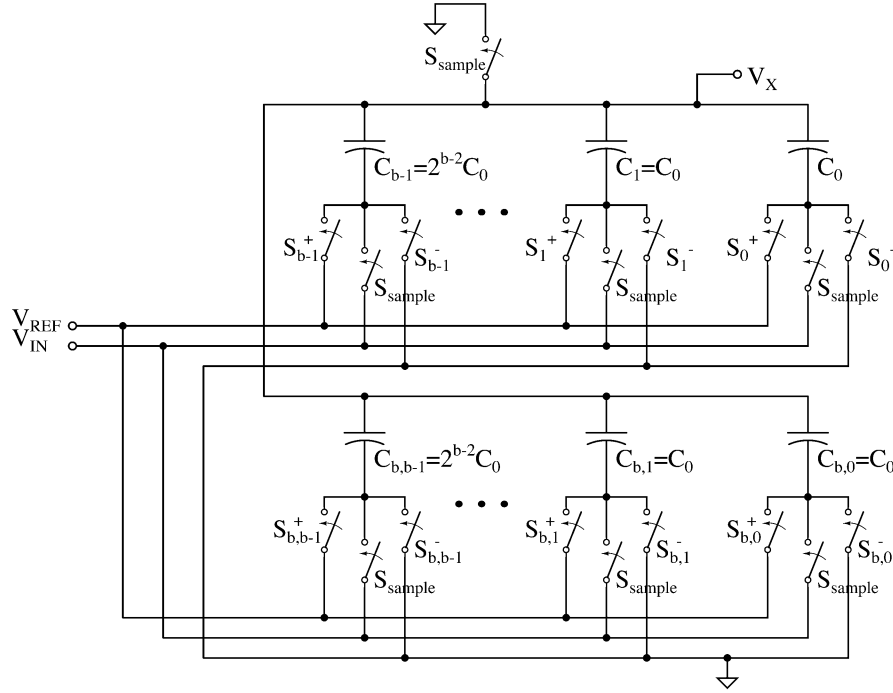


Fig. 5. The b -bit split capacitor array, with the main subarray on top and the MSB subarray below.

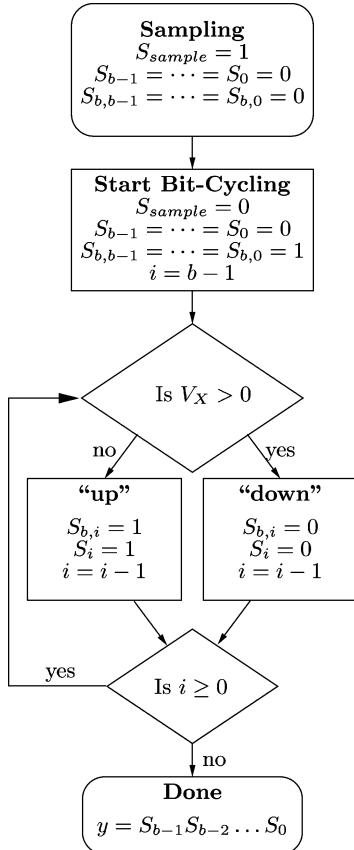


Fig. 6. Switching procedure for split capacitor array. i represents the bit currently being decided.

This voltage error is simply the sum of the errors from y unit capacitors connected to V_{REF} . Because the errors in the unit capacitors are assumed to be i.i.d., it does not matter which unit

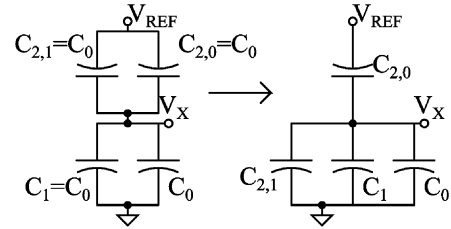


Fig. 7. “Down” transition of the split capacitor array. The “up” transition entails switching C_1 to V_{REF} .

capacitors are connected to V_{REF} but only the total number. Thus, (9) holds for the case of the split capacitor array as well. This error is also directly related to the INL of the ADC, and thus there should be no difference between the maximum INLs of the two arrays.

The DNL of the capacitive DAC is, neglecting gain errors, the difference between the voltage errors at two consecutive DAC outputs, as in

$$\text{DNL}(y) \approx \Delta V_{\text{err}}(y) = V_{\text{err}}(y) - V_{\text{err}}(y-1). \quad (10)$$

The worst case DNL for the binary weighted capacitor array is expected to occur at the step below the MSB transition, where its variance is

$$E[\Delta V_{\text{err}}^2(2^{b-1})] = E\left[\left(\frac{\delta_b - \sum_{n=1}^{b-1} \delta_n}{2^b C_0} V_{\text{REF}}\right)^2\right] \approx \frac{\sigma_0^2}{2^b C_0^2} V_{\text{REF}}^2. \quad (11)$$

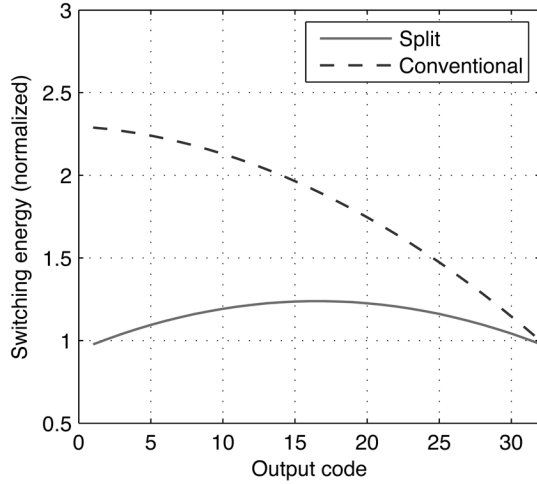


Fig. 8. Normalized switching energies of the conventional and split capacitor arrays versus output code. The number of “down” transitions is greater on the left side of the plot.

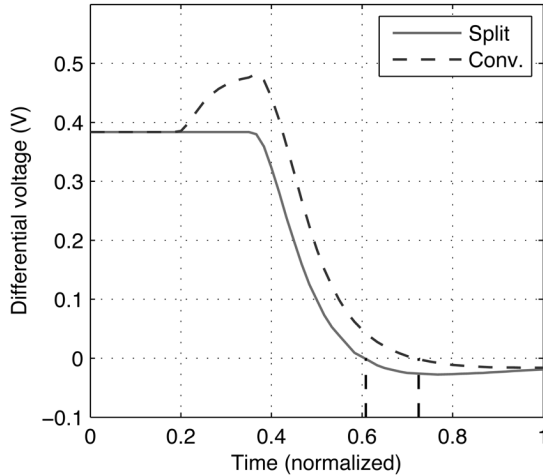


Fig. 9. Simulation of the settling time of the split and conventional capacitor arrays under the presence of digital timing skew.

For the split capacitor array, the worst case DNL also occurs at the step below the MSB transition, but its value is

$$\begin{aligned} \Delta V_{\text{err}}(2^{b-1}) &= \frac{\sum_{n=0}^{b-1} \delta_{b,n} - \left(\sum_{n=0}^{b-2} \delta_{b,n} + \sum_{n=1}^{b-2} \delta_n \right)}{2^b C_0} V_{\text{REF}} \\ &= \frac{\delta_{b,b-1} - \sum_{n=1}^{b-2} \delta_n}{2^b C_0} V_{\text{REF}}. \end{aligned} \quad (12)$$

This error has a variance of

$$E[\Delta V_{\text{err}}^2(2^{b-1})] \approx \frac{1}{2} \frac{\sigma_0^2}{2^b C_0^2} V_{\text{REF}}^2. \quad (13)$$

Comparing (11) and (13) shows that the standard deviation of the worst case DNL is $\sqrt{2}$ lower for the split capacitor array. Conceptually, this occurs because the errors at $y = 2^{b-1}$ and $y = 2^{b-1} - 1$ are partially correlated for the split capacitor array,

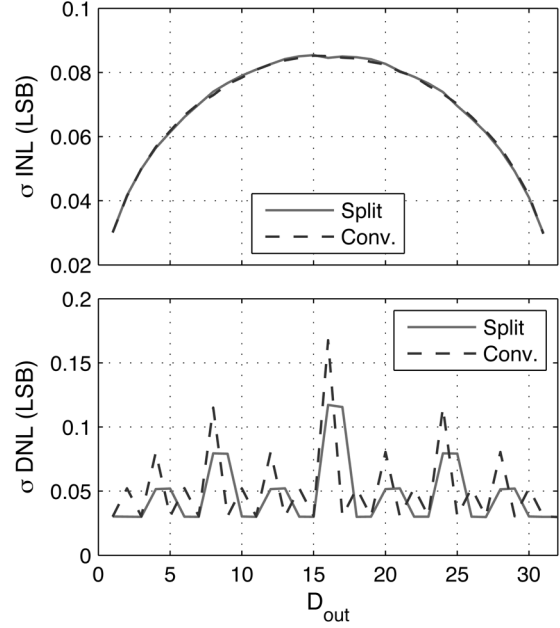


Fig. 10. Behavioral simulation comparing the linearity of the split and conventional capacitor arrays. 10 000 Monte Carlo runs were performed, with i.i.d. Gaussian errors in the unit capacitors ($\sigma_0/C_0 = 3\%$). The standard deviation of the INL and DNL are plotted.

causing the cancellation of $\delta_{b,0}, \dots, \delta_{b,b-2}$ in (12). This can be also be seen in the energy example above. In Fig. 4, the errors of the top capacitors are completely uncorrelated for the two bit decisions; however, in Fig. 7, the error of $C_{2,0}$ contributes equally to both bit decisions.

A behavioral simulation of the SAR ADC, with both the binary weighted and split capacitor arrays, was performed. The values of the unit capacitors are taken to be Gaussian random variables with standard deviation of 3% ($\sigma_0/C_0 = 0.03$), and the ADC is otherwise ideal. Fig. 10 shows the results of 10 000 Monte Carlo runs, where the standard deviation of the INL and DNL are plotted versus output code at the 5-bit level. As expected, the conventional and split arrays have identical INL characteristics, and the split capacitor array has $\sqrt{2}$ better DNL. This improvement in DNL is similar to that conferred at the MSB transition from using 1-bit of unary decoding in a segmented DAC [24].

The above discussion assumes that the errors in the unit capacitors are due to an i.i.d. random process. In practice, care must be taken during layout to ensure absence of systematic nonidealities. The unit capacitors are arranged in a common centroid configuration to eliminate the effect of first order gradients. Fringing effects at the edge of the array are reduced by using 32 dummy capacitors around the 32 active unit capacitors. The largest capacitors in the main subarray and MSB subarray are distributed so as to have equal numbers of edges next to the dummy capacitors to further reduce fringing errors. The split capacitor array does have twice as many bottom plate signals that must be routed within the array. Coupling from these routes to the top plate routing can cause linearity errors and was avoided by routing the top and bottom plate signals distant from each other, which was sufficient at 5-bit resolution. For higher resolutions, electrostatic shielding may be necessary where the bottom

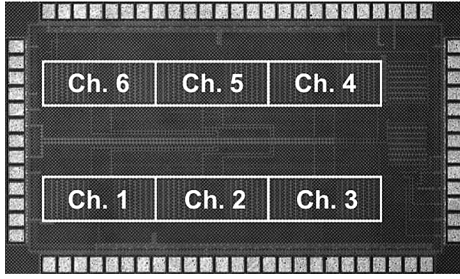
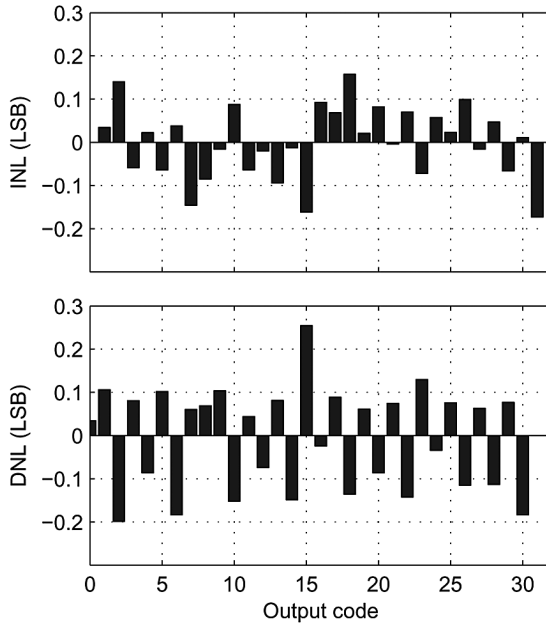
Fig. 12. Photograph of 1.9×1.4 mm die.

Fig. 13. Static linearity of ADC versus output code.

derestimation of parasitics in the delay line, only the first two delay steps out of 16 provided sufficient time for latch regeneration, and these extended the period available to the preamplifiers by about 10%. At 250 MS/s, a 0.5–1 dB improvement in SNDR was achieved by properly tuning the delay.

The dynamic performance of the ADC is shown in Fig. 14 with the input frequency swept from DC to beyond Nyquist. The signal-to-noise-plus-distortion ratio (SNDR) does not drop by 3 dB until past the Nyquist frequency. A fast Fourier transform (FFT) of a 239.04-MHz input is shown in Fig. 15. Spurs (a)–(d) result from gain errors and skew between channels, and spurs (e)–(f) are due to offset mismatch. All of these spurs are below -39 dBFS, and their combined power is still less than the total noise power (excluding the spurs) at this near-Nyquist input. The gain mismatch between channels is 0.9%. The individual channels have an effective number of bits (ENOB) between 4.65 and 4.75 with low-frequency inputs, dropping by 0.4 bits at Nyquist.

The ADC consumes 2.86 mW and 3.06 mW, respectively, from 1.2-V analog and digital supplies at the maximum sampling frequency. The ADC was also tested at lower sampling frequencies. At 250 MS/s, the ADC consumes a total of 1.58 mW

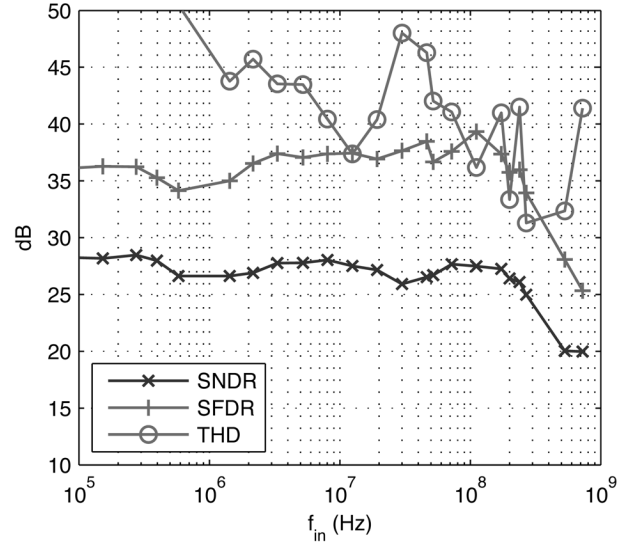


Fig. 14. Dynamic performance versus input frequency.

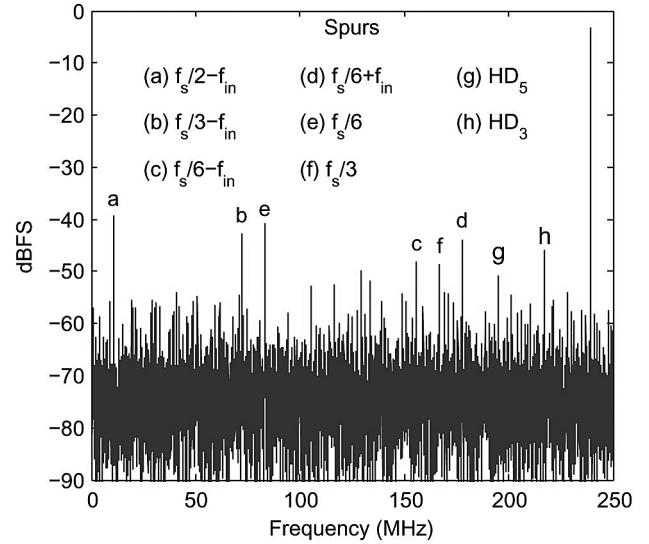


Fig. 15. FFT of 239.04-MHz sine wave sampled at 500 MS/s; dominant spurs are labeled.

TABLE I
SUMMARY OF PERFORMANCE

Technology	65-nm CMOS IP6M
Supply Voltage	1.2 V
Sampling Rate	500 MS/s
Resolution	5 bit
Input Range	800 mV _{pp} Differential
SNDR ($f_{in}=3.3$ MHz)	27.8 dB
SNDR ($f_{in}=239$ MHz)	26.1 dB
SFDR ($f_{in}=239$ MHz)	36.0 dB
THD ($f_{in}=239$ MHz)	-41.5 dB
DNL (channel)	0.26 LSB
INL (channel)	0.16 LSB
Analog Power	2.86 mW
Digital Power	3.06 mW
Total Power	5.93 mW
Active Area	$0.65 \text{ mm} \times 1.4 \text{ mm}$

from a 1 V digital and 0.8 V analog supply, while still maintaining Nyquist performance. A summary of the ADC is listed in Table I.

TABLE II
COMPARISON OF STATE-OF-THE-ART ADCs

Work	Architecture	Feature Size	Power (mW)	f_s (MHz)	Resolution (bits)	f_{in} (MHz)	ENOB	FOM (pJ/conv. step)
[17]	SAR	90 nm	10	600	6	300	5.1	0.5
[19]	SAR	0.13 μm	5.3	600	6	300	5.02	0.27
[30]	Subranging	0.13 μm	21	125	8	62.5	7.5	0.96
[13]	Pipelined	0.18 μm	30	200	8	99	7.68	0.74
[31]	Subranging	90 nm	55	1000	6	500	5.3	1.37
[32]	Flash	90 nm	2.5	1250	4	625	3.66	0.16
This work	SAR	65 nm	5.9	500	5	239	4.04	0.75
	SAR	65 nm	1.8	250	5	120	4.10	0.44
	SAR	65 nm	0.9	125	5	60	3.95	0.51

V. COMPARISON AND DISCUSSION

To enable a comparison to other ADCs operating at different speeds and resolutions, the figure of merit

$$\text{FOM} = \frac{P}{2^{\text{ENOB}} \cdot 2 \cdot f_{in}} \quad (14)$$

is used [17], where P is the power consumption, and ENOB is measured for input frequency f_{in} , not to exceed Nyquist input. Table II compares state-of-the-art ADCs with sampling rates in excess of 100 MS/s and resolutions of 8 bits or less. From the results, this ADC has one of the best energy efficiencies of published work. In addition, as three out of the four best designs demonstrate, the time-interleaved SAR architecture can achieve very low power for these specifications. This work requires no linearity calibration or digital post-processing of the samples.

VI. CONCLUSION

An ADC targeted for UWB specifications has been presented. The time-interleaved SAR architecture provides superior energy efficiency to a flash converter because of its linear growth in complexity with the resolution. Two new techniques have enabled high-speed, low-power SAR operation. The split capacitor array offers both lower switching energy and improved settling speed as compared to the conventional array. Joint timing design of the analog and digital portions of the chip, as demonstrated with the adjustable latch strobing instant, can ease settling time requirements and use otherwise wasted idle time during bit-cycling. State-of-the-art energy efficiency and performance have been demonstrated with robust operation in deep-submicron CMOS.

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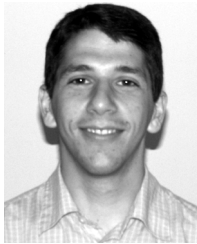
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