

Multiallelic calling model in bcftools (-m)
Petr Danecek, Stephan Schiffels, Richard Durbin
Version: June 23, 2014

Let x and y denote alleles. For simplicity of notation we work with SNPs, $x, y \in \{A, C, G, T\}$, but the method is identical for indels. Let's denote the number of samples N . In the pileup we observe the set of bases $S \subseteq \{A, C, G, T\}$, each base x is observed D_x times with the qualities $Q_1^x, \dots, Q_{D_x}^x$. As a simple estimate of allele frequencies we take

$$f_x = \frac{\sum_k Q_k^x}{\sum_{k,y} Q_k^y}. \quad (1)$$

When calling jointly on multiple samples with varying coverage, lower-coverage samples would contribute less to the estimate. Therefore we calculate frequencies f_x^i for each sample i as above and then calculate the site frequency as

$$f_x = \frac{\sum_i f_x^i}{N}. \quad (2)$$

Now, given a particular allele set S , we introduce the relative frequencies

$$f_{x|S} = \frac{f_x}{\sum_{y \in S} f_y}. \quad (3)$$

We calculate the likelihood of observing the set of alleles S for each sample

$$L_S^i = \sum_{x,y \in S} f_{x|S} f_{y|S} G_i(xy), \quad (4)$$

where $G_i(xy)$ are the genotype likelihoods PL of i -th sample calculated by mpileup¹. Given the prior probability θ , the number of non-reference alleles r observed across all samples and using the Watterson factor W_N

$$W_N = \sum_{k=1}^{2N-1} \frac{1}{k}, \quad (5)$$

we calculate the overall likelihood for all samples given the allele set S as

$$L_S = (W_N \theta)^r \prod_i L_S^i. \quad (6)$$

Finally we select the most likely set of alleles $X \subseteq S$ so that

$$X = \arg \max_S L_S. \quad (7)$$

¹PL = $-10 * \log_{10} P(\text{data}|\text{genotype})$

The site quality of variant sites is given by

$$\text{QUAL} = \frac{L_{\{ref\}}}{\sum_S K_S} \quad (8)$$

and the quality of non-variant sites

$$\text{QUAL} = 1 - \frac{L_{\{ref\}}}{\sum_S K_S}. \quad (9)$$

Assuming HWE, the most likely genotype $(xy)_i$ of i -th sample is

$$(xy)_i = \arg \max_{a,b \in X} L_X^i \quad (10)$$

and the corresponding genotype quality is

$$\text{GQ} = \frac{L_X^i}{\sum_Y L_Y^i}. \quad (11)$$