

Inferencia causal para evaluación de políticas educativas

Lecturas sugeridas

Pablo Geraldo Bastías

1 De métodos a supuestos

1.1 Inferencia causal: para qué?

Esenciales

Lundberg, Ian, Rebecca Johnson, and Brandon M. Stewart. 2021. "What Is Your Estimand? Defining the Target Quantity Connects Statistical Evidence to Theory." *American Sociological Review* 86(3):532–65. doi: 10.1177/00031224211004187. (**)

Morgan, Stephen L. and Christopher Winship (2014). *Counterfactuals and Causal Inference: Methods and Principles for Social Research* (2nd edition), Cambridge University Press, Chapters 1-2 (*)

Sugeridas

Greenland, Sander. 2022. "The Causal Foundations of Applied Probability and Statistics." Pp. 605–24 in *Probabilistic and Causal Inference: The Works of Judea Pearl*. Vol. 36. New York, NY, USA: Association for Computing Machinery.

Hernán, Miguel A., John Hsu, and Brian Healy. 2019. "A Second Chance to Get Causal Inference Right: A Classification of Data Science Tasks." *CHANCE* 32(1):42–49. doi: 10.1080/09332480.2019.1579578.

Imbens, Guido W. 2022. "Causality in Econometrics: Choice vs Chance." *Econometrica* 90(6):2541–66. doi: 10.3982/ECTA21204.

Mitra, Nandita, Jason Roy, and Dylan Small. 2022. "The Future of Causal Inference." *American Journal of Epidemiology* 191(10):1671–76. doi: 10.1093/aje/kwac108.

Ogburn, Elizabeth L., and Ilya Shpitser. 2021. "Causal Modelling: The Two Cultures." *Observational Studies* 7(1):179–83.

Pearl, Judea, and Dana Mackenzie. 2019. *The Book of Why: The New Science of Cause and Effect*. 1st edition. London: Penguin.

1.2 Resultados potenciales para hipotetizar, experimentos para aprender

Esenciales

Gerber, Alan S., and Donald P. Green. 2012. *Field Experiments – Design, Analysis, and Interpretation*. Illustrated edition. New York London: W. W. Norton & Company, Chapters 1-3 (*)

Holland, Paul W. 1986. “Statistics and Causal Inference.” *Journal of the American Statistical Association* 81(396):945–60. doi: 10.2307/2289064.

Pager, Devah. 2003. “The Mark of a Criminal Record.” *American Journal of Sociology* 108(5):937–75. doi: 10.1086/374403.

Senn, Stephen. 2013. “Seven Myths of Randomisation in Clinical Trials.” *Statistics in Medicine* 32(9):1439–50. doi: 10.1002/sim.5713.

Sugeridas

Deaton, Angus, and Nancy Cartwright. 2018. “Understanding and Misunderstanding Randomized Controlled Trials.” *Social Science & Medicine* 210:2–21. doi: 10.1016/j.socscimed.2017.12.005.

Doan, Long, Natasha Quadlin, and Katharine Khanna. 2024. “Using Experiments to Study Families and Intimate Relationships.” *Journal of Marriage and Family* 86(5):1251–71. doi: 10.1111/jomf.12959.

Druckman, James N. 2022. *Experimental Thinking: A Primer on Social Science Experiments*. Cambridge: Cambridge University Press.

Elwert, Felix, Tamás Keller, and Andreas Kotsadam. 2023. “Rearranging the Desk Chairs: A Large Randomized Field Experiment on the Effects of Close Contact on Interethnic Relations.” *American Journal of Sociology* 128(6):1809–40. doi: 10.1086/724865.

Glennerster, Rachel, and Kudzai Takavarasha. 2013. *Running Randomized Evaluations: A Practical Guide*. Illustrated edition. Princeton, NJ: Princeton University Press.

Imbens, Guido W., and Donald B. Rubin. 2015. *Causal Inference for Statistics, Social, and Biomedical Sciences: An Introduction*. Cambridge: Cambridge University Press, Chapters 1-3

Kohavi, Ron. 2020. *Trustworthy Online Controlled Experiments: A Practical Guide to A/B Testing*. 1st edition. Cambridge: Cambridge University Press.

Pager, Devah, Rebecca Goldstein, Helen Ho, and Bruce Western. 2022. “Criminalizing Poverty: The Consequences of Court Fees in a Randomized Experiment.” *American Sociological Review* 87(3):529–53. doi: 10.1177/00031224221075783.

Quadlin, Natasha. 2018. “The Mark of a Woman’s Record: Gender and Academic Performance in Hiring.” *American Sociological Review* 83(2):331–60. doi: 10.1177/0003122418762291.

Rubin, Donald B. 2008. “For Objective Causal Inference, Design Trumps Analysis.” *The Annals of Applied Statistics* 2(3):808–40. doi: 10.1214/08-AOAS187.

Sävje, Fredrik. 2021. “Randomization Does Not Imply Unconfoundedness.”

Veltri, Giuseppe. 2023. *Designing Online Experiments for the Social Sciences*. 1st edition. Los Angeles London New Delhi Singapore Washington DC Melbourne: SAGE Publications Ltd.

Weisshaar, Katherine, Koji Chavez, and Tania Hutt. 2024. "Hiring Discrimination Under Pressures to Diversify: Gender, Race, and Diversity Commodification across Job Transitions in Software Engineering." *American Sociological Review* 89(3):584–613. doi: 10.1177/00031224241245706.

2 De supuestos a modelos

2.1 Modelo causal estructural como andamiajes de la investigación

Esenciales

Elwert, Felix, and Christopher Winship. 2014. "Endogenous Selection Bias: The Problem of Conditioning on a Collider Variable." *Annual Review of Sociology* 40(1):31–53. doi: 10.1146/annurev-soc-071913-043455. (**)

Morgan, Stephen L. and Christopher Winship (2014). *Counterfactuals and Causal Inference: Methods and Principles for Social Research* (2nd edition), Cambridge University Press, Chapter 3 (*)

Pearl, Judea, Madelyn Glymour, and Nicholas P. Jewell. 2016. *Causal Inference in Statistics: A Primer*. 1st edition. Chichester: Wiley, Chapter 3 (until section 3.7)

Sugeridas

Akimova, Evelina T., Richard Breen, David M. Brazel, and Melinda C. Mills. 2021. "Gene-Environment Dependencies Lead to Collider Bias in Models with Polygenic Scores." *Scientific Reports* 11(1):9457. doi: 10.1038/s41598-021-89020-x.

Bareinboim, Elias, Juan D. Correa, Duligur Ibeling, and Thomas Icard. 2022. "On Pearl's Hierarchy and the Foundations of Causal Inference." Pp. 507–56 in *Probabilistic and Causal Inference: The Works of Judea Pearl*. Vol. 36. New York, NY, USA: Association for Computing Machinery.

Dawid, A. P. 1995. "Causal Diagrams for Empirical Research: Discussion of 'Causal Diagrams for Empirical Research' by J. Pearl." *Biometrika* 82(4):689–90. doi: 10.1093/biomet/82.4.689.

Dawid, A. Philip. 2010. "Beware of the DAG!" Pp. 59–86 in *Proceedings of Workshop on Causality: Objectives and Assessment at NIPS 2008*. PMLR.

Greenland, Sander, Judea Pearl, and James M. Robins. 1999. "Causal Diagrams for Epidemiologic Research." *Epidemiology* 10(1):37–48. doi: 10.1097/00001648-199901000-00008.

Griffith, Gareth J., Tim T. Morris, Matthew J. Tudball, Annie Herbert, Giulia Mancano, Lindsey Pike, Gemma C. Sharp, Jonathan Sterne, Tom M. Palmer, George Davey Smith, Kate Tilling, Luisa Zuccolo, Neil M. Davies, and Gibran Hemani. 2020. "Collider Bias Undermines Our Understanding of COVID-19 Disease Risk and Severity." *Nature Communications* 11(1):5749. doi: 10.1038/s41467-020-19478-2.

Hernán, Miguel A., Sonia Hernández-Díaz, and James M. Robins. 2004. "A Structural Approach to Selection Bias" *Epidemiology* 15(5):615–25. doi: 10.1097/01.ede.0000135174.63482.43.

Imbens, Guido W., and Donald B. Rubin. 1995. "Causal Diagrams for Empirical Research: Discussion of 'Causal Diagrams for Empirical Research' by J. Pearl." *Biometrika* 82(4):694–95. doi: 10.1093/biomet/82.4.694.

Keele, Luke, Randolph T. Stevenson, and Felix Elwert. 2020. "The Causal Interpretation of Estimated Associations in Regression Models." *Political Science Research and Methods* 8(1):1–13. doi: 10.1017/psrm.2019.31.

Knight, Carly R., and Christopher Winship. 2013. "The Causal Implications of Mechanistic Thinking: Identification Using Directed Acyclic Graphs (DAGs)." Pp. 275–99 in *Handbook of Causal Analysis for Social Research*, *Handbooks of Sociology and Social Research*, edited by S. L. Morgan. Dordrecht: Springer Netherlands.

Knox, Dean, Will Lowe, and Jonathan Mummolo. 2020. "Administrative Records Mask Racially Biased Policing." *American Political Science Review* 114(3):619–37. doi: 10.1017/S0003055420000039.

Pearl, Judea. 1995a. "Causal Diagrams for Empirical Research." *Biometrika* 82(4):669–88. doi: 10.1093/biomet/82.4.669.

Pearl, Judea. 1995b. "Rejoinder to Discussions of Causal Diagrams for Empirical Research." *Biometrika* 82(4):702–10. doi: 10.2307/2337339.

Robins, James M. 1995. "Discussion of Causal Diagrams for Empirical Research by J. Pearl." *Biometrika* 82(4):695–98. doi: 10.2307/2337335.

Rosenbaum, Paul R. 1995. "Causal Diagrams for Empirical Research: Discussion of 'Causal Diagrams for Empirical Research' by J. Pearl." *Biometrika* 82(4):698–99. doi: 10.1093/biomet/82.4.698.

Shalizi, Cosma Rohilla, and Andrew C. Thomas. 2011. "Homophily and Contagion Are Generically Confounded in Observational Social Network Studies." *Sociological Methods & Research* 40(2):211–39. doi: 10.1177/0049124111404820.

Westreich, Daniel, and Sander Greenland. 2013. "The Table 2 Fallacy: Presenting and Interpreting Confounder and Modifier Coefficients." *American Journal of Epidemiology* 177(4):292–98. doi: 10.1093/aje/kws412.

2.2 Estrategias de identificación como recetas

Esenciales

Imbens, Guido W. 2024. "Causal Inference in the Social Sciences." *Annual Review of Statistics and Its Application* 11(Volume 11, 2024):123–52. doi: 10.1146/annurev-statistics-033121-114601.

Keele, Luke, and William Minozzi. 2013. "How Much Is Minnesota Like Wisconsin? Assumptions and Counterfactuals in Causal Inference with Observational Data." *Political Analysis* 21(2):193–216. doi: 10.1093/pan/mps041.

Sugeridas

Angrist, Joshua D., and Jörn-Steffen Pischke. 2010. "The Credibility Revolution in Empirical Economics: How Better Research Design Is Taking the Con out of Econometrics." *Journal of Economic Perspectives* 24(2):3–30. doi: 10.1257/jep.24.2.3.

Imbens, Guido W. 2020. "Potential Outcome and Directed Acyclic Graph Approaches to Causality: Relevance for Empirical Practice in Economics." *Journal of Economic Literature* 58(4):1129–79. doi: 10.1257/jel.20191597.

Lewbel, Arthur. 2019. “The Identification Zoo: Meanings of Identification in Econometrics.” *Journal of Economic Literature* 57(4):835–903. doi: 10.1257/jel.20181361.

Steiner, Peter M., Yongnam Kim, Courtney E. Hall, and Dan Su. 2017. “Graphical Models for Quasi-Experimental Designs.” *Sociological Methods & Research* 46(2):155–88. doi: 10.1177/0049124115582272.

Winship, Christopher, and Stephen L. Morgan. 1999. “The Estimation of Causal Effects from Observational Data.” *Annual Review of Sociology* 25(Volume 25, 1999):659–706. doi: 10.1146/annurev.soc.25.1.659.

3 Selección en base a observables

3.1 Modelando $E(Y|X)$: ajuste mediante regresión y estrategias de imputación

Esenciales

Angrist, Joshua D., Jorn-steffen Pischke, and Jörn-steffen Pischke. 2009. *Mostly Harmless Econometrics – An Empiricist’s Companion*. Illustrated edition. Princeton: Princeton University Press, Regression Chapter (*)

Cinelli, Carlos, Andrew Forney, and Judea Pearl. 2024. “A Crash Course in Good and Bad Controls.” *Sociological Methods & Research* 53(3):1071–1104. doi: 10.1177/00491241221099552.(**)

Chatton, Arthur, and Julia M. Rohrer. 2024. “The Causal Cookbook: Recipes for Propensity Scores, G-Computation, and Doubly Robust Standardization.” *Advances in Methods and Practices in Psychological Science* 7(1). doi: 10.1177/25152459241236149.

Sugeridas

Brand, Jennie E., and Yu Xie. 2010. “Who Benefits Most from College?: Evidence for Negative Selection in Heterogeneous Economic Returns to Higher Education.” *American Sociological Review* 75(2):273–302. doi: 10.1177/0003122410363567.

Breen, Richard, Seongsoo Choi, and Anders Holm. 2015. “Heterogeneous Causal Effects and Sample Selection Bias.” *Sociological Science* 2:351–69. doi: 10.15195/v2.a17.

Bruns-Smith, David, Oliver Dukes, Avi Feller, and Elizabeth L. Ogburn. 2024. “Augmented Balancing Weights as Linear Regression.”

Chattopadhyay, Ambarish, and José R. Zubizarreta. 2023. “On the Implied Weights of Linear Regression for Causal Inference.” *Biometrika* 110(3):615–29. doi: 10.1093/biomet/asac058.

Goldsmith-Pinkham, Paul, Peter Hull, and Michal Kolesár. 2024. “Contamination Bias in Linear Regressions.” *American Economic Review* 114(12):4015–51. doi: 10.1257/aer.20221116.

Hainmueller, Jens, and Chad Hazlett. 2014. “Kernel Regularized Least Squares: Reducing Misspecification Bias with a Flexible and Interpretable Machine Learning Approach.” *Political Analysis* 22(2):143–68. doi: 10.1093/pan/mpt019.

Hazlett, Chad, and Tanvi Shinkre. 2024. “Demystifying and Avoiding the OLS ‘Weighting Problem’: Unmodeled Heterogeneity and Straightforward Solutions.”

Imbens, Guido, and Yiqing Xu. 2024. “LaLonde (1986) after Nearly Four Decades: Lessons Learned.”

Mazrekaj, Deni, Kristof De Witte, and Sofie Cabus. 2020. “School Outcomes of Children Raised by Same-Sex Parents: Evidence from Administrative Panel Data.” *American Sociological Review* 85(5):830–56. doi: 10.1177/0003122420957249.

Passaretta, Giampiero, and Jan Skopek. 2021. “Does Schooling Decrease Socioeconomic Inequality in Early Achievement? A Differential Exposure Approach.” *American Sociological Review* 86(6):1017–42. doi: 10.1177/00031224211049188.

3.2 Modelando $E(D|X)$: emparejamiento y ponderaciones

Esenciales

Hainmueller, Jens. 2012. “Entropy Balancing for Causal Effects: A Multivariate Reweighting Method to Produce Balanced Samples in Observational Studies.” *Political Analysis* 20(1):25–46. doi: 10.1093/pan/mpr025.

Stuart, Elizabeth A. 2010. “Matching Methods for Causal Inference: A Review and a Look Forward.” *Statistical Science* 25(1):1–21. doi: 10.1214/09-STS313.

Zhou, Xiang. 2019. “Equalization or Selection? Reassessing the ‘Meritocratic Power’ of a College Degree in Intergenerational Income Mobility.” *American Sociological Review* 84(3):459–85. doi: 10.1177/0003122419844992.

Sugeridas

Abadie, Alberto, and Guido W. Imbens. 2011. “Bias-Corrected Matching Estimators for Average Treatment Effects.” *Journal of Business & Economic Statistics* 29(1):1–11. doi: 10.1198/jbes.2009.07333.

Abadie, Alberto, and Guido W. Imbens. 2016. “Matching on the Estimated Propensity Score.” *Econometrica* 84(2):781–807. doi: 10.3982/ECTA11293.

Brand, Jennie E., and Juli Simon Thomas. 2014. “Job Displacement among Single Mothers: Effects on Children’s Outcomes in Young Adulthood.” *American Journal of Sociology* 119(4):955–1001. doi: 10.1086/675409.

Chattopadhyay, Ambarish, Christopher H. Hase, and José R. Zubizarreta. 2020. “Balancing vs Modeling Approaches to Weighting in Practice.” *Statistics in Medicine* 39(24):3227–54. doi: 10.1002/sim.8659.

Dehejia, Rajeev H., and Sadek Wahba. 1999. “Causal Effects in Nonexperimental Studies: Reevaluating the Evaluation of Training Programs.” *Journal of the American Statistical Association* 94(448):1053–62. doi: 10.2307/2669919.

Dehejia, Rajeev H., and Sadek Wahba. 2002. “Propensity Score-Matching Methods for Nonexperimental Causal Studies.” *The Review of Economics and Statistics* 84(1):151–61.

- Hazlett, Chad. 2016. “Kernel Balancing: A Flexible Non-Parametric Weighting Procedure for Estimating Causal Effects.” SSRN Electronic Journal. doi: 10.2139/ssrn.2746753.
- Imbens, Guido W. 2015. “Matching Methods in Practice: Three Examples.” *The Journal of Human Resources* 50(2):373–419.
- Kim, Kwangho, Bijan A. Niknam, and José R. Zubizarreta. 2024. “Scalable Kernel Balancing Weights in a Nationwide Observational Study of Hospital Profit Status and Heart Attack Outcomes.” *Biostatistics* 25(3):736–53. doi: 10.1093/biostatistics/kxad032.
- Morgan, Stephen L., and David J. Harding. 2006. “Matching Estimators of Causal Effects: Prospects and Pitfalls in Theory and Practice.” *Sociological Methods & Research* 35(1):3–60. doi: 10.1177/0049124106289164.
- Rosenbaum, Paul R. 2009. *Design of Observational Studies*. 2010th edition. New York: Springer-Verlag New York Inc.
- Rosenbaum, Paul R. 2020. “Modern Algorithms for Matching in Observational Studies.” *Annual Review of Statistics and Its Application* 7(Volume 7, 2020):143–76. doi: 10.1146/annurev-statistics-031219-041058.
- Rosenbaum, Paul R., and Donald B. Rubin. 1983. “The Central Role of the Propensity Score in Observational Studies for Causal Effects.” *Biometrika* 70(1):41–55. doi: 10.1093/biomet/70.1.41. Rubin, Donald B. 2006. *Matched Sampling for Causal Effects*. 1st edition. Cambridge University Press.
- Sekhon, Jasjeet S. 2009. “Opiates for the Matches: Matching Methods for Causal Inference.” *Annual Review of Political Science* 12(1):487–508. doi: 10.1146/annurev.polisci.11.060606.135444.
- Wolfe, Joseph D., Mieke Beth Thomeer, and Shawn Bauldry. 2024. “Twentieth-Century Change in the Educational Costs of Adolescent Childbearing.” *American Journal of Sociology* 129(6):1763–91. doi: 10.1086/729819.
- Zubizarreta, José R. 2015. “Stable Weights That Balance Covariates for Estimation With Incomplete Outcome Data.” *Journal of the American Statistical Association* 110(511):910–22. doi: 10.1080/01621459.2015.1023805.
- Zubizarreta, José R., Ricardo D. Paredes, and Paul R. Rosenbaum. 2014. “Matching for Balance, Pairing for Heterogeneity in an Observational Study of the Effectiveness of for-Profit and Not-for-Profit High Schools in Chile.” *The Annals of Applied Statistics* 8(1):204–31. doi: 10.1214/13-AOAS713.

3.3 Métodos de aprendizaje automático

Esenciales

- Glynn, Adam N., and Kevin M. Quinn. 2010. “An Introduction to the Augmented Inverse Propensity Weighted Estimator.” *Political Analysis* 18(1):36–56. doi: 10.1093/pan/mpp036.
- Koch, Bernard J., Tim Sainburg, Pablo Geraldo Bastías, Song Jiang, Yizhou Sun, and Jacob G. Foster. 2024. “A Primer on Deep Learning for Causal Inference.” *Sociological Methods & Research* 00491241241234866. doi: 10.1177/00491241241234866.

Lundberg, Ian, Jennie E. Brand, and Nanum Jeon. 2022. "Researcher Reasoning Meets Computational Capacity: Machine Learning for Social Science." *Social Science Research* 108:102807. doi: 10.1016/j.ssresearch.2022.102807.

Sugeridas

Athey, Susan, and Guido W. Imbens. 2019. "Machine Learning Methods That Economists Should Know About." *Annual Review of Economics* 11(Volume 11, 2019):685–725. doi: 10.1146/annurev-economics-080217-053433.

Athey, Susan, Julie Tibshirani, and Stefan Wager. 2019. "Generalized Random Forests." *The Annals of Statistics* 47(2):1148–78. doi: 10.1214/18-AOS1709.

Brand, Jennie E., Jiahui Xu, Bernard Koch, and Pablo Geraldo. 2021. "Uncovering Sociological Effect Heterogeneity Using Tree-Based Machine Learning." *Sociological Methodology* 51(2):189–223. doi: 10.1177/0081175021993503.

Brand, Jennie E., Xiang Zhou, and Yu Xie. 2023. "Recent Developments in Causal Inference and Machine Learning." *Annual Review of Sociology* 49(Volume 49, 2023):81–110. doi: 10.1146/annurev-soc-030420-015345.

Chatton, Arthur, Florent Le Borgne, Clémence Leyrat, Florence Gillaizeau, Chloé Rousseau, Laetitia Barbin, David Laplaud, Maxime Léger, Bruno Giraudeau, and Yohann Foucher. 2020. "G-Computation, Propensity Score-Based Methods, and Targeted Maximum Likelihood Estimator for Causal Inference with Different Covariates Sets: A Comparative Simulation Study." *Scientific Reports* 10(1):9219. doi: 10.1038/s41598-020-65917-x.

Chernozhukov, Victor, Denis Chetverikov, Mert Demirer, Esther Duflo, Christian Hansen, Whitney Newey, and James Robins. 2018. "Double/Debiased Machine Learning for Treatment and Structural Parameters." *The Econometrics Journal* 21(1):C1–68. doi: 10.1111/ectj.12097.

Chernozhukov, Victor, Christian Hansen, Nathan Kallus, Martin Spindler, and Vasilis Syrgkanis. 2024. "Applied Causal Inference Powered by ML and AI."

Díaz, Iván. 2020. "Machine Learning in the Estimation of Causal Effects: Targeted Minimum Loss-Based Estimation and Double/Debiased Machine Learning." *Biostatistics* 21(2):353–58. doi: 10.1093/biostatistics/kxz042.

Fuhr, Jonathan, Philipp Berens, and Dominik Papies. 2024. "Estimating Causal Effects with Double Machine Learning – A Method Evaluation."

Hahn, P. Richard, Carlos M. Carvalho, David Puelz, and Jingyu He. 2018. "Regularization and Confounding in Linear Regression for Treatment Effect Estimation." *Bayesian Analysis* 13(1). doi: 10.1214/16-BA1044.

Hoffmann, Nathan I. 2023. "Double Robust, Flexible Adjustment Methods for Causal Inference: An Overview and an Evaluation."

Laan, Mark J. van der, and Sherri Rose. 2011. *Targeted Learning: Causal Inference for Observational and Experimental Data*. 2011th edition. New York: Springer.

Laan, Mark van der, and Susan Gruber. 2016. "One-Step Targeted Minimum Loss-Based Estimation Based on Universal Least Favorable One-Dimensional Submodels." *The International Journal of Biostatistics* 12(1):351–78. doi: 10.1515/ijb-2015-0054.

Qiu, Hongxiang. 2024. “Non-Plug-In Estimators Could Outperform Plug-In Estimators: A Cautionary Note and a Diagnosis.”

Robins, James M., Andrea Rotnitzky, and Lue Ping Zhao. 1994. “Estimation of Regression Coefficients When Some Regressors Are Not Always Observed.” *Journal of the American Statistical Association* 89(427):846–66. doi: 10.1080/01621459.1994.10476818.

Tan, Zhiqiang. 2010. “Bounded, Efficient and Doubly Robust Estimation with Inverse Weighting.” *Biometrika* 97(3):661–82.

van der Laan, Mark, Jeremy Coyle, Nima Hejazi, Ivana Malenica, Rachael Phillips, and Alan Hubbard. 2024. *Targeted Learning in R: Causal Data Science with the Tlverse Software Ecosystem*.

4 Asignación cuasi-aleatoria

4.1 Variables instrumentales

Esenciales

Felton, Chris, and Brandon M. Stewart. 2024. “Handle with Care: A Sociologist’s Guide to Causal Inference with Instrumental Variables.” *Sociological Methods & Research* 00491241241235900. doi: 10.1177/00491241241235900. (*)

Lal, Apoorva, Mackenzie Lockhart, Yiqing Xu, and Ziwen Zu. 2024. “How Much Should We Trust Instrumental Variable Estimates in Political Science? Practical Advice Based on 67 Replicated Studies.” *Political Analysis* 32(4):521–40. doi: 10.1017/pan.2024.2.

Sharkey, Patrick, Gerard Torrats-Espinosa, and Delaram Takyar. 2017. “Community and the Crime Decline: The Causal Effect of Local Nonprofits on Violent Crime.” *American Sociological Review* 82(6):1214–40. doi: 10.1177/0003122417736289. (**)

Sugeridas

Angrist, Joshua D., Guido W. Imbens, and Donald B. Rubin. 1996. “Identification of Causal Effects Using Instrumental Variables.” *Journal of the American Statistical Association* 91(434):444–55. doi: 10.1080/01621459.1996.10476902.

Borusyak, Kirill, and Peter Hull. 2023. “Nonrandom Exposure to Exogenous Shocks.” *Econometrica* 91(6):2155–85. doi: 10.3982/ECTA19367.

Doyle, Joseph, John Graves, and Jonathan Gruber. 2019. “Evaluating Measures of Hospital Quality: Evidence from Ambulance Referral Patterns.” *The Review of Economics and Statistics* 101(5):841–52. doi: 10.1162/rest_a_00804.

Greenland, Sander. 2000. “An Introduction to Instrumental Variables for Epidemiologists.” *International Journal of Epidemiology* 29(4):722–29. doi: 10.1093/ije/29.4.722.

Harding, David J., Jeffrey D. Morenoff, Anh P. Nguyen, and Shawn D. Bushway. 2018. “Imprisonment and Labor Market Outcomes: Evidence from a Natural Experiment.” *American Journal of Sociology* 124(1):49–110. doi: 10.1086/697507.

- Hernán, Miguel A., and James M. Robins. 2006. "Instruments for Causal Inference: An Epidemiologist's Dream?" *Epidemiology* 17(4):360. doi: 10.1097/01.ede.0000222409.00878.37.
- Imbens, Guido W. 2010. "Better LATE Than Nothing: Some Comments on Deaton (2009) and Heckman and Urzua (2009)." *Journal of Economic Literature* 48(2):399–423. doi: 10.1257/jel.48.2.399.
- Imbens, Guido W. 2014. "Instrumental Variables: An Econometrician's Perspective." *Statistical Science* 29(3):323–58. doi: 10.1214/14-STS480.
- Imbens, Guido W., and Donald B. Rubin. 1997. "Bayesian Inference for Causal Effects in Randomized Experiments with Noncompliance." *The Annals of Statistics* 25(1):305–27. doi: 10.1214/aos/1034276631.
- Kirk, David S. 2009. "A Natural Experiment on Residential Change and Recidivism: Lessons from Hurricane Katrina." *American Sociological Review* 74(3):484–505. doi: 10.1177/000312240907400308.
- Labrecque, Jeremy, and Sonja A. Swanson. 2018. "Understanding the Assumptions Underlying Instrumental Variable Analyses: A Brief Review of Falsification Strategies and Related Tools." *Current Epidemiology Reports* 5(3):214–20. doi: 10.1007/s40471-018-0152-1.
- Legewie, Joscha, and Thomas A. DiPrete. 2012. "School Context and the Gender Gap in Educational Achievement." *American Sociological Review* 77(3):463–85. doi: 10.1177/0003122412440802.
- Mellon, Jonathan. 2024. "Rain, Rain, Go Away: 194 Potential Exclusion-Restriction Violations for Studies Using Weather as an Instrumental Variable." *American Journal of Political Science* n/a(n/a). doi: 10.1111/ajps.12894.
- Robins, James M., and Sander Greenland. 1996. "Identification of Causal Effects Using Instrumental Variables: Comment." *Journal of the American Statistical Association* 91(434):456–58. doi: 10.2307/2291630.
- Sovey, Allison J., and Donald P. Green. 2011. "Instrumental Variables Estimation in Political Science: A Readers' Guide." *American Journal of Political Science* 55(1):188–200. doi: 10.1111/j.1540-5907.2010.00477.x.
- Swanson, Sonja A., and Miguel A. Hernán. 2013. "Commentary: How to Report Instrumental Variable Analyses (Suggestions Welcome)." *Epidemiology* 24(3):370. doi: 10.1097/EDE.0b013e31828d0590.
- Swanson, Sonja A., and Miguel A. Hernán. 2014. "Think Globally, Act Globally: An Epidemiologist's Perspective on Instrumental Variable Estimation." *Statistical Science* 29(3):371–74.
- Swanson, Sonja A., and Miguel A. Hernán. 2018. "The Challenging Interpretation of Instrumental Variable Estimates under Monotonicity." *International Journal of Epidemiology* 47(4):1289–97. doi: 10.1093/ije/dyx038.
- de Vaan, Mathijs, and Toby Stuart. 2019. "Does Intra-Household Contagion Cause an Increase in Prescription Opioid Use?" *American Sociological Review* 84(4):577–608. doi: 10.1177/0003122419857797.

4.2 Regresión discontinua

Esenciales

Rauscher, Emily. 2016. “Does Educational Equality Increase Mobility? Exploiting Nineteenth-Century U.S. Compulsory Schooling Laws.” *American Journal of Sociology* 121(6):1697–1761. doi: 10.1086/685443.

Skovron, Christopher, and Rocio Titiunik. 2015. “A Practical Guide to Regression Discontinuity Designs in Political Science.”, Unpublished manuscript (*)

Sugeridas

Aksoy, Ozan. 2016. “Regression Discontinuity Diagnostics Reveal Statistical Anomalies in Turkish Elections.” *Electoral Studies* 44:284–92. doi: 10.1016/j.electstud.2016.09.005.

Aksoy, Ozan, and Francesco C. Billari. 2018. “Political Islam, Marriage, and Fertility: Evidence from a Natural Experiment.” *American Journal of Sociology* 123(5):1296–1340. doi: 10.1086/696193.

Banks, James, and Fabrizio Mazzonna. 2012. “The Effect of Education on Old Age Cognitive Abilities: Evidence from a Regression Discontinuity Design.” *The Economic Journal* 122(560):418–48. doi: 10.1111/j.1468-0297.2012.02499.x.

Bertoli, Andrew, and Chad Hazlett. 2024. “Seeing Like a District: Understanding What Close-Election Designs for Leader Characteristics Can and Cannot Tell Us.”, Unpublished manuscript

Cattaneo, Matias D., Nicolás Idrobo, and Rocío Titiunik. 2020. *A Practical Introduction to Regression Discontinuity Designs: Foundations*. Cambridge University Press.

Cattaneo, Matias D., and Rocío Titiunik. 2022. “Regression Discontinuity Designs.” *Annual Review of Economics* 14(Volume 14, 2022):821–51. doi: 10.1146/annurev-economics-051520-021409.

Cook, Thomas D. 2008. “‘Waiting for Life to Arrive’: A History of the Regression-Discontinuity Design in Psychology, Statistics and Economics.” *Journal of Econometrics* 142(2):636–54. doi: 10.1016/j.jeconom.2007.05.002.

De La Cuesta, Brandon, and Kosuke Imai. 2016. “Misunderstandings About the Regression Discontinuity Design in the Study of Close Elections.” *Annual Review of Political Science* 19(1):375–96. doi: 10.1146/annurev-polisci-032015-010115.

Eckles, Dean, Nikolaos Ignatiadis, Stefan Wager, and Han Wu. 2023. “Noise-Induced Randomization in Regression Discontinuity Designs.”

Eggers, Andrew C., Anthony Fowler, Jens Hainmueller, Andrew B. Hall, and James M. Snyder Jr. 2015. “On the Validity of the Regression Discontinuity Design for Estimating Electoral Effects: New Evidence from Over 40,000 Close Races.” *American Journal of Political Science* 59(1):259–74. doi: 10.1111/ajps.12127.

Gilraine, Michael. 2023. “Air Filters, Pollution, and Student Achievement.” *Journal of Human Resources*. doi: 10.3368/jhr.0421-11642R2. (Then go and read [this](#) and [this](#))

Hausman, Catherine, and David S. Rapson. 2018. “Regression Discontinuity in Time: Considerations for Empirical Applications.” *Annual Review of Resource Economics* 10(Volume 10, 2018):533–52. doi: 10.1146/annurev-resource-121517-033306.

Imbens, Guido W., and Thomas Lemieux. 2008. "Regression Discontinuity Designs: A Guide to Practice." *Journal of Econometrics* 142(2):615–35. doi: 10.1016/j.jeconom.2007.05.001.

Lee, David S., and Thomas Lemieux. 2010. "Regression Discontinuity Designs in Economics." *Journal of Economic Literature* 48(2):281–355. doi: 10.1257/jel.48.2.281.

Roman, Marcel, Klara Fredriksson, Chris Cassella, Derek Epp, and Hannah Walker. 2025. "The George Floyd Effect: How Protests and Public Scrutiny Changed Police Behavior.", Draft

Sekhon, Jasjeet S., and Rocío Titiunik. 2012. "When Natural Experiments Are Neither Natural nor Experiments." *American Political Science Review* 106(1):35–57. doi: 10.1017/S0003055411000542.

5 Tendencias paralelas y extensiones

5.1 Diferencia en diferencias

Esenciales

Angrist, Joshua D., Jorn-steffen Pischke, and Jörn-steffen Pischke. 2009. *Mostly Harmless Econometrics – An Empiricist's Companion*. Illustrated edition. Princeton: Princeton University Press, Chapter 5 (until section 5.2) (*)

Roth, Jonathan, Pedro H. C. Sant'Anna, Alyssa Bilinski, and John Poe. 2023. "What's Trending in Difference-in-Differences? A Synthesis of the Recent Econometrics Literature." *Journal of Econometrics* 235(2):2218–44. doi: 10.1016/j.jeconom.2023.03.008.

Torche, Florencia, and Catherine Sirois. 2019. "Restrictive Immigration Law and Birth Outcomes of Immigrant Women." *American Journal of Epidemiology* 188(1):24–33. doi: 10.1093/aje/kwy218. (**)

Sugeridas

Borusyak, Kirill, Xavier Jaravel, and Jann Spiess. 2024. "Revisiting Event-Study Designs: Robust and Efficient Estimation." *The Review of Economic Studies* 91(6):3253–85. doi: 10.1093/restud/rdae007.

Card, David, and Alan Krueger. 1993. *Minimum Wages and Employment: A Case Study of the Fast Food Industry in New Jersey and Pennsylvania*. w4509. Cambridge, MA: National Bureau of Economic Research. doi: 10.3386/w4509.

Card, David, and Alan B. Krueger. 2000. "Minimum Wages and Employment: A Case Study of the Fast-Food Industry in New Jersey and Pennsylvania: Reply." *The American Economic Review* 90(5):1397–1420.

Dafoe, Allan. 2018. "Nonparametric Identification of Causal Effects under Temporal Dependence." *Sociological Methods & Research* 47(2):136–68. doi: 10.1177/0049124115613784.

Donahue, Samuel Thomas, and Gerard Torrats-Espinosa. 2024. "From the Block to the Beat: How Violence in Officers' Neighborhoods Influences Racially Biased Policing." *American Journal of Sociology* 734296. doi: 10.1086/734296.

- Egami, Naoki, and Soichiro Yamauchi. 2023. "Using Multiple Pretreatment Periods to Improve Difference-in-Differences and Staggered Adoption Designs." *Political Analysis* 31(2):195–212. doi: 10.1017/pan.2022.8.
- Freyaldenhoven, Simon, Christian Hansen, Jorge Pérez Pérez, and Jesse M. Shapiro. 2021. "Visualization, Identification, and Estimation in the Linear Panel Event-Study Design."
- Freyaldenhoven, Simon, Christian Hansen, and Jesse M. Shapiro. 2019. "Pre-Event Trends in the Panel Event-Study Design." *American Economic Review* 109(9):3307–38. doi: 10.1257/aer.20180609.
- Imai, Kosuke, and In Song Kim. 2019. "When Should We Use Unit Fixed Effects Regression Models for Causal Inference with Longitudinal Data?" *American Journal of Political Science* 63(2):467–90. doi: 10.1111/ajps.12417.
- Legewie, Joscha, and Jeffrey Fagan. 2019. "Aggressive Policing and the Educational Performance of Minority Youth." *American Sociological Review* 84(2):220–47. doi: 10.1177/0003122419826020.
- Marcus, Michelle, and Pedro H. C. Sant'Anna. 2021. "The Role of Parallel Trends in Event Study Settings: An Application to Environmental Economics." *Journal of the Association of Environmental and Resource Economists* 8(2):235–75. doi: 10.1086/711509.
- Miller, Douglas L. 2023. "An Introductory Guide to Event Study Models." *Journal of Economic Perspectives* 37(2):203–30. doi: 10.1257/jep.37.2.203.
- Rüttenauer, Tobias, and Ozan Aksoy. 2024. "When Can We Use Two-Way Fixed-Effects (TWFE): A Comparison of TWFE and Novel Dynamic Difference-in-Differences Estimators."
- Tach, Laura, and Allison Dwyer Emory. 2017. "Public Housing Redevelopment, Neighborhood Change, and the Restructuring of Urban Inequality." *American Journal of Sociology* 123(3):686–739. doi: 10.1086/695468.

5.2 Variaciones y extensiones

Requiried

- Abadie, Alberto, Alexis Diamond, and Jens Hainmueller. 2010. "Synthetic Control Methods for Comparative Case Studies: Estimating the Effect of California's Tobacco Control Program." *Journal of the American Statistical Association* 105(490):493–505. doi: 10.1198/jasa.2009.ap08746. (**)
- Liu, Licheng, Ye Wang, and Yiqing Xu. 2024. "A Practical Guide to Counterfactual Estimators for Causal Inference with Time-Series Cross-Sectional Data." *American Journal of Political Science* 68(1):160–76. doi: 10.1111/ajps.12723.(*)

Sugeridas

- Abadie, Alberto. 2021. "Using Synthetic Controls: Feasibility, Data Requirements, and Methodological Aspects." *Journal of Economic Literature* 59(2):391–425. doi: 10.1257/jel.20191450.
- Abadie, Alberto, Susan Athey, Guido W. Imbens, and Jeffrey M. Wooldridge. 2023. "When Should You Adjust Standard Errors for Clustering?" *The Quarterly Journal of Economics* 138(1):1–35. doi: 10.1093/qje/qjac038.

- Abadie, Alberto, Alexis Diamond, and Jens Hainmueller. 2015. "Comparative Politics and the Synthetic Control Method." *American Journal of Political Science* 59(2):495–510. doi: 10.1111/ajps.12116.
- Arkhangelsky, Dmitry, Susan Athey, David A. Hirshberg, Guido W. Imbens, and Stefan Wager. 2021. "Synthetic Difference-in-Differences." *American Economic Review* 111(12):4088–4118. doi: 10.1257/aer.20190159.
- Athey, Susan, Mohsen Bayati, Nikolay Doudchenko, Guido Imbens, and Khashayar Khosravi. 2021. "Matrix Completion Methods for Causal Panel Data Models." *Journal of the American Statistical Association* 116(536):1716–30. doi: 10.1080/01621459.2021.1891924.
- Ben-Michael, Eli, Avi Feller, and Jesse Rothstein. 2021. "The Augmented Synthetic Control Method." *Journal of the American Statistical Association* 116(536):1789–1803. doi: 10.1080/01621459.2021.1929245.
- Callaway, Brantly, and Pedro H. C. Sant'Anna. 2021. "Difference-in-Differences with Multiple Time Periods." *Journal of Econometrics* 225(2):200–230. doi: 10.1016/j.jeconom.2020.12.001.
- Cengiz, Doruk, Arindrajit Dube, Attila Lindner, and Ben Zipperer. 2019. "The Effect of Minimum Wages on Low-Wage Jobs*." *The Quarterly Journal of Economics* 134(3):1405–54. doi: 10.1093/qje/qjz014.
- de Chaisemartin, Clément, and Xavier D'Haultfœuille. 2020. "Two-Way Fixed Effects Estimators with Heterogeneous Treatment Effects." *American Economic Review* 110(9):2964–96. doi: 10.1257/aer.20181169.
- Dettmann, Eva, Alexander Giebler, and Antje Weyh. 2020. "Flexpaneldid: A Stata Toolbox for Causal Analysis with Varying Treatment Time and Duration."
- Doudchenko, Nikolay, and Guido W. Imbens. 2016. "Balancing, Regression, Difference-In-Differences and Synthetic Control Methods: A Synthesis."
- Goodman-Bacon, Andrew. 2021. "Difference-in-Differences with Variation in Treatment Timing." *Journal of Econometrics* 225(2):254–77. doi: 10.1016/j.jeconom.2021.03.014.
- Hazlett, Chad, and Yiqing Xu. 2018. "Trajectory Balancing: A General Reweighting Approach to Causal Inference With Time-Series Cross-Sectional Data."
- Marx, Philip, Elie Tamer, and Xun Tang. 2024. "Parallel Trends and Dynamic Choices." *Journal of Political Economy Microeconomics* 2(1):129–71. doi: 10.1086/727363.
- Rambachan, Ashesh, and Jonathan Roth. 2023. "A More Credible Approach to Parallel Trends." *The Review of Economic Studies* 90(5):2555–91. doi: 10.1093/restud/rdad018.
- Roth, Jonathan. 2022. "Pretest with Caution: Event-Study Estimates after Testing for Parallel Trends." *American Economic Review: Insights* 4(3):305–22. doi: 10.1257/aeri.20210236.
- Roth, Jonathan, and Pedro H. C. Sant'Anna. 2023. "Efficient Estimation for Staggered Rollout Designs." *Journal of Political Economy Microeconomics* 1(4):669–709. doi: 10.1086/726581.
- Sant'Anna, Pedro H. C., and Jun Zhao. 2020. "Doubly Robust Difference-in-Differences Estimators." *Journal of Econometrics* 219(1):101–22. doi: 10.1016/j.jeconom.2020.06.003.

Shen, Dennis, Peng Ding, Jasjeet Sekhon, and Bin Yu. 2023. "Same Root Different Leaves: Time Series and Cross-Sectional Methods in Panel Data." *Econometrica* 91(6):2125–54. doi: 10.3982/ECTA21248.

Sun, Liyang, and Sarah Abraham. 2021. "Estimating Dynamic Treatment Effects in Event Studies with Heterogeneous Treatment Effects." *Journal of Econometrics* 225(2):175–99. doi: 10.1016/j.jeconom.2020.09.006.

Xu, Yiqing. 2017. "Generalized Synthetic Control Method: Causal Inference with Interactive Fixed Effects Models." *Political Analysis* 25(1):57–76. doi: 10.1017/pan.2016.2.

6 Análisis de sensibilidad

Altonji, Joseph G., Todd E. Elder, and Christopher R. Taber. 2005. "Selection on Observed and Unobserved Variables: Assessing the Effectiveness of Catholic Schools." *Journal of Political Economy* 113(1):151–84. doi: 10.1086/426036.

Chernozhukov, Victor, Carlos Cinelli, Whitney Newey, Amit Sharma, and Vasilis Syrgkanis. 2024. "Long Story Short: Omitted Variable Bias in Causal Machine Learning."

Cinelli, Carlos, and Chad Hazlett. 2020. "Making Sense of Sensitivity: Extending Omitted Variable Bias." *Journal of the Royal Statistical Society Series B: Statistical Methodology* 82(1):39–67. doi: 10.1111/rssb.12348.

Huang, Melody, and Samuel D. Pimentel. 2024. "Variance-Based Sensitivity Analysis for Weighting Estimators Results in More Informative Bounds." *Biometrika asae040*. doi: 10.1093/biomet/asae040.

Masten, Matthew A., and Alexandre Poirier. 2024. "The Effect of Omitted Variables on the Sign of Regression Coefficients."

Oster, Emily. 2019. "Unobservable Selection and Coefficient Stability: Theory and Evidence." *Journal of Business & Economic Statistics* 37(2):187–204. doi: 10.1080/07350015.2016.1227711.

Rosenbaum, Paul R. 2002. "Sensitivity to Hidden Bias." Pp. 105–70 in *Observational Studies*, edited by P. R. Rosenbaum. New York, NY: Springer.

VanderWeele, Tyler J., and Onyebuchi A. Arah. 2011. "Bias Formulas for Sensitivity Analysis of Unmeasured Confounding for General Outcomes, Treatments, and Confounders." *Epidemiology* 22(1):42–52.

6.1 Generalización y transporte de efectos

Aronow, Peter M., and Cyrus Samii. 2016. "Does Regression Produce Representative Estimates of Causal Effects?" *American Journal of Political Science* 60(1):250–67. doi: 10.1111/ajps.12185.

Bareinboim, Elias, and Judea Pearl. 2016. "Causal Inference and the Data-Fusion Problem." *Proceedings of the National Academy of Sciences* 113(27):7345–52. doi: 10.1073/pnas.1510507113.

Egami, Naoki, and Erin Hartman. 2021. "Covariate Selection for Generalizing Experimental Results: Application to a Large-Scale Development Program in Uganda." *Journal of the Royal Statistical Society Series A: Statistics in Society* 184(4):1524–48. doi: 10.1111/rssa.12734.

Egami, Naoki, and Erin Hartman. 2023. "Elements of External Validity: Framework, Design, and Analysis." *American Political Science Review* 117(3):1070–88. doi: 10.1017/S0003055422000880.

Huang, Melody, Naoki Egami, Erin Hartman, and Luke Miratrix. 2023. "Leveraging Population Outcomes to Improve the Generalization of Experimental Results: Application to the JTPA Study." *The Annals of Applied Statistics* 17(3):2139–64. doi: 10.1214/22-AOAS1712.

Tipton, Elizabeth, and Erin Hartman. 2023. "Generalizability and Transportability." in *Handbook of Matching and Weighting Adjustments for Causal Inference*. Chapman and Hall/CRC.