## ASSIGNMENT 2 — OPERATIONAL SEMANTICS

## COMP 3010 — ORGANIZATION OF PROGRAMMING LANGUAGES

## 1. Big-Step Operational Semantics

For exercises 1 to 3, consider this definition of conditional arithmetic in terms of a big-step operational semantics.

Syntax of arithmetic (A) and boolean (B) expressions, and natural number (n) and boolean (b) values:

$$\begin{split} n &:= 0 \mid 1 \mid 2 \mid 3 \mid \dots \\ A &:= \underline{n} \mid \mathtt{plus}(A_1, A_2) \mid \mathtt{minus}(A_1, A_2) \mid \mathtt{times}(A_1, A_2) \mid \mathtt{div}(A_1, A_2) \mid \mathtt{if}(B, A_1, A_2) \\ b &:= \mathit{true} \mid \mathit{false} \\ B &:= \underline{b} \mid \mathtt{and}(B_1, B_2) \mid \mathtt{or}(B_1, B_2) \mid \mathtt{zero}?(A) \end{split}$$

Big-step operational semantics of arithmetic expressions  $(A \downarrow n)$ :

$$\frac{A_1 \Downarrow n_1 \quad A_2 \Downarrow n_2 \quad n_1 + n_2 = n}{\operatorname{plus}(A_1, A_2) \Downarrow n} \qquad \frac{A_1 \Downarrow n_1 \quad A_2 \Downarrow n_2 \quad n_1 - n_2 = n \quad n_1 \geq n_2}{\operatorname{minus}(A_1, A_2) \Downarrow n}$$
 
$$\frac{A_1 \Downarrow n_1 \quad A_2 \Downarrow n_2 \quad n_1 \times n_2 = n}{\operatorname{times}(A_1, A_2) \Downarrow n} \qquad \frac{A_1 \Downarrow n_1 \quad A_2 \Downarrow n_2 \quad n_1 \div n_2 = n \quad n_2 \neq 0}{\operatorname{div}(A_1, A_2) \Downarrow n}$$
 
$$\frac{B \Downarrow \operatorname{true} \quad A_1 \Downarrow n_1}{\operatorname{if}(B, A_1, A_2) \Downarrow n_1} \qquad \frac{B \Downarrow \operatorname{false} \quad A_2 \Downarrow n_2}{\operatorname{if}(B, A_1, A_2) \Downarrow n_2}$$

Big-step operational semantics of boolean expressions  $(B \downarrow b)$ :

$$\begin{array}{ccc} & \overline{true} \Downarrow true & \overline{false} \Downarrow false \\ \hline B_1 \Downarrow true & B_2 \Downarrow b & B_1 \Downarrow false \\ \hline \text{and}(B_1, B_2) \Downarrow b & \text{and}(B_1, B_2) \Downarrow false \\ \hline \\ B_1 \Downarrow false & B_2 \Downarrow b & B_1 \Downarrow true \\ \hline \text{or}(B_1, B_2) \Downarrow b & \text{or}(B_1, B_2) \Downarrow true \\ \hline \\ A \Downarrow 0 & \text{zero}?(A) \Downarrow true & \hline \\ \hline \\ \hline \\ \hline \end{array}$$

For the natural number division  $n_1 \div n_2$  returns only the whole number dividend and drops the remainder, so that  $7 \div 2$  is 3 for example.

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Exercise 1 (Multiple Choice). Which of the following evaluations of

can be derived by the operational semantics?

- (a)  $times(if(zero?(minus(\underline{2},plus(\underline{1},\underline{1}))),\underline{3},\underline{1}),\underline{2}) \Downarrow 3$
- (b) times(if(zero?(minus( $\underline{2}$ ,plus( $\underline{1}$ , $\underline{1}$ ))), $\underline{3}$ , $\underline{1}$ ), $\underline{2}$ )  $\downarrow 1$
- (c)  $times(if(zero?(minus(\underline{2},plus(\underline{1},\underline{1}))),\underline{3},\underline{1}),\underline{2}) \Downarrow 2$
- (d)  $\mathsf{times}(\mathsf{if}(\mathsf{zero}?(\mathsf{minus}(\underline{2},\mathsf{plus}(\underline{1},\underline{1}))),\underline{3},\underline{1}),\underline{2}) \Downarrow 6$
- (e) times(if(zero?(minus( $\underline{2}$ ,plus( $\underline{1}$ , $\underline{1}$ ))), $\underline{3}$ , $\underline{1}$ ), $\underline{2}$ )  $\Downarrow true$
- (f)  $times(if(zero?(minus(\underline{2},plus(\underline{1},\underline{1}))),\underline{3},\underline{1}),\underline{2}) \Downarrow false$

**Exercise 2** (This or That). An arithmetic expression A returns if there is some number n such that  $A \Downarrow n$ , and diverges if there is no such n. For example,  $\operatorname{div}(\underline{1},\underline{0})$  and  $\operatorname{minus}(\underline{0},\underline{1})$  both diverge, and  $\operatorname{div}(\underline{0},\underline{1})$  and  $\operatorname{minus}(\underline{1},\underline{0})$  both return (since  $\operatorname{div}(\underline{0},\underline{1}) \Downarrow 0$  and  $\operatorname{minus}(\underline{1},\underline{0}) \Downarrow 1$ ). Similarly, a boolean expression B returns if there is some boolean value b = true or b = false such that  $B \Downarrow b$ , and diverges otherwise.

For each of the following arithmetic and boolean expressions, say if that expression returns or diverges.

- (a) minus(plus(3,1),2)
- (b) plus(3, minus(1, 2))
- (c)  $if(zero?(minus(\underline{2},\underline{2})),\underline{0},div(\underline{3},minus(\underline{2},\underline{2})))$
- (d) and(zero?( $\operatorname{div}(\underline{0},\underline{0})$ ), false)
- (e) and (false, zero?(div(0,0)))

**Exercise 3** (Show Your Work). Determine the number the following expression evaluates to by drawing a derivation tree of the big-step operational semantics:

$$if(zero?(minus(plus(\underline{1},\underline{1}),\underline{2})), div(\underline{4},\underline{2}), div(\underline{4},minus(plus(\underline{1},\underline{1}),\underline{2})))$$

## 2. SMALL-STEP OPERATIONAL SEMANTICS

For exercises 4 to 6, consider this definition of a small-step operational semantics for the same conditional arithmetic language used previously in section 1.

Small-step reduction rules:

$$\begin{array}{lll} \operatorname{plus}(\underline{n_1},\underline{n_2}) \mapsto \underline{n} & (n=n_1+n_2) & \min (\underline{n_1},\underline{n_2}) \mapsto \underline{n} & (n=n_1-n_2,\ n_1 \geq n_2) \\ \operatorname{times}(\underline{n_1},\underline{n_2}) \mapsto \underline{n} & (n=n_1 \times n_2) & \operatorname{div}(\underline{n_1},\underline{n_2}) \mapsto \underline{n} & (n=n_1+n_2,\ n_2 \neq 0) \\ \operatorname{if}(\underline{true},A_1,A_2) \mapsto A_1 & \operatorname{if}(\underline{false},A_1,A_2) \mapsto A_2 \\ \operatorname{and}(\underline{true},B) \mapsto B & \operatorname{or}(\underline{false},B) \mapsto \underline{false} \\ \operatorname{or}(\underline{false},B) \mapsto B & \operatorname{or}(\underline{true},B) \mapsto \underline{true} \\ \operatorname{zero}?(\underline{0}) \mapsto \underline{true} & \operatorname{zero}?(\underline{n}) \mapsto \underline{false} & (n \neq 0) \\ \end{array}$$

Evaluation contexts (E):

$$\begin{split} E ::= & \ | \ \mathtt{plus}(E,A) \ | \ \mathtt{plus}(\underline{n},E) \ | \ \mathtt{minus}(E,A) \ | \ \mathtt{minus}(\underline{n},E) \\ & \ | \ \mathtt{times}(E,A) \ | \ \mathtt{times}(\underline{n},E) \ | \ \mathtt{div}(E,A) \ | \ \mathtt{div}(\underline{n},E) \ | \ \mathtt{if}(E,A_1,A_2) \\ & \ | \ \mathtt{and}(E,B) \ | \ \mathtt{or}(E,B) \ | \ \mathtt{zero}?(E) \end{split}$$

Reducing sub-expressions is *only* allowed within evaluation contexts:

$$\frac{A \mapsto A}{E[A] \mapsto E[A']} \qquad \qquad \frac{A \mapsto B'}{E[B] \mapsto E[B']}$$

**Exercise 4** (Multiple Choice). What do you get from plugging the expression  $plus(\underline{2},\underline{3})$  into the evaluation context  $if(zero?(\Box),times(\underline{2},\underline{4}),minus(\underline{4},\underline{1}))$ 

- (a)  $if(zero?(\underline{5}), times(\underline{2}, \underline{4}), minus(\underline{4}, \underline{1}))$
- $(b) \ \mathtt{if}(\mathtt{zero}?(\mathtt{plus}(\underline{2},\underline{3})),\mathtt{times}(\underline{2},\underline{4}),\mathtt{minus}(\underline{4},\underline{1})) \\$
- (c)  $if(plus(\underline{2},\underline{3}),times(\underline{2},\underline{4}),minus(\underline{4},\underline{1}))$
- (d)  $if(times(\underline{2},\underline{4}),minus(\underline{4},\underline{1}),plus(\underline{2},\underline{3}))$

**Exercise 5** (This or That). For each of the following pairs of a context and a sub-expression, identify which ones are valid or invalid decompositions of the expression

$$\mathtt{if}(\mathtt{zero}?(\mathtt{times}(\mathtt{plus}(\underline{3},\underline{4}),\mathtt{minus}(\underline{1},\underline{1}))),\mathtt{minus}(\underline{5},\underline{3}),\mathtt{div}(\underline{6},\underline{2}))$$

according to the grammar of E in small-step operational semantics. (There may be multiple valid decompositions.)

- (a) if  $(\Box, \min(5, 3), \text{div}(6, 2))$  and zero?  $(\text{times}(\text{plus}(3, 4), \min(1, 1)))$
- (b) if(zero?(times(plus( $\underline{3},\underline{4}$ ), minus( $\underline{1},\underline{1}$ ))),  $\Box$ , div( $\underline{6},\underline{2}$ )) and minus( $\underline{5},\underline{3}$ )
- (c) if(zero?(times(plus( $\underline{3},\underline{4}$ ),minus( $\underline{1},\underline{1}$ ))),minus( $\underline{5},\underline{3}$ ), $\square$ ) and div( $\underline{6},\underline{2}$ )
- (d) if(zero?(times( $\square$ ,minus( $\underline{1},\underline{1}$ ))),minus( $\underline{5},\underline{3}$ ),div( $\underline{6},\underline{2}$ )) and plus( $\underline{3},\underline{4}$ )
- (e) if(zero?(times(plus( $\underline{3},\underline{4}$ ), $\square$ )), minus( $\underline{5},\underline{3}$ ), div( $\underline{6},\underline{2}$ )) and minus( $\underline{1},\underline{1}$ )

Exercise 6 (Show Your Work). Write down the sequence of reduction step using the rules of the small-step operational semantics for simplifying

if(zero?(times(plus(3,4),minus(1,1))),minus(5,3),div(6,2))

to its final result.