# ASSIGNMENT 3 — LAMBDA CALCULUS

#### COMP 3010 — ORGANIZATION OF PROGRAMMING LANGUAGES

#### 1. Syntax

Remember that the abstract syntax of the  $\lambda$ -calculus is defined by the grammar

$$M ::= x \mid M M \mid \lambda x.M$$

where x, y, and z stand for *identifier names* (a.k.a. variables) used inside expressions in the language of the  $\lambda$ -calculus.

Ambiguities in the grammar, where multiple juxtapositions and  $\lambda$ s are mixed, are resolved by these associativity and precedence rules:

$$M_1 \ M_2 \ \dots \ M_n = ((M_1 \ M_2) \ \dots) \ M_n$$
  $M_1 \ \lambda x. M_2 = M_1 \ (\lambda x. M_2)$   
 $\lambda x. M_1 \ M_2 \ \dots \ M_n = \lambda x. (M_1 \ M_2 \ \dots \ M_n)$   $\lambda x_1. \lambda x_2. M = \lambda x_1. (\lambda x_2. M)$ 

In other words, application (written as juxtaposition  $M_1$   $M_2$ ) associates to the *left*, abstraction (written as  $\lambda x.M$ ) associates to the *right*, a  $\lambda$  to the right of an application (a  $\lambda$  argument  $M_1$  ( $\lambda x.M_2$ )) has a *higher precedence* than that application, and an application to the right of a  $\lambda$  (an applied function body  $\lambda x.(M_1$   $M_2$ )) has a *higher precedence* than the  $\lambda$ .

**Exercise 1** (Multiple Choice). Which of the following, fully-parenthesized  $\lambda$ -calculus expressions stand for the same syntax tree as  $y \ \lambda x.x \ y \ z$ ?

- (a)  $y (\lambda x.x) (y z)$
- (b)  $((y (\lambda x.x)) y) z$
- (c)  $y (\lambda x.(x (y z)))$
- (d)  $y (\lambda x.((x y) z))$

**Exercise 2** (Short Answer). Use the above rules of precedence/associativity to draw the syntax tree represented by the fully-parenthesized version of  $\lambda x.\lambda y.y.z.x$ 

### 2. Alpha

**Exercise 3** (Short Answer). Remember that the set of *free variables* of an expression M, written as FV(M), is *inductively defined* on the *syntax of* M like so:

$$FV(x) = \{x\}$$

$$FV(M_1 \ M_2) = FV(M_1) \cup FV(M_2)$$

$$FV(\lambda x.M) = FV(M) - \{x\}$$

Give the set of free variables found in the expression  $(\lambda x.\lambda y.y.(\lambda z.x.))$   $(\lambda x.z.)$ :

$$FV((\lambda x.\lambda y.y (\lambda z.x)) (\lambda x.z)) = ?$$

Date: Spring 2023.

**Exercise 4** (This or That). Remember that the operation which renames x to y in M, written [x/y]M, is inductively defined by transforming the syntax of M as:

$$[y/x]x = y$$

$$[y/x]z = z$$

$$[y/x](M_1 M_2) = ([y/x]M_1) ([y/x]M_2)$$

$$[y/x](\lambda x.M) = \lambda x.M$$

$$[y/x](\lambda z.M) = \lambda z.([y/x]M)$$
(if  $z \neq x$ )

The law of  $\alpha$ -equivalence is defined in terms of renaming as:

$$\lambda x.M =_{\alpha} \lambda y.([y/x]M)$$
 (if  $y \notin FV(M)$ )

Determine which of the following are valid renamings. In other words, say whether or not each pair of  $\lambda$ -calculus expressions are equal according to  $\alpha$ -equivalence.

- (1)  $\lambda x.(x \ x) =_{\alpha} \lambda y.(y \ y)$ ?
- (2)  $\lambda x.(\lambda x.x) =_{\alpha} \lambda y.(\lambda z.z)$ ?
- (3)  $\lambda x.(x y) =_{\alpha} \lambda y.(y y)$ ?
- (4)  $(\lambda x.x) x =_{\alpha} (\lambda y.y) x$ ?
- (5)  $(\lambda x.x) \ x =_{\alpha} (\lambda y.y) \ y$ ?

#### 3. Beta

**Exercise 5** (Multiple Choice). Remember that the operation which substitutes M' for x in M, written [M'/x]M, is inductively defined by transforming the syntax of M like so:

$$[M'/x]x = M'$$

$$[M'/x]z = z \qquad \text{(if } z \neq x\text{)}$$

$$[M'/x](M_1 \ M_2) = ([M'/x]M_1) \ ([M'/x]M_2)$$

$$[M'/x](\lambda x.M) = \lambda x.M$$

$$[M'/x](\lambda z.M) = \lambda z.([M'/x]M) \qquad \text{(if } z \neq x \text{ and } z \notin \text{FV}(M')\text{)}$$

What is the result of the substitution  $[(\lambda x.x \ x)/y](y \ (\lambda z.y \ z))$ ?

- (a)  $[(\lambda x.x \ x)/y](y \ (\lambda z.y \ z)) = (\lambda x.x \ x) \ (\lambda z.y \ z)$
- (b)  $[(\lambda x.x \ x)/y](y \ (\lambda z.y \ z)) = y \ (\lambda z.(\lambda x.x \ x) \ z)$
- (c)  $[(\lambda x.x \ x)/y](y \ (\lambda z.y \ z)) = (\lambda x.x \ x) \ (\lambda z.(\lambda x.x \ x) \ z)$
- (d)  $[(\lambda x.x \ x)/y](y \ (\lambda z.y \ z)) = (\lambda x.x \ x) \ (\lambda z.(\lambda x.x \ x) \ (\lambda x.x \ x))$

**Exercise 6** (Multiple Choice). Remember that the law of  $\beta$ -reduction is defined in terms of substitution as:

$$(\lambda x.M) M' \mapsto_{\beta} [M'/x]M$$

and the call-by-name operational semantics for the  $\lambda$ -calculus is defined by applying the above  $\beta$ -reduction rule inside of these evaluation contexts E defined like so:

$$E ::= \Box \mid E M \qquad \qquad \frac{M \mapsto_{\beta} M'}{E[M] \mapsto_{\beta} E[M']}$$

Which of the following is the result of evaluating the expression

$$(\lambda x.\lambda y.\lambda z.y \ x \ z) \ (\lambda x.x) \ (\lambda x.\lambda y.x) \ z$$

according to the call-by-name operational semantics (as shown above)? In other words, which of the following do you get by applying  $\beta$ -reduction (as shown above) as many times as possible in a sequence like so:

$$(\lambda x.\lambda y.\lambda z.y \ x \ z) \ (\lambda x.x) \ (\lambda x.\lambda y.x) \ z \mapsto M_1 \mapsto M_2 \mapsto \cdots \mapsto \text{answer}$$

- (a)  $(\lambda x.x)$
- (b)  $(\lambda x.\lambda y.x)$
- (c)  $(\lambda y.z)$
- (d) z

**Exercise 7** (Short Answer). What happens when you evaluate the  $\lambda$ -calculus expression  $(\lambda x.x \ x) \ (\lambda x.x \ x)$ ? by repeatedly applying  $\beta$ -reduction? If  $\beta$ -reduction eventually stops, what final expression is the result? If you think  $\beta$ -reduction does not stop, explain why not?

## 4. Eta

**Exercise 8** (This or That). Remember that the law of  $\eta$ -reduction is defined in terms of free variables like so:

$$(\lambda x.(M\ x)) \to_{\eta} M \qquad \qquad (\text{if } x \notin FV(M))$$

Say which of the following are correct  $\eta$ -reductions (according to the above rule) and which are not.

- (1)  $\lambda x.x \to_{\eta} x$
- (2)  $\lambda y.(x\ y) \rightarrow_{\eta} x$
- (3)  $\lambda x.(x \ x) \rightarrow_{\eta} x$
- (4)  $\lambda x.((\lambda y.y) \ x) \rightarrow_{\eta} \lambda y.y$
- (5)  $\lambda x.((y\ x)\ x) \rightarrow_{\eta} y\ x$