

CALL-BY-UNBOXED-VALUE

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- Good: Unboxed values enables high-performance
- Bad: Low-level code clashes with high-level abstractions (e.g., **polymorphism**)
- Representation irrelevance can resolve the tension (e.g., Levity Polymorphism and Kinds Are Calling Conventions)
 - Restrictions (sometimes surprising) needed for operational meaning & compilability
 - *“If I can’t compile it, the type checker must reject it”*

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 - Restrictions (sometimes surprising) needed for operational meaning & compilability
 - *“If I can’t compile it, the type checker must reject it”*
- Call-By-Unboxed-Value explains the meaning & implementation of unboxing
 - Logical & semantic foundation ensures meaningful programs
 - *“If I can write it, I can compile & run it”*

- Compiling unboxed polymorphism before:
 - Only compile **well-typed source programs**; need typing information to generate code
 - Generate **ill-typed target programs**; compilation can break precise typing
 - *“Types describe the source, kinds describe the machine”*

- Compiling unboxed polymorphism before:
 - Only compile **well-typed source programs**; need typing information to generate code
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 - *“Types describe the source, kinds describe the machine”*
- Compiling unboxed polymorphism with Call-By-Unboxed-Value:
 - Can compile **untyped source programs**; no typing information needed
 - Compilation **preserves typing** if the source was well-typed
 - Low-level code can be expressed in a **type-safe target language**
 - Still support **type erasure** without changing answers

UNBOXED VALUES

HOLDING NUMBERS IN REGISTERS

TO AVOID CREATING GARBAGE & CHASING POINTERS

$sumTo0 :: \text{Int} \rightarrow \text{Int}$

$sumTo0\ 0 = 0$

$sumTo0\ n = n + sumTo0(n - 1)$

Is n an integer register, or a pointer into the heap?

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$$\text{sumTo0 } 0 = 0$$
$$\text{sumTo0 } n = n + \text{sumTo0}(n - 1)$$

Is n an integer register, or a pointer into the heap?

Accumulator style \implies fast loop

$$\text{sumTo0}' \quad :: \text{Int} \rightarrow \text{Int}$$
$$\text{sumTo0}' n = \text{go } n \ 0$$

where $\text{go } 0 \ acc = acc$

$$\text{go } n \ acc = \text{go } (n - 1) \ (n + acc)$$

PROBLEMS WITH POLYMORPHISM

WHAT DOES A COMPILER NEED TO KNOW TO GENERATE CODE?

Could the polymorphic a really be any type?

$$id \quad :: a \rightarrow a$$
$$id\ x = x$$

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Need to know a 's representation to generate low-level machine code:

- Where does x live? (General or specialized register? Heap?)
- How many bits does x occupy? (32? 64? 8?)
- How to copy/move x from (incoming) parameter to (outgoing) return?

POLYMORPHIC AMBIGUITY

YOUR COMPILER IS LEAKING...

Do we need to know a and b 's representations to compile app ?

$$app \quad :: (a \rightarrow b) \rightarrow a \rightarrow b$$
$$app\ f\ x = f\ x$$

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$$\begin{aligned} app &:: (a \rightarrow b) \rightarrow a \rightarrow b \\ app\ f\ x &= f\ x \end{aligned}$$

- a : Yes, to move x
- b : It depends...
 - Naïvely yes, to move f 's result to $(app\ f\ x)$'s caller
 - But with tail-call optimization, app never handles any b 's

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What about after η -reduction?

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What about after η -reduction?

$$\begin{aligned} app' &:: (a \rightarrow b) \rightarrow a \rightarrow b \\ app'\ f &= f \end{aligned}$$

- a and b 's representations are irrelevant!
- Only move $f :: a \rightarrow b$, always a pointer

What do we need to know about a and b ?

$$\text{map} \quad \quad \quad :: (a \rightarrow b) \rightarrow [a] \rightarrow [b]$$

$$\text{map } f [] \quad \quad = []$$

$$\text{map } f (x : xs) = (f x) : (\text{map } f xs)$$

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- Representations of both a and b
 - To move $x :: a$ around
 - To store $(f x) :: b$ in a list
- Calling convention of b
 - What if $f :: \text{Int} \rightarrow \text{Int} \rightarrow \text{Int}$?
 - $b = \text{Int} \rightarrow \text{Int}$ is a function, needs 1 more argument
 - $(f x) :: \text{Int} \rightarrow \text{Int}$ might be a partial application, can't jump to f 's body
 - To generate code, need to distinguish partial applications from real calls

A FIRST TASTE OF CALL-BY-UNBOXED- VALUE

THE TWO AXES OF UNBOXING

- Familiar: Values versus computations
 - Values = being
 - Computations = doing
- New: Complexity versus Atomicity
 - Atomic = singular
 - Complex = multiple (parts, choices, ...)

- Functions are called with **complex unboxed values**
 - Only atomic values are **first class**, can be named
 - Complex values are **second class**, must be matched
- Functions themselves are **complex computations**
 - Only atomic computations can be **run** directly
 - Complex computations are **inert** on their own, must match their context (η -long)

ELABORATING FUNCTIONAL CODE TO CALL-BY-UNBOXED-VALUE

sumTo0 :: Nat → Nat

Haskell

sumTo0 0 = 0

sumTo0 n = n + *sumTo0*(n - 1)

ELABORATING FUNCTIONAL CODE TO CALL-BY-UNBOXED-VALUE

sumTo0 :: Nat → Nat

Haskell *sumTo0* 0 = 0

sumTo0 n = n + *sumTo0*(n - 1)

sumTo0 :: Nat → F Nat

sumTo0 = λn. **if** n == 0 **then return** 0

CBPV

else do x ← n - 1;

do y ← *sumTo0* x;

n + y

(-) :: Nat → Nat → F Nat

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sumTo0 :: Val Nat → Eval(Ret(Val Nat))

sumTo0 = {val int n · eval → **if** n == 0 **then ret** 0

CBUV

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n + y}

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PASSING & RETURNING MULTIPLE ARGUMENTS

$quotRem : \text{Val Nat} \rightarrow \text{Val Nat} \rightarrow \text{Eval}(\text{Ret}(\text{Val Nat} \times \text{Val Nat}))$

Complex answers must be **immediately destructured in place** at the call site

OK

do (val int q , val int r) $\leftarrow quotRem$ (val 12) (val 5) . eval

Illegal

do val ? qr $\leftarrow quotRem$ (val 12) (val 5) . eval

PASSING & RETURNING MULTIPLE ARGUMENTS

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Complex answers must be **immediately destructed in place** at the call site

| | |
|---------|--|
| OK | <code>do (val int q, val int r) ← quotRem (val 12) (val 5) . eval</code> |
| Illegal | <code>do val ? qr ← quotRem (val 12) (val 5) . eval</code> |

$distance : (\text{Val Float}, \text{Val Float}) \rightarrow \text{Eval}(\text{Ret}(\text{Val Float}))$

Complex arguments must be **immediately constructed in place** at the call site

| | |
|---------|--|
| OK | <code>distance (val 3.14, val 2.71)</code> |
| OK | <code>distance (val x, val y)</code> |
| Illegal | <code>distance xy</code> |
| Illegal | <code>distance (f x)</code> |

$id : \forall a : \text{Type ref } \mathbf{val} . \text{Val } a \rightarrow \text{Eval}(\text{Ret}(\text{Val } a))$

$id = \{ \text{ty } a \cdot \text{val ref}(x : a) \cdot \text{eval} \rightarrow \mathbf{ret} \text{ val } x \}$

POLYMORPHIC CODE

WITH TYPE ANNOTATIONS...

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$id2 = \{ \text{ty } a \cdot (\text{val int}(x : a), \text{val flt}(y : \text{Float})) \cdot \text{eval} \rightarrow \mathbf{ret} (\text{val } x, \text{val } y) \}$

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$id (\text{Val Int} \times \text{Val Float})$ ill-kinded, but $id (\text{Box}(\text{Val Int} \times \text{Val Float}))$ is OK because

$\text{Box} : \mathbf{cplx val} \rightarrow \text{ref } \mathbf{val}$

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Unboxed code still has well-defined operational meaning after type erasure!

$id = \{ \text{ty } a \cdot \text{val ref } x \cdot \text{eval} \rightarrow \mathbf{ret} \text{ val } x \}$

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FUSING VALUES AND CALLING CONVENTIONS

Unboxed tuples are flattened at compile time ($a, b, c : \text{ref val}; x : a, y : b, z : c$):

$$\begin{aligned} (\text{Val } a \times \text{Val } b) \times \text{Val } c &\approx \text{Val } a \times (\text{Val } b \times \text{Val } c) \approx \text{Val } a \times \text{Val } b \times \text{Val } c \\ ((\text{val } x, \text{val } y), \text{val } z) &\approx (\text{val } x, (\text{val } y, \text{val } z)) \approx \text{val } x, \text{val } y, \text{val } z \end{aligned}$$

CURRIED & UNCURRIED FUNCTIONS

NESTED TUPLES & CALL STACKS

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(Un)Curried functions are compiled to the same code $(a, b : \text{ref } \mathbf{val}; c : \text{sub } \mathbf{comp})$:

$$\begin{aligned} f : (\text{Val } a \times \text{Val } b) \rightarrow \text{Eval } c &\approx g : \text{Val } a \rightarrow (\text{Val } b \rightarrow \text{Eval } c) \\ f = \{ (\text{val ref } x, \text{val ref } y) \cdot \text{eval} \rightarrow \dots \} &\approx g = \{ \text{val ref } x \cdot (\text{val ref } y \cdot \text{eval}) \rightarrow \dots \} \end{aligned}$$

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Safe due to **second-class status** of complex values & computations

$$\begin{array}{llll} \text{OK} & f (\text{val } x, \text{val } y) . \text{eval} & \approx & g (\text{val } x) (\text{val } y) . \text{eval} & \text{OK} \\ \text{Illegal} & f \text{ } xy . \text{eval} & \not\approx & \mathbf{let } g' = g (\text{val } x) \mathbf{in } \dots & \text{Illegal} \end{array}$$

Invariant: all complex patterns can be **fully enumerated** at compile time

Unboxed sums are **also flattened** at compile time $(a, b, c : \text{ref } \mathbf{val}; x : a, y : b, z : c)$:

| | | | |
|---|-----------|---|-----------|
| $(\text{Val } a + \text{Val } b) + \text{Val } c$ | \approx | $\text{Val } a + (\text{Val } b + \text{Val } c)$ | |
| $(0, (0, \text{val } x))$ | \approx | $(0, \text{val } x)$ | Choice #0 |
| $(0, (1, \text{val } y))$ | \approx | $(1, (0, \text{val } y))$ | Choice #1 |
| $(1, \text{val } z)$ | \approx | $(1, (1, \text{val } z))$ | Choice #2 |

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Unboxed tuples **distribute over** unboxed sums $(a, b, c : \text{ref } \mathbf{val}; x : a, y : b, z : c)$:

| | | | |
|--|-----------|---|-----------|
| $(\text{Val } a + \text{Val } b) \times \text{Val } c$ | \approx | $(\text{Val } a \times \text{Val } c) + (\text{Val } b \times \text{Val } c)$ | |
| $((0, \text{val } x), \text{val } z)$ | \approx | $(0, (\text{val } x, \text{val } z))$ | Choice #0 |
| $((1, \text{val } y), \text{val } z)$ | \approx | $(1, (\text{val } y, \text{val } z))$ | Choice #1 |

maybeAdd Nothing $y = y$

maybeAdd (Just x) $y = x + y$

Invariant: **mandatory pattern-matching** on complex values

$maybeAdd\ Nothing\ y = y$

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Invariant: **mandatory pattern-matching** on complex values

Two equivalent versions ($Maybe\ a = 1 + a$; $Nothing = (0, ())$; $Just\ x = (1, x)$):

$maybeAdd_1 : (1 + Val\ Int) \rightarrow Val\ Int \rightarrow Eval(Ret(Val\ Int))$

$maybeAdd_1 = \{(0, ()) \quad \cdot \quad (val\ int\ y) \cdot eval \rightarrow \mathbf{ret}\ val\ y \quad (Choice\ \#0)$
 $\quad (1, val\ int\ x) \cdot (val\ int\ y) \cdot eval \rightarrow x + y \quad (Choice\ \#1)\}$

$maybeAdd_2 : (Val\ Int \rightarrow Eval(Ret(Val\ Int))) \& (Val\ Int \rightarrow Val\ Int \rightarrow Eval(Ret(Val\ Int)))$

$maybeAdd_2 = \{0 \cdot \quad \quad \quad (val\ int\ y) \cdot eval \rightarrow \mathbf{ret}\ val\ y \quad (Choice\ \#0)$
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$maybeAdd_1$ takes a **Maybe** argument; $maybeAdd_2$ gives a **product** of 2 functions

Putting complex values in a Box pauses pattern-matching.

$maybeAdd_3 : \text{Val}(\text{Box}(1 + \text{Val Int})) \rightarrow \text{Val Int} \rightarrow \text{Eval}(\text{Ret}(\text{Val Int}))$

$maybeAdd_3 = \{ \text{val ref } x \cdot \text{val int } y \cdot \text{eval} \rightarrow$
 unbox x **as** {
 $(0, ()) \rightarrow \text{ret val } y$
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 $\}$
 $\}$

$maybeAdd_3 \not\approx maybeAdd_1$

$maybeAdd_3 \not\approx maybeAdd_2$

FOUNDATIONS FOR UNBOXING

Call-By-Push-Value

$$\begin{aligned} \text{ValueType} \ni A &::= A_0 \times A_1 \mid A_0 + A_1 \mid \mathsf{U} \, \underline{B} \\ \text{ComputationType} \ni \underline{B} &::= A \rightarrow \underline{B} \mid \underline{B}_0 \, \& \, \underline{B}_1 \mid \mathsf{F} \, A \end{aligned}$$

Focusing & Polarity

$$\begin{aligned} \text{PositiveType} \ni P^+ &::= P_0^+ \otimes P_1^+ \mid P_0^+ \oplus P_1^+ \mid \downarrow Q^- \\ \text{NegativeType} \ni Q^- &::= P^+ \rightarrow Q^- \mid Q_0^- \, \& \, Q_1^- \mid \uparrow P^+ \end{aligned}$$

- *Value* = *Positive*
- *Computation* = *Negative*

Call-By-Push-Value

$$\begin{aligned} \text{ValueType} \ni A &::= A_0 \times A_1 \mid A_0 + A_1 \mid \mathsf{U} \, \underline{B} \\ \text{ComputationType} \ni \underline{B} &::= A \rightarrow \underline{B} \mid \underline{B}_0 \, \& \, \underline{B}_1 \mid \mathsf{F} \, A \end{aligned}$$

Focusing & Polarity

$$\begin{aligned} \text{PositiveType} \ni P^+ &::= P_0^+ \otimes P_1^+ \mid P_0^+ \oplus P_1^+ \mid \downarrow Q^- \\ \text{NegativeType} \ni Q^- &::= P^+ \rightarrow Q^- \mid Q_0^- \, \& \, Q_1^- \mid \uparrow P^+ \end{aligned}$$

- *Value* = *Positive*?
- *Computation* = *Negative*?
- Right?

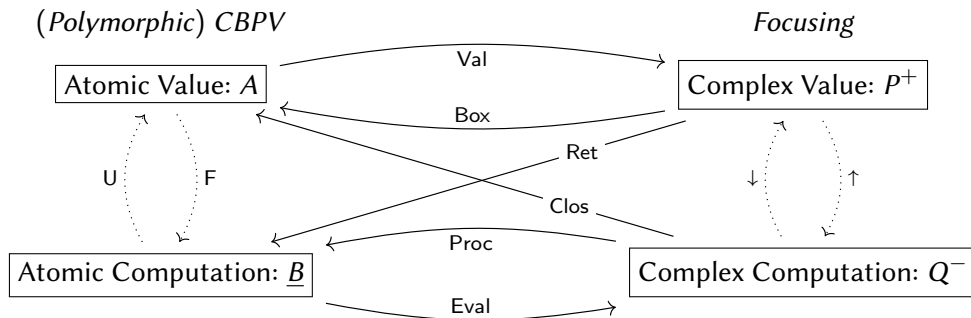
A DISTINCTION BETWEEN THE DISTINCTIONS

A SUBTLE DISAGREEMENT

- In Call-By-Push-Value, “value types” are the **denotable values**
 - Only value types are first class, can be named
 - Computation types are second class, cannot be named unless “thunked”
- With strict focusing, pattern matching is **mandatory**
 - Positive types are second class, must be matched instead of named
 - Negative types are first class, cannot be matched so they are named
- Opposite sides of the complex vs atomic divide:
 - Call-By-Push-Value talks about **atomic** values and computations
 - Focusing talks about **complex** values and computations

SHIFTING BETWEEN QUADRANTS

COMPLEXITY VS ATOMICITY, VALUES VS COMPUTATIONS



$$F A = \text{Ret}(\text{Val } A)$$

$$U \underline{B} = \text{Clos}(\text{Eval } \underline{B})$$

$$\uparrow P^+ = \text{Eval}(\text{Ret } P^+)$$

$$\downarrow Q^- = \text{Val}(\text{Clos } Q^-)$$

Equational theory: Sound & Complete w.r.t. Call-By-Push-Value!

Values = Are Computations = Do

Atomic = One Complex = Many

HIGHER-ORDER CALLING CONVENTIONS

- Default “uniform” atomic representations / calling conventions:
 - Atomic value: `ref` = “reference” (i.e., pointer to value)
 - Atomic computation: `sub` = “subroutine” (i.e., pointer to top call stack frame)
- First-class closure values built by `Clos : cplx comp → ref val`
 - Closure introduced by `clos { ... }` around copattern-matching code
 - Closure $f : \text{Clos } a$ eliminated with $f.$ call operation

$app = \lambda f\ x. (f\ x)$

$app : \forall a : \text{Type ref val} . \forall b : \text{Type sub comp} . \downarrow(\text{Val } a \rightarrow \text{Eval } b) \rightarrow \text{Val } a \rightarrow \text{Eval } b$

$app = \{ \text{ty } a \cdot \text{ty } b \cdot \text{val ref } f \cdot \text{val ref } x \cdot \text{eval sub} \rightarrow f. \text{call}(\text{val } x). \text{eval sub} \}$

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$$app' = \lambda f. f$$
$$app' : \forall a : \text{Type}\ \mathbf{cplx}\ \mathbf{val} . \forall b : \text{Type}\ \mathbf{cplx}\ \mathbf{comp} . \downarrow(a \rightarrow b) \rightarrow \uparrow\downarrow(a \rightarrow b)$$
$$app' = \{\text{ty } a \cdot \text{ty } b \cdot \text{val ref } f : \text{Clos}(a \rightarrow b) \cdot \text{eval sub} \rightarrow \mathbf{ret}\ \text{val } f\}$$

HIGHER-ORDER CALLING CONVENTIONS

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STRESS TEST: REPRESENTATION-POLYMORPHIC OVERLOADING

Important Application: representation-polymorphic (type class) operator overloading

class Num *a* **where** (+) :: $a \rightarrow a \rightarrow a$
 negate :: $a \rightarrow a$

What can we do without explicit representation polymorphism?

STRESS TEST: REPRESENTATION-POLYMORPHIC OVERLOADING

Important Application: representation-polymorphic (type class) operator overloading

```
class Num a where (+)    :: a → a → a
                    negate :: a → a
```

What can we do without explicit representation polymorphism?

$$\text{type Num}(a : \text{cplx val}) : \text{cplx val} = \text{Clos}(a \rightarrow a \rightarrow \uparrow a) \times \text{Clos}(a \rightarrow \uparrow a)$$

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(+) : $\forall a : \text{Type } \mathbf{cplx\ val} . \text{Num } a \rightarrow \uparrow\downarrow(a \rightarrow a \rightarrow \uparrow a)$

(+) = { ty *a* · (val ref *f*, val ref *g*) · eval → **ret** val *f* }

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STRESS TEST: REPRESENTATION-POLYMORPHIC OVERLOADING

Important Application: representation-polymorphic (type class) operator overloading

$$\begin{aligned} \text{class Num } a \text{ where } (+) &:: a \rightarrow a \rightarrow a \\ \text{negate} &:: a \rightarrow a \end{aligned}$$

What can we do without explicit representation polymorphism?

After type erasure, still get well-defined, operational code

$$(\text{+}) = \{ \text{ty } a \cdot (\text{val ref } f, \text{val ref } g) \cdot \text{eval} \rightarrow \text{ret val } f \}$$
$$\text{negate} = \{ \text{ty } a \cdot (\text{val ref } f, \text{val ref } g) \cdot \text{eval} \rightarrow \text{ret val } g \}$$

COMPILING TO THE MACHINE

Complex patterns \implies 1 simple switch

$x : \text{Box}((\text{Val Int} + \text{Val Float} \times \text{Val Int}) + 1)$

unbox x as $\{$ 0, 0, val int $y \rightarrow M_1;$
 0, 1, val flt y , val int $z \rightarrow M_2;$
 1, () $\rightarrow M_3\}$

COMPILING TO THE MACHINE

Complex patterns \implies 1 simple switch

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$\text{unbox } x \text{ as } \begin{cases} 0, 0, \text{val int } y & \rightarrow M_1; \\ 0, 1, \text{val flt } y, \text{val int } z & \rightarrow M_2; \\ 1, () & \rightarrow M_3 \end{cases}$

```
struct {  
  char tag;  
  union { // case 0 = 0, 0, val int  
    int zero;  
           // case 1 = 0, 1, val flt, val int  
    struct { float fst; int snd; } one;  
           // empty case 2 = 1, ()  
  } body;  
} *x;  
switch (x->tag) {  
  case 0:  
    int y = x->body.zero; M1...; break;  
  case 1:  
    float y = x->body.one.fst;  
    int z = x->body.one.snd;  
    M2...; break;  
  case 2:  
    M3...  
}
```

COMPLEX VARIABLES

and True $x = x$

and False $x = \text{False}$

Complex variables $x \in \{ \textit{pattern} \dots \}$ match multiple patterns

Bool = 1 + 1

True = 1, ()

False = 0, ()

and : Bool \rightarrow Bool \rightarrow Eval(Ret Bool)

and = { True $\cdot x \in \{ \text{True}; \text{False} \} \cdot \text{eval} \rightarrow \text{ret } x \in \{ \text{True}; \text{False} \}$
False $\cdot x \in \{ \text{True}; \text{False} \} \cdot \text{eval} \rightarrow \text{ret False}$ }

is syntactic shorthand for

and : Bool \rightarrow Bool \rightarrow Eval(Ret Bool)

and = { True \cdot True $\cdot \text{eval} \rightarrow \text{ret True}$;

False \cdot True $\cdot \text{eval} \rightarrow \text{ret False}$;

True \cdot False $\cdot \text{eval} \rightarrow \text{ret False}$;

False \cdot False $\cdot \text{eval} \rightarrow \text{ret False}$; }

COMPLEX ANSWERS

Complex continuations $\text{more} \in \{ \text{copattern} \dots \}$ match multiple calling conventions

$\text{app} : \forall a : \text{Type ref } \mathbf{val} . \forall b : \text{Type sub } \mathbf{comp} . \downarrow(\text{Val } a \rightarrow \text{Eval } b) \rightarrow \text{Val } a \rightarrow \text{Eval } b$

$\text{app} = \{ \text{ty } a \cdot \text{ty } b \cdot \text{val ref } f \cdot \text{more} \in \{ \text{val ref } x \cdot \text{eval sub} \} \rightarrow f.\text{call} \}$

$\text{app2} : \forall a, b : \text{Type ref } \mathbf{val} . \forall c : \text{Type sub } \mathbf{comp} .$

$\downarrow(\text{Val } a \rightarrow \text{Val } b \rightarrow \text{Eval } c) \rightarrow \text{Val } a \rightarrow \text{Val } b \rightarrow \text{Eval } c$

$\text{app2} = \{ \text{ty } a \cdot \text{ty } b \cdot \text{ty } c \cdot \text{val ref } f \cdot \text{more} \in \{ \text{val ref } x \cdot \text{val ref } y \cdot \text{eval sub} \} \rightarrow f.\text{call} \}$

is syntactic shorthand for

$\text{app} = \{ \text{ty } a \cdot \text{ty } b \cdot \text{val ref } f \cdot \text{val ref } x \cdot \text{eval sub} \rightarrow f.\text{call}(\text{val } x).\text{eval sub} \}$

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