

# MicroProfile OpenAPI

Code First or Design First?



Peter Steiner – 2019-10-24



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pe-st

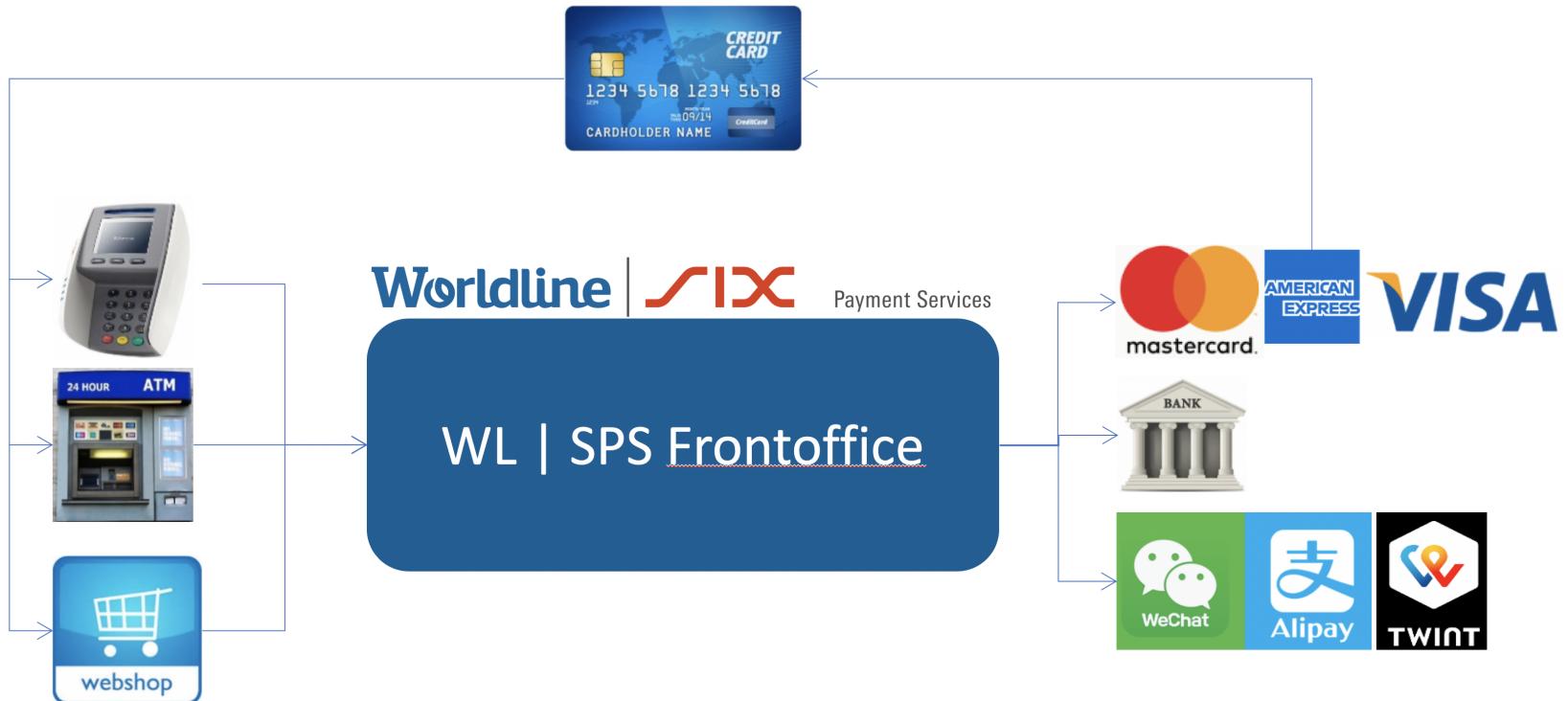


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**Worldline** | **SIX** Payment Services



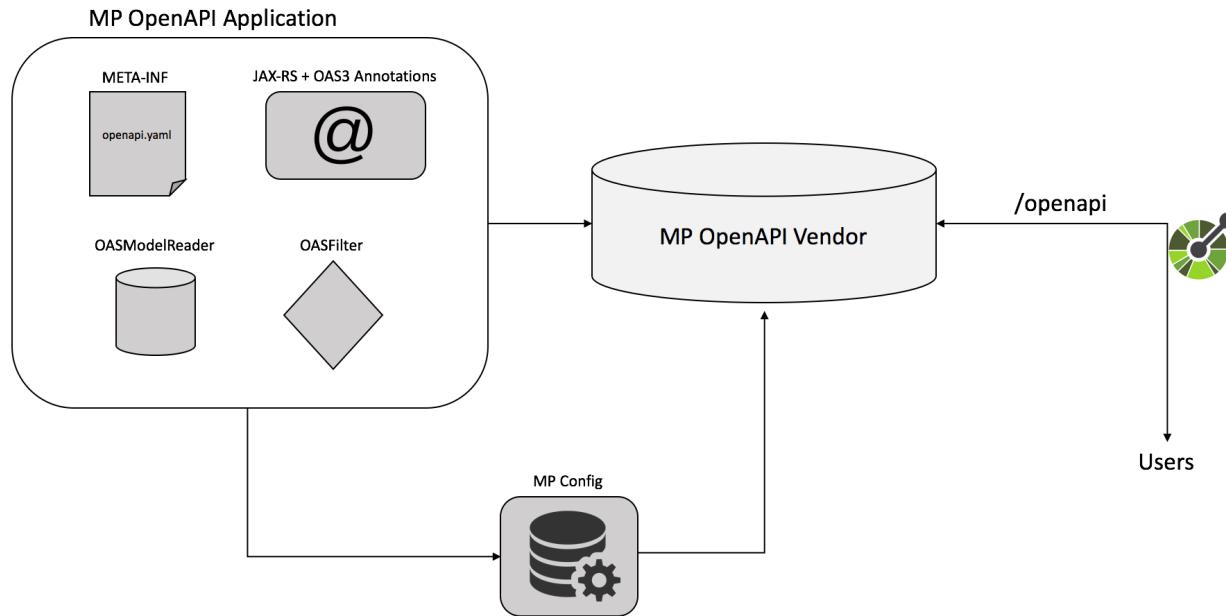
# Worldline | SIX Payment Services – Frontoffice



# Agenda / Goal

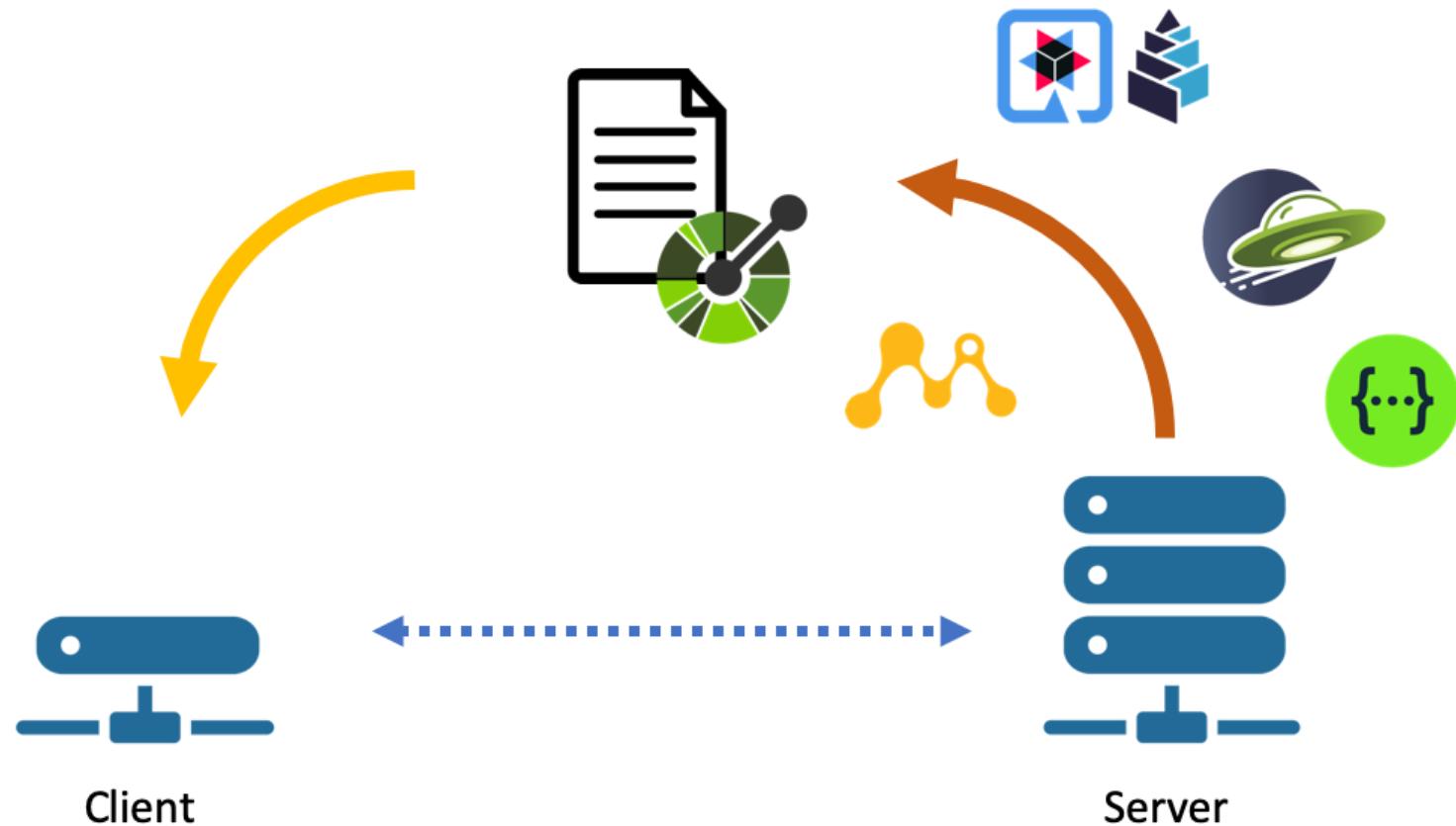
- Write a working REST service
- Have an OpenAPI Document
  - Documentation (e.g. with Swagger-UI)
  - Clients can generate interface code
- Compare Code-First and Design-First approaches

# MicroProfile OpenAPI



- application can provide `openapi.yaml` (or JSON) and/or annotated classes
  - JAX-RS annotations
  - MicroProfile annotations
- standard endpoint `/openapi`
- UI is not part of MicroProfile

# Code First

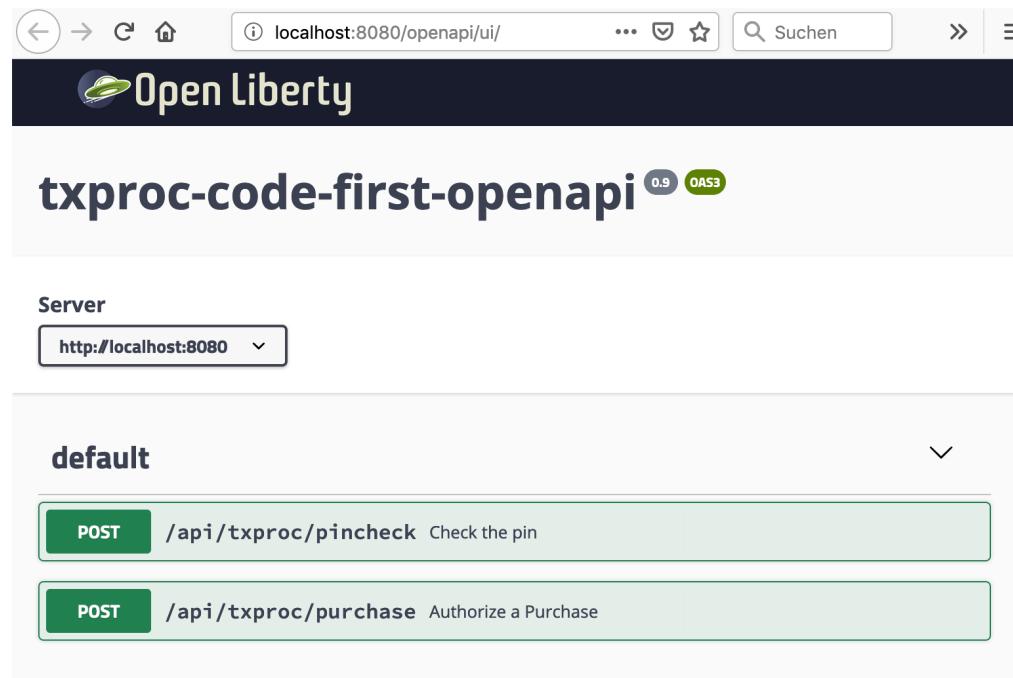


# Design First



# The Service "txproc"

- implemented with Quarkus and OpenLiberty (different OpenAPI scanners)
- simplified from real-world service
- multiple endpoints with shared data elements



# UI with Quarkus

The screenshot shows the Swagger UI interface running on a local host. The URL in the browser bar is `localhost:8080/swagger-ui/`. The main title is **txproc-code-first-openapi**, version 0.9, OAS3. Below the title, there are two API endpoints listed under the **default** category:

- POST /api/txproc/pincheck** Check the pin
- POST /api/txproc/purchase** Authorize a Purchase

- Only endpoints Pincheck and Purchase, others: Reversal, Credit, Reservation etc

## Swagger UI URLs

- Swagger-UI link for Quarkus: <http://localhost:8080/swagger-ui>
- Swagger-UI link for OpenLiberty: <http://localhost:8080/openapi/ui>

# OpenAPI Document – First Part

```
openapi: 3.0.0 ①
info:
  title: txproc-design-first-swagger
  version: "0.9" ②
servers:
- url: http://localhost:8080
paths:
  /api/txproc/pincheck: ③
    post: ④
      summary: Check the pin
      requestBody:
        description: PIN Check Request Body
        required: true
        content:
          application/json:
            schema:
              $ref: '#/components/schemas/PinCheckRequest' ⑤
```

- ① OpenAPI Standard version (currently 3.0.0, 3.0.1 or 3.0.2, MP-OpenAPI links to 3.0.0)
- ② API version
- ③ Endpoint
- ④ HTTP method
- ⑤ Request Body (references a schema elsewhere in the OpenAPI document)

# PinCheck Request Body – UI

The screenshot shows a web-based API documentation interface for a 'PinCheck Request Body'. The URL in the address bar is `localhost:8080/openapi/ui/`. The main area displays a POST method for the endpoint `/api/txproc/pincheck`, which is described as 'Check the pin'.

**Parameters:** No parameters.

**Request body required:** application/json

**PIN Check Request Body**

Example Value | Model

```
PinCheckRequest ▼ {  
    description: Request for checking a PIN  
    uuid*: string($uuid)  
    Unique ID of the request  
    pan*: string  
    title: PAN (Primary Account Number)  
    The number embossed on credit cards  
    pinBlock*: string  
    Encrypted binary data containing a PIN  
    Fieldcode: C003  
}
```

# PinCheck Request Body – Part of OpenAPI Document

```
components: 1
  schemas:
    PinCheckRequest: 2
      type: object
      description: Request for checking a PIN
      required: 3
        - pan
        - pinBlock
        - uuid
      properties: 4
        pan:
          $ref: '#/components/schemas/Pan'
        pinBlock:
          description: |- 5
            Encrypted binary data containing a PIN
            Fieldcode: C003
        type: string
      .
```

- 1 Introduces the Schema Definition part of the OpenAPI document
- 2 Request Body
- 3 List all mandatory properties (optional part, properties are optional per default)
- 4 Properties: inline or referencing a schema
- 5 Example of multiline description

# Code First Actors

- Annotations
- Annotation Scan

- MP OpenAPI Implementation Quarkus/Smallrye  

- MP OpenAPI Implementation OpenLiberty 

- Swagger Maven Plugin 

- Lombok
- Server to run the service and provide the /openapi and UI endpoints

- Quarkus 

- OpenLiberty 

# Code First Annotation Scan – OpenLiberty

- Maven POM: Define the OpenAPI Feature via Maven dependency

```
<dependency>
    <groupId>io.openliberty.features</groupId>
    <artifactId>mpOpenAPI-1.1</artifactId>
    <type>esa</type>
    <scope>provided</scope>
</dependency>
```

- POM: You'll probably also need other features like `jaxrs-2.1`, `jsonp-1.1`, `jsonb-1.0`, `cdi-2.0` and `mpConfig-1.3`
- POM: Of course you need also the rest of the OpenLiberty incantation (feature BOM, plugin) in your `pom.xml`, see the complete example on GitHub:  
<https://github.com/pe-st/apidocs/blob/master/code-first-openapi-openliberty/pom.xml>
- Don't forget to add the features also to OpenLiberty's `server.xml`

```
<featureManager>
    <feature>jaxrs-2.1</feature>
    <feature>jsonp-1.1</feature>
    <feature>jsonb-1.0</feature>
    <feature>cdi-2.0</feature>
    <feature>mpConfig-1.3</feature>
    <feature>mpOpenAPI-1.1</feature>
</featureManager>
```

# Code First Annotation Scan – Quarkus

- Define the OpenAPI Extension in your Maven POM

```
<dependency>
    <groupId>io.quarkus</groupId>
    <artifactId>quarkus-smallrye-openapi</artifactId> 1
</dependency>
```

1 Here you can see that Quarkus uses the SmallRye MicroProfile implementation

- Don't forget to add also the quarkus-resteasy-jsonb extension; the complete POM on GitHub:  
<https://github.com/pe-st/apidocs/blob/master/code-first-openapi-quarkus/pom.xml>

# Code First Annotation Scan – Swagger Plugin

- Swagger : Inspiration of MicroProfile OpenAPI
- Maven Plugin to scan the code at compile/packaging time:  
<https://github.com/swagger-api/swagger-core/tree/master/modules/swagger-maven-plugin>

```
<plugin>
    <groupId>io.swagger.core.v3</groupId>
    <artifactId>swagger-maven-plugin</artifactId>
    <version>2.0.9</version>
    <configuration>
        <outputFileName>openapi</outputFileName>
        <outputPath>${project.build.directory}/classes/META-INF</outputPath>
        <outputFormat>JSONANDYAML</outputFormat>
        <resourcePackages>
            <package>ch.schlau.pesche.apidocs.swagger.codefirst</package>
        </resourcePackages>
        <prettyPrint>true</prettyPrint>
    </configuration>
    <executions>...</executions>
</plugin>
```

- 1 in this location the file is found by MicroProfile OpenAPI implementations

# Code First – Annotating (1/3)

Request body for the PIN check request

```
@Schema(description = "Request for checking a PIN") 1
@Getter 2
@Setter
public class PinCheckRequest {

    @Schema(type = "string", description = Model.UUID) 3 4
    private UUID uuid;

    // class Pan annotated with @Schema annotation 5
    private Pan pan;

    @Schema(description = Model.PIN_BLOCK)
    private String pinBlock;
}
```

- 1    @Schema : basic annotation to document classes and fields
- 2    using Lombok avoids the choice of annotating the field, the getter or the setter
- 3    without the type a struct is used instead of a flat string
- 4    externalizing description
- 5    either the field or the class itself can be annotated

# Pan

```
@Schema(title = "PAN (Primary Account Number)",
         description = "The number embossed on credit cards",
         type = "string")
public class Pan {

    @Pattern(regexp = "[0-9]{12,19}")
    private String pan;

    /**
     * For data protection reasons (PCI-DSS compliance) the complete PAN must
     * not be shown unless absolutely needed. This method masks out the middle
     * digits of the PAN, allowing the result to be safely displayed
     *
     * @return masked PAN, e.g. "123456xxxxxx9012"
     */
    public String asMaskedPan() {
        // ...
    }
}
```

## Code First – Annotating (2/3)

- Request body for the purchase request
- Adding requiredness for the properties

```
@Schema(description = "Request for authorizing a Purchase",
    requiredProperties = {"uuid", "pan"}) 1
@Getter
@Setter
public class PurchaseAuthRequest {

    @Schema(type = "string", description = Model.UUID)
    private UUID uuid;

    // class Pan annotated with @Schema annotation 2
    private Pan pan;

    // class EmvTags annotated with @Schema annotation
    private EmvTags emvTags;
}
```

- 1 specify required properties on class level...
- 2 ...because it can't be put here

# Code First – Annotating (3/3)

(see also [Design First Endpoint](#))

```
@Path("/pincheck") ①
@POST ①
@Operation(summary = "Check the pin") ②
@ApiResponse(description = "PIN Check Response", ②
              content = @Content(schema = @Schema(implementation = PinCheckResponse.class)))
public PinCheckResponse pinCheck(
    @RequestBody(description = "PIN Check Request Body", ②
                content = @Content(schema = @Schema(implementation = PinCheckRequest.class))
    ) PinCheckRequest request) {

    PinCheckResponse response = new PinCheckResponse();
    // calculate response
    return response;
}
```

- ① JAX-RS annotations used by the scanner
- ② MicroProfile annotations (the Swagger ones are almost identical, e.g. `@ApiResponses` instead of `@ApiResponse`)

# Code First is tedious

## Code Duplication

- Schema annotation must be copied for every endpoint...
- ...unless you create a separate wrapper class for every primitive field
- ...and configure the JSON framework properly
- not to speak of Javadoc or Bean Validation annotations

# Code First Gotchas – Pan

- Pan behaves like a String, with additional methods
- Comes with JsonbAdapter to be used as follows:

```
{  
    "uuid": "aaaaaaaa-bbbb-cccc-dddd-0123456789",  
    "pan" : "100000000042",  
    "pinBlock": "magic"  
}
```

Annotated as follows:

```
@Schema(title = "PAN (Primary Account Number)",  
         description = "The number embossed on credit card",  
         type = SchemaType.STRING) ①  
public class Pan {  
    private String pan;  
    // some methods  
}
```

① tells to override the type OBJECT (for classes)

Resulting OpenAPI documents:

## OpenLiberty + Swagger

```
properties:  
  pan:  
    description: The number embossed on credit card  
  
  title: PAN (Primary Account Number)  
  type: string
```

## Quarkus (Smallrye)

```
properties:  
  pan:  
    description: The number embossed on credit card  
    properties: ①  
      pan:  
        type: string  
      title: PAN (Primary Account Number)  
      type: string
```

① a property of type **string** shouldn't have properties of its own

# Code First Gotchas – UUID

Same issue as with Pan:

## OpenLiberty + Swagger

```
properties:  
  uuid:  
    description: Unique ID of the request  
    type: string  
    format: uuid 1
```

## Quarkus (Smallrye)

```
properties:  
  uuid:  
    description: Unique ID of the request  
    type: object  
    properties:  
      leastSigBits:  
        format: int64  
        type: integer  
      leastSignificantBits:  
        format: int64  
        type: integer  
      mostSigBits:  
        format: int64  
        type: integer  
      mostSignificantBits:  
        format: int64  
        type: integer
```

- 1 only with OpenLiberty, Swagger generates no format

# Code First Gotchas – enum

Annotating the class instead of the field doesn't always work:

```
@Schema(description = "Tells if the PIN in the request was correct")
public class PinCheckResponse {

    @Schema(description = "Result of the request")
    public enum Code {
        OK,
        WRONG
    }

    // the enum Code already carries a @Schema annotation 1
    private Code result;
}
```

1 for OpenLiberty and Quarkus the annotation must be placed here

Resulting OpenAPI documents:

Swagger

```
PinCheckResponse:
description: Tells if the PIN in the request was correct
properties:
  result:
    description: Result of the request
    enum:
      - OK
      - WRONG
    type: string
```

OpenLiberty + Quarkus (Smallrye)

```
PinCheckResponse:
description: Tells if the PIN in the request was correct
properties:
  result:
    enum:
      - OK
      - WRONG
    type: string
```

# Code First Gotchas – misc

## Miscellaneous minor gotchas

- The OpenAPI documented by OpenLiberty has no deterministic order
- Quarkus doesn't create schemas for non-toplevel classes like EmvTags in

```
class PurchaseAuthRequest { EmvTags emvTags; }
```

### OpenLiberty + Swagger

```
components:
  schemas:
    EmvTags:
      description: Collection of EMV tags
      // properties left out
      title: EmvTags
      type: object
    PurchaseAuthRequest:
      description: Request for authorizing a Purch
      properties:
        emvTags:
          $ref: '#/components/schemas/EmvTags'
```

### Quarkus (Smallrye)

```
components:
  schemas:
    PurchaseAuthRequest:
      description: Request for authorizing a Purch
      properties:
        emvTags:
          description: Collection of EMV tags
          // properties left out
          title: EmvTags
          type: object
```

The proposed solution of <https://github.com/smallrye/smallrye-open-api/issues/73> is to set  
`mp.openapi.extensions.schema-references.enable = true`, but then also other classes like String get referenced...

# Design First



# Design First Actors

- Generation with Maven Plugin for easy build integration
  - OpenAPI Generator 
  - Swagger Codegen 
- Server to run the service and provide the /openapi and UI endpoints
  - Quarkus 

# Design First in Detail

- Both generators can generate complete server and client projects
  - including project files (`pom.xml` etc)
  - endpoint skeletons
- Scope for this talk: only the Request and Response Body POJOs (the "Models" or "Schemas") are generated
  - ...using a Maven plugin
  - the endpoints are handcoded, analogous to the code-first endpoints (better comparable, generated: ca. 12 classes, handcoded: 4 classes)
- Find a workflow where you don't modify the generated code
  - *Generation Gap Pattern* (John Vlissides), e.g. openapi generator creates endpoints delegating to an interface (template method pattern)
  - Scope of this talk: easy, only POJOs are generated

# Design First – Maven POM

```
<plugin>
    <groupId>io.swagger.codegen.v3</groupId>
    <artifactId>swagger-codegen-maven-plugin</artifactId> 1
    <!-- ... -->
        <configuration>
            <inputSpec>${project.basedir}/src/main/resources/META-INF/openapi.yaml</inputSpec> 2
            <language>java</language> 1
            <generateApis>false</generateApis> 3
            <!-- <configHelp>true</configHelp> --> 4
            <configOptions>
                <library>resteasy</library> 5
                <useBeanValidation>false</useBeanValidation>
                <modelPackage>ch.schlau.pesche.apidocs.swagger.designfirst.generated.model</modelPackage>
            </configOptions>
            <importMappings>
                Pan=ch.schlau.pesche.apidocs.swagger.designfirst.txproc.model.Pan 6
            </importMappings>
        </configuration>
```

- 1 use `org.openapi.tools:openapi-generator-maven-plugin` and `<generatorName>` for openapi-generator
- 2 this can be an URL as well
- 3 just generate the models, but not the endpoints
- 4 uncomment this line to get help output instead of doing work
- 5 override the default (using Gson) to generate code using Jackson
- 6 tell the generator that the class `Pan` already exists

# Design First – Links

Complete `pom.xml` files on GitHub:

- <https://github.com/pe-st/apidocs/blob/master/design-first-swagger-codegen/pom.xml>
- <https://github.com/pe-st/apidocs/blob/master/design-first-openapi-generator/pom.xml>

## Design First – Disable Scanner

- The MicroProfile annotation scanner combines per default *static OpenAPI documents* with the annotated code
- The JAX-RS annotations are mandatory
- The MicroProfile annotations aren't needed in the generated code, but can't currently be disabled
- The OpenAPI document is provided as source (design-first), no annotation scan needed: disable it

```
# src/main/resources/META-INF/microprofile-config.properties
mp.openapi.scan.disable = true
```

# Design First Endpoint

See also the [Code First Endpoint](#)

```
import xxx.generated.model.PinCheckRequest; ①
import xxx.generated.model.PinCheckResponse; ①

@Path("/pincheck")
@POST
②
public PinCheckResponse pinCheck(PinCheckRequest request) {

    PinCheckResponse response = new PinCheckResponse();
    // calculate response
    return response;
}
```

- ① package containing a `.generated.` part to emphasize that it shouldn't be touched
- ② no OpenAPI annotations needed

## Design First Gotcha – Import (1/2)

Import-Mapping (Pan=xxx.model.Pan), see pom.xml, doesn't seem to work with openapi-generator (see also <https://github.com/OpenAPITools/openapi-generator/issues/3589>)

```
components:
  schemas:
    Pan:
      description: The number embossed on credit cards
      type: object 1
      format: string
    PurchaseAuthRequest:
      description: Request for authorizing a Purchase
      required:
        - pan
      properties:
        pan:
          $ref: '#/components/schemas/Pan'
```

- 1 type: string would make Swagger-UI prettier, but can't use imported type

# Design First Gotcha – Import (2/2)

Resulting generated classes:

## Swagger Codegen

```
public class PurchaseAuthRequest {  
  
    @JsonProperty("pan")  
    private xxx.model.Pan pan = null; ①  
  
    /**  
     * Get pan  
     * @return pan  
     */  
    @Schema(required = true, description =  
    public xxx.model.Pan getPan() { ①  
        return pan;  
    }  
}
```

- ① import-mapping Pan →  
xxx.model.Pan worked

## OpenAPI Generator

```
public class PurchaseAuthRequest {  
  
    public static final String JSON_PROPERTY_PAN = "pan";  
    private Object pan; ①  
  
    /**  
     * The number embossed on credit cards  
     * @return pan  
     */  
    @ApiModelProperty(required = true, value = "The number embossed on cre  
    @JsonProperty(JSON_PROPERTY_PAN)  
    @JsonInclude(value = JsonInclude.Include.ALWAYS)  
  
    public Object getPan() { ①  
        return pan;  
    }  
}
```

- ① should be xxx.model.Pan instead of Object

# Import Mapping Links

- [OpenAPI Generator Import Mappings](#)
- [OpenAPI Generator Bringing your own Models](#)

# Design First or Code First – Opinions

The Swagger Blog (<https://swagger.io/blog/api-design/design-first-or-code-first-api-development/>) tells to choose the approach based on different procedural needs:

- Design First when the API is more important ("Mission Critical API", "Good Communication")
- Code First when "delivery speed" matters (because "automation is much easier in the code-first approach", better library support)

My point of view: Developer writing and maintaining a service with long lifecycle

- The processes are out of the picture (you might not have any influence)
- In my point of view the developer gets business requirements and shall deliver both a working service and an OpenAPI document
- This talk shows that the workflow indeed slightly differs between the two approaches

# Code First Review

## Pros

- No need to learn OpenAPI Documents
- Project can just be opened in the IDE (No need to generate code first)

## Cons

- Portability: not every scanner creates the same `openapi.yaml`
- Portability: minor differences between Swagger and MicroProfile annotations
- Boilerplate and code duplication
- Additional integration step needed to publish the OpenAPI document to a repository

# Design First Review

## Pros

- One source of truth: Code, Documentation and Annotations generated from the same information
- can generate also Bean Validation annotations and Javadoc
- easy integration of an API repository (use an URL to the OpenAPI document)

## Cons

- Learning Effort
- IDE integration not optimal yet

`openapi-generator` drawbacks (compared to `swagger-codegen`)

- Still uses old annotations from Swagger 1.5 in generated code (not configurable)
- Couldn't get `importMappings` to work

# Take Aways

- When choosing a *code-first* approach with a SmallRye-based implementation, consider using Swagger as Scanner (with the Maven plugin at build time), the resulting OpenAPI is better
- *code-first* approach: make it a habit to check the generated OpenAPI document after code changes or scanner updates
- *design-first* approach: when you need to use existing classes in your models, Swagger Codegen is currently still better, though this might change (OpenAPI Generator gets frequently new releases)

## Code Generator Comparison

swagger-codegen	openapi-generator
<ul style="list-style-type: none"><li>• Apache License 2.0</li><li>• Two different branches for OpenAPI 2.0 (master) and 3.0 (3.0.0)</li><li>• Branch 3.0.0 quite different from master</li><li>• Very few tests on 3.0 branch</li></ul>	<ul style="list-style-type: none"><li>• Apache License 2.0</li><li>• Fork from OpenAPI 2.0 branch (ca 2018-04, <a href="#">Fork Q&amp;A</a>) with added support for OpenAPI 3.0 documents</li><li>• Community Driven</li><li>• Import-Mappings not working (<a href="#">Issue 3589</a>)</li></ul>

# Thank You!

- Source Code: <https://github.com/pe-st/apidocs>
- OpenAPI Specification:  
<https://github.com/OAI/OpenAPI-Specification/blob/3.0.2/versions/3.0.2.md>
- MicroProfile OpenAPI:  
<https://github.com/eclipse/microprofile-open-api/blob/master/spec/src/main/asciidoc/microprofile-openapi-spec.adoc>



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