

Microcontroller based eye tracker using RGB-D camera for detection of driver awareness

A Thesis
Presented to the Faculty of the
Department of Electronics and Communications Engineering
Gokongwei College of Engineering
De La Salle University

In Partial Fulfillment of the Requirements for the Degree of Bachelor of Science in Electronics and Communications Engineering

by

GUEVARRA, Alnair M. HERNANDEZ, Roy Stephen A. LAGMAN, Maria Josefa M. VILLANUEVA, Andre P.

October, 2018



ORAL DEFENSE RECOMMENDATION SHEET

This thesis, entitled Microcontroller based eye tracker using RGB-D camera for detection of driver awareness, prepared and submitted by:

GUEVARRA, Alnair M. HERNANDEZ, Roy Stephen A. LAGMAN, Maria Josefa M. VILLANUEVA, Andre P.

in partial fulfillment of the requirements for the degree of **Bachelor of Science in Electronics and Communications Engineering** (**BS-ECE**) has been examined and is recommended for acceptance and approval for **ORAL DEFENSE**.

Engr. Maria Antonette C. Roque *Adviser*

October 7, 2018



ABSTRACT

Eye tracking is a process of detecting, observing, and analyzing eye movements and its behavior. It utilizes an image capturing system that makes use of a developed algorithm for pupil detection and gaze estimation. The images will be captured using an RGB-D camera. The choice of camera is the Microsoft Kinect which can work with MATLAB software and MyRio. Nowadays, this technology is becoming ubiquitous due to its growing number of applications. One of which is being able to analyze driver awareness and the possibility of preventing road accidents caused by fatigue and drowsiness. A carefully designed prototype that detects several levels of drowsiness will be mounted on strategic locations that is optimal for data collection and analysis. The levels of drowsiness will be characterized by the time the drivers eyelids are closed, number of blinks, and pupil movements. Moreover, the said levels are based on psychological and scientific researches. The prototype will be tested based on the accuracy of an alarm system that also has different levels of notifying the drivers as well as the passengers.

Index Terms—Eye Tracking, RGBD camera, MyRio.



TABLE OF CONTENTS

Oral De	efense Recommendation Sheet	ii
Abstrac	et	iii
Table of	f Contents	iv
List of I	Figures	vi
List of T	Tables	vii
Chapter	r 1 INTRODUCTION	1
1.1	Background of the Study	2
1.2	Prior Studies	3
1.3	Problem Statement	4
1.4	Objectives	5
	1.4.1 General Objective(s)	5
	1.4.2 Specific Objectives	5
1.5	Significance of the Study	6
1.6	Assumptions, Scope and Delimitations	7
	1.6.1 Assumptions	7
	1.6.2 Scope	7
	1.6.3 Delimitations	8
1.7	Description and Methodology	8
1.8	Overview	11
	1.8.1 Gantt Chart	11
	1.8.2 Estimated Work Schedule and Budget	13
Chapter	r 2 LITERATURE REVIEW	14
2.1	Existing Work	15
	2.1.1 Eye Tracking and its Applications	15
	2.1.2 Eye Tracking using Different Cameras (RGB-D and Webcam)	17
	2.1.3 Eye Tracking Applied on Drivers Awareness and Eye Behavior	19
	2.1.4 Pupil Detection Algorithm	20
	2.1.5 Eye Tracking Implementation using Microcontrollers	22
2.2	Lacking in the Approaches	23
	2.2.1 Eye Tracking and its Applications	23



	2.2.2	Pu	pil I	Dete	ctio	n A	Alg	or	th	m												24
2.3	Summ	ary																				25
Refe	erences												•	•			 •	•	•			26



LIST OF FIGURES

1.1	Eye Evaluation Flowchart	10
1.2	Proposed Block Diagram of Driver Monitoring System	10
1.3	Gantt Chart of Guevarra	11
1.4	Gantt Chart of Hernandez	12
1.5	Gantt Chart of Lagman	12
16	Gantt Chart of Villanueva	13



LIST OF TABLES

1.1	Evaluation of level of Drowsiness												(9
1.2	Price List of Materials to be Used												1	3



Chapter 1 INTRODUCTION

Contents

1.1	Background of the Study	2
1.2		3
1.3	Problem Statement	1
1.4	Objectives	5
	1.4.1 General Objective(s)	5
	1.4.2 Specific Objectives	5
1.5	Significance of the Study	5
1.6	Assumptions, Scope and Delimitations	7
	1.6.1 Assumptions	7
	1.6.2 Scope	7
	1.6.3 Delimitations	3
1.7	Description and Methodology	3
1.8	Overview	1
	1.8.1 Gantt Chart	1
	1.8.2 Estimated Work Schedule and Budget	3



1.1 Background of the Study

Understanding human eye behavior has been one of the most prevalent topics in implementing different applications. One of these include Eye Gaze Estimation, where eye tracking technology is used to be able to determine the direction of the gaze of the eyes. Studying eye behavior can have extended applications such as research on psychology, study on the behavior of people with disabilities, analyzing behaviors of drivers, security monitoring, and human-computer interfaces.

There are researches already being conducted in order to minimize the number of devices and models used to implement eye gaze estimation. However, current methods still require additional devices to be used. Eye gaze estimation could be done using an RGB-D camera wherein informations such as the depth or distance and texture could be obtained, where these information are integrated and obtained just by using a kinect.

RGB-D camera capture shows colored images as well as its depth which helps in 3-D representations and modelling. These cameras augment the usual images with its depth information. The augmentation happens in per-pixel basis. The RGB-D camera to be used in this study will be the Microsoft Kinect. This camera is useful because it is included in the line of motion sensing devices made by a reputable technological company. It makes use of natural user interface to capture images.

One way of determining whether an automobile driver is feeling drowsy or distracted is by observing the eye behaviour. One of the significant eye metrics that determines whether a driver is feeling drowsy is the frequency of eye closure exceeding one second whereas shorter ones are considered as blinks (Verwey & Smith, 2000). The researchers intend to do the same thing with regards to evaluating driver drowsiness by observing the eyes, if either



one or both of the eyes are closed. Eye gaze estimation is used for evaluating whether the driver is distracted or not.

One past study presents the use of Kinect as the RGB-D camera to track eye gazing by using the algorithm from the thesis Eye-Model-Based Gaze Estimation by RGB-D Camera (Jianfeng & Shigang, 2014). The Kinect sensor is able to acquire the pose and 3D position of the head whereas the problem to be solved is detecting the pupil center of by obtaining it from the 3D eye model. The basis research showed promising results with little error in the algorithm of pupil centering. In addition, the researchers will be implementing the application of driver drowsiness detection that will alert the driver whenever they are positive of drowsiness.

1.2 Prior Studies

The use of eye tracking in daily lives of people is becoming ubiquitous. There have been studies that make use of eye tracking for different applications such as personality tests, focus and attention analysis, and even used in the automotives industry. There have been studies about eye tracking and its general applications. The applications presented include scientific and academic research, market research, neuroscience and psychology, psychology research, medical research, usability research, packaging research, pc and gaming research, human factors and simulation, and ophthalmology (Punde et. al., 2017).

There were also studies that made use of eye tracking in order to study and analyze data from vehicle drivers. The data gathered and analyzed can be used in order to improve road safety and security as well as prevention of road accidents. Programming softwares such as MATLAB was used in order to test drivers awareness and detect drowsiness in



real-time. The addition of an alarm system for drivers will ensure that they can be alerted and reminded.

The data used in these researches came from a large database known as GazeCapture as well as manually captured with the use of different kinds of cameras such as RGB-D and even webcam. The use of webcam is essentially focused on simplicity because it is already integrated in some devices such as laptops. On the other hand, the use of RGB-D camera in some researches showed that the accuracy and quality of images have improved. The microcontrollers used in past researches include Arduino and Raspberry Pi. These microcontrollers were used because of their simplicity, low cost, and low power consumption. The use of microcontrollers was utilized in past researches because algorithms can be programmed easily using applicable softwares.

1.3 Problem Statement

Road accidents has become one of the most prevalent cause of death in the Philippines wherein 26% of it was caused by drivers errors (Tamayo, A., 2009). Driver error includes drivers awareness, the proposed thesis aims to create a device that would alert the driver in time to help in prevention of such accidents. The use of laptops for implementation of the eye tracking device in automobiles might be impractical due to its size. The researchers propose the use of microcontrollers to solve this problem. Although the use of microcontrollers would limit the robustness of the device. Microcontrollers have lower memories than a laptop therefore there would be less codes that can be embedded and the execution of codes are slower. The researchers would look for the optimal setup both in hardware and the software so that it would still be usable and could be improved for



further researches. Also the size of the kinect might be a hindrance to the view of the driver and might violate the RA 10913, which is the Anti-Distracted Driving Act. The solution to this is to place the kinect in a place where it can be mounted in accordance to the Anti-Distracted Driving Act.

1.4 Objectives

1.4.1 General Objective(s)

The main objective of the proposed thesis is to design an eye tracker using an RGB-D camera that will be able to detect blink duration, blink interval, and eye gaze estimation for evaluation of driver drowsiness or distractedness

1.4.2 Specific Objectives

- 1. To develop an algorithm for detection of driver awareness based on eye parameters such as blink duration, blink intervals, and eye gaze estimation.
- 2. To create an alarm that will notify the driver when the device detects that the level of drowsiness of the driver is sleepy or is not focused on the road.
- To achieve at least 80% accuracy in determining the level of drowsiness: Awake, Low Alertness, Drowsy, Sleepy.



1.5 Significance of the Study

Knowing how the human eye behaves in different situations and time shows a number of things about a given situation. The detection of where the eyes look at is helpful in determining ones focus and attention. Moreover, the regions within the eyes sight that are ignored will also be known. Additional capabilities of eye trackers include detecting drowsiness, consciousness, and other mental states. The integration of these eye trackers to available electronic devices such as computers and mobile phones helps in analysis of consumers behavior and may lead to further technological advancements. In addition, almost every work and actions require visual information that is why eye tracking is very significant. The list of applications grows as time passes. Eye tracking technology also helps in researches and designs of fields including automotives, medical, defense, and entertainment industries.

By understanding the users eye behavior, new safety and security measurements may be designed. In addition, there will be improvements on existing work based on data gathered from the eye movements and gaze patterns. The beneficiaries of this study include the user, the society and industries that make use of visual data for improvements, and future researchers as well. The user and the industries that continue to improve their products and devices help each other in such a way that the safety, security, and satisfaction of the users will be achieved given that their visual data and gaze patterns will be carefully analyzed by different industries. This study also helps in improving and testing of existing algorithms for eye tracking. Thus, this study will be of great help to future researchers that will engage and tackle this topic because eye tracking is important and significant in this modern age.



1.6 Assumptions, Scope and Delimitations

1.6.1 Assumptions

- It is assumed that there are more people feeling sleepy at night therefore causing drowsy driving
- 2. Device should be working even on low light conditions because of the first assumption.
- 3. If the pupil of the eyes is not located at the center for at least two seconds, then the driver is distracted and an alarm system will turn on.
- 4. Eyes are subjected under normal conditions thus, factors that affect the ocular parameters are not included.

1.6.2 Scope

- 1. Can measure the blink interval of the eyes as an additional parameter in determining whether the driver is drowsy or not.
- 2. Measure the blink duration of the eyes
- To test for drivers drowsiness, the device should be able to detect and classify the level of drowsiness of the driver.
- 4. Can detect whether driver is distracted by using pupil center estimation



1.6.3 Delimitations

- 1. Existing algorithms on eye detecting will be used.
- 2. Built in face detection algorithm provided by the Kinect Microsoft will be used.
- 3. In the pupil center estimation, the Kinect Microsoft will have a difficulty with detecting the pupil person being evaluated has little eye openings

1.7 Description and Methodology

Visual data will be gathered using RGB-D camera. The data gathered will be used by the eye tracker that follows a specific algorithm for each step of eye tracking. This eye tracker will be used to study and analyze the drivers eye movements. Moreover, there will be an alarm system when the eye tracker detects fatigue, drowsiness, or other states which are not suited for driving a vehicle. The eye tracker will be placed in a position that does not block the drivers vision of the road and other vehicles. In addition, the eye trackers placement is at a position permitted by the law.

The head pose is estimated using the kinect sensor and a head coordinate system is generated. Using the pupil center estimation algorithm, the 2D position of the pupil center will be taken and then translated later to 3D provided by the RGB-D camera, kinect sensor. Calibration will then take place by detecting the eyeball center. After the pupil center and eyeball center locations have been known, the gaze direction can be estimated.

Using MATLAB and SIMULINK, an algorithm will be made for the evaluation whether the driver is drowsy or distracted. The driver drowsiness is evaluated into four levels such as Awake, Low Alertness, Drowsy, and Sleepy. Distractedness is detected if the pupil of



TABLE 1.1 EVALUATION OF LEVEL OF DROWSINESS

Level of Drowsiness	Description
Avvolva	Normal blink interval (3-4s)
Awake	Normal blink duration (100-400ms)
Low Alertness	Shorter blink interval (less than 3s)
Low Alerthess	Normal blink duration(100-400ms)
Drowsy	Long blink duration (400ms-1s)
	Very long blink duration
Sleepy	and/or single sleep events
	(more than 1s)

the eyes is not found at the center of the eye. Thus, indicating that the driver is not looking at the road. Each level of drowsiness will have an indicator and if the driver is evaluated as sleepy or distracted an alarm will sound off to warn the driver.

Figure shown below shows the flowchart of how the eye behavior of the driver will be evaluated before the driver is warned from either being drowsy or distracted while driving

De La Salle University

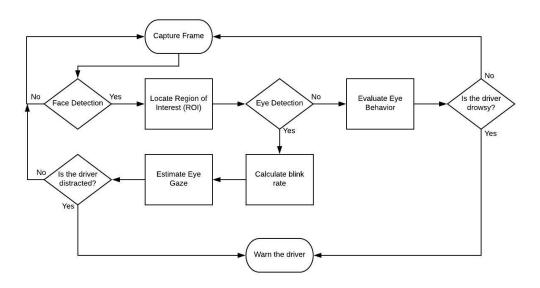


Fig. 1.1 Eye Evaluation Flowchart

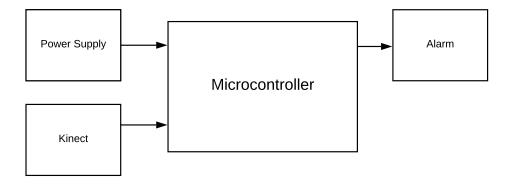


Fig. 1.2 Proposed Block Diagram of Driver Monitoring System



1.8 Overview

1.8.1 Gantt Chart

The following figures shown below is the individual gantt chart of the researchers:

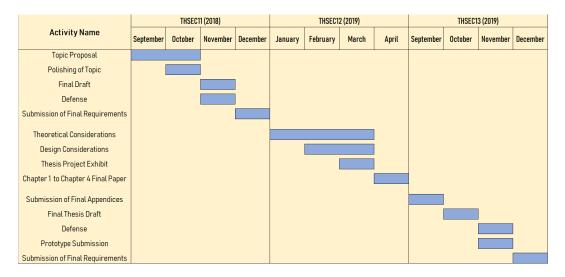


Fig. 1.3 Gantt Chart of Guevarra

De La Salle University

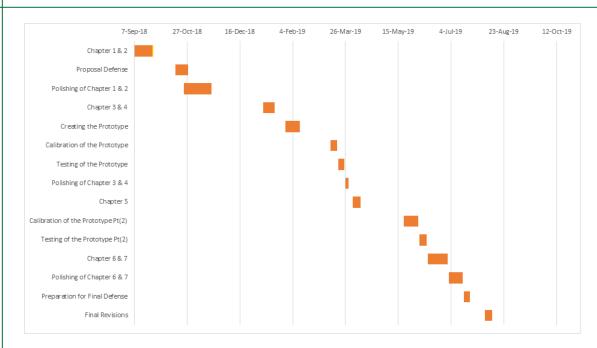


Fig. 1.4 Gantt Chart of Hernandez

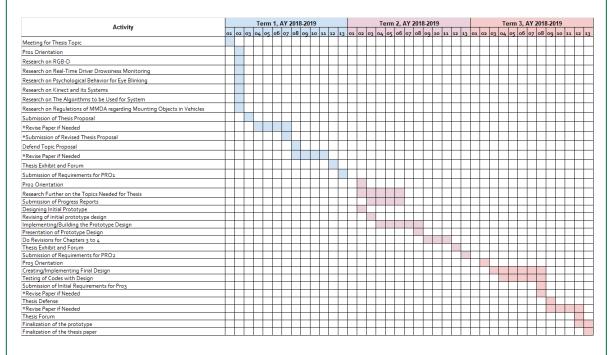


Fig. 1.5 Gantt Chart of Lagman

De La Salle University

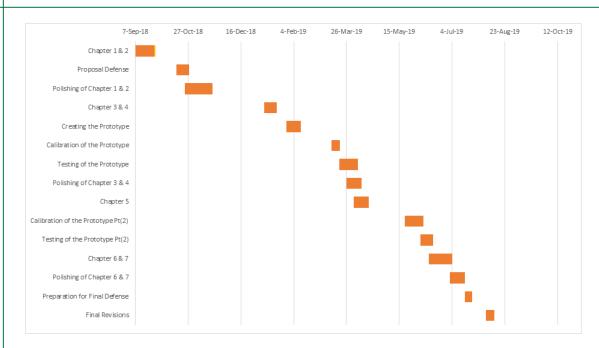


Fig. 1.6 Gantt Chart of Villanueva

1.8.2 Estimated Work Schedule and Budget

TABLE 1.2 PRICE LIST OF MATERIALS TO BE USED

Materials	Estimated Price(in PHP)
Kinect Sensor	4.3k
Microcontroller	450-2k
Laptop	Already Available
Total Price	4.75-6.3k



Chapter 2

LITERATURE REVIEW

Contents

2.1	Existir	ng Work	15
		Eye Tracking and its Applications	
	2.1.2	Eye Tracking using Different Cameras (RGB-D and Webcam)	17
	2.1.3	Eye Tracking Applied on Drivers Awareness and Eye Behavior	19
	2.1.4	Pupil Detection Algorithm	20
	2.1.5	Eye Tracking Implementation using Microcontrollers	22
2.2	Lackir	ng in the Approaches	23
	2.2.1	Eye Tracking and its Applications	23
	2.2.2	Pupil Detection Algorithm	24
2.3	Summ	ary	25



2.1 Existing Work

2.1.1 Eye Tracking and its Applications

Eye tracking gives information on where do people look and what they ignore. The concept of eye tracking is very basic but the process and implementation can be very diverse and complex (Punde et. al., 2017). Vision is the most important sense (Punde et. al., 2017). In this study, detecting the behavior of drivers when driving especially at night is vital. By using eye tracking technology, the drivers focus, attention, and presence can be analyzed and studied. The eye trackers are important part of this study because it measure visual attention and has become an important research tool (Jadhav, 2017). The different eye tracker metrics are vital in studying the behavior of the drivers. These metrics include the gaze point, fixation, smooth pursuit and saccades. The gaze point is the basic unit of measuring eye movement. The fixation is a cluster of gaze points. The smooth pursuit is a slow eye movement that allows the eye to follow an object moving slowly. On the other hand, the saccade is a rapid eye movement between fixations. By knowing these metrics, the drivers eye movements can be studied more. The slow and rapid eye movements of the drivers eyes can lead to various inferences and conclusions.

Eye tracking is important in many aspects (Krafka et. al., 2016). The number of these aspects grow as technology advances. Even though there are so many aspects wherein eye tracking is applicable, it has yet to become an ubiquitous technology (Krafka et. al., 2016). Meaning, eye tracking technology is not yet widespread or being used globally unlike the technology of voice assistants and speech recognition. The application of eye tracking may range from human-computer interaction, medical diagnoses, psychological studies,



and computer vision (Krafka et. al., 2016). It was strongly stated that the human gaze is the externally-observable indicator of human attention (Khosla, 2016). The use of eye tracking requires a device where it will be interfaced for it to reach its full capabilities and functionality. This technology does not require additional devices from users because it can be interfaced on devices that they already have such as mobile phones and computers (Krafka et. al., 2016). A convolutional neural network was interfaced to a mobile device. The results with and without calibration were compared. The results show that a prediction error of 1.34cm to 2.12cm can be obtained with calibration. Without calibration, the error goes up to 1.71cm and 2.53cm.

Non-intrusive ways of integrating eye tracking with other devices are better than intrusive ways (Nguyen, 2009). It is because non-intrusive ways do not require devices that cause distraction and annoyance to the user. Intrusive ways include using wearables such as headgears with cameras. On the other hand, non-intrusive ways of eye tracking include having a camera that is from a specific distance away from the user. There were three methods used in predicting eye gaze. These methods include detection using Haar-based features, Lucas Kanade method for tracking eye, and Gaussian process. The Haar-based method used thousands of samples of views and trains classifiers to detect object rapidly (Nguyen, 2009). On the other hand, the Lucas Kanade method uses set of pyramid representations of the original image and use the conventional tracking algorithm for each image pyramid (Nguyen, 2009). Lastly, the Gaussian process was used in eye tracking in such a way that given the gaze data of the user, the mean and covariance of these functions will be used to make predictions. (Nguyen, 2009).



2.1.2 Eye Tracking using Different Cameras (RGB-D and Webcam)

Eye gaze tracking systems are usually based on the use of infrared lights. However, such systems may not work outdoor or may have a very limited head box for them to work (Xiong et, al., 2014). This research paper proposes a non-infrared based approach in order to track the eyes with the use of an RGBD camera using Kinect. Their method uses a personalized 3D face model. The system tracks the center of the iris and a set of 2D facial landmarks whose 3D locations are provided by the camera. (Xiong et, al., 2014). They used a non-IR based method because there are less-strict requirements for hardware and it is easier to integrate with laptops and tablets (Xiong et, al., 2014). Without the use of IR lighting, the visibility of the pupils were decreased, so they replace the task of pupil detection with iris detection. They compared the performance of using RGB from using RGBD and found out that there is more accurate tracking in RGBD. However, the results of their non-IR based method are not as accurate as the ones from the IR based approach.

The only RGB-D device used in this project is a Kinect sensor for gaze estimation for humans. The Kinect is set with a head coordinate system where the pupil center is detected from the image. The next step then involves calibration of the eyeball center where the system requests the human to gaze at a position creating a 3D eye model. The direction of the eye gaze is computed with the obtained calibrated eyeball center and pupil center. In their proposed method, it involves three main steps namely the face pose estimation, pupil center estimation, eyeball center calibration, and gaze estimation. The face pose estimation method uses the algorithm provided by Microsoft Kinect where additional devices are



not needed to be able to estimate the head pose correctly. In pupil center estimation, an algorithm done by Febian Timm and Erhardt Barth is used that locates the pupil center by assuming that the pupil center P and the eyeball center C is a constant K. The eyeball center calibration is done by having two vectors: the target and the pupil center where the angle is obtained and these parameters are inputted to the given equation to calculate the eyeball center calibration. Lastly, the gaze estimation is obtained by the given parameters from the first three methods mentioned. Their project worked well with minimal error on the accuracy of the algorithm on detecting pupil center. (Jianfeng & Shigang, 2014).

In this research, they implemented an eye ball tracking system for patients that cannot perform any voluntary tasks related to daily life. A real time data stream of the eye movement is captured using a webcam that transfers data serially to MATLAB. (Mazhar et, al., 2015). After that, a sequential image processing scheme segments the iris of the eye and calculates the centroid. Their idea was to create a general eye tracking tool that can be interfaced with wheelchairs, moveable patient beds, alerting mechanisms for disabled ones etc. (Mazhar et, al., 2015). The hardware that they used was a webcam by Logitech which can be interfaced serially using a USB port of a computer. This method involves an intrusive system because the camera is mounted to a headband. Their eye detection algorithm involved image acquisition through the use of the webcam, then plane separation which is the RGB plane, then thresholding (segmentation), then open binary image, then structuring, then dilation, and finally centroid calculation.



2.1.3 Eye Tracking Applied on Drivers Awareness and Eye Behavior

Road accidents have been prevalent in India and has shown consistency in increasing each year. The highest percentage as to why road accidents occur are due to the drivers themselves. This project aims to determine driver drowsiness by using a webcam in determining the eye blinking action of the driver using an algorithm that is implemented using cascade object identifier from vision toolbox of MATLAB. Their system will take the recorded video intro frames where these frames are then processed one by one, which results to a more accurate eye detection as oppose to eye tracking. To calculate the eye blinking, they have used an equation to calculate average drowsiness, number of closed eye found over number of frames; A closed eye would equate to zero eye blinking and one if the eyes are partially or fully open. If the percentage of drowsiness is set to be more than the threshold value, an alert signal will be generated to the driver. Two systems were used to test the research: System I: Inspirion 15 (DELL), core i3 processor (64bit) with 4GB of RAM, VGA inbuilt web cam, MATLAB 13; and System II: Inspiron 15 (DELL), core 13 processor (64bit) with 8GB of RAM, 3 MP USB web cam, MATLAB 13. About 1000 frames are processed online and 1500 frames are processed offline; while both systems show efficient results in overall drowsiness detection, System II has showed a more promising result as compared to System I. (Ahman & Borole, 2015).

The objective of the project is to be able to simultaneously observe closed eyes and open mouth, when positive for yawning, the driver is alerted with a buzzer. A real-time video is captured by placing a camera in front of the driver using OpenCv, Viola Jones



Algorithm, and Contour finding Algorithm are used in face detection. There are multiple detections considered in their system but the four main steps are as follows: face and eye detection, eye blinking detection, mouth detection, and warning system design. To detect face and eyes, they have used Viola Jones and Region of Interest (ROI) to locate the eyes. In eye blinking detection, the researchers only considered detecting the right eye in assumption that both eyes blink at the same time. The method used to get the eye blinking rate uses a ring buffer that calculates eye blinking rate every after first 100 first frames, writing 1 to the buffer when eye blinking is detected and 0 if otherwise. When the mouth is detected, decision of yawning is done by obtaining the difference between largest and smallest Y-coordinate values from the mouth; If the height surpasses the given threshold, the person is yawning. Their experiment lasted for 20 days that is divided into 6 sections: morning, afternoon, a given critical time, evening, night, and another given critical time. They also tested this on different test subjects based on sexuality, a person having or not having a mustaches and/or glasses, and age, giving a general best time result to use the system for each test subject.

2.1.4 Pupil Detection Algorithm

Pupil detection algorithm is an important algorithm in eye tracking. This algorithm has to offer robust, fast, and adaptive process in order to be used efficiently in assistive applications (Pasarica et. al., 2015). These applications include neuromotor patients telemonitoring and bidirectional patient-health professional communication. There are various pupil detection algorithms examples of which are based on circular Hough transform (CHT) or Starbust. There are three stages of pupil detection. These include acquisition of the input image, image filtering, and eye pupil center detection algorithm (Pasarica et. al., 2015). The



CHT is considered a two dimensional type of algorithm when the circle radius is already known. The principle of this algorithm relies on the property of edge points of the circle. On the other hand, the Starbust algorithm is a combined model and feature based approach algorithm. It deals with the optical balance between running time and accuracy of detection. For Starbust algorithm to be functional, there are seven stages. These stages are image acquisition, corneal reflection detection, corneal reflection removal, feature points analysis, RANSAC for feature points, contour fit establishment, and model based shape optimization (Pasarica et. al., 2015). The most common technique used by modern eye trackers is Pupil Center Corneal Reflection (PCCR) (Punde et. al., 2017). It uses near-infrared camera or other optical sensor for gaze tracking. Starburst algorithm offers better accuracy in cursor movement with higher interferences (Pasarica et. al., 2015).

This project uses a non-intrusive eye tracking system which means that it does not cause distraction to the user. There are a lot of road accidents happening everyday and preventing the drowsiness of the driver is a way of reducing road accidents. Their system can detect symptoms of driver fatigue early enough to warn the driver. It will give a warning output in form of a sound and a seatbelt vibration with a frequency of 100 to 300 Hz. (Singh et, al., 2011). They also stated that a good indicator of a fatigue state is in the form of microsleeps which lasts from 2 to 3 seconds. Basically, their method involves having the drivers image, then face detection, then eye detection, then the calculation of criteria for judging drowsiness. Besides eye tracking, they also have sensors on car steering and the speedometer of the car. These can help in detecting driver drowsiness further than just having eye tracking. However, there is a disadvantage in using their eye tracking system. They are using a computer to process the image of eye movement which is impractical



when driving because the user must bring a computer inside the car. Their image processing methods involved the use of MATLAB and it can detect eyelid movement, eye gaze, yarn and head nodding. (Singh et, al., 2011). They based their measure of driver alertness in PERCLOS (Percent Eyelid Closure) as it is the most reliable and valid in a study by the US Federal Highway Administration.

2.1.5 Eye Tracking Implementation using Microcontrollers

The proposed system for eye tracking is implemented in real time using an arduino uno microcontroller and a zigbee wireless device. (Venugopal et, al., 2016). Two types of infrared eye following procedures are used which are enhanced pupil and dim pupil. Their system consists of three general modules. The first module consists of eye tracking and detection in real time using Viola-jones algorithm. The second module involves the detection of the pupils using Hough transformation. The third module comprises the detection and tracking of the pupils and setting a direction. The obtained direction is sent to the Arduino with a wireless zigbee device for transmission of data. (Venugopal et, al., 2016). Basically, the three modules consists of image pre-processing, pupil region detection and pupil detection with movement detection.

The main goal of developing the driver monitoring system using eye gaze tracking is to reduce road accidents and improve road safety. The algorithm detects the drowsiness of the driver and alerts the user using sound and vibration from steering. (Mavely et, al., 2016). The control of the whole framework operation is based on the Raspberry Pi 2. The project uses a Logitech c270 HD webcam and an LED IR illuminator for capturing an image. The camera is connected to the Pi using a USB port and it is placed above the



steering wheel. An IR illumination is used to capture the users eyes during the night. For eye gaze tracking, CAMSHIFT (Continuously Adaptive Mean Shift) algorithm was used to extract the ROI (Region of Interest). The subjects drowsiness was detected if the eye gaze is not detected more than 2 secs. Their proposed method was compared with other types of approaches and found out that their method was very cost effective with a high rate of speed and accuracy (Mavely et, al., 2016). They compared it with the bioelectric signal and driver face monitoring methods.

2.2 Lacking in the Approaches

2.2.1 Eye Tracking and its Applications

For the implementation done by Krafka et. al., eye tracking technology were only integrated to mobile devices. This means that the implementation used a small form factor camera that can only be integrated to small devices like mobile phones. Using GazeCapture as its database, the data is from crowdsourcing (Krafka et. al., 2016). Crowdsourcing was used in order to deviate from prior works which made use of original data from cameras included in their eye trackers. The advantage of having a large database is that models can be tested repetitively for robustness (Krafka et. al., 2016). The eye tracker used showed results before and after its calibration. The algorithm used in this specific eye tracker can only be used if the data is from a given database. However, Krafka (2016) strongly stated that this eye tracker outperformed state-of-the-art eye trackers by a large margin.

An introduction made by Punde et. al. (2017) shows that there are two types of eye trackers. These types include remote or screen based eye trackers and head-mounted or



mobile eye tracker. The remote eye tracker requires the user to sit in front of the screen to interact with screen based content. On the other hand, head-mounted eye trackers does not distract users and lets them move freely. From their study, there are no direct applications per type of eye trackers and generalizations about both types of eye trackers were presented. This study was included in our research because of applications in automotives industry. Based on the eye trackers data, industries may improve their current products and devices. In addition, the visual data may be used for the industries analysis of eye movements and behaviors of users such as those of the psychological and medical applications (Punde et. al., 2017). This study is a helpful overview of eye tracking and its applications to different fields and practices. Even though there are no specific target field, the overall use, functionality, advantages, and disadvantages of eye trackers were presented clearly.

2.2.2 Pupil Detection Algorithm

For the pupil detection algorithm, both the CHT and Starbust algorithms were used using infrared cameras. In addition, no matter how precise these algorithms are, there are other factors that affect the results such as the computing performance of the devices and the visual data from the cameras themselves (Pasarica et. al., 2015). Both algorithms were used comparatively and analyzed based on different conditions that were present. The integration of both algorithms were not presented even though these algorithms are not of the same basis. CHT algorithm is based on parameters while Starbust algorithm is feature-based (Pasarica et. al., 2015). The pupil detection algorithms used were applied to neuromotor disabled patients. The Starbust algorithm was designed in such a way that it can perform better even if there are higher noise levels (Pasarica et. al., 2015). These



changes were not applied to CHT algorithm because it uses Gaussian process.

2.3 Summary

The proponents review of related literature were all about eye-tracking technology that comprised of five categories. These are applications of eye tracking, usage of RGB-D camera/Webcam in eye tracking, utilization of drivers eye behavior in eye tracking, detection of pupil algorithm, and implementation of eye tracking using microcontrollers.

In the applications of eye tracking, it was discussed that eye tracking can be used to help persons with disabilities, to study the psychology of people, and to interact with computers easily. In the usage of RGB-D camera/webcam, their differences were seen by their quality of RGBD detection. In the utilization of drivers eye behavior in eye tracking, it was researched that there were studies to measure driver alertness and it can be based to make an effective eye tracking device for drivers. For the pupil detection algorithm, it was explored that there were a lot of algorithms that can be used for eye tracking. All of those algorithms perform the same task of detecting the pupils but there were variations when doing the math and analysis of the eyes. Lastly, the implementation of eye trackers using microcontrollers were dealt with because the proponents want to focus on having a lighter and compact device for eye detection rather than having laptops/computers as the brains of the eye tracking device. The literatures about these involved different types of microcontrollers like arduino/raspberry pi that were used to implement the eye tracking device.



References

- Ahmad, R., & Borole, J. (2015). Drowsy driver identification using eye blink detection. *IJISET-International Journal of Computer Science and Information Technologies*, 6(1), 270–274.
- Jianfeng, L., & Shigang, L. (2014). Eye-model-based gaze estimation by rgb-d camera. In *Proceedings of the ieee conference on computer vision and pattern recognition workshops* (pp. 592–596).
- Krafka, K., Khosla, A., Kellnhofer, P., Kannan, H., Bhandarkar, S., Matusik, W., & Torralba, A. (2016). Eye tracking for everyone. In *Proceedings of the ieee conference on computer vision and pattern recognition* (pp. 2176–2184).
- Kumar, N., & Barwar, N. (2014). Detection of eye blinking and yawning for monitoring drivers drowsiness in real time. *Int. J. Application or Innovation in Engineering & Management*, 3(11).
- Matouq, J., Al-Nabulsi, J., Al-Kazwini, A., Baniyassien, A., Al-Haj Issa, G., & Mohammad, H. (2014). Eye blinking-based method for detecting driver drowsiness. *Journal of medical engineering & technology*, 38(8), 416–419.
- Mavely, A. G., Judith, J., Sahal, P., & Kuruvilla, S. A. (2017). Eye gaze tracking based driver monitoring system. In *Circuits and systems* (iccs), 2017 ieee international conference on (pp. 364–367).
- Mazhar, O., Shah, T. A., Khan, M. A., & Tehami, S. (2015). A real-time webcam based eye ball tracking system using matlab. In *Design and technology in electronic packaging* (siitme), 2015 ieee 21st international symposium for (pp. 139–142).
- Nguyen, B. L. (2009). Eye gaze tracking. In *Computing and communication technologies*, 2009. rivf'09. international conference on (pp. 1–4).
- Păsărică, A., Bozomitu, R., Cehan, V., Lupu, R., & Rotariu, C. (2015). Pupil detection algorithms for eye tracking applications. In *Design and technology in electronic packaging (siitme)*, 2015 ieee 21st international symposium for (pp. 161–164).
- Punde, P. A., Jadhav, M. E., & Manza, R. R. (2017). A study of eye tracking technology and its applications. In 2017 1st international conference on intelligent systems and information management (icisim) (pp. 86–90).
- Singh, H., Bhatia, J. S., & Kaur, J. (2011). Eye tracking based driver fatigue monitoring and warning system. In *Power electronics (iicpe)*, 2010 india international conference on (pp. 1–6).
- Tamayo, A. (2009). Occurrence of traffic accidents in the philippines: An application of



poisson regression analysis.

- Venugopal, B., & Dsouza, D. (n.d.). Real time implementation of eye tracking system using arduino uno based hardware interface.
- Verwey, W. B., & Zaidel, D. M. (2000). Predicting drowsiness accidents from personal attributes, eye blinks and ongoing driving behaviour. *Personality and individual differences*, 28(1), 123–142.
- Xiong, X., Liu, Z., Cai, Q., & Zhang, Z. (2014). Eye gaze tracking using an rgbd camera: a comparison with a rgb solution. In *Proceedings of the 2014 acm international joint conference on pervasive and ubiquitous computing: Adjunct publication* (pp. 1113–1121).
- Zhang, Y., Xin, W., & Miao, D. (2015). Personality test based on eye tracking techniques. In *Affective computing and intelligent interaction (acii)*, 2015 international conference on (pp. 832–837).

Produced: October 7, 2018, 21:51