Cooling

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Heat removal is necessary for all data centre environments. Almost all the electrical power input to IT equipment is converted to heat. Without any means to remove heat, the temperature in the closed space will rise quickly.

1 Temperature

Temperature quantifies how hot an environment is.

In Europe, temperature is usually expressed in Celsius (formerly Centigrade) unit. The Fahrenheit scale (°F) is sometimes encountered in US-centric publications about cooling systems.

Temperature Scales

Fahrenheit	Celsius	Kelvin	
212	100	373	Boiling point of water at sea-level
194	90	363	
176	80	353	
158	70	343	
140	60	333	
122	50	323	
104	40	313	
86	30	303	
68	20	293	Average room temperature
50	10	283	
32	0	273	Melting (freezing) point of ice (water) at sea-level
14	-10	263	
-4	-20	253	
-22	-30	243	
-40	-40	233	
-58	-50	223	
-76	-60	213	
-94	-70	203	
-112	-80	193	-89°C (-129 °F) Lowest recorded temperature, Vostok, Antarctica July, 1983
-130	-90	183	
-148	-100	173	

Reference: Ahrens (1994)

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Table 1: Temperature Scales (Ahrens 1994)

1.1 Temperature conversions

The most common conversion is to/from Fahrenheit.

$$T_C = \frac{T_F - 32}{1.8} \tag{1}$$

$$\Rightarrow T_F = T_C \times 1.8 + 32 \tag{2}$$

The Kelvin scale is rarely encountered in applied cooling work but is directly related to the Celsius scale:

$$T_C = T_K - 273 \tag{3}$$

$$T_K = T_C + 273 \tag{4}$$

2 Thermal envelope

Equipment and humans can only tolerate a certain range of temperatures, or thermal envelope. If IT equipment is operated outside of its thermal envelope it can lead to CPU throttling, thermal shutdown and reduced long-term lifespan.

The American Society of Heating, Refrigeration and Air conditioning Engineers (ASHRAE) have published a number of standards for thermal envelopes of data centre environments. The most recent guidelines published in 2011 are given in Table 2. Classes A1 to A4 represent normal data centre environments,

Classes (a)	Equipment Environmental Specifications							
	Product Operations (b)(c)					Product Power Off (c) (d)		
	Dry-Bulb Temperature (°C) (e) (g)	Humidity Range, non-Condensing (h) (i)	Maximum Dew Point (°C)	Maximum Elevation (m)	Maximum Rate of Change(°C/hr) (f)	Dry-Bulb Temperature (°C)	Relative Humidity (%)	Maximum Dew Point (°C)
Recommended (Applies to all A classes; individual data centers can choose to expand this range based upon the analysis described in this document)								
A1 to A4	18 to 27	5.5ºC DP to 60% RH and 15ºC DP						
Allowable								
A1	15 to 32	20% to 80% RH	17	3050	5/20	5 to 45	8 to 80	27
A2	10 to 35	20% to 80% RH	21	3050	5/20	5 to 45	8 to 80	27
A3	5 to 40	-12°C DP & 8% RH to 85% RH	24	3050	5/20	5 to 45	8 to 85	27
A4	5 to 45	-12°C DP & 8% RH to 90% RH	24	3050	5/20	5 to 45	8 to 90	27
B	5 to 35	8% RH to 80% RH	28	3050	NA	5 to 45	8 to 80	29
C	5 to 40	8% RH to 80% RH	28	3050	NA	5 to 45	8 to 80	29

Table 2: ASHRAE 2011 Guidelines

where environmental controls (e.g. cooling) are used. The ASHRAE recommended thermal envelope is between 18 and 27 degrees.

3 Cooling methods

In order to keep the temperature and relative humidity within permitted limits, we must rely on some method of cooling.

Conduction uses the room's surfaces to remove heat to the surrounding building.

Passive ventilation involves vents placed appropriately within the room to permit hot air to flow naturally out, to be replaced by cooler incoming air.

Fan-assist ventilation works similarly to passive ventilation, but the air movement is assisted by a fan.

Dedicated cooling is where the room air is not ventilated, but instead heat is removed from it.

3.1 Cooling method selection

Figure 1 shows the available cooling methods for smaller data centres and server rooms. As the IT Load increases in size, we often require dedicated cooling solutions.

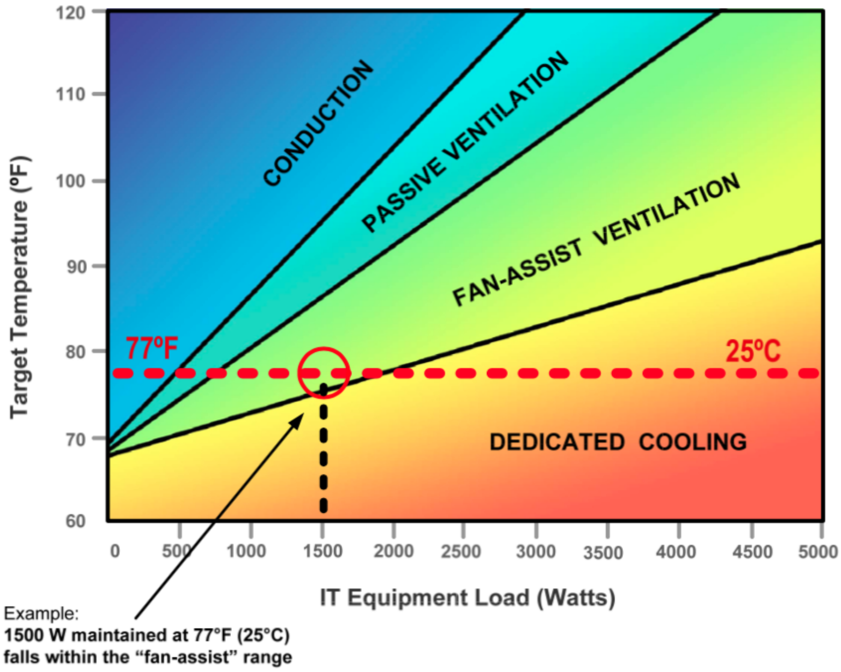

Figure 1: Cooling methods (APC)

3.2 Fan-assisted ventilation

Fans can be used on in smaller data centre environments to keep the temperature under control by exchanging the air with the ambient / outside environment, Figure 2.

Fans can be thermostatically controlled. They can be powered from a UPS to ensure the fans run even when mains power fails.

In practical terms, anything more than a simple closet with a few devices will need refrigerated cooling. Conduction, passive ventilation and fan-assisted cooling schemes are ultimately limited by the outdoor

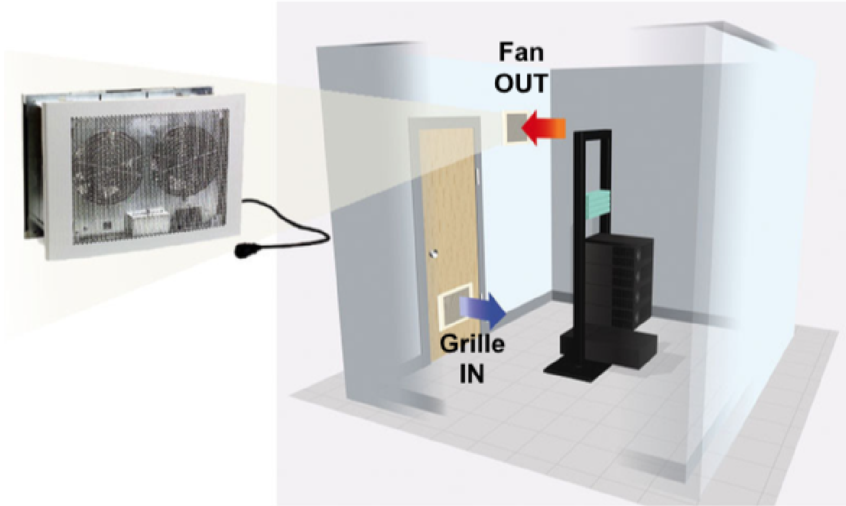

Figure 2: Fan-assisted ventilation

temperature. Consider trying to keep a room at 22C when the outdoor temperature is 34C!

4 Refrigeration cycle

The only way to make heat "flow uphill" from cold to hot is to assist it. From our point of view, we want to remove heat from our computer room and reject it to the atmosphere, to keep the room temperature under control. Figure 3 shows the basic refrigeration cycle.

There are **four stages** evident in Figure 3:

1. Cold liquid refrigerant in the **evaporator** is warmed by air passing over it, and boils at roughly 7.8 ℃. The air passing over the evaporator gives up some of its heat energy. It leaves at a cooler temperature than it entered at.
2. The **compressor** increases the pressure of the gaseous refrigerant, greatly increasing its temperature to over 50 ℃. In doing so, it also acts as a pump for the refrigerant around the loop, which is carrying the heat energy to reject.
3. Hot gaseous refrigerant enters the **condenser** coil, across which is circulated outside air. As the refrigerant is hotter than the outside air, it gives up its heat to the outside air. The air passing over the condenser receives heat energy from the hot refrigerant, leaving at a warmer temperature than it entered at. The refrigerant is cooled below its boiling point and changes phase to a liquid. It will still be quite hot to the touch!
4. The warm liquid flows through the **expansion valve**, which limits the flow of refrigerant such that it is boiled off in the evaporator. When the refrigerant emerges from the expansion valve, it expands since the flow is limited, and is ready for another cycle in the evaporator.

Watch the Refrigeration Cycle 101 video on YouTube

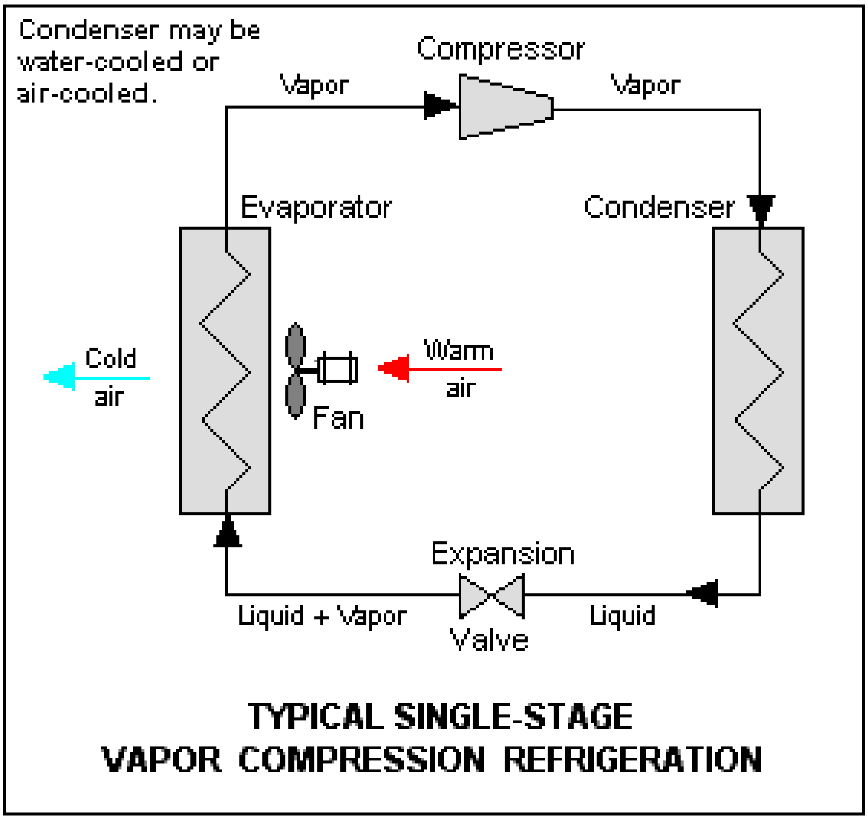

Figure 3: Refrigeration cycle

5 Types of cooling

Comfort cooling is what we are familiar with as air conditioning. Warm humid air in an occupied space is cooled and dehumidified. Usage varies with season and personal preferences.

Precision cooling is designed to work 24/7 365 days a year to keep temperature (and often humidity) within a set band for IT equipment to work effectively.

There are two main categories of cooling system seen in data centre environments, direct expansion (DX) and chilled water.

6 Computer Room Air Conditioners

Refrigerated cooling is provided in a data centre environments by two main families of equipment: Direct eXpansion (DX) and Chilled Water. DX systems where the evaporator directly meets air in the data centre environment are the simplest to understand and most common in small and medium environments.

DX systems normally take the form of a **Computer Room Air Conditioner**, or CRAC. A CRAC is normally a large floor-standing unit containing the evaporator coil, blower fan, compressor and other components.

CRACs are most usually seen in so-called downflow configuration, but upflow and horizontal ceiling mounted units are also common. CRAC evaporator fans normally run continuously. The refrigeration system cycles on and off under thermostatic control to maintain the selected temperature. Control is

Figure 4: Photo of a Computer Room Air Conditioner

often based on the return, supply or space air temperature. More sophisticated control strategies are becoming common, but the basic idea outlined above is sufficient.

6.1 Self-contained air-cooled DX

Self-contained units have all refrigeration components within the CRAC's casing, Figure 5.

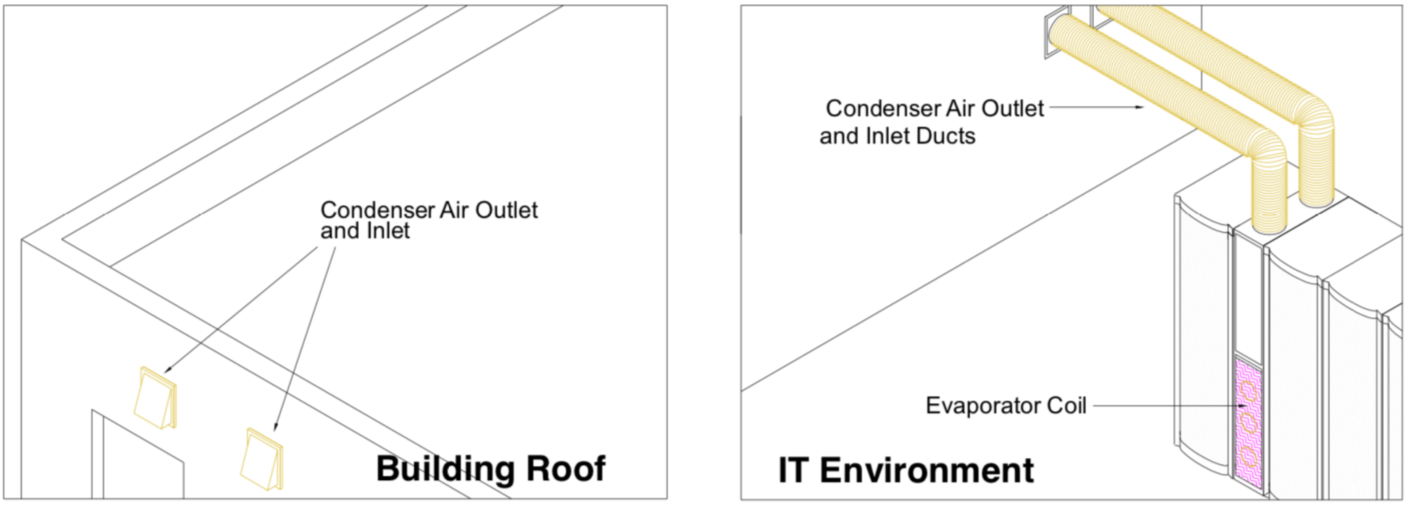

Figure 5: DX self-contained CRAC (APC)

The condenser supply and exhaust are ducted from the outdoors. Limited in cooling capacity to approx 15 kW due to unit size and ducting Often seen in small on-site server rooms.

6.2 Air-Cooled DX

Direct expansion, often abbreviated DX, cooling systems house the evaporator, compressor and expansion valve within the CRAC unit. The condenser is sited externally, with wiring and refrigerant connections from the CRAC unit, Figure 6.

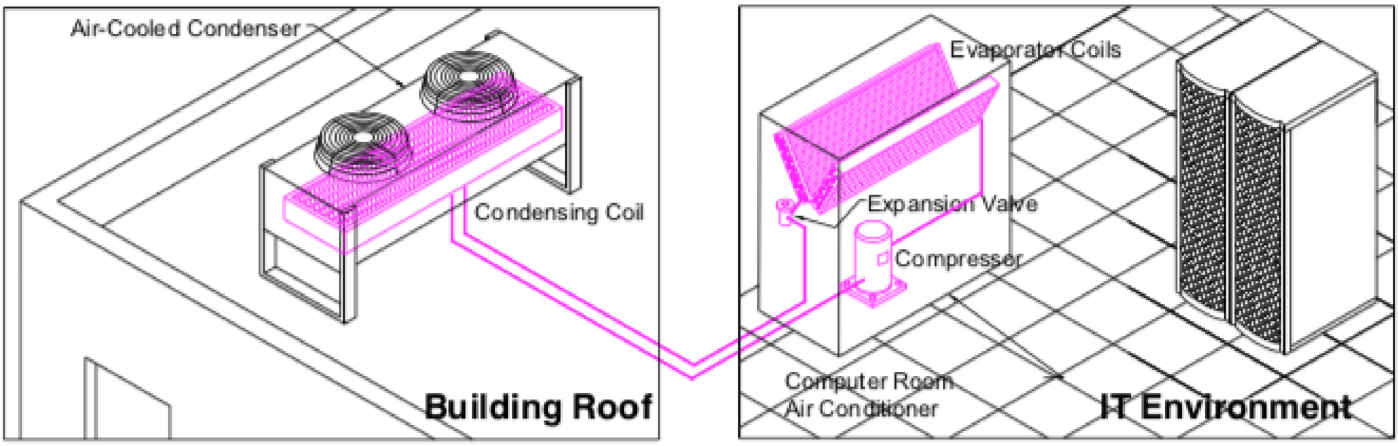

Figure 6: DX air-cooled CRAC with condenser (APC)

Candidate for 7 kW to 200 kW. Multiple units often used for larger capacities and to provide redundancy (see later).

Figure 7: Condenser

6.3 Split system

An alternative layout of the Air-Cooled DX system has the compressor is located in the outdoor unit, called a *condensing unit*. This arrangement is commonly called the *split system*, even though most DX system types are split.

7 Sizing

Generally, we total up the IT loads and pad the result by 30%.

8 Efficiency metrics

8.1 Coefficient of Performance (COP)

The coefficient of performance indicates how many kW of heat is removed per kW of electrical power input to the air conditioning system. It is calculated as:

$$\text{COP} = \frac{\text{cooling power in kW}}{\text{cooling system electrical input in kW}} \tag{5}$$

The COP has no units since the numerator and denominator have the same units.

8.2 British Thermal Units

Heat is a form of energy, the SI unit of which is the Joule, J. When a given amount of energy is transferred per second (e.g. $1\,\text{J}\,\text{s}^{-1}$), it is given the unit of the Watt, W.

Many ideas around refrigeration and air conditioning originated from the United States, where imperial units are still common. In the imperial system, energy is measured in the British Thermal Unit, or BTU. Power is measured in $\text{BTU}\,\text{h}^{-1}$.

To convert from kW to $\text{BTU}\,\text{h}^{-1}$, we use the relation:

$$1\,\text{kW} = 3412.142\,\text{BTU}\,\text{h}^{-1} \tag{6}$$

8.3 Energy Efficiency Ratio (EER)

The EER is normally calculated as:

$$\text{EER} = \frac{\text{cooling power in btu/hr}}{\text{cooling system electrical input in W}} \tag{7}$$

The EER has units of $\text{BTU}\,\text{h}^{-1}\,\text{W}^{-1}$, but the unit is often omitted.

Note that the EER and COP are directly related by the factor:

$$\text{EER} = 3.412 \times \text{COP} \tag{8}$$

8.4 Power Usage Effectiveness

The power usage effectiveness (PUE) of a data centre environment is:

$$\text{PUE} = \frac{\text{total power input to the data centre}}{\text{total power input to IT equipment}} \tag{9}$$

$$= 1 + \frac{\text{non-IT power input}}{\text{IT power input}} \tag{10}$$

The PUE does not take account of how efficient our IT loads are. We can approximate the bulk of the non IT loads in a data centre environment with mechanical cooling as the cooling loads.

The PUEis a dimensionless number. Lower is better. An ideal PUEwould be 1. The minimum value of the PUE is theoretically 1.