



KETAN UPADHYAY
46876 BRADLEY ST
FREMONT, CA 94539-7104

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity/ (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal

Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T) : If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Futures/Options Disclosures for EU Clients: In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
 - (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 - (3) Tell us the dollar amount of the suspected error.
- We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.



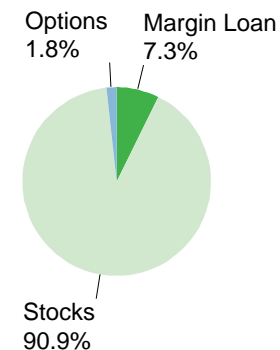
Statement Reporting Period:
10/01/20 - 10/31/20

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 498-190867

KETAN UPADHYAY
46876 BRADLEY ST
FREMONT, CA 94539-7104

Portfolio Summary						
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Margin Loan	(\$67,747.48)	(\$462,869.42)	\$395,121.94	-	\$ -	-
Insrd Dep Acct (IDA)	-	-	-	-	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	845,374.60	1,089,954.79	(244,580.19)	(22.4)%	4,274.00	0.5%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	16,323.55	52,911.00	(36,587.45)	(69.1)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	\$793,950.67	\$679,996.37	\$113,954.30	16.8%	\$4,274.00	0.5%
Margin Equity	100.0%					



Cash Activity Summary			Income & Expense Summary			Performance Summary	
	Current	YTD		Reportable	Non Reportable	YTD	
Opening Balance	(\$462,869.42)	\$ -	Income				Cost Basis As Of - 10/31/20 ** \$887,634.94
Securities Purchased	(1,080,358.01)	(11,480,992.29)	Dividends	\$ -	\$ -	\$2,425.00	Unrealized Gains 65,461.50
Securities Sold	1,475,807.73	10,740,108.58	Interest	1.19	-	5.73	Unrealized Losses (91,398.29)
Funds Deposited	-	675,000.00	Other	-	-	-	Funds Deposited/(Disbursed) ^{YTD} 675,000.00
Funds Disbursed	-	-	Expense				Income/(Expense) ^{YTD} (1,866.04)
Income	1.19	2,430.73	Interest	(329.01)	-	(3,744.07)	Securities Received/(Delivered) ^{YTD} 0.00
Expense	(329.01)	(4,296.77)	Fees	-	-	(552.70)	
Other	0.04	2.27	Other	-	-	-	
Closing Balance	(\$67,747.48)	(\$67,747.48)	Net	(\$327.82)	\$0.00	(\$1,866.04)	

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Cost Basis.**

Statement for Account # 498-190867

10/01/20 - 10/31/20

Online Cash Services Summary		
Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ 0.04	\$ 675,000.36
Subtotal	0.04	675,000.36
TOTAL	0.04	675,000.36

Income Summary Detail*		
Description	Current	Year to Date
Ordinary Dividends	\$ 0.00	\$ 1,300.00
Interest Income Credit Balance	1.19	5.73
Margin Interest Charged	(329.01)	(3,744.07)
Qualified Dividends	0.00	1,125.00
IDA Interest	0.00	1.91

*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
AMERICAN AIRLINES GROUP INC COM	AAL	2,251	\$ 11.28	\$ 25,391.28	08/11/20	\$ 32,166.79	\$ 14.29	\$ (6,775.51)	\$ -	-
ASTRAZENECA ADR SPONSORED	AZN	200	50.16	10,032.00	09/14/20	10,798.00	53.99	(766.00)	274.00	2.7%
CARNIVAL CORP UNITS	CCL	1,000	13.71	13,710.00	09/15/20	16,200.00	16.20	(2,490.00)	-	-
DOCUSIGN INC COM	DOCU	100	202.25	20,225.00	09/09/20	20,600.00	206.00	(375.00)	-	-
EMBRAER SA ADR SPONSORED	ERJ	7,100	4.12	29,252.00	06/18/20	44,915.00	6.33	(15,663.00)	-	-
HELMERICH & PAYNE INC COM	HP	4,000	14.87	59,480.00	08/11/20	76,660.00	19.17	(17,180.00)	4,000.00	6.7%
HERTZ GLOBAL HOLDINGS INC COM	HTZGQ	6,229	0.70	4,360.30	06/10/20	20,422.60	3.28	(16,062.30)	-	-

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
MODERNA INC COM	MRNA	2,500	67.47	168,675.00	09/16/20	172,175.21	68.87	(3,500.21)	-	-
NIKOLA CORPORATION COM	NKLA	2,000	18.31	36,620.00	09/22/20	62,233.25	31.12	(25,613.25)	-	-
NIO INC ADR	NIO	15,619	30.58	477,629.02	10/22/20	416,540.85	26.67	61,088.17	-	-
Total Stocks				\$845,374.60		\$872,711.70		\$(27,337.10)	\$4,274.00	0.5%
Options - Margin										
FACEBOOK INC FB Nov 13 20 300.0 C	-	5	\$ 0.8106	\$ 405.30	10/21/20	\$ 3,378.32	\$ 6.76	\$ (2,973.02)	\$ -	-
FACEBOOK INC FB Nov 13 20 260.0 P	-	5	9.00	4,500.00	10/21/20	2,903.32	5.81	1,596.68	-	-
NIO INC NIO Nov 13 20 27.0 C	-	25	4.5673	11,418.25	10/14/20	8,641.60	3.46	2,776.65	-	-
Total Options				\$16,323.55		\$14,923.24		\$1,400.31	\$0.00	0.0%
Total Margin Account				\$861,698.15		\$887,634.94		\$(25,936.79)	\$4,274.00	0.5%

Online Cash Services Transaction Detail			
Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	10/15/2020	Courtesy Adjustment	\$ 0.02
	10/20/2020	COURTESY ADJUSTMENT	0.01
	10/22/2020	Courtesy Adjustment	0.01
Subtotal			0.04
TOTAL			0.04

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									(\$462,869.42)
09/30/20	10/01/20	Margin	Sell - Securities Sold	NIO INC NIO Oct 02 20 19.0 C TO CLOSE Commission/Fee 65.00 Regulatory Fee 2.35	-	100-	\$ 3.40	\$ 33,932.65	(428,936.77)
09/30/20	10/01/20	Margin	Sell - Securities Sold	NIO INC NIO Oct 02 20 19.0 C TO CLOSE Commission/Fee 65.00 Regulatory Fee 2.15	-	100-	2.49	24,832.85	(404,103.92)
09/30/20	10/01/20	Margin	Sell - Securities Sold	NIO INC NIO Oct 02 20 21.0 C TO CLOSE Commission/Fee 65.00 Regulatory Fee 1.75	-	100-	0.66	6,533.25	(397,570.67)
09/30/20	10/02/20	Margin	Sell - Securities Sold	NIO INC ADR Regulatory Fee 2.08	NIO	3,500-	21.40	74,897.92	(322,672.75)
09/30/20	10/02/20	Margin	Sell - Securities Sold	NIO INC ADR Regulatory Fee 2.34	NIO	4,000-	21.00	83,997.66	(238,675.09)
10/01/20	10/02/20	Margin	Buy - Securities Purchased	NIKOLA CORPORATION NKLA Oct 09 20 25.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.28	-	20	2.15	(4,313.28)	(242,988.37)
10/01/20	10/02/20	Margin	Buy - Securities Purchased	MODERNA INC MRNA Oct 09 20 72.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.28	-	20	1.60	(3,213.28)	(246,201.65)
10/02/20	10/05/20	Margin	Buy - Securities Purchased	NIO INC NIO Oct 30 20 22.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.28	-	20	2.05	(4,113.28)	(250,314.93)
10/02/20	10/05/20	Margin	Buy - Securities Purchased	UNITY SOFTWARE INC U Oct 16 20 75.0 P TO OPEN Commission/Fee 13.00 Regulatory Fee 0.28	-	20	1.70	(3,413.28)	(253,728.21)
10/02/20	10/06/20	Margin	Sell - Securities Sold	TESLA INC COM Regulatory Fee 0.94	TSLA	100-	421.00	42,099.06	(211,629.15)

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/02/20	10/06/20	Margin	Sell - Securities Sold	SIMON PROPERTY GROUP INC COM Regulatory Fee 1.61	SPG	1,000-	67.74	67,738.39	(143,890.76)
10/02/20	10/06/20	Margin	Sell - Securities Sold	NORWEGIAN CRUISE LINE HLDGS LT COM Regulatory Fee 1.98	NCLH	4,000-	17.11	68,438.02	(75,452.74)
10/02/20	10/06/20	Margin	Sell - Securities Sold	TOP GLOVE CORP BHD ADR SPONSORED Commission/Fee 6.95 Regulatory Fee 2.13	TGLVY	7,000-	8.36	58,510.92	(16,941.82)
10/05/20	10/07/20	Margin	Sell - Securities Sold	BOEING CO COM Regulatory Fee 1.93	BA	500-	169.50	84,748.07	67,806.25
10/06/20	10/07/20	Margin	Sell - Securities Sold	UNITY SOFTWARE INC U Oct 16 20 75.0 P TO CLOSE Commission/Fee 13.00 Regulatory Fee 0.38	-	20-	1.37	2,726.62	70,532.87
10/06/20	10/08/20	Margin	Sell - Securities Sold	BOEING CO COM Regulatory Fee 1.53	BA	400-	167.33	66,930.47	137,463.34
10/06/20	10/08/20	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC COM Regulatory Fee 2.08	AAL	5,000-	13.415	67,072.92	204,536.26
10/06/20	10/08/20	Margin	Sell - Securities Sold	AZUL SA ADR UNSPONSORED Regulatory Fee 0.86	AZUL	2,000-	14.065	28,129.14	232,665.40
10/06/20	10/08/20	Margin	Sell - Securities Sold	HERTZ GLOBAL HOLDINGS INC COM Regulatory Fee 0.25	HTZGQ	1,771-	1.10	1,947.85	234,613.25
10/07/20	10/09/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	5,000	20.90	(104,500.00)	130,113.25
10/08/20	10/09/20	Margin	Buy - Securities Purchased	TESLA INC TSLA Oct 16 20 440.0 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.14	-	10	12.70	(12,706.64)	117,406.61
10/08/20	10/13/20	Margin	Sell - Securities Sold	SLACK TECHNOLOGIES INC COM CL A Regulatory Fee 0.79	WORK	1,000-	30.00	29,999.21	147,405.82

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/09/20	10/13/20	Margin	Sell - Securities Sold	MODERNA INC MRNA Oct 09 20 72.0 C TO CLOSE Commission/Fee 13.00 Regulatory Fee 0.37	-	20-	1.10	2,186.63	149,592.45
10/09/20	10/13/20	Margin	Sell - Securities Sold	NIKOLA CORPORATION NKLA Oct 09 20 25.0 C TO CLOSE Commission/Fee 13.00 Regulatory Fee 0.32	-	20-	0.02	26.68	149,619.13
10/14/20	10/15/20	Margin	Sell - Securities Sold	TESLA INC TSLA Oct 16 20 440.0 C TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.31	-	5-	20.90	10,446.44	160,065.57
10/14/20	10/15/20	Margin	Sell - Securities Sold	TESLA INC TSLA Oct 16 20 440.0 C TO CLOSE Commission/Fee 1.95 Regulatory Fee 0.19	-	3-	20.82	6,243.86	166,309.43
10/14/20	10/15/20	Margin	Sell - Securities Sold	NIO INC NIO Oct 30 20 22.0 C TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.26	-	10-	4.90	4,893.24	171,202.67
10/14/20	10/15/20	Margin	Sell - Securities Sold	TESLA INC TSLA Oct 16 20 440.0 C TO CLOSE Commission/Fee 1.30 Regulatory Fee 0.13	-	2-	22.00	4,398.57	175,601.24
10/14/20	10/15/20	Margin	Buy - Securities Purchased	NIO INC NIO Nov 13 20 27.0 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.70	-	50	3.45	(17,283.20)	158,318.04
10/15/20	10/15/20	Margin	Journal - Other	Courtesy Adjustment	-	-	0.00	0.02	158,318.06
10/14/20	10/16/20	Margin	Sell - Securities Sold	NIO INC ADR Regulatory Fee 3.42	NIO	5,000-	25.49	127,446.58	285,764.64
10/14/20	10/16/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	10,000	27.25	(272,500.00)	13,264.64
10/15/20	10/19/20	Margin	Sell - Securities Sold	NIO INC ADR Regulatory Fee 3.79	NIO	5,000-	28.85	144,246.21	157,510.85

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/15/20	10/19/20	Margin	Sell - Securities Sold	NIO INC ADR Regulatory Fee 3.77	NIO	5,000-	28.75	143,746.23	301,257.08
10/16/20	10/20/20	Margin	Buy - Securities Purchased	HERTZ GLOBAL HOLDINGS INC COM	HTZGQ	4,985	1.93	(9,621.05)	291,636.03
10/16/20	10/20/20	Margin	Buy - Securities Purchased	HERTZ GLOBAL HOLDINGS INC COM	HTZGQ	15	1.9299	(28.95)	291,607.08
10/19/20	10/20/20	Margin	Buy - Securities Purchased	FASTLY INC FSLY Nov 06 20 90.0 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.70	-	50	5.10	(25,533.20)	266,073.88
10/20/20	10/20/20	Margin	Journal - Other	COURTESY ADJUSTMENT	-	-	0.00	0.01	266,073.89
10/20/20	10/22/20	Margin	Sell - Securities Sold	MODERNA INC COM Regulatory Fee 1.05	MRNA	616-	72.90	44,905.35	310,979.24
10/20/20	10/22/20	Margin	Sell - Securities Sold	MODERNA INC COM Regulatory Fee 0.05	MRNA	28-	72.89	2,040.87	313,020.11
10/20/20	10/22/20	Margin	Sell - Securities Sold	MODERNA INC COM Regulatory Fee 0.61	MRNA	356-	72.85	25,933.99	338,954.10
10/20/20	10/22/20	Margin	Sell - Securities Sold	MODERNA INC COM Regulatory Fee 1.73	MRNA	1,000-	72.99	72,988.27	411,942.37
10/21/20	10/22/20	Margin	Buy - Securities Purchased	FACEBOOK INC FB Nov 13 20 300.0 C TO OPEN Commission/Fee 3.25 Regulatory Fee 0.07	-	5	6.75	(3,378.32)	408,564.05
10/21/20	10/22/20	Margin	Buy - Securities Purchased	FACEBOOK INC FB Nov 13 20 260.0 P TO OPEN Commission/Fee 3.25 Regulatory Fee 0.07	-	5	5.80	(2,903.32)	405,660.73
10/22/20	10/22/20	Margin	Journal - Other	Courtesy Adjustment	-	-	0.00	0.01	405,660.74
10/22/20	10/26/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	5,000	27.00	(135,000.00)	270,660.74
10/26/20	10/26/20	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(151.51)	270,509.23
10/23/20	10/27/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	3,000	26.545	(79,635.00)	190,874.23

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/26/20	10/28/20	Margin	Sell - Securities Sold	SPIRIT AIRLINES INC COM Regulatory Fee 1.03	SAVE	2,000-	17.85	35,698.97	226,573.20
10/26/20	10/28/20	Margin	Sell - Securities Sold	UNITED AIRLINES HOLDINGS COM Regulatory Fee 0.47	UAL	500-	37.01	18,504.53	245,077.73
10/26/20	10/28/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	3,000	25.50	(76,500.00)	168,577.73
10/26/20	10/28/20	Margin	Buy - Securities Purchased	MODERNA INC COM	MRNA	499	69.9288	(34,894.47)	133,683.26
10/26/20	10/28/20	Margin	Buy - Securities Purchased	MODERNA INC COM	MRNA	501	69.89	(35,014.89)	98,668.37
10/27/20	10/28/20	Margin	Sell - Securities Sold	NIO INC NIO Oct 30 20 22.0 C TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.27	-	10-	5.00	4,993.23	103,661.60
10/27/20	10/28/20	Margin	Sell - Securities Sold	FASTLY INC FSLY Nov 06 20 90.0 C TO CLOSE Commission/Fee 16.25 Regulatory Fee 0.47	-	25-	1.30	3,233.28	106,894.88
10/28/20	10/29/20	Margin	Sell - Securities Sold	FASTLY INC FSLY Nov 06 20 90.0 C TO CLOSE Commission/Fee 16.25 Regulatory Fee 0.47	-	25-	1.35	3,358.28	110,253.16
10/28/20	10/30/20	Margin	Buy - Securities Purchased	MODERNA INC COM	MRNA	1,000	66.75	(66,750.00)	43,503.16
10/28/20	10/30/20	Margin	Buy - Securities Purchased	NIO INC ADR	NIO	4,619	27.15	(125,405.85)	(81,902.69)
10/29/20	10/30/20	Margin	Sell - Securities Sold	NIO INC NIO Nov 13 20 27.0 C TO CLOSE Commission/Fee 16.25 Regulatory Fee 0.72	-	25-	5.80	14,483.03	(67,419.66)
10/30/20	10/30/20	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 10/30/2020	-	-	0.00	(329.01)	(67,748.67)
10/30/20	10/30/20	Margin	Div/Int - Income	INTEREST CREDIT Payable: 10/30/2020	-	-	0.00	1.19	(67,747.48)

Statement for Account # 498-190867

10/01/20 - 10/31/20

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/22/20	10/26/20	Short	Sell - Securities Sold	GILEAD SCIENCES INC COM Regulatory Fee 1.51	GILD	1,000-	63.50	63,498.49	(4,248.99)
10/22/20	10/26/20	Short	Buy - Securities Purchased	GILEAD SCIENCES INC COM	GILD	1,000	63.65	(63,650.00)	(67,898.99)
10/26/20	10/26/20	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	151.51	(67,747.48)
Closing Balance									(\$67,747.48)

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
10/01/20	\$ (397,570.67)	\$ -	1	7.50	\$ 82.83	\$ -
10/02/20	(246,201.65)	-	3	7.75	159.01	-
10/05/20	(253,728.21)	-	1	7.50	52.86	-
10/06/20	(16,941.82)	-	1	9.25	4.35	-
10/07/20	-	70,532.87	1	0.01	-	0.02
10/08/20	-	234,613.25	1	0.01	-	0.06
10/09/20	-	117,406.61	4	0.01	-	0.13
10/13/20	-	149,619.13	2	0.01	-	0.08
10/15/20	-	158,318.06	1	0.01	-	0.04
10/16/20	-	13,264.64	3	0.01	-	0.01
10/19/20	-	301,257.08	1	0.01	-	0.08
10/20/20	-	266,073.89	2	0.01	-	0.15
10/22/20	-	405,660.74	4	0.01	-	0.44
10/26/20	-	270,509.23	1	0.01	-	0.07
10/27/20	-	190,874.23	1	0.01	-	0.05
10/28/20	-	106,894.88	1	0.01	-	0.03
10/29/20	-	110,253.16	1	0.01	-	0.03
10/30/20	(67,419.66)	-	2	8.00	29.96	-
Total Interest Income/(Expense)					\$329.01	\$1.19

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL NIO INC ADR	Margin	NIO	5,000-	\$ 28.45	10/29/20	11/02/20	\$ 142,246.28

Statement for Account # 498-190867

10/01/20 - 10/31/20

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	NIO INC ADR	Margin	NIO	901-	28.14	10/29/20	11/02/20	25,353.49
SELL	NIO INC ADR	Margin	NIO	804-	28.13	10/29/20	11/02/20	22,615.94
SELL	NIO INC ADR	Margin	NIO	3,295-	28.12	10/29/20	11/02/20	92,652.96
BUY	NIO INC ADR	Margin	NIO	10,000	28.90	10/29/20	11/02/20	(289,000.00)
BUY	NIO INC ADR	Margin	NIO	7,302	29.00	10/29/20	11/02/20	(211,758.00)
BUY	NIO INC ADR	Margin	NIO	98	28.99	10/29/20	11/02/20	(2,841.02)
BUY	NIO INC ADR	Margin	NIO	2,100	28.98	10/29/20	11/02/20	(60,858.00)
BUY	NIO INC ADR	Margin	NIO	500	28.9798	10/29/20	11/02/20	(14,489.90)
SELL	NIO INC ADR	Margin	NIO	10,000-	31.49	10/29/20	11/02/20	314,891.85
SELL	MODERNA INC COM	Margin	MRNA	2-	71.14	10/29/20	11/02/20	142.28
SELL	MODERNA INC COM	Margin	MRNA	403-	71.1206	10/29/20	11/02/20	28,660.93
SELL	MODERNA INC COM	Margin	MRNA	1,462-	71.1201	10/29/20	11/02/20	103,975.12
SELL	MODERNA INC COM	Margin	MRNA	633-	71.12	10/29/20	11/02/20	45,017.89
SELL	NIO INC ADR	Margin	NIO	5,000-	31.95	10/29/20	11/02/20	159,745.88
SELL	NIO INC ADR	Margin	NIO	4,000-	31.95	10/29/20	11/02/20	127,796.70
BUY	NIO INC ADR	Margin	NIO	5,000	30.80	10/29/20	11/02/20	(154,000.00)
SELL	NIO INC ADR	Margin	NIO	200-	28.44	10/29/20	11/02/20	5,687.85

Statement for Account # 498-190867

10/01/20 - 10/31/20

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	NIO INC ADR	Margin	NIO	1,970-	28.43	10/29/20	11/02/20	56,005.63
BUY	NIO INC NIO Nov 06 20 32.0 C	Margin	-	100	1.68	10/30/20	11/02/20	(16,866.40)
BUY	NIO INC NIO Nov 06 20 32.0 C	Margin	-	50	1.58	10/30/20	11/02/20	(7,933.20)
SELL	FACEBOOK INC FB Nov 13 20 300.0 C	Margin	-	5-	1.21	10/30/20	11/02/20	601.66
BUY	NIO INC ADR	Margin	NIO	5,000	30.25	10/30/20	11/03/20	(151,250.00)
BUY	MODERNA INC COM	Margin	MRNA	500	67.8699	10/30/20	11/03/20	(33,934.95)
BUY	MODERNA INC COM	Margin	MRNA	500	67.00	10/30/20	11/03/20	(33,500.00)